

# Cell throughput analysis of the Proportional Fair scheduler in the single cell environment

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# Outline

- 1 Introduction
- 2 System model
- 3 Analysis
  - Linear model
  - Logarithmic model
- 4 Extension to MIMO
- 5 Conclusions and Future works

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# Schedulers

- Round Robin: sequentially allocates resource to users. Loss in multiuser diversity.
- Min-max: maximize the minimum rate.
- **Proportional Fair** [1, 2]: allocates reasonable portion of the resource to all users while giving preference to the users with good channel condition.

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## System model

- A downlink multiuser system where the BS serves  $N$  users
- The received signal at user  $k$  is  $P_k = |h_k|^2 P_t$ .

$$h_k = \sqrt{cd_k^{-\alpha} s_k m_k}, \quad (1)$$

where  $c$  is constant,  $d_k$  is distant BS-user  $k$ , random variable  $s_k$  is for shadowing effect (log-normal with variance  $\sigma_s^2$ dB),  $m_k$  represents Rayleigh fading.

- The average received SNR of user  $k$

$$\bar{Z}_k = \rho(D/d_k)^\alpha s_k, \quad (2)$$

where  $D$  is the radius of the cell,  $\rho = cD^{-\alpha} P_t / P_n$  the average SNR at the cell edge.

## Proportional Fair scheduler

- PF select user  $k^*$

$$k^* = \arg \max_k \frac{R_k[n]}{\tilde{R}_k[n]}, \quad (3)$$

where  $R_k[n]$  the instantaneous rate,  $\tilde{R}_k[n]$  is the average throughput of user  $k$

$$\tilde{R}_k[n+1] = \begin{cases} (1 - \frac{1}{t_c})\tilde{R}_k[n] + \frac{1}{t_c}R_k[n] & k = k^* \\ (1 - \frac{1}{t_c})\tilde{R}_k[n] & k \neq k^* \end{cases} \quad (4)$$

, where  $t_c$  is the time constant for the moving average.

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# Assumptions

- Users are distributed uniformly throughout the entire cell area.
- Every session is always active in the downlink direction.
- The distribution of channel gain of user  $k$  does not depend on time slot  $n$  and is constant for the slot duration.
- In this model, the **ratio of the SNR to the average SNR** is used.
- The feasible rate is a strictly monotonic increasing function of the SNR.
- Average throughput and average SNR are obtained by the time average.

## Cell throughput of the PF scheduler

- Suppose the average rate of user  $k$ ,  $\tilde{R}_k[n]$ , gets stable and stationary as time goes by

$$T_k = \lim_{n \rightarrow \infty} \tilde{R}_k[n] = \lim_{n \rightarrow \infty} E\{R_k[n]I_k\}, \quad (5)$$

with  $I_k$  is the indicator which equal 1 when the user is allocated.

- The preference metric is

$$\Gamma_k = \lim_{n \rightarrow \infty} \frac{Z_k[n]}{\tilde{Z}_k[n]} = \frac{Z_k}{\tilde{Z}_k}, \quad (6)$$

where  $Z_k$ ,  $\tilde{Z}_k$  are the instantaneous and the average SNR.

# Cell throughput of the PF scheduler

- The longterm average throughput of user  $k$  is

$$\begin{aligned}
 T_k &= Pr\{\Gamma_k > \Gamma_{k-}\} E\{R_k | \Gamma_k > \Gamma_{k-}\} \\
 &= \int_{\xi(0)}^{\xi(\infty)} \xi(t) f_{\Gamma_k}(t) F_{\Gamma_{k-}}(t) dt, \tag{7}
 \end{aligned}$$

where the instantaneous rate  $R_k = \xi(\Gamma_k)$ ,  $f_{\Gamma_k}(t)$  is the distribution of  $\Gamma_k = \frac{Z_k}{Z_k}$ , and  $F_{\Gamma_{k-}}(t)$  is the distribution of the maximum  $\Gamma_j$  with  $j = 1, \dots, K$  and  $j \neq k$ .

# Cell throughput of the PF scheduler

- Under Rayleigh fading, throughput of user  $k$  is

$$T_k = \int_{\xi(0)}^{\xi(\infty)} \xi(t) \frac{1}{\Gamma} \exp\left(-\frac{t}{\Gamma}\right) \left(1 - \exp\left(-\frac{t}{\Gamma}\right)\right)^{N-1} dt, \quad (8)$$

where  $\xi(t)$  is the rate function

# PF - linear model

- The feasible rate is linearly proportional to the SNR  $R_k = \beta W Z_k$ .  
The average throughput

$$\begin{aligned}
 T_k &= \frac{\beta W \bar{Z}_k}{N} \int_0^\infty t e^{-t} (1 - e^{-t})^{N-1} dt \\
 &= \left( \frac{\beta W}{N} M(N) \right) E_s(\bar{Z}_k) \\
 &= \left( \frac{\beta W}{N} M(N) \right) E_s(s_k) \rho \left( \frac{D}{d_k} \right)^\alpha, \tag{9}
 \end{aligned}$$

with  $\bar{Z}_k = \rho (D/d_k)^\alpha s_k$  and  $M(N) = N \sum_{m=0}^{N-1} \binom{N-1}{m} \frac{(-1)^m}{(m+1)^2}$ .

# PF - linear model

- Taking average over the entire cell  $E_A\{\cdot\}$ , the cell throughput is

$$\begin{aligned}
 \hat{T}_{cell} &= NE_A\{E_s\{T_k\}\} \\
 &= \beta WN(M) E_s\{s_k\} \Omega_A^{-1} \int_A \rho \left( \frac{D}{d_k} \right)^\alpha dA \\
 &= W \frac{2\rho\beta}{2-\alpha} \frac{1-\eta^{2-\alpha}}{1-\eta^2} \exp\left(\left(\frac{\ln 10}{10\sqrt{2}}\sigma_s\right)^2\right) M(N), \quad (10)
 \end{aligned}$$

by using  $E_s\{s_k\} = \exp(\left(\frac{\ln 10}{10\sqrt{2}}\sigma_s\right)^2)$ .

## PF - logarithmic model

- The rate to user  $k$  is  $R_k = W \log_2 \left( 1 + \frac{Z_k}{K} \right)$ , where  $K$  is a constant depending on the system design and the target BER. Similarly,

$$\begin{aligned} T_k &= \frac{W}{\ln 2} \int_0^\infty \ln \left( 1 + \frac{\bar{Z}_k}{K} t \right) e^{-t} (1 - e^{-t})^{N-1} dt \\ &= \frac{W}{\ln 2} \sum_{m=0}^{N-1} \binom{N-1}{m} \frac{(-1)^m}{(m+1)} \exp \left( \frac{K}{\bar{Z}_k} (m+1) \right) Ei \left( \frac{K}{\bar{Z}_k} (m+1) \right) \\ &\simeq W \nu_1 \sum_{m=0}^{N-1} \binom{N-1}{m} \frac{(-1)^m}{(m+1)} \ln \left( 1 + \frac{\nu_2}{K(m+1)} \bar{Z}_k \right). \end{aligned} \quad (11)$$

where  $\int_0^\infty \ln(1 + at) e^{-bt} dt = \frac{1}{a} \exp(b/a) Ei(b/a)$ , the parameters  $\nu_1 = 1.4$  and  $\nu_2 = 0.82$ .

# PF - logarithmic model

- Taking expectation over shadowing fading and average over the entire cell area

$$T_{cell} \simeq N\nu_1 \sum_{m=0}^{N-1} \binom{N-1}{m} \frac{(-1)^m}{(m+1)} (B_m + \nu_3), \quad (12)$$

where  $B_m$  is defined as

$$B_m = \frac{2}{D^2} \int_0^D r \ln \left( 1 + b_m \left( \frac{D}{r} \right)^\alpha \right) dr, \quad (13)$$

with  $b_m = (\nu_2 \rho) / (K(m+1))$ . Note  $B_m$  can be exactly calculate for  $\alpha$  integer. When  $\alpha = 4$ ,

$$B_m = \ln(1 + b_m) + 2b_m^{0.5} \arctan b_m^{-0.5}$$



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# MIMO systems

- $n_T$  transmit antennas,  $n_R$  receive antennas,  $n_T = n_R = n_A$ . The signal received by  $RA_j$  is

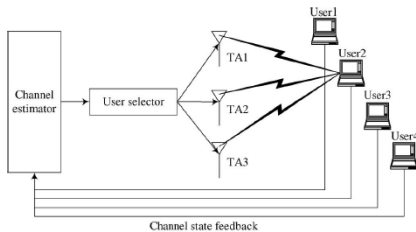
$$y_j = \sum_{i=1}^{n_T} h_{ij} x_i + n_j, \quad (14)$$

where  $n_j$  denotes noise. Then,  $Z_k^{(j)}$  has exponential distribution.

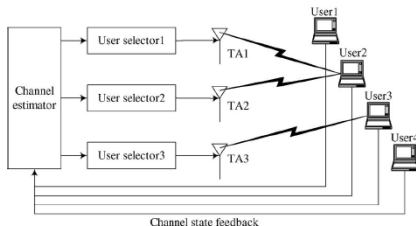
- The cell throughput in logarithmic rate model is given

$$T_{cell} = N n_A \nu_1 \sum_{m=0}^{N-1} \binom{N-1}{m} \frac{(-1)^m}{(m+1)} (B_m + \nu_3). \quad (15)$$

# PF in MIMO systems



(a)



(b)

## Simulations results

- Single cell  $D = 1\text{km}$ .
- Transmit power  $P_t = 10\text{W}$ .
- pathloss exponent  $\alpha = 4$ , shadow fading  $\sigma_s = 8\text{dB}$ .
- The median SNR at the cell edge  $\rho = 0\text{dB}$ . System efficiency factor  $K = 8\text{dB}$ .
- Two user 100, 200m from the BS.

# Time average vs. moving average

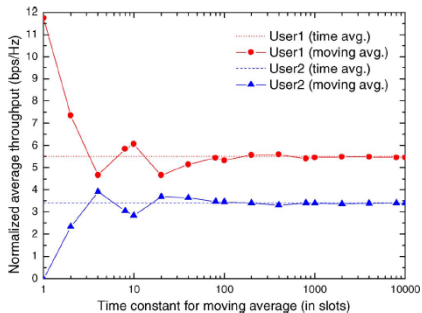
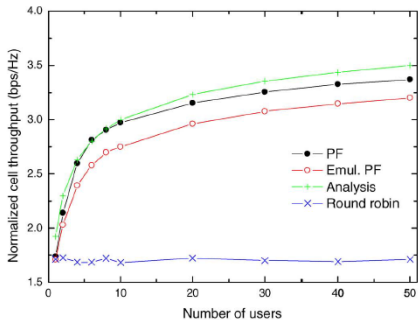


Fig. 5. Comparison of the moving average with the time average.

# Time average vs. moving average



# Time average vs. moving average

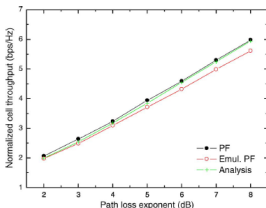


Fig. 8. Effect of the path-loss exponent on the cell throughput:  $\sigma_s = 8$  dB,  $\rho = 0$  dB,  $K = 8$  dB, and  $N = 30$ .

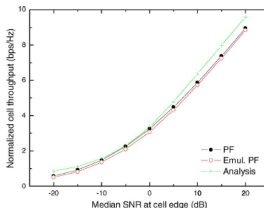


Fig. 10. Effect of the median SNR at the cell edge on the cell throughput:  $\alpha = 4$ ,  $\sigma_s = 8$  dB,  $K = 8$  dB, and  $N = 30$ .

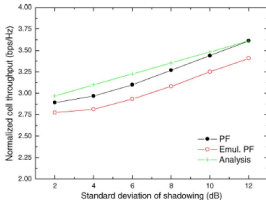


Fig. 9. Effect of the standard deviation of shadowing on the cell throughput:  $\alpha = 4$ ,  $\rho = 0$  dB,  $K = 8$  dB, and  $N = 30$ .

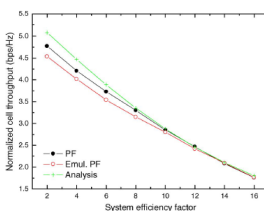


Fig. 11. Effect of the system-efficiency factor on the cell throughput:  $\alpha = 4.0$ ,  $\sigma_s = 8$  dB,  $\rho = 0$  dB, and  $N = 30$ .

# Time average vs. moving average

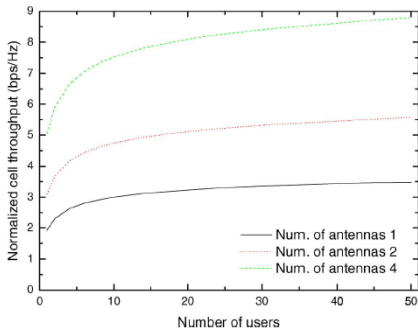


Fig. 14. Normalized cell throughput with multiple antennas:  $\alpha = 4$ ,  $\sigma_w = 8$  dB,  $K = 8$  dB, and  $\rho = 0$  dB.





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# Conclusion

- Question: Is PF the best?
- We look for an alternative/complementary algorithm.
  - Guarantee fairness.
  - Have good performance.
  - Be practical.

## References

-  F. P. Kelly, A. K. Maulloo, and D. K. Tan, “Rate control for communication networks: shadow prices, proportional fairness and stability,” *Journal of the Operational Research society*, vol. 49, no. 3, pp. 237–252, 1998.
-  J.-G. Choi and S. Bahk, “Cell-throughput analysis of the proportional fair scheduler in the single-cell environment,” *Vehicular Technology, IEEE Transactions on*, vol. 56, pp. 766–778, march 2007.

Thank you!

Questions?