Characterization and behaviors of single walled carbon nanotube by equivalent-continuum mechanics approach

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Abstract. This paper focuses on two main objectives. The first one is to exploit an energy equivalent model and finite element method to evaluate the equivalent Young's modulus of single walled carbon nanotubes (SWCNTs) at any orientation angle by using tensile test. The calculated Young's modulus is validated with published experimental results. The second target is to exploit the finite element simulation to investigate mechanical buckling and natural frequencies of SWCNTs. Energy equivalent model is presented to describe the atomic bonding interactions and their chemical energy with mechanical structural energies. A Program of Nanotube modeler is used to generate a geometry of SWCNTs structure by defining its chirality angle, overall length of nanotube and bond length between two adjacent nodes. SWCNTs are simulated as a frame like structure; the bonds between each two neighboring atoms are treated as isotropic beam members with a uniform circular cross section. Carbon bonds is simulated as a beam and the atoms as nodes. A finite element model using 3D beam elements is built under the environment of ANSYS MAPDL environment to simulate a tensile test and characterize equivalent Young's modulus of whole CNT structure. Numerical results are presented to show critical buckling loads, axial and transverse natural frequencies of SWCNTs with different orientation angles and lengths. The understanding of mechanical behaviors of CNTs are essential in developing such structures due to their great potential in wide range of engineering applications.

Keywords: numerical characterization; equivalent Young's modulus of SWCNT; buckling and free vibration; beam structure; finite element ANSYS

1. Introduction

A nanotube is a tube that has a diameter in a range of tens of billionth meter, and its abbreviation is NT. There are different types of nanotubes such as carbon nanotubes (CNTs), silicon nanotubes, DNA and the membrane nanotube. Iijima (1991) discovered CNT structure, which is defined as a long and thin fullerene structure with tubular walls made of hexagonal carbon cells. CNTs have extraordinary properties rather than conventional materials, so they have received widespread interest of researchers from many disciplines (i.e., material science, engineering, chemistry, and physics) and are applied in wide range of applications (i.e., nanoelectronics, nano-sensors, solar cell, medical tools and nanodevices), Benguediab *et al.* (2014). Until now, CNTs are considered as the strongest and most resilient material ever known, Eltaher and Agwa (2016).

To understand the mechanical performance of CNTs, theoretical approaches such as; atomistic modeling and continuum mechanics are exploited. Atomistic modeling methods such as quantum mechanics (QM) and molecular dynamic (MD) simulations are frequently used to investigate fracture behavior of CNTs, Haile (1992). Li and

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Chou (2003) developed atomistic based FE models that bridge the MMs and structural mechanics, using harmonic potential energy functions to investigate the elastic properties of SWCNTs. Baykasoglu et al. (2013) presented nonlinear fracture models including one- and two-atom vacancy defected SWCNTs by using the molecular mechanics. Eberhardt and Wallmersperger (2015) presented a modified molecular structural mechanics model to determine elastic properties of CNTs. It is noted that, elastic constants are found to be dependent on the chirality as well as on the carbon nanotube diameter. Gajbhiye and Singh (2015) studied vibration characteristics of open- and capped-end **SWCNTs** using multi-scale technique incorporating Tersoff-Brenner potential. Ghadyani et al. (2016) developed an algorithm based on a numerical finite element analysis, to relate the physical geometry to the elastic properties of asymmetric SWCNTs. Ghadyani et al. (2017) exploited previous algorithm to illustrate angle dependence of the shear behavior of asymmetric CNTs. Alessi et al. (2017) proposed a finite-deformation lattice model for CNTs that assigned to changes in bond lengths, bond angles, and dihedral angles, while atomic interactions are governed by a reactive empirical bond-order potential. Esbati and Irani (2018) developed procedure for evaluating CNTs' probabilistic fracture properties and structural reliability using stochastic finite element methods. It is demonstrated that, the defects out of critical section have an

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unavoidable effect on Young's modulus and ultimate strain, however, they have an insignificant effect on ultimate stress. Shahabodini *et al.* (2018) developed a numerical approach for the multiscale analysis of vibrations of SWCNTs by using higher order Cauchy-Born rule kinematics membrane.

Although MD can be applied to larger systems, it is still limited to simulating about 106-108 atoms on a too-short time scale, Lu and Hu (2010). Therefore, the simulation of larger systems or longer times must currently be left to continuum methods, Narendar and Gopalakrishnan (2009). Lee and Lee (2012) presented modal analysis of SWCNTs and nanocones (SWCNCs) using a finite element method (FEM) with ANSYS. Caruntu and Luo (2014) investigated frequency response of electro statically actuated CNT cantilever beam over a parallel ground plate including van der Waals, and damping. Akgöz and Civalek (2016) studied static bending of single-walled carbon nanotubes (SWCNTs) embedded in an elastic medium on the basis of higher-order sheared formation beam models in conjunction with modified strain gradient theory. Eltaher and Agwa (2016) developed modified continuum mechanics model to investigate a vibration of a pretensioned CNTs carrying a concentrated mass as a mass sensor. Eltaher et al. (2016a) investigated the effects of both size-dependency and material-dependency on the nonlinear static behavior of CNTs by using nonlocal elasticity and energy-equivalent model. Eltaher et al. (2016b) developed a simplified nonlocal finite element model to investigate the potential application of CNTs as a nanomechanical mass sensor by using nonlocal differential elasticity of Eringen. Eltaher et al. (2016c) studied analytically static stability of nonlocal nano-thin-structure using higher-order beam theories. Zuberi and Esat (2016) evaluated the effects of tube size and chirality on the mechanical properties of SECNTs through equivalent-continuum modeling. Genoese et al. (2017) presented the energetic differences between structural models and molecular mechanics model through exact discrete homogenization procedures, reparametrized Morse potential. She et al. (2017a) investigated the size-dependent thermal buckling and postbuckling behavior of nanotubes made of functionally graded materials (FGMs) with porosities by using a refined beam theory. She et al. (2017b) studied nonlinear bending, thermal buckling and post-buckling analysis functionally graded materials tubes with two clamped ends by using a refined beam theory. Eltaher et al. (2018a) developed a modified continuum model to investigate the vibration behavior of CNTs by using energy equivalent model and modified couple stress theory. Emam et al. (2018) exploited modified continuum mechanics models to investigate the post-buckling and free vibration response of geometrically imperfect multilayer nanostructures. She et al. (2018a) analyzed the nonlinear bending and vibrational characteristics of porous tubes within the framework of the nonlocal strain gradient theory. Ebrahimi and Mahmoodi (2018) studied the thermal loading effect on free vibration characteristics of carbon nanotubes (CNTs) with multiple cracks. Nanotube in the framework of Euler-Bernoulli beam theory and size effect is employed by the nonlocal elasticity of Eringen. Kumar (2018) investigated mechanical vibration of double-walled carbon nanotubes with inter-tube Van der waals forces. She et al. (2018b) studied the vibration behaviors of porous nanotubes by using the nonlocal strain gradient theory in conjunction with a refined beam model. Eltaher et al. (2018 b, c) studied analytically bending, buckling and resonance frequencies of size dependent perforated modified continuum model of nanobeam. Vila et al. (2018) studied coupled axialtransverse nonlinear vibrations of one-dimensional structured solids by application of the so-called Inertia Gradient Nonlinear continuum model. Yuan and Wang (2018) explored the radial deformation and related energy variations of SWCNTs attached to solid substrates by the classical molecular dynamics (MD) adopting simulations and continuum analysis. Eltaher et al. (2019) presented a novel numerical procedure to predict nonlinear buckling and postbuckling stability of imperfect clampedclamped SWCNT surrounded by nonlinear elastic foundation. She et al. (2019) described the stiffness enhancement and stiffness reduction effects by the nonlocal strain gradient theory to study the nonlinear bending behavior of porous functionally graded (FG) curved nanotubes.

From the literature review and to the best of the authors' knowledge, no attempts have been observed to characterize the Mechanical behavior of SWCNT including length scalesize dependency by using Finite element method. So, Mechanical characterization of SWCNT using finite element analysis is the main goal of this study. Through this study, the bond between two atoms is modeled as beam structure. The energy equivalent model is proposed to find the equivalent mechanical energy of the structure with that in chemical bonding of atoms. Nanotube modeler is used to generate a geometry of CNTs that is impeded to the finite element model which is solved by ANSYS MAPDL to study the vibration behavior of its structure. Equivalent Young's modulus of SWCNT with various chiral angle and length is evaluated. Critical buckling loads and their mode shapes are presented. The natural frequencies of zigzag, armchair and chiral SWCNT are studied and their associated modes are illustrated.

The following paper is organized as follows; geometrical properties and orientations of SWCNT are presented in detail in Section 2. Mathematical formulation is illustrated in Section 3. Section 4 is devoted to validating the finite element model and then to find the equivalent Young's modulus of SWCNTs. Section 5 discussed the static buckling of different orientations and length of SWCNTs. Free vibration and natural frequencies are evaluated and discussed in Section 6. The main contribution and investigations are drawn in Section 7.

2. Geometrical properties of SWCNTs

Carbon nanotube, which is a layer of graphene sheet wrapped into cylindrical shape with a diameter about one nanometer and a length up to many micrometers, is controlled by orientation of the chiral angle and the carbon

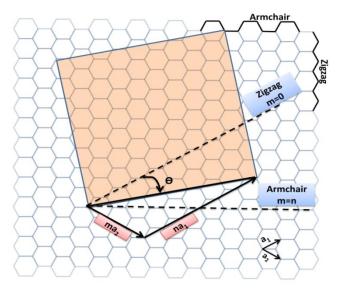


Fig. 1 Schematic of the hexagonal lattice of graphene sheet including definition of basic structural parameters

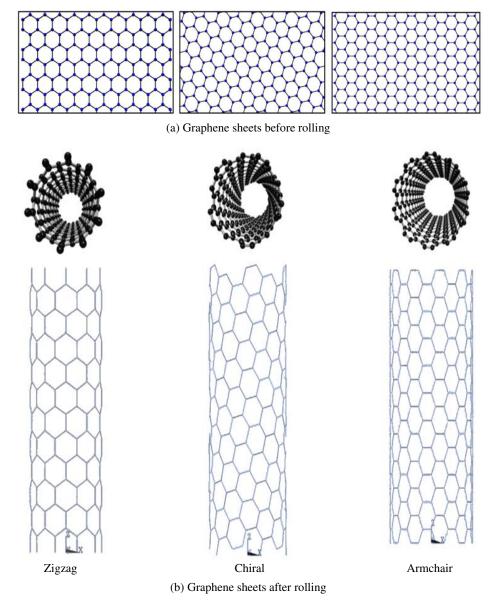


Fig. 2 Three possible structure orientations of SWCNTs

diameter. The chiral vector is employed to describe the chiral angle, which can be described as, Eltaher *et al.* (2016a)

$$\vec{C}_h = n\vec{a}_1 + m\vec{a}_2 \tag{1}$$

where \vec{a}_1 and \vec{a}_2 are the unit vectors, and (n, m) is integer pair specifies the structure orientation of CNTs as shown in Fig. 1. The lattice vectors in the x, y coordinates can be depicted by

$$\vec{a}_1 = \left(\frac{\sqrt{3}a}{2}, \frac{a}{2}\right)$$
 $\vec{a}_2 = \left(\frac{\sqrt{3}a}{2}, -\frac{a}{2}\right)$ (2)

in which, the lattice constant, $a = \sqrt{3}a_{c-c} = 2.46\,A^0$ and $a_{c-c} = 1.42A^0$ is the bond length. When n and m are equal, CNTs are called armchair; when m is equal to zero, CNTs are known as zigzag. Otherwise, they are called chiral. Fig. 2 shows the three possible orientations of CNTs structure. Fig. 2(a) represents the orientation of graphene sheets before rolling, whereas, Fig. 2(b) shows the structure of grapheme sheet after rolling to NTs.

The diameter (d_t) and chiral angle (θ) which is the angle between the chiral vectors and lattice vector, can be calculated by the following equations, Eltaher and Agwa (2016)

$$d_t = a\sqrt{n^2 + m^2 + nm} \tag{3}$$

$$\theta = \cos^{-1}\left(\frac{2n+m}{\sqrt{n^2+m^2+nm}}\right) \tag{4}$$

In case of Zigzag and armchair orientations, the diameter of nanotube can be calculated by $d_t = \frac{\sqrt{3}na}{\pi}$,

and $d_t = \frac{3na}{\pi}$, respectively. Note the chiral angle in zigzag = 0, while the chiral angle in the armchair = 30 after which, any configuration at any angle will be a repetition for

3. Problem formulation

another configuration at smaller angle.

To establish a linkage between the microscopic chemistry and the macroscopic mechanics, covalent bonds

between carbon atoms is represented by a force filed as a function of bond lengths and bond angles. The force filed can be represented by a potential energy as (Rappé *et al.* 1992)

$$PE = PE_L + PE_\theta + PE_T + PE_\omega \tag{5}$$

where PE_L , PE_{θ} , PE_T , and PE_{ω} are bond stretching, angle variation, torsion and inversion (out of plane) energies. These interactions are shown in Fig. 3.

When SWCNTs subjected to tension and bending loading in two-dimensional loading, bond stretching and angle energies are the most significant and the other energies can be neglected. Therefore, Eq. (5) can be simplified as, Wu *et al.* (2006), Shokrieh and Rafiee (2010), and Eltaher and Agwa (2016)

$$PE = PE_L + PE_{\theta} = \frac{1}{2} \sum_{i} K_i (dR_i)^2 + \frac{1}{2} \sum_{i} C_i (d\theta_i)^2$$
 (6)

Where K_i is the stretching constant, dR_i is the elongation of the bond i, C_i is the angle variance constant, and $d\theta_i$ is the variance of bond angle i. By assuming that, the chemical covalent bond can be represented by beam structure. So, based on continuum mechanics, the total energy stored in beam element can be composed mainly from tension and bending deformations. Hence, the total energy of a beam can be described by the following equation

$$U = U_T + U_b = \frac{1}{2} \frac{EA}{L} (\Delta L)^2 + \frac{1}{2} \frac{EI}{L} (2\alpha)^2$$
 (7)

where E is the Young's modulus, L is the beam length, A is the beam cross sectional area, I is the beam area moment of inertia, ΔL is stretching length, and 2α is rotation angle due to bending moment. By equating chemical energy in single atom-atom bond described in Eq. (6) with Eq. (7) of total energy of the beam, it can be concluded that

$$K_i = \frac{EA}{L}$$
 and $C_i = \frac{EI}{L}$ (8)

Since, the bond is circular cross sectional, the geometrical parameters of the bond can be calculated by

$$A = \frac{\pi d^2}{4}$$
 and $I = \frac{\pi d^4}{64}$ (9)

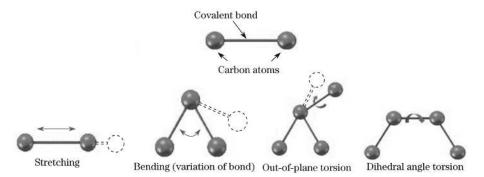


Fig. 3 Total potential energy store in single atom-atom bond

Test case	Case 1	Case 2		
Reference	Krishnan et al. (1998)	Awang et al. (2015)		
Young's modulus of each bond	5.49E12 Pa	E = 5.49E12 Pa		
Overall CNT length is L	234 Å	10 Å		
Mean diameter of tube is d	11.2 Å	7.834 Å		
Mean tube thickness is t	3.4 Å	3.4 Å		
Poisson's ratio is υ	0.3	0.3		
Equivalent Young's modulus (FE)	0.72 TPa	0.749 TPa		
Equivalent Young's modulus (Exp.)	0.72-1.32 TPa	0.749 TPa		

Table 1 FE Input properties and obtained Young's Moduli

Substitute Eq. (9) into Eq. (8), the bonding diameter and Young's modulus of equivalent beam element can be described by

$$d = 4\sqrt{\frac{C_i}{K_i}} \quad \text{and} \quad E = \frac{(K_i)^2}{4\pi C_i}$$
 (10)

4. Static analysis of SWCNTs

Through this section, the determination of equivalent Young's modulus of SWCNTs is presented by using finite element model that is solved by ANSYS MAPDL program. Nanotube modeler is exploited to generate the coordinates of carbon atoms and atomic bond interconnection between two adjacent atoms. Fig. 4 shows the finite element model of a 10A CNT with fixed-free ends. The atoms coordinate, and its bonding connectivity are translated to finite elements nodes with 3D beam element connectivity. The obtained results are validated with both experimental and numerical

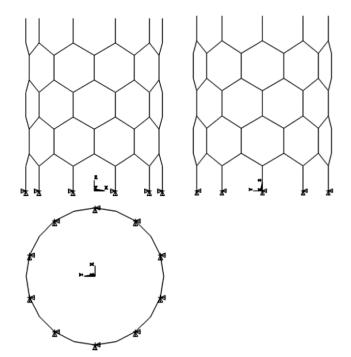


Fig. 4 Finite element model of 10A CNT with fixed-free ends

published works. Then, the influence of the length of CNT and its orientations on the equivalent Young's modulus are presented.

4.1 Characterization of Young's Modulus

The SWCNT is modeled using finite element model with 3D beam elements. The nodes represent the atom and the beams represent the bonding force as explained by Eqs. (6)-(10). The finite element model is verified by two previously published measurements; Krishnan *et al.* (1998) and Awang *et al.* (2015). A fixed-free CNT is proposed with the properties listed in Table 1. The force reaction at fixed end is evaluated and the equivalent Young's modulus of CNT is calculated by

$$E_{eq} = \frac{FL}{\pi d \ t \Delta L} \tag{11}$$

Where:

 E_{eq} is the equivalent Young's Modulus

F is the obtained reaction force due to unit displacement L

L is the overall CNT length

d is the mean diameter of the tube

t is the mean tube thickness

The input data and the comparisons of achieved results from both verification cases are presented in Table 1.

4.2 Influence of CNTs length and orientations on Young's modulus

Fixed-free carbon nanotubes at different orientations [zigzag (10,0), chiral (10,5) and armchair (10,10)] and lengths ranging from 10 Å to 100 Å are tested under a prescribed displacements DL with 0.02 Å by using the finite element model. The finite element model examines full closed nano cells, which results in slightly smaller length than the starting defined length L as presented in Table 2. The variation of equivalent Young's modulus with NT lengths at a specific orientation are illustrated in Table 2 and Fig 5. As shown, the equivalent Young's modulus of CNT(10,5) orientation is most significant for a length variation rather other orientations. It decreases form 0.78 TPa at a length of 10 Å to 0.72TPa at a length of 100Å. As illustrated in Fig. 5, increasing the tube length tends to decrease the equivalent modulus for CNT (10, 0) and CNT (10,5). However, in case of CNT (10, 10) a little bit

Table 2 Effect of NT lengths on the equivalent Young's modulus

Туре	Diameter	L (Length)	L (FE)	E _{eq} (TPa)	
CNT		10	9.947	0.7496	
		20	19.22	0.7113	
		30	29.841	0.7061	
		40	39.788	0.7164	
	7.024	50	49.0245	0.7054	
(10,0)	7.834	60	59.682	0.7061	
		70	69.629	0.7104	
		80	80.2963	0.7103	
		90	89.523	0.7049	
		100	100.181	0.7049	
CNT 10		10	10.2047	0.7773	
		20	19.8937	0.7400	
		30	29.8084	0.7315	
		40	39.95	0.7228	
	10.364	50	49.9491	0.7224	
(10,5)	10.304	60	58.8128	0.7060	
		70	70.0899	0.7186	
		80	78.95	0.7068	
		90	90.2307	0.7165	
		100	99.8983	0.7158	
		10	9.845	0.7024	
		20	19.69	0.7128	
CNT (10,10)		30	29.5349	0.7076	
		40	39.38	0.7115	
	13.57	50	49.2249	0.7086	
	13.57	60	59.07	0.7110	
		70	70.1455	0.7091	
		80	79.9932	0.7108	
		90	89.8354	0.7093	
		100	99.6804	0.7094	

increasing of Young's modulus by increasing a tube length. The chiral orientation of CNT (10, 5) has the highest elasticity rather than Zigzag CNT (10, 0) and armchair CNT (10, 10) orientations. It is worth noting that the nodes at the ends of the Chiral tube CNT (10, 10) are not laying in the same plane due to full cell concept and chiral angle. This uneven orientation significantly affects the equivalent Young's modulus at short tube lengths rather than long tube lengths. This explains the different behavior of CNT (10,10) from the other two configurations as shown in Fig. 5.

5. Static buckling stability

Through this section, static stability of elastic carbon nanotube with different orientation and different tube length will be investigated. The first nine buckling loads of fixed-free zigzag (10, 0), Chiral (10, 5) and armchair (10, 10) which have the same diameter are presented in **Table 3** and **Fig. 6**. Axial force is applied at free end with a value of 3¹⁰ N, and Eigen buckling solution module is used to calculate the critical buckling load. It is noted that the armchair nanotube has the highest buckling rather than chiral and zigzag orientations as shown in **Fig. 6**. It is also noted that, there are repeated modes due to the symmetric configuration about the tube length axis. Complete buckling modes of zigzag, chiral and armchair nanotube structures at different orientations are presented in **Appendix A**.

The effect of tube length on the first nine critical buckling loads of Zigzag (10, 0), chiral (10, 5) and armchair (10, 10) are presented in Figs. 7-9, respectively. From previous figs, it is noticed that, the buckling load is decreased by increasing tube length, which is consistent with fundamental of mechanics. The effect of beam length is most significant on higher modes of buckling rather than lower modes, especially for zigzag (10, 0) shown in **Fig. 7**. It is also noted that, the length may change the number of repeated modes. For example, 5th and 6th modes of zigzag (10, 0) with a length of 100 Å are repeated modes, however, 5 modes are repeated (5th, 6th, 7th, 8th and 9th) for different length at 70 Å. Therefore, the buckling loads and mode shape are significant for tube length and orientations. This analysis may use to select the proper directional position of sensing of Nano-sensor manufacture by carbon nanotube.

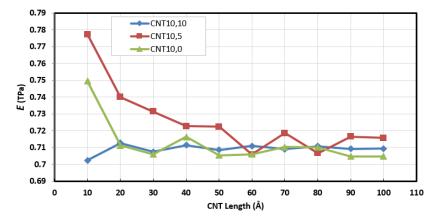


Fig. 5 Effect of NT length on the equivalent Young's modulus

Table 3 Buckling modes and force (10^{-8} N), when $L_{FE} = 100$, $F_{FE} = 3^{10}$ N

Mode	1 st	2 nd	3 rd	4 th	5th	6 th	7 th	8 th	9 th
CNT (10,0)	0.110808	0.110809	0.930668	0.930677	2.27887	2.278	3.78272	3.78278	5.14434
CNT (10,5)	0.262751	0.26277	2.06131	2.06144	4.46947	4.4697	4.57244	4.57324	5.52267
CNT (10,10)	0.574225	0.574228	4.05822	4.05824	4.5203	4.5216	5.77265	6.16681	6.16695

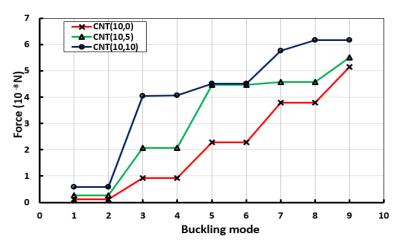


Fig. 6 Buckling Modes and Force (10-8 N) and effects of orientation when CNT length 100 Å

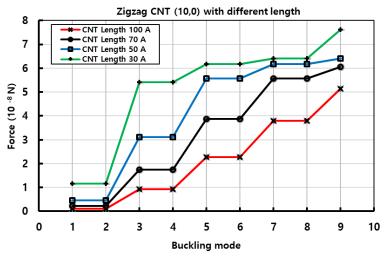


Fig. 7 Effect of NT lengths on the first nine buckling load of zigzag (10, 0) orientations

6. Free vibration behaviors

Through this section, a geometrical structure of SWCNT is generated by nanotube modeler program and then inserted to ANSYS MAPDL environment. Finite element model using 3D beam element with 2 Nodes is proposed to simulate the atomic interaction force. Modal module is selected to evaluate natural frequencies of CNTs structure. Take in Consider three different types of SWCNT, zigzag (10, 0), Chiral (10, 5) and armchair (10, 10), which have the same tube diameter, the same boundary conditions, and the same length of tube. The computed first nine natural frequencies are tabulated in Table 3 and plotted in Fig. 10. It is noted the highest natural frequency is obtained in armchair case, however the smallest one is obtained in

zigzag structure. It is also observed that, there are repetition in some modes, that due to a symmetry in geometrical. Fig. 10 shows as the chiral angle increases the frequency increases. Which means that the relationship between them is a proportional relationship. The mode shapes of modal analysis for the three types of SWCNTs are presented in detail in Appendix A.

The effect of CNT length on the first nine natural frequencies for zigzag, chiral and armchair SWCNTs are presented in Figs. 11-13. As shown from three cases, as the length of CNT increases the natural frequencies are decreased. The effect of tube length is more pronounced in small lengths than higher lengths. The length may also affect on the number of repeated frequencies. For example in case of armchair structure, at L=30~Å the 6^{th} and 7^{th}

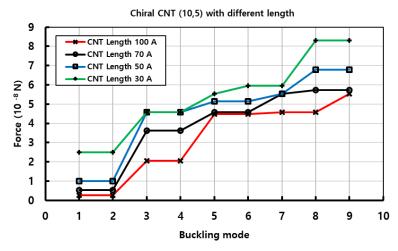


Fig. 8 Effect of NT lengths on the first nine buckling load of chiral (10, 5) orientations

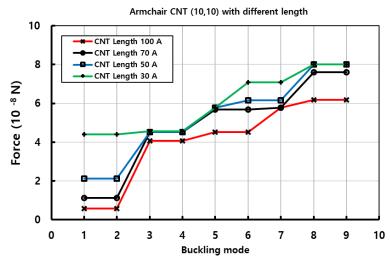


Fig. 9 Effect of NT lengths on the first nine buckling load of armchair (10, 10) orientations

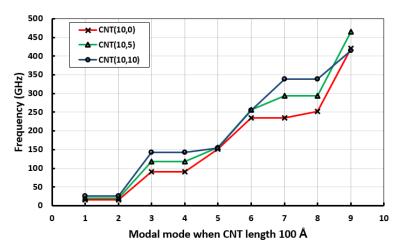


Fig. 10 Modal Modes & frequencies (GHz) for zigzag, chiral and armchair SWCNTs, at LFE = 100 Å

modes are equal, however, at L = 50 Å the 4th to 7th modes (four modes) are equal. So, it can be concluded that, the length of SWCNT has significant effects on both natural frequencies and mode shapes.

7. Conclusions

The main benefit of the proposed method is to saving of computing time, with fair accuracy in estimating the

Table 4 Modal Modes and frequencies (GHz) for zigzag, chiral and armchair SWCNTs, at $L_{FE} = 100 \text{ Å}$

Mode	1 st	2 nd	3 rd	4 th	5th	6 th	7 th	8 th	9 th
CNT (10,0)	15.426	15.426	91.057	91.058	151.59	235.56	235.56	252.44	420.21
CNT (10,5)	20.81	20.811	118.02	118.02	155.15	255.88	293.29	293.3	465.56
CNT (10,10)	26.544	26.544	142.38	142.38	154.18	254.34	338.44	338.44	414.32

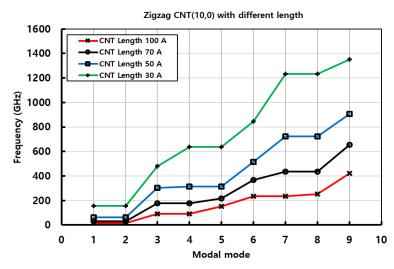


Fig. 11 Effect of NT lengths on the first nine natural frequencies of zigzag (10, 0) orientations

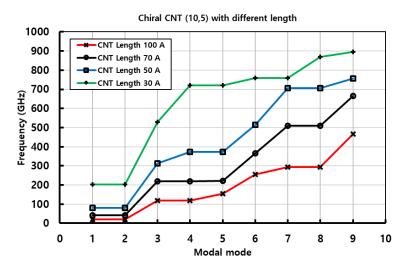


Fig. 12 Effect of NT lengths on the first nine natural frequencies of chiral (10,5) orientations

mechanical properties of the SWCNT when compared to the other methodologies. In this work, we have evaluated the capability of the implemented method to calculate the young's modulus, natural frequency of vibration and the buckling force of carbon nanotubes. The obtained results are compared with data reported by other authors. The main conclusions can be stated as following:

 In static analysis, the equivalent Young's modulus of CNTs is proportional inversely with the length of tubes, and the equivalent Young's modulus of chiral

- CNT (10, 5) orientation is most significant for a length variation rather zigzag and armchair orientations.
- In buckling analysis, the armchair CNT is more stiffener rather than the other SWCNT orientations, the shorter tube is more stiffener than the longer one, and repeated modes may be observed in some cases.
- In modal analysis, the armchair structure has the highest natural frequencies rather than the other structures at the same length, the natural frequencies is increased by decreasing the tube length.

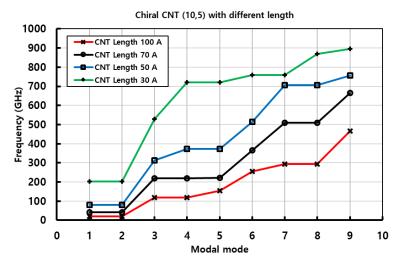


Fig. 13 Effect of NT lengths on the first nine natural frequencies of armchair (10,10) orientations

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