

1 **Characterisation of populations at risk of sub-optimal dosing of artemisinin-based**  
2 **combination therapy in Africa**

3

4 **Short title: Population groups at risk of sub-optimal artemisinin-based therapy in Africa**

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## 27 Abstract

28 Introduction: Selection of resistant malaria strains occurs when parasites are exposed to inadequate  
29 antimalarial drug concentrations. The proportion of uncomplicated *falciparum* malaria patients at risk  
30 of being sub-optimally dosed with the current World Health Organization (WHO) recommended  
31 artemisinin-based combination therapies (ACTs) is unknown. This study aims to estimate this  
32 proportion and the excess number of treatment failures (recrudescences) associated with sub-optimal  
33 dosing in Sub-Saharan Africa.

34 Methods: Sub-populations at risk of sub-optimal dosing include wasted children <5 years of age;  
35 patients with hyperparasitaemia; pregnant women; people living with HIV; and overweight adults.  
36 Country-level data on population structure were extracted from openly accessible data sources.  
37 Pooled adjusted Hazard Ratios for PCR-confirmed recrudescence were estimated for each risk group  
38 from published meta-analyses using fixed-effect meta-analysis.

39 Results: In 2020, of 153.1 million uncomplicated *P. falciparum* malaria patients in Africa, the largest  
40 risk groups were the hyperparasitaemic patients (13.2 million, 8.6% of uncomplicated malaria cases)  
41 and overweight adults (10.3 million, 6.7% of uncomplicated cases). The excess total number of  
42 treatment failures ranged from 323,247 for a 98% baseline ACT efficacy to 1,292,987 for a 92%  
43 baseline ACT efficacy.

44 Conclusion: An estimated 1 in nearly 4 people with uncomplicated confirmed *P. falciparum* malaria in  
45 Africa are at risk of receiving a sub-optimal antimalarial drug dosing. This increases the risk of  
46 antimalarial drug resistance and poses a serious threat to malaria control and elimination efforts.  
47 Changes in antimalarial dosing or treatment duration of current antimalarials may be needed and new  
48 antimalarials development should ensure sufficient drug concentration levels in these sub-  
49 populations that carry a high malaria burden.

50

51

## 52 Introduction

53 In 2021, the World Health Organization (WHO) Africa region alone accounted for approximately 234  
54 of the estimated 247 million malaria cases and 96% of the estimated 619,000 malaria deaths  
55 worldwide. Four sub-Saharan Africa countries contributed to about half of the total burden of cases.  
56 Increasing investment in malaria control and the scaling up of artemisinin-based combination  
57 treatment (ACT) deployment led to a steady decline of 27% in the incidence of malaria cases between  
58 2000 and 2015. Since the number of malaria cases is rising again, most of the increase occurring in the  
59 African region [1]. The COVID-19 pandemic seriously disrupted healthcare systems and alongside the  
60 direct impact on malaria control programmes, in most endemic countries, access to health care  
61 remains challenging for many patients; the 2022 WHO World Malaria Report estimates that an  
62 additional 13.4 million cases and 63,000 deaths worldwide were due to disruptions during the  
63 pandemic [1].

64 *Plasmodium falciparum* (*Pf*) is responsible for most cases of severe malaria and the majority of malaria  
65 deaths. The continuous reduction in malaria deaths prior to the pandemic, 37% since 2000, persisted  
66 despite the increasing number of cases observed. This success might be attributed to the widespread  
67 availability of intravenous artesunate followed by an ACT for the treatment of severe malaria [2, 3].

68 Following the emergence and spread of *Pf* resistant strains to sequential monotherapies, namely  
69 chloroquine in the 1960s, followed by sulfadoxine-pyrimethamine in the 1980s [4, 5], and then  
70 mefloquine in the 1990s [6], the ACTs became the WHO recommended first-line treatment for  
71 uncomplicated *Pf* malaria in 2006 [7]. Since its introduction, artemisinin resistance has been reported  
72 in 2007 in Southeast Asia and in Eastern India [8-10]. Resistance to the partner drugs associated with  
73 the artemisinin derivatives is of high concern in these regions, leaving very few therapeutic options  
74 [11, 12]. With the recent confirmation of independent foci of clinically significant artemisinin  
75 resistance emerging on the African continent, specifically in Uganda, Rwanda and Eritrea, and low

76 PCR-adjusted efficacy including in Burkina Faso and Angola, artemisinin and/or partner drug resistance  
77 could threaten malaria control and elimination efforts across the continent [13].

78 Resistance can arise as a consequence of spontaneous changes in the genetic structure of the parasite  
79 which provides a competitive advantage allowing it to survive the treatment even when the patient  
80 receives recommended doses of ACTs [5]. Another scenario conducive for the selection of resistant  
81 parasite strains is inadequate drug exposure [14] or sub-optimal-dosing, a situation where parasites  
82 are exposed to an insufficient drug concentration and/or for an inadequate duration to clear the  
83 infection [5]. Reduced drug exposure can occur for various reasons including prescription of an  
84 inadequate dose (lower than the manufacturer's recommended dose), poor patient adherence, poor-  
85 quality medicines (either sub-standard or falsified medicines with reduced active ingredients), or  
86 inadequate absorption (e.g. acute vomiting shortly after drug administration) [15, 16]. These  
87 contributory factors may be avoidable. Absorption, distribution or metabolism of the drug, can also  
88 differ among specific groups of patients so that taking the same recommended dose in mg/kg body  
89 weight can lead to differing drug exposure [14].

90 As control efforts in Africa result in reduced transmission and case burden of infection, acquired  
91 immunity is waning, increasing the risk of more severe forms of the disease as well as resistant strains  
92 emerging and surviving in non-immune patients [17]. In the absence of alternatives to artemisinin  
93 based antimalarials in the near future, protecting the efficacy of available ACTs by identifying patient  
94 groups at high risk of receiving inadequate dosing and finding ways to optimise their treatment is  
95 paramount for the success of disease control and elimination.

96 The current WHO guidelines for malaria [14] identify five groups of population at risk of sub-optimal  
97 dosing: (i) malnourished children <5 years of age, (ii) pregnant women, (iii) overweight adults, (iv)  
98 patients with uncomplicated hyperparasitaemia, (v) patients co-infected with HIV or TB. WHO states  
99 that for these groups "data on antimalarial drug efficacy are still limited and insufficient evidence  
100 exists to warrant dose modification". Close monitoring of these sub-groups is strongly recommended  
101 as the risk for treatment failure and/or development of severe malaria with standard drug dosing is

102 increased. However, the current WHO protocol for “methods for surveillance of antimalarial drug  
103 efficacy” recommends excluding severely malnourished children, cases of uncomplicated  
104 hyperparasitaemia, pregnant women and people living with HIV (PLHIV) from Therapeutic Efficacy  
105 Studies (TES) [18, 19]. Consequently, current ACT dosage regimens optimised from trials conducted  
106 initially in healthy adults and well-nourished children, must be extrapolated to these excluded  
107 populations [20].

108 This study aims to estimate the proportion of uncomplicated *Pf* malaria cases in endemic African  
109 countries at risk of receiving sub-optimal dosing of oral ACTs and to estimate the fraction of treated  
110 patients likely to fail treatment because of sub-optimal dosing.

111

## 112 Methods

113 African countries with a malaria transmission intensity estimated at one or more cases per 1000  
114 population in 2020 [21] were included. Malaria risk was considered four times higher in rural areas  
115 than urban settings based on published entomological inoculation rate estimates. Proportion of risk  
116 groups within malaria patient population was assumed to be the same as in the overall country  
117 population, but the difference in malaria prevalence between urban and rural areas was accounted  
118 for. Levels of malaria endemicity were categorised as hypo-endemic if *Pf* rate in children aged 2-9  
119 years of age was  $\leq 10\%$ ; meso-endemic if parasite rate was 11-50%; or hyperendemic if  $>50\%$ .  
120 Malnutrition was defined as wasting (z-score weight-for-height  $< -2SD$ ), overweight as body-mass index  
121 (BMI)  $\geq 25\text{kg/m}^2$ , hyperparasitaemia as  $>100,000$  parasites per microliter. Details of data sources,  
122 variables extracted and variables derived are provided in supplementary material p2-8.

123 As ACT coverage and adherence was not available across all sub-populations, 100% coverage of and  
124 adherence with ACTs was assumed.

## 125 Estimation of failure rates on ACTs for sub-population of interest

126 Absolute and relative estimates of PCR-confirmed recrudescence were extracted from published  
127 meta-analyses or systematic literature reviews, searched for on Epistemonikos (supplementary  
128 material p9). Two additional systematic reviews were conducted to collate necessary data to support  
129 this analysis: one on the efficacy of ACTs in PLHIV (Prospero registration CRD42018089860, study  
130 ongoing), and another in non-pregnant, overweight or obese adults (Prospero registration  
131 CRD42018090521, available in supplementary material p10-12).

132 Where available, fixed-effect pooled estimates from meta-analyses' Hazard Ratios (HR) were  
133 calculated by risk group of interest. Otherwise, risk of treatment failure was derived from individual  
134 studies and a sensitivity analysis was performed assuming HR range 1.2-2.0. A 2-8% range of  
135 hypothetical treatment failure rates in adequately dosed patients was considered, given the current  
136 resistance data available from Africa and WHO recommendations to change drug policy if Adequate  
137 Clinical and Parasitological Response (ACPR) rate falls below 90% [18].

138

## 139 Results

### 140 Number of malaria cases

141 Of 154.6 million confirmed cases, 153.1 million were estimated to be due to uncomplicated malaria  
142 of which 37.4 million (24.4%) were in children <5 years of age, 56.1 million (36.6%) in those 5-14 years  
143 of age, and 59.6 million (39.0%) in adults >14 years. Country-specific extracted data are provided in  
144 supplementary material p12-19. Patients with hyperparasitaemia (13.2 million, 8.6% of uncomplicated  
145 malaria cases) and overweight adults (10.3 million, 6.7% of uncomplicated cases) were the largest risk  
146 groups in all regions and endemicity areas. Malaria in wasted children was estimated to reach 2.5  
147 million, representing 1.6% of all uncomplicated cases. There were 6.4 million uncomplicated cases in  
148 pregnant women, 4.2% of total malaria burden and 10.7% of cases in adult population. The highest

149 proportions of PLHIV and of pregnant women at increased risk of sub-optimal dosing were in East  
 150 Africa (1.5% and 2.9%, respectively), while wasted children were predominant in meso-endemic  
 151 regions (2.4% vs. 0.1% in hypo-endemic areas), Table 1.

152

153 **Table 1. Number (in millions) of uncomplicated malaria cases per sub-population at increased risk**  
 154 **of sub-optimal dosing.**

		Wasted (in <5 years)	Pregnancy (in females >14 years)	Overweight (in >14 years)	PLHIV (in all ages)	Hyperparasitaemia (in all ages)
Total	N	2.5	6.4	10.3	1.9	13.2
41 African countries	%	1.6	4.2	6.7	1.2	8.6
<i>By region</i>						
Northern Africa	N	<0.1	0.1	0.2	<0.1	0.1
(1 country)	%	0.0	0.1	0.1	0.0	0.1
East Africa	N	0.5	2.9	4.7	1.5	5.2
(15 countries)	%	0.3	1.9	3.1	1.0	3.4
West Africa	N	1.1	2.0	3.6	0.2	4.8
(15 countries)	%	0.7	1.3	2.4	0.1	3.1
Central Africa	N	0.9	1.4	1.8	0.2	3.1
(9 countries)	%	0.6	0.9	1.1	0.1	2.0
Southern Africa	N	<0.1	<0.1	<0.1	<0.1	<0.1
(1 country)	%	0.0	0.0	0.0	0.0	0.0
<i>By endemicity<sup>1</sup></i>						
Hypo-endemic	N	0.1	1.0	2.0	0.3	1.5

(16 countries)	%	0.1	0.7	1.3	0.2	0.9
Meso-endemic	N	2.4	5.4	8.3	1.6	11.7
(25 countries)	%	1.5	3.5	5.4	1.0	7.7

155 Percentages are in total of uncomplicated cases. The list of countries by region and by endemicity  
 156 areas are reported in supplementary material p12-13.

157 <sup>1</sup> Hypo-endemicity: *Plasmodium falciparum* (*Pf*) prevalence in 2-9 years old <10%; Meso-endemicity:  
 158 *Pf* prevalence in 2-9 years old 11-50%. No country was reported as hyper-endemic in 2020.

159 In <5 years: children under 5 years old; in >14 years: adults aged 15 years and older.

160

161 Distribution of estimated malaria cases across risk groups varied between countries (Fig. 1 and  
 162 supplementary material p17-19). The proportion of PLHIV with malaria varied between <0.1 and 4.4%  
 163 in all countries except Zimbabwe and Namibia, where this sub-population harboured an estimated 7.9  
 164 and 8.0% of all uncomplicated *Pf* cases respectively. The proportion of overweight adults varied  
 165 between 10 and 32% of adults with uncomplicated *Pf* malaria. Proportion of wasted children among  
 166 children under 5 years with uncomplicated *Pf* malaria was the highest in South Sudan (24%) and  
 167 Djibouti (30%), (supplementary material p19).

168

169 **Fig 1. Number (in million) of estimated uncomplicated *Pf* malaria cases by country and region,**  
 170 **showing sub-population distribution with increased risk of sub-optimal dosing.**

171

## 172 Number of treatment failures

173 The systematic review identified five IPD meta-analyses which provided HR estimates for  
 174 hyperparasitaemic patients (PRISMA flow diagram in supplementary material p9), and individual  
 175 studies provided estimates for PLHIV (n=4) and malnourished children <5 years of age (n=1), Table 2.



176 No relevant studies were identified for overweight or obese patients (supplementary material p10-  
177 12) nor pregnant women.

178

179 **Table 2. Risk of treatment failure by age group and sub-population at increased risk of sub-optimal**  
180 **dosing used in calculation of the excess number of malaria infections.<sup>1</sup>**

Risk groups	Hazard Ratios [95%CI]	References to IPD meta-analyses or individual studies
<b>&lt;5 years</b>		
Hyperparasitaemic	1.50 [1.21-1.86]  (Pooled)	WWARN A-L Dose Impact SG, 2015 (PMID 25788162)  Saito M, 2020 (PMID 32530424)  WWARN DP SG, 2013 (PMID 24311989)  WWARN AS-AQ SG, 2015 (PMID 25888957)
PLHIV	1.5  (from individual studies in Uganda and Zambia)	Kajubi R, 2016 (PMID 5170492)  Kanya MR 2006 (PMID 1925269)  Parikh S, 2016 (PMID 4946019)  Van Geertruyden JP, 2006 (PMID 16960779)
Wasted	1.41 [1.07; 1.86]	Stepniewska K, 2016 (65 <sup>th</sup> annual meeting ASTM&H, conference paper)
None of these	1.0	
<b>5 to 14 years</b>		
Hyperparasitaemic	1.50 [1.21-1.86]  (Pooled)	WWARN A-L Dose Impact SG, 2015 (PMID 25788162)  Saito M, 2020 (PMID 32530424)  WWARN DP SG, 2013 (PMID 24311989)

		WWARN AS-AQ SG, 2015 (PMID 25888957)
PLHIV	1.5  (from individual studies in Uganda and Zambia)	Kajubi R, 2016 (PMID 5170492)  Kanya MR 2006 (PMID 1925269)  Parikh S, 2016 (PMID 4946019)  Van Geertruyden JP, 2006 (PMID 16960779)
None of these	1.0	
<b>&gt;14 years</b>		
Hyperparasitaemic	1.50 [1.21-1.86]  (Pooled)	WWARN A-L Dose Impact SG, 2015 (PMID 25788162)  Saito M, 2020 (PMID 32530424)  WWARN DP SG, 2013 (PMID 24311989)  WWARN AS-AQ SG, 2015 (PMID 25888957)
PLHIV	1.5  (from 2 individual studies)	Kajubi R, 2016 (PMID 5170492)  Kanya MR 2006 (PMID 1925269)  Parikh S, 2016 (PMID 4946019)  Van Geertruyden JP, 2006 (PMID 16960779)
Overweight	1.5 (Assumed)	
Pregnant	1.5 (Assumed)	
None of these	1.0	

181 <sup>1</sup>HR for treatment failure associated with hyperparasitaemia or with HIV was assumed to be the same

182 across all age groups.

183

184 At drug efficacy of 98%, 95% and 92%, the expected number of PCR-corrected treatment failures

185 (recrudescences) were estimated as: 3.1, 7.6 or 12.3 million, and the number of excess rates as 0.4,

186 1.1 or 1.4 million, respectively (assuming HR=1.5 for pregnant women and overweight patients). The

187 largest contribution to the excess number of treatment failures came from hyperparasitaemic patients

188 (44.2%), (Table 3, Fig. 2, supplementary material p20). Overweight adults, pregnant women, and PLHIV  
 189 contributed to 23.6%, 14.6%, and 4.7% of excess failures, respectively, which, in a sensitivity analysis,  
 190 changed to 12.7%, 7.8%, 2.5% (HR=1.2 assumed) and to 33.0%, 20.4%, 6.6% (HR=2.0 assumed),  
 191 respectively. Wasted children contributed to 12.9% excess failures.

192

193 **Table 3: Excess failures (in millions) estimations in different risk groups, assuming different**  
 194 **treatment failure rates and a range of assumed Hazard Ratios (HR) for PLHIV, pregnant women, and**  
 195 **overweight adults.**

	Main Analysis			Sensitivity analysis					
	Assumed HR=1.5 for PLHIV, Overweight, Pregnant			Assumed HR=1.2 for for PLHIV, Overweight, Pregnant			Assumed HR=2 for for PLHIV, Overweight, Pregnant		
Risk groups	2% failure	5% failure	8% failure	2% failure	5% failure	8% failure	2% failure	5% failure	8% failure
<b>&lt;5 years</b>									
Hyperparasitaemic	0.096	0.240	0.384	0.096	0.240	0.384	0.096	0.240	0.384
PLHIV	0.002	0.006	0.009	0.001	0.002	0.004	0.005	0.011	0.018
Wasted	0.056	0.140	0.225	0.056	0.140	0.225	0.056	0.140	0.225
sub-total for <5y	0.154	0.386	0.618	0.153	0.382	0.613	0.157	0.391	0.627
<b>5-14 years</b>									
Hyperparasitaemic	0.057	0.143	0.228	0.057	0.143	0.228	0.057	0.143	0.228
PLHIV	0.001	0.004	0.006	0.001	0.001	0.002	0.003	0.007	0.012
sub-total for 5-14y	0.058	0.147	0.234	0.058	0.144	0.230	0.060	0.150	0.240
<b>&gt;14 years</b>									
Hyperparasitaemic	0.039	0.098	0.157	0.039	0.098	0.157	0.039	0.098	0.157

PLHIV	0.017	0.042	0.067	0.007	0.017	0.027	0.034	0.084	0.135
Overweight	0.103	0.257	0.411	0.041	0.103	0.164	0.205	0.514	0.822
Pregnant	0.064	0.159	0.255	0.025	0.064	0.102	0.127	0.318	0.509
sub-total for >14y	0.223	0.556	0.890	0.112	0.282	0.450	0.405	1.014	1.623
<b>Overall TOTAL failures</b>	<b>0.435</b>	<b>1.089</b>	<b>1.742</b>	<b>0.323</b>	<b>0.808</b>	<b>1.293</b>	<b>0.622</b>	<b>1.555</b>	<b>2.490</b>

196

197

198 **Fig 2. Estimated number of excess treatment failures in millions for different baseline treatment**  
 199 **efficacy assuming Hazard Ratio (HR) of 1.2, 1.5 and 2.0 in patients living with HIV (PLHIV),**  
 200 **overweight adults, and pregnant women.**

201

202 Three of the five IPD meta-analyses consistently identified that children <5 years of age with  
 203 uncomplicated malaria but without the above-mentioned risk factors were also at the increased risk  
 204 of treatment failure when compared to adults (HR 2.68 [95%CI: 1.87-3.85], supplementary material  
 205 p21-24) and were associated with an estimated additional number of failure rates of 1.050 - 4.202  
 206 million for ACPRs between 98% and 92%.

207

## 208 Discussion

209 This study estimated that nearly one in four uncomplicated *Pf* malaria patients in Africa are within a  
 210 sub-population of patients considered at risk of sub-optimal ACT dosing by the WHO [1]. We estimated  
 211 that excess annual treatment failures could range between 0.32 to 1.29 million, 0.44 to 1.09 million  
 212 and 0.62 to 2.49 million individuals in the five identified sub-populations with an ACPR of 98%, 95%  
 213 and 92%, respectively.

214 Until optimised dosage regimens are defined for these groups, the close monitoring of treatment  
 215 response in all those at risk of sub-optimal dosing will become paramount to successfully limit the

216 emergence and spread of artemisinin- and partner drug- resistant parasite strains on the African  
217 continent. This is especially important at a time when clinically significant artemisinin resistance has  
218 been confirmed in at least three African countries [13] and when acquired immunity is waning in  
219 regions successfully controlling the overall malaria burden [17]; and is part of the new WHO strategy  
220 to minimize the threat and impact of antimalarial drug resistance in Africa [13].

221 Patients with uncomplicated hyperparasitaemia accounted for 13.2 million, or 8.6%, of estimated  
222 uncomplicated malaria cases and are the largest contributor of estimated excess treatment failures.

223 In this study uncomplicated hyperparasitaemia was defined as  $>100,000$  parasites/ $\mu\text{L}$ , based on two  
224 meta-analyses defining this as the threshold for an increased risk of treatment failure [22, 23] and its  
225 proportion based on a meta-analysis on 56,000 individual patients' data that included 29 African  
226 countries in low, moderate, and high malaria transmission areas [24]. This proportion however may  
227 be an underestimation as patients with this level of parasitaemia are often excluded from  
228 uncomplicated malaria clinical trials [19]. Patients with uncomplicated hyperparasitaemia and without  
229 other clinical signs of severity are an important reservoir of de-novo resistance [25]. Additionally,  
230 inadequate treatment may aggravate the patient's clinical condition and increase risk of death [26].

231 Although severely ill hyperparasitaemic patients are likely to be hospitalised and treated parentally,  
232 recognising a patient with isolated uncomplicated hyperparasitaemia is challenging as diagnosis is  
233 usually made by qualitative malaria rapid diagnostic tests and without microscopic confirmation of  
234 parasite density. These patients are thus likely to receive a standard oral ACT dosage regimen that  
235 may be insufficient to reduce their high parasite biomass thus increasing the risk of recrudescence  
236 [25]. Once the diagnosis of uncomplicated hyperparasitaemia is made then the treatment remains  
237 problematic as evidence to date to support, e.g. increasing the ACT duration, is insufficient [14, 27].

238 Malaria and undernutrition often coincide in Africa, where approximately 1 in 3 children under 5 years  
239 of age are underweight, supplementary material p25. The risk of malaria and treatment failure  
240 according to nutritional status remains complex [28]. Furthermore, malnutrition may worsen the  
241 severity of malaria and increase the risk of malaria deaths [29] and acutely undernourished (wasted)

242 children are at an increased risk of ACT treatment failure [30]. This is reflected within the current WHO  
243 malaria treatment guidelines when referring to children “malnourished” as being at risk of sub-optimal  
244 dosing. In 2019, a year prior to the COVID-19 pandemic, an estimated 12.7 million children <5 years  
245 of age in Africa were acutely malnourished, of whom 3.5 million were considered severely wasted  
246 (weight-for-height Z-score <-3SD) and at higher risk of infection, complications and death [31]. The  
247 many social, economic, and health-related disruptions triggered by the COVID-19 pandemic alongside  
248 the current food shortage due to the war in Ukraine aggravate the nutritional status of an additional  
249 1.46 million children in Africa [32]. The present study estimates that 2.5 million moderately (weight-  
250 for-height Z-score <-2SD) wasted children <5 years of age suffer from uncomplicated malaria; unless  
251 they present with danger signs or complications from their malnutrition status, these children are  
252 likely to be treated with ACTs including those in nutrition rehabilitation [33]. There is strong evidence  
253 from studies conducted in Mali and Niger that severely wasted children, treated with a full course of  
254 artemether-lumefantrine and high-fat nutritional supplements, have decreased drug exposure and a  
255 higher risk of reinfection compared to those who are well-nourished [34]. Importantly, even mild  
256 wasting (weight-for-height Z-score <-1SD) increases the risk of treatment failure to the above  
257 estimates underestimate the total effects of wasting on ACT treatment failure [30]. Furthermore, in  
258 the WWARN individual pharmacokinetic-pharmacodynamic data analysis of patients treated with  
259 artemether-lumefantrine, underweight (weight-for-age Z score <-2 SD) children under 3 years of age  
260 had a 23% [95%CI 1; 41] lower day 7 lumefantrine concentration [35] and underweight African children  
261 <3 years of age had a higher risk of treatment failure (HR 1.66 [95%CI 1.05; 2.63]) compared to  
262 adequately-nourished children of the same age [23]. Improving our understanding of the complex  
263 interactions between nutritional status, antimalarial drug absorption and ACT efficacy is paramount  
264 to improve clinical management of these patients and avoid preventable treatment failures and  
265 increasing antimalarial resistance.

266 In this study, persons who are overweight accounted for an estimated 10.3 million, or 6.7% of all  
267 estimated uncomplicated malaria cases, the second largest risk group. The pharmacological profile of

268 lipophilic antimalarial drugs in overweight or obese people may be altered. One small recent  
269 pharmacokinetic study on healthy males showed non-significantly lowered artemether-lumefantrine  
270 plasma drug concentrations with higher body-weight, but was likely underpowered with only 7  
271 overweight and 3 obese participants included [36]. Publications evaluating their risks of sub-optimal  
272 dosing [37], recrudescence or even severity are still too sparse to provide reliable estimates of effect,  
273 which is expected to vary with both the degree of obesity, antimalarial used, and level of immunity  
274 among adults enrolled. One study conducted in Sweden retrospectively reviewed medical charts of  
275 patients hospitalised with falciparum malaria and concluded that median body mass index (BMI) in  
276 patients with severe malaria was significantly higher (29.3 kg/m<sup>2</sup>) than for those with uncomplicated  
277 malaria, concluding obesity (BMI ≥30 kg/m<sup>2</sup>) was significantly associated with severe malaria at  
278 diagnosis [38]. A study by Hatz *et al.* in 165 non-immune adults reported a decreased artemether-  
279 lumefantrine day-28 parasitological cure rate (93.4% [95%CI 85.3; 97.8] in patients ≥65 kg compared  
280 to those <65 kg (100% [95%CI 92.5; 100]) [39]. In principle, dosing of ACTs should be based on a target  
281 mg/kg body weight dose, but ACTs are mostly available as pre-packaged treatments based on a single  
282 adult weight-band (e.g. artemether-lumefantrine dosage is identical for anyone weighing ≥35 kg) [14].  
283 Increasing the treatment dose or prolonging the treatment regimen [40] for overweight patients could  
284 be feasible; however, it may be challenging in some primary health care contexts. As malaria  
285 transmission intensity decreases, the age distribution of malaria morbidity and mortality burden  
286 expands, with increased prevalence of malaria in the adult population. In parallel an increase in the  
287 prevalence of overweight/obesity in African adults has also been observed [41-43]. Therefore,  
288 improving diagnosis and treatment in older age groups remains relevant to advance elimination and  
289 delay resistance [44]; thus overweight adults should be actively included in dose optimization studies  
290 to provide data on this important population.

291 PLHIV could contribute to 1.2% of all estimated uncomplicated malaria cases and between 2.6% and  
292 6.6% of estimated excess failures. As antiretroviral therapy (ART) coverage increases [45] together  
293 with a shift towards dolutegravir-based ARTs that have fewer drug-drug interactions [46], PLHIV may

294 become less at risk of sub-optimal ACT dosing with standard 3-day regimen; this risk remains however  
295 for those receiving rifampicin-based tuberculosis treatment or efavirenz-based ARTs [47, 48].  
296 Furthermore, PLHIV have higher parasites densities and children infected with HIV have been reported  
297 having slower parasite clearance than HIV-free children [49]. A recent review on the role of HIV  
298 infection on malaria transmission suggests a higher risk of re-infection in population infected with HIV-  
299 1 [50].

300 The current WHO Malaria guidelines for treating uncomplicated malaria in pregnancy recommend  
301 that artemether-lumefantrine should be used in all trimesters [14]. However, artemether-  
302 lumefantrine, the most widely used ACT in Africa, had a lower PCR-corrected cure rate compared to  
303 other ACTs in a large IPD meta-analysis evaluating the efficacy and tolerability of ACTs in pregnancy  
304 [51], which could be attributed to changes in the pharmacokinetics of lumefantrine during pregnancy  
305 resulting in lower drug concentration compared to non-pregnant population [52]. Longer artemether-  
306 lumefantrine regimens have been tested in Thailand and in the Democratic Republic of Congo, with a  
307 higher Day-7 lumefantrine concentration compared to the standard 3-day regimen but did not show  
308 increase ACPRs [53]. Further studies to optimise antimalarial drug treatment in pregnancy are needed,  
309 as are harmonised antimalarial therapeutic efficacy assessments in pregnancy studies [54].

310

## 311 **Study limitations and assumptions**

312 This study provides an estimate of the significant magnitude of the population at risk of sub-optimal  
313 dosing living in 41 African countries; those estimates are based on the latest malaria and population  
314 data openly available and are derived from several sources with some assumptions. Assumptions  
315 made to evaluate the number of treatment failure and the proportion of excess treatment failure for  
316 each sub-population evaluated. Estimates have been calculated assuming an equal risk for everyone  
317 in a population sub-group and an equal risk by age category within that sub-group. The estimated  
318 incidence of severe malaria cases from 2015 was applied to calculate uncomplicated episodes from  
319 the 2020 total malaria data reported by WHO although malaria trends were decreasing until 2019.



320 Recent IPD meta-analysis were not available for each population category nor were exclusively  
321 evaluating treatment failure risk in Africa. Risks of treatment failure associated with multiple factors  
322 could not be evaluated (e.g., hyperparasitaemia in pregnancy). Because sub-group populations and  
323 malaria endemicity levels were extracted at country level, granularity of risk may have been lost  
324 including level of transmission or impact of seasonality. We did not account for the quality of  
325 antimalarials (either substandards or falsified), the impact of other co-morbidities on the drug  
326 absorption, the impact of drugs other than antiretrovirals e.g. antituberculosis drugs or the true  
327 adherence to the treatment. We believe that the majority of our assumptions are likely to  
328 underestimate the true overall impact of under-dosing, so provide a “best case” scenario.

329

## 330 Conclusion

331 This study estimates that nearly 1 in 4 people with uncomplicated confirmed malaria in Africa are at  
332 risk of sub-optimal antimalarial drug dosing. This is the first attempt to quantify this issue, which poses  
333 a serious threat to malaria control efforts. Adequate antimalarial drug dosing is essential for both  
334 maximising cure rates and the prevention or delay of resistance emergence or its expansion.  
335 Optimised drug dosing or longer treatment duration of currently used ACTs may be needed in those  
336 at risk of sub-optimal antimalarial drug dosing. The largest contribution to the excess number of  
337 treatment failures came from hyperparasitaemic patients. A malaria diagnosis that includes a  
338 quantitative or semi-quantitative parasite count at all levels of health care would be of great public  
339 health value to identify patients with uncomplicated hyperparasitaemia who should receive an  
340 adapted treatment. New antimalarials should be evaluated to provide sufficient drug concentrations  
341 not only in otherwise healthy adults, but also to all at risk sub-populations that carry a high malaria  
342 burden.

343

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351

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537 2018/06/13. doi: 10.1371/journal.pmed.1002579. PubMed PMID: 29894518; PubMed Central  
538 PMCID: PMC5997317 following competing interests: KIB and NJW are members of the WHO  
539 Technical Expert Group (TEG) on Malaria Chemotherapy. KIB is also a member of the WHO TEG on  
540 Drug Resistance and Containment. KIB, NJW, JT and SP are members of the WHO Malaria  
541 Chemotherapy sub-group on dosage recommendations. GL, KH, FE and RB are employees of  
542 Novartis, the manufacturer of the drug that is the subject of this publication. EAA and NJW are  
543 members of the Editorial Board of *PLOS Medicine*. None of the authors declare any other conflict of  
544 interest.

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556

## 557 **Supplementary material**

558 Supplementary material is available as one appendix

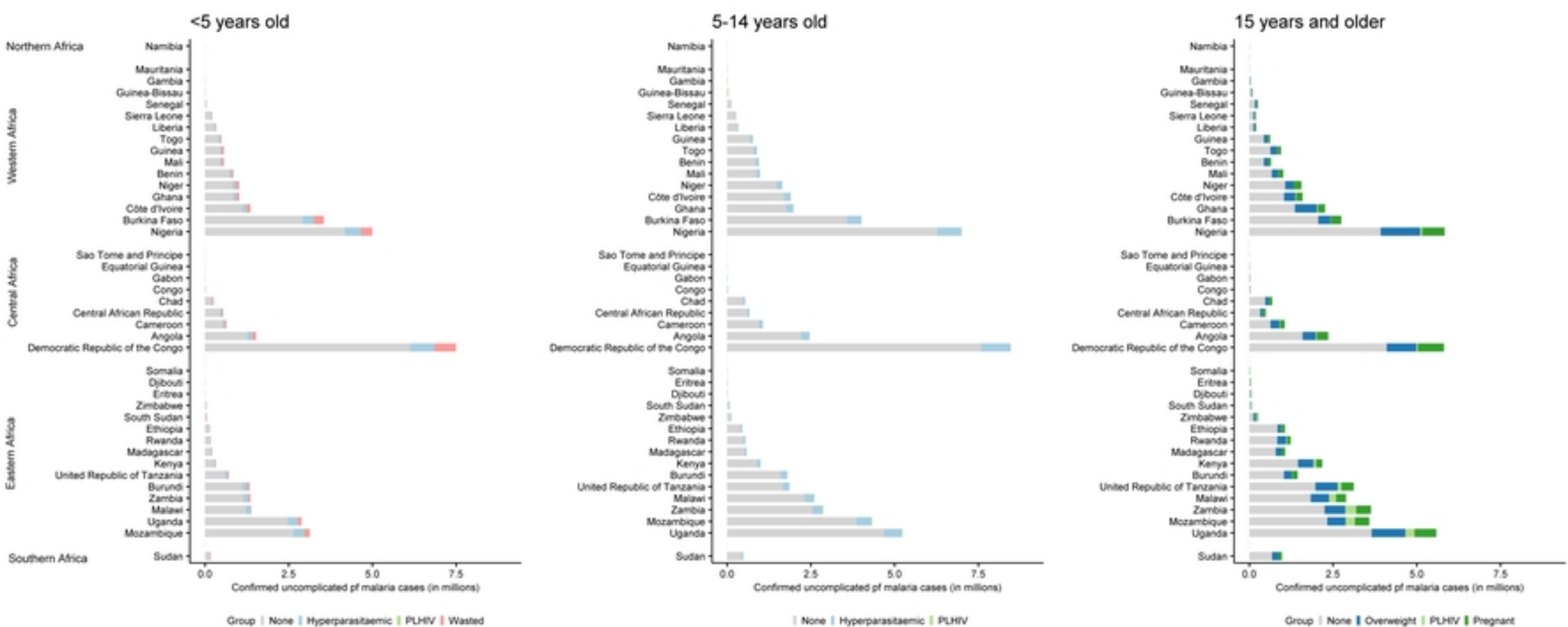
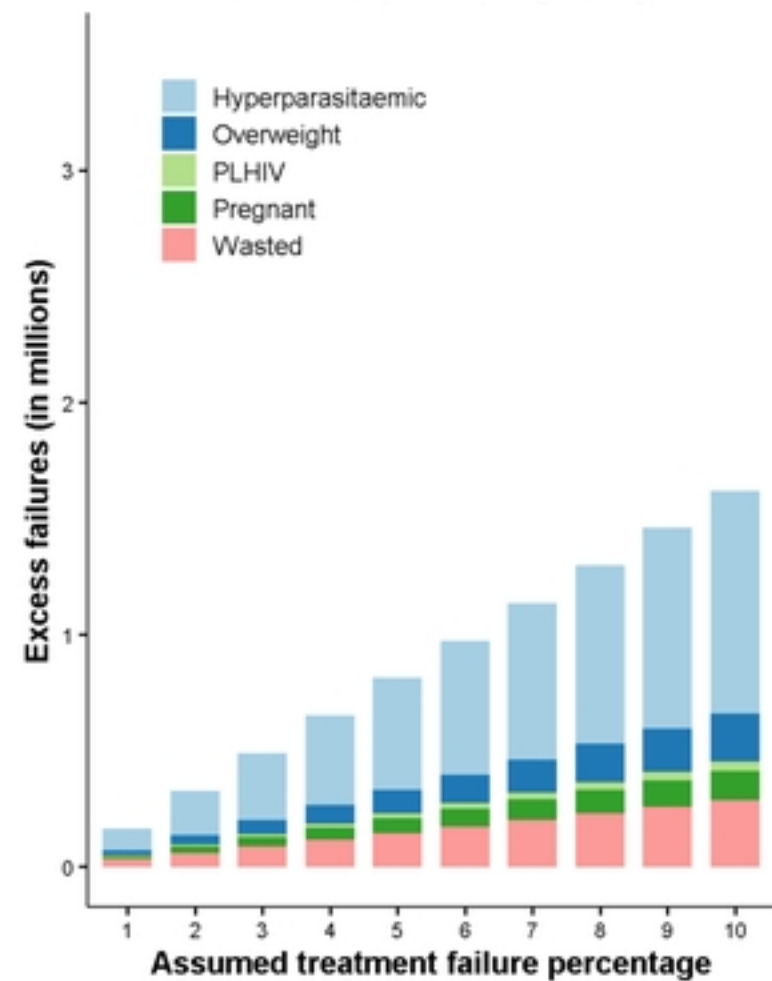
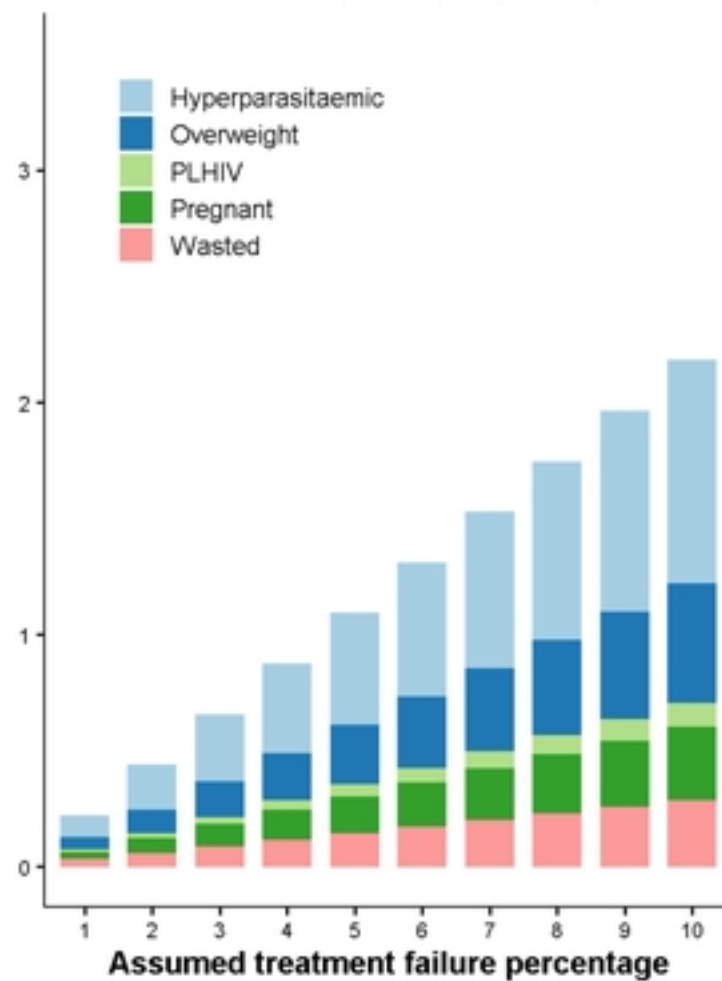


Figure 1

Assumed HR of 1.2  
for PLHIV, obesity, and pregnancy



Assumed HR of 1.5  
for PLHIV, obesity, and pregnancy



Assumed HR of 2.0  
for PLHIV, obesity, and pregnancy

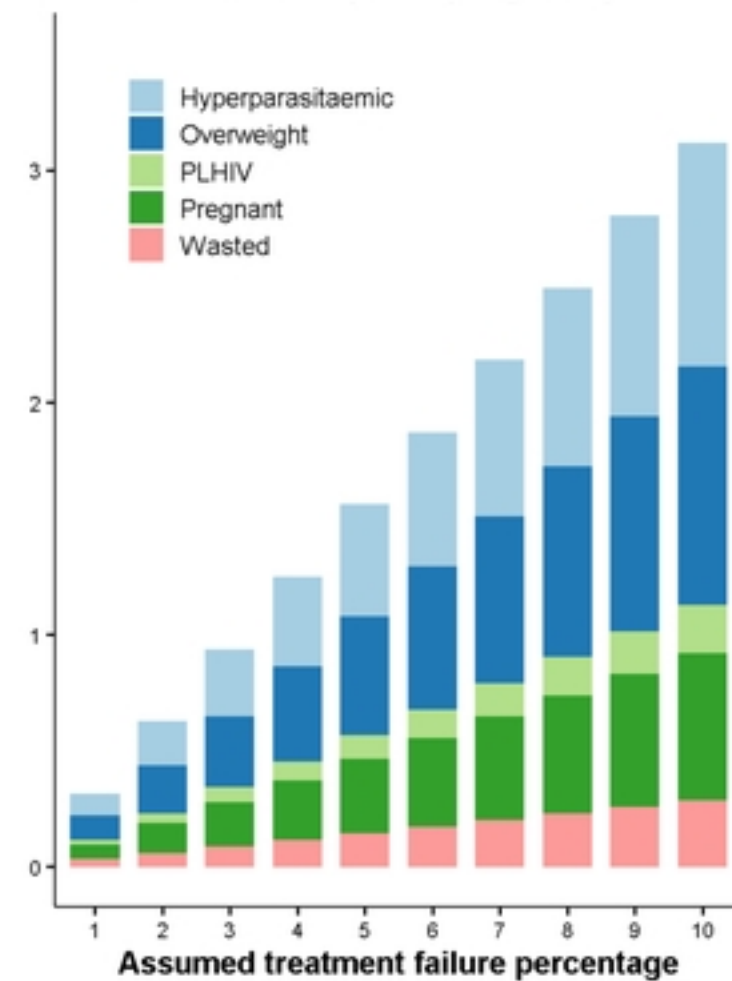


Figure 2