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Charge transfer transitions in multiferroic BiFeO₃ and related ferrite insulators

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The optical response of the technologically interesting multiferroic BiFeO₃ and related complex iron oxides, having high Néel or Curie temperature, is studied in the wide spectral range from 0.6 up to 5.8 eV by means of spectroscopic ellipsometry. The investigated iron oxides have different crystal symmetry with FeO₆ octahedral and FeO4 tetrahedral centers distorted to a certain degree. One of the two groups of materials includes BiFeO₃, ErFeO₃, Y_{.95}Bi_{.05}FeO₃, α -Fe₂O₃, Fe_{2-x}Ga_xO₃, and Fe₃BO₆ in which iron Fe³⁺ ions occupy only octahedral centrosymmetric or noncentrosymmetric positions and distortions range of 1-20 %. The second group includes LiFe5O8, BaFe12O19, Sm3Fe5O12, and Ca2Fe2O5 in which Fe3+ ions occupy both octahedral and tetrahedral positions with a rising tetra/ortho ratio. We show that in the spectral range up to \sim 3.7 eV, the optical response is dominated by p-d charge transfer (CT) transitions, while at E > 3.7 eV both p-d and d-d CT transitions are revealed. At variance with several previous investigations, we present a correct and unified assignment of different dipole-allowed and dipole-forbidden CT transitions. All the ferrites investigated are CT insulators with the band gap determined by a dipole-forbidden p-d CT transition $t_{1g} \rightarrow t_{2g}$, forming a ~ 2.5 eV band on the tail of a strong 3.0 eV band assigned to dipole-allowed p-d CT transitions $t_{2u}(\pi) \rightarrow t_{2g}$ in octahedral FeO₆ centers. A noticeable enhancement of the optical response in BiFeO₃ at \sim 4 eV as compared with other related iron oxides is attributed to CT transitions within the Bi-O bonds. We report an observation of unexpected midinfrared CT bands in calcium ferrite Ca₂Fe₂O₅ and an enhanced structureless spectral weight in a wide range below the main CT bands in BiFeO3 with a remarkable smearing of the fundamental absorption edge. All these anomalies are assigned to CT instabilities accompanied by a self-trapping of p-d CT excitons and nucleation of electron-hole droplets. The optical detection of this CT instability agrees with the observation of a metal-insulator transition in bismuth ferrite.

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I. INTRODUCTION

Multiferroic materials with two or more coexisting order parameters are known since the 1960s (see review papers).¹⁻⁴ The possible interactions between different order parameters make these materials promising candidates for the development of new spintronics and optoelectronics devices. However, earlier attempts to develop devices based on the mutual control of the magnetic, electric, and deformation states appeared to be unsuccessful because of the small values of the cross coupling between the respective order parameters. Only recently, the discovery of new compounds and multiphase structures exhibiting large multiferroic interactions renewed interest in this group of materials. Good examples are the most actively studied rare-earth (R) hexagonal and perovskite manganites RMnO₃ and orthorhombic manganites RMn_2O_5 .^{5–9} However, the majority of multiferroic materials extensively studied so far possesses magnetic transition temperatures far below room temperature. Though demonstrating interesting new physics, they most probably are of no interest for practical applications.

Among the materials exhibiting multiferroic properties above room temperature, the complex iron oxides based on octahedrally and/or tetrahedrally coordinated Fe³⁺ ion are of interest, as their electronic structure with half-filled t_{2g}^3 and e_g^2 orbitals favors strong exchange interaction and the highest magnetic transition temperatures in comparison to other 3*d* transition-metals oxides. Examples of such materials are lithium ferrite LiFe₅O₈ in which the magnetoelectric effect¹⁰ and the optical magnetoelectric effect¹¹ were observed, thin films of bismuth-substituted iron garnets $R_{1-x}Bi_xFe_5O_{12}$ with giant optical magnetoelectric effects,¹² gallium ferrite GaFeO₃,¹³⁻¹⁵ and the recently reported magnetoelectric hexa-ferrites Ba_{0.5}Sr_{1.5}Zn₂Fe₁₂O₂₂ (Ref. 16) and Ba₂Mg₂Fe₁₂O₂₂.¹⁷

However, the most prominent multiferroic among all known iron oxides is the bismuth ferrite BiFeO₃. A large amount of literature has been published in recent years concerning the physical properties of bulk crystals and thin films of BiFeO₃ (see, e.g., the most recent papers and references therein).^{18,19} Alongside with the multiferroicity a metalinsulator transition under high pressure was reported.¹⁸ On the other hand, such a transition was also reported under atmospheric pressure at high temperature,¹⁹ which is quite unusual for the Fe³⁺ oxides. BiFeO₃ reveals unique multiferroic and optical properties that imply its potential as a photovoltaic material²⁰ and suggests it to be suitable for novel optoelectronic devices. These experimental observations along with recent theoretical predictions of important electronic contributions to the multiferroic properties are a strong motivation for more detailed studies of its electronic structure. There have been several measurements of the band gap of BiFeO₃ using UV-visible absorption spectroscopy and ellipsometry on polycrystalline BiFeO₃ films, epitaxial BiFeO₃ films grown by pulsed-laser deposition, nanowires, nanotubes, and bulk single crystals. Reported band-gap values vary from 2.5 up to 2.8 eV.^{18–22}

All theoretical²³⁻²⁶ and very recent experimental studies^{20,27,28} of BiFeO₃ are focused on the characterization of the band-gap and near-band-gap states as well as the puztransition.18,19 metal-insulator zling Recent transmittance,^{20,21} absorption, and cathodoluminescence spectra²⁷ revealed a low-energy near-band-gap optical feature near 2.5 eV. This was attributed to defect states due to oxygen vacancies though Basu et al.²⁰ could not discern whether the feature was related to a low-lying electronic structure or whether it had an excitonic character. Theoretical understanding of the in-gap states is of primary importance for conductivity mechanisms and for mechanisms of intrinsic leakage currents in multiferroics. A better understanding of the mechanisms governing the optical response may enable researchers to engineer the band gap and conductivity to enhance the photoferroelectric properties.²⁰

First-principles local spin-density approximation LSDA + U calculations predict BiFeO₃ to be an indirect-band insulator with $E_g = 2.0$ eV, this value being subject to the parameters chosen.^{23,24} More recently, Clark and Robertson²⁶ employed the screened-exchange density-functional theory approximation to estimate the band gap of BiFeO₃. Their calculation yielded a band gap of approximately 2.8 eV. However, no comprehensive analysis of the spectral features and mechanisms governing the optical response of BiFeO₃ have been reported yet. At the same time this kind of information is of primary importance for the elucidation of the actual electronic states and a theoretical understanding of the mechanism(s) of the strong magnetoelectric coupling, in particular, the role of the electronic contribution to the multiferroic properties which may be quite important and in some cases comparable to the lattice contribution.^{29,30} Indeed, the mechanisms of electric polarization, including its spindependent part, and the mechanisms specifying the optical response in 3d oxides have much in common.^{30–32} Both are dominated by the p-d and d-d charge transfer (CT) transitions that makes its theoretical and experimental study a challenging task.

Thus, experimental and theoretical investigations of the electronic structure of BiFeO₃, especially the CT transitions, the band-gap and in-gap states are of particular importance for both a better scientific understanding as well as potential technological applications. In this paper, we report results of a theoretical analysis of the CT transitions and a comparative experimental spectroscopic study of the dielectric function of BiFeO₃ and several other related Fe³⁺ iron oxides with perovskite and more complicated crystal structures. We discuss the possible role of the CT states in the metal-insulator transition recently observed in BiFeO₃ at high temperature¹⁹ and under high hydrostatic pressure.¹⁸

The paper is organized as follows. In Sec. II we present a theoretical analysis of the p-d and d-d charge transfer transitions in iron oxides. Experimental results of the spectroscopic study are presented in Sec. III following with a discussion in Sec. IV. In Sec. V we discuss the possible role of the CT states in the metal-insulator transition.

II. THEORETICAL CONSIDERATION OF CHARGE TRANSFER TRANSITIONS IN IRON OXIDES

Conventional classification of optically active transitions in solids implies both various intraband and interband transitions in the bandlike picture and various intra-atomic and interatomic transitions in the atomiclike picture. Intra-atomic transitions, in their turn, are classified according to the formally forbidden intraconfigurational ones, e.g., d-d, or crystal-field transitions, and to the interconfigurational transitions such as the strong allowed 3d-4p ones. All the interatomic transitions are driven by the charge transfer and may be termed as the CT ones. Below we focus on the p-d CT transitions in 3d oxides driven by the O 2p-Me 3d electron transfer and the d-d CT transitions driven by the $Me_1 3d-Me_2 3d$ intersite electron transfer. Usually these ones are termed CT transitions and Mott-Hubbard transitions, respectively. It should be noted that when we proceed with the metal-oxygen centers CuO₄, MnO₆,... as building blocks of the crystal and electronic structure, the p-d and d-d CT transitions may be termed as one-center and two-center CT transitions, respectively. Note that for the metal-oxygen centers with well-developed covalent effects, all the one-center transitions are somehow associated with the interatomic p-d or *p*-*p* CT transitions.

A. One-center *p*-*d* charge transfer transitions

First we address the electronic structure and one-center *p*-*d* charge transfer transitions in octahedral 3*d*-metal-oxygen Fe^{3+}O_6 centers in a perovskitelike surrounding. Five Fe 3d and 18 oxygen O 2p atomic orbitals in the octahedral FeO₆ complex with point symmetry group O_h form both hybrid Fe 3*d*-O 2*p* bonding and antibonding e_g and t_{2g} molecular orbitals (MOs) and purely oxygen nonbonding $a_{1g}(\sigma)$, $t_{1g}(\pi), t_{1u}(\sigma), t_{1u}(\pi)$, and $t_{2u}(\pi)$ orbitals. Nonbonding $t_{1u}(\sigma)$ and $t_{1u}(\pi)$ orbitals with the same symmetry are hybridized due to the oxygen-oxygen O $2p\pi$ -O $2p\pi$ transfer. The relative energy positions of different nonbonding oxygen orbitals are of primary importance for the spectroscopy of the oxygen-3d-metal charge transfer. This is first determined by the bare energy separation $\Delta \epsilon_{2p\pi\sigma} = \epsilon_{2p\pi} - \epsilon_{2p\sigma}$ between O $2p\pi$ and O $2p\sigma$ electrons. Since the O $2p\sigma$ orbital points toward the two neighboring positive 3d ions, an electron in this orbital has its energy lowered by the Madelung potential as compared with the O $2p\pi$ orbitals, which are perpendicular to the respective 3d-O-3d axes.

Thus, Coulomb interaction favors the positive sign of the $\pi - \sigma$ separation $\epsilon_{p\pi} - \epsilon_{p\sigma}$ which numerical value can be easily estimated within the frame of the well-known pointcharge model. It appears to be on the order of 1.0 eV. In the first approximation, all the $\gamma(\pi)$ states $t_{1g}(\pi), t_{1u}(\pi), t_{2u}(\pi)$ have the same energy. However, the O $2p\pi$ -O $2p\pi$ transfer yields an energy correction to the bare energies, with the largest value and positive sign for the $t_{1o}(\pi)$ state. The energy of the $t_{1u}(\pi)$ state drops due to hybridization with the cation $4pt_{1u}(\pi)$ state. In other words, the $t_{1g}(\pi)$ state is believed to be the highest nonbonding oxygen state, thus forming the first electron removal from the oxygen states.^{33,34} For a semiquantitative analysis we use quantum-chemical calculations³⁴ for $[Fe^{3+}O_6]^{9-}$ centers in LaFeO₃, which leads to following energy separations: $\Delta[t_{1g}(\pi) - t_{2u}(\pi)] \approx 0.8$ eV; $\Delta[t_{1g}(\pi) - t_{1u}(\pi)] \approx 1.8 \text{ eV}; \text{ and } \Delta[t_{1g}(\pi) - t_{1u}(\sigma)] \approx 3.0 \text{ eV}.$ This is believed to be a rather reasonable choice of the en-



FIG. 1. (Color online) The diagram of Fe 3*d*-O 2*p* molecular orbitals for the FeO₆ octahedral center. The O 2*p*-Fe 3*d* charge transfer transitions are shown by arrows: strong dipole-allowed σ – σ and π – π by thick solid arrows; weak dipole-allowed π – σ and σ – π by thin solid arrows; and weak dipole-forbidden low-energy transitions by thin dashed arrows, respectively.

ergy parameters because the purely oxygen states mainly depend on the crystalline environment. For illustration, in Fig. 1 we show the energy spectrum of the 3d-2p manifold for the $[FeO_6]^{9-}$ octahedral complex, with the relative energy position of the levels according to the quantum-chemical calculations in a lattice environment typical for perovskitelike LaFeO₃.^{34,35}

It should be emphasized once more that the top of the oxygen electron band is composed of the O $2p\pi$ nonbonding orbitals. This determines their role in several physical properties of the 3*d* perovskites.

The conventional ground-state electronic structure of octahedral Fe³⁺O₆ complexes is associated with the configuration of the completely filled O 2p shells and half-filled Fe 3d shell. The typical high-spin (HS) ground-state configuration and crystalline term for Fe³⁺ in the octahedral crystal field or for the octahedral [FeO₆]⁹⁻ center is $t_{2g}^3 e_g^2$ and ${}^6A_{1g}$, respectively.

The unconventional electronic configuration of the octahedral FeO₆ complexes is associated with a charge transfer state with one hole in the O 2p shells. The excited CT configuration $\gamma_{2p}^1 3d^{n+1}$ arises from the transition of an electron from the predominantly anionic MO (the γ_{2p} hole in the core of the anionic MO being hereby produced) into an empty 3d-type MO (t_{2g} or e_g). The transition between the ground configuration and the excited one can be presented as a γ_{2p} $\rightarrow 3d(t_{2g}, e_g)$ CT transition.

The CT configuration consists of two partially filled subshells, the ligand γ_{2p} , and the cation $3d(r_{2g}^{n_1}e_g^{n_2})$ shell, respectively. In the case of CT states in the $[\text{FeO}_6]^{9-}$ center, this configuration corresponds nominally to the Fe²⁺ ion. It should be emphasized that the oxygen hole, having occupied the *nonbonding* γ_{2p} orbital, interacts *ferromagnetically* with the $3d(t_{2g}^{n_1}e_g^{n_2})$ shell. This rather strong ferromagnetic coupling results in the Hund rule for the CT configurations and provides the high-spin ground states. The presence of the oxygen hole moving around the 3*d* ion in the CT state can provide a strong screening of both the 3*d* crystal-field and the intra-atomic electron-electron repulsion, with a renormalization of the appropriate correlation Racah parameters A, B, C and the crystal-field splitting parameter Dq.

The even-parity molecular orbital $\gamma_g \mu = N_{\gamma_g} (3d\gamma_g \mu + \lambda_{\gamma_g} 2p\gamma_g \mu)$ with the 3d-2p hybrid structure and the purely oxygen odd-parity molecular orbital $\gamma_u \mu \equiv 2p\gamma_u \mu$, both include the symmetry superposition of the on-site ligands O 2p orbitals. The electric dipole matrix element will therefore be a sum of *local* and *nonlocal* terms composed from one-site and two-site (*d*-*p* and *p*-*p*) integrals, respectively. In the framework of a simple "local" approximation³⁵ that implies the full neglect of all the many-center integrals, we obtain

$$\langle t_{2u}(\pi) \| \hat{d} \| e_g \rangle = 0; \quad \langle t_{2u}(\pi) \| \hat{d} \| t_{2g} \rangle = -i \sqrt{\frac{3}{2}} \lambda_{\pi} d,$$

$$\langle t_{1u}(\sigma) \| \hat{d} \| t_{2g} \rangle = 0; \quad \langle t_{1u}(\sigma) \| \hat{d} \| e_g \rangle = -\frac{2}{\sqrt{3}} \lambda_{\sigma} d,$$

$$\langle t_{1u}(\pi) \| \hat{d} \| e_g \rangle = 0; \quad \langle t_{1u}(\pi) \| \hat{d} \| t_{2g} \rangle = \sqrt{\frac{3}{2}} \lambda_{\pi} d.$$

$$(1)$$

Here, $\lambda_{\sigma} \sim t_{pd\sigma} / \Delta_{pd}$, $\lambda_{\pi} \sim t_{pd\pi} / \Delta_{pd}$ are effective covalency parameters for e_g, t_{2g} electrons, respectively, $d = eR_0$ is an elementary dipole moment for the cation-anion bond length R_0 . We see that the local approximation results in an additional selection rule that forbids the $\sigma \rightarrow \pi$, and $\pi \rightarrow \sigma$ transitions $t_{1u}(\sigma) \rightarrow t_{2g}$, and $t_{1u,2u}(\pi) \rightarrow e_g$, respectively, though these transitions are dipole allowed. In other words, within the frame of this approximation, only σ -type $[t_{1u}(\sigma) \rightarrow e_g]$ or π -type $[t_{1u,2u}(\pi) \rightarrow t_{2g}]$ CT transitions are allowed. Hereafter, we use the terminology of "strong" and "weak" transitions for the dipole-allowed CT transitions which take place along the $\sigma - \sigma$, $\pi - \pi$ and $\pi - \sigma$, $\sigma - \pi$ channels, respectively. It should be emphasized that the local approximation, if nonzero, is believed to provide a leading contribution to the transition-matrix elements with corrections being on the first order in the cation-anion overlap integral. Moreover, the nonlocal terms are neglected in the conventional Hubbardtype approach. Equation (1) suggests extremely large dipole matrix elements and oscillator strengths for strong p-d CT transitions, mounting to $d_{ij} \sim e \dot{A}$ and oscillator strength f ~ 0.1 , respectively.

The conventional classification scheme of the CT transitions in the octahedral FeO₆⁹⁻ centers (intracenter CT transitions) incorporates the electric dipole-allowed transitions from the odd-parity oxygen $\gamma_u = t_{1u}(\pi), t_{2u}(\pi), t_{1u}(\sigma)$ orbitals to the even-parity iron $3dt_{2g}$ and $3de_g$ orbitals, respectively. These one-electron transitions generate the many-electron ones ${}^{6}A_{1g} \rightarrow {}^{6}T_{1u}$, which differ by the crystalline term of the respective $3d^{n+1}$ configuration,

$$(t_{2g}^{3}{}^{4}A_{2g}; e_{g}^{2})^{6}A_{1g} \rightarrow [(t_{2g}^{4}; e_{g}^{2})^{5}T_{2g}; \underline{\gamma_{u}}]^{6}T_{1u},$$
(2)

$$(t_{2g}^{3}{}^{4}A_{2g}; e_{g}^{2})^{6}A_{1g} \to [(t_{2g}^{3}; e_{g}^{3})^{5}E_{g}; \underline{\gamma_{u}}]^{6}T_{1u},$$
(3)

for $\gamma_u \rightarrow 3dt_{2g}$ and $\gamma_u \rightarrow 3de_g$ transitions, respectively. We see that in contrast to the manganese centers $[MnO_6]^{9-}$, each

one-electron $\gamma_u \rightarrow 3dt_{2g}$ transition generates one manyelectron CT transition.³⁵

Hence, starting with three nonbonding purely oxygen orbitals $t_{1u}(\pi), t_{1u}(\sigma), t_{2u}(\pi)$ as initial states for one-electron CT, we arrive at six many-electron dipole-allowed CT transitions ${}^{6}A_{1g} \rightarrow {}^{6}T_{1u}$. There are two transitions $t_{1u}(\pi), t_{2u}(\pi) \rightarrow t_{2g} \ (\pi - \pi \text{ channel})$, two transitions $t_{1u}(\pi), t_{2u}(\pi) \rightarrow e_g \ (\pi - \sigma \text{ channel})$, one transition $t_{1u}(\sigma) \rightarrow t_{2g} \ (\sigma - \pi \text{ channel})$, and one transition $t_{1u}(\sigma) \rightarrow e_g \ (\sigma - \sigma \text{ channel})$. In addition, one should account for a dipole-forbidden $t_{1g}(\pi) \rightarrow t_{2g}$ transition which determines the onset energy of the CT bands.

The formulas (1) allow us to make quantitative estimates for the relative magnitude of the intensities for different CT transitions. First of all, we would like to compare the overall integral intensities for the strong dipole-allowed CT transitions in the $\pi - \pi$ and $\sigma - \sigma$ channels. To this end, we calculate and sum the line strengths (the dipole-submatrix element squared) which are proportional to the appropriate oscillator strengths,

$$I_{\pi\pi} = 9\lambda_{\pi}^2 d^2; \quad I_{\sigma\sigma} = \frac{3}{2}\lambda_{\sigma}^2 d^2 \text{ or } I_{\pi\pi}/I_{\sigma\sigma} = 6\lambda_{\pi}^2/\lambda_{\sigma}^2.$$
(4)

In other words, the ratio of the total oscillator strengths for these channels is determined by the ratio of the respective cation-anion charge-density transfer parameters. Usually, $\lambda_{\sigma}^2 > \lambda_{\pi}^2$; however, it seems that the overall intensity for the $\pi - \pi$ channel can be comparable with that for the $\sigma - \sigma$ channel or can even exceed it.³⁵

The transfer energy in the Fe³⁺O₆ octahedra for the dipole-forbidden $t_{1g}(\pi)-t_{2g}$ transition which determines the onset energy of the *p*-*d* CT bands can be compared with a similar quantity for the dipole-forbidden $t_{1g}(\pi)-e_g$ transition which determines the onset energy of the *p*-*d* CT bands in Mn³⁺O₆ octahedra in, e.g., manganite LaMnO₃,

$$\Delta_{t_{1g}(\pi)-t_{2g}}^{\text{Fe}} - \Delta_{t_{1g}(\pi)-e_{g}}^{\text{Mn}} = A + 28B - 10Dq - \Delta_{\text{JT}} + I_{3}(\text{Fe}) - I_{3}(\text{Mn}).$$

Here we made use of standard Racah parameters, $I_3(\text{Fe}), I_3(\text{Mn})$ are the third ionization potentials for iron and manganese atoms, respectively, Δ_{JT} is the Jahn-Teller splitting of the e_g level in manganite. Given $A \approx 2.0$ eV (see below), $B \approx 0.1$ eV, $Dq \approx 0.1$ eV, $\Delta_{\rm JT} \approx 0.7$ eV, and $[I_3(\text{Fe}) - I_3(\text{Mn})] \approx -3.0 \text{ eV}$ (see, e.g., Ref. 36), we get $\Delta_{t_{1g}(\pi)-t_{2g}}^{\text{Fe}} - \Delta_{t_{1g}(\pi)-e_g}^{\text{Mn}} \approx 1.0 \text{ eV}.$ In other words, the onset of $p \cdot d$ CT transitions in the Fe³⁺O₆ octahedra is expected to be noticeably (~1 eV) blueshifted as compared to its $Mn^{3+}O_6$ counterpart. Taking into account $\Delta_{t_{1g}(\pi)-e_{g}}^{Mn} \approx 1.7 \text{ eV}$ as the onset energy of *p*-*d* CT transitions in the Mn³⁺O₆ octahedra in an idealized orthomanganite LaMnO₃,³⁵ we get $\Delta_{t_{1g}(\pi)-t_{2g}}^{Fe} \approx 2.7 \text{ eV}$ as an estimate of the onset energy of *p*-*d* CT transitions in the Fe³⁺O₆ octahedra in the isostructural orthoformita LaEaO. It is intracting that this 1 orthoferrite LaFeO₃. It is interesting that this low-energy p-d CT transition in ferrites can be superimposed on the d-dcrystal-field transitions, in particular, on the ${}^{6}A_{1g} \rightarrow {}^{4}A_{1g}$ transition, which is usually an important optical signature of the Fe³⁺ Indeed, its energy $\Delta E = 10B + 5C$ centers. $\approx 2.5-2.8$ eV does not depend on the crystal-field splitting

parameter Dq that makes the energy of this transition insensitive to details of the crystalline surroundings. Quite to the contrary, both the energy and the intensity of the $t_{1g}(\pi)-t_{2g}$ p-d CT transition are strongly dependent on the crystalline surroundings. Being nominally dipole-forbidden for ideal Fe³⁺O₆ octahedra, this transition becomes allowed for noncentrosymmetric Fe³⁺O₆ complexes with a spectral weight typical for CT transitions. In contrast to the ${}^{6}A_{1g} \rightarrow {}^{4}A_{1g}$ transition, the low-symmetry distortions of Fe³⁺O₆ octahedra give rise to a sizable splitting of the $t_{1g}(\pi)-t_{2g}$ band that may be used for the transition assignment.

As it was noted above, the onset energy for the *p*-*d* CT transitions in ferrites is blueshifted by 1 eV as compared to manganites. However, the low-energy strong dipole-allowed *p*-*d* CT transitions in ferrites and manganites are anticipated at \sim 3 and \sim 4.5 eV, respectively. Such a counterintuitive picture points to the importance of correlation effects governing the electronic structure in the ground and excited CT states.

B. Two-center d-d CT transitions

A two-center d-d CT transition in iron oxides with Fe³⁺O₆ octahedra

$$[\text{FeO}_6]^{9-} + [\text{FeO}_6]^{9-} \rightarrow [\text{FeO}_6]^{10-} + [\text{FeO}_6]^{8-}$$
 (5)

implies the creation of electron $[\text{FeO}_6]^{10-}$ and hole $[\text{FeO}_6]^{8-}$ centers with electron configurations formally related to Fe²⁺ and Fe⁴⁺ ions, respectively. Two-center *d-d* CT transitions from the initial Fe³⁺O₆ $(t_{2g}^3 e_g^2)$: ${}^6A_{1g}$ states can be directly assigned to $e_g \rightarrow e_g$, $e_g \rightarrow t_{2g}$, $t_{2g} \rightarrow e_g$, and $t_{2g} \rightarrow t_{2g}$ channels with final configurations and terms,

$$e_{g} \rightarrow e_{g} t_{2g}^{3} e_{g}^{1} t_{2g}^{5} E_{g} - t_{2g}^{3} e_{g}^{3} t_{2g}^{5} E_{g},$$

$$e_{g} \rightarrow t_{2g} t_{2g}^{3} e_{g}^{1} t_{2g}^{5} E_{g} - t_{2g}^{4} e_{g}^{2} t_{2g}^{5} T_{2g},$$

$$t_{2g} \rightarrow e_{g} t_{2g}^{2} e_{g}^{2} t_{2g}^{5} T_{2g} - t_{2g}^{3} e_{g}^{3} t_{2g}^{5} E_{g},$$

$$t_{2g} \rightarrow t_{2g} t_{2g}^{2} e_{g}^{2} t_{2g}^{5} T_{2g} - t_{2g}^{4} e_{g}^{2} t_{2g}^{5} T_{2g}.$$
(6)

In the framework of high-spin configurations, the $e_g \rightarrow t_{2g}$ CT transition has the lowest energy $\Delta = \Delta_{e_g - t_{2g}}$, while the $e_g \rightarrow e_g$, $t_{2g} \rightarrow t_{2g}$, and $t_{2g} \rightarrow e_g$ transitions have the energies $\Delta + 10Dq(3d^6)$, $\Delta + 10Dq(3d^4)$, and $\Delta + 10Dq(3d^6) + 10Dq(3d^4)$, respectively. The transfer energy in the Fe³⁺-based ferrites for the $e_g \rightarrow t_{2g}$ CT transition

$$\Delta_{g_{g_{2g}}}^{\text{Fe-Fe}} = A + 28B - 10Dq$$

can be compared with a similar quantity for the $e_g \rightarrow e_g$ CT transition in Mn³⁺-based manganite LaMnO₃

$$\Delta_{e_g e_g}^{\mathrm{Mn-Mn}} = A - 8B + \Delta_{\mathrm{JT}},$$

where $\Delta_{\rm IT}$ is the Jahn-Teller splitting of the e_g levels in manganite. Given $B \approx 0.1$ eV, $Dq \approx 0.1$ eV, $\Delta_{\rm IT} \approx 0.7$ eV, and $\Delta_{e_g e_g}^{\rm Fe-Fe} \approx 2.0$ eV (see, e.g., Ref. 36), we get $A \approx 2.0$ eV, $\Delta_{e_g e_2}^{\rm Fe-Fe} \approx 4.0$ eV. In other words, the onset of the *d*-*d* CT transitions in Fe³⁺-based ferrites is strongly (~2 eV) blueshifted as compared to the Mn³⁺-based manganite LaMnO₃.

1. Effect of orbital states and Me₁-O-Me₂ bond geometry

Another important difference between ferrites and manganites lies in the opposite orbital character of initial and final states for the *d*-*d* CT transitions. Indeed, the low-energy $d^4d^4 \rightarrow d^3d^5$ CT transition in manganites implies an orbitally degenerate Jahn-Teller initial state ${}^5E_g{}^5E_g$ and an orbitally nondegenerate final state ${}^4A_{2g}{}^6A_{1g}$, while the low-energy $d^5d^5 \rightarrow d^4d^6$ CT transitions in ferrites imply an orbitally nondegenerate initial state ${}^6A_{1g}{}^6A_{1g}$ and an orbitally degenerate Jahn-Teller final states such as ${}^5E_g{}^5E_g$ for $e_g \rightarrow e_g$ or ${}^5E_g{}^5T_{2g}$ for $e_g \rightarrow t_{2g}$ CT transitions. An unconventional final state with an orbital degeneracy on both sites or Jahn-Tellerexcited states may be responsible for the complex multipeak line shape of the *d*-*d* CT band in ferrites.

The dipole-matrix element for the $\gamma_1 \rightarrow \gamma_2$ transition between the even-parity ground state Ψ_{GS}^g and the odd-parity excited state Ψ_{ES}^u of the Fe_A-Fe_B pair can be expressed through the transfer integral t_{12} and the transfer energy Δ_{12} as follows:

$$\langle \Psi_{\rm GS}^g | \hat{\mathbf{d}} | \Psi_{\rm ES}^u \rangle \approx 2e \mathbf{R}_{AB} \frac{\iota_{12}}{\Delta_{12}}.$$
 (7)

In other words, the spectral weight of the two-center *d*-*d* CT transition can be related to the kinetic contribution $J_{\rm kin} = t_{12}^2 / \Delta_{12}$ to the exchange integral in the *AB* pair.³⁶

The transfer integrals in 3d oxides can strongly depend on the bond geometry. For two octahedral MeO₆ clusters sharing a common oxygen ion, we get the following expressions for the transfer integrals:

$$t_{12}(e_g0;e_g0) \approx t_{ss} + t_{\sigma\sigma}\cos\theta,$$

$$t_{12}(e_g0;e_g2) = t_{12}(e_g2;e_g0) = t_{12}(e_g2;e_g2) = 0,$$

$$t_{12}(e_g0;t_{2g}\mu) \approx t_{\sigma\pi}D_{0\mu}^{(1)}(\omega); \quad t_{12}(t_{2g}\mu;e_g0) \approx t_{\pi\sigma}D_{\mu0}^{(1)}(\omega),$$

$$t_{12}(t_{2g}\mu_1; t_{2g}\mu_2) \approx t_{\pi\pi} D^{(1)}_{\mu_1\mu_2}(\omega), \qquad (8)$$

where the 3*d* orbitals $e_g \mu(e_g 0 = d_{z^2}, e_g 2 = d_{x^2-y^2})$, $t_{2g} \mu$ $[t_{2g} \pm 1 = \pm \frac{1}{\sqrt{2}}(d_{xz} \pm i d_{yz})$, $t_{2g} 2 = d_{xy}]$ are specified in the local coordinates for Me(1)O₆ and Me(2)O₆ clusters with z_1 and z_2 axes directed to the common oxygen ion; $t_{\alpha\beta}$ are transfer parameters for $\alpha(\beta) = s, \sigma, \pi$ bonds, respectively; θ is the Me(1)-O-Me(2) bond angle and $D_{\mu_1\mu_2}^{(1)}(\omega)$ is the Wigner rotation matrix³⁷ with ω being the Euler angles, specifying the transformation from the local coordinates for Me(1)O₆ to those for the Me(2)O₆ cluster. These expressions can be used to find a detailed relation between bond geometry and the spectral weight of the *d*-*d* CT transitions.

2. Spin dependence and temperature behavior

After uncovering the role of the Me-O-Me bond angle, we have to consider whether the spin degree of freedom affects the d-d CT transition. All the one-particle intersite transitions for the oxides with 3d cations obeying the Hund's rule can

be divided into the so-called HS transitions $S_1S_2S \rightarrow S_1 \pm \frac{1}{2}S_2 \pm \frac{1}{2}S$ and the low-spin (LS) transitions $S_1S_2S \rightarrow S_1 - \frac{1}{2}S_2 - \frac{1}{2}S$. We note that despite the spinless character in the dipole moment operator, its matrix elements on the pair wave functions depend on the spin quantum numbers. In particular, the partial spectral weight (SW) for a $S_1S_2 \rightarrow S_1'S_2'$ transition can be given as follows:

$$SW(S_1S_2 \to S_1'S_2') \propto [S_1, S_2'] \sum_{S} \rho_S \begin{cases} S_1 & S_2 & S \\ S_2' & S_1' & \frac{1}{2} \end{cases}^2, \quad (9)$$

where ρ_S is the temperature-dependent statistical weight of the S_1S_2S spin multiplet, and $\{ \substack{S_1 \ S_2 \ S \\ S'_2 \ S'_1 \ \frac{1}{2} } \}$ is the 6j symbol.³⁷ Taking into account the expressions for 6j symbols, we see that the temperature dependence of the partial spectral weight $SW(S_1S_2 \rightarrow S'_1S'_2)$ should be determined by a statistical average $\langle S(S+1) \rangle = \langle \hat{\mathbf{S}}^2 \rangle$ which, in its turn, relates to the spin-spin-correlation function $\langle (\hat{\mathbf{S}}_1 \cdot \hat{\mathbf{S}}_2) \rangle$. Thus, we should conclude that the partial spectral weight for $S_1S_2S \rightarrow S'_1S'_2S$ transitions in an isolated spin pair is governed by a spindependent prefactor containing the spin-spin-correlation function $\langle (\hat{\mathbf{S}}_1 \cdot \hat{\mathbf{S}}_2) \rangle$. For the HS transition $S_1S_1 \rightarrow S_1 - \frac{1}{2}S_1 + \frac{1}{2}$ and the LS transition $S_1S_1 \rightarrow S_1 - \frac{1}{2}S_1 - \frac{1}{2}$ in the pair of identical 3*d* ions, one gets

$$SW\left(S_{1}S_{1} \to S_{1} - \frac{1}{2}S_{1} + \frac{1}{2}\right) \propto \frac{\langle S(S+1) \rangle}{2S_{1}(2S_{1}+1)}$$
$$= \frac{\left[\langle (\hat{\mathbf{S}}_{1} \cdot \hat{\mathbf{S}}_{2}) \rangle + S_{1}(S_{1}+1)\right]}{S_{1}(2S_{1}+1)}, \tag{10}$$

$$SW\left(S_1S_1 \to S_1 - \frac{1}{2}S_1 - \frac{1}{2}\right) \propto \frac{\left[2S_1(2S_1 + 1) - \langle S(S+1) \rangle\right]}{2S_1(2S_1 + 1)}$$
$$= \frac{\left[S_1^2 - \langle (\hat{\mathbf{S}}_1 \cdot \hat{\mathbf{S}}_2) \rangle\right]}{S_1(2S_1 + 1)},$$
(11)

respectively. These expressions allow one to obtain both the low-temperature $(T \ll T_N)$ and high-temperature $[T \gg T_N, (\hat{\mathbf{S}}_i \cdot \hat{\mathbf{S}}_j) \rightarrow 0]$ limits for the spin prefactor. In accordance with a spin sum rule, the sum of spin prefactors on the right-hand sides of the expressions (10) and (11) turns into unity due to the exact compensation of temperature-dependent terms with the spin-spin-correlation function. In other words, the higherenergy LS bands exhibit a strictly inverse SW evolution with temperature as compared to the HS band. Varying the temperature, we get the SW transfer between the HS and the LS subbands. It should be noted that for the Fe³⁺ ion-based oxides we deal only with the LS transitions $\frac{5}{2}\frac{5}{2} \rightarrow 22$ and the antiferromagnetic ground-state exchange coupling.

C. Electron-lattice polarization effects, self-trapping of CT excitons, and unstable CT insulators

The relaxation of optically excited CT states can strongly affect both the optical response and the main physical properties of the insulator. The CT relaxation is governed by a cumulative effect of *electronic* and *ionic* terms associated with the displacement of electron shells and ionic cores, respectively.³⁸ The former term is due to the *nonretarded* effect of the electronic polarization by the momentarily localized electron-hole (EH) pair, with the ionic cores fixed at their undisturbed crystallographic positions. Such a situation is typical for the lattice response accompanying Franck-Condon transitions (optical excitation and photoionization). On the other hand, all the long-lived excitations, i.e., all the intrinsic thermally activated states and the extrinsic particles produced as a result of doping, injection, or optical pumping, should be regarded as stationary states of a system with a deformed lattice structure. These relaxed states should be determined from the condition that the energy of the system has a local minimum when the interaction of the electrons and holes with the lattice deformations is taken into account. This means that we cannot, strictly speaking, make use of the same energy parameters to describe the optical (e.g., photoexcited) and the thermal (e.g., doped) holes.

The lattice relaxation energies $-\Delta R_{\rm th}$ associated with the hole/electron localization in 3*d* oxides are particularly large. For instance, in LaMnO₃ the optical (nonrelaxed) energies of the creation of a hole on Mn and O sites are 2.6 and 4.9 eV, respectively, while $-\Delta R_{\rm th}^{\rm Mn} = 0.7 - 0.8$ and $-\Delta R_{\rm th}^{\rm O} = 2.4$ eV.³⁹ In other words, the electronic hole is more stable at the Mn site than at the O site in the LaMnO₃ lattice; however, both possibilities should be treated seriously.

Shell-model estimates³⁹ yield for the energy of the optically excited electron-hole formation $E_{opt} \approx 3.7$ eV in the parent manganite LaMnO₃, while the respective thermal relaxation energy is estimated as $-\Delta R_{th} \approx 1.0$ eV. Such an estimate seems to be typical for different insulators.³⁸ In any case, we deal with a several eV effect both for electronic and ionic contributions to the relaxation energy.

Figures 2(a) and 2(b) illustrate two possible ways by which the electron-lattice polarization governs the CT exciton evolution. Shown are the adiabatic potentials (APs) for the two-center ground-state configuration and the excited CT configuration. Coordinate Q is associated with a lattice degree of freedom. For the lower branch of the AP in the system, we have either a single minimum point for the groundstate configuration [Fig. 2(a)] or a two-well structure with an additional local minimum point [Fig. 2(b)] associated with the self-trapped CT exciton.⁴⁰ This "bistability" effect is of primary importance for our analysis. Indeed, these two minima are related to two (meta)stable charge states with and without CT, respectively, which form two candidates to compete for the ground state. It is worth noting that the selftrapped CT exciton may be described as a configuration with a negative correlation energy U. Thus, one can conclude that all systems such as 3d oxides may be divided into two classes: CT stable systems with only the lower AP branch minimum for a certain charge configuration and bistable or CT unstable systems with two lower AP branch minima for two local charge configurations; one of which is associated with the self-trapped CT excitons resulting from the selfconsistent charge transfer and electron-lattice relaxation.³⁸

Two-center CT excitons have a very large fluctuating electric dipole moment $|d| \sim 2eR_{\text{MM}}$ and therefore can be involved into an attractive electrostatic dipole-dipole interaction. This is believed to be an important incentive to the



FIG. 2. (Color online) Simple illustration of the electron-lattice polarization effects for CT excitons (see text for details): (a) GS configuration and (b) two-well structure. (c) Optical response (schematically) of the self-trapped CT excitons and EH droplets (dotted curves). Arrows point to a spectral weight transfer from the bare CT band to the CT gap with an appearance of midgap bands and/or smearing of the fundamental absorption edge.

proliferation of excitons and formation of excitonic clusters. The CT excitons are proved to attract and form molecules called biexcitons, and more complex clusters where the individuality of the separate exciton is likely to be lost. Moreover, one may assume that like in semiconductors with an indirect-band gap, it is energetically favorable for the system to separate into a low-density exciton phase coexisting with microregions of a high-density two-component phase composed of electron and hole centers or EH droplets. Indeed, the excitons may be considered to be well-defined entities only at small concentrations, whereas at large densities their coupling is screened and their overlap becomes so consider-able that they loose individuality and we arrive at a system of electron and hole centers, which form an electron-hole Bose liquid.⁴¹

An increase in injected excitons in this case merely increases the size in the EH droplets, without changing the free exciton density. However, the level of intrinsic nonstoichiometry in 3*d* oxides is significant (one charged defect per 100–1000 molecular units is common). The charged defect produces a random electric field, which can be very large (up to 10^8 V cm^{-1} ; ~ a field at nuclei) thus promoting the condensation of CT excitons and the *inhomogeneous nucleation* of EH droplets.

The CT unstable systems will be characterized by a welldeveloped volume fraction of short- and long-lived CT excitons or EH droplets that can give rise to a specific optical response in a wide spectral range due to various p-d and d-dCT transitions. First, these are the p-d CT transitions in electron and hole centers and the inverse d-d CT transitions or EH recombination processes (see Fig. 2). Their spectral weight can be easily revealed in the spectral window of the bare insulator to be a direct indicator of the CT instability. Figure 2(c) illustrates main features of the optical response for the CT unstable insulators, that is, the spectral weight transfer from the bare CT band to the CT gap with an appearance of the midgap bands and/or smearing of the fundamental absorption edge.

Concluding this section, we should point to an unusually large electric polarizability of the two-center d-d CT excitons that makes the self-trapped excitons potentially important contributors to the magnetoelectric performance.

III. EXPERIMENTAL

We have performed an optical study of bismuth ferrite BiFeO₃ and several other iron oxides. One group includes oxides with only octahedral Fe³⁺ centers. These are BiFeO₃, the orthoferrites ErFeO₃, $Y_{.95}Bi_{.05}FeO_3$, hematite α -Fe₂O₃, gallium ferrite with the hematite structure Fe_{2-x}Ga_xO₃, and the orthorhombic iron borate Fe₃BO₆. The second group includes oxides with octahedral and tetrahedral Fe³⁺ centers. These are the calcium ferrite Ca₂Fe₂O₅ with the brownmillerite structure, the model lithium ferrite LiFe₅O₈ with the spinel structure, and uniaxial hexaferrite BaFe₁₂O₁₉ with the magnetoplumbite structure.

Samples in the form of x-ray-oriented plane-parallel Syton-polished platelets were prepared from single crystals grown by the flux method. Orthoferrites were grown by the floating-zone method.⁴²

Some previously reported optical data on ferrites were in most cases obtained with the use of conventional reflection and absorption methods. We performed our study using a variable-angle spectroscopic ellipsometer as described elsewhere.^{43,44} The technique of optical ellipsometry provides significant advantages over conventional reflection and transmittance methods in that it is self-normalizing and does not require reference measurements. The optical complex pseudodielectric function $\varepsilon = \varepsilon' - i\varepsilon''$ is obtained directly without a Kramers-Krönig transformation. From the measured ellipsometric angles ψ and Δ , we calculated the pseudodielectric function

$$\mathbf{s}^{ps} = \sin^2 \theta \left[1 + \tan^2 \theta \left(\frac{1-\rho}{1+\rho} \right)^2 \right], \tag{12}$$

where $\rho = \tan \psi e^{i\Delta}$ and θ is the angle of incidence.⁴⁵ For an isotropic crystal, this function gives the value of the dielectric function of the material $\varepsilon = \varepsilon^{ps}$ if a surface roughness is taken into account properly. In the case of uniaxial crystals,^{46,47} the pseudodielectric function extracted from the measurements performed for two different orientations of the sample are a good approximation for the true dielectric function of the crystal. Thus, ε^{ps} measured with *p*-polarized light for a crystal with the optical axis *z* lying in the plane of incidence gives the value of ε_{zz} and, subsequently, of the extraordinary refractive and absorption indices. The value for $\varepsilon_{xx} = \varepsilon_{yy}$ and the ordinary refractive and absorption indices can be obtained in the same way when the optical axis is perpendicular to the plane of incidence.

In the case of biaxial crystal, the pseudodielectric function (12) can be again a reasonable approximation, if the sample

is properly oriented with one of the principle directions being perpendicular to the sample surface. In this case, ε^{ps} is comprised by the projections of the components of dielectric tensor along the two principle directions lying in the plane of incidence.⁴⁶ Thus, for the large angles of incidence used in our experiments ($\theta = 60^{\circ} - 72^{\circ}$), the spectral behavior of ε^{ps} represents mainly the spectral behavior of the dielectric function for light polarized along the direction parallel to the intersection of the sample surface and the plane of incidence. Thus, performing the ellipsometric measurements from different surfaces of the crystal, information of the spectral features of the dielectric function along all three principle directions of the crystal can be obtained. We note that a more comprehensive treatment of the ellipsometric data⁴⁶ will result in small corrections of the absolute values of the dielectric functions, without significant effect on the main spectral features.

This approximation, strictly speaking, is valid for large values of $|\varepsilon|$, i.e., in the range of strong absorption. In the low absorption range, however, the optical anisotropy is usually not resolved in ellipsometric measurements. Therefore, the pseudodielectric function can be used as an approximation for a dielectric function in the low absorption range.

Surface roughness and depolarization effects affect mostly the results in the low absorption range but not the positions and the strength of intensive absorption bands. We note that for all samples considered here, the measurements were performed for several large angles of incidence θ . The coincidence of the dielectric functions extracted from these measurements points to the validity of the approach used.

The dielectric function ε was obtained in the range from 0.6 to 5.8 eV. The comparative analysis of the spectral behavior of ε_1 and ε_2 is believed to provide a more reliable assignment of the spectral features as compared to reflectivity measurements. The spectra were analyzed using the set of Lorentz functions,

$$\boldsymbol{\varepsilon} = \boldsymbol{\varepsilon}' - i\boldsymbol{\varepsilon}'' = \boldsymbol{\varepsilon}_0 + \sum \frac{f_j}{E_{0j}^2 - E^2 - iE\Gamma_j},\tag{13}$$

where f_j characterizes the strength of the *j*th oscillator with a central frequency E_{0j} and a half width Γ_j , ε_0 is the dielectric susceptibility at E=0.

A. Experimental data

1. Fe³⁺ ions in octahedral positions

Bismuth ferrite BiFeO₃. In the bulk form, BiFeO₃ has a rhombohedrally distorted cubic perovskite cell and belongs to the R3c space group.^{48–50} Iron Fe³⁺ ions occupy noncentrosymmetric positions 6c with three short (1.952 Å) and three long (2.105 Å) Fe-O bonds. Fe³⁺ ions are shifted along the threefold axis by about 0.134 Å from the center of the oxygen octahedra. Along with this, two different Bi-Fe distances about 3.06 and 3.87 Å along the threefold axis are supposed to be the origin of the ferroelectricity in BiFeO₃.^{49,50} The antiferromagnetic ordering temperature T_N = 643 K and the ferroelectric temperature T_c =1143 K.⁴⁸



FIG. 3. (Color online) (a) The dielectric functions and the contributing modes in BiFeO₃. Inset shows indices of absorption and refraction. (b) The dielectric function in orthoferrite $Y_{.95}Bi_{.05}FeO_3$.

Recent reports suggest orientation phase transitions below room temperature⁵¹ similar to those in orthoferrites.⁵²

The ellipsometric measurements were done from the polished (001)-type surface with incident light polarizations along the [110], [110], and [100] directions. We observed optical anisotropy in the range of several percent, but since the sample studied was most probably in a multidomain ferroelectric state we do not discuss it in this paper. We note that strong optical birefringence in BiFeO₃ was also reported in Ref. 53. Figure 3(a) shows the $\varepsilon', \varepsilon''$ spectra of BiFeO₃. Inset in Fig. 3(a) shows the indices of absorption k and refraction n. Two groups of strong CT transitions are clearly seen around 3.0 and 4.0 eV. It is worth noting the enhanced structureless spectral weight in a wide range below the main CT bands with a remarkable smearing of the fundamental edge. The ε_2 value at 2.0 eV amounts almost to $\varepsilon_2=1.0$ which is an order of magnitude larger than in many other ferrites.

Orthoferrites. Orthoferrites $ErFeO_3$ and $Y_{.95}Bi_{.05}FeO_3$ belong to the distorted perovskitelike structure with the space group *Pbnm*.⁵² There are four Fe³⁺ ions in the unit cell in the centrosymmetric octahedral positions 4*b*. The Néel temperature lies in the range of 650 K. The ε' , ε'' spectra of $ErFeO_3$ for three main polarizations shown in Fig. 4 are typical for the known spectra of orthoferrites RFeO₃.^{33,44} Two groups of intense bands are distinguished around 3.0 and 4.0 eV.

Spectra of orthoferrites differ from those of BiFeO₃ where the main bands are noticeably more intense, in particular, the low-energy 3 eV band. This is well demonstrated by Fig. 3 where the optical spectra of the two perovskites BiFeO₃ and $Y_{.95}Bi_{.05}FeO_3$ are compared. At the same time we see in Fig. 3(b) that 5% substitution of Y for Bi does not produce any noticeable changes in the spectra of orthoferrites.



FIG. 4. (Color online) The dielectric function spectra in ErFeO_3 orthoferrite for three main polarizations. Insets show indices of absorption and refraction. Note that the region below 1 eV is not shown because of strong interference effects, which occur due to the high transparency of the samples in this region.

Hematite α -Fe₂O₃. The hematite α -Fe₂O₃ is an iron oxide with the highest concentration of Fe³⁺ ions. The crystal structure is described by a rhombohedral space group $R\overline{3}c$. As in BiFeO₃, the Fe³⁺ ions occupy noncentrosymmetric positions 12c with three short (1.944 Å) and three long (2.113 Å) Fe-O bonds.^{54,55} The Fe³⁺ ions are shifted along the threefold axis from the center of the oxygen octahedra. Each FeO₆ octahedron shares a face with another one in the layer above or below. High concentration and strong coupling between Fe³⁺ ions via oxygen ions leads to the high Néel transition temperature T_N =948 K. Below this temperature α -Fe₂O₃ is piezomagnetic. Figure 5(a) shows optical dielectric spectra of hematite for the incident light polarization in the basal plane.

The dielectric spectra for two principal polarizations in gallium-substituted hematite α -Fe_{2-x}Ga_xO₃ are shown in Figs. 5(b) and 5(c). This material with x=0.25 has the same trigonal crystal structure as hematite. General spectral features of the two materials are similar. However, despite the noticeable dilution of the iron sublattice, the integral intensity of the CT bands reveals a noticeable rise rather than the expected reduction.

Iron borate Fe₃BO₆. This material crystallizes in an orthorhombic-type structure.^{56–58} The space group is *Pnma* with 4 f.u. per unit cell. The iron Fe³⁺ ions are located on two nonequivalent 4*c* and 8*d* sites. Both crystallographically independent iron ions are octahedrally coordinated by six oxygen O²⁻ ions. Both positions are strongly distorted in comparison to other ferrites. For one sublattice, the Fe-O bond length varies from 1.865 to 2.226 Å, for the second sublattice from 1.905 to 2.131 Å. Iron ions form antiparallel spin arrangements within and between sublattices and Fe₃BO₆ is



FIG. 5. (Color online) The dielectric function spectra of hematite α -Fe₂O₃ (a) and gallium-substituted hematite α -Fe_{2-x}Ga_xO₃ with [(b) and (c)] x=0.25.

an antiferromagnet below $T_N = 508$ K and a canted antiferromagnet below $T_c = 430$ K.⁵⁸

Dielectric spectra for three main polarizations are shown in Figs. 6(a)-6(c). The strongest band at 3.06 eV is observed in the *b* polarization. In its general features, the spectrum for this polarization looks similar to the hematite spectrum [see Fig. 5(a)]. The CT spectra for the *a* and *c* polarizations are less intense and resemble one another, whereas the 3.06 eV band is suppressed. The observed strong trichroism was related to the low symmetry on both iron sites.⁵⁹ We note that the Fe₃BO₆ spectra radically differ from the known spectra of other iron borates. Optical transitions in Fe₃BO₆ are noticeably more intense and the band gap is redshifted in comparison to other borates, FeBO_3 (T_N =348 K) and $\text{GdFe}_3(\text{BO}_4)_3$ (T_N =37 K).^{60,61} In contrast to Fe₃BO₆, these borates are highly transparent in the visible spectral range; the charge transfer bands are less intense and are shifted to higher photon energy. The most plausible explanation of these differences can be related to the iron/oxigen ratio which varies in these three compounds as 1/2, 1/3, and 1/4, respectively.

2. Fe³⁺ ions in octahedral and tetrahedral positions

All materials we discussed above contain magnetic Fe^{3+} ions only in octahedral positions. From a magnetic point of view, they are antiferromagnets or, more strictly speaking, weak ferromagnets due to the canting of the antiferromagnetic sublattices derived from the Dzyaloshinsky-Moriya coupling and single-ion anisotropy. The materials we discuss below contain Fe^{3+} ions in octahedrally and tetrahedrally coordinated positions. Calcium ferrite is an antiferromagnet, whereas all other materials are ferrimagnets due to the non-



FIG. 6. (Color online) The dielectric function spectra of Fe_3BO_6 for the three main polarizations. Insets show indices of absorption and refraction.

equivalency of the magnetic ions in the two types of positions. Our main goal is to elucidate the relative contribution to the optical response of the tetrahedral Fe^{3+} centers. To this end, we address a series of ferrites with a rising fraction of tetrahedral Fe^{3+} centers.

Lithium ferrite LiFe₅O₈. Lithium ferrite LiFe₅O₈ has the cubic spinel structure, the space group $P4_332$ is noncentrosymmetric due to the one-to-three ordering of the Li and Fe ions on the octahedral sites.^{55,62} The Fe³⁺ ions occupy the octahedral and tetrahedral crystallographic sites with a ratio of 4:1. Lithium ferrite has one of the highest ferrimagneticparamagnetic transition temperature $T_c = 943$ K which is remarkably close to that of hematite.⁵⁵ LiFe₅O₈ is a material in which the linear magnetoelectric effect was reported.¹⁰ A spontaneous nonreciprocal circular dichroism was observed in Ref. 11. Lithium ferrite is a promising candidate for multilayered ferrimagnetic-ferroelectric composites exhibiting a large microwave magnetoelectric susceptibility.⁶³ Figure 7(a)shows the dielectric functions measured on a polished (110) plate. It is worth noting that the optical spectra of LiFe₅O₈ resemble those of hematite. It points to a predominant contribution of the octahedral Fe³⁺ centers to optical transitions. The well-pronounced spectral features at 2.4 and 2.56 eV coincide with those observed in the spectral dependencies of the circular dichroism¹¹ and linear Kerr effect.⁶⁴ They are assigned to the d-d transitions in the Fe³⁺ ions in the octahedral and tetrahedral positions, respectively. The high intensity of the 2.56 eV transition ($\varepsilon''=3.8$) should be noted.

Hexaferrite $BaFe_{12}O_{19}$. Hexaferrites are a very large group of ferrimagnetic materials with a hexagonal structure





FIG. 7. (Color online) The dielectric function spectra of several ferrites with octahedral and tetrahedral Fe³⁺ centers: (a) lithium ferrite LiFe₅O₈, (b) hexaferrite BaFe₁₂O₁₉, and (c) rare-earth garnet Sm₃Fe₅O₁₂. For BaFe₁₂O₁₉, spectra of ε' were measured for a single crystal and a polycrystalline thin film (film).

where Fe³⁺ ions occupy slightly distorted octahedral and tetrahedral positions. For a review of their properties, see Ref. 65. In barium hexaferrite BaFe₁₂O₁₉ (magnetoplumbite), the Fe³⁺ ions occupy nine octahedral, two tetrahedral, and one new fivefold positions. The experimental optical spectra for the (0001) face of a single crystal and a thin ($\sim 1\mu$) polycrystalline film are shown in Fig. 7(b). Predominance of the octahedral positions makes the optical response in BaFe₁₂O₁₉ similar to that of LiFe₅O₈ and hematite.

Rare-earth garnet $Sm_3Fe_5O_{12}$. Garnets possess the cubic structure *Ia3d* with 8 f.u. in the unit cell. Fe³⁺ ions occupy slightly distorted octahedral 24*c* positions and tetrahedral 16*a* positions with a ratio of 3:2. Review of crystallographic and physical properties of magnetic garnets can be found in Refs. 66 and 67. Iron garnets are ferrimagnetic and the ordering temperature of iron sublattices are in the range of about 550 K. Experimental spectra of dielectric functions are shown in Fig. 7(c). In general features, they are similar, but not exactly the same, as those reported in Refs. 68 and 69.

Calcium ferrite Ca₂Fe₂O₅. This ferrite crystallizes in the orthorhombic space group of the brownmillerite-type *Pnma*.^{70,71} The Fe³⁺ ions occupy the octahedral 4*a* and tetrahedral 4*c* crystallographic sites with a ratio of 1:1. The Fe-O distances in the octahedral positions are 1.963 Å (4O²⁻) and 1.977 Å (2O²⁻). For the tetrahedral sites, these are 1.884 (2O²⁻), 1.858, and 1.859 Å. These data show that both positions are only slightly distorted, e.g., compare them with data given above for the iron borate. Calcium ferrite is an antiferromagnet with T_N =725 K (see Refs. 48 and 72 and

FIG. 8. (Color online) The dielectric function spectra in calcium ferrite $Ca_2Fe_2O_5$ for three main polarizations. Insets show indices of absorption and refraction.

references therein). The dielectric spectra in the calcium ferrite for three principal polarizations are shown in Figs. 8(a)-8(c). At first sight, in Ca₂Fe₂O₅ with the same content of octahedral and tetrahedral centers, one should expect a competition of comparable contributions of the CT transitions related with octahedral and tetrahedral Fe^{3+} centers. However, we see that the spectra differ from all the spectra discussed above, in particular, from those of lithium ferrite and magnetoplumbite where iron ions are also in octahedral and tetrahedral positions. Unexpectedly, we observe a very strong CT-like band peaked near 0.5 eV that was not reported earlier. The 3.0 eV band, a visiting card of octahedral Fe^{3+} centers, is strongly suppressed due to a puzzling spectral weight transfer to the midinfrared range. The spectra of $Ca_2Fe_2O_5$ for a and c polarizations are less intense, resemble one another, but are not identical. Pronounced optical anisotropy could be related to the crystallographic features of Ca₂Fe₂O₅ having a pseudoquadratic layered structure (see Figs. 2 and 3 in Ref. 71). We note that magnetic structure of $Ca_2Fe_2O_5$ is still a matter of debate.^{72–74}

IV. DISCUSSION

To begin our discussion of the CT transitions in different ferrites, we refer to the spectroscopic data for garnets $Y_3Fe_xGa_{5-x}O_{12}$ (x=5,3.9,0.29,0.09).⁶⁸ They demonstrate that the optical response in the spectral range up to 30 000 cm⁻¹ (~3.7 eV) is governed by the on-center transitions for both octahedral and tetrahedral Fe³⁺ centers. It means that the onset energy for different *d*-*d* CT transitions

in ferrites is expected to be >3.7 eV in agreement with our model estimates discussed in Sec. II.

To uncover the role played by the octahedral Fe³⁺ centers, we turn to the optical response of the orthoferrites $RFeO_3$. These compounds contain the only type of centrosymmetric, slightly (~1%) distorted, FeO₆ octahedra. Despite the long story of optical and magneto-optical studies (see, e.g., Refs. 33 and 75), the microscopic origin of the main spectral features in orthoferrites remains questionable and the transition assignments made earlier in Ref. 33 need a comprehensive revisit. The spectra of ErFeO₃ for three main polarizations shown in Fig. 4 are typical for orthoferrites $\hat{R}FeO_3$.^{33,44,75} The low-energy intense band around 3 eV may be assigned to a strong dipole-allowed on-center $t_{2u}(\pi) \rightarrow t_{2g}$ CT transition as was proposed in Ref. 33. This is a characteristic feature of the octahedral Fe³⁺ centers in oxides, with the calcium ferrite being a puzzling exception. However, such an assignment also implies the existence of a weak band due to a low-energy dipole-forbidden on-center $t_{1g}(\pi) \rightarrow t_{2g}$ CT transition redshifted by about 0.8 eV as expected from estimates.³⁴ Indeed, a band around 2.5 eV is found in the optical and magneto-optical spectra of different orthoferrites.³³ This band is clearly visible in hematite α -Fe₂O₃ near 2.4 eV (see Fig. 5), where the $t_{1g}(\pi) \rightarrow t_{2g}$ transition becomes allowed due to a breaking of the centrosymmetry for Fe³⁺ centers.

The nearest high-energy neighborhood of the 3 eV band is expected to be composed of $t_{1u}(\pi) \rightarrow t_{2g}$ CT transitions with a comparable intensity and estimated energy about 4 eV. All the dipole-allowed on-center p-d CT transitions to the e_g state are blueshifted by $10Dq(3d^5)$ as compared to their γ $\rightarrow t_{2g}$ counterparts with the onset energy on the order of 4 eV. Interestingly, for the dipole-allowed $\gamma_u \rightarrow t_{2g}$ transitions, the maximum intensity is expected for the low-energy $t_{2u}(\pi)$ $\rightarrow t_{2g}$ transition, while for $\gamma_u \rightarrow e_g$ transitions the maximum intensity is expected for the high-energy (~6-7 eV) $t_{1u}(\sigma) \rightarrow e_{\sigma}$ transition. The analysis of the experimental spectra of orthoferrites demonstrates the failure of the on-center *p*-*d* CT transitions to explain the broad intensive band centered near 4.5 eV together with a narrow low-energy satellite peaked near 3.9 eV. Both features are typical for orthoferrites^{33,75} and may be assigned to a $e_g \rightarrow t_{2g}$ low-energy two-center CT transition ${}^{6}A_{1g}{}^{6}A_{1g} \rightarrow {}^{5}E_{g}{}^{5}T_{2g}$ to an unconventional final state with an orbital degeneracy on both sites. These Jahn-Teller-excited states are responsible for the complex line shape of the $e_g \rightarrow t_{2g}$ CT band which is composed of a narrow excitonlike feature and a broad intense band separated by ~ 0.5 eV, which is believed to be a measure of the Jahn-Teller splitting in the excited state. Thus, we see that all the spectral features observed in the optical spectra of orthoferrites for energies below 5 eV can be directly assigned to the low-energy p-d and d-d CT transitions.

It is worth noting that the dielectric function in orthoferrites is nearly isotropic due to very weak (~1%) rhombic distortions of FeO₆ octahedra and nearly equivalent different Fe-O-Fe bonds. Nevertheless, a fine structure of the main CT bands is clearly revealed in magneto-optical spectra of orthoferrites, which was assigned to the dipole-forbidden *d-d* crystal-field transitions.^{33,75} In our opinion, their relation to the low-symmetry distortions in the *p-d* CT band seems to be more reasonable.

The effect of a strong change in bulk crystalline symmetry and local trigonal noncentrosymmetric distortions of FeO₆ octahedra is well illustrated by the optical response of hematite α -Fe₂O₃. First of all, there is a noticeable rise of intensity and a splitting for dipole-forbidden $t_{1e}(\pi) \rightarrow t_{2e}$ transition at 2.4 eV, which is clearly visible in the spectra of the galliumsubstituted sample [Figs. 5(b) and 5(c)]. Second, one should note a clear splitting on the order of 0.3–0.4 eV of the 3 eV band due to a sizable trigonal distortion of the FeO₆ octahedra. In both cases, the band splitting effect reflects the singlet-doublet splitting of the initial orbital triplets $t_{1g}(\pi)$ and $t_{2u}(\pi)$, respectively, due to the low-symmetry trigonal crystal field. Interestingly, the integral intensity of the $t_{2u}(\pi) \rightarrow t_{2g}$ band at 3 eV is visibly enhanced in hematite as compared to similar bands in orthoferrites that may result from the more covalent Fe-O bonding in hematite. Such an explanation agrees with the increase in the Fe-O-Fe exchange coupling and higher values of T_N .

Comparing the optical response for both orthorhombic ferrites ErFeO₃ and Y_{.95}Bi_{.05}FeO₃, we see that the 5% substitution of rare-earth ion (or Y) for Bi does not produce any noticeable changes in the spectra of orthoferrites. However, the optical spectrum of rhombohedral BiFeO₃ differs significantly from that of orthorhombic orthoferrites in several points. It mostly resembles that of rhombohedral hematite α -Fe₂O₃ with an additional broad intense band centered near 4 eV, which may be assigned to CT transitions in the Bi-O sublattice. The close relation with the hematite spectra is a direct result of the close similarity in the rhombohedral and noncentrosymmetric distortions of the FeO₆ octahedra in both compounds. It is worth noting that the Lorentzian fitting distinctly points to a small shoulder centered at 2.5 eV. This feature can be unambiguously attributed to the dipoleforbidden $t_{1g}(\pi) \rightarrow t_{2g}$ CT transition similar to other ferrites with FeO₆ centers. Such a feature was observed in earlier studies,^{20,22} however, without any explanation. Most recent absorption and cathodoluminescence spectra²⁷ reveal a fine three-peak structure of the 2.5 eV band in full accordance with low-symmetry (monoclinic) distortions of the epitaxial thin films. The authors attributed this to defect states due to oxygen vacancies; however, we see its intrinsic nature related to a low-lying $t_{1e}(\pi) \rightarrow t_{2e}$ CT transition typical for all the ferrites with octahedral FeO_6 centers.

The calculations of the electronic structure of BiFeO₃ within the LSDA, LSDA+U,²³ and screened-exchange (sX) (Ref. 26) methods show that the valence band is formed predominantly by 2p oxygen states hybridized with the Fe 3d and Bi 6p states. The lowest conduction band is formed by the Fe 3d states with a density of states (DOS) peak at 3.0 eV followed by the Bi 6p states with a DOS distributed from 3 to 6 eV. Most recent calculations give an indirect-band gap $E_g=2.8$ eV.²⁶ In contrast to direct-band semiconductors, a strict definition of the band-gap value E_{g} in transition-metal compounds from experimental data is not straightforward. It is complicated by the absence of a sharp absorption edge and therefore depends on the procedure adopted. The experimental estimate based on opticalabsorption measurements in BiFeO₃ thin films gives a band gap $E_g = 2.5$ eV.²¹ More recent estimates give a direct-band gap $E_g = 2.7$ eV (Refs. 20, 27, and 28) or $E_g = 2.8$ eV.²² However, this kind of estimate is strongly dependent on sample morphology and quality.

The low-energy optical response in bismuth ferrite BiFeO₃ reveals puzzling features, pointing to a CT instability in that oxide. It is an enhanced structureless spectral weight in a wide range below the main CT bands in BiFeO₃ with a remarkable smearing of the fundamental edge. The anomaly may be assigned to CT instabilities accompanied by the self-trapping of *p*-*d* CT excitons and the nucleation of electron-hole droplets. Indeed, the main optical response of the self-trapped *p*-*d* CT excitons is determined by the electron-hole recombination process Fe²⁺O¹⁻ \rightarrow Fe³⁺O²⁻, which energy is surely smaller than the energy of a direct CT process Fe³⁺O²⁻ \rightarrow Fe²⁺O¹⁻.

Despite the orthorhombic crystal symmetry of the iron borate Fe₃BO₆, its optical response resembles in general features that of trigonal hematite but not that of orthoferrites. One should note a strong anisotropy especially pronounced for the low-energy intense $t_{2u}(\pi) \rightarrow t_{2g}$ band which looks like a solitary peak at 3 eV in the b polarization [Fig. 6(c)] and transforms into a plateau for the a and c polarizations, seemingly composed of two bands peaked at 3.2 and 4.2 eV. Such a strong anisotropy indirectly supports the p-d CT nature of the optical response. Indeed, unusually strong, up to $\sim 20\%$, distortions of FeO_6 octahedra in Fe_3BO_6 (Refs. 56 and 57) imply a large low-symmetry splitting of both the initial $t_{2u}(\pi)$ and final t_{2g} states. The resultant effect may be strong enough to explain a splitting on the order of 1 eV. Iron borate spectra provide again a clear evidence of the 2.5 eV band assigned to dipole-forbidden p-d CT transitions $t_{1g}(\pi) \rightarrow t_{2g}$. Indeed, the well-pronounced low-energy spectral features are clearly seen at 2.26 and 2.56 eV in b polarization. Most probably the low-symmetry effects are the main source responsible for the crucial difference in the optical response between Fe_3BO_6 and two other iron borates $FeBO_3$ (T_N) =348 K) and GdFe₃(BO₄)₃ (T_N =37 K).^{60,61} These two borates are highly transparent in the visible spectral range and the CT bands are less intense and shifted to higher photon energy. Interestingly that at variance with most of ferrites where the Fe-O-Fe bonds form a three-dimensional network, the main motives of the crystal structure in $GdFe_3(BO_3)_4$ are spiral chains of FeO_6 octahedra running along the c axis and linked together by their edges. The antiferromagnetic ordering of the Fe subsystem occurs at about 37 K. In such a system, one might observe the d-d CT transitions for the polarization $\mathbf{E} \| c$ axis. Indeed, the absorption spectrum of $GdFe_3(BO_3)_4$ reveals an intensive band peaked near 4.8 eV observed for $\mathbf{E} \parallel c$ axis,⁶¹ which may be attributed to the e_g $-t_{2g}$ CT transition in pairs of Fe³⁺ ions running along the caxis.

The optical response of ferrites containing both octahedral FeO₆ and tetrahedral FeO₄ centers is more complicated for a comprehensive analysis. First of all, we should point to a sizable (~0.5 eV) blueshift of the onset energy for the *p*-*d* CT transitions in tetrahedral FeO₄ centers as compared to the octahedral FeO₆ centers.^{76,77} This makes the low-energy optical response in such ferrites to be similar to that of ferrites with only octahedral FeO₆ centers.

Optical spectra of LiFe_5O_8 resemble those of hematite up to a quantitative agreement. Low-energy spectral features at

2.4 and 2.56 eV coincide with those observed in the spectral dependencies of its circular dichroism¹¹ and linear Kerr effect.⁶⁴ They were assigned to the d-d transitions in the Fe³⁺ ions in the octahedral and tetrahedral positions, respectively. However, their assignment to a split dipole-forbidden $t_{1g}-t_{2g}$ CT transition seems to be more reasonable, especially because such 2.5 eV band is typical for other octa/ tetraferrites (see Figs. 7 and 8).

The optical response of calcium ferrite Ca₂Fe₂O₅ reveals a striking difference from the spectra of all other materials discussed above. The most noticeable feature is a strong suppression of the "octahedral" 3 eV band with a puzzling redshift of the respective spectral weight, which forms an extensive low-energy tail of the 3 eV band and anomalously strong midinfrared band peaked below 1 eV. Both anomalies are believed to indicate a well-developed CT instability of calcium ferrite due to self-trapping of the p-d CT excitons in octahedral Fe³⁺ centers similar to that of bismuth ferrite. The strong redshift of their spectral weight for the respective p-d CT excitons makes manifestation of tetrahedral FeO₄ centers more pronounced. The significant optical anisotropy observed in Ca₂Fe₂O₅ can be attributed to a manifestation of the interlayer octa-tetra d-d CT transitions with the $\mathbf{E} \parallel b$ -axis polarization. Indeed, calcium ferrite has a typical pseudoquadratic layered structure with alternating layers of highly symmetrical octahedral and tetrahedral Fe³⁺ centers having similar projections along the a and c axes (see Figs. 2 and 3 in Ref. 71). The peak energy of 4.1 eV for the respective band agrees with our estimates for d-d CT transitions.

In the discussion above, we have focused on the more or less intensive CT bands, leaving out manifestations of the essentially weaker crystal-field transitions, part of which is superposed on the stronger CT bands. First of all, it concerns a generic ${}^{6}A_{1g} \rightarrow {}^{4}A_{1g}$ transition which is usually superposed on the dipole-forbidden $t_{1g}(\pi)-t_{2g}$ p-d CT transition.

V. CHARGE TRANSFER INSTABILITY AND PROBABLE METAL-INSULATOR TRANSITION IN BiFeO₃

The relation between the minimal energies of p-d and d-d CT transitions is of great importance for any insulating 3d oxide. Zaanen *et al.*⁷⁸ developed a general framework for oxides, according to which there are two types of insulators: the Mott insulators with the smallest d-d CT energy and the charge transfer insulators with the smallest p-d CT energy, respectively. Accordingly, they introduce two types of metal-insulator transitions with the closing of the Mott-Hubbard d-d CT gap and of the p-d CT gap, respectively. All the iron oxides addressed in our paper may be classified as CT insulators where the CT gap is determined by the dipole-forbidden $t_{1g} \rightarrow t_{2g}$ (p-d) CT transition. This point merits to be especially emphasized in connection with the usual procedure of optical detection of the CT or insulating gap.

Actually, we should distinguish between the optical and thermal or conductivity gap. Indeed, the minimal energy cost of the optically excited p-d CT transition in insulating ferrites is 2.5–3.0 eV. The question arises: what is the minimal energy cost for the thermal excitation of such a local CT and what is the respective electronic state? The answer implies,

first of all, a knowledge of the relaxation energy to be a cumulative (of several eV) effect of *electronic* and *ionic* terms associated with the displacement of electron shells and ionic cores, respectively.38 Some hints regarding this relaxation effect can be obtained by reviewing the in-gap lowenergy optical response. The observation of midinfrared CT bands and the enhanced spectral weight in a wide range below the main CT bands, with a remarkable smearing of the fundamental edge, point to CT instabilities accompanied by the self-trapping of p-d CT excitons and the nucleation of electron-hole droplets. All these optical features are typical for ferrites. Moreover, the analysis of the spectral weight redistribution in bismuth ferrite BiFeO₃ and, in particular, in calcium ferrite Ca₂Fe₂O₅ shows that the in-gap optical features may be related to a self-trapped p-d CT exciton in octahedral FeO_6 centers that is responsible for the generic 3 eV CT band.

What is the ground state of the relaxed metastable p-d electron-hole center in ferrites? A simple overview of the Tanabe-Sugano diagram for $3d^6$ configuration of Fe²⁺ ion points to two candidate "relaxed-excited CT states" to compete for stability with the ground state,

$$[\operatorname{FeO}_6]^{9-}(t_{2g}^3e_g^2; {}^{^6}\!A_{1g}) \longrightarrow \begin{cases} [\operatorname{FeO}_6]^{9-}(t_{2g}^4e_g^2; {}^{^6}\!T_{2g}: \underline{t}_{1g}) \\ [\operatorname{FeO}_6]^{9-}(t_{2g}^6; {}^{^1}\!A_{1g}: \underline{t}_{1g}). \end{cases}$$

The high-spin $t_{2g}^4 e_g^2$; ${}^5T_{2g}$; $\underline{t}_{1g}(\pi)$ and zero-spin t_{2g}^6 ; ${}^1A_{1g}$: $\underline{t}_{1g}(\pi)$ configurations are stabilized at weak and strong crystal fields, respectively. One should note that the bare "ionic" state corresponds to a sufficiently longer equilibrium Fe-O bond length than the "covalent" CT state with an oxygen hole. This indicates a specific role played by the breathing mode in the CT exciton self-trapping effect accompanied by charge fluctuations. On the other hand, it implies that the CT exciton self-trapping can be governed by the lattice contraction/strain. The *p*-*d* CT configurations with oxygen holes are believed to form a metallic phase, thus providing a natural route to a metal-insulator transition under specific external conditions such as high pressure or high temperature.

Apart from the *p*-*d* CT states, there are other candidate states to compete for a ground state of the FeO₆ octahedra. First, there is a low-spin S=1/2 state t_{2g}^5 ; ${}^2T_{2g}$ which is stabilized by a strong crystal field, for instance, under high pressure. When pressure is applied, the ligand field strength increases as a result of the M-O distance shortening. At a critical pressure, the ligand field strength 10Dq will overcome the Hund *d*-*d* interaction and the high-spin S=5/2ground state ${}^6A_{1g}$ of Fe³⁺ ion will be replaced by the lowspin S=1/2 ground-state ${}^2T_{2g}$.

The high-spin-low-spin (HS-LS) phase transition is not necessarily accompanied by a metal-insulator transition but leads to a system with lower spin and magnetic moment due to a rearrangement of electrons in the outermost electronic orbitals. Their isostructural nature implies that no modification of crystal symmetry should be observed but rather changes associated with modifications of the internal degrees of freedom in the unit cell, as a result of the change in chemical bonding between the anions and cations induced by such radical electronic transformations.

The HS-LS phase transition accompanied by a collapse of the local magnetic moment has been observed at high pressure near 50 GPa in a number of ferrites FeBO₃,⁷⁹ BiFeO₃,¹⁸ LaFeO₃,⁸⁰ $(La, Pr)FeO_3$ ⁸¹ NdFeO₃⁸² $Fe_{2}O_{3}$,⁸³ GdFe₃(BO₃)₄,⁸⁴ and Y₃Fe₅O₁₂.⁸⁵ However, only in BiFeO₃ this transition is accompanied by a metal-insulator transition. Detailed high-pressure studies of structural, electronic, and magnetic properties of BiFeO₃ crystal have been performed in Ref. 18. The reversible metal-insulator transition was observed at room temperature at pressures higher than 55 GPa. The metal-insulator transition was assumed to be induced by the HS-LS crossover in the Fe³⁺ ion subsystem, which drives the effective correlation energy $U_{\rm eff}$ below the threshold for the transition.

It is interesting that the metal-insulator transition in BiFeO₃ can be driven by temperature. Extensive hightemperature experimental studies of multiferroic bismuth ferrite BiFeO₃ were performed in Ref. 19. The authors report a rhombohedral-orthorhombic-cubic phase-transition sequence between 820 °C and 930 °C. The transition to the cubic phase causes an abrupt collapse of the band gap toward zero and a metal-insulator transition at the orthorhombic-cubic transition around 930 °C. The metallic state was assumed to be triggered by a big lattice contraction. They find that the same cubic phase of BiFeO₃, as is measured at atmospheric pressure at 931 °C, is also reached at room temperature and at a hydrostatic pressure of about 47 GPa. The true nature of the metal-insulator transition in BiFeO₃ remains unknown; however, the p-d CT scenario seems to be one of the most probable, taking into account the particularly strong electronlattice polarization effects in this ferroelectric compound. On the other hand, the low-spin $\text{Fe}^{3+}(t_{2g}^5: {}^2T_{2g})$ state appears to be unstable with regard to a disproportionation reaction

$$\begin{split} [\text{FeO}_6]^{9-}(t_{2g}^5; {}^2T_{2g}) + [\text{FeO}_6]^{9-}(t_{2g}^5; {}^2T_{2g}) \\ \rightarrow [\text{FeO}_6]^{8-}(t_{2g}^3 e_g^1; {}^5E_g) + [\text{FeO}_6]^{10-}(t_{2g}^4 e_g^2; {}^2T_{2g}) \end{split}$$

that points to another route to form a metalliclike system of hole and electron centers.

The CT instability revealed by optical measurements can be closely related to a multiferroicity due to a strong electric polarizability of the self-trapped CT excitons and EH droplets and their magnetic-order-dependent volume fraction.

VI. CONCLUSIONS

We have reported the results of a comprehensive theoretical and experimental study of different CT transitions in the multiferroic BiFeO₃ and related complex iron oxides. The optical response was studied in a wide spectral range from 0.6 up to 5.8 eV by means of spectroscopic ellipsometry. The iron oxides investigated have different crystal symmetry with more or less distorted FeO₆ octahedral and FeO₄ tetrahedral centers. One of the two groups of materials includes BiFeO₃, ErFeO₃, Y_{.95}Bi_{.05}FeO₃, α -Fe₂O₃, Fe_{2-x}Ga_xO₃, and Fe₃BO₆ in which iron Fe³⁺ ions occupy only octahedral centrosymmetric or noncentrosymmetric positions with different magnitude of distortions from 1–20 %. The second group includes $LiFe_5O_8$, $BaFe_{12}O_{19}$, $Sm_3Fe_5O_{12}$, and $Ca_2Fe_2O_5$ in which Fe^{3+} ions occupy both octahedral and tetrahedral positions with a rising tetra/orthoratio. All compounds studied possess high Néel or Curie temperatures. We show that in spectral range up to ~3.7 eV, the optical response is dominated by the *p*-*d* CT transitions, while at E>3.7 eV both *p*-*d* and *d*-*d* CT transitions are revealed.

At variance with several previous investigations, we present a unified assignment of different dipole-allowed and dipole-forbidden CT transitions. All the ferrites investigated are qualified to be CT insulators with the band gap determined by a dipole-forbidden p-d CT transition $t_{1g} \rightarrow t_{2g}$ near 2.5 eV, the spectral weight of which is strongly enhanced for the ferrites BiFeO₃ and α -Fe₂O₃ with noncentrosymmetric distortion of FeO₆ octahedra. In other words, the weak 2.5 eV band superimposed on the tail of the strong 3.0 eV band has a "nondefect" intrinsic nature and, along with the latter, can be addressed to be a visiting card of the octahedral FeO₆ centers. It means we should revisit our view on the band-gap structure in all the ferrites.

Intense bands near 3.0 and 4 eV are assigned to dipoleallowed $t_{2u}(\pi) \rightarrow t_{2g}$ and $t_{1u}(\pi) \rightarrow t_{2g}$ (*p-d*) CT transitions in octahedral FeO₆ centers, respectively. The assignment of the low-energy two-center $e_g \rightarrow t_{2g} {}^{6}A_{1g} {}^{6}A_{1g} \rightarrow {}^{5}E_g {}^{5}T_{2g}$ (*d-d*) CT transition to an unconventional Jahn-Teller-like final state, with an orbital degeneracy on both sites, is particularly manifested in the orthoferrite ErFeO₃ as an intense broad band peaked near 4.5 eV with a narrow low-energy satellite peaked at 3.9 eV. A noticeable enhancement of the optical response in BiFeO₃ at ~4 eV as compared to other related iron oxides is attributed to the CT transitions within the Bi-O bonds.

We report an observation of the enhanced structureless spectral weight in a wide range below the main CT bands in BiFeO₃ and calcium ferrite Ca₂Fe₂O₅, with a remarkable smearing of the fundamental edge. Moreover, calcium ferrite Ca₂Fe₂O₅ reveals an unexpected midinfrared CT band. All these anomalies are assigned to CT instabilities accompanied by the self-trapping of p-d CT excitons and nucleation of the electron-hole droplets. The optical detection of CT instability agrees with the observation of a metal-insulator transition in bismuth ferrite. We suppose the CT instability be closely related to multiferroicity due to a strong electric polarizability of the self-trapped CT excitons and EH droplets and their magnetic-order-dependent volume fraction. We believe that the results of our paper give deeper insight into the electronic structure of the iron oxide multiferroics and related ferrite compounds and provide a solid basis for the further experimental and theoretical work on the topic.

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