

# Changes in hydro-meteorological conditions over tropical West Africa (1980 – 2015) and links to global climate

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## Abstract

The role of global sea surface temperature (SST) anomalies in modulating rainfall in the African region has been widely studied and is now less debated. However, their impacts and links to terrestrial water storage (TWS) in general, have not been studied. This study presents the pioneer results of canonical correlation analysis (CCA) of TWS derived from both global reanalysis data (1980–2015) and GRACE (Gravity Recovery and Climate Experiment) (2002 – 2014) with SST fields. The main issues discussed include, (i) oceanic hot spots that impact on TWS over tropical West Africa (TWA) based on CCA, (ii) long term changes in model and global reanalysis data (soil moisture, TWS, and groundwater) and the influence of climate variability on these hydrological indicators, and (iii) the hydrological characteristics of the Equatorial region of Africa (i.e., the Congo basin) based on GRACE-derived TWS, river discharge, and precipitation. Results of the CCA diagnostics show that El-Niño Southern Oscillation related equatorial Pacific SST fluctuations is a major index of climate variability identified in the main portion of the CCA procedure that indicates a significant association with long term TWS reanalysis data over TWA ( $r = 0.50$ ,  $\rho < 0.05$ ). Based on Mann-Kendall's statistics, the study found fairly large long term declines ( $\rho < 0.05$ ) in TWS and soil moisture (1982 – 2015), mostly over the Congo basin, which coincided with warming of the land surface and the surrounding oceans. Meanwhile, some parts of the Sahel show significant wetting (rainfall, soil moisture, groundwater, and TWS) trends during the same period (1982 – 2015) and aligns with the ongoing narratives of rainfall recovery in the region. Results of singular spectral analysis and regression confirm that multi-annual changes in the Congo river discharge explained a considerable proportion of variability in GRACE-hydrological signal over the Congo basin ( $r = 0.86$  and  $R^2 = 0.70$ ,  $\rho < 0.05$ ). Finally, leading orthogonal modes of MERRA and GRACE-TWS over TWA show significant association with global SST anomalies.

**Keywords:** Rainfall, land water storage, canonical correlation analysis, ENSO, Congo basin, climate variability

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## 1. Introduction

Global interest in climate change is growing because of its anticipated impacts on agriculture, water security, and economic growth. As projected, impacts of climate change is expected to have direct and profound negative effects on freshwater availability (see, e.g., Tall et al., 2016; Prudhomme et al., 2014; Schewe et al., 2013). As a result, the focus on changes in hydro-meteorological conditions and water resources is receiving increasing attention (e.g., Andam-Akorful et al., 2017; Ndehedehe et al., 2016a; Hall et al., 2014; Shiferaw et al., 2014; Zhang et al., 2009; Conway et al., 2009; Descroix et al., 2009; Bekoe and Logah, 2013), especially with the perceived risk and vulnerability of future losses and socio-economic problems (e.g., migration, famine, etc.) resulting from the acceleration of the water cycle.

Extreme hydro-meteorological conditions and strong hydrological variability are unpredictable outcomes of changes in global climate that impacts on socio-economic systems of the world. In Thailand, for example, about 59 billion dollars was lost to the 2011 flood while economic growth was down by 38% due to hydrological variability in Ethiopia (see, Hall et al., 2014). Whereas the productive seasons of the year are restricted in monsoonal and tropical climates of the world due to strong seasonal and inter-annual rainfall variability (see, Hall et al., 2014), the preponderance of evidence from considerable case studies in the African sub-region (see, e.g., Ndehedehe et al., 2016b; Nicholson, 2013; Mohino et al., 2011a; Bader and Latif, 2011; Losada et al., 2010; Giannini et al., 2008; Todd and Washington, 2004; Nicholson et al., 2000) confirm that atmospheric circulation features, warming of the tropical oceans, mesoscale convective systems, and climate teleconnections, amongst others have large impacts on meteorological processes and induce extreme climatic conditions. Such impacts, teeming up with other low-frequency variability that are connected to slow oceanic and climate signals from global sea surface temperature (SST) anomaly (e.g., Diaz et al., 2001; Enfield and Mestas-Nuñez, 1999; Latif and Barnett, 1996), may have profound influence on hydrological changes and water resources.

Studies of changes in global climate and how they impact on meteorological and hydrological processes, are without doubt, emerging as active research. So far, our understanding of global climate has improved due to significant progress and advances made in global and regional climate models (i.e., GCMs and RCMs) (see, e.g., Tall et al., 2016; Erfanian et al., 2016; Prudhomme et al., 2014; Dimri et al., 2013; Schewe et al., 2013; Mishra et al., 2012; Li et al., 2004; Lebel et al., 2000). However, in regions where strong hydrological variability have been linked to multiple environmental phenomena such as large scale ocean-atmosphere phenomenon (e.g., Joly and Voldoire, 2010; Redelsperger and Lebel, 2009), land use changes (e.g., Favreau et al., 2009; Descroix et al., 2009), and other human interventions (e.g., surface water schemes) (e.g., Ngom et al., 2016; Ndehedehe et al., 2017a; Ahmed et al., 2014), the skills of climate and hydrological models may be restricted. Primarily, this maybe due to a

number of factors that include, e.g., model dependence on computational estimates, model physics, choice of parameterisations, bias, conceptual model and parameter uncertainties (e.g., Oettli et al., 2011; Schuol and Abbaspour, 2006; Koster et al., 2004; Lebel et al., 2000). Despite their potential useful applications in optimisation of water allocation schemes, early warning systems, and estimation of water availability (e.g., Thiemig et al., 2013), the restrictions of outputs from hydrological models, may affect meaningful management decisions related to water resources.

The failure of GCMs to produce a realistic climatology in West Africa, for example, can be damaging to hydrological applications (see, Lebel et al., 2000). All of the aforementioned issues represent significant setbacks that have contributed to the poor understanding of hydrological variability (e.g., Hall et al., 2014), especially in Africa, a region characterised by strong inter-annual variability. The lack of sufficient in-situ and direct observations of land surface data (e.g., Alsdorf and Lettenmaier, 2003; Lettenmaier, 2005; Robock et al., 2000) generally affects regional configurations and adequate initialization of models (e.g., Jenkins et al., 2002) for the purposes of hydrological studies. This problem can only be more intense in non-industrialised regions such as tropical West Africa (TWA) (Fig. 1), where in-situ observations are either considerably sparse or unavailable (see, Conway et al., 2009) due to lack of robust investments in gauge measurements. Hence, more research using auxiliary data synthesized by forcing global land surface models with historical meteorological data (e.g., Paolino et al., 2012; Sheffield and Wood, 2008), are required to further assess the representation of the land surface and atmospheric states in global reanalysis models.

TWA is indeed a strong climatic hot spot that play key roles in global climate. For instance, the Congo basin’s rainfall climatology dominates global tropical rainfall during transition seasons (see, Washington et al., 2013). The long term decline in vegetation greenness in the Central African rainforests, the second-largest on Earth (Zhou et al., 2014), are indications that global biodiversity are under significant threat due to climatic disturbance. Sheffield and Wood (2008) found large increase in drought extent over West Africa compared to other global terrestrial areas. Furthermore, observed trends in the magnitude and frequency of flood events in the Sahel and Sudano regions (Nka et al., 2015), strong water deficit anomalies in West and Central Africa during the 2005 – 2007 period (see, Ndehedehe et al., 2016a; Asefi-Najafabady and Saatchi, 2013), and the recent long term drying of Central African Republic (e.g., Hua et al., 2016), are without doubt coherent impacts of climate variability and indicators of climate change in the region. Although it is now less debated that the global SST anomalies regulate rainfall in TWA (see, e.g., Odekunle and Eludoyin, 2008; Nicholson and Webster, 2007; Fontaine and Bigot, 1993; Semazzi et al., 1988; Nicholson, 2013, and the references therein), their impacts on and links to TWS and water fluxes (e.g., river discharge), in general, have not been studied. As with rainfall, the annual am-

plitudes and leading modes of land water storage (terrestrial water storage-TWS) and river discharge in TWA are presumably expected to be influenced by ENSO-related Pacific SST fluctuations and other triggers of ENSO, for example, SST anomalies of the north tropical Atlantic (see, e.g., [Ham et al., 2013](#)). Identifying the association between TWS and SST therefore requires consideration, and is significant to understanding global aspects of ENSO effects, for example, on regional hydrology.

As opposed to all of these aforementioned studies and those highlighted earlier, this study presents the pioneer results of canonical correlation analysis (CCA, e.g., [Barnett and Preisendorfer, 1987](#); [Graham et al., 1987](#); [Glahn, 1968](#)) of TWS derived from both reanalysis data and GRACE (Gravity Recovery and Climate Experiment) with global SST fields over TWA. The novel and underlying issues discussed include, (i) the linking of homogenous regions of TWS amplitudes to specific zones of global SSTs based on CCA, (ii) analysing the long term changes in water fluxes and state variables (rainfall, river discharge, soil moisture, TWS, and groundwater), and (iii) examining the hydrological properties of the Equatorial region of Africa (i.e., the Congo basin), which is prominently under-represented in hydrological research compared to other key global basins (see, [Alsdorf et al., 2016](#)). Since the global climate is also affected by tropospherically connected ENSO signals in other global oceans (see, e.g., [Enfield and Mestas-Nuñez, 1999](#); [Latif and Barnett, 1996](#)), the link between long term changes in land water storage of the region and SST anomalies of the Pacific, Indian, and Atlantic Oceans requires reckoning. This is essential to (i) enhance the skills of hydrological models, (ii) close the gap of poorly understood complex regional hydrology and water fluxes, and (iii) examine the potential indices of climate variability that are associated with hydrological changes in TWA.

The three main objectives of this study are (i) to examine long term trends in water fluxes (1980 – 2015) and the influence of climate variability on long term changes in these water fluxes over TWA, (ii) to examine oceanic hot spots that impacts on TWS over TWA based on CCA, and (iii) to assess the hydrological characteristics of the Congo basin based on GRACE observations, river discharge, and precipitation.

## 2. Data

The data used in this study have been summarised in Table 1.

### 2.1. Terrestrial water storage (TWS)

(1) Modern-Era Retrospective Analysis for Research and Applications (MERRA) National Aeronautic and Space Administration (NASA) global high-resolution MERRA reanalysis data (see, [Rienecker et al., 2011](#)) was used to analyse the long term TWS and soil moisture trends. The data is a state-of-the-art reanalysis that provides atmospheric fields, water fluxes, and global estimates of soil moisture (e.g., [Rienecker](#)

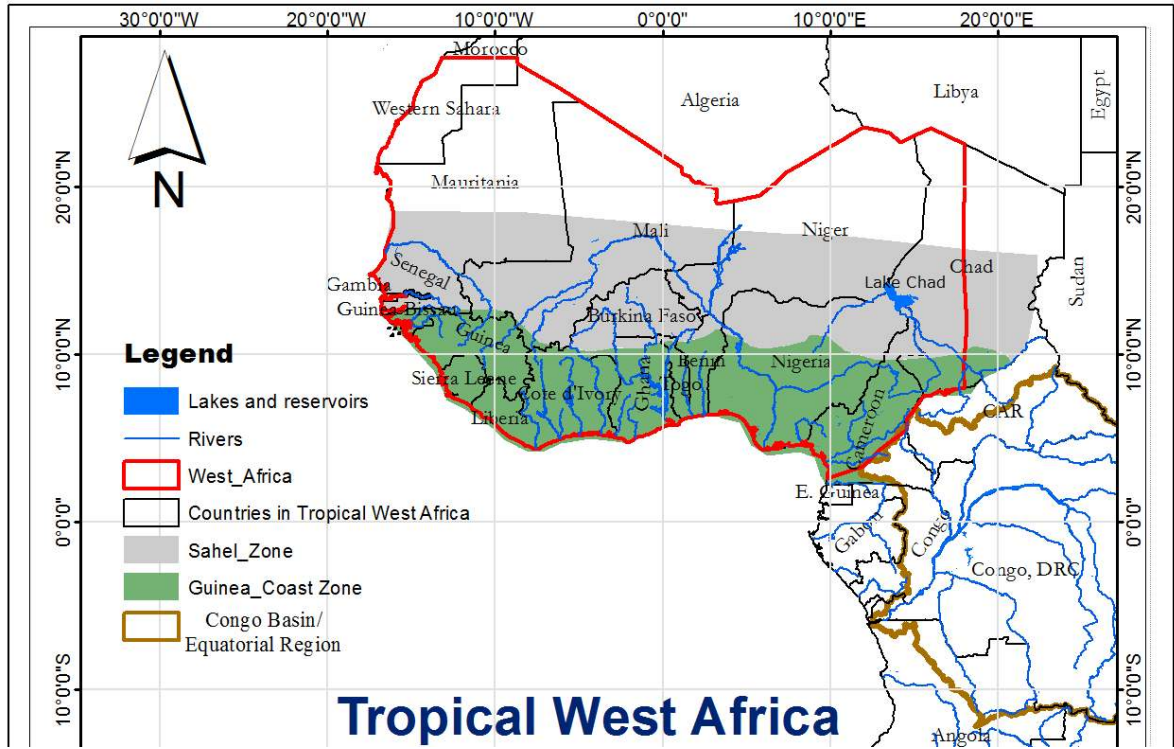


Figure 1: Study area showing some countries in tropical West Africa (TWA) and environ. Other major hydrological sub-regions (i.e., Sahel, Guinea Coast (used interchangeably as coastal West Africa in the manuscript), West Africa, Congo basin/Equatorial countries) in TWA have also been indicated. The freshwater resources (lakes, rivers, and reservoirs) of the region are also shown.

et al., 2011; Reichle et al., 2011). Also, it has been improved significantly compared to previous reanalysis datasets (Rienecker et al., 2011). MERRA outputs have been used in the study of atmospheric circulations, agricultural drought assessment, and climate teleconnections in the African continent (e.g., Wu et al., 2013; Agutu et al., 2017; Ndehedehe et al., 2017b) and has been recommended for land surface hydrological studies (see, Reichle et al., 2011). The land TWS data component of MERRA used in this study, covers the period of 1980 – 2015 and is available for download through NASA's website (<http://disc.sci.gsfc.nasa.gov/mdisc/>). The MERRA TWS (which are in  $\text{kg m}^{-2}$  similar to millimeters-mm) was employed to highlight the influence of climate variability on long term terrestrial stored water over TWA, complementing the limited GRACE-TWS data record.

## (2) *WaterGap Global Hydrology Model (WGHM)*

A new version of the global hydrological model (WaterGAP 2.2a) (see, Döll et al., 2014a) was used to derive TWS and groundwater component over TWA. This model takes into account groundwater recharge from surface water bodies in semi arid and arid regions and groundwater depletion. The WaterGAP model data covering the period 1980 – 2009 with a spatial resolution of  $1^\circ \times 1^\circ$  was downloaded from Center

for Environment Systems Research (CESR).

### (3) *Gravity Recovery and Climate Experiment (GRACE)*

GRACE (Tapley et al., 2004) monthly Release-05 (RL05) spherical harmonic coefficients (degree and order 60 from Center for Space Research-CSR) (data files available at <http://icgem.gfz-potsdam.de/ICGEM/shms/monthly/csr-rl05/>), covering the period 2002 – 2014 were processed following the approach of Wahr et al. (1998) as detailed in previous studies (see, e.g., Ndehedehe et al., 2016a; Landerer and Swenson, 2012). The suitability of TWS outputs from reanalysis and model outputs were assessed by comparing with GRACE-derived TWS using Pearson correlation. Since GRACE observations provide an overall picture of the water budget, the principal driver of its variability over the Congo basin was investigated using both rainfall data (Section 2.2) and the river discharge from the Congo Kinshasa station (Section 2.4). Their links to SST anomalies, on the other hand were examined to understand the vulnerability of the region’s stored water to climate variability.

## 2.2. *Precipitation data*

### 1. *Global Precipitation Climatology Centre (GPCC)*

GPCC (Schneider et al., 2014) provides reliable monthly gridded data sets of global land-surface precipitation, covering the period from 1901 to present. The  $1.0^\circ \times 1.0^\circ$  GPCC data was downloaded from the GPCC open access portal ([www.ftp.dwd.de/pub/data/gpcc/html/downloadgate.html](http://www.ftp.dwd.de/pub/data/gpcc/html/downloadgate.html)) and used in the study to analyse the spatial and temporal patterns of rainfall in the region during the 1980 – 2014 period.

### 2. *Climate Hazards group Infrared Precipitation with Stations (CHIRPS)*

Monthly CHIRPS (see, Funk et al., 2015) precipitation data (1981 – 2015) with a spatial resolution of  $0.05^\circ \times 0.05^\circ$  was also used in this study. The CHIRPS (data available at <ftp://ftp.chg.ucsb.edu/pub/org/chg/products/CHIRPS-2.0/>) algorithm incorporates gauged locations and infrared Cold Cloud Duration (CCD) precipitation estimates. Its validation results at global and regional scales show that it is useful for hydrological studies of regions (e.g., Ethiopia) with complex topography, and deep convective precipitation systems. To explore the potential of monthly higher spatial ( $0.05^\circ \times 0.05^\circ$ ) resolution of the data, it was employed to study long term spatial and temporal dynamics of rainfall over TWA.

## 2.3. *Soil moisture data*

### 1. *Climate Prediction Center (CPC) Soil Moisture*

Soil moisture analysis is important in studies of land surface hydrological processes and drought/flood monitoring applications (see, Fan and Dool, 2004). Monthly CPC



soil moisture data (Fan and Dool, 2004) with spatial resolution of  $0.5^\circ \times 0.5^\circ$  for the period between 1980 to 2014 was used in this study to investigate long term changes in soil moisture. Though a one layer water balance model, the CPC soil moisture product is derived from monthly global rainfall data that uses more than 17000 rain gauges worldwide and monthly global temperature from reanalysis. The data is freely available at National Oceanic & Atmospheric Administration (NOAA, <http://www.esrl.noaa.gov/psd/data/gridded/data.cpcsoil.html>) for download.

## 2. MERRA Soil Moisture

The understanding of extreme hydro-meteorological conditions (e.g., drought) and the climate system rely on the knowledge of soil moisture changes (Robock et al., 2000). Hence, the soil moisture outputs of the MERRA product was also used in this study to analyse the long term trends and spatio-temporal variability in soil moisture over TWA. The data is in volumetric units ( $m^3 m^{-3}$ ) and covers the period between 1980 and 2015.

### 2.4. Global Runoff Data Centre (GRDC)-river discharge data

GRDC ([www.bafg.de/GRDC](http://www.bafg.de/GRDC)) provides river discharge data of nearly 9000 gauging stations from all over the world. In this study, river discharge data from 1980 – 2010 (see Table 1) at Congo Kinshasa station was used to analyse hydrological conditions over the Congo basin.

### 2.5. Sea Surface Temperature (SST)

SST (Reynolds et al., 2002) data (i.e., Atlantic, Indian, and Pacific Oceans) was downloaded from NOAA’s official earth system research laboratory portal (<http://www.esrl.noaa.gov/psd/data/gridded/data.noaa.oisst.v2.html>) for the period covering 1982 to 2014 and used to investigate the impacts of large scale ocean-atmosphere interactions on TWS over TWA.

### 2.6. Global Land Data Assimilation System (GLDAS)

The land surface temperature used in this study was derived from the CLM component of GLDAS. The data (Table 1) covering the years 1982 – 2014 was obtained from the Goddard Earth Sciences Data and Information Services Center (GESDISC) (<http://grace.jpl.nasa.gov/data/gldas/>).

## 3. Method

### 3.1. Canonical correlation analysis

The canonical correlation analyses (CCA, see, Graham et al., 1987; Glahn, 1968; Hotelling, 1936), a multivariate statistical method that determines a linear combination of two different

Table 1: Summary of precipitation, soil moisture, TWS, and other hydrological data used in this study.

Data	Type	Period	Spatial Res.	Temporal Res.	Coverage	References
<b>Precipitation products</b>						
GPCC	Guage	1901 – 2014	1.0° x 1.0°	Monthly	Global	<a href="#">Schneider et al. (2014)</a>
CHIRPS	Satellite & gauge	1981 – 2015	0.05° x 0.05°	Monthly	Global	<a href="#">Funk et al. (2015)</a>
<b>Soil moisture products</b>						
CPC	Model	1948 – 2014	0.5° x 0.5°	Monthly	Global	<a href="#">Fan and Dool (2004)</a>
MERRA	Reanalysis	1980 – 2015	0.625° x 0.5°	Monthly	Global	<a href="#">Rienecker et al. (2011)</a>
<b>Terrestrial water storage products</b>						
WGHM	Model	1980 – 2009	1.0° x 1.0°	Monthly	Global	<a href="#">Döll et al. (2014b)</a>
MERRA	Reanalysis	1980 – 2015	0.625° x 0.5°	Monthly	Global	<a href="#">Rienecker et al. (2011)</a>
GRACE	Satellite	2002 – 2014	1.0° x 1.0°	Monthly	Global	<a href="#">Tapley et al. (2004)</a>
<b>Others</b>						
GLDAS	Model	1982 – 2014	1.0° x 1.0°	Monthly	Global	<a href="#">Rodell et al. (2004)</a>
SST	Satellite	1982 – 2014	1.0° x 1.0°	Monthly	Global	<a href="#">Reynolds et al. (2002)</a>
GRDC	Gauge	1980 – 2010		Monthly	Congo	( <a href="http://www.bafg.de/GRDC">www.bafg.de/GRDC</a> )

sets of variables such that the correlation between the two functions is a maximum, was employed in this study. Specifically, it was employed to examine the interrelationship between the leading modes of SST and inter-annual variations of TWS. Although the CCA technique bears some similarity to principal component analysis (PCA, e.g., [Jolliffe, 2002](#); [Preisendorfer, 1988](#)) and multiple linear regression, it is usually viewed as a ‘double-barreled’ PCA (e.g., [Wilks, 2011](#); [Graham et al., 1987](#); [Glahn, 1968](#)), emphasizing its robustness over similar methods. Before applying the CCA technique, the SST (predictor) over the three oceans (Atlantic, Indian and Pacific) and TWS (predictand) were pre-orthogonalized and regularized (pre-filtering of the original data) using the PCA technique. This is a standard procedure when applying CCA on climate data (see, e.g., [Singh et al., 2012a](#); [Repelli and Nobre, 2004](#); [Yu et al., 1997](#); [Shabbar and Barnston, 1996](#)), mostly because the large spatial fields causes difficulty in inverting the matrices and in the eigenvalue problem, leading to instabilities in the CCA solution. Because the CCA is a form of least squares regression, it is vulnerable to all the potential problems associated with matrix inversion inherent in least squares method (see, [Graham et al., 1987](#)). The pre-filtering of the original data addresses these problems, which includes artificial skills and the uncertainties introduced by correlated predictors (see, [Graham et al., 1987](#)), by reducing the number of orthogonal predictors analysed in the primary portion of the CCA procedure.

The pre-orthogonalization process allowed an initial comparison of the dominant modes (the first and second modes were selected for the regression because of their physical interpretability) of the predictor with the predictand based on regression. The CCA procedure was made up of four dominant modes of TWS (GRACE and MERRA) variability and three modes of SST variability over the three Oceans (Atlantic, Indian, and Pacific). Consider that two matrices,  $\mathbf{X}_{p,t}$  and  $\mathbf{Y}_{q,t}$  represent the predictor (SST) and the predictand (TWS),



respectively, where  $p$  and  $q$  are the spatial points (observations) and  $t$  represents the time (months) for each observation. After removing the mean of  $\mathbf{X}_{p,t}$  and  $\mathbf{Y}_{q,t}$ , their statistical decomposition using the PCA technique results in

$$\mathbf{X}_{p,t} = B_{p,p} T_{p,t}, \quad (1)$$

$$\mathbf{Y}_{q,t} = B_{q,q} T_{q,t}, \quad (2)$$

where  $B_{p,p}$  and  $B_{q,q}$  are the empirical orthogonal functions-EOFs (spatial patterns or the EOF loadings) of the predictor and predictand matrices, respectively, and  $T_{p,t}$  and  $T_{q,t}$  represents their corresponding time coefficients (see, e.g., Singh et al., 2012b; Yu et al., 1997; Graham et al., 1987). Assuming that  $i, j$  are the retained PCA modes of the predictor and predictand time series, the canonical variables ( $u$  and  $v$ ) and the linear combinations of

$$Z = u' T_{i,t} \text{ and } W = v' T_{j,t}, \quad (3)$$

can be determined using these retained modes as inputs to the CCA. The objective of CCA is to calculate two new paired sets of variables,

$$\mathbf{U} = [u', u'_2, \dots, u'_n]', \quad (4)$$

$$\mathbf{V} = [v', v'_2, \dots, v'_n]', \quad (5)$$

that are linear combinations of  $\mathbf{X}$  and  $\mathbf{Y}$ , respectively. The CCA is solved under the condition that  $u$  and  $v$  are maximally correlated (see, Yu et al., 1997; Graham et al., 1987; Glahn, 1968). The correlation  $C$  is given as

$$\mathbf{C}_{n,n} = \begin{bmatrix} c_1 & & & 0 \\ & c_2 & & \\ & & \cdot & \\ 0 & & & c_n \end{bmatrix},$$

where  $c_1, \dots, c_n$  are the canonical correlations between  $Z$  and  $W$ , and  $c_1 \geq c_2 \geq \dots, \geq c_n$  and  $n$  are equivalent to  $i$  or  $j$ , depending on which is smaller. The reconstructed fields of the predictor and predictands from the PCA procedure (i.e., their EOFs) are projected into the temporal series ( $u$  and  $v$ ) or canonical components to generate their corresponding spatial maps (i.e., for the predictands and predictors). These spatial maps are referred to as  $g-map$  (predictor map) and  $h-map$  (predictand map) and are used to investigate the regions of variability in the the predictor fields that impacts on the variability of the predictand fields (see, Repelli and Nobre, 2004).

Compared to other prominent statistical tools (e.g., multiple regression and PCA), the CCA method is not very popular probably owing to its complex methodology. However, the method is robust and very useful in empirical climate forecast and diagnosing aspects of the

coupled effect between oceans warming and meteorological patterns (see, e.g., Singh et al., 2012a; Repelli and Nobre, 2004; Yu et al., 1997; Shabbar and Barnston, 1996; Graham et al., 1987). Since CCA seeks to identify new sets of variables that optimizes the relationships between two data sets contrary to the PCA technique (e.g., Wilks, 2011), it was employed to investigate the indices of climate variability that impacts on TWS through a diagnostic of the interrelationships between global SST and inter-annual variations of TWS. It is worth noting that the spatio-temporal characteristics of long term changes in rainfall, soil moisture, and TWS over TWA during the 1980 – 2015 period were also studied using the PCA method indicated in Eqns. 1 and 2. Apart from the more compact representation of variabilities in multivariate data, the PCA technique is an important tool for exploring large multivariate data (e.g., Jolliffe, 2002; Wilks, 2011). Studying the long term spatial and temporal dynamics of these fluxes over TWA, where several mechanisms drive the climate system requires a multivariate statistics such as the PCA technique. PCA has the potential for yielding substantial insights into the spatial and temporal dynamics exhibited by these fluxes. The method has gained prominence in climate science and has been widely applied in meteorological (see, e.g., Sanogo et al., 2015; Fontaine and Bigot, 1993; Janicot, 1992; Janowiak, 1988; Semazzi et al., 1988) and hydrological (see, Ndehedehe et al., 2016a; Rangelova et al., 2007) studies.

### 3.2. Singular spectral analysis

Singular spectrum analysis (see, e.g., Ghil et al., 2002, and the references therein) was employed to analyse monthly river discharge (2002 – 2010) through a singular value decomposition (SVD) of the lagged covariance matrices. The method embeds a time series  $\{X_{discharge}(t) : t = 1, \dots, N\}$  in a vector space of dimension  $M$ . The embedding approach constructs a sequence of  $\{\mathbf{X}_{discharge}(t)\}$  of  $M$ -dimensional vectors from the original time series by using lagged copies of the scalar data  $\{X_{discharge}(t) : 1 \leq t \leq N\}$  (see, Ghil et al., 2002),

$$\mathbf{X}_{discharge}(t) = (X(t), X(t+1), \dots, X(t+M-1)), \quad (6)$$

the vectors  $\mathbf{X}_{discharge}(t)$  are indexed by  $t = 1, \dots, N'$ , where  $N' = N - M + 1$ . The singular spectral analysis calculates the principal directions of extension of the sequence of augmented vector  $\{\mathbf{X}_{discharge}(t) : t = 1, \dots, N'\}$  in phase space using an eigenvalue-eigenvector decomposition of the  $M \times M$  covariance matrix or simply through a SVD of the trajectory matrix (see more details in Ghil et al., 2002). From the SVD decomposition, meaningful time series are reconstructed by means of diagonal averaging (see, e.g., Unnikrishnan and Jothiprakash, 2015; Ghil et al., 2002). The method offers useful insights into understanding non-linear systems and is here employed to explore the relationship of river discharge oscillations of the Congo basin with GRACE-derived TWS.

### 3.3. Trends and annual amplitudes

The annual amplitudes of all fluxes (except river discharge) were estimated using the Multiple Linear Regression Analysis (MLRA). The harmonic components (e.g., annual, semi annual, etc.) of each data were formulated as detailed in Ndehedehe et al. (2016a). Furthermore, using a non-parametric method, trends in rainfall, soil moisture, groundwater and TWS were estimated for each grid cell. Based on the least squares estimation of the regression coefficient, MLRA can also be used to estimate trends as parameterised in Ndehedehe et al. (2016a). However, the Sen's slope (Sen, 1968) estimator was used to estimate trends since it is robust and resistant to outliers. Sen slope ( $S_i$ ) is the median overall values of the whole data and is estimated as

$$S_k = \text{Median}\left(\frac{P_j - P_i}{j - i}\right), \text{ for } (1 \leq i < j \leq n), \quad (7)$$

where  $P_j$  and  $P_i$  represents data values at time  $j$  and  $i$  ( $j > i$ ), respectively while  $n$  is the number of data. The slope can be positive indicating increasing trend or negative, indicating decreasing trend. The significance of observed trends was tested using the Man-Kendall's test (Mann, 1945; Kendall, 1970), a widely used non-parametric method in testing the significance of trends. Parametric trend tests (e.g., Student  $t$  test, turning point, regression, inversion tests, etc.) can also be used to examine the statistical significance of trends but sometimes they violate normality, hence the popularity and preference of non-parametric methods such as the Man-Kendall, Hotelling-Pabst test, and Sen test amongst others (see, Machiwal and Jha, 2012). The Mann-Kendall statistic ( $M$ ) is a non-parametric method and is calculated as

$$M = \sum_{k=1}^{n-1} \sum_{j=k+1}^n \text{sgn}(P_j - P_i) \quad (8)$$

where  $n$  is the number of data locations. Machiwal and Jha (2012) suggests that even  $n$  values as low as 10 can be used in Man-Kendall's test provided there are no too many tied values. Supposing that  $x = P_j - P_i$ , then  $\text{sgn}(x)$  is estimated as

$$\text{sgn}(x) = \begin{cases} 1, & \text{if } x > 0 \\ 0, & \text{if } x = 0 \\ -1, & \text{if } x < 0 \end{cases} \quad (9)$$

The  $M$  statistic represents the positive and negative differences for all data samples under consideration. The mean of the statistics under the null hypothesis is zero and is given as  $E[M]=0$  while its variance ( $\sigma$ ) is given as

$$\sigma = \frac{n(n-1)(2n+5) - \sum_{k=1}^n (t_k - 1)(2t_k + 5)}{18}. \quad (10)$$

341 The Man-Kendall test statistics ( $M$ ) is approximately normally distributed, subject to the  
 342 following Z-transformation,

$$Z = \begin{cases} \frac{M-1}{[\sigma]^{1/2}}, & \text{if } M > 0 \\ 0, & \text{if } M = 0 \\ \frac{M+1}{[\sigma]^{1/2}}, & \text{if } M < 0 \end{cases} \quad (11)$$

343 The null hypothesis (no trend),  $H_0$ , was tested at  $\alpha = 0.05$  (95% confidence level). If the  
 344 computed absolute value of the test statistics is greater than the critical value of the standard  
 345 normal distribution, the hypothesis of negative or positive trend cannot be rejected at the  
 95% confidence level.

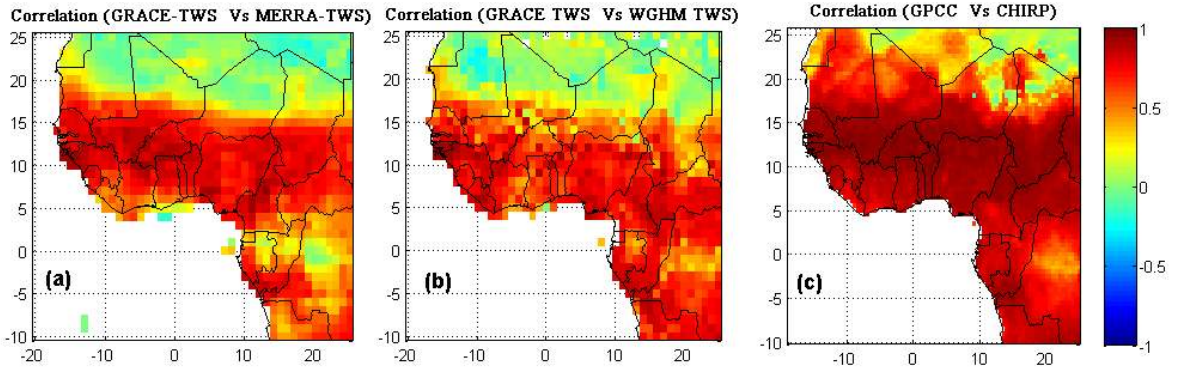


Figure 2: Correlation results for TWS products and the two precipitation products over TWA. (a) GRACE and MERRA TWS (2002 – 2014) (b) GRACE and WGHM TWS (2002 – 2009) and (c) GPCC and CHIRP (1980 – 2014).

#### 347 3.4. Pre-validation of the reanalysis and model data

348 In order to examine the strength of agreement between two different hydrological variables  
 349 (e.g., GRACE-derived TWS and MERRA-based TWS), the Pearson’s correlation coefficient  
 350 was used while cross-correlation was employed to determine the time lag between the hydro-  
 351 logical signals (e.g., precipitation and GRACE-derived TWS). Whereas these TWS products  
 352 (MERRA and WGHM) were compared with GRACE-TWS, CHIRPS data was pre-validated  
 353 by comparing it with GPCC gauge precipitation (Fig. 2). This is needful for data scarce re-  
 354 gions such as TWA, particularly to help explore the increasing model and reanalysis data  
 355 for hydrological applications. Also, this pre-validation effort is a milestone that provides  
 356 insights as to the potential of these products for hydrological applications in TWA. The  
 357 good correlation indicated in Figs. 2a-b, suggests that the spatial and temporal dynamics  
 358 of MERRA and WGHM TWS products are consistent with GRACE-TWS. However, as  
 359 will be shown later in the study, WGHM-TWS is underestimated in the region compared  
 360 to MERRA-TWS. The CHIRPS precipitation data on the other hand shows a considerable  
 361 strong consistency and agreement with GPCC-based precipitation in the region except in  
 362 the extreme north-west Sahel (the Sahara Desert) (Fig. 2c). The GRACE-MERRA and

GRACE-WGHM relationships in the Sahara Desert and the central Congo basin cuvette located in the upper Democratic Republic of Congo-DRC, are also considerably weak, and to some extent non-existent (Figs. 2a-b). Generally, the problem with these regions are considerable low density of gauge stations in the historical data used in forcing the model and global reanalysis products. Because of these uncertainties, multi-resolution data (Table 1) have been employed to investigate trends in state variables and water fluxes.

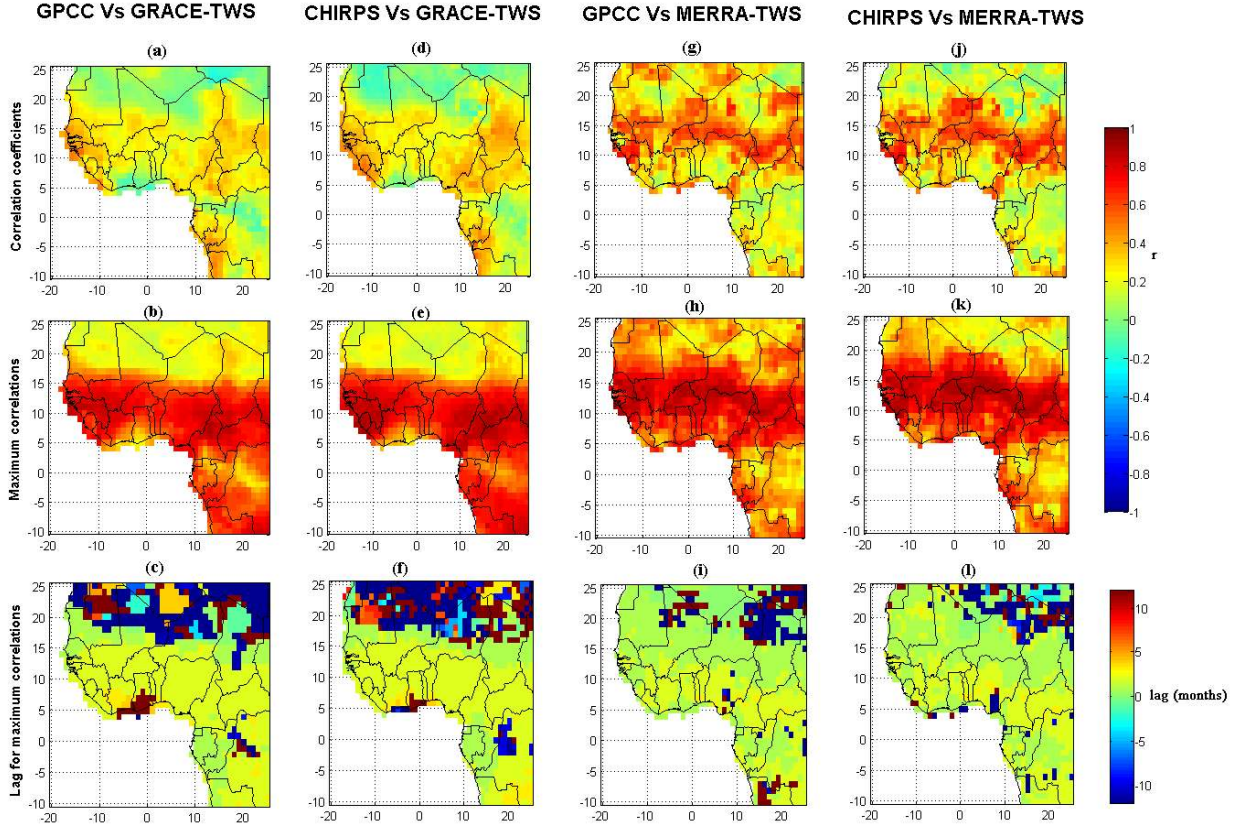


Figure 3: Spatial relations of rainfall (GPCC and CHIRPS) to stored water (MERRA and GRACE) over tropical West Africa (TWA) based on correlation analysis during a common period. (a)-(c) GPCC Vs GRACE TWS (2002 – 2014), (d)-(f) CHIRPS Vs GRACE TWS (2002 – 2014), (g)-(i) GPCC Vs MERRA TWS (1981 – 2014), and (j)-(l) CHIRPS Vs MERRA TWS (1981 – 2014).

Controlled numerical experiments based on climate modelling have been performed as part of a coordinated effort to create proxy data (e.g., Paolino et al., 2012; Rienecker et al., 2011; Koster et al., 2004; Robock et al., 2000) that are true representations of land surface conditions and can be used to study hydrological processes. Therefore, the relationship of GPCC and CHIRPS based precipitation with MERRA and GRACE TWS over the region is also examined to understand how GPCC and CHIRPS are associated with TWS (MERRA and GRACE) in the region both in the long term (1980 – 2014) and short term (2002 – 2014). This relationship is useful in optimising and initializing not only weather or climate models but also in the representation of physical phenomena in hydrological models. The precipitation-GRACE-derived TWS relationship are consistent and shows that GPCC



and CHIRPS products leads TWS by two month in much of the region that excludes the northern Sahel (Figs. 3a-f). Towards the extreme north of Sahel where the Sahara Desert is located, precipitation lags TWS due to arid conditions (Figs. 3c/f/i/l). The precipitation-MERRA-derived TWS relationship shows stronger correlation coefficients in the Sahel, in addition to indicating a two month lag over much of the region (Figs. 3g-l). Unlike the maximum correlations of GRACE-TWS with GPCC and CHIRPS (Figs. 3b and e), maximum correlations of MERRA-TWS with GPCC and CHIRPS (Figs. 3h and k) are poor in the central Congo basin (i.e., the Democratic Republic of Congo-DRC) possibly due to the absence of groundwater in the MERRA TWS data and perhaps the sparse in-situ networks for model validation. Despite the uncertainty in reanalysis data (e.g., [Rienecker et al., 2011](#)), the association of GPCC and CHIRPS with MERRA TWS in the Sahel (Figs. 3g and j) are indications of the suitability of MERRA-TWS in semi-arid regions for hydrological applications.

## 4. Results and Discussion

### 4.1. Long-term spatio-temporal variability of precipitation and land water storage (1980-2015)

In this section, the spatio-temporal evolutions of leading precipitation and land water storage (soil moisture, groundwater, and TWS) modes for the 1980 – 2015 period over TWA (tropical West Africa) are discussed. This is done to understand both the characteristics and homogeneous regions with strong leading patterns of variability in the region.

#### 4.1.1. Changes in precipitation

The coastal West African countries (Fig. 1) have strong seasonal rainfall patterns as opposed to the Sahel region (Fig. 4). Apart from the JFM period (Fig. 4a), which indicates the presence of relatively large amount of rainfall only in the Equatorial countries (i.e., Congo, Gabon, Equatorial Guinea, and DRC), the presence of considerable rainfall can be seen all through the year (i.e., from all seasonal windows-Figs. 4b-f) in some of the coastal countries (Guinea Coast region). West Africa is typically active hydrologically because of a plethora of physical phenomena that modulates its climate system. The West African monsoon (WAM) circulation, for instance, modulates the seasonal northward displacement of the intertropical convergence zone (ITCZ) and remains the principal source of precipitation over a large part of West Africa ([Boone et al., 2009](#)). In addition to this, the La-Niña and El-Niño cycles play important roles in the variability of rainfall in West Africa (e.g., [Paeth et al., 2012](#); [Nicholson et al., 2000](#)), triggering considerable large amplitudes of rainfall within few months and extreme dry periods. As is the characteristics of tropical systems, rainfall in West Africa is characterised by strong seasonal inter-annual variations. This kind of variability, as



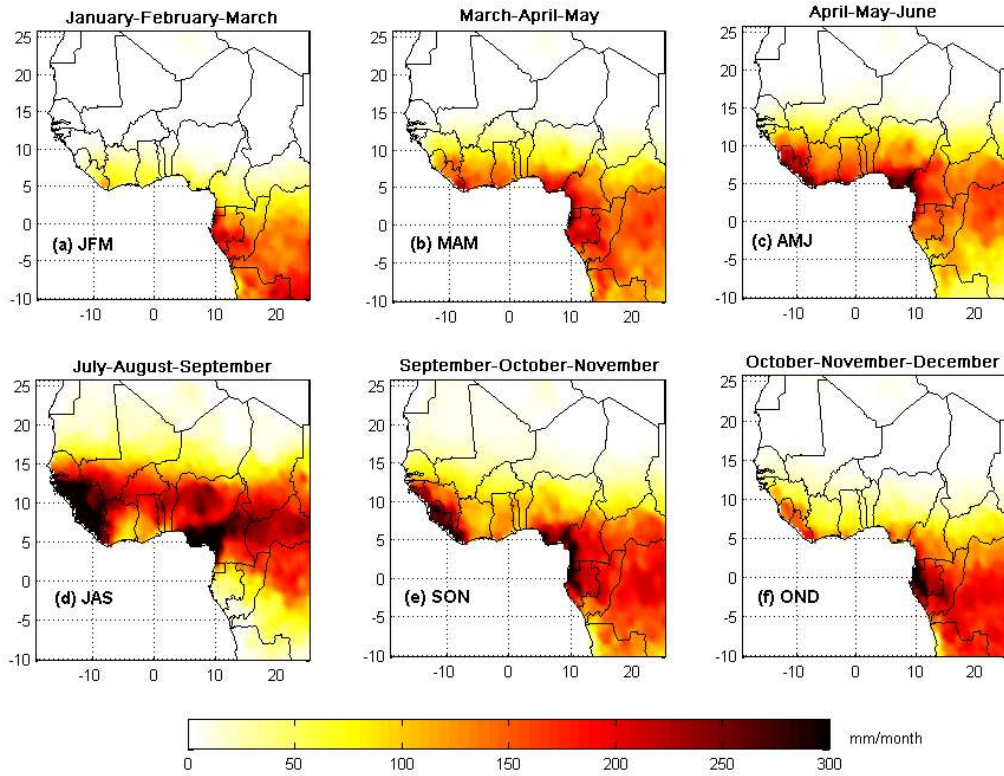


Figure 4: Seasonal mean variability of precipitation (GPCC version 7) during 1980 – 2014 period in tropical West Africa (TWA). The seasonal categories are indicated as (a) January-February-March (JFM), (b) March-April-May (MAM), (c) April-May-June (AMJ), (d) July-August-September (JAS), (e) September-October-November (SON), and (f) October-November-December (OND).

indicated in [Hall et al. \(2014\)](#), increases the likelihood of multi-year droughts and disastrous flash floods.

On the spatial and temporal dynamics of rainfall over tropical West Africa (TWA), the two leading modes of GPCC and CHIRPS-based precipitation over TWA accounts for about 70.4% and 80.3% of the total variability, respectively (Fig. 5). These leading EOF modes of rainfall variability from both data in TWA creates two homogeneous regions defined by similar temporal and spatial patterns. The strong magnitudes of variability (i.e., large amplitudes) in these leading modes show maximum loadings in regions where strong annual amplitudes in soil moisture, groundwater, and TWS have also been observed (Sections 4.1.2 and 4.1.3). Whereas the leading EOF (spatial patterns) shows rainfall anomalies with opposite signs to the north and south of 0°N, the second EOF mode indicates a contrast of rainfall anomalies with opposite signs to the north and south of 10°N. Specifically, the first mode highlights the annual variability of rainfall (PC1, Fig. 5) for the West African region (i.e., Sahel and Guinea coast countries) and a dipole pattern in the Equatorial region (e.g., Gabon, Cameroon, Congo, and Democratic Republic of Congo-DRC, see Fig. 1 for the respective countries). The second rainfall mode on the other hand, highlights multi-annual variations of rainfall in the Guinea coast countries and the Equatorial region (PC2, Fig. 5). Observed EOF loadings of this mode in southern Cameroon, Gabon, and Equatorial Guinea are the

strongest in TWA. The temporal patterns of this second mode indicate a bimodal structure in rainfall (receiving annual rainfall twice in a year) over the coastal areas of TWA. Generally, rainfall in these areas have been linked to the latitudinal movement of the tropical rainbelt, and the influence of SST amongst other factors (e.g., [Mohino et al., 2011b](#); [Nicholson, 2008](#); [Odekunle and Eludoyin, 2008](#)).

The spatial variability of rainfall as observed in these dominant patterns are much similar to the observed amplitudes and seasonal distribution of rainfall (Fig. 4d). Furthermore, the strong EOF loadings (GPCC and CHIRPS EOF1, Fig. 5) observed between latitudes 8°N and 12°N (the Sahel) are largely indicative of the considerable changes in annual precipitation of West Africa during the July-September period. The temporal evolutions (GPCC and CHIRPS PC1, Fig. 5) of the corresponding leading EOF loadings show that the maximum peaks of precipitation are observed in August and sometimes in September. This is also largely consistent with the seasonal distribution of rainfall indicated in Fig. 4d, where strong spatial patterns of rainfall are observed between July and September.

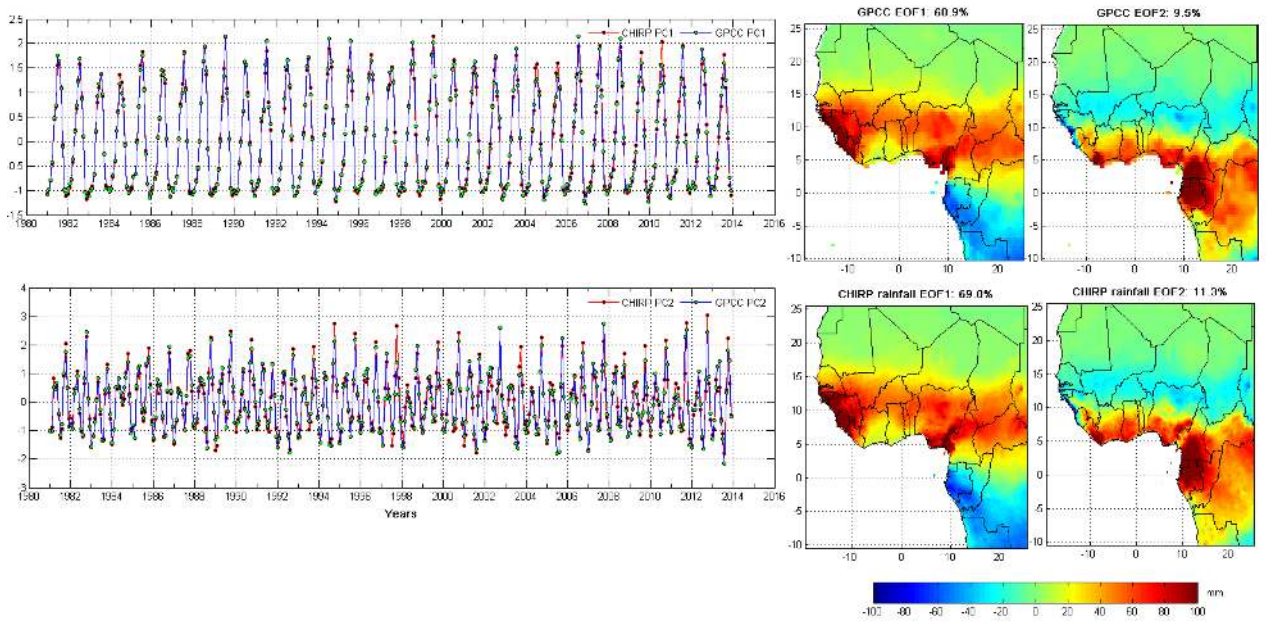


Figure 5: PCA decomposition of CHIRPS and GPCC-based precipitation (1980–2014) over TWA. The EOFs (right) are loadings showing spatial patterns of variations in precipitation over TWA while the corresponding PCs (left) are temporal variations, which are normalised using their standard deviation to be unitless. The variances explained by each PCA mode, which are expressed in percentages are also shown.

Some studies have indicated that this rainfall mode (PC1/EOF1, Fig. 5) dominates the summer West African rainfall variability and is highly coupled to Equatorial Atlantic SST (e.g., [Losada et al., 2010](#); [Janowiak, 1988](#)). Whereas this same rainfall mode has been acknowledged to be representative of the entire Sahel-Sudan region by [Fall et al. \(2006\)](#), [Nicholson and Palao \(1993\)](#) identified three spatial modes of rainfall variability that describes the regional climate of West Africa, arguing that the Sahel cannot be treated as a homogeneous rainfall sector with other regions in West Africa. However, [Sanogo et al. \(2015\)](#) recently

found no justification for further subdivision of the Sahel rainfall as their EOF analysis yielded two homogeneous rainfall zones (i.e., Sahel and Guinea Coast). Such contrast, primarily could emanate from the size of the spatial domain sampled and the climatological period examined. For instance, [Mohino et al. \(2011b\)](#) explored the characteristics of the inter-annual variability of West African rainfall (i.e., June-September rainfall only). Their observational EOF analysis for the 1957 – 1978 period showed that the leading EOF mode (11% of the total variance) had its maximum loadings concentrated over the Sahel whereas the second EOF mode (7% of the total variance) exhibited inter-annual signals in the temporal patterns with maximum loadings over the Guinea Coast. This current analysis included all monthly precipitation because of the diversity in local climates and the fact that precipitation is highly variable with strong presence in all seasonal time scales in coastal and humid TWA (Figs. 4a-f). Over West Africa (i.e., Lat 0°N-20°N and Lon 20°W-20°E) and the surrounding ocean, the two leading modes of TRMM-based precipitation (2002 – 2014) as shown in [Ndehedehe et al. \(2016a\)](#), accounted for 61.7% of the total variability. But when the surrounding ocean has been masked out and the domain analysis extended to include Equatorial countries of the Congo basin (i.e., between Lat 25°N and 10°S), the cumulative variance for the two leading modes increase to 70.4% and 80.3% for GPCC and CHIRPS based precipitation (1980 – 2014), respectively, confirming the influence of domain size and probably the length of data record on the explained variance.

Essentially, the leading rainfall mode observed in TWA (GPCC and CHIRPS EOF1/PC1, Fig. 5), which has strong EOF loadings over West Africa adequately describes the inter-annual variability of rainfall in the region, consistent with previous studies (e.g., [Fontaine and Bigot, 1993](#); [Janicot, 1992](#); [Janowiak, 1988](#)), and is generally appropriated by decision makers for planning purposes as reported by [Fall et al. \(2006\)](#). This is because the dominant rainfall mode represents the main climatological properties and changes in the region. For instance, the well known droughts of 1983/1984, which were continental in scale are marked out in the amplitudes of the temporal evolutions (PC1, Fig. 5). Based on the observed peak amplitudes (PC1, Fig. 5), extreme wet and dry years (e.g., 1982/1983, 1997/1998, 1988/1989, 1994/1995, etc.) caused by the strong impacts of El-Niño (e.g., 1982/1983) and La-Niña (e.g., 1998/1999) cycles can also be identified in the temporal evolutions of the first rainfall mode. The second rainfall mode also show hydro-climatological events in TWA that are similar to the first rainfall mode. For example, the amplitudes of PC2 in 1983 (Fig. 5) confirms the prominent drought event over the continent that was forced by high Indian Ocean SST ([Bader and Latif, 2011](#)), and in addition identifies years with the lowest summer rainfall (e.g., 1987). Years with relatively low rainfall (e.g., 1991, 2001 and 2008) in this mode are characterised with less pronounced amplitudes (i.e., for both the first and second rain) while extreme wet years (1994, 1997, 2007, 2011/2012) show strong pronounced maximum

490 amplitudes (PC2, Fig. 5). These two modes of rainfall variability are the major drivers of  
491 land water storage in TWA.

#### 492 4.1.2. *Changes in soil moisture*

493 Soil moisture is a critical and highly variable component of the hydrological cycle. Because  
494 of its strong influence on hydro-meteorological processes within the atmospheric boundary  
495 layer (Petropoulos et al., 2015), and role in land-atmosphere coupling (e.g., Koster et al.,  
496 2004), soil moisture is a major land state variable that can be used to study changes in global  
497 climate and weather systems. In this section, the temporal and spatial dynamics of CPC  
498 model and MERRA-reanalysis long term soil moisture data over TWA are discussed. These  
499 spatio-temporal patterns of soil moisture are based on the PCA technique (Section 3) and is  
500 more robust compared to analysing regional averages of soil moisture as done in Douville et al.  
501 (2007). The two dominant modes of variability in CPC and MERRA soil moisture products  
502 resulted in homogenous regions that describe the spatio-temporal variability of soil moisture  
503 over TWA. These leading modes accounted for a total variability of 70.8% and 71.9% for  
504 CPC and MERRA soil moisture products, respectively (Fig. 6). Similar to rainfall, the first  
505 orthogonal modes represent annual signals (PC1/EOF1, Fig. 6) while the second orthogonal  
506 modes show multi-annual variations in the Equatorial region (PC2/EOF2, Fig. 6). Inter-  
507 annual variability appears to be a prominent feature characterising the dominant temporal  
508 evolutions of both soil moisture products (PC1, Fig. 6). The significantly less pronounced  
509 amplitudes of both soil moisture products in 1983/1984, 1991, 1997, and during the 2000 –  
510 2006 period over TWA are consistent (PC1, Fig. 6). Some regions in West and Central Africa  
511 experienced relatively low precipitation, water deficits, and drought conditions during this  
512 periods (see, e.g., Ndehedehe et al., 2016b,c; Asefi-Najafabady and Saatchi, 2013), confirming  
513 the strong land-atmosphere interaction of the region (Koster et al., 2004).

514 From the temporal evolutions of the CPC and MERRA soil moisture products, a long  
515 term decline is observed between 1986 and 2008 in the second mode (PC2/EOF2, Fig. 6).  
516 The observed sharp decline between 1995 and 2005, (about 16 mm/month estimated from  
517 the CPC model soil moisture) represents about two decades of consistent shift in regional  
518 soil moisture of the Equatorial countries (PC2/EOF2, Fig. 6). Although rainfall indicated no  
519 decline during the same period (PC2/EOF2, Fig. 5), observed decline in evapotranspiration  
520 (ET) during the 2000 – 2014 period (not shown) over much of the Congo basin confirms the  
521 limited moisture supply in the region. Interestingly, a study on global evapotranspiration  
522 trends (see, Jung et al., 2010) showed that the decline in global land evapotranspiration  
523 (1998 – 2008) was largely consistent with decline in soil moisture. As discussed further in  
524 Section 4.1.4, analyses of long term trends in TWS and soil moisture (1982–2014) at the pixel  
525 scale over TWA confirm this decline in the temporal series of soil moisture over the Congo  
526 basin and is consistent with decline in land surface temperature over the basin. Focusing on



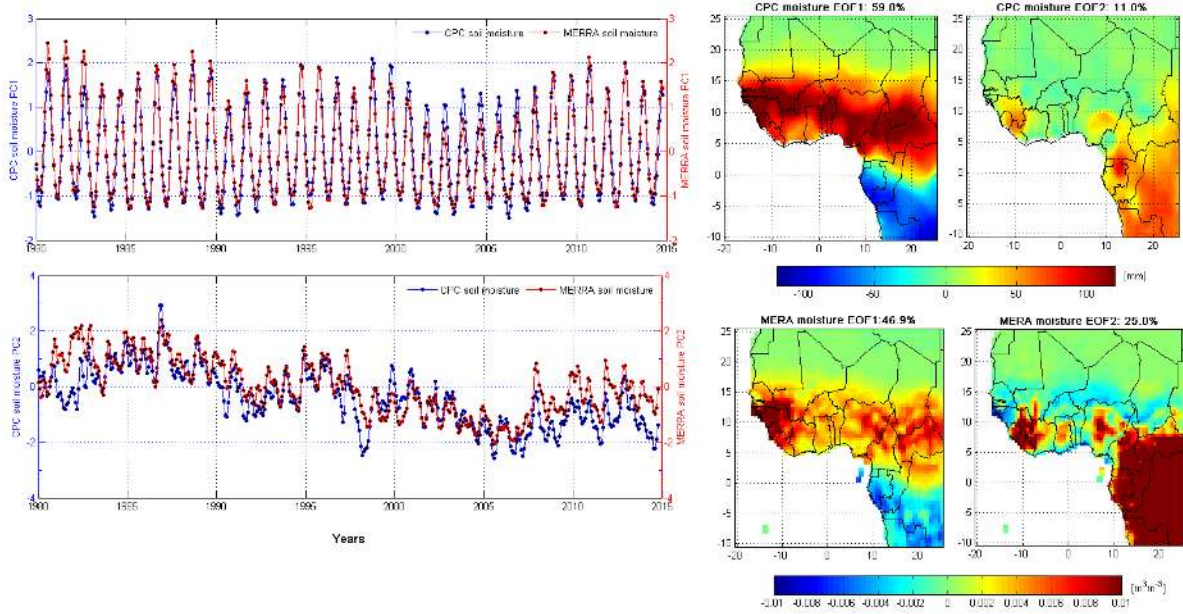


Figure 6: PCA decomposition of CPC model (mm) and MERRA reanalysis ( $m^3 m^{-3}$ ) based soil moisture products over TWA for the 1980 – 2014 period. The EOFs (right) are loadings showing spatial patterns of variations in soil moisture over TWA while the corresponding PCs (left) are temporal variations, which are normalised using their standard deviation to be unitless. The variances explained by each PCA mode, which are expressed in percentages are also shown.

the evolving trends of soil moisture in the last decade (2003 – 2014), short term trends are here reported for the temporal patterns of CPC soil moisture (trends are in normalised units for simplicity but can be adjusted to original units through multiplication with the EOFs). This choice was made to help relate soil moisture changes to those of GRACE-TWS in the Congo basin as it is one of the focus region in this study. A decline of  $0.51 \pm 0.09$  during the 2003 – 2006 period and an increase of  $0.09 \pm 0.06$  between 2006 and 2009 for soil moisture are observed (PC2, Fig. 6). Furthermore, declines of  $0.28 \pm 0.17$  and  $0.37 \pm 0.11$  were observed in the periods of 2010 – 2011 and 2013 – 2014, respectively (PC2, Fig. 6). Interestingly, the observed trend for PC2 of Fig. 6 (i.e., a decline of  $0.51 \pm 0.09$ ) coincides with the second orthogonal mode of GRACE-derived TWS (PC2, Fig. 7) in Section 4.1.3, which indicates a decline of  $0.58 \pm 0.15$  during the same period (i.e., 2003 and 2006). Except for the period between 2012 and 2014, the positive and negative trends indicated for the periods during 2006 – 2009 and 2010 – 2011, respectively, for CPC model soil moisture (PC2, Fig. 6), are consistent with those of GRACE-TWS (PC2, Fig. 7). The actual values of the observed declining trends during the 2003 – 2006 period for GRACE-derived TWS and soil moisture second PCA modes (PC2, Figs. 6 and 7), when jointly derived from their corresponding EOFs are estimated as  $\sim -78.4 \pm 20.3$  mm/yr and  $\sim -45.9 \pm 8.1$  mm/yr, respectively. This loss of soil moisture ( $\rho < 0.05$ ) during the period of 2003 – 2006 (PC2, Fig. 6) points toward the significant role and contribution of soil moisture changes to observed GRACE-derived

TWS variations in the Equatorial countries of TWA. Although the MERRA TWS is in volumetric units, it indicates similar trends in the observed temporal patterns as the CPC model soil moisture (PC2, Fig. 6).

The long term decline in multi-annual variation of soil moisture (i.e., from 1986 to 2008) observed in these Equatorial countries (PC2, Fig. 6) could be the cause of the observed decline in vegetation greenness of the Congolese forest (Zhou et al., 2014). The spatial variations of soil moisture, TWS, and rainfall trends over TWA (see Section 4.1.4) confirm a long term drying in these Equatorial countries, consistent with the observed soil moisture modes of variability in this section. In the cuvette central of the Congo basin, Zhou et al. (2014), in a bid to justify the weak correlations between rainfall and GRACE-TWS, reported that GRACE-TWS changes in tropical regions represents changes mainly in surface water and groundwater and that the response of GRACE-TWS to rainfall could not have emanated from the small scale and short-term rainfall anomalies. However, the PCA analysis of CPC model soil moisture indicates total variability of 11.0% (PC2, Fig. 6), which to a very large extent is consistent with GRACE-TWS total variability of 10.5% (see Section 4.1.3, as well as PC2, Fig. 7) in the Congo basin (countries in Equatorial Africa). Hence, this study argues that GRACE-TWS changes in the Congo basin also represent significant changes in soil moisture, in addition to surface water and groundwater as earlier reported (e.g., Lee et al., 2011).

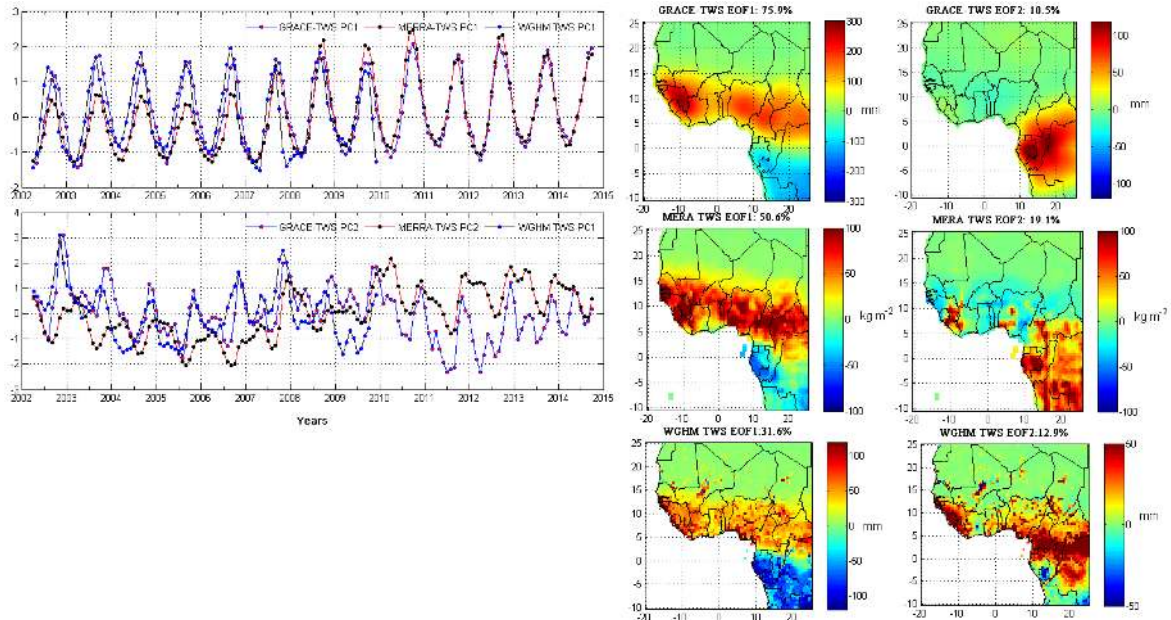


Figure 7: Comparing the space-time evolutions of MERRA (2002 – 2014) and WGHM-based TWS (2002 – 2009) products over TWA with GRACE-derived TWS (2002 – 2014). The EOFs (right) and the corresponding temporal patterns or PCs (left) are constructed similar to Fig. 6. The space-time evolutions of GRACE-TWS covers TWA (including Central African countries and the Congo basin) and is updated from a previous report which, focused on West Africa (Ndehedehe et al., 2016a).



#### 4.1.3. Terrestrial stored water

As an update to the analysis of GRACE-derived TWS over West Africa during the 2002 – 2014 period (see, [Ndehedehe et al., 2016a](#)), the spatio-temporal evolutions of long term reanalysis and model derived TWS over TWA in the last 3.5 decades are discussed in this section. Before then, the variability of these TWS products in space and time have been compared with those of GRACE-derived TWS based on their PCA results over TWA-tropical West Africa (Fig. 7). The PCA results for the GRACE-derived TWS indicate strong dominant patterns (i.e., annual signal) in the first EOF, which represents total variability of 76% mainly from the countries between latitudes 8°N and 15°N (PC1, Fig. 7). Be it West Africa or the entire TWA (cf. Fig. 1), this mode of GRACE-TWS is the dominant and shows the strongest EOF loadings in Guinea with an increasing trend in the corresponding temporal evolution (PC1, Fig. 7). Also interesting is the dipole pattern in the EOF loadings of GRACE-TWS (EOF1, Fig. 7), which is consistent with those of rainfall and soil moisture (Sections 4.1.1 and 4.1.2).

The presence of surface waters (e.g., lakes, reservoirs, and rivers), soil moisture, and groundwater in West Africa (especially the Guinea Coast countries) are major components of GRACE-TWS, which unarguably are driven by rainfall. In particular, reservoir systems (surface water schemes) and lakes have shown strong and considerable impacts on hydrological changes of river basins in Africa (e.g., [Ndehedehe et al., 2017a](#); [Moore and Williams, 2014](#)). In the Volta basin, for example, increasing trends in GRACE-TWS changes were found to be inconsistent with rainfall due to the impacts of a large water project-the Akosombo dam (e.g., [Ndehedehe et al., 2017a](#); [Ahmed et al., 2014](#)). But the observed increase in GRACE-TWS, which is relatively stronger in Guinea because of the EOF loadings (PC1, Fig. 7), emanates mostly from extended wet seasons and strong seasonal precipitation changes (cf. Figs. 4c-e). With lower evapotranspiration rates in such region (not shown) and strong amplitudes in precipitation (Figs. 4d and 5), increased water storage and inundated areas are more likely to occur. The second mode of GRACE-TWS observed over the Congo basin, which was not part of the earlier report in [Ndehedehe et al. \(2016a\)](#), accounts for 10.5% of the total variability over TWA. Whereas this variance explained is somewhat consistent with the second modes of rainfall (GPCC) and soil moisture (CPC model) variability over TWA (Sections 4.1.1 and 4.1.2), this does not imply that rainfall is a prominent driver of GRACE-TWS in this region as river discharge at the Congo Kinshasa station shows stronger association with the observed temporal evolutions in this mode. This will be discussed in detail in Section 4.2.

Meanwhile, the first mode of MERRA-TWS (PC1, Fig. 7) agrees strongly with GRACE-TWS, indicating a correlation of 0.91 compared to WGHM-TWS (0.81). But in the second mode (PC2, Fig. 7), WGHM-TWS is more associated with GRACE-TWS ( $r = 0.57$ ) com-

602 pared to MERRA-TWS ( $r = 0.22$ ). Combining the total variability explained (Fig. 7) and  
 603 the pre-validation results (Figs. 2a-b), MERRA-TWS is somewhat more suitable in West  
 604 Africa than WGHM-TWS. This conclusion cannot be made for the Equatorial countries (es-  
 605 pecially for MERRA) as uncertainties exist in both data for this sub-region, given their weak  
 606 associations with GRACE-TWS in the Congo basin cuvette. Specifically, the WGHM-TWS  
 607 for the region show some underestimation while the absence of groundwater in the MERRA-  
 608 TWS reanalysis data may constrain its performance in the Equatorial countries. It is noted  
 609 that the spatial patterns of MERRA-TWS in the second mode compares well with that of  
 610 GRACE-TWS (EOF2, Fig. 7) while the temporal patterns of WGHM-TWS in this same  
 611 mode is more associated ( $r = 0.57$ ) with that of GRACE-TWS (PC2, Fig. 7). Because of  
 612 its longer duration (i.e., it extends to 2015), MERRA-TWS data was adopted for long term  
 613 analysis of evolving temporal and spatial dynamics in TWS over TWA during the last 3.5  
 decades. In parallel, the WGHM groundwater changes over TWA are also analysed.

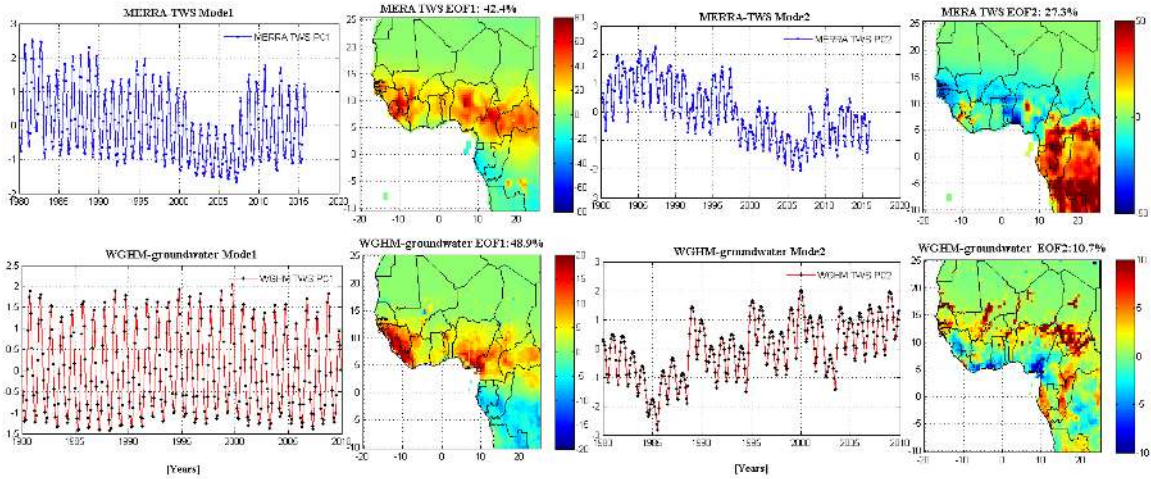


Figure 8: PCA decomposition of MERRA (1980 – 2015) and WGHM-based groundwater (1980 – 2009) over TWA. The EOFs are loadings showing spatial patterns of variations in TWS ( $\text{kg m}^{-2}$ ) and WGHM-groundwater (mm) over TWA while the corresponding PCs are temporal variations, which are normalised using their standard deviation to be unitless. Row 1 shows the first and second modes of MERRA-TWS (temporal evolutions and their corresponding spatial patterns) while row 2 indicates the first and second modes of WGHM groundwater (temporal evolutions and their corresponding spatial patterns). The variances explained by each PCA mode, similar to Figs. 5 and 6 are also indicated.

614

615 The MERRA-TWS show relatively strong spatial patterns in West Africa and the Equatorial countries for the first mode (42.4% variance) and second mode (27.3% variance), respectively (Row1, Fig. 8). The first mode of WGHM groundwater changes over TWA on the other hand, accounts for 48.9% of the total variability, with relatively strong spatial patterns in West Africa (Guinea, Liberia, Sierra Leone, and Nigeria) while the second mode accounts for 10.7% of the total variability (Row2, Fig. 8). The time series of MERRA-TWS associated with the band of EOF loadings over West Africa (Row1, PC1, Fig. 8) show strong inter-annual fluctuations with the lowest peak amplitudes (deficit conditions)

622

623 observed during the 2001 – 2006 period. The overall picture of this temporal evolutions  
 624 (MERRA-TWS PC1, Fig. 8) somewhat suggests a multi-decadal variability, but apparently  
 625 indicates the 2001 – 2006 period as the driest and hydrological drought years in West Africa  
 626 and is consistent with previous studies in West and Central Africa (e.g., [Ndehedehe et al.,](#)  
 627 [2016a](#); [Asefi-Najafabady and Saatchi, 2013](#)). For the second MERRA-TWS mode (Row1,  
 628 PC2, Fig. 8), considerable EOF loadings are observed over the Equatorial countries while  
 629 its corresponding temporal patterns indicate a strong decline (about  $26 \text{ kg m}^{-2} / \text{ month}$ )  
 630 during the 1985 – 2005 period that is consistent with observed soil moisture declines (PC2,  
 631 Fig. 6). The estimated trends in MERRA-TWS temporal patterns (Row1, PC2, Fig. 8) as  
 632 compared with GRACE-TWS (PC2, Fig. 7) during the 2003 – 2006 period are somewhat  
 633 close ( $0.58 \pm 0.15$  and  $0.50 \pm 0.10$ , for MERRA-TWS and GRACE-TWS normalised units,  
 634 respectively). The observed trends in MERRA-TWS over the Congo basin should, however,  
 635 be interpreted with caution because of the slight restriction of MERRA data observed in the  
 636 region (cf. Figs. 2a-c).

637 In the long term WGHM groundwater statistical decomposition, the leading patterns of  
 638 WGHM groundwater indicate annual and multi-annual variations in their temporal series,  
 639 i.e., PC1 and PC2, respectively (Row2, Fig. 8). It is rather interesting that regions of  
 640 observed spatial variability (EOF loadings) in groundwater are consistent with areas in West  
 641 Africa that receive the highest rainfall (cf. Figs. 4d and 5). The lowest maximum peaks in the  
 642 amplitudes of the time series associated with the EOFs of WGHM groundwater mode1 (Row2,  
 643 PC1, Fig. 8) are observed in 1983, 2005, and 2009. These years are significant hydrological  
 644 periods known for extreme drought conditions and matches some meteorological records and  
 645 reports for case-specific studies in West Africa (e.g., [Ndehedehe et al., 2016b,c](#); [Kasei et al.,](#)  
 646 [2010](#)). Unlike rainfall, soil moisture, and TWS, relatively strong spatial patterns of WGHM  
 647 groundwater over TWA in the second mode are mostly observed in the Sahel region of West  
 648 Africa. (Row2, PC2, Fig. 8). Countries in the Lake Chad basin-LCB (e.g., southern Chad and  
 649 north-east Nigeria) show relatively strong spatial variability with their associated temporal  
 650 patterns indicating trends in groundwater (Row2, PC2, Fig. 8). Except for the 1980 – 1985  
 651 period where the strong decline in groundwater is a response to the extreme drought of  
 652 1983/1984, substantial increase in groundwater during the 1985 – 2000 and 2003 – 2009  
 653 periods are pointers to potential groundwater resources of the LCB. In Mali, Congo, and  
 654 Equatorial Guinea, increase in groundwater are also observed (further details on trends are  
 655 provided in the next section). The lowest minimum groundwater amplitude over TWA is  
 656 observed in 1984/1985 (Row2, PC2, Fig. 8), notably the impact of the extreme drought of  
 657 1983/1984 in the region.

#### 4.1.4. Long term trends (1982-2014)

The long term trends in precipitation, TWS, soil moisture, and model groundwater were estimated for each grid points over TWA for the common period except for the WGHM outputs (1982 – 2009). The GPCC-based precipitation shows some pockets of positive trends in the Sahel, Liberia, and Equatorial Guinea (Fig. 9a). The trends in GPCC-based precipitation over the Sahel are somewhat consistent with observed positive trends in soil moisture, TWS, and groundwater (Fig. 9b-f). This would align with the two schools of thoughts on the hydro-climatic conditions of the Sahel: the ‘Sahel greening’ (e.g., Dardel et al., 2014; Boschetti et al., 2013; Olsson et al., 2005; Herrmann et al., 2005) and the recognised rainfall recovery in some parts of the Sahel (e.g., Nicholson, 2005; Lebel and Ali, 2009). Still within

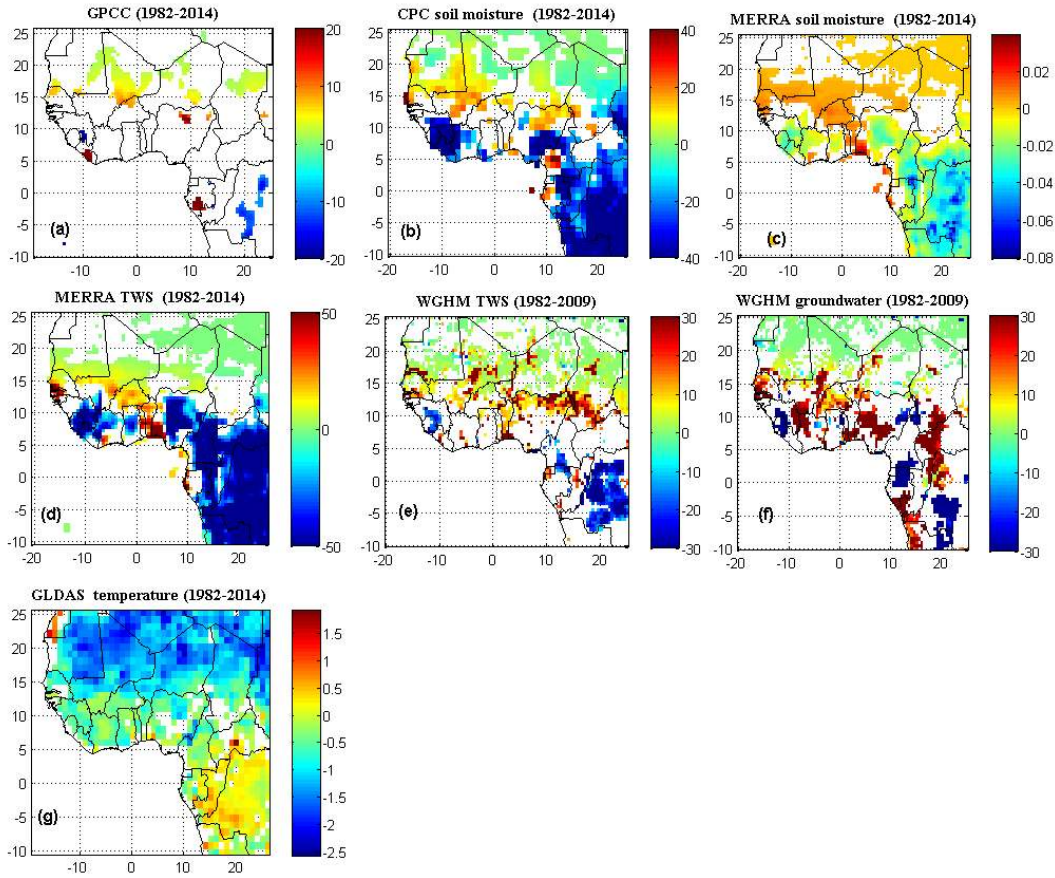


Figure 9: Spatial patterns of long term linear trends in (a) rainfall (1982 – 2014), (b)-(c) soil moisture (1982 – 2014), (d)-(e) TWS (1982 – 2014), (f) groundwater (1982 – 2009) and (g) land surface temperature (1982 – 2014) over TWA. Unlike other data (GPCC, CPC moisture, MERRA, and GLDAS), the WGHM derived TWS covers the (1982 – 2009) period. Only statistically significant trends ( $\rho < 0.05$ ) are presented and they are estimated in mm/yr. The MERRA soil moisture product is in  $m^3 m^{-3}/yr$  while temperature is estimated in DegC/yr.

the context of previous studies, observed positive trends in soil moisture (though dry conditions still persist in some parts) (Figs. 9b-c) in some parts of West Africa (i.e., the Sahel) would be a reversal of the drying trend reported by Sheffield and Wood (2008) during the



1950 – 2000 period.

Whereas the trends in the two soil moisture products (CPC and MERRA) and TWS (WGHM and MERRA) observed over West Africa are somewhat inconsistent, they tend to agree in the Equatorial countries (though WGHM shows negative trends mostly in DRC), indicating negative patterns during the period (Figs. 9b-e). The gross similarity in the spatial patterns of linear trends in soil moisture and TWS (between 40 – 60 mm/yr) over the Equatorial countries reveal the long term drying in the region. As rainfall is a principal component of the hydrological cycle, it is expected that long term changes in soil moisture should closely follow rainfall. But over the Equatorial regions, trends in rainfall (except in some parts of DRC and southern Gabon) are statistically insignificant (Fig. 9a) different from those of soil moisture products (Figs. 9b-c). Such disagreement may result from land surface conditions (e.g., temperature) or other meteorological processes. The former appears to be more critical in this case as statistically significant trends in temperature (1982 – 2014) have been observed, see, e.g., Fig. 9g. The Equatorial countries show considerable warming unlike the Sahel that shows significant cooling during the period. The synergy between precipitation deficits or lack of a statistically significant positive trend in precipitation and long term warming of the land surface can restrict recharge of the soil column leading to strong deficits in TWS/soil moisture as observed in the countries of the Congo basin. As Jung et al. (2010) noted, the 1998 El-Niño event coincided with decrease in global land ET, which was largely caused by soil moisture deficits during the 1998 – 2008 period. Climate change is expected to restrict the availability of freshwater and impact on the water resources sector. With the warming of the global oceans during the 1982 – 2014 period (not shown), the concern on how such changes will impact on the region’s land water storage is amplified. The interaction of the global ocean with TWS over TWA is discussed further in Section 4.3.

For obvious reasons such as the sensitivity of modeled land surface hydrology to forcing dataset that drives it (e.g., Sheffield and Wood, 2008), it is important to note that trends in these model and reanalysis soil moisture products would only be as strong as the historical meteorological data used in their forcing. In most cases, they are however, more reliable than satellite products (except GRACE data). Since uncertainties in the model and reanalysis data are likely, here, we focus more on the consistency of hydrological outcomes in each data to describe the observed changes during the period rather than an absolute value. Apparently, combining the result in Section 4.1.2 with this section, there is a strong evidence of a considerable loss in soil moisture in the Equatorial countries of TWA, which also aligns with some site-specific reports in the region. These observed trends in soil moisture, for example, coincide with the reported decline in vegetation greenness in the upper Congo basin (Zhou et al., 2014). Even more recently, long term drying (1950–2014) in Central Equatorial Africa has been reported by Hua et al. (2016). They identified SST variations over Indo-Pacific, and

large scale circulation changes related to a weaker West African monsoon as major causes. Hydrological conditions of the Congo basin have shown some marked variability in recent times. Between 2002 and 2006, the Congo basin lost about  $280 \text{ km}^3$  of stored water as estimated from GRACE-TWS by [Crowley et al. \(2006\)](#) while observed declines in GRACE-TWS in three sub basins of the Congo river basin (2003 – 2012) where attributed to deforestation by [Ahmed et al. \(2014\)](#). The strong spatial distribution of negative trends in soil moisture and TWS over the Equatorial countries (Figs. 9b-e), which are consistent with the aforementioned case studies above are glaring evidence of acceleration and shifts in the hydrology of the region. On the other hand, WGHM groundwater increased substantially in most parts of TWA (Fig. 9f). To be noted is the spread in positive distribution of groundwater trends in some areas (Congo basin and some parts of West Africa) where GPCC precipitation and the soil moisture products indicated negative trends and in some cases no statistically significant trends. Non-linear relationship of rainfall to catchment stores (e.g., groundwater, soil moisture, aquifer, etc.) in some areas of the Sahel exist (see, e.g., [Descroix et al., 2009](#); [Séguis et al., 2004](#)). In spite of decreasing rainfall in western Niger, [Favreau et al. \(2009\)](#), for example, reported a tremendous increase in water table, which they attributed to changes in land use pattern. These are some complicated and non-linear hydrological processes that characterise the region, which can possibly result from some other factors that may include: vegetation cover, soil type, depth to water table, greater infiltration, topography, amongst other factors. But in the key upstream and downstream areas of the Equatorial countries (e.g., DRC, Cameroon, and Gabon, etc.) that have strong seasonal rainfall (cf. Figs. 4b and e-f), there are locations with considerable declines in WGHM groundwater, suggesting long term hydrological droughts in the region (Fig. 9f).

#### 4.2. *Impact of the Congo river on terrestrial water storage dynamics of the Congo basin*

Apart from being under-represented in the literature on African climate variability (e.g., [Alsdorf et al., 2016](#); [Todd and Washington, 2004](#)), there is a prominent gap in our understanding of hydrological conditions in the Congo basin compared to other tropical continental river basins and regions in TWA (e.g., Niger, Volta basins, and West Africa at large) where some GRACE hydrological studies have been reported (see, e.g., [Werth et al., 2017](#); [Ndehedehe et al., 2016a, 2017a](#); [Henry et al., 2011](#)). Few GRACE studies, e.g., the pioneering work of [Crowley et al. \(2006\)](#) over the Congo basin and that of [Lee et al. \(2011\)](#) in the Congo basin cuvette have nonetheless, been reported. As the aforementioned studies did not study the impact of Congo river on GRACE-derived TWS, this section discusses the role of the Congo river on the water storage dynamics of the basin. The Congo river (has an approximate length of  $4700 - 5100 \text{ km}$ ) is the second largest river in Africa and drains one of the largest tropical forests of the world ([Shahin, 2008](#)). Given that low discharge values are observed between July and August, a period corresponding to low annual rainfall and



745 SST, the impact of climate variability on the flow regime of the Congo river is presumably  
 746 expected to have significant influence on the hydrological system of the Cong basin. To  
 747 further investigate the contribution of Congo river to the observed GRACE-hydrological sig-  
 748 nal, the temporal evolutions of GPCC-based precipitation, soil moisture (CPC and MERRA  
 749 products), and TWS (GRACE, WGHM, and MERRA) over the Equatorial countries (PC2,  
 750 Figs. 5, 6, and 7, respectively), obtained from PCA in the preceding sections are compared  
 with standardised river discharge anomalies for the common period (2002 – 2010). River dis-

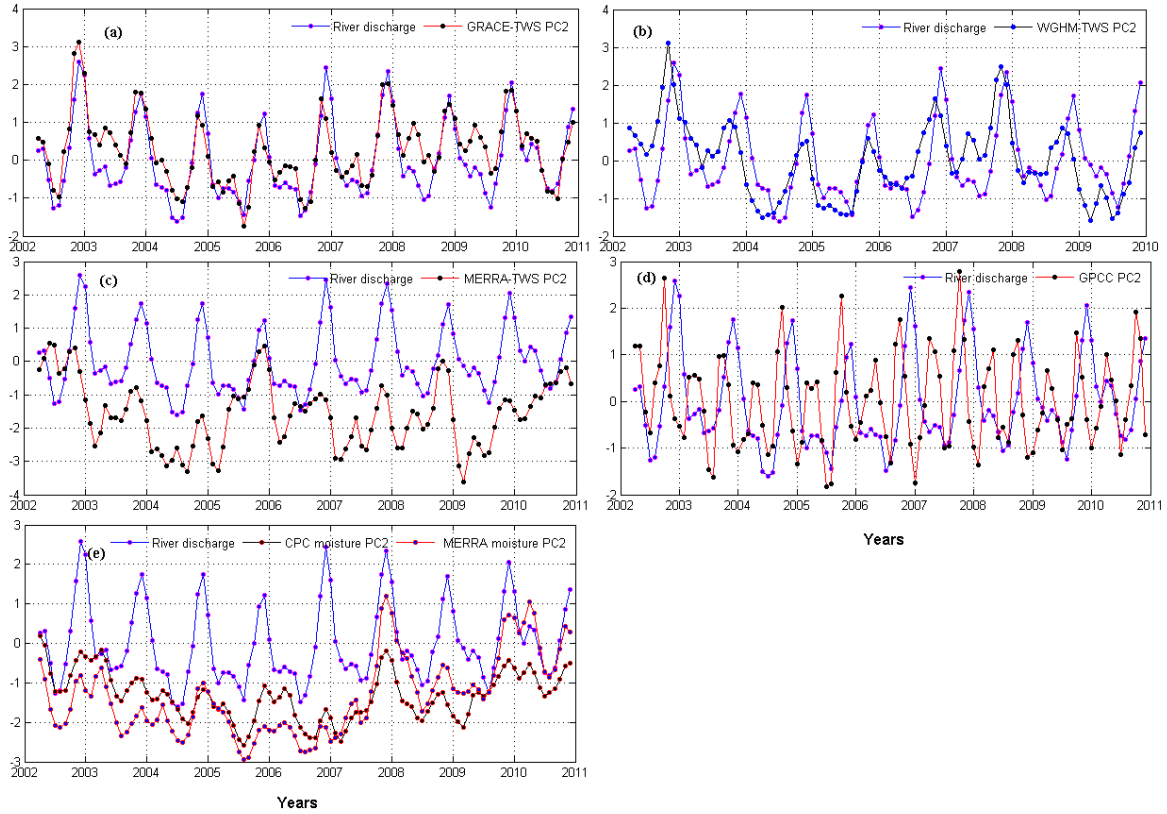


Figure 10: The relationship between the Congo river and TWS. The temporal patterns (PC2, Figs. 5, 6, and 7) of (a) GRACE-derived TWS, (b) WGHM-TWS, (c) MERRA-TWS, (d) GPCC-based precipitation, and (e) soil moisture (i.e., CPC and MERRA products) are compared with the standardised anomalies of river discharge at the Congo Kinshasa station for the common time period (2002 – 2010). All correlations are statistically significant at 95% confidence level.

751

752 charge anomalies showed the strongest association with the time series associated with the  
 753 second EOF of GRACE-TWS (PC2, Fig. 7), indicating a statistically significant correlation  
 754 of 0.86 ( $\rho < 0.05$ ) compared to those of WGHM-TWS ( $r = 0.61$ ,  $\rho < 0.05$ ), MERRA-TWS  
 755 ( $r = 0.37$ ), and GPCC-precipitation ( $r = 0.02$ ) (Figs. 10a-d). But GPCC-precipitation (PC2,  
 756 Fig. 5) is associated with river discharge anomalies during the same period at 2 months lag  
 757 ( $r = 0.50$ ,  $\rho < 0.05$ ) (Fig. 10d). The temporal patterns of soil moisture over the Congo basin  
 758 (CPC and MERRA PC2, Fig. 6) showed moderate associations ( $r = 0.51$  and  $r = 0.50$  for  
 759 CPC and MERRA products, respectively) with river discharge (Fig. 10e).

760

The singular spectral analysis of two leading components of river discharge, which were

761 based on SVD decomposition of the lagged covariance matrices were also compared with  
 762 GPCP-precipitation (PC2, Fig. 5), soil moisture (PC2, Fig. 6), and GRACE TWS (PC2,  
 763 Fig. 7) modes over the Congo basin using regression. The annual (first spectral mode indicat-  
 764 ing  $R^2 = 0.70$  with TWS) and the multi-annual (second spectral mode indicating  $R^2 = 0.50$   
 765 with TWS) variations of river discharge, which accounted for total variabilities of 75% and  
 766 23%, respectively, explained 70% and 50% of the variability in GRACE-TWS (Figs. 11a-b).  
 767 These river discharge modes, however, showed no relationship with GPCP-rainfall (Figs. 11c-  
 768 d), but rather interestingly, similar to the earlier analysis, at two months lag time, maximum  
 769 correlations were found ( $r = 0.33$  and  $r = 0.64$ , respectively). The association of soil moisture  
 770 with the first spectral mode of river discharge (annual variations) are consistent as indicated  
 771 earlier ( $r = 0.50$  and  $R^2 = 0.25$  for both CPC and MERRA soil moisture products) while  
 772 the second spectral mode of river discharge (multi-annual variations) indicated correlations  
 773 of 0.41 ( $R^2 = 0.17$ ) and 0.34 ( $R^2 = 0.12$ ) with CPC and MERRA soil moisture products,  
 respectively (Figs. 11e-f). Hence, this evidence suggests that the multi-annual changes in

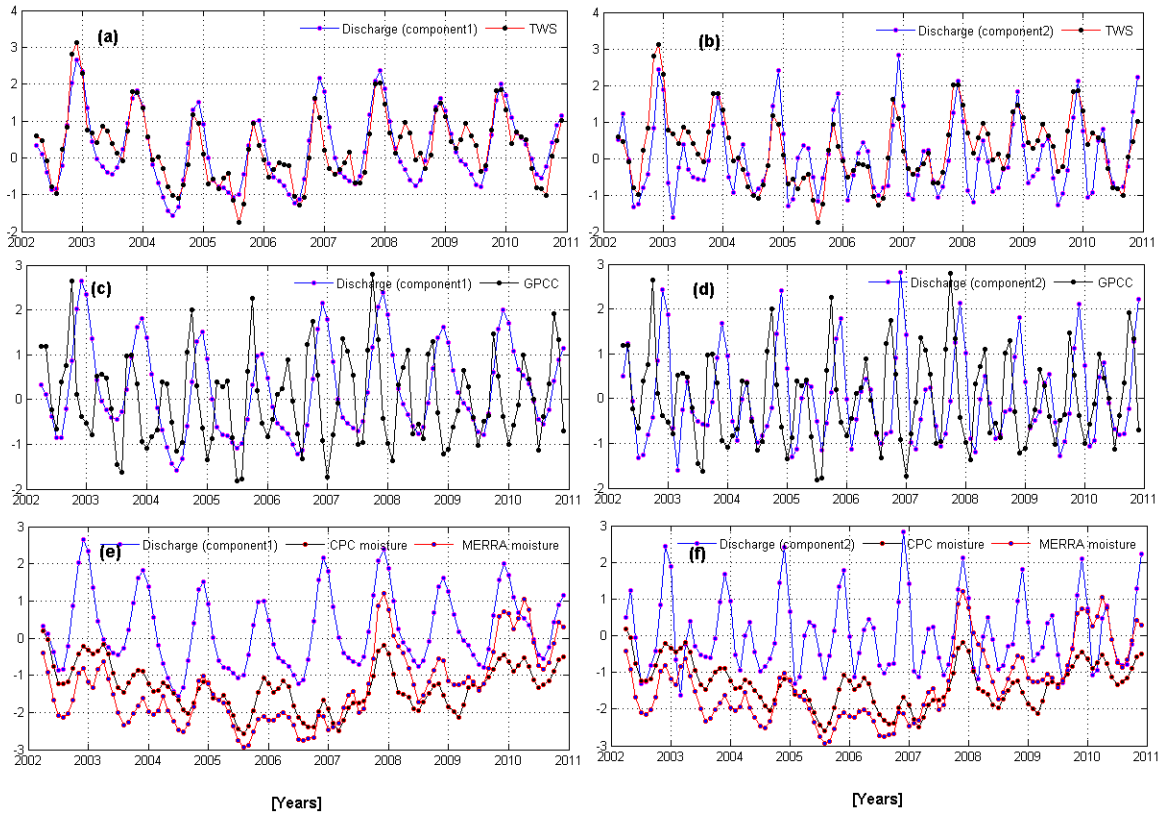


Figure 11: Singular spectral analysis of river discharge time series of the Congo basin. (a/c/e) The annual variations and (b/d/f) multi-annual variations of river discharge in the Congo basin are compared with GPCP-based precipitation (PC2, Fig. 5), soil moisture (i.e., CPC and MERRA PC2, Fig. 6), and GRACE-derived TWS (PC2, Fig. 7) over the Congo basin. The time series of river discharge are the reconstructed expansion coefficients.

774

775 land water storage (GRACE-derived TWS, PC2, 7) over the Congo basin is largely and  
 776 prominently induced by its surface waters (river discharge), that is, in addition to the soil

moisture variation previously discussed. WGHM-TWS also shows a better association with river discharge (Fig. 10b) compared to MERRA-TWS (Fig. 10c) perhaps due to the presence of groundwater and discharge in the WGHM data. The hydrological significance of rainfall in the land water storage dynamics is not ruled out as the central Congo receives high tropical rainfall of 1800 – 2400 mm annually with almost no dry season (Gupta, 2007). For example, between the early 2003 and 2005, Crowley et al. (2006) noted that precipitation contributed roughly three times the peak water storage after an unusual rainy season in the Congo basin. On the analysis of temporal variability in the water resources of TWA using historical stream flow data, Conway et al. (2009) argues that rainfall amongst other factors (e.g., human interventions) provides the dominant control on inter-annual and decadal variability in river flows. The aerial averaged GPCC precipitation over the Congo basin indicates a strong association ( $r = 0.70$ ,  $\rho < 0.05$ ) with river discharge at one month lag. This simply reinforces the role of rainfall as a principal component in the variations of river discharge of the Congo basin, though this relationship (rainfall-river discharge) varies on decadal time scales as observed in West Africa by Conway et al. (2009).

But the flow regime of the Congo river is however, somewhat complex. Mostly because of its catchment characteristics, equatorial climate and the multiple sources of discharge from tributaries originating from the highlands and mountains of the East Africa Rift, Lake Tanganyika, Lake Mweru, even up to the Lualaba river, and the central Congo (see details in Shahin, 2008). Due to physiographic features, some of these rivers have complex drainage systems that affect their temporal stability and relationship with rainfall. Whereas this is true for the Congo basin as reported by Conway et al. (2009), they further reported a case study of weak relationship between outflows from Lake Victoria and basin rainfall, even during periods of stationary conditions. Such non-stationary behaviour between river discharge and rainfall evolutions exists in the Congo basin. Because of its climatological diversity and the impacts of physical mechanisms such as the Walker circulations, ENSO, and SST on rainfall variability (e.g., Farnsworth et al., 2011; Nicholson and Selato, 2000), the river discharge-rainfall relationship in the basin can only be more complicated. However, since technically, GRACE measures changes in the Earth’s total integrated vertical column of water, the combined effect of multiple discharge sources (surface water) and heavy rainy seasons are major fluxes that will primarily drive the GRACE water column in the Congo basin.

Furthermore, Gupta (2007) reported on the seasonal flow regime, indicating that observed increase in river discharge at the Kinshasa station, occurs between April and June due to increased flow from the southern basin, thereby triggering a second discharge peak, different from the major first peaks that occurs between November and January. These two flow regimes in the basin, coincides with the semi-annual patterns in the temporal evolutions of

our PCA results for TWS, soil moisture, and rainfall (see Sections 4.1.1, 4.1.2, and 4.1.3). Considering all of the above, and the fact that the Congo river has an average annual flow that represents about 40% of the Continent's discharge, the river discharge of the Congo basin (i.e., from the upstream and downstream areas) is justified as a principal and direct driver of GRACE-TWS in the region. In summary, while the TWS of most countries in

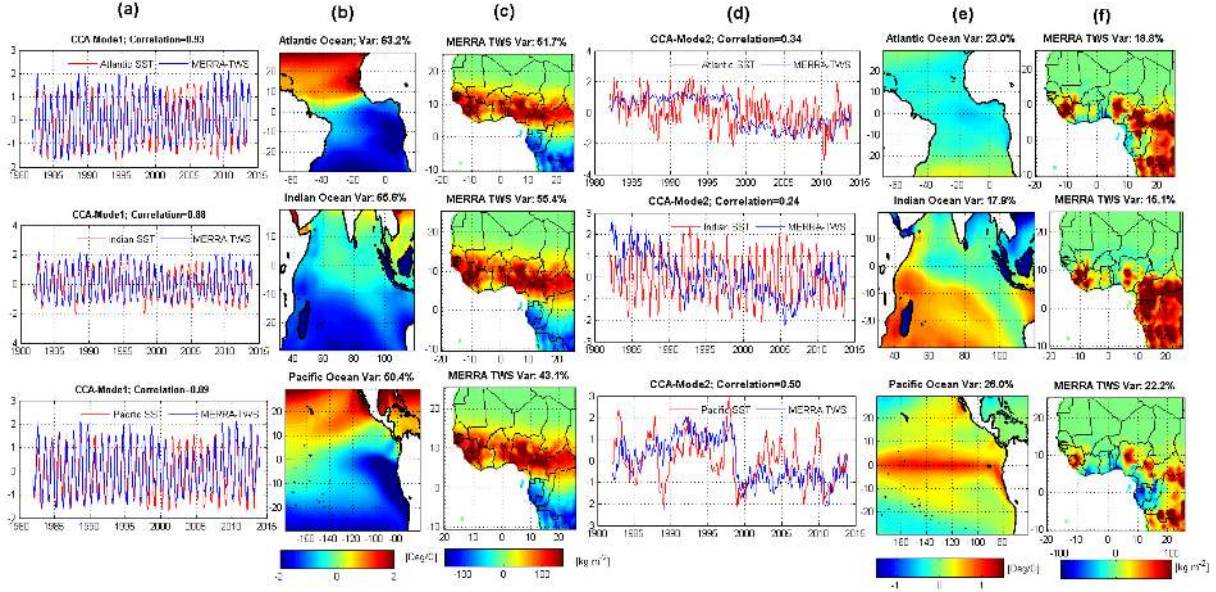


Figure 12: Leading canonical modes of SST (Atlantic, Indian and Pacific Oceans) and MERRA TWS (1982 – 2014). The canonical component time series of SST (predictors) and MERRA TWS (predictands) are in normalised units (a and d). The SST (b and e) and MERRA TWS (c and f) loadings have been adjusted to their original units (i.e., DegC and mm, respectively). Their variances (Var) explained in percentages are also indicated.

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West Africa (e.g., Guinea, Nigeria, Mali, Liberia, Cameroon, etc.) are triggered by annual precipitation cycles induced by ocean circulations, altitude and physiographic features as reported previously (Ndehedehe et al., 2016a), the analyses in this study show that GRACE-derived TWS over the Congo basin is however, largely determined and driven by changes in the river discharge of the Congo basin. In the next section, the impact of climate variability on the basin's water fluxes (TWS and river discharge) is discussed.

### 4.3. TWS and links to sea surface temperature (SST)

#### 4.3.1. Influence of global SST on long term MERRA-TWS (1982–2015)

Large scale SST anomalies have been identified as significant components of observed variabilities in rainfall over tropical West Africa-TWA (e.g., Odekunle and Eludoyin, 2008; Farnsworth et al., 2011; Semazzi et al., 1988; Nicholson, 2013, and the references therein). Establishing the association between SST and TWS forms the physical basis of understanding the response of land water storage to inter-annual changes in global climate. The focus in this section is to examine the links between TWS over TWA and global climates based on CCA. Prior to the implementation of canonical correlation analysis (CCA), the

Table 2: Regression results ( $R^2$ ) of temporal evolutions of SST (PC1 and PC2) over the three oceans (Atlantic, Indian, and Pacific) with those of TWS MERRA (1982 – 2014) and GRACE (2002 – 2014). Relationships are significant at the 95% significant level for  $R^2$  in bold.

Data	PCs	Atlantic Ocean		Indian Ocean		Pacific Ocean	
	Temporal	PC1	PC2	PC1	PC2	PC1	PC2
Merra TWS	PC1/PC2	<b>0.56</b>	0.03	<b>0.44</b>	0.008	<b>0.53</b>	0.006
GRACE-TWS	PC1/PC2	<b>0.72</b>	<b>0.21</b>	<b>0.48</b>	0.00	<b>0.66</b>	0.05

pre-orthogonalisation results (i.e., the first and the second modes of SST and TWS) were compared using regression. From the regression results summarised in Table 2, the leading temporal variations of TWS (PC1), especially GRACE show strong relationship with those of SST anomalies in the three Oceans. The temporal components of Atlantic and Pacific SST in these leading modes show strong association with MERRA TWS compared to the Indian Ocean (Table 2). These relationships are reliable indicators of SST anomalies as prominent drivers of TWS in the region. But in the CCA results, significant associations are found in the two retained canonical modes. The CCA maps ( $g$ -map or the predictor map and  $h$ -map or the predictand map) and their temporal series, i.e., the canonical variables for the first and second modes of MERRA-TWS/SST and GRACE-TWS/SST are indicated in Figs. 12 and 13, respectively. The principal loading patterns (CCA spatial patterns or maps) associated with the temporal series (i.e.,  $u$  and  $v$ ) in the first canonical modes (Figs. 12a-c) show that strong negative and positive SST anomalies in the three oceans represent strong potential predictors for the dominant mode of MERRA-TWS over TWA. Their temporal series (Fig. 12a) are highly correlated (Table 3) and indicate the inter-annual variations in the observed amplitudes of the predictor loading patterns (Fig. 12b). Two well known features are observed in the heterogenous patterns (except for the Indian Ocean) of the first CCA modes of the predictor maps. Firstly, the warming of the northern ocean indicated by strong positive canonical loadings and cooling of the south-eastern Oceans (Fig. 12b). Secondly, these patterns are analogous to strong positive canonical loadings in West Africa and strong negative loadings in Equatorial countries (Figs. 12b). These predictor loadings, which essentially show an inter-hemispheric dipole configurations (except for the Indian Ocean), strongly influences the variability of the predictand (Fig. 12c). Meanwhile, the large scale coherent negative canonical loadings over the southern Indian Ocean exhibited by the first CCA mode of SST field (Fig. 12b) suggest the predominant control of this Ocean on the annual variability of TWS in the region. When instigated by other physical mechanisms (e.g., ENSO), or sometimes in conjunction with the Atlantic Ocean, the Indian Ocean plays a complementary role on inter-annual rainfall variability in TWA. For example, teeming up with an anomalously warm eastern tropical Atlantic SST, an unusually warm Indian Ocean SST is known to affect moisture transports, causing reduced rainfall and drought in the Sahel



Table 3: Results of canonical correlations of SST (CCA-1 and CCA-2) over the three oceans (Atlantic, Indian, and Pacific) with those of MERRA TWS (1982 – 2014) and GRACE-TWS (2002 – 2014). Relationships are significant at the 95% significant level for all canonical correlation values.

Data	Vectors	Atlantic Ocean		Indian Ocean		Pacific Ocean	
	Temporal series	CCA-1	CCA-2	CCA-1	CCA-2	CCA-1	CCA-2
Merra TWS	CCA-1/CCA-2	<b>0.93</b>	<b>0.34</b>	<b>0.88</b>	<b>0.24</b>	<b>0.89</b>	<b>0.50</b>
GRACE-TWS	CCA-1/CCA-2	<b>0.96</b>	<b>0.61</b>	<b>0.96</b>	<b>0.63</b>	<b>0.95</b>	<b>0.65</b>

region of TWA (Bader and Latif, 2011; Giannini et al., 2003). In Africa, some studies (e.g., Farnsworth et al., 2011; Nicholson and Selato, 2000) have further confirmed that SSTs in the Indian and Atlantic Oceans influence rainfall variability. Whereas the impact of SST anomalies of these two Oceans is an important consideration for an ENSO event, their actual connection is undetermined and inconclusive (Farnsworth et al., 2011). However, strong changes in regional precipitation resulting from the perturbations of these Oceans have direct impacts on the variations in land water storage over TWA. Considering the total variabilities explained by the first and second canonical modes (65% and 17.9%, respectively) of SST over the Indian Ocean and their corresponding correlations (Table 3), this study confirms that the Indian Ocean are also predictors of variabilities in TWS over the region.

In the second canonical modes of SST and MERRA-TWS, the temporal components of pacific SST and MERRA-TWS are relatively better correlated, indicating a correlation of 0.50 ( $\rho < 0.05$ ) (Table 3 for Pacific Ocean) compared to other canonical vectors (Figs. 12d). Given the significance of the Pacific Ocean as a very popular oceanic *hot spot* that plays key roles in El-Niño and La-Niña cycles (e.g., Koster et al., 2004; Trenberth, 1997), the temporal component of the second CCA mode of Pacific SST anomalies, accounting for 26.0% of the total variability (Fig. 12e) is an ENSO-related mode. This is because the temporal component (Pacific SST) of this CCA mode indicated a correlation of 0.90 ( $\rho < 0.05$ ) with the ENSO index, suggesting the prominent role of ENSO in the inter-annual variations of MERRA-TWS in the tropical areas of TWA (Fig. 12f), especially the upstream and downstream areas of the Congo basin. Since rainfall patterns over Africa are strongly affected by a number of global climate modes as is the case in many parts of the world, the coupled effect of the ENSO phenomenon on a large part of the variability in MERRA TWS over TWA as shown in Figs. 12d-f (Pacific SST) would be expected. When this is juxtaposed with the significant correlation between rainfall anomalies in TWA and tropical Pacific SST reported e.g., by Semazzi et al. (1988), it is here argued that the equatorial Pacific represents a significant and probably one of the most relevant oceanic *hot spot* that play key roles in strong hydrological changes in TWA.

The second CCA modes of Atlantic and Indian SST anomalies (Figs. 12d-f), however, are weakly associated with MERRA-TWS (0.34 and 0.24, respectively). Furthermore, Atlantic



Multi Decadal Oscillation (AMO) is modestly associated with the Atlantic SST in the second CCA mode ( $r = -0.50$ ,  $\rho < 0.05$ ) (Fig. 12d), and may also represent a significant climate mode from the Atlantic Ocean that somewhat influences TWS in TWA. A study on global trends and variability in soil moisture and drought characteristics by Sheffield and Wood (2008) suggests that apart from ENSO, the variability in AMO accounts for some of the inter-annual and decadal variabilities in soil moisture and drought characteristics in West Africa and many other regions of the world. Although such influence may be different when TWS is considered, the CCA results show that the long term MERRA-TWS is able to detect prominent indices of climate variability (e.g., ENSO, AMO, etc.) that impacts on the climate system of TWA. This would be consistent with some pioneering studies (e.g., Ndehedehe et al., 2017b; Boening et al., 2012; Phillips et al., 2012) that have shown how teleconnection patterns around the globe are associated with changes in global mean sea level and continental water storage. As part of the numerical results obtained in this study, strong warming in

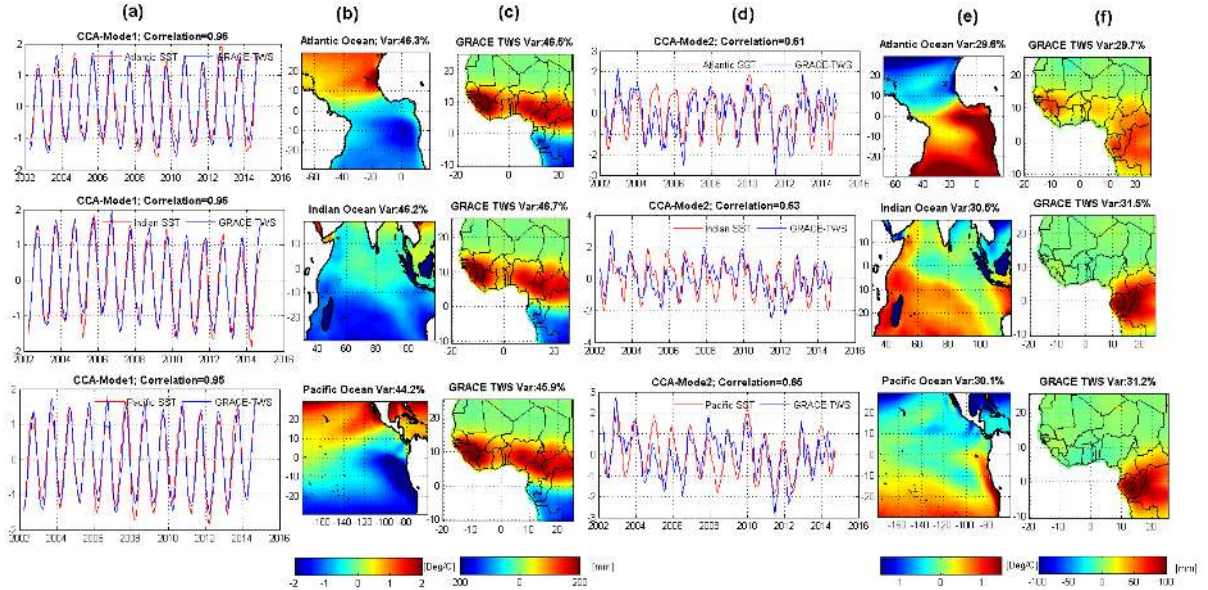


Figure 13: Leading canonical modes of SST (Atlantic, Indian and Pacific Oceans) and GRACE TWS (2002 – 2014). The canonical component time series of SST (predictors) and GRACE TWS (predictands) are in normalised units (a and d). The SST (b and e) and GRACE TWS (c and f) loadings have been adjusted to their original units (i.e., DegC and mm, respectively). Their variances (Var) explained in percentages are also indicated.

most parts of the Oceans except in the Pacific where some areas indicate both cooling and a considerable warming was observed. Whereas this warming of the global oceans coincides with observed negative trends in TWS and soil moisture in much of TWA (Figs. 9b-e), it also suggests the interplay of the three Oceans in modulating moisture transports across the region. For example, the weight of evidence have shown that changes in SST anomalies in the north tropical Atlantic, apart from modulating the Pacific climate variability, can influence the predictability and variability of ENSO and trigger its events (see, Ham et al., 2013, and

the references therein). Consequently, the warming of the tropical Atlantic Ocean during the 1982 – 2014 period (not shown) maybe very significant to the observed relationships of ENSO and AMO with TWS, confirming their roles in the region.

#### 4.3.2. Relationship of SST with GRACE-TWS during the 2002–2014 period

The CCA diagnostics also show that the dominant modes of SST evolutions of the three Oceans (Atlantic, Indian, and Pacific) have strong influence on annual GRACE-TWS variations (Figs. 13a-c) as the canonical correlations (Table 3) for the first and second CCA modes are relatively high and statistically significant ( $\rho < 0.05$ ). The temporal components of SST from all Oceans in the second CCA modes are reasonably or well correlated with GRACE-TWS (Fig. 13d), indicating that all oceans play significant roles in the observed GRACE-TWS changes of the Congo basin (Figs. 13e-f). Apart from the Congo basin, GRACE-TWS in Guinea is potentially predictable from the inter-hemispheric dipole configurations of the strong SST patterns in the Atlantic Ocean as can be seen in the second CCA mode (Figs. 13d-f). The annual and bimodal features reflected in the inter-annual variations of rainfall (cf. Fig. 5) over TWA are propagated in the CCA of the two fields-SST and GRACE-TWS (Figs. 13a and d) and implies a strong coupling between the two. Large coherent positive loadings observed in the predictor maps (i.e.,  $g$ ) of the southern and eastern parts of all Oceans in the CCA modes (Fig. 13e) are in association with strong positive canonical loadings in the predictand maps (i.e.,  $h$ ) observed over the Congo basin (Fig. 13f). These are useful CCA diagnostics, which may provide some important explanations on observed physical mechanisms that help relates the SST fields to those of GRACE-TWS. For instance, in the last half of the 20th century, the warming of the South and North Atlantic Oceans have contributed to drying conditions in the region (e.g., Nicholson, 2013; Giannini et al., 2013). Although changes in the Indian Ocean SST have also been identified as inducing dry conditions in the Sahel region as highlighted earlier, such impacts as reported by Giannini et al. (2003), are usually facilitated by an occasionally warmer-than-average SST of the eastern Atlantic Ocean.

Whereas it is agreed that SST in the equatorial Atlantic favour convection in the Guinea Coast countries (see, e.g., Odekunle and Eludoyin, 2008; Nicholson and Webster, 2007), Aguilar et al. (2009) observed over Central Africa (much of the Congo basin) a decrease in heavy (total) precipitation over the last half century. A similar change in the climate patterns of the region has again emerged in a more recent study by Hua et al. (2016). They observed drying trends in equatorial Africa and suggests that it is essentially modulated by SSTs and the regional/global atmospheric circulation patterns. As one of the major convective regions of the world, which during the transition seasons, dominates global tropical rainfall (Washington et al., 2013), the Congo basin’s rainfall climatology is strongly influenced by SST teleconnection patterns (notably ENSO and the Indian Ocean dipole oscillation) and

Table 4: Correlations results of SST canonical components (CCA-1 and CCA-2) over the Atlantic, Indian, and Pacific Oceans (Fig. 13) with observed river discharge anomalies during the same period (2002 – 2010). Correlations are statistically significant ( $\alpha = 0.05$ ) for all values in bold. Those marked with asterisks (\*) are not statistically significant.

Data	Vectors	Atlantic Ocean		Indian Ocean		Pacific Ocean	
Temporal series		CCA-1	CCA-2	CCA-1	CCA-2	CCA-1	CCA-2
River discharge		*0.09	<b>0.76</b>	*0.07	<b>0.77</b>	*0.13	<b>0.74</b>
GPCC-rainfall		<b>0.22</b>	<b>0.60</b>	<b>0.20</b>	<b>0.63</b>	<b>0.20</b>	<b>0.42</b>

provides more challenges in the set up of attribution studies (see, [Otto et al., 2013](#)). Considering that SST, atmospheric circulation features, synoptic and mesoscale convective systems regulate rainfall conditions in the Congo basin (Equatorial Africa), such influence play key role on hydrological processes (considerable changes in TWS) of the region at seasonal and annual time scales. It is suggested here that the relational stability (the somewhat consistent association between the canonical variate) observed in the temporal series (Fig. 13d) of the second CCA mode (Table 3) and the evolutionary developments of their corresponding predictor and predictand maps (Figs. 13e-f) would be logical indications that these parts of the Oceans have considerable influence on the regional variability of TWS in TWA. Specifically, the SST of all southern Oceans modulate GRACE-TWS changes in the Congo basin by directly influencing the tropical rain belt and the inter-tropical convergence zone (ITCZ). A plethora of case studies in [Farnsworth et al. \(2011\)](#) have reported on the observed relationships between large scale SST anomalies and rainfall variability in the region (including the Congo basin), confirming that SST variations and land-surface gradients modulate rainfall in the Congo basin area by directly influencing the strength and loci of the tropospheric jets. It is also noted that the temporal component of Atlantic SST in the second CCA mode (Fig. 13d) associated with the heterogenous patterns of the predictor map (Fig. 13e) covaries well with the Atlantic Meridional Mode-AMM index ( $r = 0.50$ ,  $\rho < 0.05$ ), re-emphasising the Atlantic SST as a driver of GRACE-TWS variability.

Unlike MERRA TWS, the short time series of GRACE-TWS makes its difficult to identify any low frequency climate oscillation in the main portion of the CCA procedure that is associated with its changes. The AMO, for instance, is a ‘multi-decadal’ oscillation with a large spectral energy density at periods spanning more than two decades compared to ENSO, which has a large energy between 2 – 5 years, with positive and negative phases having large asymmetric amplitude variations. Although the ENSO oscillations were not isolated in the main portion of the CCA procedure (GRACE Vs SST), considerable strong and significant relationship exist between the SST of the three Oceans and GRACE-TWS (Table 3) and could be helpful to forecast the development of several types of ENSO episodes ([Ham et al., 2013](#)) and other indices of climate variability that are known to have broad

impacts on rainfall variance in the region. The coherent relationship of SST anomalies in the second CCA mode with GRACE-TWS index in the Congo basin area (Figs. 13d-f) is sufficient to suggest that the scale of oceanic influence in the basin is global. It is interesting that the temporal components of SST in the CCA output presented in Fig. 13 are also related to inter-annual variability of river discharge and precipitation in the Congo basin. Their correlation results summarised in Table 4 suggest that river discharge of the Congo basin is more dynamically coupled to the second CCA mode of SST over the three oceans (Figs. 13d-f). Although rainfall also show a direct association as would be expected, river discharge indicated relatively stronger correlation with the temporal series of SST from all oceans in the second CCA mode (Table 4). This would be consistent with the discussion in Section 4.2, where river discharge is said to provide the dominant control on GRACE-TWS in the Congo basin. The Congo basin's river discharge indicates consistent and strong positive relationship with the SST anomalies of the three oceans and is consistent with other studies that reported similar positive association between rainfall in the Congo basin and SST of the Atlantic and Indian Oceans (e.g., Farnsworth et al., 2011; Todd and Washington, 2004). Considering that SST from the three oceans explain large parts of the variability in the Congo river discharge (Table 4), which shows considerable association with GRACE-hydrological signal, then changes in SST will impact on the hydrological variability of the Congo basin. With GRACE data being able to identify multi-annual changes in the river discharge of the Congo basin (Figs. 10a and 11a), essentially, it is emerging as a stronger tool for studies of hydrological processes, especially in the light of its recent agreement with altimeter observations over the Caspian Sea (see, Chen et al., 2017).

## 5. Conclusion

Long term hydrological changes (1980 – 2015) based on a suite of model and global reanalysis data over tropical West Africa (TWA) and their links to SST anomalies were studied using several multivariate techniques and Man-Kendall's statistics. On the one hand, GRACE data was employed to examine key hydrological metrics and the impacts of ocean perturbations on the terrestrial hydrology of TWA. Model and reanalysis data on the other hand, were employed to help complement the short time series of GRACE in assessing the hydrological properties of TWA and to identify global teleconnection patterns and climate oscillations that may impact on the temporal variations of land water storage and hydro-meteorological conditions. The results are summarised as follows;

- (1) The broad agreement across models and reanalysis data on declines in land water storage (TWS, soil moisture, and groundwater) in the Congo basin confirms a statistically significant ( $\rho < 0.05$ ) long term drying in the region and coincides with warming of the land surface and the surrounding oceans. Some areas in West Africa and the Congo

basin however, show statistically significant positive trends in the model groundwater. Some of these positive trends (model groundwater) are somewhat inconsistent with those of rainfall, soil moisture, and TWS in some areas, probably due to land surface conditions and complex hydrological processes. Meanwhile, the Sahel show some wetting trends in rainfall, soil moisture, and TWS during the period. This generally aligns with the ongoing narratives of rainfall recovery in the Sahel region. The observed trends in these dataset should be interpreted with caution given that the output of these models maybe sensitive to the forcing dataset that drives them and due to potential uncertainties that maybe associated with them.

(2) GRACE hydrological signal over the Congo basin is strongly associated with the multi-annual changes in the Congo river discharge ( $r = 0.86$ ,  $\rho < 0.05$ ). The relationship between the two leading components of river discharge obtained from singular spectral analysis and the temporal evolutions of GRACE-derived TWS over the Congo basin (i.e.,  $R^2 = 0.70$  and  $0.50$  for the first and second spectral components, respectively), confirm that the Congo river discharge remains a prominent hydrological indicator that contributes significantly to observed variations in GRACE-derived TWS. In addition, it shows a considerable association with SST anomalies of all the three southern Oceans (Atlantic, Indian, and Pacific). The declines in soil moisture ( $\sim -45.9 \pm 8.1$  mm/yr,  $\rho < 0.05$ ) in the basin coincided with those of GRACE-TWS ( $\sim -78.4 \pm 20.3$  mm/yr,  $\rho < 0.05$ ) during the 2003 – 2006 period, also confirming the significant role of inter-annual changes in soil moisture to the observed variations in GRACE-TWS. In the light of its recent agreement with other large scale satellite geodetic missions, and the ability to resolve strong signals of water storage variations over surface waters in smaller basins, GRACE gravimetry emerges as a stronger ‘tool in the box’ for studies of hydrological changes and monitoring the impacts of climate variability in the data deficient African region.

(3) The CCA diagnostics showed that the scale of oceanic influence on MERRA and GRACE TWS over TWA is global. ENSO related equatorial Pacific SST fluctuations was a major index of climate variability identified in the main portion of the CCA procedure that showed a considerable association with long term MERRA data over TWA. Variabilities in Atlantic Meridional Mode and Atlantic Multi-decadal Oscillation were also found to be modestly associated with the canonical components of Atlantic SST. These climate oscillations indicated statistically significant ( $\rho < 0.05$ ) association with TWS, re-emphasising the role of Atlantic SST variability in the region. It is obvious from the study that the data deficient sub regions of Africa can benefit from the applications of GRACE gravimetry and reanalysis data to monitor the impacts of climate variability on its terrestrial hydrology.



1053 (4) Over TWA, the leading modes (annual amplitudes) of long term variations in rainfall,  
1054 soil moisture, and TWS data were found over West African countries (located between  
1055 latitudes  $5^{\circ}\text{N}$  and  $15^{\circ}\text{N}$ ) and some countries of the Congo basin while Guinea, Liberia,  
1056 Sierra Leone, and southern Nigeria have the strongest variability in model groundwater.  
1057 These annual amplitudes generally show linear and considerable relationship with SST  
1058 anomalies from the surrounding oceans.

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