

# Changes in US Outpatient Antibiotic Prescriptions From 2011–2016

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## (See the Editorial Commentary by Gerber on pages 378-9.)

**Background.** While antibiotics are life-saving drugs, their use is not without risk, including adverse events and antibiotic resistance. The majority of US antibiotic prescriptions are prescribed in outpatient settings, making outpatient antibiotic prescribing an important antibiotic stewardship target. The primary objective of this study was to describe trends in US outpatient oral antibiotic prescriptions from 2011–2016.

*Methods.* We estimated annual oral antibiotic prescription rates using national prescription dispensing count data from IQVIA Xponent, divided by census estimates for 2011–2016. We calculated the ratio of broad- to narrow-spectrum prescriptions by dividing broad-spectrum prescription rates by narrow-spectrum prescription rates. We used Poisson models to estimate prevalence rate ratios, comparing 2011 and 2016 antibiotic prescription rates, and linear models to evaluate temporal trends throughout the study period.

**Results.** Oral antibiotic prescription rates decreased 5%, from 877 prescriptions per 1000 persons in 2011 to 836 per 1000 persons in 2016. During this period, rates of prescriptions dispensed to children decreased 13%, while adult rates increased 2%. The ratio of broad- to narrow-spectrum antibiotics decreased from 1.62 in 2011 to 1.49 in 2016, driven by decreases in macrolides and fluoroquinolones. The proportion of prescriptions written by nurse practitioners and physician assistants increased during the study period; in 2016, these providers prescribed over one-quarter of all antibiotic prescriptions.

**Conclusions.** Outpatient antibiotic prescription rates, especially of broad-spectrum agents, have decreased in recent years. Clinicians who prescribe to adults, including nurse practitioners and physician assistants, are important targets for antibiotic stewardship.

Keywords. antibiotic; antibiotic stewardship; outpatient.

Antibiotics are life-saving drugs designed to treat bacterial infections and provide the safety net needed for modern medical treatments, such as chemotherapy and organ transplantation. However, antibiotic use is not without risks. Annually, an estimated 200 000 emergency department visits are attributed to antibiotic-associated adverse events [1]. Additionally, antibiotic use is a primary, modifiable driver of antibiotic resistance. Antibiotic-resistant organisms are responsible for at least 2 million infections, 23 000 deaths, and \$20 billion in excess, direct healthcare costs in the United States each year [2]. An estimated 85–95% of antibiotic use in human health care occurs in the outpatient setting [3], making it an important antibiotic stewardship target.

An estimated 30% of outpatient antibiotics prescribed in the United States in 2010–2011 were unnecessary [4]. The National Action Plan for Combating Antibiotic-Resistant Bacteria set a

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goal of reducing inappropriate outpatient antibiotic use by 50% by 2020 [5]. This goal translates to reducing overall outpatient antibiotic use by 15%, compared with 2010–2011 levels.

Efforts to improve antibiotic prescribing have targeted the outpatient setting, such as the Centers for Disease Control and Prevention's (CDC) *Core Elements of Outpatient Antibiotic Stewardship* [6], released in 2016. Additionally, the CDC has led ongoing education efforts since the mid-1990s to educate patients, parents, and clinicians on appropriate antibiotic use [7]. National estimates of 2011 outpatient antibiotic prescriptions were published to identify stewardship opportunities and set a baseline from which to track progress [8]. However, more recent estimates of large-scale, US antibiotic prescription trends have been limited to convenience samples [9–11], making it difficult to evaluate progress in improving antibiotic prescribing nationally and to identify stewardship targets.

Additionally, antibiotic stewardship efforts have targeted the inappropriate use of broad-spectrum agents: that is, those with activity against wider ranges of bacteria than necessary to treat an infection. Inappropriate broad-spectrum antibiotic use is concerning, as these agents are needed for those infections that are resistant to narrow-spectrum antibiotics and may be

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associated with higher risks of adverse events [12]. The US Food and Drug Administration (FDA) issued warnings about adverse events associated with macrolides and fluoroquinolones, which are broad-spectrum antibiotic classes [13, 14]. In addition, fluoroquinolones and cephalosporins are associated with increased risks of *Clostridioides* (formerly *Clostridium*) *difficile* infections, compared with other antibiotic classes [15, 16]. In Europe, public health agencies have used ratios of broad- to narrow-spectrum antibiotics to evaluate progress in improving antibiotic agent selection [17]. National trends in US broadversus narrow-spectrum antibiotic prescriptions have not been described. This approach may present an opportunity to examine potential improvements in antibiotic agent selection.

The first objective of this study was to describe trends in outpatient oral antibiotic prescriptions from 2011–2016 by year, region, patient age group, patient sex, antibiotic category, provider specialty, and state. The second objective of this study was to describe trends in the ratio of broad- and narrow-spectrum outpatient oral antibiotic prescriptions overall, in adults, and in children.

# METHODS

## **Study Population**

We summarized annual dispensed oral antibiotic prescription counts from IQVIA Xponent 2011-2016 databases. Using a proprietary projection method, IQVIA estimates 100% of all US community pharmacy prescription dispensing, based on a sample of 74-90% (varies by year) of outpatient prescriptions and pharmacy wholesale delivery information. These data estimate prescriptions dispensed by outpatient pharmacies, regardless of the setting in which the prescription was written. This projection methodology has previously been described and used to estimate outpatient prescriptions. Antibiotics were categorized as tetracyclines, cephalosporins, lincosamides, macrolides, penicillins, fluoroquinolones, trimethoprimsulfamethoxazole, beta-lactams with increased activity, urinary anti-infectives, and other (Supplementary Table 1). Provider specialties were categorized into 17 specialty groups, based on American Medical Association self-designated practice specialties [8]. Nurse practitioners (NPs) and physician assistants (PAs) were categorized as NPs or PAs, regardless of practice specialty. We summarized dispensed oral antibiotic counts by year, region, patient age group, patient sex, antibiotic category, provider specialty, and state.

Population estimates were derived for each year from the Vintage 2016 1990-2016 series US Census bridged-race resident population estimate files [18]. We summarized population estimates overall and by region, patient age group, patient sex, and state.

The National Center for Emerging and Zoonotic Infectious Diseases Human Subjects Advisor determined that analyses

with these de-identified data are nonresearch public health surveillance and do not require Institutional Review Board review.

## **Data Analysis**

We estimated rates of oral antibiotic prescriptions per 1000 population from dispensed prescription count estimates for each year overall and by sex, age group, region, and state, divided by corresponding US Census estimates. To calculate provider specialty prescription rates, we divided dispensed prescription count estimates for each specialty by overall population estimates for each year.

There are no widely established definitions for broad- and narrow-spectrum antibiotics. To calculate ratios of broad- to narrow-spectrum antibiotics, we adapted methods used by European public health agencies to include antibiotics commonly used in US outpatient settings. We categorized penicillin, ampicillin, amoxicillin, first-generation cephalosporins, and erythromycin as narrow spectrum and beta-lactams with increased activity (eg, amoxicillin-clavulanate), macrolides, fluoroquinolones, telithromycin, and all other cephalosporins as broad spectrum, as detailed in Supplementary Table 1. We excluded all other antibiotics from this broad- to narrowspectrum analysis. Broad- to narrow-spectrum antibiotic ratios were calculated by dividing broad-spectrum antibiotic prescription rates by narrow-spectrum antibiotic prescription rates.

To compare dispensed oral, outpatient antibiotic prescription rates in 2011 and 2016, we estimated prevalence rate ratios (pRRs) and 95% confidence intervals (CIs) using Poisson models with a log link. We used the year 2011 as the reference; therefore, pRR values less than 1 indicate decreases, pRR values of 1 indicate no change, and pRR values over 1 represent increases in rates from 2011 to 2016. We used this method to estimate changes overall and by region, patient age group, patient sex, and antibiotic category.

To examine trends in the ratios of broad- and narrowspectrum antibiotics prescribed, the proportion of antibiotics by antibiotic category, and the proportion of antibiotics by provider specialty, we fit linear regression models for these continuous outcome variables overall, stratified by adults (defined by IQVIA as  $\geq 20$  years), and stratified by children (<20 years).

We estimated state-specific antibiotic prescription rates overall and stratified by adults and children. We ranked statespecific rates by sextile to generate maps of antibiotic prescription rates by state.

We conducted all statistical analyses at  $\alpha$  = .05. All data analyses were conducted using SAS version 9.4 (SAS Institute, Cary, NC).

## RESULTS

In 2011, 273.3 million oral antibiotic prescriptions were dispensed from community pharmacies in the United States, translating to

a rate of 877 prescriptions per 1000 persons (Table 1). In 2016, there were 270.2 million oral antibiotic prescriptions dispensed, for a rate of 836 per 1000 persons. The dispensed antibiotic prescription rate was 5% lower in 2016 than 2011 (pRR 0.95, 95% CI 0.95-0.95). During the study period, the highest antibiotic prescription rate occurred in 2011 and the lowest occurred in 2014 (835 prescriptions per 1000 persons), with relatively stable rates from 2014 to 2016.

Adults aged 40-64 years accounted for the highest proportion of antibiotic prescriptions in all years. The highest antibiotic prescribing rates occurred in children 2 and under and adults 65 and older (Figure 1). In 2011-2012, the highest rates were observed in children 0-2 years. In 2014-2016, the highest rates were in adults 65 and older.

From 2011 to 2016, the rate of antibiotic prescriptions in children (<20 years) decreased by 13% (pRR 0.87, 95% CI 0.87-0.87), while the rate in adults ( $\geq 20$  years old) increased by 2% (pRR 1.02, 95% CI 1.02-1.02; Table 1). The greatest decreases in rates from 2011 to 2016 were observed in the 0-2 year age group (-17%; pRR 0.83, 95% CI 0.82-0.83; Figure 1; Supplementary Table 2) and the 3-9 year age group (-15%; pRR 0.85, 95% CI 0.85-0.85).

Regional antibiotic prescription rates decreased significantly from 2011 to 2016. The largest decrease, 10% (pRR 0.90, 95% CI 0.90-0.90), was observed in the West, which also had the lowest rates in all years (Table 1). The South had the highest rates in all years and only a 2% decrease (pRR 0.98, 95% CI 0.98-0.98) from 2011-2016. In all years, and among both adults and children, Alaska, California, Oregon, Washington, and Colorado had antibiotic prescription rates in the lowest sextile and Alabama, Kentucky, Louisiana, Mississippi, Tennessee, and West Virginia had rates in the highest sextile (Figure 2; Supplementary Figure 1).

Ratios of broad- to narrow-spectrum antibiotics decreased significantly during the study period, from 1.62 in 2011 to 1.49 in 2016 (Table 2). Ratios decreased in adults and children, although in all years the ratio was higher in adults, indicating a higher proportion of broad-spectrum (compared with narrow-spectrum) prescriptions in adults. Starting in 2013, the rate of broad-spectrum prescriptions was lower than the rate of narrow-spectrum prescriptions (ratio 0.85-0.97) in children. In adults, broad-spectrum antibiotic prescription rates remained over 1.8 times the narrow-spectrum prescription rates throughout the study period (ratio 1.83–1.97).

Penicillins accounted for the highest proportion of antibiotic prescriptions by antibiotic category in children in all years (Figure 3). Prescription rates in all antibiotic categories, except lincosamides, decreased in children from 2011 to 2016. The greatest decrease was seen in macrolides; the rate of macrolide prescriptions in 2016 was 32% lower than the rate in 2011 (pRR 0.68, 95% CI 0.68-0.68).

In adults, macrolides accounted for the highest proportion of antibiotic prescriptions by antibiotic category in all years except 2016. In 2016, the proportions of macrolides and penicillins were similar (Figure 3). Macrolide prescription rates decreased

Dutantiont Antibiotic Dro US Outpatient Oral Antibiotic Prescriptions by Patient Characteristics, 2011–2016

Fable 1.

2011   2013   2013   2013     teristic   2013   2013   2014     No., in millions Rate per 1000   No., in millions					
acteristic a group, in years <sup>b</sup> group, in years <sup>b</sup> ts, ≥20 ensus Region west heast	2013 2014	2015	2016	9	
2733 (100.0) 877 2723 (100.0) 867 268.6 (100.0) 849 266.1 (100.0)   a 106.5 (39.3) 695 106.5 (39.3) 689 104.8 (39.2) 673 103.4 (39.0)   ale 164.2 (60.7) 1036 164.7 (60.7) 1032 162.8 (60.8) 1013 161.7 (61.0) 1   group, in years <sup>b</sup> 164.2 (60.7) 1036 164.7 (60.7) 1032 162.8 (60.8) 1013 161.7 (61.0) 1   afren, <20 75.2 (28.2) 908 70.8 (26.4) 858 66.8 (25.3) 813 63.9 (24.4) 1   its, ≥20 191.9 (71.8) 838 197.0 (73.6) 851 197.5 (74.7) 844 198.3 (75.6)   ensus Region 63.4 (23.2) 944 61.3 (22.7) 803 60.8 (22.8)   heast 49.6 (18.2) 892 49.0 (18.2) 875 48.6 (18.3)   in 111.4 (40.8) 960 114.4 (42.0) 975 1117 (41.6) 943 1105 (41.5)	, in millions Rate per 1000 No., in millions Rate (%) persons (%) p	te per 1000 No., in millions Rate persons (%) p	te per 1000 No., in millions persons (%)	Rate per 1000 persons	ркк zule v 2011 <sup>ª</sup> (95% Cl)
at   106.5 (39.3)   695   106.5 (39.3)   689   104.8 (39.2)   673   103.4 (39.0)   1     ale   164.2 (60.7)   1036   164.7 (60.7)   1032   162.8 (60.8)   1013   161.7 (61.0)   1     group, in years <sup>b</sup> 164.2 (60.7)   1036   164.7 (60.7)   1032   162.8 (60.8)   1013   161.7 (61.0)   1     group, in years <sup>b</sup> 75.2 (28.2)   908   70.8 (26.4)   858   66.8 (25.3)   813   63.9 (24.4)     stre, ~200   75.2 (28.2)   908   70.8 (26.4)   856   66.8 (25.3)   813   63.9 (24.4)     ist, ~200   75.2 (28.2)   908   70.8 (25.6)   851   197.5 (74.7)   844   198.3 (75.6)     ensus Region   63.4 (23.2)   944   61.3 (22.1)   903   60.8 (22.8)   heast   49.0 (18.2)   875   48.6 (18.3)   110.5 (41.5)     heast   49.6 (18.2)   960   114.4 (42.0)   975   111.7 (41.6)   943   110.5 (41.5)   110.5 (41.5)   110.5 (41.5)   110.5 (41.5) <td>849 266.1 (100.0)</td> <td>269.4 (100.0)</td> <td>839 270.2 (100.0)</td> <td>836 0</td> <td>0.95 (0.95-0.95)</td>	849 266.1 (100.0)	269.4 (100.0)	839 270.2 (100.0)	836 0	0.95 (0.95-0.95)
106.5 (39.3)   695   106.5 (39.3)   689   104.8 (39.2)   673   103.4 (39.0)     164.2 (60.7)   1036   164.7 (60.7)   1032   162.8 (60.8)   1013   161.7 (61.0)   1     75.2 (28.2)   908   70.8 (26.4)   858   66.8 (25.3)   813   63.9 (24.4)     191.9 (71.8)   838   197.0 (73.6)   851   197.5 (74.7)   844   198.3 (75.6)     63.4 (23.2)   944   61.3 (22.5)   910   61.0 (22.7)   903   60.8 (22.8)     436 (18.2)   892   49.0 (18.2)   875   48.6 (18.3)   105.6 (18.2)     1114 (40.8)   960   114.4 (42.0)   975   111.7 (41.6)   943   110.5 (41.5)					
164.2 (60.7)   1036   164.7 (60.7)   1032   162.8 (60.8)   1013   161.7 (61.0)   1     75.2 (28.2)   908   70.8 (26.4)   858   66.8 (25.3)   813   63.9 (24.4)     191.9 (71.8)   838   197.0 (73.6)   851   197.5 (74.7)   844   198.3 (75.6)     63.4 (23.2)   944   61.3 (22.5)   910   61.0 (22.7)   903   60.8 (22.8)     496 (18.2)   892   495 (18.2)   975   111.7 (41.6)   943   1105 (41.5)     1114 (40.8)   960   114.4 (42.0)   975   111.7 (41.6)   943   1105 (41.5)	673 103.4 (39.0)	104.9 (39.0)	664 105.5 (39.1)	663 0	0.95 (0.95-0.95)
75.2   (28.2)   908   70.8   (26.4)   858   66.8   (25.3)   813   63.9   (24.4)     191.9   (71.8)   838   197.0   (73.6)   851   197.5   (74.7)   844   198.3   (75.6)     191.9   (71.8)   838   197.0   (73.6)   851   197.5   (74.7)   844   198.3   (75.6)     63.4   (23.2)   944   61.3   (22.5)   910   61.0   (22.7)   903   60.8   (23.8)     49.6   (18.2)   892   49.5   (18.2)   886   49.0   (18.2)   875   48.6   (18.3)     111.4   40.8)   960   114.4   42.0)   975   111.7   41.6)   943   110.5   (41.5)	1013 161.7 (61.0)	164.0 (61.0)	1006 164.6 (60.9)	1003 0	0.97 (0.97-0.97)
75.2 (28.2)   908   70.8 (26.4)   858   66.8 (25.3)   813   63.9 (24.4)     191.9 (71.8)   838   197.0 (73.6)   851   197.5 (74.7)   844   198.3 (75.6)     63.4 (25.2)   944   61.3 (22.5)   910   61.0 (22.7)   903   60.8 (22.8)     49.6 (18.2)   892   49.5 (18.2)   886   49.0 (18.2)   875   48.6 (18.3)     111.4 (40.8)   960   114.4 (42.0)   975   111.7 (41.6)   943   110.5 (41.5)					
191.9 (71.8)   838   197.0 (73.6)   851   197.5 (74.7)   844   198.3 (75.6)     63.4 (23.2)   944   61.3 (22.5)   910   61.0 (22.7)   903   60.8 (22.8)     49.6 (18.2)   892   49.5 (18.2)   886   49.0 (18.2)   875   48.6 (18.3)     111.4 (40.8)   960   114.4 (42.0)   975   111.7 (41.6)   943   110.5 (41.5)	813 63.9 (24.4)	64.7 (24.2)	789 64.9 (24.0)	790 0	0.87 (0.87-0.87)
63.4 (23.2)   944   61.3 (22.5)   910   61.0 (22.7)   903   60.8 (22.8)     49.6 (18.2)   892   49.5 (18.2)   886   49.0 (18.2)   875   48.6 (18.3)     111.4 (40.8)   960   114.4 (42.0)   975   111.7 (41.6)   943   110.5 (41.5)	844 198.3 (75.6)	203.3 (75.8)	851 205.4 (76.0)	852 1	1.02 (1.02–1.02)
est   63.4 (23.2)   944   61.3 (22.5)   910   61.0 (22.7)   903   60.8 (22.8)     aast   49.6 (18.2)   892   49.5 (18.2)   886   49.0 (18.2)   48.6 (18.3)     aast   111.4 (40.8)   960   114.4 (42.0)   975   111.7 (41.6)   943   110.5 (41.5)					
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111.4 (40.8) 960 114.4 (42.0) 975 111.7 (41.6) 943 110.5 (41.5)	875 48.6 (18.3)	48.8 (18.1)	48.8 (18.1)	868 0	0.97 (0.97-0.97)
	943 110.5 (41.5)	111.5 (41.4)	921 114.7 (42.4)	937 0	0.98 (0.98-0.98)
633	47.0 (17.5) 633 46.3 (17.4) 6	48.1 (17.8)	634 46.5 (17.2)	607 0	0.90 (0.90-0.90)

Age and sex data were missing for some observations; therefore, prescription numbers by age and sex may not sum to total.

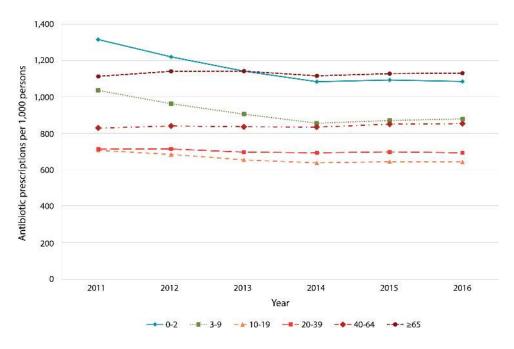


Figure 1. US outpatient oral antibiotic prescriptions per 1000 persons, by age group (in years), 2011–2016.

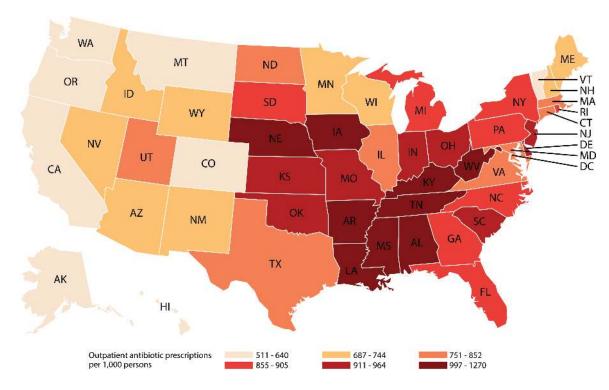


Figure 2. US outpatient oral antibiotic prescriptions per 1000 persons by state, 2016.

by 16% (pRR 0.84, 95% CI 0.84–0.84) during the study period. Fluoroquinolone rates remained relatively constant from 2011 to 2015, at over 130 prescriptions per 1000 persons, then decreased to 120 prescriptions per 1000 persons in 2016. Prescription rates for all antibiotic categories except macrolides, fluoroquinolones, and other antibiotics increased in adults during the study period. Family practice physicians prescribed the highest proportion of antibiotics in all years (Table 3). In 2011, the next highest proportions of antibiotics were prescribed by internal medicine physicians and pediatricians. In 2016, NPs and PAs accounted for the second- and third-highest proportions of antibiotic prescriptions. The proportion of antibiotics prescribed

#### Table 2. Ratio of Outpatient Broad-spectrum<sup>a</sup> and Narrow-spectrum<sup>b</sup> Oral Antibiotic<sup>c</sup> Prescriptions Dispensed in the United States, 2011–2016

2011	2012	2013	2014	2015	2016	P,Test for Trend <sup>d</sup>
433	426	410	400	407	395	
267	261	264	262	262	266	
1.62	1.63	1.55	1.53	1.55	1.49	.01
407	386	346	321	326	319	
381	357	356	353	362	373	
1.07	1.08	0.97	0.91	0.90	0.85	<.01
429	432	423	420	431	421	
218	221	227	225	226	230	
1.97	1.95	1.87	1.87	1.91	1.83	.04
	433 267 1.62 407 381 1.07 429 218	433 426 267 261 1.62 1.63 407 386 381 357 1.07 1.08 429 432 218 221	433   426   410     267   261   264     1.62   1.63   1.55     407   386   346     381   357   356     1.07   1.08   0.97     429   432   423     218   221   227	433   426   410   400     267   261   264   262     1.62   1.63   1.55   1.53     407   386   346   321     381   357   356   353     1.07   1.08   0.97   0.91     429   432   423   420     218   221   227   225	433 426 410 400 407   267 261 264 262 262   1.62 1.63 1.55 1.53 1.55   407 386 346 321 326   381 357 356 353 362   1.07 1.08 0.97 0.91 0.90   429 432 423 420 431   218 221 227 225 226	433 426 410 400 407 395   267 261 264 262 262 266   1.62 1.63 1.55 1.53 1.55 1.49   407 386 346 321 326 319   381 357 356 353 362 373   1.07 1.08 0.97 0.91 0.90 0.85   429 432 423 420 431 421   218 221 227 225 226 230

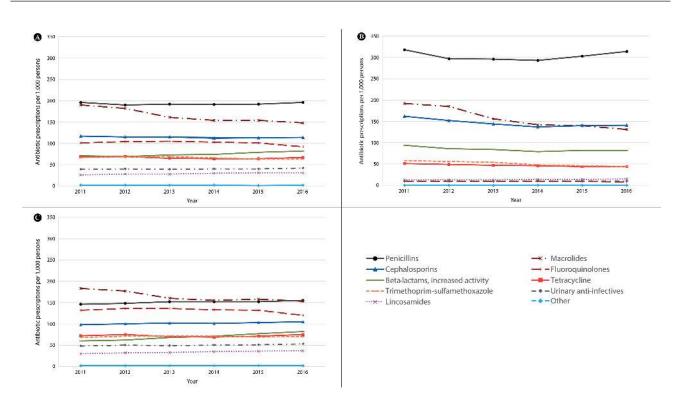
<sup>a</sup>Broad-spectrum antibiotics were defined as beta-lactams with increased activity, second-generation cephalosporins, third-generation cephalosporins, fluoroquinolones, macrolides (except erythromycin), lincosamides, and telithromycin.

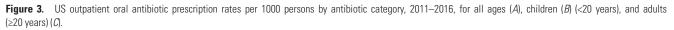
<sup>b</sup>Narrow-spectrum antibiotics were defined as penicillins (penicillin, amoxicillin, and ampicillin), first-generation cephalosporins, and erythromycin.

<sup>c</sup>Excluding tetracyclines, trimethoprim-sulfamethoxazole and related agents, urinary anti-infectives, and agents classified as other antibiotics. See Supplementary Table 1 for the full categorization scheme.

<sup>d</sup>P value of *t*-test for slope of linear model of year (continuous), as a predictor of ratio (continuous).

<sup>e</sup>Ratio calculated using nonrounded rate values.





by NPs and PAs increased from 7.4% and 6.7%, respectively, in 2011 to 14.6% and 10.7%, respectively, in 2016. Significant increases in the proportion of antibiotics prescribed by NPs and PAs were observed in prescriptions to both adults and children (Supplementary Table 3).

## DISCUSSION

Rates of oral antibiotic prescriptions dispensed in US outpatient pharmacies decreased from 2011 to 2014, then remained stable from 2014 to 2016. The national rate of oral antibiotic prescriptions per 1000 persons in 2016 was 5% lower than

Table 3.	US Outpatient Oral	Antibiotic Prescri	ptions by Provider	Specialty, 2011–2016

	Outpatient Antibiotic Prescriptions, No. in Millions (% of Antibiotic Prescriptions)						
Provider Specialty	2011	2012	2013	2014	2015	2016	P, Test for Trend <sup>a</sup>
Family practice	67.0 (24.5)	65.0 (23.9)	61.4 (22.8)	58.1 (21.8)	56.2 (20.9)	53.4 (19.8)	<.01
Internal medicine	33.6 (12.3)	32.8 (12.0)	31.6 (11.8)	30.1 (11.3)	28.5 (10.6)	27.2 (10.1)	<.01
Pediatrics	33.0 (12.1)	29.9 (11.0)	27.4 (10.2)	25.4 (9.5)	24.8 (9.2)	24.5 (9.1)	<.01
Dentistry	23.0 (8.4)	23.4 (8.6)	24.5 (9.1)	24.9 (9.4)	25.1 (9.3)	25.7 (9.5)	<.01
Nurse practitioner	20.2 (7.4)	22.8 (8.4)	25.7 (9.6)	29.5 (11.1)	35.1 (13.0)	39.4 (14.6)	<.01
Physician assistant	18.3 (6.7)	20.3 (7.5)	22.7 (8.5)	24.8 (9.3)	27.8 (10.3)	29.0 (10.7)	<.01
Emergency medicine	14.7 (5.4)	15.0 (5.5)	14.3 (5.3)	14.2 (5.4)	14.8 (5.5)	14.7 (5.4)	.89
Surgery	9.9 (3.6)	9.9 (3.6)	10.0 (3.7)	10.0 (3.8)	9.7 (3.6)	9.7 (3.6)	.90
Dermatology	8.5 (3.1)	8.1 (3.0)	7.9 (3.0)	7.6 (2.8)	7.1 (2.6)	6.9 (2.5)	<.01
Medical subspecialty	7.5 (2.7)	7.3 (2.7)	6.9 (2.6)	6.6 (2.5)	6.4 (2.4)	6.4 (2.4)	<.01
Obstetrics/gynecology	7.2 (2.6)	7.0 (2.6)	6.8 (2.5)	6.6 (2.5)	6.3 (2.3)	6.0 (2.2)	<.01
Urology	6.7 (2.4)	6.6 (2.4)	6.5 (2.4)	6.4 (2.4)	6.2 (2.3)	6.2 (2.3)	.04
Otolaryngology	4.3 (1.6)	4.0 (1.5)	3.8 (1.4)	3.6 (1.3)	3.5 (1.3)	3.4 (1.3)	<.01
Infectious diseases	1.3 (0.5)	1.3 (0.5)	1.3 (0.5)	1.3 (0.5)	1.4 (0.5)	1.5 (0.5)	1.00
Internal medicine/pediatrics	1.4 (0.5)	1.3 (0.5)	1.3 (0.5)	1.2 (0.4)	1.3 (0.5)	1.2 (0.5)	.80
Pediatric subspecialty	0.8 (0.3)	0.7 (0.3)	0.7 (0.3)	0.7 (0.2)	0.7 (0.3)	0.7 (0.3)	.80
Unspecified	7.6 (2.8)	8.0 (2.9)	7.1 (2.6)	6.6 (2.5)	5.2 (1.9)	5.0 (1.8)	<.01
Other	8.6 (3.1)	8.7 (3.2)	8.7 (3.2)	8.6 (3.2)	9.2 (3.4)	9.3 (3.5)	<.01

Abbreviation: No., Number.

<sup>a</sup>P value of t-test for slope of linear model of year (continuous), as a predictor of proportion of antibiotic prescriptions (continuous)

in 2011: one-third of the way towards the national goal. This trend was entirely driven by prescriptions dispensed to children, with a 13% decrease in pediatric antibiotic prescriptions. From 2011 to 2016, there was also a decrease in the rate of broad-spectrum antibiotic prescriptions, especially macrolides and fluoroquinolones, and in the ratio of broad- to narrow-spectrum antibiotic prescriptions. The proportion of all antibiotics prescribed by NPs and PAs increased from 2011 to 2016.

From 2011 to 2016, broad-spectrum antibiotic prescription rates decreased in both adults and children. Due to the risk of adverse events, decreasing the unnecessary use of broad-spectrum antibiotics is important for patient safety. A 2017 study found that broad-spectrum antibiotics were associated with a higher risk of adverse events than narrow-spectrum antibiotics in children with acute respiratory tract infections [12]. In both adults and children, broad-spectrum rates decreased more than narrow-spectrum rates, as shown by the decreasing broad- to narrow-spectrum ratios. However, broad-spectrum antibiotics accounted for a higher proportion of prescriptions among adults compared with children. Pediatric guidelines for common outpatient conditions emphasize the use of narrow-spectrum agents as first-line therapy [19, 20], and some broad-spectrum agents, particularly fluoroquinolones, are perceived as dangerous for children and are used only in select cases.

Decreases were especially marked for macrolides in adults and children and fluoroquinolones in adults. Macrolide prescription rates decreased throughout the study period, with the largest decrease between 2012 and 2013. Decreases in macrolides may be related to changes in treatment recommendations for sinusitis (in 2012) [21] and acute otitis media (AOM; in 2013) [19], which stopped recommending macrolides due to increasing macrolide resistance in Streptococcus pneumoniae. In addition, prescribing may have changed due to concerns about adverse drug events. In 2013, the FDA issued a Drug Safety Communication about the risk of potentially fatal heart rhythms associated with the macrolide azithromycin [13], and the largest decrease in macrolide prescription rates was observed between 2012 and 2013. Fluoroquinolone rates in adults remained relatively constant from 2011 to 2015 and decreased in 2016. This decrease coincides with a 2016 FDA drug safety communication advising against using fluoroquinolones when other options are available due to tendinopathy, peripheral neuropathy, and other severe side effects [14]. Decreases in macrolide and fluoroquinolone prescription rates may reflect prescribing improvements, as previous studies have shown that 50% of macrolides [22] and 5% of fluoroquinolones [23] are prescribed for conditions where antibiotics are not indicated and almost 20% of fluoroquinolones are prescribed in conditions for which they are not a recommended first-line therapy [23]. Prescription rates in adults for penicillins, beta-lactams with increased activity, tetracyclines, and trimethoprim-sulfamethoxazole increased during the study period, possibly indicating a shift from macrolides and fluoroquinolones to these agents. Although broad-spectrum antibiotic use decreased during the study period, further opportunities for reductions may exist.

In both adults and children, the proportion of all antibiotics prescribed by NPs and PAs increased during the study period, likely related to the growing role of these clinicians in outpatient care. The number of NPs and PAs has increased in recent years [24–26] and is projected to increase further [27, 28]. Previous literature has shown that NPs and PAs are more likely to unnecessarily prescribe antibiotics than physicians [29–31], and NPs and PAs account for increasing proportions of broad-spectrum antibiotic use [32]. With the increasing role of these providers in health care, stewardship efforts targeting NPs and PAs are needed.

From 2011 to 2016, antibiotic prescriptions dispensed to children decreased by 13%, while antibiotic prescriptions dispensed to adults increased by 2%. The overall decrease among children was driven by a decline from 2011 to 2014, followed by a slight increase from 2014 to 2016. Lee et al [33] previously found that between 2000 and 2010, antibiotic prescribing decreased significantly among children, but remained stable or increased among adult age groups. Our findings show those trends have continued. Reasons for the decrease in antibiotic prescription rates only in children are likely multifactorial. One factor could be decreasing disease incidences, especially of AOM, following the introduction of the 7-valent and 13-valent pneumococcal conjugate vaccines (PCV7 in 2000 and PCV13 in 2010). Studies have shown decreases in pneumococcal infections, such as AOM, and associated antibiotic use in children following PCV7 and PCV13 introductions [34–36], paralleling the downward trends observed by Lee et al (PCV7 introduction) [33] and this study (PCV13 introduction).

Other factors likely also contributed to differences observed in the rates of antibiotics dispensed to children and adults. One factor may be changes in the way pediatric patients are diagnosed and managed. Stricter diagnostic criteria for AOM [19], the most common reason antibiotics are prescribed to US children, introduced in 2013, likely resulted in fewer AOM diagnoses and, subsequently, fewer antibiotic prescriptions in children. Another potential factor in the differences between children and adults could be that clinicians treating children may have reduced unnecessary antibiotic prescribing more than clinicians treating adults. Previous studies have shown that pediatricians, specifically, prescribe fewer inappropriate antibiotics than other providers. Finally, parents may have gained increased awareness of the risks of antibiotics and when antibiotics are needed [37]. CDC educational efforts originally emphasized improving antibiotic use in children. More recent educational efforts place additional emphasis on antibiotic prescribing in adults.

The West consistently had the lowest antibiotic prescription rates, compared with all other regions, and had the greatest decrease in rates during the study period. In contrast, the South had the highest rates and lowest decrease. Previous studies, both of overall and inappropriate antibiotic prescribing [4, 8, 38–40], found similar regional trends. Regional variation in inappropriate prescribing shown by other studies suggests that the variation observed in our study may be partly due to differences in inappropriate antibiotic prescribing between regions. Other factors contributing to observed regional differences in antibiotic rates may be differences in disease burden, underlying health, healthcare access, and diagnosis practices.

Our study had limitations. First, we did not have data on diagnoses or numbers of visits. Therefore, we were unable to evaluate whether unnecessary antibiotic prescriptions, in addition to total antibiotic prescriptions, have decreased. Second, we did not have allergy information and were unable to ascertain how patient allergies may have impacted trends in broadversus narrow-spectrum antibiotics. Third, this data set is limited to those antibiotics dispensed in pharmacies; we were unable to examine antibiotics administered during healthcare visits. Fourth, these data estimate antibiotics dispensed rather than consumed. Fifth, as there is no standardized definition of broad- and narrow-spectrum antibiotics, our classification may differ from other studies. Finally, the Xponent data set is not collected for public health purposes, and its data collection and projection methodologies are proprietary. Strengths of our study include that the data source represents a census of outpatient antibiotic prescriptions and provides the opportunity to assess national prescription trends over time by patient and provider characteristics and drug category.

# CONCLUSION

Dispensed outpatient oral antibiotic prescription rates in the United States decreased from 2011 to 2014, then remained stable. Reductions in antibiotic prescription rates in children drove these nationwide decreases, while adult prescription rates increased. Although overall and broad-spectrum antibiotic prescriptions decreased during the study period, there are likely further opportunities to improve prescribing, especially to adults, and additional stewardship interventions are needed to meet the target set by the National Action Plan for Combating Antibiotic-Resistant Bacteria. Additionally, from 2011-2016, prescriptions from NPs and PAs accounted for increasing proportions of antibiotic prescriptions, emphasizing the growing role of these providers in antibiotic prescribing. Efforts to improve antibiotic use should include clinicians who treat adults and advanced-practice clinicians. CDC is targeting these groups through the Be Antibiotics Aware: Smart Use, Best Care educational effort, which provides resources to improve antibiotic prescribing and optimize patient care.

#### **Supplementary Data**

Supplementary materials are available at *Clinical Infectious Diseases* online. Consisting of data provided by the authors to benefit the reader, the posted materials are not copyedited and are the sole responsibility of the authors, so questions or comments should be addressed to the corresponding author.

#### Notes

**Disclaimer**. The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of the Centers for Disease Control and Prevention.

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