### Characteristic distributions on 4-dimensional almost complex manifolds

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#### Abstract

In this paper the Nijenhuis tensor characteristic distributions on a non-integrable four-dimensional almost complex manifold is investigated for integrability, singularities and equivalence.

### Introduction

For a non-integrable four-dimensional almost complex manifold we will canonically define a distribution  $\Pi^2$  by the Nijenhuis tensor  $N_J$ . In §1 we complete the description [K1] of invariants of an almost complex structure in dimension four, using this distribution. In §2-§3 we describe singularities of  $\Pi^2$ . We show they are standard if our field of planes is considered as a distribution, but they become quite specific if it is considered as a differential system.

In §4-5 we study moduli and hyperbolicity of the germ of a neighborhood of a pseudoholomorphic curve. §6 is devoted to a geometric meaning of the integrability of the Nijenhuis tensor characteristic distribution  $\Pi^2$  and its relation to a question of V. Arnold.

In [HH] Hirzebruch and Hopf proved the following topological result: If a 4-dimensional manifolds admits a rank 2 distribution, it admits an almost complex structure as well. Moreover if the manifold admits two almost complex structures, defining opposite orientations, then it admits a rank 2 distribution.

We associate to a non-integrable almost complex structure a rank 2 distribution, realizing the above topological correspondence (to one side) canonically on the differential level. Note that any almost complex structure on a 4-dimensional manifold can be perturbed to be non-integrable outside a discrete set.

### 1. Local classification of almost complex structures in dimension 4

Let  $(M, J \in \operatorname{Aut}(TM))$  be an almost complex manifold of dimension 4,  $J^2 = -1$ . Its Nijenhuis tensor is the following (2, 1)-tensor

$$N_J \in \Lambda^2 T^* M \otimes TM, \quad N_J(\xi, \eta) = [J\xi, J\eta] - J[J\xi, \eta] - J[\xi, J\eta] - [\xi, \eta].$$
 (1)

Integrability of J is expressed via it as  $N_J = 0$  ([NW]).

This tensor satisfies the property  $N_J(J\xi,\eta) = N_J(\xi,J\eta) = -JN_J(\xi,\eta)$  and so can be considered as an antilinear map  $N_J: \Lambda^2\mathbb{C}^2 \to \mathbb{C}^2$ ,  $\mathbb{C}^2 = (T_xM^4,J)$ . The image is invariant under J and if  $N_J \neq 0$  it is a complex line  $\mathbb{C} \subset \mathbb{C}^2$ .

Thus in the domain, where the structure J is non-integrable, a canonical distribution is defined:

**Definition 1.** Let us call  $\Pi^2 = \operatorname{Im} N_J \subset TM$  the Nijenhuis tensor characteristic distribution on a 4-dimensional almost complex manifold  $(M^4, J)$ .

This distribution  $\Pi^2$  is in general situation nonintegrable. Therefore it has a nontrivial derivative  $\Pi^3 = \partial \Pi^2$ , which is defined as the differential system with  $C^{\infty}(M)$ -module of sections  $\mathcal{P}_3 = C^{\infty}(\Pi^3)$  generated by the self-commutator of the submodule  $\mathcal{P}_2 = C^{\infty}(\Pi^2) \subset \mathcal{D}(M)$ :  $\mathcal{P}_3 = [\mathcal{P}_2, \mathcal{P}_2]$ .  $\Pi^3$  is not a distribution everywhere and its singularities form a stratified submanifold  $\Sigma_1^2$  of codim = 2.

The distribution  $\Pi^3$  on  $M \setminus \Sigma_1^2$  is generically nonintegrable, so that  $\partial \Pi^3 = TM$  (or  $[\mathcal{P}_2, \mathcal{P}_3] = \mathcal{D}(M)$ ) outside a stratified submanifold  $\Sigma_2^2$  of codim = 2.

If  $x \notin \Sigma_1^2$ , then  $\Pi_x^2 \subset \Pi_x^3$  has a transversal measure. In fact since the J-antilinear isomorphism  $N_J(\cdot,\xi_3):\Pi_x^2\to\Pi_x^2$  is orientation reversing, there exist vectors  $\xi_1,\xi_2\in\Pi_x^2$ ,  $\xi_3\in\Pi_x^3\setminus\Pi_x^2$  such that  $N_J(\xi_1,\xi_3)=\xi_1$ ,  $N_J(\xi_2,\xi_3)=-\xi_2$ . These  $\xi_1,\xi_2$  are defined up to multiplication by a constant, while  $\xi_3 \pmod{\Pi_x^2}$  is defined up to multiplication by  $\pm 1$ . Therefore  $\Pi^3/\Pi^2$  is normed. By a similar reason  $T_xM/\Pi_x^3$  is normed outside  $\Sigma_1^2$  via the vector  $\xi_4=J\xi_3$ .

Note that  $\Pi_x^3/\Pi_x^2$  is oriented. Actually  $[\xi_1, \xi_2] \mod \Pi_x^2$  depends only on the values of  $\xi_1, \xi_2$  at the point x. It is a vector  $f\xi_3 \mod \Pi_x^2$  for some f. So if we require  $\xi_2 = J\xi_1$  then  $\xi_3$  can be chosen so that f > 0. This produces a coorientation on  $\Pi_x^2 \subset \Pi_x^3$  and then via J a coorientation on  $\Pi_x^3 \subset T_x M$ .

Moreover the requirement f=1 determines canonically vector field  $\xi_1$  (still however up to  $\pm 1$ ) and hence  $\xi_2=J\xi_1$ . Then we set  $\xi_3=[\xi_1,\xi_2]$  and  $\xi_4=J\xi_3$ . So the pair  $(\xi_1,\xi_2)$  is defined canonically up to a sign and the pair  $(\xi_3,\xi_4)$  is absolutely canonical. The following statement generalizes theorem 7 [K1]:

**Theorem 1.** Let almost complex structure J be of general position. Then at a generic points  $x \in M^4$  the canonical frame  $(\xi_1, \xi_2, \xi_3, \xi_4)$  is defined. It restores uniquely the almost complex operator J and the tensor  $N_J$  by the tables:

X	JX
$ \xi_1 $	$\xi_2$
$\xi_2$	$-\xi_1$
$\xi_3$	$\xi_4$
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$N_J(\uparrow,\leftarrow)$	$\xi_1$	$\xi_2$	$\xi_3$	$\xi_4$
$\xi_1$	0	0	$\xi_1$	$-\xi_2$
$\xi_2$	0	0	$-\xi_2$	$-\xi_1$
$\xi_3$	$-\xi_1$	$\xi_2$	0	0
$\xi_4$	$\xi_2$	$\xi_1$	0	0

Note that reducing a geometric structure to a frame ( $\{e\}$ -structure) solves completely the equivalence problem. The idea is as follows. Consider the moduli of the problem, i.e. functions  $c^i_{jk}$  given by the formula  $[\xi_j,\xi_k]=\sum c^i_{jk}\xi_i$ . Denote by  $\mathbb{A}=\{c^i_{jk}\}$  the space of all invariants and by  $\Phi:M\to\mathbb{A}$  the "momentum map"  $x\mapsto\{c^i_{jk}(x)\}$ . Then two equivalent structures have the same images and the equivalence follows. See [S] for more details.

## 2. Singularities of a Nijenhuis tensor characteristic distribution

A distribution  $V=V_1$  is called completely non-holonomic if one of its successive derivatives  $V_i=\partial V_{i-1}$  equals the whole tangent bundle TM and the minimal such i=r is called the degree of non-holonomy (can vary from point to point). The growth vector of a distribution at a point  $x\in M$  is the sequence of the dimensions  $(\operatorname{rk}_x V_1,\ldots,\operatorname{rk}_x V_{r(x)})$ .

Generically a Nijenhuis tensor characteristic distribution is completely non-holonomic outside a discrete subset in M. In an open dense set the growth vector is (2,3,4). Then it is an *Engel distribution*, which has the following local normal form ([E]):

$$\Pi^2 = \langle \xi_1 = \partial_3, \xi_2 = \partial_4 - x_3 \partial_2 - x_2 \partial_1 \rangle; \qquad \partial_i := \partial/\partial x_i.$$

Locally this  $\Pi^2$  can be realized as a Nijenhuis tensor characteristic distribution ([K2]). In fact, consider two transversal symmetries of the distribution:  $\eta_1 = \partial_1, \eta_2 = \partial_2 - x_4 \partial_1$ . Define the almost complex structure by the formula

$$J\xi_1 = \varphi \xi_2, J\eta_1 = \eta_2; \qquad \varphi \neq 0. \tag{2}$$

Then one easily checks that  $\operatorname{Im} N_J = \Pi^2$  whenever  $(\partial_{\eta_1} \varphi)^2 + (\partial_{\eta_2} \varphi)^2 \neq 0$ . Moreover the following statement holds:

**Proposition 2.** Let  $\Pi$  be an analytic distribution of rank 2 in  $\mathbb{R}^4$ . Then it can be locally realized as a Nijenhuis tensor characteristic distribution.

**Proof.** Let  $\Pi^2$  be generated by  $\xi_1 = \partial_3$  and  $\xi_2 = \partial_4 + h_1 \partial_1 + h_2 \partial_2$ . A pair of generators can always be chosen in such a form. Consider  $\xi_2$  as a vector field in  $\mathbb{R}^3(x_1, x_2, x_4)$  depending on a parameter  $x_3$ . It has two independent symmetries  $\eta_1, \eta_2 \in \mathcal{D}(\mathbb{R}^3)$ :  $[\eta_i, \xi_2] = 0$ . Let's differentiate these fields by the parameter:  $\partial_3 \eta_i = [\partial_3, \eta_i] = a_i^2 \eta_j + b_i \xi_2$ .

Define the almost complex structure by the formula

$$J\xi_1 = \varphi \xi_2, J\eta_1 = \alpha \eta_1 + \beta \eta_2; \quad \beta, \varphi \neq 0.$$

The condition Im  $N_J = \Pi^2$  is equivalent to the following system

$$\begin{cases} \varphi \partial_{\xi_2} \alpha = \alpha \partial_{\xi_1} \alpha - \frac{1+\alpha^2}{\beta} \partial_{\xi_1} \beta + \left[ a_1^1 (1+\alpha^2) - a_1^2 \alpha \frac{1+\alpha^2}{\beta} + a_2^1 \alpha \beta - a_2^2 (1+\alpha^2) \right] \\ \varphi \partial_{\xi_2} \beta = \beta \partial_{\xi_1} \alpha - \alpha \partial_{\xi_1} \beta + \left[ a_1^1 \alpha \beta + a_1^2 (1-\alpha^2) + a_2^1 \beta^2 - a_2^2 \alpha \beta \right] \end{cases}$$

and the inequality  $(\partial_{\eta_1}\varphi - b_1\alpha - b_2\beta)^2 + (\partial_{\eta_2}\varphi - b_1\frac{1-\alpha^2}{\beta} + b_2\alpha)^2 > 0$ . The system is in the Cauchy-Kovalevskaya form and so possesses a local solution. After this the inequality is arranged to hold.

**Theorem 3.** Nijenhuis tensors characteristic distributions in the domain of non-integrability for J have the same singularities as the usual two-dimensional distributions in  $\mathbb{R}^4$ .

**Proof.** Let us at first define the degeneration locus of a distribution. Introduce the partial order on the growth vectors:  $(m_1, \ldots, m_s) \leq (n_1, \ldots, n_r)$  iff  $s \geq r$  and  $m_i \leq n_i$  for  $i = 1, \ldots, r$ . Fix one growth vector I. Then the degeneration locus  $\Sigma_I \subset M$  is the set of points with the growth vector less or equal to I. Proposition 2 (it holds formally as well – on the jets of the structure) and the Thom transversality theorem imply that for a typical J the sets  $\Sigma_I$  are nice subvarieties, stratifying the manifold M. The statement follows.

The generic degenerations of two-plane fields in  $\mathbb{R}^4$ , up to codimension 3, were classified by Zhitomirskii [Z]. Let us show how generic codimension 2 singularities are realized as a Nijenhuis tensor characteristic distribution.

There are 2 different types of such singularities, defined by the growth vectors  $I_1 = (2, 2, 4)$  and  $I_2 = (2, 3, 3, 4)$ . All other growth vectors are subordinated to these two and hence the singular set is

$$\Sigma = \Sigma_1^2 \cup \Sigma_2^2, \qquad \Sigma_i^2 = \Sigma_{I_i}.$$

Generically the loci  $\Sigma_i^2$  are smooth 2-dimensional submanifolds ([Z]), which intersect non-transversally along a curve  $\Sigma_1^1$ . There is also a curve  $\Sigma_2^1 \subset \Sigma_2^2$  separating the locus into the elliptic/hyperbolic parts  $\Sigma_{2\pm}^2$ .

The codimension 2 loci of  $\Pi^2 = \langle \xi_1, \xi_2 \rangle$  have the following normal forms:

$$\Sigma_{1}^{2} \setminus \Sigma_{1}^{1}: \quad \xi_{1} = \partial_{3}, \xi_{2} = \partial_{4} - x_{3}x_{4}\partial_{2} - x_{3}^{2}\partial_{1}$$

$$\Sigma_{2+}^{2}: \quad \xi_{1} = \partial_{3}, \xi_{2} = \partial_{4} - \left(\frac{1}{3}x_{3}^{3} + x_{3}x_{4}^{2}\right)\partial_{2} - x_{3}\partial_{1}$$

$$\Sigma_{2-}^{2}: \quad \xi_{1} = \partial_{3}, \xi_{2} = \partial_{4} - x_{3}^{2}x_{4}\partial_{2} - x_{3}\partial_{1}$$

In each of these cases the choice  $\eta_1 = \partial_1, \eta_2 = \partial_2$  and formula (2) will lead to realization  $\Pi^2 = \text{Im } N_J$ . The cases of higher degenerations are studied similarly.

# 3. Singularities of $\Pi = \operatorname{Im} N_J$ as of a differential system

As differential systems Nijenhuis tensors characteristic distributions have singularities different from those of the usual differential systems in  $\mathbb{R}^4$ : The rank of a Nijenhuis tensor characteristic distribution is even and so is 2 or 0.

**Proposition 4.** For a generic structure J the set, where  $N_J = 0$  (the rank of  $\Pi$  falls to zero), is a discrete set  $\Sigma^0 \subset M^4$ . For each point of  $\Sigma^0$  there is a centered coordinate neighborhood  $(x_1, y_1, x_2, y_2)$  around it such that the almost complex structure is given by the formula

$$J\partial_{x_i} = \alpha_i \partial_{x_i} + (1 + \beta_i) \partial_{y_i}, \qquad J\partial_{y_i} = -\frac{1 + \alpha_i^2}{1 + \beta_i} \partial_{x_i} - \alpha_i \partial_{y_i}, \qquad i = 1, 2$$

where the functions  $\alpha_i, \beta_i$  are of the second order of smallness at the origin.

**Proof.** Singularities of the differential system  $\Pi = \text{Im } N_J$  are given by the vector equation  $N_J(\xi, \eta) = 0$  for some *J*-independent vector fields  $\xi, \eta$ , and so are generically isolated points given by the integrability condition  $N_J = 0$ .

To get the other claim recall ([K1]) that an almost complex structure can be approximated by a complex structure to the second order of smallness at the integrability points. Let  $(w_1, w_2)$  be the corresponding complex coordinates. By a theorem of Nijenhuis and Woolf [NW] (see also proposition 9 below) there are two J-holomorphic foliations by disks  $C^1$ -close to the foliations  $\{w_i = \text{const}\}$  at the origin, i = 1, 2. Let  $z_1$  be a complex coordinate on the disk of the first family passing the origin and  $z_2$  — on the second. They define the complex coordinate system  $(z_1, z_2)$  in a neighborhood of the origin with the required properties.  $\square$ 

**Remark 1.** For dim M > 4 the set, where  $N_J = 0$ , is generically empty.

Let  $\alpha_i^{\diamond}$ ,  $\beta_i^{\diamond}$  be the quadratic parts of  $\alpha_i$ ,  $\beta_i$ . Using the coordinate system from proposition 4 we calculate:  $\Pi^2 = \operatorname{Im} N_J = \langle \xi_1, \xi_2 = J \xi_1 \rangle$ , where linearizations of the generators at the origin are

$$\xi_1^0 = \left( -\frac{\partial \beta_1^{\circ}}{\partial x_2} - \frac{\partial \alpha_1^{\circ}}{\partial y_2} \right) \partial_{x_1} + \left( \frac{\partial \alpha_1^{\circ}}{\partial x_2} - \frac{\partial \beta_1^{\circ}}{\partial y_2} \right) \partial_{y_1} + \left( \frac{\partial \beta_2^{\circ}}{\partial x_1} + \frac{\partial \alpha_2^{\circ}}{\partial y_1} \right) \partial_{x_2} + \left( \frac{\partial \beta_2^{\circ}}{\partial y_1} - \frac{\partial \alpha_2^{\circ}}{\partial x_1} \right) \partial_{y_2}$$

and  $\xi_2^0 = J_0 \xi_1^0$  ( $J_0$  is the constant coordinate extension of J from the origin).

Thus we see that the linearization of the considered differential system is special, not as for the usual differential systems. If we consider linear vector fields  $\xi_i^0$  as linear operators, we represent the 1st order approximation of  $\Pi$  by a 2-dimensional subspace  $V^2 \subset \mathrm{gl}(4)$ . The condition  $V^2 = \langle X_1, X_2 = JX_1 \rangle$  for some  $J^2 = -1$  characterizes admissible 2-planes.

The higher order terms in  $\xi_1, \xi_2$  are special as well.

### 4. Moduli of a PH-curve neighborhood

Let  $\mathcal{C}^2$  be a pseudoholomorphic (PH-)curve, i.e. a surface with J-invariant tangent bundle. At every point  $x \in \mathcal{C}$  we have two J-invariant planes  $T_x\mathcal{C}^2$  and  $\Pi_x^2$ , which generically intersects by zero, except at a finite number of points  $\Sigma_0' \subset \mathcal{C}$ . The sets  $\Sigma_1' = \Sigma_1^2 \cap \mathcal{C}$  and  $\Sigma_2' = \Sigma_2^2 \cap \mathcal{C}$  are generically finite as well. The arrangement of all these points

$$\Sigma' = \Sigma'_0 \cup \Sigma'_1 \cup \Sigma'_2 \subset \mathcal{C}$$

gives a (finite-dimensional) invariant of  $\mathcal{C}.$ 

For points  $x \in \mathcal{C} \setminus \Sigma_1'$  we define field of directions  $L^1 = T\mathcal{C} \cap \Pi^3$ . The integral curves of this 1-distribution foliate the set  $\mathcal{C} \setminus \Sigma_1'$  and in general  $\mathcal{C}$  foliates with only nondegenerate singular points. Denote the number of elliptic points by  $e(L^1)$  and the number of hyperbolic points by  $h(L^1)$ . One can prove:

**Proposition 5.** Under  $C^1$ -small perturbation of the structure J the foliation  $L^1$  has minimal number of singularities:  $\min\{e(L), h(L)\} = 0$ ,  $\max\{e(L), h(L)\} = |\chi(C)|$ . For instance if  $C = T^2$  we get a foliation without singularities.

Due to §1 the foliation  $L^1$  is oriented, cooriented and has parallel and transverse measures outside  $\Sigma'$ . Thus there exist canonical vector fields  $v_1$  along  $L^1$  and  $v_2 = Jv_1$  transverse to it. Consequently the curve  $\mathcal{C}$  has a lot of dynamical invariants like winding classes of  $v_1$  and  $v_2$ . Moreover decomposing

$$[v_1, v_2] = \gamma_1 v_1 + \gamma_2 v_2.$$

we obtain two invariant (under pseudoholomorphic isomorphisms) functions  $\gamma_1, \gamma_2$ . These together with the germs of the functions  $c^i_{jk}$  from §1 form moduli of the C-neighborhoods germ. They solve the equivalence problem for PH-embeddings  $C^2 \to M^4$  (of general position).

**Example.** Let  $M = T^2(\varphi, \psi) \times \mathbb{R}^2(x, y)$  be equipped with the structure

$$J\partial_x = \partial_y; J\partial_\varphi = \frac{2-\rho y^2}{2}\partial_\psi + \frac{y^2}{2}\partial_\varphi + x\partial_x; J\partial_y = -\partial_x; J\partial_\psi = \frac{4+y^4}{2\rho y^2 - 4}\partial_\varphi - \frac{y^2}{2}\partial_\psi + \frac{xy^2}{\rho y^2 - 2}\partial_x + \frac{2x}{\rho y^2 - 2}\partial_y,$$

Then  $C = \{x = y = 0\}$  is a PH-torus and the winding number of  $v_1$  is  $\rho$ . Similarly one shows the other considered invariants are non-trivial.

### 5. Hyperbolicity of a PH-curve neighborhood

In this section we consider the case of PH-tori  $\mathcal{C} = T^2$ . We assume for simplicity that the normal bundle is topologically trivial, though in general case the result is the same.

Recall that the Kobayashi pseudometric  $d_M$  measures the distance between points via pseudoholomorphic disks ([Ko, KO]). An almost complex manifold is called Kobayashi hyperbolic if  $d_M$  is a metric. Let  $\|\cdot\|$  be a norm on TM.

**Proposition 6.** Let  $\mathcal{O}$  be a small neighborhood of a pseudoholomorphic torus  $T^2 \subset (M^4, J)$ . Then the domain  $\mathcal{O} \setminus T^2$  is not Kobayashi-hyperbolic.

Moreover for some constant C > 0 and any R > 0 there exists a smooth family of PH-disks  $f_{\alpha}^{R}: D_{R} \to \mathcal{O}$ , with uniformly bounded norms  $\|(f_{\alpha}^{R})_{*}(z)\| \leq C$  and  $\|(f_{\alpha}^{R})_{*}(0)\| = 1$ , that fills some smaller neighborhood  $\mathcal{O}' \subset \mathcal{O}$  of  $T^{2}$ :

$$\mathcal{O}' \subset \cup_{\alpha} f_{\alpha}^R(D_R).$$

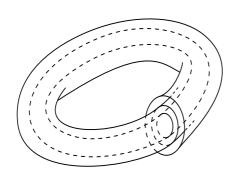
**Proof.** Let us take the universal covering  $\hat{\mathcal{O}} \simeq \mathbb{C} \times D^2$  of  $\mathcal{O}$ . The torus is covered by the entire line  $\mathbb{C} \to T^2$ . Changing the structure J at infinity in  $\hat{\mathcal{O}}$  and near the boundary to the integrable one we glue the manifold to the product  $S^2 \times S^2$  with the line  $\mathbb{C}$  being glued to the first factor  $S_1^2$ . Then the introduction of the taming symplectic product-structure  $\omega = \omega_1 \oplus \omega_2$  yields a foliation of  $S_1^2 \times S_2^2$  by PH-spheres  $S^2$  in the homology class of the first factor if we additionally demand that the homology class  $[S_1^2]$  of the first sphere-factor is symplectically indecomposable (for example, if  $\omega_1(S_1^2) = k\omega_2(S_2^2)$ ,  $k \in \mathbb{N}$ ). Here we use the fact that the dimension is 4: due to positivity of intersections [M1] we actually have a foliation ([M2]).

This foliation of  $S^2 \times S^2$  gives a family of big PH-disks on  $\hat{\mathcal{O}}$  parametrized by the radius R of disk in  $\mathbb{C}$  out of which the almost complex structure is changed. The estimates follow from the Brody reparametrization lemma as in [KO]. Pulling-back we get the required family.

We now consider filling by pseudoholomorphic cylinders  $\mathcal{C}_R = [-R; R] \times S^1 \subset \mathbb{C} \setminus \{0\}$ , which is topologically different from the disk-filling (Fig.1).

**Proposition 7.** In the statement of proposition 6 we can change disks  $D_R$  to the cylinders  $C_R$  and get for every R > 0 a filling family of PH-cylinders  $f_{\alpha}^R$ :  $C_R \to \mathcal{O}$  with uniformly bounded norms and normalization  $\|(f_{\alpha}^R)_*(0)\| = 1$ :

$$\mathcal{O}' \subset \cup_{\alpha} f_{\alpha}^R(\mathcal{C}_R).$$



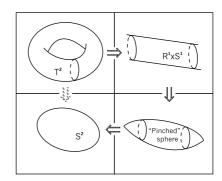


Figure 1: Filling by PH-cylinders

Figure 2: Cutting and Gluing

**Proof.** Actually take a covering of the neighborhood  $\mathcal{O}$  which corresponds to one cycle of the torus. The torus is covered by the entire cylinder  $\mathcal{C}_{\infty} \to T^2$ . We can change the almost complex structure J at infinity so that it makes possible to "pinch" each end of the cylinder. This means we perturb the structure J so that it is standard integrable outside some  $\mathcal{C}_{R_2} \subset \mathcal{C}_{\infty}$  and the support is also a big cylinder  $\mathcal{C}_{R_1}$ . Then we glue the ends to the disks. This operation gives us a sphere  $S^2$  instead of the cylinder  $\mathcal{C}_{\infty} = \mathbb{R} \times S^1$ . We can also assume that neighborhoods of two cylinder ends are pinched (Fig.2).

Thus we have a neighborhood U of the sphere  $S_0^2$ . It is foliated by PH-spheres close to  $S_0^2$ . Actually, we can change the structure J near the boundary of this neighborhood, glue and get the manifold-product  $\hat{M} = S^2 \times S^2$ . As before it is foliated by PH-spheres. Thus U is foliated by PH-spheres and in the preimage they give a PH-foliation by cylinders.

**Remark 2.** Neighborhoods of PH-spheres  $C = S^2$  are also non-hyperbolic and if the normal bundle is topologically trivial can be foliated by close PH-spheres.

For PH-curves of higher genus  $\mathcal{C}=S_g^2,\ g>1$ , one expects a small neighborhood  $\mathcal{O}$  to be Kobayashi hyperbolic. If an almost complex structure J is  $C^{\infty}$ -close to an integrable one near  $\mathcal{C}$  this is proved in [KO].

### 6. Arnold's question

In [A2](1993-25) Arnold asks about almost complex version for his Floquettype theory of elliptic curves neighborhoods ([A1]) in the spirit of the Moser's KAM-type theorem ([Mo]). Namely he asks if a germ of neighborhood  $\mathcal{O}$  of a PH-torus  $\mathcal{C} = T^2 \subset (M^4, J)$  is determined by its normal bundle  $N_{\mathcal{C}}M$ .

The following result is a direct consequence of the definition:

**Proposition 8.** If  $F: M^4 \to C^2$  is a (local) PH-surjection and the structure J is non-integrable, then the Nijenhuis tensor characteristic distribution  $\Pi^2$  is integrable and is tangent to the fibers of F.

Thus there is a functional obstruction to the equivalence of the C-germ in  $M^4$  and of the C-germ in the normal bundle (we do not discuss here the normal bundle: If dim M=4, the almost complex structure on  $N_{\mathcal{C}}M$  can be obtained via linearization along a family of transversal PH-disks; For the general case see [K3]). Integrability and transversality of  $\Pi^2$  to the torus  $\mathcal{C}$  is a necessary, but by no means sufficient condition for the existence of an equivalence: There are other functional moduli.

In search of a proper generalization of the Arnold's result we notice that a neighborhood of an elliptic curve in a complex surface is foliated by half-infinite cylinders: They are given as |z| = const in the representation of the neighborhood as  $\mathbb{C}^2(z, w)/(z, w) \sim (z + 2\pi, w) \sim (z + \nu, \lambda w)$ , where  $\nu \in \mathbb{C} \setminus \mathbb{R}$  and  $\lambda \in \mathbb{C} \setminus \{0\}$  (see [A1] for the representation). The hypothesis is then that for a non-integrable perturbation J of the complex structure  $J_0$ , most of the cylinders persist (as in the Moser's theory).

Let us sketch how to prove existence of one such a half-cylinder. In proposition 7 we have constructed a pre-compact family of finite cylinders  $f_{\alpha}^{R}$  for different R. If it winds up to the curve  $\mathcal{C}$  (as in the holomorphic normal form with  $|\lambda| \neq 1$ ), then one can extract a subsequence  $f_{\alpha_k}^{R_k}$  with  $R_k \to \infty$  converging to a pseudoholomorphic curve due to the standard technique ([G, MS]). This is the required half-cylinder.

There are no tools however to complete this construction to a PH-foliation (also a filling is problematic – a remark of V. Bangert). Note though that even if we construct a foliation, it is not necessary so nice as its holomorphic original. To explain this let us notice the following fact, which is a corollary of a theorem by Nijenhuis and Woolf [NW]:

**Proposition 9.** Small neighborhood  $\mathcal{O}$  of a PH-curve  $\mathcal{C} \subset M^4$  can be foliated by transversal PH-disks  $D^2$ .

Now consider a neighborhood of a PH-curve  $\mathcal C$  with topologically trivial normal bundle and suppose we have a foliating family  $f_{\alpha}: \mathcal B \to \mathcal O$  with unbounded or compact leaves in it. Let  $D_{\varphi}, \ \varphi \in \mathcal C$ , be the family of normal disks from proposition 9. Then every path  $\gamma(t)$  on  $\mathcal C$  with  $\gamma(0) = \varphi_0, \ \gamma(1) = \varphi_1$  gives a mapping  $\Phi_{\gamma}: D_{\varphi_0} \to D_{\varphi_1}$  of shift along the leaves of  $f_{\alpha}$ . For a loop  $\gamma$  we have an automorphism of  $D_{\varphi}$ . Since  $f_{\alpha}$  is a foliation there is no local holonomy:  $\Phi_{\gamma} = \mathrm{id}$  for contractible loops  $\gamma$ . Thus we can consider the map  $\pi_1(\mathcal C) \to \mathrm{Aut}(D_{\varphi})$ .

**Definition 2.** We call  $\Phi_{\gamma} \in \operatorname{Aut}(D_{\varphi})$  the monodromy map along  $\gamma \in \pi_1(\mathcal{C})$  and  $\Phi_{\gamma} : D_{\varphi_0} \to D_{\varphi_1}$  the transport map.

For example there is no monodromy for the sphere  $\mathcal{C} = S^2$  and each choice of local coordinates in a normal disk  $D_{\varphi_0}$  gives coordinates for the others  $D_{\varphi}$ .

Let now  $C = T^2(2\pi, \nu)$  and we have a foliating family  $f_{\alpha}$  of half-infinite cylinders. Since every leaf  $\mathcal{B}$  is a cylinder, there is no monodromy along one generating cycle. Normalize it to be the cycle  $\varphi \mapsto \varphi + 2\pi$ . Denote by  $\Phi_{\nu}$  the monodromy along the other cycle  $\varphi \mapsto \varphi + \nu$ .

Unlike the complex case, the almost complex monodromy can be non-holomorphic mapping of the fibers: It is possible to construct examples of PH-foliations with any prescribed monodromy  $\Phi_{\nu}$ .

Moreover even if the monodromy is complex, the transport maps  $\Phi_{\gamma}$ :  $(D_{\varphi_0}, J) \to (D_{\varphi_1}, J)$  can be non-complex. In fact there are functional obstructions for the transports to be complex:

**Theorem 10.** Let C be a PH-curve in a 4-dimensional manifold (M, J) and let  $f_{\alpha}: \mathcal{B} \to \mathcal{O}$  be a local PH-foliating family in some neighborhood  $\mathcal{O}$  of C. Then if all transport maps  $\Phi_{\gamma}$  are holomorphic, then the Nijenhuis tensor characteristic distribution  $\Pi^2$  is integrable and is tangent to the leaves of  $f_{\alpha}$ .

Actually this is because the foliation provides a local bundle  $\pi: \mathcal{O} \to D_{\varphi}$  and so proposition 8 apply. Again the integrability is not a sufficient condition: There are other moduli.

So we see that existence of foliating PH-family with complex transports (as in the original holomorphic case) is generically obstructed, and the obstructions are of the same nature as for the existence of equivalence between a germ of a neighborhood of a PH-curve  $\mathcal C$  and its normal bundle (though in the first case the Nijenhuis tensor characteristic distribution is tangent to the curve  $\mathcal C$ , while in the second one it is transversal).

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