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CHARACTERISTICS OF GUIDED MODES IN UNIAXIAL CHIRAL CIRCULAR WAVEGUIDES

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Abstract—The characteristics of guided modes in the circular waveguide consist of uniaxial chiral medium have been investigated. The characteristic equation of guided modes is derived. The dispersion curves and energy flux of guided modes for three kinds of uniaxial chiral media are presented. Unusual dispersion characteristics and negative energy flux are found, i.e., backward wave is supported in the uniaxial chiral waveguide.

1. INTRODUCTION

In recent years, chiral metamaterials have attracted much attention because the negative refractive index can be realized in the chiral metamaterials [1–9] and because a chiral slab with negative refractive index can be used as a perfect lens which providing subwavelength resolution for circularly polarized waves [10, 11]. Surface polaritons [12] and Goos-Hanchen shift [13] at the surface of chiral negative refractive media have been studied. Waveguides consisting of chiral metamaterials with negative refractive indices, such as slab, grounded slab, parallel-plate waveguide and fiber, have been investigated theoretically [14–17]. A special case of chiral negative refractive index medium, termed as chiral nihility [1] in which the permittivity and permeability are simultaneously zero, has also intensively explored [18–30]. Especially, planar and circular open [18, 20–24, 29] or closed [30] waveguides containing chiral nihility have been studied. However, these studies focus on the isotropic chiral medium. Usually, uniaxially anisotropic chiral media are quite easy to be realized artificially [31]. Recently, Cheng and Cui [31, 32] investigated negative refractions in

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uniaxially anisotropic chiral media. They found that the condition to realize the negative refraction in uniaxial chiral media can be quite loose. Mahmoud and Viitanen [33] considered propagation of waves in uniaxial chiral circular waveguide with boundary condition of hard surface. However, the possibility of negative electromagnetic parameter and negative refractive index has not been discussed in [33]. Guided modes in open circular waveguides (fibers) consist of isotropic chiral media [17, 34, 35] or negative index materials [36] have been studied in the literature. Slab or planar waveguides consisting of uniaxial anisotropic non-chiral media have also been examined [37, 38]. In our previous papers, novel characteristics of guided modes in the isotropic chiral negative refractive index fiber [17] and chiral nihility fiber [22, 23] have been investigated. In this paper, we extend these studies into open circular waveguides consisting of uniaxial chiral media with negative electromagnetic parameters. Firstly, we derive the characteristic equation of guided modes, then present numerical results of low-order guided modes for three kinds of uniaxial chiral media: I $\varepsilon_t > 0$, $\varepsilon_z > 0$, II $\varepsilon_t < 0$, $\varepsilon_z > 0$, and III $\varepsilon_t > 0$, $\varepsilon_z < 0$, among which there are no counterparts in isotropic chiral case and relative easily realized (see Fig. 1 in [31]), and we also discuss the effects of chirality parameter on dispersion characteristics and energy flux.

2. MODAL CHARACTERISTIC EQUATION

Consider the circular waveguide in which the core is uniaxial chiral medium and cladding is conventional material. The radius of core is a. The cladding is assumed to extend infinitely. Here we adopt the cylindrical coordinate system (r, φ, z) and time-harmonic field with $\exp(j\omega t)$.

The constitutive relations in the uniaxial chiral medium are [39]:

$$\mathbf{D} = [\varepsilon_t \bar{\bar{I}}_t + \varepsilon_z \hat{\mathbf{z}}\hat{\mathbf{z}}] \cdot \mathbf{E} - j\kappa\sqrt{\mu_0\varepsilon_0}\hat{\mathbf{z}}\hat{\mathbf{z}} \cdot \mathbf{H} \tag{1}$$

$$\mathbf{B} = [\mu_t \bar{\bar{I}}_t + \mu_z \hat{\mathbf{z}}\hat{\mathbf{z}}] \cdot \mathbf{H} + j\kappa\sqrt{\mu_0\varepsilon_0}\hat{\mathbf{z}}\hat{\mathbf{z}} \cdot \mathbf{E} \tag{2}$$

where ε_t (μ_t) and ε_z (μ_z) are permittivity (permeability) of the uniaxial chiral medium in transversal and longitudinal direction, respectively; ε_0 and μ_0 are permittivity and permeability of free space. κ is the chirality parameter, which describes electromagnetic coupling. $\hat{\mathbf{z}}$ is a unit vector along the waveguide axis (longitudinal direction) and $\bar{\bar{I}}_t = \hat{\mathbf{x}}\hat{\mathbf{x}} + \hat{\mathbf{y}}\hat{\mathbf{y}}$.

By separating the modal fields in the waveguide into transversal and longitudinal electromagnetic field components, we obtain:

$$\mathbf{E} = (\mathbf{E}_t + E_z\hat{\mathbf{z}})\exp(-j\beta z) \tag{3}$$

$$\mathbf{H} = (\mathbf{H}_t + H_z\hat{\mathbf{z}})\exp(-j\beta z) \tag{4}$$

where β is the longitudinal propagation constant. According to Maxwell's equations and above constitutive relations, the relationships between the transversal and longitudinal electromagnetic field components can be derived as follows:

$$\mathbf{E}_t = -j\frac{\beta}{\lambda^2}\nabla_t E_z - j\frac{\omega\mu_t}{\lambda^2}\nabla_t H_z \times \hat{\mathbf{z}} \tag{5}$$

$$\mathbf{H}_t = -j\frac{\beta}{\lambda^2}\nabla_t H_z - j\frac{\omega\varepsilon_t}{\lambda^2}\hat{\mathbf{z}} \times \nabla_t E_z \tag{6}$$

where $\nabla_t = \nabla - \hat{\mathbf{z}}\frac{\partial}{\partial z}$, $\lambda^2 = \omega^2\mu_t\varepsilon_t - \beta^2$, and longitudinal electromagnetic field components satisfy wave equations [33]:

$$\begin{bmatrix}\nabla_t^2 E_z \\ \nabla_t^2 H_z\end{bmatrix} + \lambda^2 \begin{bmatrix}\varepsilon_z/\varepsilon_t & -j\kappa\sqrt{\varepsilon_0\mu_0}/\varepsilon_t \\ j\kappa\sqrt{\varepsilon_0\mu_0}/\mu_t & \mu_z/\mu_t\end{bmatrix}\begin{bmatrix}E_z \\ H_z\end{bmatrix} = 0 \tag{7}$$

By finding the eigenvectors and eigenvalues of the 2×2 matrix in Equation (7), one can obtain the modal field structure [33]. The eigenvalues k_c^2 of 2×2 matrix in Equation (7) have two values:

$$k_{c\pm}^2 = \frac{\lambda^2}{2}\left[\frac{\varepsilon_z}{\varepsilon_t} + \frac{\mu_z}{\mu_t} \pm \sqrt{\left(\frac{\varepsilon_z}{\varepsilon_t} - \frac{\mu_z}{\mu_t}\right)^2 + 4\kappa^2\frac{\varepsilon_0\mu_0}{\varepsilon_t\mu_t}}\right] \tag{8}$$

The corresponding eigenfunctions are given by [33]:

$$(E_z, H_z) = \left(E_z, j\frac{\alpha}{\eta_t}E_z\right) \tag{9}$$

where $\alpha = (\frac{k_c^2}{\lambda^2} - \frac{\varepsilon_z}{\varepsilon_t})\sqrt{\varepsilon_t\mu_t}/(\kappa\sqrt{\varepsilon_0\mu_0})$, $\eta_t = \sqrt{\mu_t/\varepsilon_t}$.

Thus the wave equation of the longitudinal electromagnetic field component E_z becomes

$$\nabla_t^2 E_z + k_c^2 E_z = 0 \tag{10}$$

In the cylindrical coordinate system (r, φ, z), the solution of the longitudinal electromagnetic field component in the core has the form $E_z = J_m(k_c r)\exp(jm\varphi)$. Then the total longitudinal electromagnetic field components in the core can be written as

$$E_{z1} = [A_m J_m(k_{c+}r) + B_m J_m(k_{c-}r)]\exp(jm\varphi)\exp(-j\beta z) \tag{11a}$$

$$H_{z1} = \frac{j}{\eta_t}[A_m\alpha_+ J_m(k_{c+}r) + B_m\alpha_- J_m(k_{c-}r)]\exp(jm\varphi)\exp(-j\beta z) \tag{11b}$$

The transversal electromagnetic field components can be derived from Equations (5), (6) as:

$$\begin{aligned} E_{r1} &= \left\{ A_m \left[\frac{jmk_t}{\lambda^2 r} \alpha_+ J_m(k_{c+}r) - \frac{j\beta k_{c+}}{\lambda^2} J_m'(k_{c+}r) \right] \right. \\ &\quad \left. + B_m \left[\frac{jmk_t}{\lambda^2 r} \alpha_- J_m(k_{c-}r) - \frac{j\beta k_{c-}}{\lambda^2} J_m'(k_{c-}r) \right] \right\} \\ &\quad \exp(jm\varphi)\exp(-j\beta z) \qquad (11c) \\ E_{\varphi 1} &= \left\{ A_m \left[\frac{m\beta}{\lambda^2 r} J_m(k_{c+}r) - \frac{k_t k_{c+}\alpha_+}{\lambda^2} J_m'(k_{c+}r) \right] \right. \\ &\quad \left. + B_m \left[\frac{m\beta}{\lambda^2 r} J_m(k_{c-}r) - \frac{k_t k_{c-}\alpha_-}{\lambda^2} J_m'(k_{c-}r) \right] \right\} \\ &\quad \exp(jm\varphi)\exp(-j\beta z) \qquad (11d) \\ H_{r1} &= \left\{ A_m \frac{1}{\lambda^2 \eta_t} \left[-\frac{k_t m}{r} J_m(k_{c+}r) + \beta k_{c+}\alpha_+ J_m'(k_{c+}r) \right] \right. \\ &\quad \left. + B_m \frac{1}{\lambda^2 \eta_t} \left[-\frac{k_t m}{r} J_m(k_{c-}r) + \beta k_{c-}\alpha_- J_m'(k_{c-}r) \right] \right\} \\ &\quad \exp(jm\varphi)\exp(-j\beta z) \qquad (11e) \\ H_{\varphi 1} &= \left\{ A_m \frac{1}{\lambda^2 \eta_t} \left[\frac{j\beta m\alpha_+}{r} J_m(k_{c+}r) - k_t k_{c+} J_m'(k_{c+}r) \right] \right. \\ &\quad \left. + B_m \frac{1}{\lambda^2 \eta_t} \left[\frac{j\beta m\alpha_-}{r} J_m(k_{c-}r) - k_t k_{c-} J_m'(k_{c-}r) \right] \right\} \\ &\quad \exp(jm\varphi)\exp(-j\beta z) \qquad (11f) \end{aligned}$$

where A_m, B_m are constants, $J_m(\cdot)$ the Bessel function of first kind, $J_m'(\cdot)$ the differentiation with respect to argument, and m a positive or negative integer specifying the azimuthal field dependence.

In the cladding, the electromagnetic fields can be obtained as [22]:

$$\begin{cases} E_{z2} = C_m K_m(\tau_2 r)\exp(jm\varphi)\exp(-j\beta z) \\ H_{z2} = \frac{j}{\eta_2} D_m K_m(\tau_2 r)\exp(jm\varphi)\exp(-j\beta z) \end{cases} \qquad (12a)$$

$$\begin{cases} E_{r2} = \left[\frac{j\beta}{\tau_2} C_m K_m'(\tau_2 r) - \frac{jmk_2}{\tau_2^2 r} D_m K_m(\tau_2 r) \right] \exp(jm\varphi)\exp(-j\beta z) \\ H_{r2} = \left[\frac{mk_2}{\eta_2 \tau_2^2 r} C_m K_m(\tau_2 r) - \frac{\beta}{\eta_2 \tau_2} D_m K_m'(\tau_2 r) \right] \exp(jm\varphi)\exp(-j\beta z) \end{cases} \qquad (12b)$$

$$\begin{cases} E_{\varphi 2} = \left[-\frac{m\beta}{\tau_2^2 r} C_m K_m(\tau_2 r) + \frac{k_2}{\tau_2} D_m K_m'(\tau_2 r) \right] \exp(jm\varphi)\exp(-j\beta z) \\ H_{\varphi 2} = \left[\frac{jk_2}{\eta_2 \tau_2} C_m K_m'(\tau_2 r) - \frac{jm\beta}{\eta_2 \tau_2^2 r} D_m K_m(\tau_2 r) \right] \exp(jm\varphi)\exp(-j\beta z) \end{cases} \qquad (12c)$$

where C_m, D_m are constants, $K_m(\cdot)$ the modified Bessel function of second kind, and $K_m'(\cdot)$ the differentiation with respect to argument,

$\tau_2 = \sqrt{\beta^2 - k_2^2}$ the transverse attenuation factor in the cladding; $k_2 = \omega\sqrt{\mu_2\varepsilon_2}$, and $\eta_2 = \sqrt{\mu_2/\varepsilon_2}$ the wavenumber and wave impedance in the cladding, respectively.

According to four boundary conditions (continuity of the tangential electromagnetic field components $E_z, E_\varphi, H_z, H_\varphi$) at interface $r = a$, the following equation can be derived:

$$\begin{bmatrix} J_m(u_+) & J_m(u_-) & -K_m(v) & 0 \\ \alpha_+ J_m(u_+) & \alpha_- J_m(u_-) & 0 & -\frac{\eta_t}{\eta_2}K_m(v) \\ a_{31} & a_{32} & \frac{m\beta}{\tau_2^2 a}K_m(v) & -\frac{k_2}{\tau_2}K_m'(v) \\ a_{41} & a_{42} & -\frac{\eta_t}{\eta_2}\frac{k_2}{\tau_2}K_m'(v) & \frac{\eta_t}{\eta_2}\frac{m\beta}{\tau_2^2 a}K_m(v) \end{bmatrix} \begin{bmatrix} A_m \\ B_m \\ C_m \\ D_m \end{bmatrix} = 0 \quad (13)$$

where $a_{31} = \frac{m\beta}{\lambda^2 a}J_m(u_+) - \frac{\omega\mu_t k_{c+}\alpha_+}{\eta_t\lambda^2}J_m'(u_+)$, $a_{32} = \frac{m\beta}{\lambda^2 a}J_m(u_-) - \frac{\omega\mu_t k_{c-}\alpha_-}{\eta_t\lambda^2}J_m'(u_-)$, $a_{41} = \frac{m\beta\alpha_+}{\lambda^2 a}J_m(u_+) - \frac{\omega\varepsilon_t\eta_t k_{c+}}{\lambda^2}J_m'(u_+)$, $a_{42} = \frac{m\beta\alpha_-}{\lambda^2 a}J_m(u_-) - \frac{\omega\varepsilon_t\eta_t k_{c-}}{\lambda^2}J_m'(u_-)$, and $u_\pm = k_{c\pm}a$, $v = \tau_2 a$. The characteristic equation of guided modes is simply given as determinant of 4×4 matrix in (13) equal to zero.

Energy flux along the z-axis in the waveguide is defined by:

$$S_z = \frac{1}{2}\mathrm{Re}(\mathbf{E} \times \mathbf{H}^*) \cdot \hat{\mathbf{z}} = \frac{1}{2}\mathrm{Re}(E_r H_\varphi^* - E_\varphi H_r^*) \quad (14)$$

Power in the core (P_1) and cladding (P_2) are the integration of the energy flux S_{z1} and S_{z2}, respectively:

$$P_1 = \int_0^{2\pi}\int_0^a rS_{z1}drd\varphi = 2\pi\int_0^a rS_{z1}dr \quad (15a)$$

$$P_2 = \int_0^{2\pi}\int_a^\infty rS_{z2}drd\varphi = 2\pi\int_a^\infty rS_{z2}dr \quad (15b)$$

The normalized power is defined as [36]

$$P = \frac{P_1 + P_2}{|P_1| + |P_2|} \quad (16)$$

3. NUMERICAL RESULTS AND DISCUSSION

The longitudinal propagation constant β can be calculated numerically from the characteristic equation, and relationships of constants A_m, B_m, C_m, and D_m in the formulas of electromagnetic fields can be derived from Equation (13). Thus all electromagnetic fields components, the energy flow distribution and normalized power can be obtained. In this section, we will present the dispersion curves, energy flux of guided modes for three kinds of uniaxial chiral media [31, 32]:

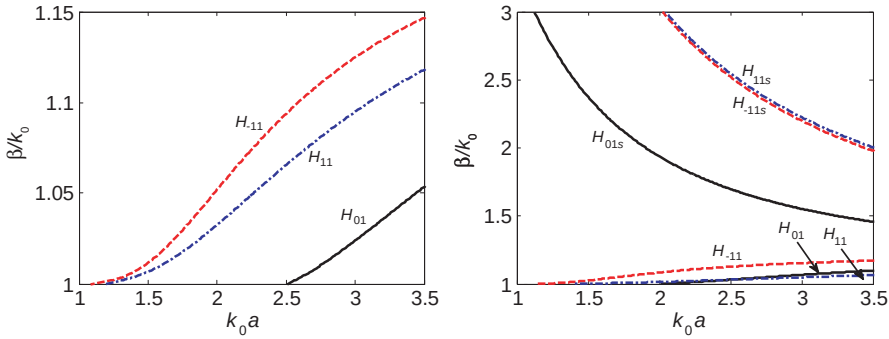


Figure 1. Dispersion curves of lower-order ($m = -1,\ 0,\ 1$) guided modes for different chirality parameters.

$\varepsilon_t > 0$, $\varepsilon_z > 0$; $\varepsilon_t < 0$, $\varepsilon_z > 0$; and $\varepsilon_t > 0$, $\varepsilon_z < 0$. Here we assume $\mu_t = \mu_z = \mu_0$, use normalized frequency $k_0 a$, and focus on the lower-order ($m = -1,\ 0,\ 1$) guided modes.

3.1. Case I: $\varepsilon_t > 0$, $\varepsilon_z > 0$

We choose $\varepsilon_t = 1.5\varepsilon_0$, $\varepsilon_z = 2.5\varepsilon_0$. For small and middle value of chirality parameter κ, the dispersion curves are similar as isotropic chiral circular waveguides [34, 35], as shown in Fig. 1(a) for $\kappa = 0.5$. The normalized propagation constants β/k_0 of H_{-11} mode (dashed curve) and H_{11} mode (dash-doted curve) are different, and increase as normalized frequency $k_0 a$ increases. The fundamental mode is H_{-11} mode. For larger value of chirality parameter κ, another type of mode (label as H_{mns}) with negative slope shape appears, as shown in Fig. 1(b) for $\kappa = 2.0$. The normalized propagation constants β/k_0 of these modes (H_{01s}, H_{-11s}, H_{11s} modes) are always larger than $\sqrt{\varepsilon_t/\varepsilon_0} = 1.225$. For a fixed normalized frequency $k_0 a$, the value of β/k_0 of H_{01s} mode (solid curve) is smaller than those of H_{-11s} and H_{11s} modes.

In order to clearly investigate the propagation of electromagnetic wave in the waveguide, the energy flux S_z of guided modes in radial direction is examined. Fig. 2 shows normalized energy flux S_z of H_{01}, H_{-11}, H_{11} modes for $\kappa = 0.5$ at normalized frequency $k_0 a = 3$. They are all positive in the core and cladding. The maximum of energy flux S_z is located in the middle of the core for H_{01} mode and in the center of the core for H_{-11} and H_{11} modes. However, for another type of mode, there are negative energy flux S_z near the center for H_{-11s} mode and in the middle for H_{11s} mode (see Fig. 3). The energy flux S_z

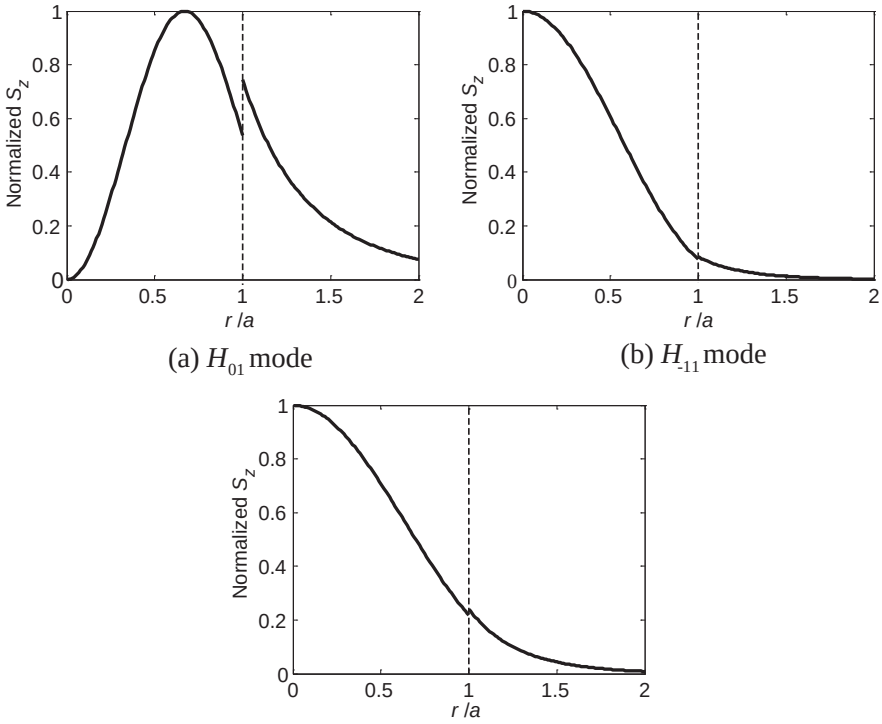


Figure 2. Normalized energy flux S_z of modes for $\kappa = 0.5$ at $k_0a = 3$.

in the core changes sign for H_{-11s} mode and changes sign two times for H_{11s} mode at $k_0a = 2$. For H_{11s} mode, at small normalized frequency k_0a, the energy flux S_z becomes negative near interface, even in the cladding (Fig. 4). This is a novel phenomenon.

It is found from calculation that the normalized power P of all guided modes (including H_{mns} modes) are positive, although power in the cladding can be negative in some cases (for example in Fig. 4, the value of normalized power is smaller than one), thus they are forward waves, which means that the flow of the power is parallel to wave vector propagation direction.

3.2. Case II: $\varepsilon_t < 0$, $\varepsilon_z > 0$

We choose $\varepsilon_t = -\varepsilon_0$, $\varepsilon_z = 2\varepsilon_0$. Fig. 5 shows the dispersion curves of H_{01s}, H_{-11s}, H_{11s}, H_{02s}, H_{-12s}, H_{12s} modes for $\kappa = 0.5$ and $\kappa = 1.4$. The normalized propagation constants β/k_0 of all guided modes decrease monotonoically as k_0a increases, i.e., dispersion curves

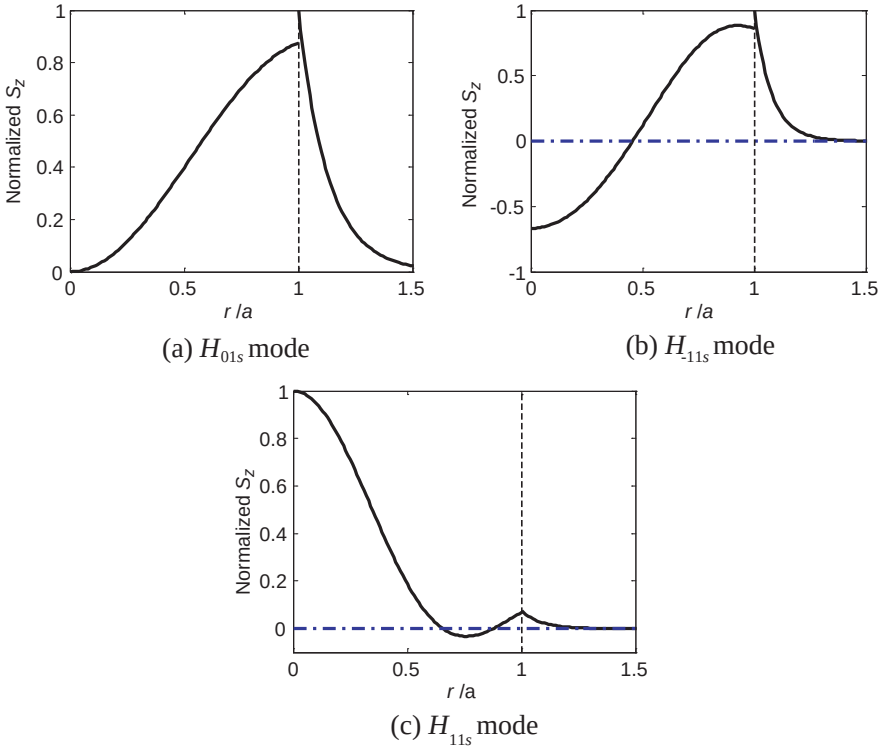


Figure 3. Normalized energy flux S_z of guided modes for $\kappa = 2.0$ at $k_0 a = 2.0$.

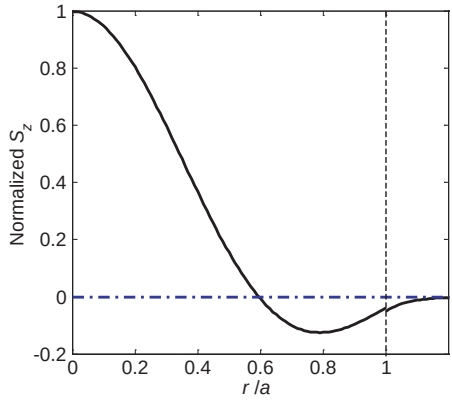
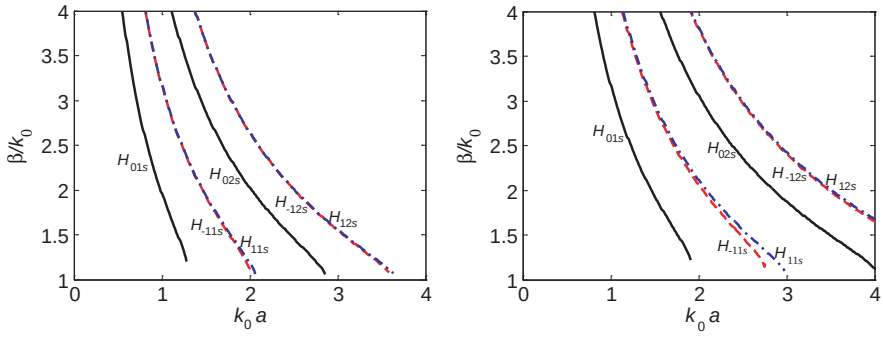
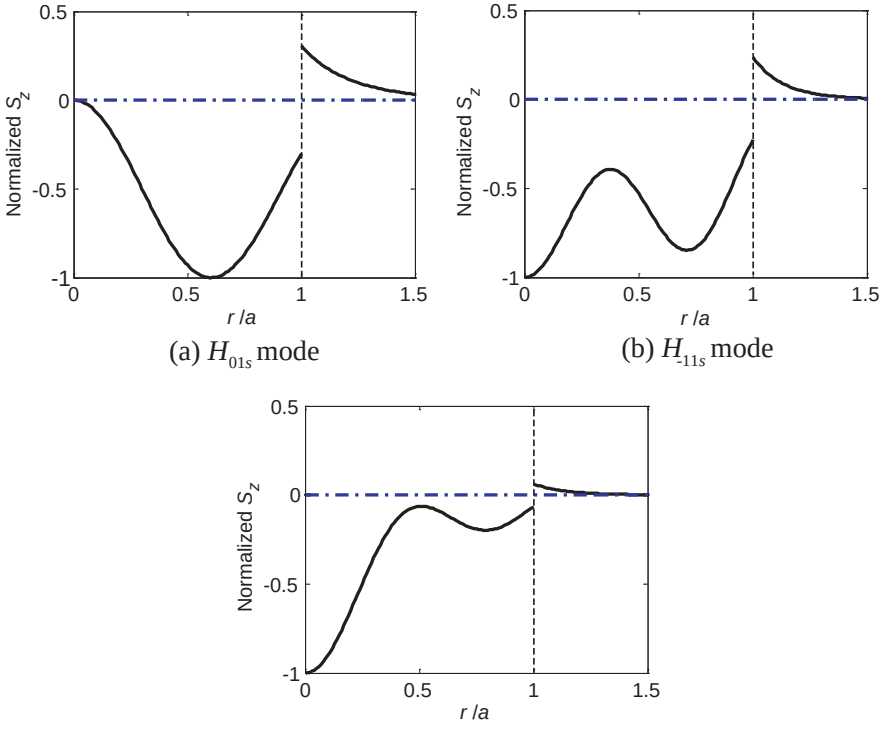


Figure 4. Normalized energy flux S_z of H_{11s} mode for $\kappa = 2.0$ at $k_0 a = 0.5$.



(a) $\kappa = 0.5$ (b) $\kappa = 1.4$

Figure 5. Dispersion curves of H_{01s}, H_{-11s}, H_{11s}, H_{02s}, H_{-12s}, H_{12s} modes for different chirality parameters.



(a) H_{01s} mode (b) H_{-11s} mode

(c) H_{11s} mode

Figure 6. Normalized energy flux S_z of guided modes for $\kappa = 0.5$ at $k_0 a = 1.0$.

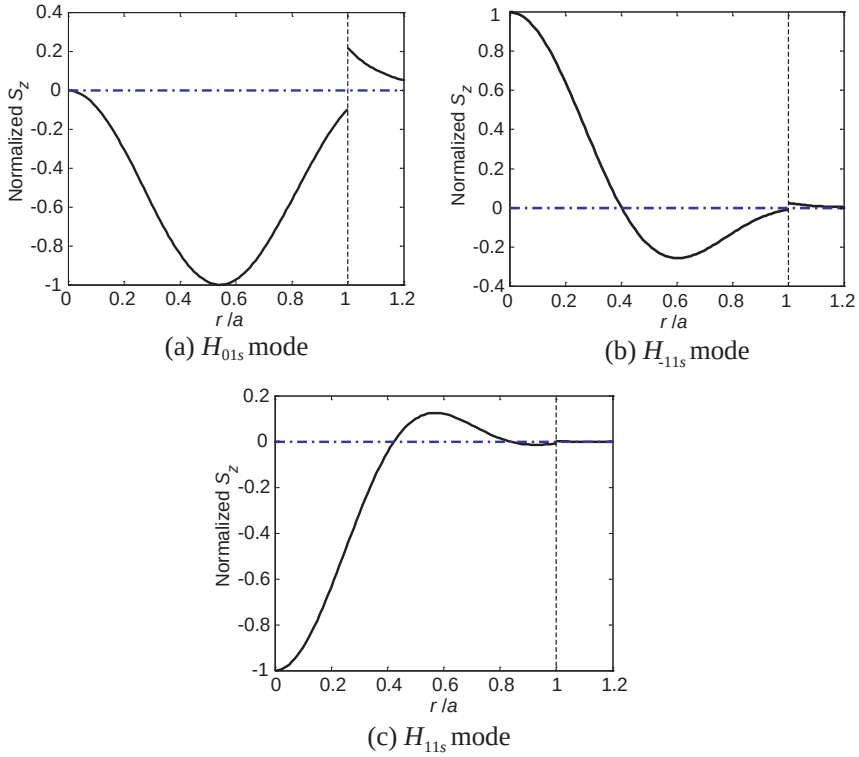


Figure 7. Normalized energy flux S_z of guided modes for $\kappa = 1.4$ at $k_0 a = 1.0$.

of guided modes have negative slope. β/k_0 are almost the same for different signs of m (H_{-11s} and H_{11s} modes, H_{-12s} and H_{12s} modes). The dispersion curves are similar even when κ approaches zero. When κ is very large, no solution of characteristic equation can be found.

The normalized energy flux S_z of H_{01s}, H_{-11s} and H_{11s} modes at $k_0 a = 1.0$ for $\kappa = 0.5$ and $\kappa = 1.4$ are plotted in Fig. 6 and Fig. 7. The energy flux S_z of all guided modes is negative in the core and positive in the cladding for $\kappa = 0.5$. However, for $\kappa = 1.4$, they are distinctly different. For H_{-11s} mode, S_z is positive near the center and negative near the interface in the core. For H_{11s} mode, there are three regions, near the center and interface, S_z are negative, and between these two regions, S_z is positive.

It is found that the normalized power P for all guided modes are negative, and the absolute value is smaller than one, which means that the power is negative in the core and positive in the cladding.

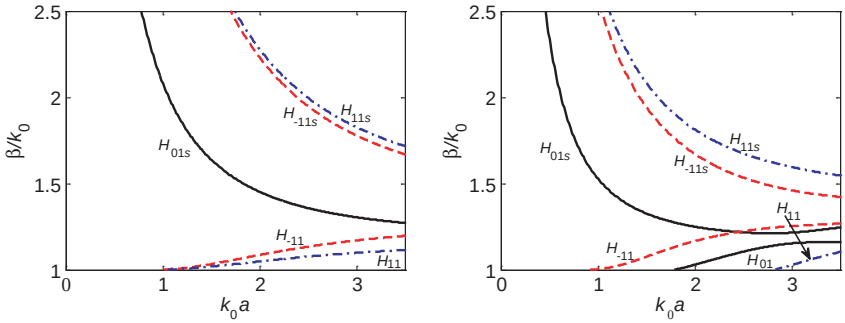


Figure 8. Dispersion curves of guided modes for different chirality parameters.

Thus these guided modes are backward waves, which means that the flow of the power is antiparallel to wave vector propagation direction, even if chirality parameter κ is very small or zero. This phenomenon is consistent with the result in the slab of uniaxial anisotropic media [37, 38]. This feature may have potential application in phase compensation or coupling devices.

3.3. Case III: $\varepsilon_t > 0$, $\varepsilon_z < 0$

We choose $\varepsilon_t = 2\varepsilon_0$, $\varepsilon_z = -\varepsilon_0$. Fig. 8 shows dispersion curves of guided modes for different chirality parameters $\kappa = 0.5$ and $\kappa = 2.0$. The shapes of dispersion curves are similar as Fig. 1(b). There are other types of guided modes with negative slope shape even for κ approach zero. For chirality parameter $\kappa = 0.5$ and $\kappa = 2.0$ and smaller normalized frequency k_0a, the energy flux S_z of guided modes are also similar as in Fig. 3 and Fig. 4. In this case, for all guided modes (including H_{mns} modes with negative slope curves), the normalized power P are always positive, thus they are also forward waves.

4. CONCLUSION

The characteristics of guided modes in the circular waveguide consist of uniaxial chiral medium have been investigated theoretically. The characteristic equation of guided modes is obtained. Numerical results for three kinds of uniaxial chiral media: I $\varepsilon_t > 0$, $\varepsilon_z > 0$, II $\varepsilon_t < 0$, $\varepsilon_z > 0$, and III $\varepsilon_t > 0$, $\varepsilon_z < 0$ are presented. Effects of the chirality parameter on dispersion curves and energy flux of guided modes are discussed. Abnormal dispersion characteristics with negative slope

curves and negative energy flux in the core are found, i.e., backward wave is supported in the uniaxial chiral waveguide (even for chirality parameter approach zero) for $\varepsilon_t < 0$, $\varepsilon_z > 0$. For $\varepsilon_t > 0$, $\varepsilon_z > 0$ and $\varepsilon_t > 0$, $\varepsilon_z < 0$, there is negative energy flux even in the cladding. The results presented here will be helpful for potential applications in novel waveguide devices such as phase compensation or coupling devices. It is noted that we have neglected dispersion and losses of chiral media as done in [31–33]. However, usually chiral media are dispersive and lossy. It is a further work to study dispersive and lossy chiral waveguides in future.

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