

Data-model discord reveals challenges in reconstructing terrestrial warming of the Pliocene

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1 **Uncertainties, bioclimatic ranges and temporal variability in proxy data and climate**
2 **model outputs are often neglected in data-model comparisons designed to test the**
3 **predictive ability of climate models, or differentiate between the performance of**
4 **individual models within an ensemble. Here we use a global data set of confidence-**
5 **assessed, proxy-based temperature estimates and biome reconstructions to assess the**
6 **ability of eight models to simulate warm terrestrial climates of the Pliocene. The Late**
7 **Pliocene, 3.6 to 2.6 million years ago, is an accessible geological interval to understand**
8 **climate processes of a warmer world**¹. Here we show that model-predicted surface air
9 temperatures reveal a substantial cold bias in the Northern Hemisphere. Particular
10 strong data-model mismatches exist in Northern Russia where differences in mean
11 annual temperatures reach 18 °C. Our model sensitivity tests identify insufficient
12 temporal constraints hampering the accurate configuration of boundary conditions as an
13 important factor impacting on data-model discrepancies. We conclude that in order to
14 allow a more robust evaluation of the ability of current climate models to predict warm
15 climates, future Pliocene data-model comparison studies must focus on orbitally defined
16 time slices.

17 Our understanding of causes and consequences of global warming relies heavily on climate
18 model simulations conducted under a variety of greenhouse gas emission scenarios².
19 Comparing model simulations of key warm periods in Earth history with contemporaneous
20 geological proxy data is one approach to evaluate the ability of these models to simulate warm,
21 high CO₂-climates which are unprecedented in the more recent past. Existing data-model
22 comparisons (DMCs) demonstrate that climate models are generally able to reproduce past
23 warm climates of the last 65 million years³⁻⁵. However, a common data-model mismatch in
24 high-latitude temperature estimates suggests that many models seem to underestimate polar
25 amplification⁴⁻⁶. This has led to an ongoing controversy about the accuracy of DMC-studies,
26 which might have been biased by uncertainties in estimating temperatures from geological
27 proxies. Recently published proxy-based temperature reconstructions, suggesting tropical-like

28 climates at southern high latitudes ca. 53 Myr ago ⁷, have intensified the ongoing debate and
29 highlight the need of a systematic assessment in DMC-studies of uncertainty ranges and
30 variability.

31 Here we compare Late Pliocene mean annual surface air temperature (SAT) estimates derived
32 from 45 palaeobotanical sites with simulations of eight fully coupled ocean-atmosphere climate
33 models (Fig. 1). All models have been initialised and run using an established experimental
34 design and protocol, assuming an atmospheric CO₂-concentration of 405 ppmv ⁸. From a data
35 perspective our comparison includes the bioclimatic range, temporal variability, a new
36 qualitative assessment of confidence in temperature estimates, and biome reconstructions. For
37 the climate modelling, we consider inter-model differences in temperature predictions, as well
38 as sensitivity to varying boundary conditions such as orbital parameters, atmospheric CO₂-
39 concentrations and prescribed vegetation cover. The additional use of the BIOME4 classification
40 scheme ^{9,10} allows a direct comparison between palaeobotanical data and model outputs and
41 therefore reduces potential complicating factors produced by different methods applied to
42 derive temperature estimates from the fossil record. We compare global biome predictions from
43 two selected models and with medium (HadCM3) and high (MIROC4m) climate sensitivity ^{11,15}.

44 **Multi-model variability and proxy data uncertainties**

45 The zonal averages from each of the eight models (Fig. 1) demonstrate how the models within
46 the ensemble have influenced the multi-model mean (MMM) zonal average. The MIROC4m and
47 COSMOS models show the strongest SAT response in the ensemble (Fig. 1; $\Delta\text{SAT}_{\text{global}}$ 3.46 –
48 3.60). In terms of the global annual mean SAT anomaly, CCSM4 and MRI show the weakest SAT
49 response to the implementation of Pliocene boundary conditions ($\Delta\text{SAT}_{\text{global}}$: 1.9 and 1.8
50 respectively). These results are generally consistent with the spread of climate sensitivity
51 values for the eight models (Supplementary Table S1) which is highest for MIROC4m (4.05 °C)
52 and COSMOS (4.1 °C) and between 2.7 °C and 3.2 °C for all other models. An energy balance

53 analysis shows that the models with the highest temperatures in the Northern Hemisphere high
54 latitudes, and the highest overall climate sensitivity, exhibit enhanced Arctic feedbacks
55 (Supplementary Fig. S2). Conversely the models (CCSM4 and MRI) with the least polar
56 amplification have suppressed Arctic feedbacks and fail to reproduce a sea-ice free Arctic
57 summer.

58 If available, we included for our data-model comparison for each palaeobotanical site
59 (Supplementary Table S3) the 1) “bioclimatic range”, which is the temperature range under
60 which the reconstructed palaeoflora existed, as derived from modern plant assemblage
61 relationships (Fig. 3d, Supplementary Fig. S1), and 2) “temporal variability”, which is the
62 maximum minus the minimum temperature over the time recorded in the geological proxy (Fig.
63 3e). We have also combined “temporal variability” and “bioclimatic range” (see Supplementary
64 Table S5 and Supplementary Section 6). Following guidance developed by the IPCC ¹² we
65 qualitatively assessed the level of “confidence” for each data site.

66 The Late Pliocene climate shows a generally lower temporal variability than the glacial and
67 interglacials of the Quaternary, although a period of significant global cooling is recorded after
68 ca. 3 Ma. ¹³. We have addressed the possibility that this may have introduced a potential cool
69 bias on our dataset, by using two global biome reconstructions from 205 palaeobotanical sites.
70 The biome datasets reconstruct the global vegetation during colder/drier and warmer/wetter
71 intervals within the Late Pliocene stage (Fig. 2). Biomes which remained relatively stable are the
72 warm temperate forests (e.g. in Europe and Asia) as well as the woodlands and forests of central
73 and southern Africa. Palaeobotanical sites which show fluctuations between cooler/drier and
74 warmer/wetter biome types are typically situated near palaeogeographic transitions of major
75 vegetation zones. This includes the northernmost Arctic records from North America which
76 change from boreal forests to tundra shrubs, many sites in western North America which
77 fluctuate between open conifer woodland and temperate xerophytic shrubland, and most
78 palaeorecords from Japan that indicate changes from warm-temperate to slightly colder

79 temperate deciduous forest biomes (Fig. 2). However, our assessment of biome temporal
80 variability demonstrates that the vegetation changes between 3.6-2.6 million years were in
81 many regions relatively minor compared to those of the Quaternary. The warm vegetation
82 dataset predominantly shows the best fit for the majority of model simulations presented here
83 (Supplementary Table S4).

84 **Comparison of Pliocene surface air temperatures from models and data**

85 The difference between model-simulated Pliocene and pre-industrial SATs shows increased
86 temperatures in both hemispheres. The warming is particularly acute at high latitudes, north of
87 the Arctic Circle and in regions of Antarctica where ice was removed in the climate model set-up
88 (Fig. 3a). Proxy-based Pliocene SAT anomalies display a similar trend for the temperate and
89 polar zones of the Northern Hemisphere (Fig. 3b). The few temperature estimates available for
90 the Southern Hemisphere show no consistent large-scale pattern whilst tropical temperatures
91 remained unchanged or experienced cooling during the Late Pliocene.

92 Point-by-point comparison of SAT anomalies indicate that the models do not sufficiently
93 weaken the SAT gradient from the tropics to the high-latitudes because they underestimate the
94 degree of SAT warming reconstructed by terrestrial proxies in the mid to high-latitudes of the
95 Northern Hemisphere (Fig. 3c). This is particularly seen in Eurasia where temperature
96 differences reach as much as 18°C. These temperature differences are derived from sites some
97 of which have been assessed as 'high' or 'very high' confidence, and there is no evidence to
98 assume a systematic bias in these estimates. The few temperature estimates available for the
99 tropical zone tentatively suggest that the underestimation of SATs in the high latitudes may be
100 accompanied by an overestimation in the low latitudes by 1- 6 °C (Fig. 3c). Recently identified
101 sea surface temperature discrepancies between model predictions and proxy-data estimates in
102 the North Atlantic¹⁴ may be related to a cool bias in model predictions of European SATs
103 identified in this study.

104 **The impact of boundary conditions on the model fit to data**

105 To further examine the potential causes of the identified data-model mismatches we explored
106 the model sensitivity to imposed geological boundary and initial conditions by performing five
107 additional simulations using HadCM3 and MIROC4m (Supplementary Table S2). Overall, the
108 implementation of more recently developed boundary conditions ^{15, 16} (PRISM3 in Table 1,
109 Supplementary Fig. S3) acts to reduce zonally averaged SATs in the Northern Hemisphere in
110 comparison with the previously used data set (PRISM2 ¹⁷ in Table 1). This is likely to be a
111 consequence of increased mountain height¹⁹. A DMC of Δ SATs for three selected palaeobotanical
112 sites reveal that the implementation of PRISM3 boundary conditions results in a decrease of
113 Δ SATs at the polar and temperate sites by ca. 3°C and 1°C, respectively, whilst at the tropical site
114 Δ SATs increase slightly. In contrast to mean annual SATs, which indicate a greater data-model
115 mismatch after the implementation of PRISM3 boundary conditions, the comparison of polar
116 biomes using Kappa statistics indicate an improvement of model to data fit caused by a further
117 northward extension of the boreal forests (Table 1). Biomes are integrators of climate change
118 and their distribution is controlled by a range of additional climate parameters such as the
119 length of growing season and annual rainfall. Whilst a smaller Greenland ice sheet in the new
120 PRISM3 data set has only regional implications ^{18, 19}, the introduction of a new vegetation cover
121 and update of its physical parameters increased summer temperatures in the Northern
122 Hemisphere resulting in a northward shift of boreal forests.

123 We also examined the sensitivity of HadCM3 and MIROC4m predictions to imposed orbital
124 configuration and varying atmospheric CO₂-concentrations²⁰. Our experiments suggest that
125 orbital parameters which deliver the maximum degree of warmth at 65° N in summer improve
126 the data-model fit for SATs at the high latitudes of the Northern Hemisphere (Table 1), where
127 many of the mismatches occur for sites characterised as 'high' confidence. A further
128 improvement can be achieved by increasing CO₂ concentration in the atmosphere to 450 ppmv,
129 the maximum level suggested by proxy-CO₂ data ⁸. However, these changes in boundary

130 condition increase SATs at high as well as low latitudes resulting in a generally weaker data to
131 model fit in the tropics in all models. The comparison of biome distribution shows that the best
132 data-model fit is achieved under PRISM3 standard Pliocene boundary conditions in the HadCM3
133 and MIROC4m sensitivity experiments.

134 **Conclusion and Implication**

135 Our DMC identifies a cold bias in models in the Northern Hemisphere (particularly north of 30
136 °N) demonstrating that, given the boundary conditions we have applied, none of the models
137 used in this study reproduce the magnitude of northern hemisphere high-latitude warming
138 exhibited in this proxy-dataset (Fig. 3c). A tentative data model mismatch may also be evident in
139 the tropical zone where modelled Pliocene SATs appear to be too high, however this is limited
140 by data availability.

141 Before drawing any conclusions with regard to the ability of climate models to reproduce the
142 Pliocene, the potential causes of mismatches between palaeo-data and –models must be fully
143 understood. Our DMC has identified regions (i.e. northern Russia, North Alaska and northeast
144 Australia) where our data model mismatch is apparent when considering bioclimatic range (Fig.
145 3d), temporal variability (Fig. 3e) and even a combination of both factors (Fig. 3f). We have
146 qualitatively assessed these sites as ‘medium’ to ‘very high confidence’. The underlying reasons
147 for these large DMC mismatches are still unknown.

148 Our DMC results also demonstrate that at many localities the spread in model-predicted SAT
149 anomalies, from the model ensemble, is sufficiently large to cause an overlap with the available
150 range of proxy-derived SAT anomalies (highlighted by the purple squares in Fig. 3g). At these
151 localities it is possible to use the proxy data to differentiate between the performance of
152 individual models. However, at this time such a differentiation would be difficult to complete
153 accurately given the only partially quantified factors of bioclimatic range and temporal
154 variability. For example, Figure 3e shows that out of the 14 data localities for which estimates of

155 temporal variability are available, the MMM falls within the proxy-derived temperature range
156 43% of the time. The importance of temporal variability is also highlighted by comparison of
157 biome simulations. Kappa scores (Table 1) for biome reconstructions indicate improved data to
158 model fit with different orbital configurations is possible in a specific latitudinal band (e.g.
159 polar), but often at the cost of a degraded model/data fit in other bands (i.e. the tropics and/or
160 temperate). This is in line with the premise that the proxy data is not consistent with a single
161 orbital configuration, rather the proxy records are representing multiple intervals of time
162 through the mid-Pliocene, which were characterised by different orbital forcing and potentially
163 atmospheric CO₂-concentration as well.

164 Our analysis shows that a tightly constrained time slice proxy reconstruction is necessary in
165 order to reduce the importance of temporal variability in determining any assessment of the
166 relative performance of models within an ensemble. A selection of a time slice ²¹ has the added
167 advantage of making it possible to provide climate models with values for orbital forcing that
168 are known to be consistent with a given proxy data reconstruction.

169 **Material and Methods**

170 All climate modelling groups followed the Pliocene Model Intercomparison Project (PlioMIP)
171 Experiment 2 design ¹⁵, using terrestrial boundary conditions from the “Pliocene Research,
172 Interpretation and Synoptic Mapping” project PRISM ¹⁶. Model specific details on the
173 implementation of the mid-Pliocene boundary conditions, model spin-up and data-model
174 comparison methods can be found in the Supplementary Information. We also incorporate
175 additional Pliocene HadCM3 and MIROC4m sensitivity simulations that explore the effect of
176 prescribing different boundary conditions, such as the effect of adjusting orbital parameters
177 and/or the concentration of atmospheric carbon dioxide (CO₂), which provides either maximum
178 hemispheric forcing and/or maximum potential CO₂-forcing.

179 Two independent methods for data-model comparison have been employed. In the first

180 approach 45 quantitative temperature estimates from palaeobotanical proxies were used and
181 compared to model predictions (Supplementary Table S3). Temperature estimates have been
182 taken from literature or derived using the Coexistence Approach ²² and Palaeoflora Database ²³.
183 If available, we took the author's interpretation from the original research paper to define
184 climatic ranges for each temperature estimate. The confidence of each data set has been
185 qualitatively assessed in regard to fossil preservation, temperature estimate methods, age
186 control and resolution. Our model data comparison is necessarily qualitative because of the
187 qualitative nature of the confidence assessments. In the second approach (forward modelling)
188 biome reconstructions for the Late Pliocene from 205 palaeobotanical sites (Fig. 2) were
189 compared with outputs of a mechanistically-based biome model (BIOME4; see Supplementary
190 Information) forced by the HadCM3 and MIROC4m sensitivity simulations. BIOME4 model
191 outputs and data have been quantitatively compared using kappa statistics ²⁴. Further details of
192 the methodology of data synthesis and biome reconstruction are outlined in the Supplementary
193 Information.

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214 **Authors Contributions**

215 U.S. synthesised the palaeobotanical proxy data and designed and completed the confidence
216 assessments. U.S., M.J.P., J.V. and H.J.D. carried out the data-model comparisons. A.M.D. and
217 A.M.H. carried out the comparisons of model performance and the BIOME4 simulations. W.-L. C.
218 performed the additional sensitivity experiments using MIROC. D.J.H carried out the energy
219 balance analysis. All other authors performed general circulation model simulations which
220 contributed to the PlioMIP Project and discussed the results and commented on the manuscript.

221 **Additional information**

222 The authors declare no competing financial interests. Supplementary information accompanies
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288 palaeoclimate simulations. *Glob. Change Biol.* **9**, 983–1004 (2003).

289

290 **Figure Legends**

291 **Figure 1: Zonally averaged mean annual surface air temperatures (SAT) in °C.** Plotted
292 zonally averaged SATs from modern observations, the multi-model mean prediction for
293 the pre-industrial era and the Pliocene multi-model mean. Individual Pliocene zonal
294 means are shown for all eight models.

295 **Figure 2: Location of 208 palaeobotanical sites used for data-model comparisons.**

296 Rectangles indicate sites with sufficient resolution and dating control to reconstruct biomes for
297 cold/dry (upper square) and warm/wet (lower square) climate periods or cycles. Circle colour
298 indicates reconstructed biomes for other sites. Red square highlights 45 palaeo sites with
299 temperature estimates used in this study (see Supplementary Table S3 and S4).

300 **Figure 3: Data-model comparison of global temperature estimates.** a) multi-model mean
301 (MMM) SAT anomaly (°C) (Pliocene minus pre-industrial). 3b) proxy-based Pliocene

302 SAT anomalies (Pliocene absolute SATs minus observed SATs from Legates and
 303 Wilmott²⁶). 3c) difference between MMM SAT anomaly and proxy-based Pliocene SAT
 304 anomalies. 3d) the degree of remaining data-model mismatch, when the known
 305 bioclimatic range, 3e) temporal variability and 3f) the climatic range are taken into
 306 account. Green circles (3d-3f) indicate where the MMM sits within the range of data. 3g)
 307 Purple squares show where at least one model in the ensemble fits within the available
 308 range of data at each site (see Supplementary Information).

309 Tables

310 Table 1: **Data-model comparison for BIOME4 HadCM3 (PRISM2 and PRISM3) and MIROC**
 311 **(PRISM3)**. Table shows DMC results for annual SATs using regression analysis (R^2), differences
 312 in modelled and proxy-based SATs (model minus data) for three selected sites and difference
 313 between model and proxy-based mega-biome reconstructions ²⁷ using a warm biased dataset.
 314 Kappa values ²⁶ (κ) for global, polar, temperate tropical zones are ranked using a subjective
 315 assessment scale, whereby “0” means that the agreement is no better than would be expected
 316 by chance and “1” stands for a perfect match.

Model	R^2 (SAT)	$\Delta^\circ\text{C SAT Model - Data}$			Kappa Values			
		55.7°N L. Baikal	33.1°N C. Kyushu	17.4 °S Butcher Cr.	Global	Polar > 60° N	Temperate 60°-23.5°	Tropic <23.5° N/S
Pliocene HadCM3 PRISM2	0.85	-8.73	2.76	4.98	0.31	0.01	0.29	0.03
NH Max Orbit	0.87	-7.97	2.21	5.23	0.28	0.30	0.17	0.19
SH Max Orbit	0.85	-8.90	3.10	4.93	0.30	0.00	0.26	0.11
NH Max Orbit CO ₂ 450 ppm	0.87	-7.33	2.67	5.47	0.31	0.42	0.21	0.15
SH Max Orbit CO ₂ 450 ppm	0.85	-8.08	3.20	5.11	0.33	0.03	0.26	0.16
Pliocene HadCM3 PRISM3	0.83	-11.81	1.81	5.32	0.30	0.41	0.23	0.00
NH Max Orbit	0.84	-10.28	0.53	6.05	0.25	0.24	0.17	0.14
SH Max Orbit	0.83	-10.62	3.20	5.62	0.30	0.23	0.25	0.05
NH Max Orbit CO ₂ 450 ppm	0.84	-9.74	1.18	6.41	0.22	0.20	0.14	0.09
SH Max Orbit CO ₂ 450 ppm	0.83	-9.99	3.55	5.97	0.30	0.35	0.24	0.03
Pliocene MIROC PRISM3	0.83	-10.52	1.91	6.45	0.30	0.36	0.21	0.06
NH Max Orbit	0.84	-9.22	1.62	6.73	0.26	0.17	0.21	0.12
SH Max Orbit	0.83	-10.87	2.31	6.35	0.29	0.15	0.21	0.06
NH Max Orbit CO ₂ 450 ppm	0.84	-8.59	2.08	7.09	0.25	0.12	0.22	0.11
SH Max Orbit CO ₂ 450 ppm	0.83	-9.69	2.82	7.21	0.29	0.30	0.20	0.06

317

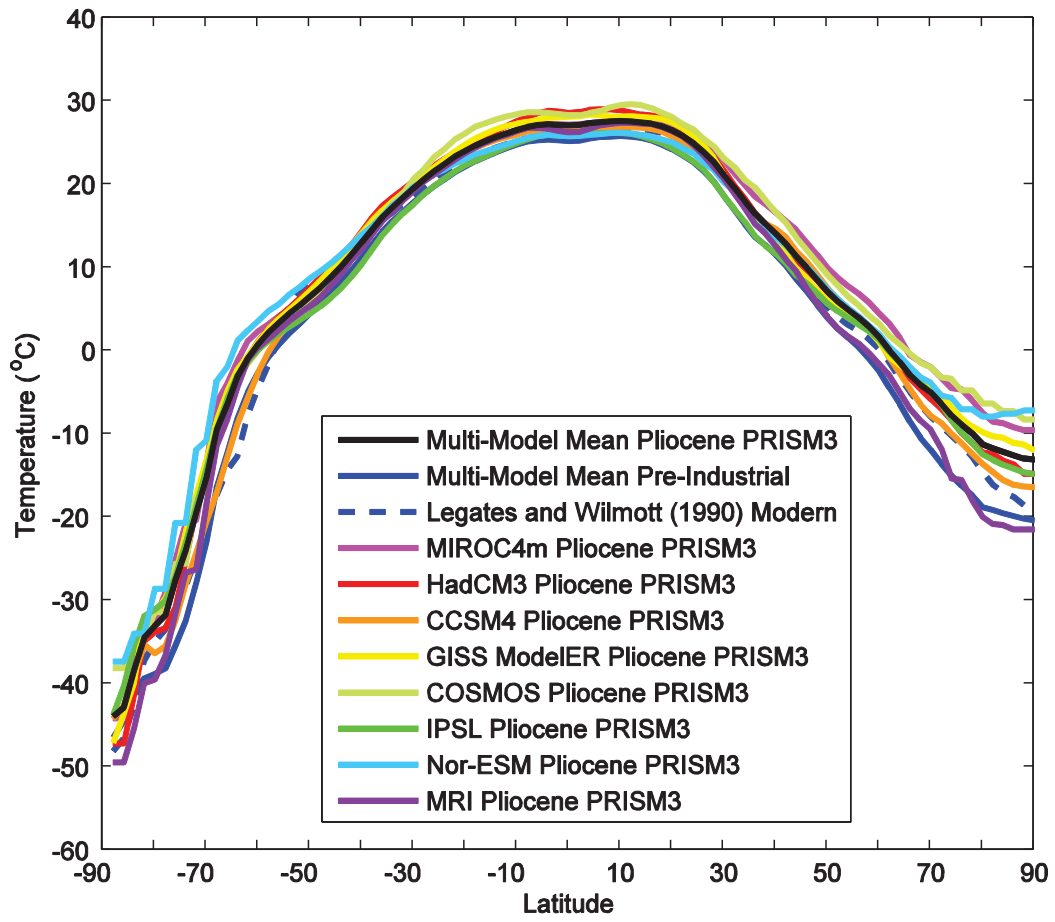


Figure 1: Zonally averaged mean annual surface air temperatures (SAT) in °C

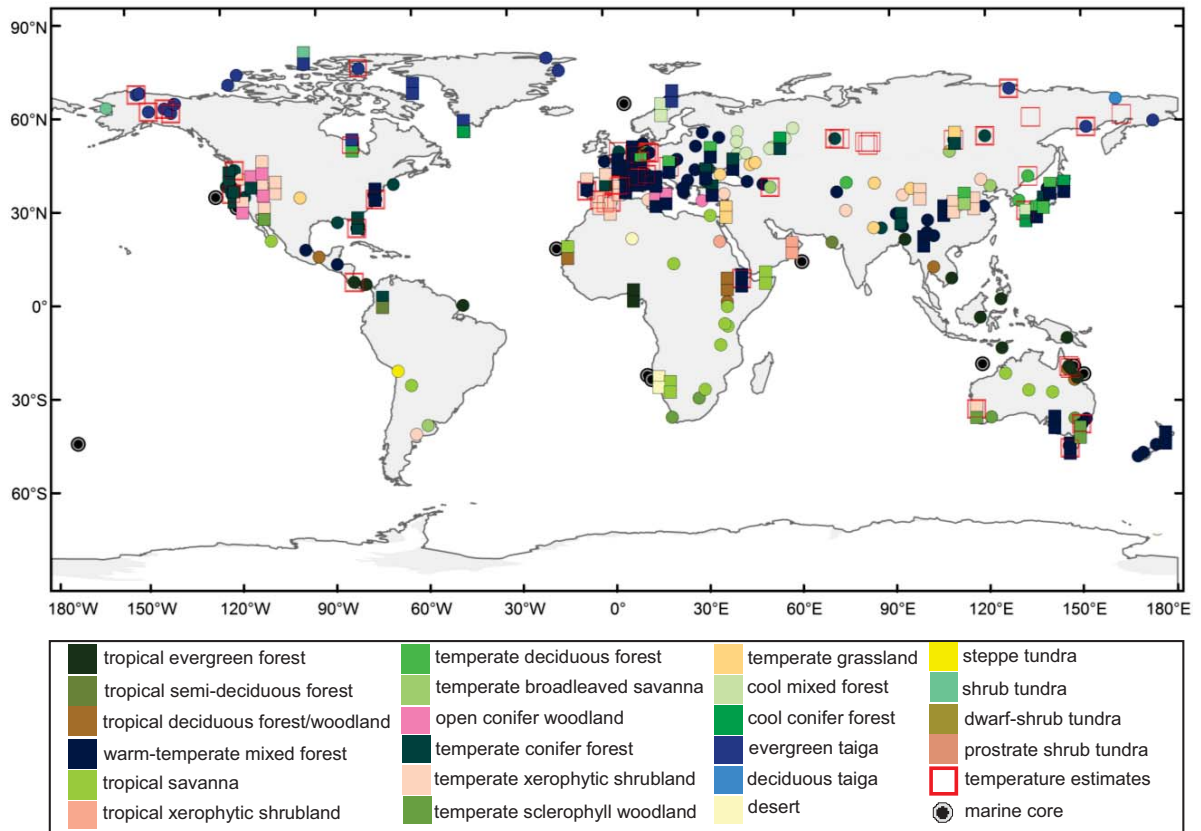


Figure 2: Location of 208 palaeobotanical sites used for data-model comparisons

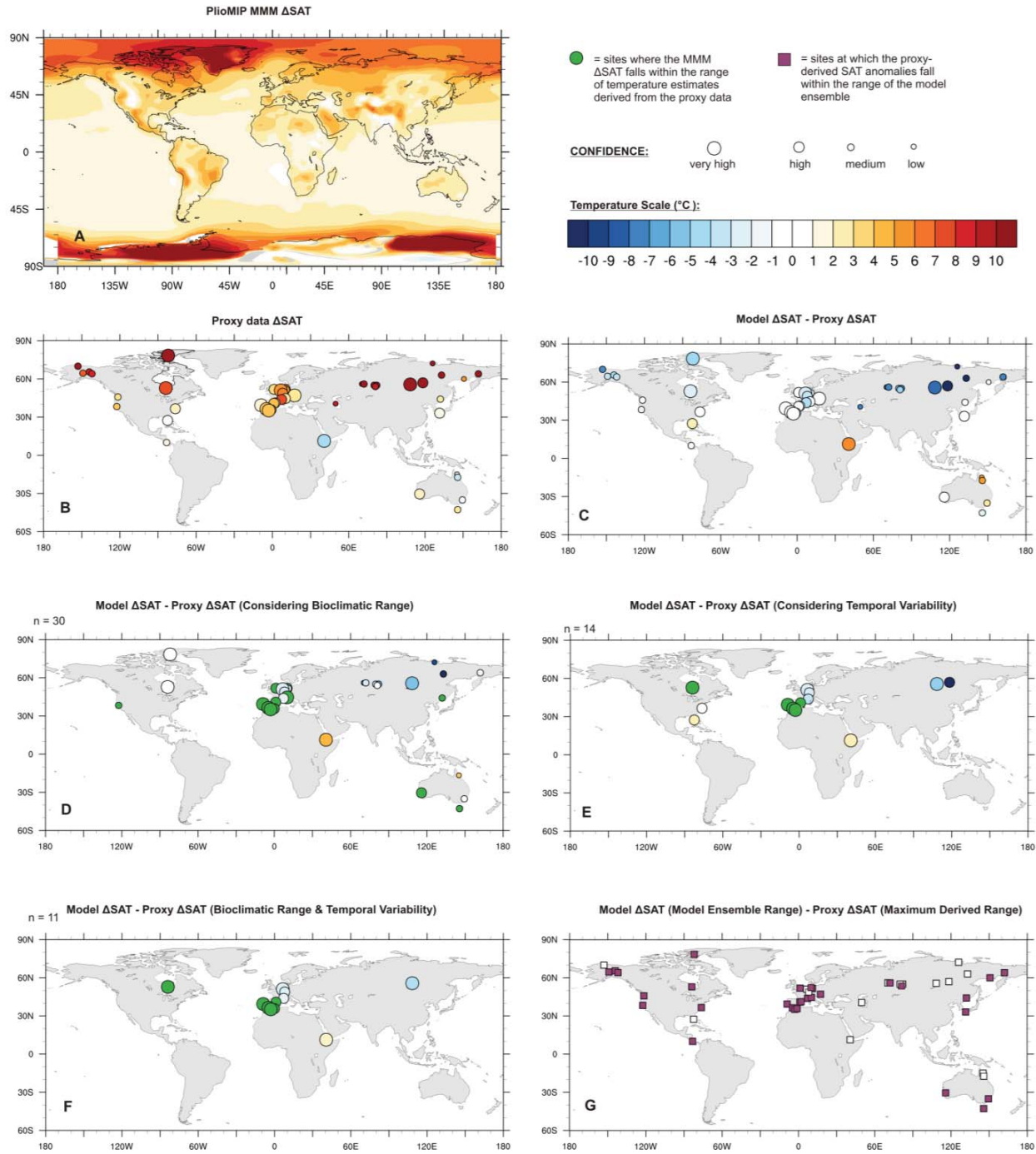


Figure 3: Data-model comparison of global temperature estimates.

1 **Supporting Information for:**

2 **Data-model discord reveals challenges in reconstructing terrestrial**
3 **warming of the Pliocene**

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22 **1. Description of climate models and outputs**

23 Pliocene climate model runs (Supplementary Table S1) were initialised following the protocol of the
24 Pliocene Modeling Intercomparison Project (PlioMIP), which incorporates the latest version of the US
25 Geological Survey PRISM data set of boundary conditions (PRISM3) ^{1,2}. Following this experimental
26 design, atmospheric carbon dioxide was set to 405 ppmv in all of the climate model simulations.
27 However, due to the lack of geological proxy data that can be used to robustly estimate methane

28 (CH₄) and other trace gasses, dust emissions and aerosols for the Late Pliocene, these boundary
29 conditions were left unchanged from pre-industrial conditions. Therefore a caveat associated with
30 this experimental design is that the incorrect specification of these trace gasses, aerosols and dust
31 emissions for the late Pliocene, may over- (or under-) estimate the degree of data model discord.

32 Simulated Pliocene surface air temperatures (SATs) were derived from the final 30 years of each
33 model run and re-gridded on a standard 2°×2° lat/long grid to facilitate the production of a multi-
34 model mean (MMM). The MMM has been calculated by taking an average of the eight models
35 (detailed in Supplementary Table S1); HadCM3, MIROC4m, CCSM4, GISS Model ER, COSMOS,
36 IPSLCM5A, MRI-GCM 2.3, NorESM-L. No model weighting has been applied in the creation of the
37 MMM. Model ensemble means for the Southern Hemisphere higher than 50 degrees south are not
38 considered reliable because of inconsistencies in the prescribed land/sea mask between the eight
39 models (Supplementary Table S1).

40 **2. Description of BIOME4 vegetation model**

41 BIOME4 is a development of the BIOME3 model³. It is a coupled carbon and water flux model, which
42 predicts global steady state vegetation distribution, structure and biogeochemistry. BIOME4 was
43 developed from the physiological constraints influencing the distribution of different plant functional
44 types (PFT). Twelve plant functional (PFT) types, each with a very specific range of bioclimatic
45 limits, are represented in BIOME4, ranging from Arctic to tropical flora. BIOME4 determines which
46 of 28 biomes is most likely to occur in a grid square based on computed biogeochemical variables^{3,4}.

47 The model is forced by long-term averages of monthly mean temperature, precipitation and
48 sunshine. Atmospheric carbon dioxide concentrations must be specified and information on soil
49 texture and depth must also be provided. To force the vegetation model, a standard anomaly method
50 is employed (as in⁵⁻⁷). This takes into account the systematic error in the climate model relative to
51 present-day observations of climate⁸. Owing to the lack of sufficient observational data over

52 Antarctica, the anomaly method cannot be employed in this region and there, the absolute values
53 from the climate model are used to force BIOME4.

54 **3. Sensitivity experiments**

55 To examine the potential bias that orbital and CO₂ forcing could introduce, orbital parameters and
56 CO₂ levels were changed in a suite of HadCM3 and MIROC4m sensitivity experiments (see
57 Supplementary Table S2). Orbital parameters were set to deliver the maximum amount of incoming
58 solar radiation at the top of the atmosphere at specific latitudes (either 65°N for the Northern
59 Hemisphere or 80°S for the Southern Hemisphere) during summer within the Pliocene. The orbital
60 configuration in the HadCM3 sensitivity experiments is thus representative of time points in the
61 Pliocene where extremes in summer insolation were shown in the astronomical solution of Laskar et
62 al.⁹. The values of eccentricity, precession and obliquity are shown for reference in Supplementary
63 Table S2. In some sensitivity experiments, CO₂ levels were also increased to 450 ppmv (from the
64 standard PRISM3 value of 405 ppmv) to assess the impact on our data-model comparison (DMC) of
65 specifying a higher level of CO₂ (thus promoting more climate warming). Late Pliocene atmospheric
66 CO₂ concentrations of 450 ppmv are the maximum level suggested by proxy-CO₂ data¹⁰.

67 In contrast to the previous iteration of the boundary condition data set (PRISM2), PRISM3 which was
68 used in the PliomIP protocol, specifies the height of the western cordillera of both North and South
69 America to be at approximately modern altitude (in contrast to a 50% reduction in PRISM2). There
70 are also substantial changes in the vegetation scheme used and in the distribution of ice on
71 Greenland and Antarctica. Experiments using HadCM3, where the model boundary conditions were
72 changed from PRISM2 to PRISM3, highlight the combined effect of changes in land surface on climate
73 diagnostics such as SAT and biome type.

74 **4. Palaeobotanical dataset and temperature estimates**

75 We used an updated version of the Late Pliocene (3.6-2.6 Ma) palaeobotanical TEVIS dataset¹¹. The
76 dataset integrates marine and terrestrial vegetation data derived from fossil pollen, leaves, wood and

77 palaeosol carbonate, whereby the authors' interpretation of palaeobotanical data, taken from the
78 original research papers, was used and translated into the 28-type land-cover classification scheme
79 of BIOME4¹². For the updated version presented here, we removed from the original dataset two re-
80 dated sites from the Tjoernes Section in Iceland¹² and Sirius Group, Antarctica¹³ and added data
81 from 9 sites in Canada¹⁴, Mexico¹⁵, Russia^{16,17}, Germany^{18,19}, France²⁰, Portugal²¹ and Turkey²².
82 Uncertainties in estimating Pliocene palaeo-altitudes and locating the source of proxy data can
83 significantly bias comparisons of temperature estimates. We therefore excluded terrestrial
84 information from marine sites more than 250 km away from the mainland and estimates for sites
85 with a modern altitude above 1000 m a.s.l. with the exception of one site for which temperatures
86 have been adjusted to sea level by the original authors (Supplementary Table S3).

87 **5. Assessing range and confidence of proxy data-based temperature estimates**

88 Here, we discuss the various sources of uncertainty in our proxy temperature estimates. These are
89 associated with temporal variability, bioclimatic range and additional unquantifiable uncertainties.
90 We also discuss the process we use for assessing a qualitative indicator of our confidence in each
91 data point.

92 **5.1 Bioclimatic range and temporal variability**

93 The bioclimatic range of a temperature estimate was derived (where available) from the fossil record
94 using quantitative and semi-quantitative methods such as:

- 95 a) Climate Leaf Analysis Multivariate Program (CLAMP)²³ which uses the physiognomy of fossil
96 leaves to determine past climates
- 97 b) Coexistence Approach (CA)²⁴, which uses the climatic requirements of the Nearest Living
98 Relative (NLR) of fossil taxa to reconstruct the past climatic range (Supplementary Figure
99 S1a)

- 100 c) Semi-quantitative methods using the climatic range of the nearest modern analogue
101 vegetation distribution
- 102 d) Multi-proxy measurements that combine the above listed palaeobotanical methods with
103 other palaeoclimate proxies, such as oxygen isotopes.

104 In our DMC study we either used published temperature estimates or, if not available, we applied the
105 Coexistence Approach to generate temperature estimates from the fossil record (Supplementary
106 Table S3). The quantitative and semi-quantitative temperature estimate techniques generally
107 produce a temperature range rather than one absolute value (see Supplementary Figure S1a/b).
108 Such ranges represent the climate interval in which all taxa of reconstructed palaeovegetation can
109 co-exist. The bioclimatic range has a lower and upper limit of tolerance beyond which the nearest
110 living relatives of the fossil assemblage cannot exist.

111 In addition to the bioclimatic range, we also included the temporal variability of a temperature
112 estimate (Supplementary Figure S1b) in our DMC (where available). This takes into account the
113 variability of the reconstructed vegetation in response to climate change over the time period
114 covered by the fossil record (e.g. orbitally controlled cold and warm cycles). By including the
115 temporal variability into our DMC we addressed uncertainties in age determination of the fossil
116 record. However, it should be noted that depending on the quality and temporal resolution of the
117 fossil record, temporal variability and bioclimatic ranges are not available for all sites. Generally,
118 such sites have been assigned lower confidence levels (see also following sections).

119 **5.2 Assessing the qualitative confidence of a temperature estimate**

120 The quality of geological archives can strongly vary between sites and depends on a number of
121 external factors, such as taphonomy, sedimentation rate, depositional environment and availability
122 of datable material. These factors often impact on the quality of the proxy data and their use for
123 environmental reconstruction. In order to address the variation in quality associated with our
124 temperature estimates, we qualitatively assessed the level of confidence for each data point

125 (Supplementary Table S3). The criteria used to assign each data point to one of the four confidence
126 levels (very high, high, medium and low) were: a) age control, b) resolution, c) fossil preservation
127 and d) temperature estimate methods used. Temperature estimate methods and age control were
128 the most important parameters impacting on the confidence levels of proxy data. Established
129 quantitative methods have a generally higher confidence than semi-quantitative estimates using
130 nearest living relatives. Temperature estimates with the highest confidence level are (i) typically well
131 dated, using for example, radiometric or oxygen isotope dating methods, (ii) show an excellent fossil
132 preservation that allows multi-proxy analyses, (iii) use quantitative methods such as CA or CLAMP
133 and (iv) have a high resolution which allows the reconstruction of Late Pliocene mean annual
134 temperature changes over several cold and warm cycles. Temperature estimates with a medium to
135 low confidence typically have a poorer age control, which for example, is based on relative dating
136 using similarities of fossil assemblages; (i) have a low diversity related to poor fossil preservation
137 and (ii) use semi-quantitative temperature estimation techniques. The confidence level should be
138 used in addition to the indicated temperature range as a guide to assess the robustness of a
139 temperature estimate (see Fig. 3). Temperature estimates from sites with a high confidence level
140 might be more accurate and reliable than other fossil records with lower confidence. However, we
141 found a very good consistency between temperature estimates derived from high and lower
142 confidence sites, in particular in high latitude Northeast Asia and North America (see Fig. 3b),
143 indicating that impact of the level of confidence on DMC results appears to be rather low.

144 **5.3 Additional unquantifiable uncertainties**

145 Our DMC study focuses on two quantifiable sources of total uncertainty; bioclimatic range and
146 temporal variability, because we consider that these are likely to have the largest impact on the total
147 uncertainty of proxy temperature estimations. However, there are additional uncertainties in data
148 and model derived temperature estimates which could potentially impact on the DMC results, but
149 which are unquantifiable.

150 One additional uncertainty is caused by the fact that a model produces an average temperature over
151 the size of one model grid cell, whereas data is collected from a single point in space. However, we
152 consider this to have a rather small impact on the uncertainty ranges if compared to the temporal
153 and bioclimatic ranges described above. Grid cell uncertainties gain importance in high altitude
154 regions. However, most of our palaeobotanical sites are at altitudes below 350 m.a.s.l. (see Table S3)
155 and we excluded any data points from our data set with a modern altitude above 1000 m. a.s.l. If we
156 assume a) a Pliocene latitudinal temperature gradient of ca. 0.6 °C per degree in latitude²⁵ and b) that
157 we further reduced uncertainties by using interpolation to the exact proxy location within the model
158 grid cell and c) that the variation within the grid cell is also further reduced by the fact that our proxy
159 based temperatures were derived from the predominant vegetation covering a wider area of the grid
160 cell, then we have no reasons to assume that the uncertainty imposed by the size of a 2° x 2° grid cells
161 exceeds 1°C.

162 Other additional uncertainties, such as methodological errors, might have a higher impact on the
163 DMC results, although their uncertainties can hardly be quantified and vary between sites and
164 methods applied. For example, CLAMP shows a tendency to produce for the Neogene temperature
165 estimates that are generally colder (likely range 1-2°C) than CA¹⁸. The accuracy of the CA estimates,
166 which is highest for temperature-related parameters and has been indicated to be usually in the
167 range of 1–2 °C²⁶, has been recently questioned by a study²⁷, which identified remarkable
168 inaccuracies in temperature reconstruction of warmer lowland and cooler vegetation at higher
169 elevations. We therefore excluded any data points from our data set with a modern altitude above
170 1000 m. a.s.l.

171 **6. Performing a data-model comparison on surface air temperature**

172 To illustrate the differences between each model in the PlioMIP ensemble, zonal means were
173 calculated by averaging the individual models across latitudinal bands (Fig. 1). The multi-model
174 zonal mean is also shown on Fig. 1.

175 In order to compare MMM-predicted with proxy-based estimates of SAT both the modelled absolute
176 temperatures (Table 1) and the modelled temperature anomalies from pre-industrial have been used
177 (Fig. 3). Initially a visual comparison between the SAT anomalies was undertaken (Fig. 3c). Modelled
178 temperature anomalies from the nearest grid square to the location of the proxy-data site were
179 compared to data-based SATs (anomalies calculated to the Legates and Wilmott modern
180 observational dataset²⁸) in order to determine the spatial differences or similarities between the
181 data-based and modelled anomalies.

182 The match between the absolute SAT data and the modelled SATs were evaluated in terms of
183 their correlation using a simple linear regression (r^2 ; Table 1). It should be noted that this
184 metric does not take into account any uncertainties in the proxies, and as such it should be
185 interpreted with caution. Furthermore, statistical comparisons of absolute data and modelled
186 SATs can be biased by the overriding effect of latitude on SAT, which can lead to a misleading level of
187 agreement between the models and data. Therefore, testing the commonality between the SAT
188 anomalies (mid-Pliocene minus modern/pre-industrial) produced by the model and the data provide
189 a fairer test of the model ²⁹. For all panels in Figure 3, the SAT anomalies of the proxy-data and model
190 have been used.

191 In Figures 3d and 3e, we show the impact on the DMC when we consider the proxy-derived
192 bioclimatic range (available at 30 sites) and temporal variability (available at 14 sites). We
193 demonstrate (using green circles) sites at which the MMM SAT anomaly falls within the
194 range associated with the proxy data. Where both ranges are available (11 sites), we
195 combine the bioclimatic range and temporal variability to create a total “climatic range” (r_{total}).
196 From any given fossil sample only a range of possible values of the SAT can be determined and
197 there is a deviation (X_{bc}) between true SAT and the center of the range of possible temperatures.
198 This deviation represents a form of measurement error and the magnitude of X_{bc} is described by
199 the bioclimatic range r_{bc} . Similarly, the mean temperature fluctuates over the time period covered

200 by the fossil record and at a given time there will be a deviation (X_{tv}) between the current mean
201 temperature and the long term average of the SAT. The magnitude of X_{tv} is described by the
202 temporal variability r_{tv} . Using this notation, the true SAT at a given time and location can be
203 described as the value reported in the SAT column of Supplementary Table S3b, plus $X_{bc} + X_{tv}$.
204 Since X_{bc} describes the position of the actual temperature within the range of temperatures
205 allowed by the fossil record, whereas X_{tv} is determined by the point in time an observation
206 belongs to, it is reasonable to assume that both quantities are random and independent.

207 The numerical values for r_{bc} and r_{tv} could be interpreted in different ways. The values could
208 either be seen as hard limits for the corresponding temperature range (for example, the value of r_{bc}
209 could be interpreted to mean that we always have $-r_{bc} \leq X_{bc} \leq r_{bc}$), or as soft limits (for
210 example, by assuming that the random value X_{tv} satisfies $-r_{tv} \leq X_{tv} \leq r_{tv}$ with a given
211 probability (e.g. $P(-r_{tv} \leq X_{tv} \leq r_{tv}) = 95\%$). The same interpretations are possible for r_{total} .
212 Similarly, different assumptions are possible for the distributions of the random values X_{bc} and
213 X_{tv} . In particular if the ranges are interpreted as hard limits, the random values could be assumed
214 to be uniformly distributed on the range of possible values, or the distributions could be assumed
215 to be more concentrated near the centre of the ranges (e.g. by assuming a normal distribution).

216 To represent the choices outlined above, we tested two different methods for combining the
217 individual ranges into a single value. For the first method, we assume that X_{bc} and X_{tv} are
218 independent and normally distributed with variances such that $P(-r_{bc} \leq X_{bc} \leq r_{bc}) = 95\%$ and
219 $P(-r_{tv} \leq X_{tv} \leq r_{tv}) = 95\%$. In this case, the values r_{bc} and r_{tv} correspond to 1.96 standard
220 deviations of X_{bc} and X_{tv} , respectively, and the corresponding value for r_{total} is given by:

$$r_{total} = \sqrt{r_{bc}^2 + r_{tv}^2}$$

222 This method seems the most natural approach (Supplementary Table S3b; Method 1). For the

223 second method, we assume that X_{bc} and X_{tv} are uniformly distributed on the intervals $[-r_{bc}, r_{bc}]$
224 and $[-r_{tv}, r_{tv}]$ respectively. For easy comparison to the first method, we again choose r_{total} to be
225 the value such that $P(-r_{total} \leq X_{bc} + X_{tv} \leq r_{total}) = 95\%$ (Supplementary Table S3b; Method
226 2 (95%)). Since the probability of 95% in this approach was chosen rather arbitrarily, we also
227 tested a variant of Method 2, where the probability 90% was used instead (Supplementary Table
228 S3b, Method 2 (90%)). The resulting total ranges for the methods tested are very similar, and thus
229 the method for combining r_{bc} and r_{tv} into a total range has little effect on the results. Therefore,
230 in Figure 3f of our analysis, we use Method 1 described here to derive r_{total} , and highlight sites
231 where the MMM SAT anomaly falls within r_{total} with green circles.

232 Figure 3g presents a summary of the data in Figures 3c to 3f and includes all proxy-data localities
233 and the full range of SAT anomalies derived from the PlioMIP ensemble. Sites are coded as
234 purple squares if any of the proxy-data available (both with and without ranges) falls within the
235 model ensemble range. If this is the case, we argue that the data can be used as a means of
236 discriminating between models within the ensemble. However, such an undertaking without
237 knowledge of the magnitude of r_{bc} and r_{tv} would likely generate incorrect conclusions regarding
238 individual model performance. White squares in Figure 3f demonstrate sites where the available
239 proxy-data (i.e. with or without ranges) and the model ensemble do not overlap. One particular
240 region where this occurs is in North East Asia/Siberia, which shows that the models are
241 consistently too cold in comparison to the data.

242 **7. Performing a data-model comparison on biome reconstructions**

243 Global biome maps were compared numerically by employing the ArcView 3.x extension for kappa
244 statistics³⁰. The Kappa statistic measures the degree of agreement between predicted and observed
245 categorisations of a dataset or map, while correcting for agreement that occurs by chance³¹. Kappa
246 statistics have already been successfully applied for comparing Quaternary and Pliocene biome

247 reconstructions ^{11, 32, 33}. Kappa values (κ) are ranked using a subjective assessment scale between 0
 248 and 1, whereby “0” means that the agreement is no better than would be expected by chance and “1”
 249 stands for a perfect match. Kappa results strongly depend on the number of different classes selected
 250 and are rarely comparable across studies. Therefore, here we interpret our kappa values in isolation
 251 from previous studies e.g. ^{7, 34}. For comparing biome reconstructions from fossil data with model
 252 simulations, we grouped the 28 biomes into broader units (mega-biomes³⁵) to avoid the minimum
 253 number of sample points per category becoming too low for meaningful Kappa statistics.

254 **8. PlioMIP Experiment 2 Energy Balance Analysis**

255 By following the methods of Heinemann et al. ³⁶ and Lunt et al. ³⁷, we can model the energy balance
 256 components of each of the PlioMIP Experiment 2 simulations by approximating the temperature, T ,
 257 at each latitude using the planetary albedo α , the effective longwave emissivity ϵ , and the implied
 258 net meridional heat transport divergence, H . Where SW_{TOA}^{\downarrow} is the incoming shortwave radiation at
 259 the top of the atmosphere and σ is the Stefan-Boltzmann constant,

$$260 \quad T = \frac{(SW_{TOA}^{\downarrow}(1 - \alpha) - H)^{1/4}}{\epsilon\sigma}$$

261 Due to their small changes relative to their absolute values, Pliocene warming can be approximated
 262 by a linear combination of changes in emissivity, albedo and heat transport. However, by assessing
 263 clear sky radiation components within the simulations, these components can be further broken
 264 down into the impact of changes in atmospheric greenhouse gases ($\Delta T_{gg\epsilon}$), cloud emissivity ($\Delta T_{c\epsilon}$
 265), cloud albedo ($\Delta T_{c\alpha}$) and clear sky albedo ($\Delta T_{cs\alpha}$). At latitudes where changes in topography
 266 occur between the Pliocene and control simulations, the impact of these changes in surface altitude (
 267 ΔT_{topo}) must also be accounted for. Therefore,

$$268 \quad \Delta T_{Plio} = \Delta T_{gg\epsilon} + \Delta T_{c\epsilon} + \Delta T_{c\alpha} + \Delta T_{cs\alpha} + \Delta T_H + \Delta T_{topo}$$

269 The two simulations that show the greatest changes in the high latitudes of the Northern Hemisphere
270 (MIROC and COSMOS) have the greatest climate sensitivity. Both also show the largest changes due
271 to feedbacks in each of the albedo and emissivity components, even where the feedback is negative
272 (Supplementary Figure S3). The complete loss of summer sea-ice and strength of Arctic feedbacks
273 explain why these models show the greatest polar amplification of all the PlioMIP simulations
274 (Supplementary Figure S4). Conversely CCSM and MRI show the least polar amplification, despite
275 having climate sensitivities close to the best estimates of IPCC³⁸. These simulations seem to have
276 suppressed positive albedo feedbacks and, particularly in the case of MRI, enhanced negative
277 feedbacks at key latitudes of the Northern Hemisphere.

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541 **10. Supplementary Tables**

542

543 **Table S1:** Details of models used for the terrestrial data/model comparison, details of boundary

544 conditions as well as the climate sensitivity values (°C) for each model³⁹.

Model ID, Vintage	Sponsor(s), Country	Atmosphere Top Resolution References	Ocean Resolution Z Coord., Top BC References	Sea Ice Dynamics, Leads, References	Coupling Flux adjustments, references	Land Soils, Plants, Routing, References	PlioMIP Experiment 2 ⁷ Preferred/Alternate	Climate Sensitivity (°C)
CCSM4, 2010	National Center for Atmospheric Research, USA	Top = 2.2 hPa 0.9x1.25°, L26 (40)	1° x 1°, L60 Depth, free surface (41, 42)	Rheology, melt ponds (43, 44)	No adjustments (45)	Layers, canopy, routing (46, 47)	Alternate (48)	3.2
MIROC4m, 2004	Center for Climate System Research (Uni. Tokyo, National Inst. for Env. Studies, Frontier Research Center for Global Change, JAMSTEC), Japan	Top = 30 km T42 (~2.8° x 2.8°) L20 (49)	0.5°-1.4° x 1.4°, L43 Sigma/depth free surface (49)	Rheology, leads (49)	No adjustments (49)	Layers, canopy, routing (49, 50)	Preferred (51)	4.05
HadCM3, 1997	Hadley Centre for Climate Prediction and Research/Met Office UK	Top = 5 hPa 2.5° x 3.75°, L19 (52)	1.25° x 1.25°, L20 Depth, rigid lid (53)	Free drift, leads (54)	No adjustments (53)	Layers, canopy, routing (55)	Alternate (56)	3.1
GISS-E2-R, 2010	NASA/GISS, USA	Top = 0.1 hPa 2° x 2.5°, L40 (57)	1° x 1.25°, L32 Mass/area, free surface (58)	Rheology, leads (57, 59)	(57)	Layers, canopy, routing (60)	Preferred (61)	2.7 to 2.9
COSMOS COSMOS-landveg r 2413, 2009	Alfred Wegener Institute, Germany	Top = 10 hPa T31 (3.75° x 3.75°), L19 (62)	Bipolar orthogonal curvilinear GR30, L40 (formal 3.0° x 1.8°) Depth, free surface (63)	Rheology, leads (63), following (64)	No adjustments (65)	Layers, canopy, routing (66, 67, 68)	Preferred (69)	4.1
IPSLCM5A, 2010	Laboratoire des Sciences du Climat et de l'Environnement (LSCE), France	Top = 70 km 3.75° x 1.9°, L39 (70, 71)	0.5°-2° x 2°, L31 Free surface, Z-coordinates (72, 73)	Thermodynamics, Rheology, Leads (74, 75)	No adjustment (72, 75)	Layers, canopy, routing, phenology (72, 76, 77)	Alternate (78)	3.4
MRI-CGCM 2.3, 2006	Meteorological Research Institute and University of Tsukuba, Japan	Top = 0.4 hPa T42 (~2.8° x 2.8°) L30 (79)	0.5°-2.0° x 2.5°, L23 Depth, rigid lid (79)	Free drift, leads (80)	Heat, fresh water and momentum (12°S-12°N) (79)	Layers, canopy, routing (81, 82)	Alternate (83)	3.2
NorESM-L (CAM4), 2011	Bjerknes Centre for Climate Research, Bergen, Norway	Top = 3.5 hPa T31 (~3.75° x 3.75°), L26 (CAM4)	G37 (~3° x 3°), L30 isopycnal layers	Same as CCSM4	Same as CCSM4	Same as CCSM4	Alternate (84)	3.1

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547 **Table S2:** Details of the additional orbital sensitivity experiments performed with HadCM3 and
 548 MIROC4m.

Experiment	Description	PRISM2 (Boundary Conditions ⁵⁰)	PRISM3 (Boundary Conditions ⁷)	Orbit (kyr)	CO ₂ (ppmv)	Eccentricity	Precession	Obliquity (°)
Plio ^{PRISM}	Pliocene control simulation using PRISM boundary conditions ⁷ .	HadCM3 (CO ₂ set at 400)	HadCM3 & MIROC4m	Modern	405	Modern	Modern	Modern
Plio ^{NHMax₄₀₀}	Pliocene simulation with maximum Pliocene incoming insolation at 65°N in July.	HadCM3	HadCM3 & MIROC4m	3037	400	0.051086	-0.04239	23.642
Plio ^{NHMax₄₅₀}	Pliocene simulation with maximum Pliocene incoming insolation at 65°N in July and high CO ₂ levels.	HadCM3	HadCM3 & MIROC4m	3037	450	0.051086	-0.04239	23.642
Plio ^{SHMax₄₀₀}	Pliocene simulation with maximum Pliocene incoming insolation at 65°S in January.	HadCM3	HadCM3 & MIROC4m	3049	400	0.054523	0.05204	23.143
Plio ^{SHMax₄₅₀}	Pliocene simulation with maximum Pliocene incoming insolation at 65°S in January and high CO ₂ levels.	HadCM3	HadCM3 & MIROC4m	3049	450	0.054523	0.05204	23.143

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562 **Table S3a:** Late Pliocene mean annual temperature estimates (SATs) from vegetation
 563 reconstructions. Temperature estimates for sites with modern altitude > 1000 m a.s.l. are not
 564 included.

location	continent	latitude	longitude	altitude ^{a)}	age (Ma)	method ^{b)}	SAT	bioclim. range	temporal variability	confidence ^{c)}	reference
Beaver Pond/Ellesmere Isl.	North America	78.40	-82.00	350	3.8-3.4	Multi-Proxies	-1.4	± 4.0	n/a	1	86, 87
Lena River	Asia	72.20	125.97	5	3.2-2.6	QualEst	1.5	± 1.0	n/a	4	88
Ocean Point	North America	70.00	-153.00	308	2.7-2.6	QualEst	1.5	n/a	n/a	3	89
Circle, Alaska	North America	65.50	-144.08	325	3.6-3	QualEst	3.0	n/a	n/a	3	90
Blizkiy	Asia	64.00	162.00	400	3.6-1.8	CA	5.3	± 5.8	n/a	3	91
Nenana Valley, Alaska	North America	64.53	-149.08	295	3.6-2.8	QualEst	3.0	n/a	n/a	3	90
Lost Chicken Mine	North America	64.06	-141.95	325	3.3-2.5	QualEst	2.5	n/a	n/a	3	90
Delyankir	Asia	63.00	133.00	600 ^{a)}	3.3-1.8	CA	7.4	± 0.5	n/a	3	91
Magadan District	Asia	59.98	150.65	97	3.2-2.6	QualEst	2.0	n/a	n/a	4	88
West Siberia	Asia	56.03	70.32	25	3.2-2.6	QualEst	13.5	± 1.5	n/a	4	92
Merkutlinskiy	Asia	56.00	72.00	50	3.3-1.8	CA	11.8	± 4.5	n/a	3	91
Kabinet / 42km	Asia	55.00	80.00	50	3.3-1.8	CA	8.9	± 2.3	n/a	3	91
Mirny	Asia	55.00	82.00	50	3.3-1.8	CA	11.2	± 1.3	n/a	3	91
Maly-shik / Logovskoy	Asia	54.00	81.00	50	3.3-1.8	CA	8.5	± 4.1	n/a	3	91
Walton-on-the-Naze**	Europe	51.84	1.27	25	3-2.6	CA*	12.8	± 1.3	n/a	2	93
Willershausen	Europe	51.77	10.10	212	3.2-2.6	CLAMP, CA	13.9	± 2.7	n/a	2	18
Berga/Thuringia	Europe	51.53	11.02	212	2.65-2.6	CA	13.5	± 0.5	n/a	3	18, 94
Pula Maar	Europe	47.05	17.38	200	3-2.98	CA*	12.8	± 1.2	n/a	1	95
Oak Grove Forest	North America	45.80	-121.60	212	3.05-2.95	CLAMP	11.9	± 1.0	n/a	3	96,102
Stirone	Europe	44.60	10.15	779	2.8-2.6	CAM	15.0	± 2.0	± 3.0	1	97
Pavlovskaya Depression	Asia	44.09	132.09	200	3.2-2.6	CA	5.9	± 1.5	n/a	3	16
Garraf, Catalonia	Europe	41.17	2.02	62	3.6-3.2	QualEst	19.0	n/a	n/a	2	98,99
Kura Depression	Europe	40.53	49.69	226	3.2-2.6	QualEst	21.0	n/a	n/a	4	100
California/Sonoma-Napa	North America	38.30	-122.45	313	3.45-3.35	CLAMP	17.6	± 2.0	n/a	3	101, 102
Central Kyushu*	Asia	33.10	131.50	149	2.9-2.8	QuantEst	18.0	n/a	± 1.0	2	103
Rio Banano, Zent	North America	10.03	-83.28	12	3.6-2.6	QualEst	27.0	n/a	n/a	3	104
ODP 823, Leg 133 (b)**	Australia	-16.69	145.21	190	3.2-2.6	QualEst	21.5	± 1.5	n/a	4	105, 106
West Butcher Creek	Australia	-17.35	145.70	190	3.6-2.6	QualEst	21.2	n/a	n/a	3	107
Lake George	Australia	-35.15	149.42	720	2.8-2.6	QualEst	14.0	± 2.0	n/a	3	106, 108
Yallalie, Perth	Australia	-30.43	115.76	77	3.2-2.95	QualEst	21.0	± 5.0	n/a	2	109
Linda Valley, Tasmania	Australia	-42.83	145.67	300 ^{a)}	3.6-2.5	QualEst	14.0	± 2.0	n/a	3	110

* corrected SAT at sea level after Iwauchi¹⁰³

** land surface SAT of potential nearest terrestrial source area

n/a - no range or climate variability not identified

Altitude^{a)}: Palaeoaltitude in masl., after Sohl *et al.*¹¹¹

(modern altitude has been used for Chara Basin and Linda Valley)

Key to "Methods^{b)}"

QualEst	Qualitative estimates using modern analogues
CLAMP	Climate Leaf Analysis Multivariate Programme
CAM	Climate Amplitude Method
BA	Best Analogue/Plant Functional Type Method
QuantEst	Quantitative estimates using pollen indices
CA	Coexistence Approach
CA*	estimated from Palaeoflora Database ¹¹²

565 **Key to confidence assessment^{c)}:** 1 – very high, 2 – high, 3 - medium, 4 - low

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571 **Table S3b:** Late Pliocene mean annual temperature estimates (SATs) from vegetation
 572 reconstructions for sites providing information on temporal variability during the PRISM time slab
 573 (~3.3-3.0 Ma). Temperature estimates for marine sites more than 250 km away from mainland are
 574 not included.

location	continent	latitude	longitude	altitude ^{a)}	age (Ma)	method ^{b)}	SAT	Biodim.Range + Temp. Variab.*							
								bioclim range	temporal variability	No of samples	confidence ^{c)}	reference	Method 1	Method 2 (95%)	Method 2 (90%)
Chara Basin, Siberia	Asia	56.97	118.31	700 ^{b)}	3.3-3.0	QualEst	12.8	n/a	± 1.8	17	2	17	n/a	n/a	n/a
Lake Baikal	Asia	55.69	108.37	450	3.3-3.0	CA*	7.0	± 2.5	± 3.0	12	1	113	3.91	4.28	3.77
James Bay Lowland	North America	52.83	-83.88	50	3.3-3.0	QualEst	6.0	± 2.0	± 4.0	>40	1	14	4.47	4.74	4.21
Lower Rhine Basin	Europe	51.03	6.53	135	3.6-2.6	CLAMP, CA	14.1	± 0.2	± 0.3	3	1	114	0.36	0.39	0.35
Sessenheim-Auenheim	Europe	48.82	8.01	297	3.6-2.6	CA	14.6	± 0.7	± 0.5	2	2	20	0.86	0.94	0.83
Alpes-Maritimes	Europe	43.82	7.19	193	3.3-3.2	CAM	17.5	± 2.0	± 0.5	18	2	99	2.06	2.05	1.87
Tarragona	Europe	40.83	1.13	401	3.3-3.0	CAM	20.0	± 2.5	± 2.5	3	2	99, 101	3.54	3.88	3.42
Rio Maior	Europe	39.35	-8.93	42	3.6-3.0	CAM	16.0	± 2.0	± 2.0	17	1	99	2.83	3.11	2.74
Yorktown, Virginia	North America	36.59	-76.38	57	3.5-2.9	QualEst	17.5	n/a	± 0.3	20	2	115	n/a	n/a	n/a
Andalucia G1	Europe	36.38	-4.75	305	3.6-2.6	CAM	21.0	± 2.0	± 3.5	12	1	99	4.03	4.32	3.83
Habibas	Africa	35.73	-1.12	325	3.6-3.2	CAM	21.0	± 1.0	± 3.0	>15	2	99, 116	3.16	3.23	2.90
Nador	Africa	35.18	-2.93	206	3.6-2.6	CAM	21.5	± 1.0	± 3.0	7	1	99	3.16	3.23	2.90
Pinecrest, Florida	North America	27.36	-82.44	10	3.5-2.6	QualEst	23.1	n/a	± 0.0	16	2	115	n/a	n/a	n/a
Hadar	Africa	11.29	40.63	849	3.4-2.9	BA	20.5	± 1.0	± 3.5	26	1	117	3.64	3.66	3.32

* - see Supplementary Section 6 for description of Methods
 n/a - no range or climate variability not identified

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576 **Table S4:** Data-model comparison for BIOME4 HadCM3 and MIROC. Table shows difference between
 577 model and proxy-based mega-biome reconstructions using a warm (k-WB) and cold (k-CB) biased
 578 dataset. Kappa values ³¹ (κ) for global, polar, temperate tropical zones are ranked using a subjective
 579 assessment scale, whereby “0” means that the agreement is no better than would be expected by
 580 chance and “1” stands for a perfect match.

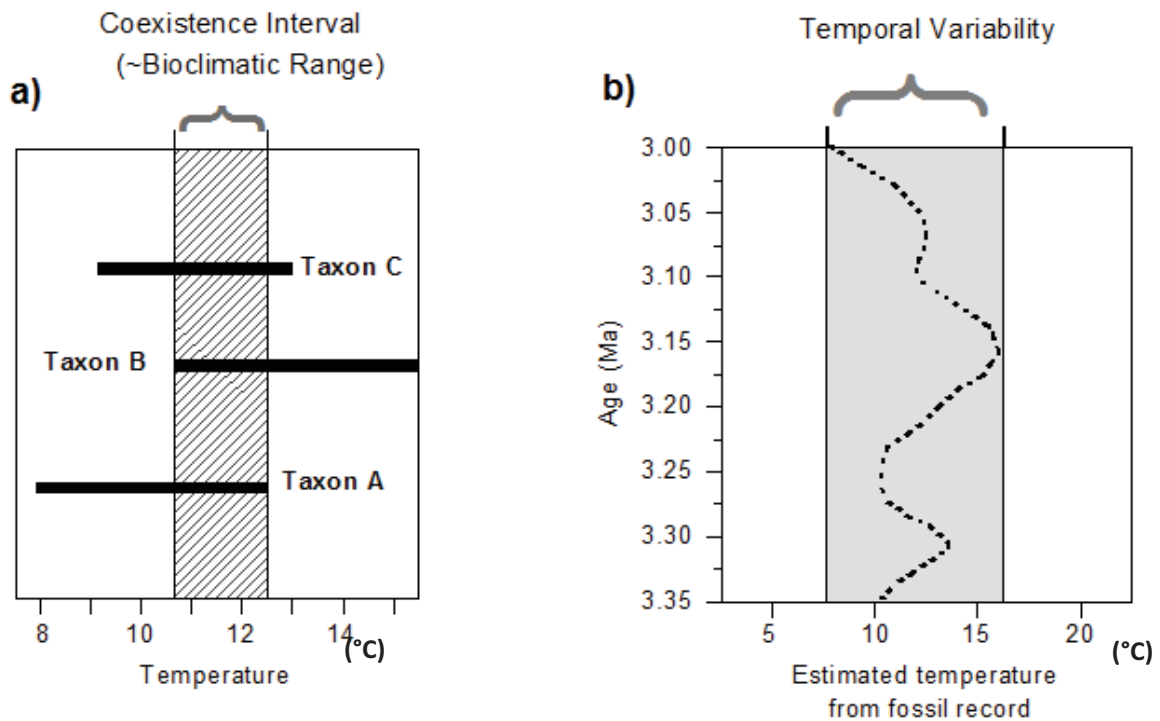
Model	R ² (SAT)	Global	Polar	Temperate	Tropical
		k-WB/k-CB	k-WB/k-CB	k-WB/k-CB	k-WB/k-CB
Pliocene HadCM3 PRISM2	0.85	0.31/0.25	0.01/0.00	0.29/0.21	0.03/0.01
NH Max Orbit	0.87	0.28/0.27	0.30/0.14	0.17/0.17	0.19/0.16
SH Max Orbit	0.85	0.30/0.26	0.00/0.00	0.26/0.21	0.11/0.09
NH Max Orbit CO ₂ 450 ppm	0.87	0.31/0.26	0.42/0.21	0.21/0.16	0.15/0.12
SH Max Orbit CO ₂ 450 ppm	0.85	0.33/0.30	0.03/0.00	0.26/0.23	0.16/0.14
Pliocene HadCM3 PRISM3	0.83	0.30/0.30	0.41/0.26	0.23/0.24	0.00/0.00
NH Max Orbit	0.84	0.25/0.25	0.24/0.14	0.17/0.18	0.14/0.12
SH Max Orbit	0.83	0.30/0.28	0.23/0.13	0.25/0.25	0.05/0.03
NH Max Orbit CO ₂ 450 ppm	0.84	0.22/0.19	0.20/0.12	0.14/0.13	0.09/0.06
SH Max Orbit CO ₂ 450 ppm	0.83	0.30/0.27	0.35/0.21	0.24/0.20	0.03/0.01
Pliocene MIROC PRISM3	0.83	0.30/0.27	0.36/0.22	0.21/0.18	0.06/0.05
NH Max Orbit	0.84	0.26/0.28	0.17/0.10	0.21/0.26	0.12/0.10
SH Max Orbit	0.83	0.29/0.27	0.15/0.00	0.21/0.20	0.06/0.04
NH Max Orbit CO ₂ 450 ppm	0.84	0.25/0.26	0.12/0.06	0.22/0.25	0.11/0.08
SH Max Orbit CO ₂ 450 ppm	0.83	0.29/0.27	0.30/0.18	0.20/0.18	0.06/0.04

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582 **Supplementary Figure S1**

583 “Bioclimatic range” and “temporal variability” used for DMC. Fig S1 a) provides an example for a
584 bioclimatic range produced by the Coexistence Approach, showing the mean annual temperature
585 ranges tolerated by the nearest living relatives taxa A, B and C. The resulting temperature interval in
586 which all taxa can coexist lies between 10.8 and 12.5°C (modified after ²⁴). b) shows the temporal
587 variability caused by the variation of the reconstructed temperature over the geological period
588 represented in the fossil record.

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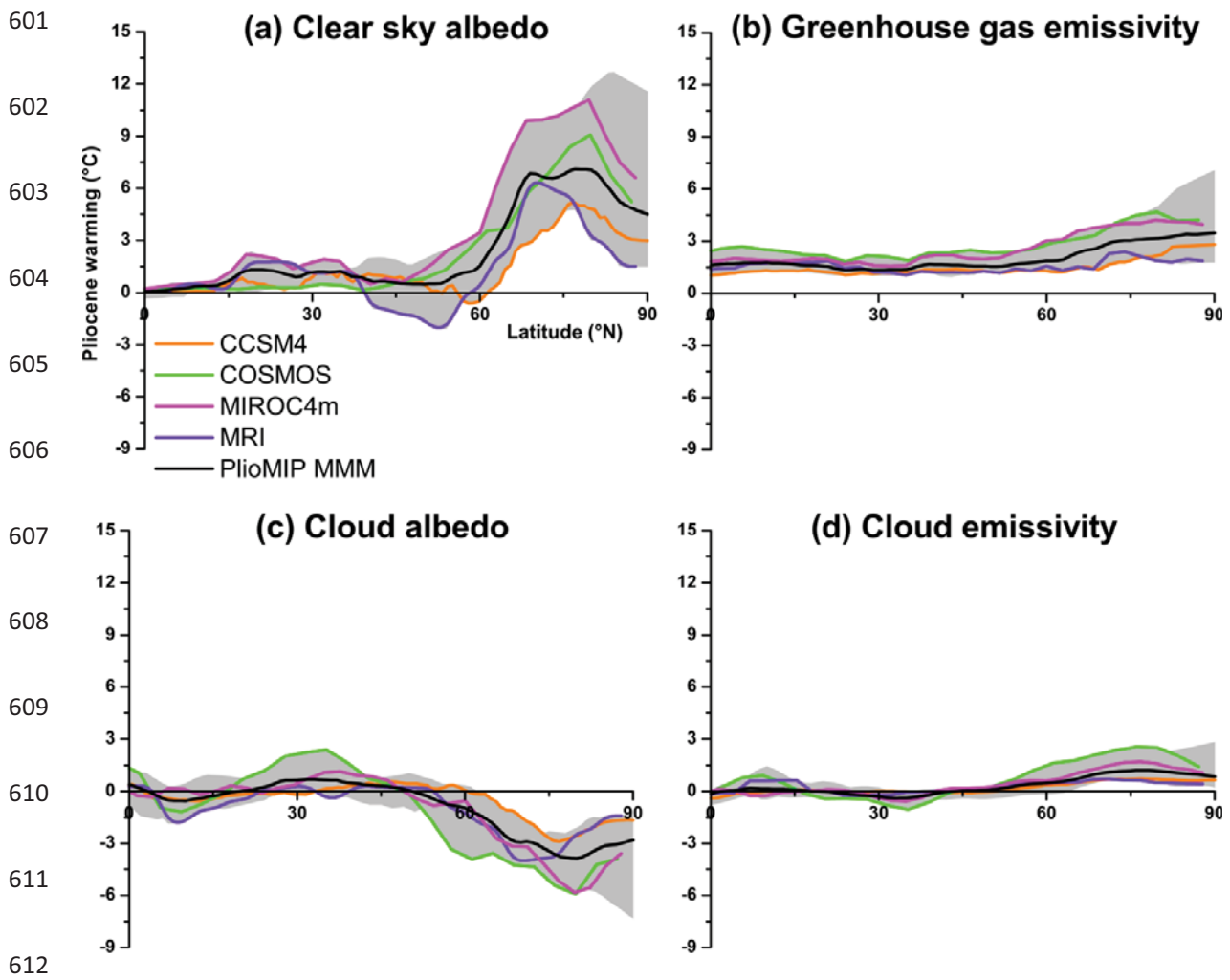
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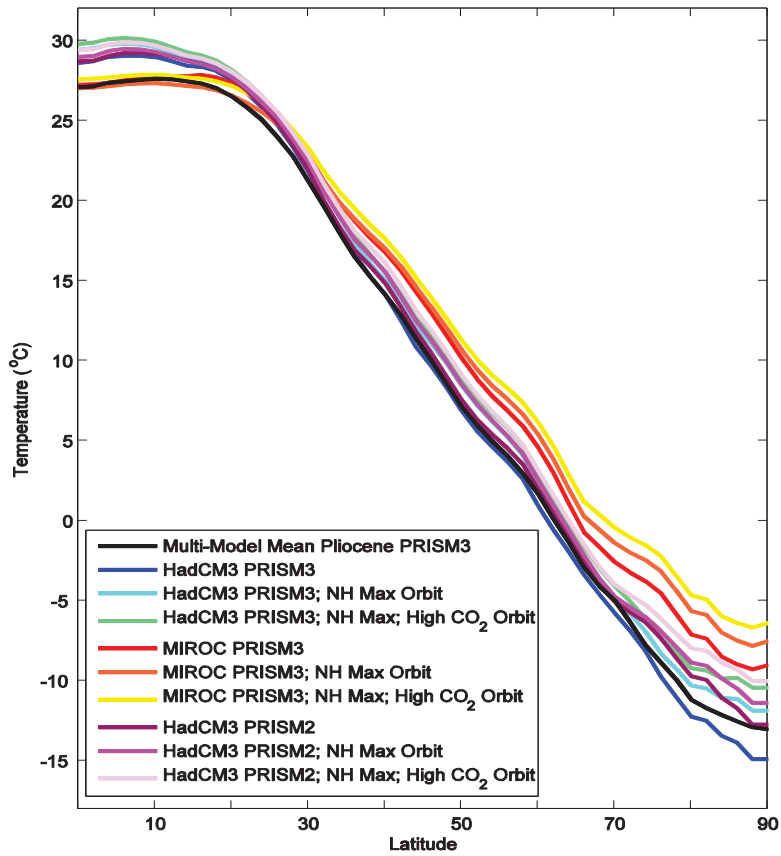
595 **Supplementary Figure S2**

596 Energy balance analysis for PlioMIP Experiment 2. Northern Hemisphere temperature change due to
597 (a) clear sky albedo, (b) greenhouse gas emissivity, (c) cloud albedo and (d) cloud emissivity. PlioMIP
598 Experiment 2 multi-model mean (MMM) is plotted in black, with the grey shading showing the range
599 of values. Two warmest models (COSMOS and MIROC4m), as well as the two least warm (CCSM4 and
600 MRI) are also shown.



615 **Supplementary Figure S3**

616 Zonally averaged mean annual surface air temperatures (SAT) in °C derived from MIROC and HadCM3
617 simulations with varying boundary conditions from the PRISM3/2 dataset, changing orbital parameters
618 and atmospheric CO₂-concentration. The Pliocene MMM is also displayed for reference.



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