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- 1 Title: Cell size and wall dimensions drive distinct variability of earlywood and latewood density
- 2 in Northern Hemisphere conifers
- 3
- 4 **Brief heading:** Cell dimensions drive distinct variability in earlywood and latewood density
- 5 Authors: Jesper Björklund<sup>1</sup>, Kristina Seftigen<sup>1, 2, 3</sup>, Fritz Schweingruber<sup>1</sup>, Patrick Fonti<sup>1</sup>, Georg
- von Arx<sup>1, 4</sup>, Marina V. Bryukhanova<sup>5, 6</sup>, Henri E. Cuny<sup>1</sup>, Marco Carrer<sup>7</sup>, Daniele Castagneri<sup>7</sup> and
  David C. Frank<sup>1, 8</sup>
- 8
- 9 Affiliations: <sup>1</sup>Swiss Federal Research Institute for Forest Snow and Landscape (WSL),
- 10 Zuercherstrasse 111, 8903 Birmensdorf, Switzerland
- <sup>2</sup>Gothenburg University Laboratory for Dendrochronology, Department of Earth Sciences,
- 12 University of Gothenburg, Guldhedsgatan 5a, 40530 Göteborg, Sweden
- 13 <sup>3</sup>Université catholique de Louvain, Earth and Life Institute, Georges Lemaître Centre for Earth
- 14 and Climate Research, Place Louis Pasteur, B-1348 Louvain-la-Neuve, Belgium
- 15 <sup>4</sup>Climatic Change and Climate Impacts, Institute for Environmental Sciences, 66 Bvd Carl Vogt,
- 16 CH-1205 Geneva, Switzerland
- <sup>5</sup>V.N. Sukachev Institute of Forest SB RAS, Akademgorodok 50, bld.28, 660036 Krasnoyarsk,
- 18 Russia
- 19 <sup>6</sup>Siberian Federal University, Svobodny pr. 79, 660041 Krasnoyarsk, Russia
- <sup>7</sup>University of Padova, Dept. TeSAF, Via dell'Università 16, I-35020 Legnaro (PD), Italy
- 21 <sup>8</sup>Laboratory of Tree-Ring Research, University of Arizona, 1215 E Lowell St, Tucson, AZ
- 22 85721, USA
- 23
- 24 Author for correspondence: Jesper Björklund, Swiss Federal Research Institute for Forest
- 25 Snow and Landscape (WSL), Zuercherstrasse 111, 8903 Birmensdorf, Switzerland. Email:
- 26 jesper.bjoerklund@wsl.ch<u>Tel:</u>+41 44 739 2816
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32

# 33 The following Supporting Information is available for this article:

34 **Tab. S1** Top 10 most common species in the Northern Hemisphere densitometric network.

- 35 Fig. S1 X-ray images for ten of the most abundant species in the network
- 36 Fig. S2 Map of lengths of chronologies in the NH Network.
- 37 Fig. S3 *Rbar* statistic for each chronology and parameter in the NH-network
- 38 Fig. S4 Examples how to calculate bivariate univariate density parameters
- 39 Fig. S5 Correlation coefficients between different pairs of ring widths, and densities
- 40 Fig. S6 Hovmöller diagrams over precipitation correlations of NH-network data
- 41 Fig. S7 Complete results of the cluster identification of the NH-network data.
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- 44 Notes S1 NH-network quality screening
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- 46 Notes S3 Rbar, the strength of the common signal in the NH-network
- 47 Notes S4 Cell anatomical data details
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# 49 Summary

Inter-annual variability of wood density – an important plant functional trait and
 environmental proxy – in conifers is poorly understood. Therefore we explore the
 anatomical basis of density. We hypothesize that earlywood density is determined by
 tracheid size and latewood density by wall dimensions, reflecting their different
 functional tasks.

55

To determine general patterns of variability, density parameters from 27 species and 349
 sites across the Northern Hemisphere were correlated to tree-ring width parameters and
 local climate. We performed the same analyses with density and width derived from
 anatomical data comprising 2 species and 8 sites. The contributions of tracheid size and
 wall dimensions to density were disentangled with sensitivity analyses.

61

Notably, correlations between density and width shifted from negative to positive moving
 from earlywood to latewood. Temperature responses of density varied intra-seasonally in
 strength and sign. The sensitivity analyses revealed tracheid size as the main determinant
 of earlywood density while wall dimensions become more influential for latewood
 density.

67

Our novel approach of integrating detailed anatomy data with large-scale tree-ring data allowed us to contribute to an improved understanding of inter-annual variations of conifer growth and illustrate how conifers balance investments to the competing xylem functions of hydraulics and mechanical support.

- 72
- 73

74 Keywords: Tracheid anatomy, Tree-ring network, Xylem function, Wood density, Ring width,
75 Dendroclimatology

- 76
- 77

### 78 Introduction

79 Quantifying the spatial and temporal variability of woody tissue in trees is a long-standing 80 research priority (e.g. Fritts, 1976; Hanewinkel et al., 2013; Frank et al., 2015). Considerable 81 efforts during the last decades have led to the assembly of global datasets of annually resolved 82 tree-ring parameters (http://www.ncdc.noaa.gov/paleo), and have foremost been used to set the current climate into a longer-term perspective (e.g. Frank et al., 2010). Similarly, intensive 83 84 studies of wood anatomical features have been critical to establish general concepts of xylogenesis as well as the structural properties and functional priorities of the xylem (e.g. Tyree 85 86 & Ewers, 1991; Rossi et al., 2012; Cuny et al., 2014). However, the relatively new approach of 87 measuring *inter-annual* records of anatomical properties (e.g. Bryukhanova and Fonti, 2013; Castagneri et al., 2015; Pritzkow et al., 2013) has not yet reached its full potential, mainly 88 89 because of technical difficulties in producing data. In the broader context of tree-growth, one of 90 these potentials is to study the link between, on the one hand, widespread and well-replicated 91 tree-ring data (ring width and density), and on the other, the fewer and low-replicated datasets of 92 quantitative wood anatomy (e.g. cell lumen and cell wall). The joint use of tree-ring and 93 anatomical data can have synergetic potential because (1) it may offer a break-through in 94 identifying how the anatomy defines inter-annual variations in density, and in turn facilitate 95 interpretations of how radial growth and wood density are interconnected and climatically 96 controlled. (2) Insights of the anatomical basis of wood density can conversely make wood 97 density a valuable tool for assessing inter-annual variability in xylem architecture and associated 98 functional properties.

99

100 The xylem of conifers is essentially composed of tracheid cells that – depending on their 101 anatomical characteristics – are mainly optimized for a) efficient water transport, or b) providing 102 structural support (Tyree & Zimmermann, 2002; Wodzicki, 1971). Early in the growing season 103 cell division is fast and the subsequent cell enlargement occurs for a relatively long time (Cuny 104 et al., 2014). The wall-thickening phase is relatively short but the wall-thickness must be 105 sufficient to minimize the risk of cell implosion causing hydraulic failure (Hacke et al., 2001; 106 Pratt et al., 2007). The resulting tracheids become large (earlywood cells) and supply the bulk of 107 the crowns' water demand. Later in the growing season, cell division slows down, the 108 enlargement phase shortens and the wall-thickening phase extends (Cuny et al., 2014). The

109 narrower band of thick-walled small-diameter tracheids (latewood cells) works as armature for 110 the tree structure (Larson, 1994), but has limited water transport-capacity due to the narrow 111 tracheid lumina (Sperry et al., 2006). This intra-annual dichotomy balances investments in either 112 earlywood or latewood tissue and represents the continuous attempt to best allocate the available 113 resources to guarantee an adequate and sustainable functioning of the xylem (Sass-Klaassen et 114 al., 2016). In fact, there seems to be considerable *inter-annual* variability in anatomical 115 properties in both earlywood and latewood components (e.g. Bryukhanova and Fonti, 2013; Pritzkow et al., 2013) presumably having substantial impact on the two major functions of the 116 117 xylem and consequently also wood density.

118

119 In the field of dendroclimatology the most frequently used parameters are tree-ring width (TRW) (e.g. St. George, 2014) and maximum latewood density (MXD) (e.g. Schweingruber et al., 1978; 120 121 Briffa et al., 2002a), whereas other available tree-ring parameters, such as latewood density 122 (LWD), earlywood density (EWD), minimum density (MND), tree-ring density (TRD), 123 earlywood width (EWW) or latewood width (LWW), are rather rarely used (e.g. Cleaveland, 124 1986; Griffin et al., 2011; Babst et al., 2014; Camarero et al., 2014; Olivar et al., 2015 being 125 notable exceptions). This preference for TRW and MXD has evolved naturally due to technical 126 constraints and prioritization of parameters with documented high sensitivity to climate (e.g. 127 Schweingruber et al., 1978). With the exceptions of some attempts to model aggregates (e.g., 128 Misson et al., 2004; Rathgeber et al., 2005), and to empirically study ontogenetic trends of some 129 of the tree-ring parameters (e.g. Gartner et al., 2002; DeBell et al., 2004), the combined potential 130 of these parameters, as means to widen the perspective and explore general phylogenetic and 131 geographical patterns of inter-annual tree growth, is generally neglected. Furthermore, a tree-132 growth centric use of the above-mentioned tree-ring parameters would benefit from meaningful 133 information about the underlying anatomical basis of them. In a general sense, the anatomical 134 basis of tree-ring parameters are conceptually known; ring width is largely a function of the 135 number of tracheids produced each season, and wood density is a function of the average size 136 and the amount of wall material fixed in the tracheids (Vaganov et al., 2006). At intra-annual timescales, density increases from earlywood to latewood mainly as a function of diminishing 137 138 sizes of tracheids (e.g. Rathgeber et al., 2006; Cuny et al., 2014). However, the specific 139 contributions of cell size and wall dimensions at inter-annual time-scales are only partly

140 explored. The inter-annual variability of latewood density has mainly been attributed to 141 fluctuations in cell-wall material, (Wang et al., 2002; Vaganov et al., 2006), however there are 142 no investigations, to our knowledge, of the anatomical basis for the inter-annual fluctuations in 143 earlywood density. This leads to the question whether tracheid size or the amount of tracheid 144 wall material dominates the inter-annual variability in earlywood density, and we emphasize that 145 the relative importance of the different anatomical features may be different in earlywood and 146 latewood due to their fundamentally different functions, that are conductivity and mechanical 147 support respectively.

148

On this background, we postulate the following hypotheses: (1) the inter-annual variability in earlywood density in conifers is mainly governed by fluctuations in the sizes of the tracheids (cross-sectional tracheid area (TA)), which indirectly influence lumen sizes and sap flow. (2) A re-examination of the inter-annual variability in latewood density will verify that it is mainly governed by fluctuations in the incorporated cell wall material (cross sectional cell wall area (CWA)) to enhance mechanical support.

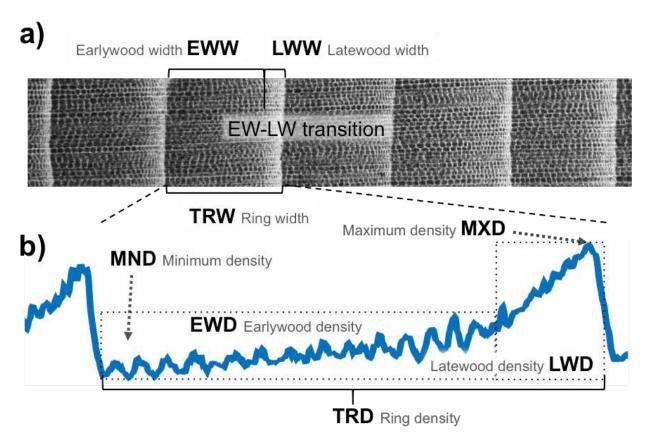
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156 To address these hypotheses, we first present a comprehensive analysis of a network of tree-ring 157 width and density data covering mainly the boreal part of the Northern Hemisphere to establish 158 general patterns of inter-annual growth variability. Second we conduct corresponding analyses 159 using a smaller wood anatomical dataset to confirm the presence of similar patterns. The 160 corresponding anatomical analyses are conducted by deriving density and width features from 161 cell anatomical features, thus establishing an interface between tree-ring data and wood 162 anatomical data. Third we perform a series of sensitivity analyses of the cell anatomical features 163 to identify their contribution in determining wood density to address our hypotheses. Finally, we 164 discuss the implications of the hypothesized contributions of cell size and wall dimensions to 165 wood densities to promote our understanding of how tree-ring growth parameters are inter-166 connected but also environmentally controlled. Further, we discuss how the identification of the 167 anatomical basis of density can help to assess inter-annual fluctuations in anatomical properties 168 and the associated impacts on xylem functionality.

# 169 Materials and methods

- 170 Northern Hemispheric network of tree-ring data
- 171 This study includes ring width and density datasets retrieved from the International Tree Ring
- 172 Data Bank (ITRDB, <u>http://www.ncdc.noaa.gov/paleo</u>). The included datasets were selected by
- 173 screening for sample sites where most of the parameters: MXD, LWD, MND, EWD, LWW,
- 174 EWW and TRW (Fig. 1) were measured.

175



176

Figure 1. a) X-ray photograph of tree rings in a specimen of *Picea engelmanii*, where the ring-width parameters:
earlywood width (EWW), latewood width (LWW) and ring width (TRW) are illustrated. b) X-ray wood density
profile. From the intra-annual profile the parameters minimum density (MND), earlywood density (EWD),
tree-ring density (TRD), latewood density (LWD) and maximum density (MXD) were derived. In the NH
network, the transition from earlywood to latewood is defined as the 50% threshold between MND and MXD,
and radial profile analysis step size ~10µm.

- 183
- 184 Moreover the TRD parameter (not archived) was computed as:

185 
$$TRD_t = \left[ \left( EWD_t \times \frac{EWW_t}{TRW_t} \right) + \left( LWD_t \times \frac{LWW_t}{TRW_t} \right) \right]$$
 Eqn 1

186 where *t* refers to the *year* of each tree-ring property. In the following we often use the terms

- 187 latewood density for LWD and MXD, earlywood density for MND and EWD, ring density for
- 188 TRD and ring width for EWW, LWW and TRW. The Northern Hemispheric network of tree-ring
- 189 data (NH-network) largely consists of boreal conifers, targeted by Fritz Schweingruber and
- 190 colleagues during the last three decades of the 20<sup>th</sup> century for temperature reconstruction
- 191 purposes (e.g. Briffa et al., 2002b). See Fig. 2 for geographic distribution of chronologies and
- 192 genera, and Supporting Information, Notes S1-S2 and Figs. S1-S2 for further details on the NH-
- 193 network.

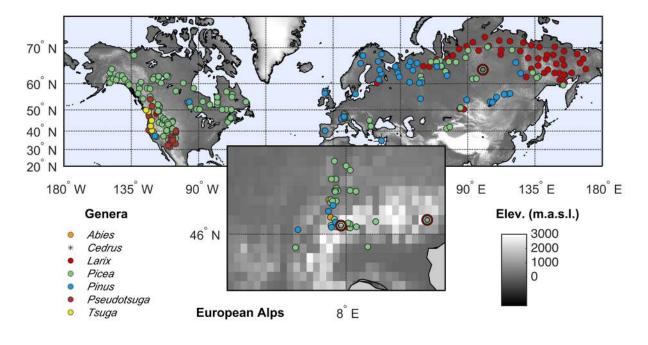




Figure 2. Map displaying the geo referenced site locations of the 349 tree-ring chronologies in the NHnetwork. Colours identify the genus. The geo referenced site locations of the 8 anatomical datasets are
displayed as open circles, to separate between the NH-network data and the anatomy data (the double band
of green and red mean that all sites have both *Picea Sp.* and *Larix Sp.*).

199

Analyses in this study focused on inter-annual to decadal timescales. Therefore longer timescale variance in the tree-ring data, such as the biological age trends, or lower-frequency variability driven by internal or external factors (including climate), was removed through standardization (Fritts, 1976). Cubic smoothing splines with a 50% frequency response cutoff at 35-years (Cook & Peters, 1981) were fitted to each individual tree-ring series. The splines were either divided into (ratios for ring widths) or subtracted from (residuals for densities) the tree-ring series to produce indices (Cook & Peters, 1997). The resulting indices were averaged arithmetically into tree-ring chronologies, i.e. time-series with annually dated tree-ring information. The common signal, i.e. a synchronous behavior in each chronology's tree-ring series through time, was assessed with the *Rbar* statistic (Wigley et al., 1984; Fig. S3). The inter-relationship among NHnetwork parameters was investigated with pair-wise Pearson correlations for each site and species over the full length of each chronology.

212

# 213 Climate data and climate correlations

214 The climate correlations in the NH-network were calculated using the CRU TS3.22, 0.5° gridded 215 monthly temperature and precipitation data spanning 1901-2013 (updated from Harris et al., 216 2014). The dataset was detrended analogously to the tree-ring data to extract high-frequency 217 variations, to match the limited frequency range of the detrended tree-ring data and to reduce 218 probabilities of spurious correlations due to trends in the datasets. We used the MATLAB function seascorr, developed by Meko et al. (2011), to quantify the climate signals in the tree-219 220 ring data. Pearson correlation coefficients were computed between each parameter chronology 221 (for each site and species) and the temperature data from the nearest grid point. The relationships 222 were assessed using monthly data over a 19-month window spanning from April of the year prior 223 to ring formation up to October of the year of growth. Correlations were computed for the period 224 of maximum overlap between each site chronology and the observational climate record. 225 Although a common period would provide increased consistency, the maximum overlap was 226 chosen to attain as robust correlations as possible as the common period in our NH-network only 227 spans 1941-1968. Due to an often strong co-variation between temperature and precipitation (e.g. 228 higher warm-season temperatures associated with lower precipitation; Trenberth & Shea, 2005) 229 we computed partial correlations between precipitation and tree-ring data to determine if the 230 confounding covariation of climate parameters is important, but also to determine if moisture 231 alone is limiting for growth.

232

233 Moreover, the broad spatial and phylogenetic behavior of the temperature response across the

234 NH-network was analyzed using a cluster analysis, here the K-Means algorithm (MacQueen,

235 1967). The analysis was based on the correlation matrix quantifying relationships between

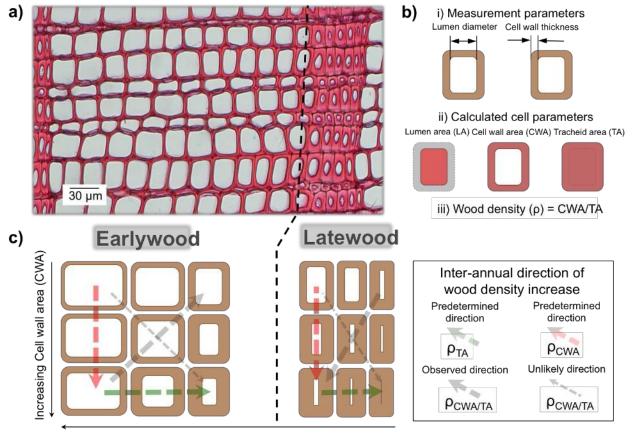
236 monthly temperatures and the latewood density records. The clustering was based on the current

- 237 year MXD parameter, since it displayed the most significant temperature response. The distance
- from each observation to the centroid of the cluster (group) was quantified using the Euclidean
- 239 distance. The optimal number of clusters in the dataset was estimated by means of the gap
- statistics (Tibshirani et al., 2001) and the silhouette width (Kaufman & Rousseeuw, 1990).
- 241

# 242 Tracheid anatomical data

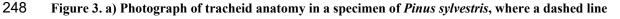
243 The anatomical data used in this study, included features of tracheid radial diameter, cell wall

- thickness (Fig. 3) and number of tracheid per ring from 8 data sites, see open circles in Fig. 2 for
- 245 geographic distribution. These data are further described in Notes S4.
- 246





Increasing Tracheid area (TA)



- 249 demarcates the earlywood/latewood transition. b) the measured cell anatomical parameters include i) radial
- 250 lumen diameter (LD) and radial cell wall thickness (CWT) but also the number of cells along the radial
- distance from beginning of the earlywood to the end of the latewood. From LD and CWT the parameters ii)
- cell wall area (CWA) and tracheid (TA) were calculated using equations presented in Notes 84. These

- 253 parameters are used to calculate relative iii) wood density ρ = CWA/TA. c) Conceptual model of how
- univariate and bivariate densities change when tracheid area, cell wall area or both are changed respectively.
- 255 Note the difference between earlywood and latewood, the grey arrows indicating the direction of bivariate
- 256 density increase. Univariate densities behave in the same way in both early- and latewood, whereas bivariate
- 257 density (ρ<sub>CWA/TA</sub>) is commonly positively correlated with ρ<sub>TA</sub> in the earlywood, but does not have to be
- 258 positively correlated with pcwa. pcwa/ta is in contrast commonly positively correlated with pcwa in the
- 259 latewood but can be negatively correlated with ρ<sub>TA</sub> due to the usually small changes in the lumen area, thus
- 260 defining a functional switch in variability of tracheid anatomy in earlywood and latewood respectively.
- 261

262 The density of conifer wood can largely be determined by two different wood anatomical 263 parameters: cell wall area (CWA) and the tracheid area, the area within the outer dimensions of the tracheid (TA) (Eqn. 2; Fig. 3b; Vaganov et al., 2006). Cell wall area and tracheid area 264 265 profiles were derived from the tracheid diameters and cell wall thickness measurements using model equations established in Fonti et al. (2013) (Notes S4), at a similar radial step size as has 266 267 been commonly used in the NH-network (10 microns). From the anatomical profiles we 268 calculated density profiles and extracted parameters such as MXD (cf. MXDpcwa/TA with MXDx-269 *ray*). The density profiles were calculated as follows

270

$$271 \quad \rho_{CWA/TA} = CWA/TA$$

272

where ρ denotes density and thus ρ<sub>CWA/TA</sub> represents the *bivariate* relative intra-annual wood
density profile (Fig. S4a). We conducted similar parameter inter-correlation and climate
correlation analyses as was done for the NH-network and compared average broad-scale features
from the X-ray density data and the anatomical density data.

277

To identify the importance of either wall material or cell size for inter-annual variations in wood density, we applied a series of sensitivity analyses, a statistical method that aims at determining the relative influence of each input parameter on a modeled output parameter by alternately holding one input parameter constant. In this study, the modeled output parameter was anatomical wood density, and the input parameters were cell wall area and tracheid diameter. Thus, the analysis involved the calculation of density profiles with equation 2, but also

Eqn 2

calculating inter-annual density profiles alternately using an average profile of either cell wallarea or tracheid area as follows:

287  $\rho_{CWA} = CWA/\overline{TA}$  Eqn 3 288

$$\rho_{TA} = \overline{CWA}/TA$$
 Eqn 4

290

286

291 where pcwA denotes the univariate intra-annual wood density, where only cell wall area 292 contributes to the inter-annual variation in density, and  $\rho_{TA}$  is the inverse case. Again we 293 extracted the density parameters from the profiles (Fig. S4a-c). Subsequently bivariate and 294 univariate densities were correlated to each other, but also to corresponding widths and to current 295 year temperatures. To describe the results of the sensitivity analysis we henceforth usually refer 296 to the following terminology: r[x, y] that denotes the *correlation* between the parameters x and y. 297 Typically, x and y refer to widths, bivariate or univariate anatomical densities, or monthly 298 temperature parameters. 299

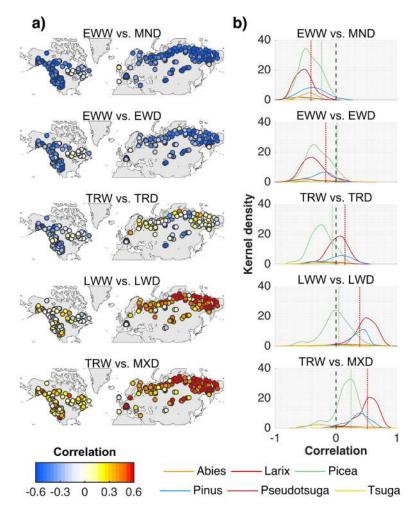
300 **Results** 

301 Parameter inter-correlation analyses of the NH-network

302 The inter-correlations between the ring width and density parameters in the NH-network reveal a

303 strongly systematic pattern (Fig. 4).

304



305

306 Figure 4. a) Spatial distribution of Pearson correlation coefficients between different pairs of ring width and 307 density parameters in the NH-network. b) Kernel density distributions of correlation coefficients between the 308 same pairs, stratified on genus. Inset red (Larix sp.) and green (Picea sp.) dotted lines denote the analogue 309 results from the cell anatomical network. Note the shift from negative correlations between earlywood widths 310 and densities to positive correlations between latewood widths and densities, here termed the "EW-LW 311 correlation-sign change". Note also the zonal gradient in strength of correlation coefficients, most prominent 312 for latewood width versus latewood density, termed the "bimodal biogeographic correlation", which coincides 313 with the uneven spatial distribution of the genera Larix sp. and Picea sp., also evident from the analogue cell 314 anatomical data analysis.

315

316 From a consistently negative association between ring width and earlywood density, there is a

317 modest association with both negative and positive correlations between ring width and ring

- 318 density, and finally a predominantly positive correlation between latewood density and ring
- 319 width (Fig. 4). We henceforth refer to this switch in sign of correlations as the "EW-LW
- 320 correlation-sign change". The inter-correlation analysis also shows that the width parameters

- 321 EWW and LWW are usually highly positively correlated to each other, but the earlywood
- 322 density and latewood density parameters are usually only modestly correlated (Fig. **S5**).
- 323

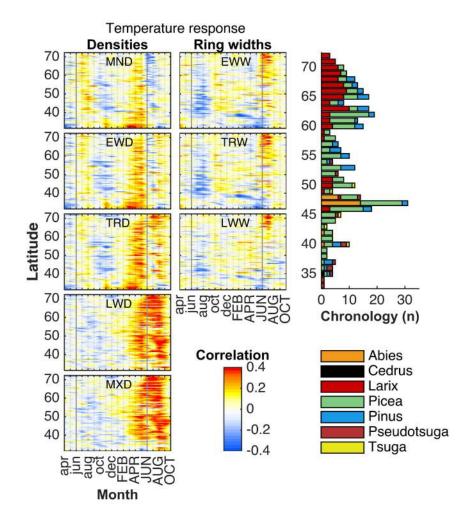
324 We also observed a prominent gradient in correlation coefficients of many parameter-pairs, from 325 western North America to Eurasia. The gradient is most pronounced for: r[ring width, latewood 326 *density*] and strongly related to the uneven spatial sampling of *Larix sp.* and *Picea sp.* (Fig. 4b). 327 The gradient feature is henceforth referred to as the "bimodal biogeographic correlation", where 328 Larix sp. exhibit a more pronounced "EW-LW correlation-sign change" than Picea sp. (Fig. 4b). 329 The "bimodal biogeographic correlation" appears for most parameter-pairs (Figs. 4b and Fig. S5) 330 but is less pronounced for r[*ring width, earlywood density*] or r[EWW, TRW]. The pattern can 331 partly be explained referring to the fact that *Picea sp.* usually displays lower within-chronology series inter-correlation (*Rbar*) (Fig. S3b). That is, *Picea sp.* ring-width series contain a lower 332 333 degree of common variance, and when noisier chronologies are correlated with chronologies 334 with stronger signal (exhibited within density), the chance of attaining high correlation 335 coefficients is reduced. It is however unlikely that the "bimodal biogeographic correlation" is 336 exclusively related to the lower Rbar for Picea sp. widths, for at least two reasons: (1) the pattern 337 is not discernible in the correlation between width and density in the earlywood, and, these 338 (negative) correlations are highly significant pointing to a predictable, non-noise driven process 339 in *Picea sp.* ring widths; (2) the pattern is maintained also when correlating only density 340 parameters, for example r[latewood density, earlywood density] (Fig. S5). Thus, there likely also 341 exists a systematic anatomical difference that can more comprehensibly explain the "bimodal 342 biogeographic correlation".

343

# 344 Climate correlation and grouping of the NH-network

The climate-growth relationships across the sites and species indicate that temperature is the most important climatic factor in the NH-network (Fig. 5), although weaker but consistent correlations with precipitation are also emerging in late summer for all density parameters at most latitudes (Fig. S6).

349



350

351 Figure 5. Hovmöller diagrams over temperature – density correlations as a function of latitude. Correlation 352 coefficients were computed between monthly temperatures for the previous (April through December) and 353 current (January through October) growth year and the different NH-network parameters. The horizontal 354 bars indicate chronology replication over the latitudes (averaged over 1° lat. intervals), stratified according to 355 tree-genera with a color-code. Climate data were obtained from the CRU TS3.22 dataset (Harris et al., 2013), 356 from grid points overlaying the chronology locations. Correlations were computed for the period of 357 maximum overlap between each site chronology and the observational climate record. Climate analysis was 358 performed using the Seascorr matlab function (Meko et al., 2011).

359

360 The temperature response of the ring-width parameters (Fig. 5) for sites above  $\sim 55^{\circ}$  latitude is

- 361 generally positive in mid-summer, and for sites around  $\sim$ 40-55° N, the broad scale signature is a
- 362 lagged negative correlation with previous years mid- or late summer. Latewood width has
- 363 reduced lagged correlations in comparison to earlywood width. The temperature correlations of

the density parameters are usually stronger and more consistent over latitude. In the followingwe explore the wood density temperature interaction in more detail.

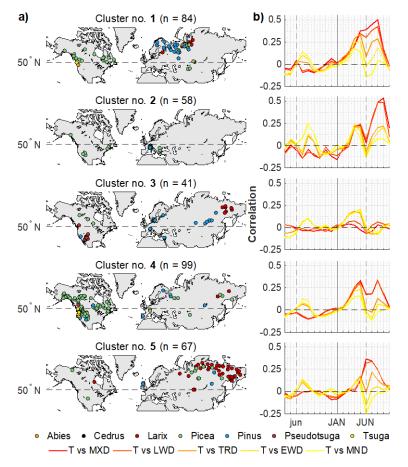
366

367 The cluster analysis divided the NH-network into five groups with characteristic climate

368 response patterns. Fig. 6 shows the spatial repartition of the sites and species belonging to each

369 cluster, with the average temperature response of the density parameters (see Fig. S7 for

- 370 complete results).
- 371



372

Figure 6. Cluster identification of the NH-network. The grouping was based on the temperature response of
the MXD parameter in the period January-October of the current year. a) The spatial groupings of each cluster
stratified by genus, and b) the corresponding average temperature correlation of MXD (red), LWD, TRD,
EWD and MND (yellow).

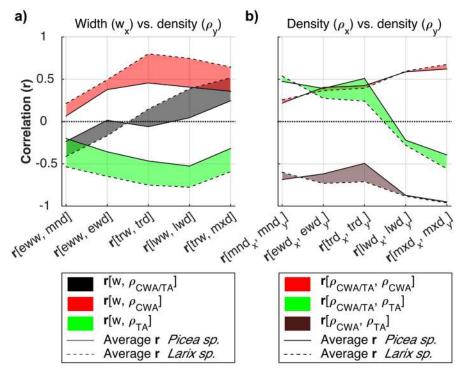
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378 Clusters 1, 2, 4 and 5 describe distinct temperature signals, while cluster 3 groups' sites without

a clear latewood density temperature correlation. Cluster 1 is predominantly located at high

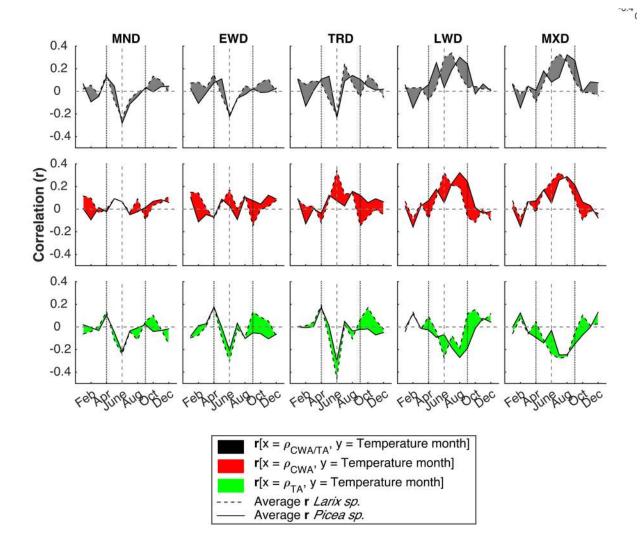
380 latitudes or altitudes in Scandinavia, European Russia and in Canada, primarily composed of

- 381 *Picea sp., Larix sp.* and *Pinus sp.* Its composition is not substantially different from the original
- 382 fractionation of genera in the entire NH-network (Fig. S7a) and therefore classified as
- 383 geographically determined. Cluster 2 is composed of lower latitude sites, located mainly
- throughout the Alps, over-represented by the genera *Picea sp.* and *Abies sp.* Cluster 4 suggests a
- 385 more or less genus-specific separation predominantly constituted by *Picea sp.* scattered across
- the North American continent. The majority of the sites in cluster 5 are found over Siberia,
- 387 predominantly composed of *Larix sp.*
- 388 The temperature correlation for each cluster reveals striking complexities and similarities, both
- among clusters but also among parameters (Fig. 6). The correlation structure is broadly
- 390 characterized by a reliable positive correlation with current year spring (March-May), which is
- 391 strongly dampened for all parameters at most sites (or even negative for the MND parameter) in
- the mid summer (June-July), here termed the "mid-summer decline" (Figs. 5 and 6). Late
- 393 summer is again characterized by positive correlations, particularly when gradually moving from
- the earlywood to the latewood density parameters. Furthermore, the earlywood densities show
- 395 systematic lagged correlations with previous year late summer temperatures. The lagged
- 396 correlations are increasingly dampened moving to ring density and are largely absent in the
- 397 latewood density parameters. These patterns are observed, albeit to varying extent, in all of the
- five clusters (Fig. 6). However, the northernmost sites (Fig. 5), or cluster 5 (Fig. 6), do not
- 399 indicate a "mid-summer decline" in the MXD parameter. Moreover, the southernmost earlywood
- 400 density site-chronologies (Fig. 5) display more prominent positive spring temperature signals
- 401 starting already in February, accompanied by an extended period of negative precipitation
- 402 signals from previous October to current June (Supporting Information, Fig. <u>86</u>).
- 403
- 404 Validation of anatomical dataset
- 405 Moving from the X-ray derived density data to the cell anatomy derived density data, the same
- 406 broad-scale features as described above were observed (Fig. 7a and 8 upper panel).
- 407





409 Figure 7. a) The average development of correlation coefficients between different bivariate density parameters 410  $(\rho_{CWA/TA})$  and corresponding widths from the cell anatomical dataset in black dashed (*Larix sp., n* = 3) and solid 411 (*Picea sp.*, n = 5) lines. The grey field is the difference between the two genera averages. This depicts the 412 phenomenon termed "EW-LW correlation-sign change" which refers to the fact that earlywood width is 413 negatively correlated to earlywood density, and latewood width and ring width are positively correlated to 414 latewood density. In red dashed and solid lines, same as in black but pcwarta is replaced by univariate density 415  $(\rho_{CWA})$  where only CWA contribute to inter-annual density variability. In green dashed and solid lines,  $\rho_{CWA}$  is 416 replaced for pTA where only TA contribute to inter-annual density variability. b) Red and green, same as in a) 417 but here the widths are replaced for pcwarta. In brown, pcwa and pta are correlated against each other. 418





420 Figure 8. Temperature correlations of different bivariate density parameters (pcwa/TA) in black, the upper 421 panel. The grey field is the difference between dashed (Larix sp. average) and solid (Picea sp. average) lines. In 422 red, the mid panel, same as in black but  $\rho_{CWA/TA}$  is replaced by univariate density ( $\rho_{CWA}$ ) where only CWA 423 contributes to inter-annual density variability. In green dashed and solid lines, p<sub>CWA</sub> is replaced for p<sub>TA</sub> where 424 only TA contributes to inter-annual density variability. Correlations were computed between monthly 425 temperatures from January through December and the different density parameters minimum density (MND), 426 earlywood density (EWD), tree-ring density (TRD), latewood density (LWD) and maximum latewood density 427 (MXD). Climate data were obtained from the CRU TS3.22 dataset (Harris et al., 2013), from grid points 428 overlaying the chronology locations and correlations were computed for the period of maximum overlap 429 between each site chronology and the observational climate record.

- 430
- 431

To summarize, (1) the "EW-LW correlation-sign change" (Fig. 7a) is a prominent feature in the

433 anatomical data, (2) the "bimodal biogeographic correlation" is also represented by the stronger

434 "EW-LW correlation-sign change" in *Larix sp.* (Fig. 7a), (3) the temperature correlation is very

435 similar to the NH-network results, even featuring a pronounced latewood density "mid-summer

436 decline" for *Picea sp.* but not for *Larix sp.* (Fig. 8 upper panel). The only discrepancy between

437 the cell anatomical dataset and tree-ring dataset is the lack of a previous year lagged temperature

438 correlation for the earlywood anatomical densities (results not shown).

439

### 440 Sensitivity analyses of the tracheid-anatomy's influence on wood density

441 We find ring width measurements are consistently negatively correlated with the univariate

442 tracheid area density ( $r[width, \rho_{TA}] < 0$ ). Furthermore, we find positive coefficients for  $r[width, \rho_{TA}] < 0$ ).

443  $\rho_{CWA}$  (Fig. 7a). Thus both univariate versions of density lack the "EW-LW correlation-sign

444 change". To attain an "EW-LW correlation-sign change" in the observed direction (Fig. 4) we

445 must then infer that tracheid area dominates the variability in earlywood densities, whereas cell446 wall area is the primary control on the latewood density.

447 The bivariate density is positively correlated with the univariate cell wall area density ( $r[\rho_{CWA/TA}]$ , 448  $\rho_{CWA}] > 0$ ) throughout the entire tree-ring and increasing in strength moving from earlywood to

latewood. In contrast,  $r[\rho_{CWA/TA}, \rho_{TA}]$  is positive only in the earlywood becoming negative in the

450 latewood (Fig. 7b) and absolute values of correlation coefficients are rather unchanged, being

451 higher than  $r[\rho_{CWA/TA}, \rho_{CWA}]$  only in the earlywood. This again suggests that the earlywood is

452 dominated by fluctuations in tracheid area, however not entirely excluding the role of cell wall

453 area. The situation is more complex in the latewood. The fact that the  $r[\rho_{CWA/TA}, \rho_{TA}]$  was

454 negative in the latewood means that we counter-intuitively observe a bivariate density increase

when the cell size is increased. The finding infers an even greater increase in cell wall area thatcompensates fluctuations in tracheid area. This is supported by the nearly constant lumen size in

the latewood (Fig. S8). Fig. 3c conceptualizes these inter-annual anatomical differences between
earlywood and latewood density.

459 The temperature correlation of the univariate tracheid area density ( $r[temperature, \rho_{TA}]$ ) is

460 almost identical with the  $r[temperature, \rho_{CWA/TA}]$  in the earlywood parameters (cf. the negative

461 June correlation, Fig. 8, not observed for the  $r[temperature, \rho_{CWA}]$ ). In contrast, in the latewood,

462  $r[temperature, \rho_{CWA}]$  is almost identical with the  $r[temperature, \rho_{CWA/TA}]$ . However, the 463  $r[temperature, \rho_{TA}]$  is an almost perfect mirror image of the  $r[temperature, \rho_{CWA/TA}]$ .

464

465 Overall, these results indicate that earlywood density is rather controlled by fluctuations in
466 tracheid size, whereas the fluctuations in latewood density are more difficult to disentangle due
467 to the high degree of collinearity between tracheid area and cell wall area (Fig. 7b).

468

### 469 **Discussion**

470 In this study we jointly assessed quantitative wood anatomy and tree-ring data, to disentangle the 471 contribution of different anatomical features (cell size and cell-wall dimensions) to inter-annual 472 earlywood and latewood density. In the NH-network, that provide the broad overview in this 473 study, we found contrasting associations among tree-ring parameters moving from earlywood to 474 latewood and intricate correlations with climate (Figs. 4-6). Moving to the detail of the anatomy 475 (the microstructure of tree-ring data), we confirmed that the large-scale patterns were compatible 476 with the results found for the density and width derived from the anatomical datasets (Figs. 7-8). 477 This enabled us to study the association between tracheid-anatomy information and tree-ring 478 data. It is important to note that the confirmed patterns in the anatomical data could have been 479 easily missed or dismissed without the support of the NH-network, high-lighting the benefit of 480 our broader approach.

481

482 In the anatomical dataset we found support for the first hypothesis, that earlywood density in conifers is mainly governed by fluctuations in tracheid size. However, we could not confirm or 483 484 reject the second hypothesis, that the latewood density is mainly governed by fluctuations in the 485 incorporated cell wall material. Our results do therefore not fully support that cell wall material, 486 and not cell size, dominates the inter-annual variability in latewood densities (e.g. Vaganov et 487 al., 2006; Wang et al., 2002). We rather found that such a distinction is unnecessary because 488 larger cells are also associated with proportionally more wall material: an almost perfect co-489 variation. In the following we will discuss the implications of this shift in relative importance of 490 cell anatomical features for wood density, and use it to 1) decipher the inconsistent associations 491 among tree-ring parameters as well as the complex temperature responses of the density

492 parameters, and 2) how this shift can be exploited for interpretations of inter-annual variability in493 anatomical properties and associated xylem functionality.

494

#### 495 Tracheid anatomy explains tree-ring data relationships

496 We have shown that tracheid area is the main anatomical feature determining earlywood density. 497 Tracheid area has a negative influence on density (Fig. 3c), but is positively correlated with the 498 number of cells per ring (Fig. S9), which is the main determinant of ring width (Vaganov et al., 499 2006). Therefore, when correlating earlywood density and ring width it is very likely to attain a 500 negative relationship, as observed in this study (Fig. 4). The relevance of tracheid area in the 501 earlywood density is reduced in the latewood, but also, the size of the tracheid area has here a 502 positive association with latewood density (cf. conceptual model of earlywood and latewood 503 density in Fig. 3). Since the contributions from both cell wall area and tracheid area to latewood 504 density appear to be positive, and both cell wall area and tracheid area are positively correlated with number of tracheids (Fig. S9), it is very likely to attain positive correlations between width 505 506 and density, as observed in this study, (Fig. 4). Thus, the "EW-LW correlation-sign change", is 507 likely driven by the fact that the earlywood and latewood components maintain this fundamental 508 shift in relative importance of cell anatomical features.

509

# 510 Inferring structure and functionality using tree-ring data

511 Further capitalizing on the joint use of anatomical data and tree-ring data, our results are also

512 relevant because they provide evidences for a causal link between a widely used ecological and

environmental proxy (density) (Chave et al., 2009; Wilson et al., 2016; Stoffel et al., 2015) with

514 its fundamental structural-functional origin (anatomy).

515 The consistency of our discoveries (>90% of sites have significant negative correlations between

516 ring width and earlywood density ( $\alpha < 0.05$ )) implies an almost universal mode of coupled

517 environmental response of earlywood width and earlywood density. The hydraulic efficiency in

518 conifers is linearly increased with the number of conduits or cells (~earlywood width), and it is

519 exponentially increased with the lumen area of the cells (Sperry et al., 1994). Because earlywood

520 density is mainly controlled by changes in tracheid sizes (tracheid area and lumen area are

- 521 interchangeable in the earlywood; i.e. almost perfectly correlated (Fig. S9)), and changes in
- 522 tracheid size have exponential impact on conductivity, density and width should be interesting

proxies for inter-annual variations in conductivity because of the currently superior replication
and geographical coverage of tree-ring data. This prospect has previously also been suggested by
Dalla-Salda et al., (2011), which conducted their study on 27 juvenile plantation Pseudotsuga
menziezii trees.

527 Furthermore, there is also a near universal mode of coupled environmental response of latewood 528 width and latewood density (>80% of sites show significant positive correlations between ring

529 width and latewood density ( $\alpha < 0.1$ )). The mechanical function of the xylem is promoted by

530 increased deposition of wall material (Chave et al., 2009) and increased number of latewood

cells. By maintaining the shift in relative importance of tracheid features moving from the

earlywood to the latewood, the same environmental conditions can consecutively promote the

533 conductivity of the earlywood *and* the mechanical function of the latewood. The density in the

534 latewood may thus compensate any impairment in mechanical function caused by the earlywood,

535 complying with universal plant functional trade-offs (Baas et al., 2004).

Environmental responses in functionality of either earlywood or latewood anatomy, have to our
knowledge, only been established at local scale (e.g. Bryukhanova and Fonti, 2013; Castagneri et
al., 2015; Pritzkow et al., 2013). In this study we indirectly demonstrate, for the first time, that
conifers may increase their hydraulic efficiency in the earlywood, as well as their mechanical
strength in the latewood, as a response to the same environmental conditions. Interestingly, these
modes of responses are largely independent of phylogeny or prevailing climate regimes in the
Northern Hemisphere.

543

544 Anatomical basis and sequential formation explain differences in temperature

545 responses

546 Tracheid size, the major determinant of earlywood density, is a function of turgor pressure and 547 hormonal control through cell wall relaxation occurring during the phase of cell enlargement 548 (Cosgrove, 1985). For trees in cold-limited environments, conductivity, expressed by lumen area, 549 is increased with temperature (Petit et al., 2010). The apparent paradox that temperature could 550 drive the tracheid enlargement can perhaps be explained by that water availability generally is 551 not a limiting factor in cold environments. This is indirectly observed in this study, where 552 responses to precipitation are modest and responses to temperature are pronounced (Figs. 5 and 553 S6). Because the temperature sensitive cell size has a negative influence on earlywood density,

the major response is negative in mid-summer, coinciding with the period when most of the cells are formed and matured (e.g. Seo et al., 2008; Cuny et al., 2014). Since the tracheid area does not exclusively determine earlywood density, there is likely also some influence by the cell wall area. The positive temperature response preceding the negative temperature response, also found as a lagged correlation in the previous year, is presumably best explained as a wall deposition signal.

560 In the latewood, where both tracheid area and cell wall area are positively influence density, we 561 do not observe, and should not expect, negative responses to temperature. Studying the positive 562 temperature correlations for the density parameters (Figs. 5 and 6), it is clear that their timing 563 does not fully match with the timing of ring/cell formation (e.g. Seo et al., 2008; Cuny et al., 564 2014). Earlywood densities show consistently positive responses to the previous year. Latewood densities have pronounced responses to early spring. The cell wall contribution to wood density, 565 566 regardless if in early- or latewood, must therefore be realized by capitalizing on resources 567 collected during an extended period, spanning also prior to the time of formation. This is 568 supported by Kagawa et al. (2006) that report that earlywood formation strongly rely on 569 photosynthates stored from the previous year in Larix gmelinii, and by Kuptz et al., (2011) that 570 concludes that also older pools of photosynthates are used for secondary stem growth in Picea 571 *abies.* Vaganov et al., (2009) also find carry-over effect in  $\delta^{13}$ C of the latewood the previous year 572 to earlywood the current year in *Picea abies* and *Pinus sp*. Even though the resource-use from an 573 extended period is compatible with latewood results from the anatomical dataset, the previous 574 year lagged response in the earlywood was not detectable (Fig. 8). This absence of lagged 575 correlation in the anatomical data does however not rule out a lagged use of resources from 576 previous year to build earlywood tissue, but it dilutes the support.

In conclusion, the differentiated relative importance of anatomical features causes major
differences between the temperature responses of earlywood and latewood density. A second
inconclusive difference is that the earlywood density could also depend on previous year's
reserves.

581

582 Similarities in wood density temperature responses are still elusive

583 In addition to distinct differences, we found conspicuous similarities in temperature signals of

584 earlywood and latewood densities. These are represented by synchronous positive early season

585 responses followed by the "mid-summer decline" in the latewood, which is negative for the 586 earlywood (Fig. 6). In the earlywood we conclude that this temporal evolution of correlation 587 coefficients is created by the opposing influence from the positive cell wall signal and the 588 negative cell size signal. We do not rule out that also in the latewood such a mechanism is 589 present. However, this feature was not directly supported by our analyses, because  $\rho_{CWA}$  also 590 display a "mid-summer decline" (Fig. 8). Recurring mid-summer temperature-induced drought, 591 during which high temperatures become a stress factor is also questionable, because density is 592 slightly negatively affected by increased precipitation just after mid-summer (Fig. S6), and thus 593 difficult to reconcile with mid-summer temperature stress. The role of resource allocation is also 594 inconclusive. A depletion of mid-summer assimilates by concurrent cell division and cell 595 enlargement, may leave only resources accumulated in early and late summer for latewood 596 formation. This could explain reduced correlations with mid-summer temperatures. Cuny et al., 597 (2015), found that the cell division and enlargement processes require astonishingly small amounts of carbon, compared to the wall deposition process, and a depletion when assimilation 598 599 is at its peak (Bourdeau, 1959) is therefore unlikely.

600

#### 601 Concluding remarks

602 We found a transition in relative importance - from cell size towards cell wall dimensions - that 603 drive distinct year-to-year variability of earlywood and latewood density in Northern 604 Hemisphere conifers, respectively. This transition explains the relationships between radial stem-605 growth and wood density; earlywood densities are negatively correlated with ring widths while 606 latewood densities are positively correlated with ring widths. It also explains the complex 607 temperature responses of wood density (notably varying intra-seasonally in strength or even 608 sign). These findings substantially improve our understanding of inter-annual variations of 609 conifer growth and are vital for the interpretation of existing and future temperature 610 reconstructions relying on the MXD parameter (e.g. Briffa et al., 2002; Wilson et al., 2016). 611

612 Furthermore, because we could so easily integrate the anatomical data patterns with the

613 phylogenetically and geographically diverse tree-ring data patterns, and identifying a mechanism

614 explaining why we observe these patterns, we conversely infer that the anatomy of earlywood

615 and latewood tissues vary according to some simple universal rules. a) The year-to-year

- 616 variability of earlywood tissue is dominated by variation in cell size, which support hydraulic-
- 617 functional responses to climate. b) In contrast, the year-to-year variability of latewood tissue is
- 618 more dependent on cell wall variations, which permit mechanic-functional responses to climate.
- By combining the detailed anatomy data with the large-scale tree-ring data, we illustrate how
- 620 Northern Hemisphere conifers organize investments in earlywood and latewood tissue, to
- 621 continuously guarantee an adequately balanced and sustainable functioning of the xylem.
- 622

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- 634
- 635 Author Contribution
- 536 JB, KS, PF, GvA and DCF planned and designed the research; JB and KS performed the
- research; FS, PF, GvA, MB, MC and DC provided data; JB and KS wrote most of the manuscript
- 638 but with substantial contributions from FS, PS, GvA, MVB, HEC, MC, DC and DCF.
- 639

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843	The following Supporting Information is available for this article:
844	Tab. S1 Top 10 most common species in the Northern Hemisphere densitometric network.
845	Fig. S1 X-ray images for ten of the most abundant species in the network
846	Fig. S2 Map of lengths of chronologies in the NH Network.
847	Fig. S3 Rbar statistic for each chronology and parameter in the NH-network
848	Fig. S4 Examples how to calculate bivariate univariate density parameters
849	Fig. S5 Correlation coefficients between different pairs of ring widths, and densities
850	Fig. S6 Hovmöller diagrams over precipitation correlations of NH-network data
851	Fig. S7 Complete results of the cluster identification of the NH-network data.
852	Fig. S8 Standard deviations and averages of different anatomical parameters,
853	Fig. S9 Correlation coefficients between different anatomical parameters
854	Notes S1 NH-network quality screening
855	Notes S2 NH-network overview
856	Notes S3 Rbar, the strength of the common signal in the NH-network
857	Notes S4 Cell anatomical data details
858	Notes S5 List of abbreviations
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