

# Chemical evolution of secondary organic aerosol from OH-initiated heterogeneous oxidation

I. J. George<sup>1,\*</sup> and J. P. D. Abbatt<sup>1</sup>

<sup>1</sup>80 St. George Street, Department of Chemistry, University of Toronto, Toronto, Ontario M5S 3H6, Canada

\* now at: School of Chemistry, University of Leeds, Leeds, LS2 9JT, UK

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**Abstract.** The heterogeneous oxidation of laboratory Secondary Organic Aerosol (SOA) particles by OH radicals was investigated. SOA particles, produced by reaction of  $\alpha$ -pinene and  $O_3$ , were exposed to OH radicals in a flow tube, and particle chemical composition, size, and hygroscopicity were measured to assess modifications due to oxidative aging. Aerosol Mass Spectrometer (AMS) mass spectra indicated that the degree of oxidation of 200 nm diameter SOA particles was significantly enhanced due to OH-initiated oxidation, as evidenced by the increase in the fraction of  $m/z$  44 fragment of total organic mass concentration (F44). F44 values of the SOA particles, initially in the range  $F44=0.04$ – $0.07$ , increased by up to  $\Delta F44\sim 0.01$  under equivalent atmospheric aging timescales of 2 weeks, assuming a 24-h average OH concentration of  $10^6\text{ cm}^{-3}$ . Particle O/C ratios calculated from F44 values, initially in the range  $O/C=0.25$ – $0.35$ , rose by a maximum of  $\Delta O/C\sim 0.04$  units for 2 weeks of aging. Particle densities also increased with heterogeneous oxidation, consistent with the observed increase in the degree of oxidation. Minor reductions in particle size, with volume losses of up to 10%, were observed due to volatilization of oxidation products. The SOA particles activated more readily to form cloud droplets with an increase in the  $\kappa$  hygroscopicity parameter of up to a factor of two for the equivalent of 2 weeks of OH atmospheric exposure. These results indicate that OH heterogeneous oxidation of typical SOA needs to be considered as an atmospheric organic aerosol aging mechanism, most likely of higher relative importance away from VOC source regions, where other aging mechanisms are less dominant.

## 1 Introduction

Atmospheric aerosol particles play an important role in climate, visibility, atmospheric chemistry and human health. Condensed-phase organic matter represents a significant mass fraction (20–90%) of submicron atmospheric aerosol (Kanakidou et al., 2005). Primary organic aerosol (POA) particles are directly emitted into the atmosphere through combustion, biomass burning and vegetation. Secondary organic aerosol (SOA) mass is produced by the gas-phase oxidation of biogenic and anthropogenic volatile organic compounds (VOCs) to form more oxygenated, lower volatility species that readily partition into the particle phase. The impact of atmospheric organic aerosols on climate and other environmental processes is ultimately contingent upon their physico-chemical properties, such as chemical composition, size, density and hygroscopicity. Given the high degree of chemical complexity of organic matter in atmospheric aerosols, there has been a large effort over the last decade to characterize the chemical nature and particle properties of atmospheric aerosols (Goldstein and Galbally, 2007; Robinson et al., 2007; Heald et al., 2008; Jimenez et al., 2009) and their direct and indirect effects on climate (Kanakidou et al., 2005).

The chemical and physical properties of organic aerosols (OA) can be modified in the atmosphere by several processes, collectively referred to as chemical aging, which often result in the conversion of the chemical nature of particulate organic matter from hydrophobic to hydrophilic. Atmospheric chemical aging of OA includes coagulation with aged particles, condensation of soluble inorganic species and oxidized organic gases to form SOA, condensed-phase reactions within the particle leading to oligomer formation, cloud processing and multi-phase reactions, and heterogeneous oxidation of OA by gas-phase oxidants (e.g. OH,  $O_3$ ,  $NO_3$ , Cl). Heterogeneous oxidation has received attention



Correspondence to: I. J. George  
([igeorge@leeds.ac.uk](mailto:igeorge@leeds.ac.uk))

recently because it is poorly understood (Rudich et al., 2007). Laboratory investigations of atmospheric OA oxidation processes have mainly involved the heterogeneous oxidation of single-component hydrophobic particles as models for POA, e.g. the reaction of O<sub>3</sub> with oleic acid particles (Zahardis and Petrucci, 2007). A number of recent studies have investigated the heterogeneous oxidation of laboratory particles and films containing saturated organic matter by atmospheric gas-phase radicals, such as OH, NO<sub>3</sub> and Cl (Bertram et al., 2001; Moise and Rudich, 2001; Eliason et al., 2004; Molina et al., 2004; Knopf et al., 2006; Lambe et al., 2007; George et al., 2007; Hearn et al., 2007; McNeill et al., 2008; Vlasenko et al., 2008; Gross and Bertram, 2009; Gross et al., 2009; Renbaum and Smith, 2009; Smith et al., 2009). These studies have shown that the heterogeneous kinetics for the uptake of OH onto model POA are rapid and efficient. Furthermore, chemical aging by OH radicals leads to more oxygenated condensed-phase organic species and volatilization of gas-phase products with an observed reduction in particle size to variable degrees depending on composition of the organic matter and its phase. Chemical aging has recently been shown to enhance hygroscopicity of OA, e.g. increasing the cloud condensation nucleus (CCN) activity of model POA particles that are initially CCN inactive for particle sizes <200 nm at supersaturations <1% (Broekhuizen et al., 2004; Petters et al., 2006; Shilling et al., 2007; George et al., 2009). We do note though that Petters et al. (2006) have concluded that such mechanisms of increasing aerosol hygroscopicity are likely to be less important than other aging processes, such as condensation of soluble inorganics.

In their analysis of measurements of atmospheric aerosol composition with the Aerosol Mass Spectrometer (AMS) from 37 field studies, Zhang et al. (2007) concluded that oxygenated organic aerosol (OOA) comprises a significant and often dominant fraction of the non-refractory organic aerosol mass in urban and remote regions. The hydrocarbon-like organic aerosol (HOA) fraction that is thought to be closely related to POA was a less important fraction in OA than OOA, especially away from urban regions. Several field studies have measured a concomitant increase in organic mass and degree of oxidation of OA with photochemical age (de Gouw et al., 2005; McFiggans et al., 2005; Takegawa et al., 2006; Kleinman et al., 2007; Weber et al., 2007), thus suggesting a possible link between the OOA fraction in atmospheric aerosols with SOA formation (Zhang et al., 2005a). Given that almost all laboratory studies on the heterogeneous oxidation of OA used model POA until very recently (George et al., 2008; Jimenez et al., 2009), the relative importance of heterogeneous oxidation as a chemical aging process for more oxygenated OA, such as SOA, under atmospherically relevant timescales remains unclear. Although chamber SOA aging studies show enhancement in oxidation of SOA particles with time (Baltensperger et al., 2005; Alfarra et al., 2006; Sage et al., 2008) particle aging from the gas-phase oxidation reactions and heterogeneous particle oxidation re-

actions cannot be easily decoupled in these chamber experiments. There is a growing consensus that SOA formation dominates over the aging process leading to an enhanced oxidation state of OA over timescales of several hours given that heterogeneous oxidation is too slow to impact aerosol chemistry on these timescales (Jimenez et al., 2009). Yet, the lifetime of atmospheric aerosols is much longer, typically over a timescale of several days, during which time further aging from heterogeneous oxidation could occur.

The major objective in this study was to assess the importance of heterogeneous oxidation as an aging mechanism for atmospheric aerosols containing oxygenated organic matter. To that end, we investigated the heterogeneous reaction of laboratory SOA used as a model for oxygenated ambient organic aerosols with OH radicals in a reactor flow tube setup. Laboratory SOA was produced from ozonolysis of  $\alpha$ -pinene with continuously flowing precursor gases added at relatively high concentrations to produce the high aerosol concentrations required for this work. Even SOA particles produced under controlled laboratory conditions are composed of a highly complex chemical mixture of organic species, making the characterization of molecular level chemical modifications due to aging a non-trivial task. Thus, we focus here on characterizing the modifications of bulk particle chemistry due to oxidative aging using several online particle analysis techniques, including an Aerodyne AMS to measure the overall degree of oxidation and a Thermal Gradient Diffusion Chamber (TGDC) to measure CCN activity. Particle size change measurements monitored by the tandem differential mobility analyzer (TDMA) technique were essential to gauge whether the OH-initiated oxidation pathway leading to fragmentation and potential volatilization of reaction products was an important mechanism. Recent work by Kroll et al. (2009) has suggested the fragmentation mechanism becomes the dominant oxidation mechanism for aerosols containing highly oxygenated organics produced from OH oxidation of model POA. The other reaction pathways lead to the addition of oxygenated functional groups to the organic compounds in the particle phase, thereby increasing the aerosol mass and degree of oxidation of the particle-phase organic material (Molina et al., 2004). We note that although similar in nature to SOA oxidation experiments briefly presented in Jimenez et al. (2009), our study examines the full effects of oxidative processing on the particle degree of oxidation, density, size, and hygroscopicity.

## 2 Experimental

### 2.1 Aerosol generation

Secondary organic aerosol particles were produced by the dark reaction of  $\alpha$ -pinene (AP) with O<sub>3</sub> in a continuous flow reactor. The O<sub>3</sub> and AP flows were mixed in approximately equal flow rates with a combined flow of 0.3 lpm in a 3 L

**Table 1.** SOA precursor mixing ratios and particle properties. F44 values are percentages of the fragment  $m/z$  44 of total organic mass concentrations in the AMS mass spectrum. Values in brackets are one standard deviation of mean values. O/C ratios were calculated from F44 values (Aiken et al., 2008).

| SOA type | [O <sub>3</sub> ] ppm | [AP] ppm    | N $\times 10^3 \text{ cm}^{-3}$ | Org Mass $\mu\text{g m}^{-3}$ | $\rho$ $\text{g cm}^{-3}$ | F44 (%)   | O/C         |
|----------|-----------------------|-------------|---------------------------------|-------------------------------|---------------------------|-----------|-------------|
| 1        | 75 (9)                | 6.8 (0.7)   | 24 (3)                          | 43 (4)                        | 1.23 (0.01)               | 6.3 (0.3) | 0.32 (0.02) |
| 2        | 10 (1)                | 5.3 (0.2)   | 24 (3)                          | 37 (3)                        | 1.246 (0.002)             | 4.6 (0.5) | 0.26 (0.04) |
| 3        | 11 (1)                | 0.43 (0.04) | 2.9 (0.4)                       | 1.9 (0.3)                     | 1.26 (0.01)               | 6.9 (0.2) | 0.34 (0.01) |

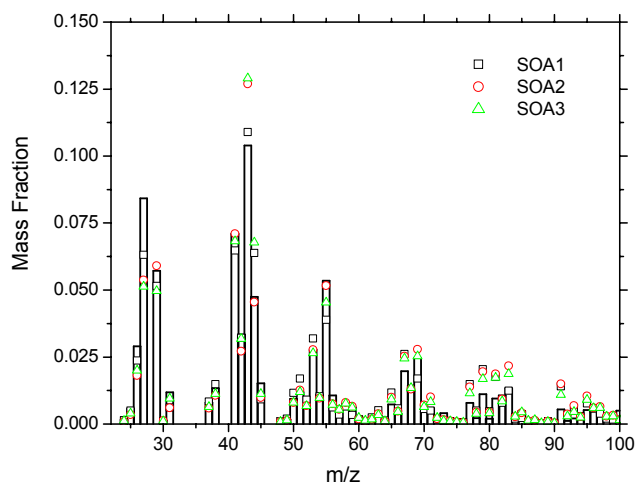
glass round bottom flask under dark conditions. The SOA precursor gases were added in a continuous flow under dry conditions at room temperature. The gas flow of AP vapor was produced by passing 5 to 30 sccm of N<sub>2</sub> (BOC, 99.998%) through a fritted glass bubbler containing  $\alpha$ -pinene liquid (Fluka, 99.0%) held at temperatures from 263 K to 273 K then further diluted with a N<sub>2</sub> flow. AP mixing ratios ranged from 0.4 to 7 ppm after dilution assuming the AP flow through the bubbler was saturated. Ozone was generated by passing a mixture of N<sub>2</sub> and O<sub>2</sub> (BOC, 99.6%) over a 22.9-cm long mercury penray lamp (UVP). O<sub>3</sub> concentrations were measured prior to mixing with the AP flow in a 14-cm long UV absorption cell at a wavelength of  $\lambda=254$  nm, where O<sub>3</sub> mixing ratios ranged between 9 to 83 ppm. To study whether SOA precursor concentrations affect SOA composition and OH oxidation, AP and O<sub>3</sub> were varied to either relatively high or low concentrations, i.e. the extreme values of the achievable experimental range of precursor concentrations in this work. SOA particles were produced under three sets of conditions by varying the SOA precursor gas mixing ratios: a) SOA1 particles produced under high AP (6.8 ppm), high O<sub>3</sub> (75 ppm), b) SOA2 particles produced under high AP (5.3 ppm), low O<sub>3</sub> (10 ppm), c) SOA3 particles produced under low AP (0.43 ppm), low O<sub>3</sub> (11 ppm). Table 1 summarizes the precursor mixing ratios and particle properties for 200 nm diameter SOA particles for all three SOA types. Before introduction into the reactor flow tube setup, the SOA flow from the SOA generation flask was passed through an O<sub>3</sub> removal denuder (Carus, Carulite 200) and two activated carbon denuders (Sigma-Aldrich, 4–14 mesh) to remove unreacted precursor gases and volatile products.

## 2.2 SOA Oxidation Experiment

Monodisperse 200 nm SOA particles were exposed to OH radicals in the absence of NO<sub>x</sub> in a reactor flow tube setup, which has been described in greater detail previously (George et al., 2007) and will be described here briefly. The polydisperse SOA flow (0.3 lpm) was passed through an aerosol neutralizer (TSI 3077), then particles were size selected with a Differential Mobility Analyzer (TSI 3081) at a mobility diameter of 200 nm. Monodisperse SOA par-

ticles were entrained in the combined humidified/O<sub>3</sub> flows (when O<sub>3</sub> was present) in a balance of N<sub>2</sub> and O<sub>2</sub> gases and passed into a Pyrex mixing volume (0.8 L) with a residence time of approximately 80 to 110 s, then into the reactor flow tube where particles were exposed to OH radicals. The flows containing water vapour and ozone were added as precursor gases for photochemical OH production as described below. Experiments were conducted under atmospheric pressure (1 atm), room temperature (24 °C) and in the relative humidity range of 19 to 50%.

OH radicals ( $10^9$  to  $10^{10} \text{ cm}^{-3}$ ) were produced in the reactor flow tube by passing O<sub>3</sub> over a 22.9 cm long, O<sub>3</sub>-free Hg Pen-ray lamp (UVP) in the presence of water vapor. The reactor flow tube Hg lamp housing was pre-treated to filter out O<sub>3</sub>-forming 185 nm light. Particle oxidation experiments were performed at room temperature. O<sub>3</sub> was produced as an OH precursor for the particle oxidation experiment by passing a dry flow of mixed O<sub>2</sub> and N<sub>2</sub> through an O<sub>3</sub> generator (Jelight model 1000). An O<sub>2</sub> flow (0.12 lpm) was also added to this O<sub>3</sub> flow for most experiments to maintain the experimental O<sub>2</sub> mixing ratios ( $\sim 2 \times 10^5$  ppml) to near atmospheric levels. No clear distinction in the data due to variable O<sub>2</sub> mixing ratios was observed. O<sub>3</sub> mixing ratios were 0.11–54 ppm after dilution with other flows. The relative humidity in the reactor flow tube ranged from 19 to 50%, which was measured by a hygrometer (VWR,  $\pm 1\%$ ). In a separate set of experiments, the steady-state OH radical concentrations were measured by reaction with SO<sub>2</sub> (Matheson, 99.98%). The SO<sub>2</sub> loss from its reaction with OH was monitored with a chemical ionization mass spectrometer in negative-ion mode using SF<sub>6</sub><sup>-</sup> as the reagent ion. We used a photochemical model to calculate steady-state OH concentrations based on experimental O<sub>3</sub> concentrations and RH values (George et al., 2007). We report an uncertainty in the OH concentrations of 50% based on accuracy of the photochemical model to predict measured OH concentrations under a range of conditions and the variation in OH measurements with time.

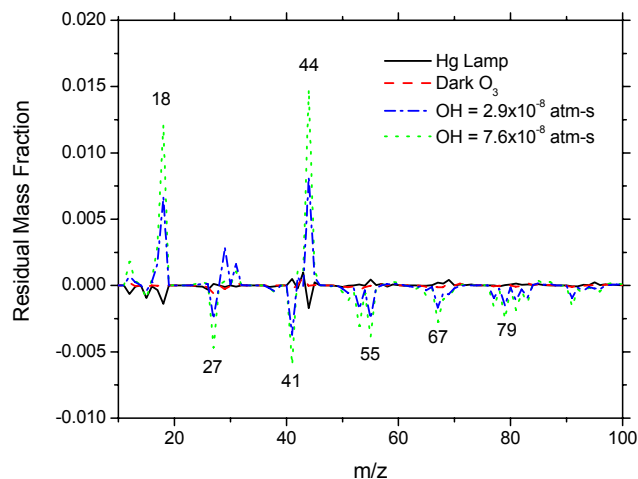


**Fig. 1.** Mass spectra of SOA1, SOA2, SOA3 in symbols and chamber SOA in bars (Bahreini et al., 2005) Mass spectra are normalized to unity.

### 2.3 Particle characterization

The evolution in monodisperse SOA particle composition and properties from OH-initiated oxidation was measured using a suite of particle analysis techniques, which sampled the aerosol flow after particles passed through the reactor flow tube and a second O<sub>3</sub> denuder. Size distributions of monodisperse SOA were measured with a Scanning Mobility Particle Sizer (SMPS, TSI 3080) with a condensation particle counter (CPC, TSI Model 3010). The size-resolved chemical composition of monodisperse SOA was measured using a time-of-flight aerosol mass spectrometer (c-ToF-AMS; Aerodyne Research, Inc.) (Jayne et al., 2000; Drewnick et al., 2005). The AMS measurements alternated between mass spectrum (MS) and particle time-of-flight (PTOF) modes, where the latter sampling mode gave aerosol composition as a function of particle vacuum aerodynamic diameters. ToF-AMS data were analyzed using the ToF-AMS Analysis Toolkit v.1.43 for use in Igor Pro software (Wavemetrics, Inc.). Filter measurements were taken in order to adjust the air contribution to the mass spectrum, particularly the gas phase CO<sub>2</sub> contribution to  $m/z$  44. AMS and SMPS measurements were taken concurrently with AMS averaging and SMPS scanning time of 3 min per sample. AMS organic mass spectra for the three types of SOA particles (i.e. SOA1, SOA2, SOA3) shown in Fig. 1 were similar ( $R^2 > 0.9$ , for comparison of one spectrum to another), despite the large differences in precursor concentrations (Table 1) and were generally consistent with the  $\alpha$ -pinene + O<sub>3</sub> chamber SOA mass spectrum from Bahreini et al. (2005) ( $R^2 > 0.9$ ).

Positive Matrix Factorization (PMF), a factor analysis technique (Paatero and Tapper, 1994; Paatero, 1997; Lanz et al., 2007), was applied to deconvolve AMS organic mass spectra of the SOA particles to further illustrate the changes



**Fig. 2.** Difference organic mass spectra of SOA1 for control conditions Hg Lamp and Dark O<sub>3</sub> subtracted from Dark mass spectra. Mass spectra for SOA exposed to OH were subtracted from Hg Lamp mass spectra. OH exposures are indicated. SOA1 is shown only as an example; similar behavior was observed for SOA2 and SOA3.

in chemical composition of the SOA particles resulting from OH oxidation. In PMF analysis, the SOA spectra were deconvolved into organic components with only positive contributions to the dataset by solving the following equation:

$$\mathbf{X} = \mathbf{GF} + \mathbf{E} \quad (1)$$

In this case, the input data matrix  $\mathbf{X}$  has rows of measured average ToF-AMS mass spectra and columns of the time series for each  $m/z$  sample. Matrix  $\mathbf{G}$  contains columns of the time series mass contribution (in  $\mu\text{g m}^{-3}$ ) of the deconvolved factor and the rows of matrix  $\mathbf{F}$  are the factor profiles. The residuals that were not fit by the PMF analysis are represented as matrix  $\mathbf{E}$ . The PMF model solves Eq. (1) for matrices  $\mathbf{G}$  and  $\mathbf{F}$  to minimize the residuals using a weighted least-squares algorithm based on the input uncertainty in the data set. We utilized the CU AMS PMF Tool developed by Ulbrich et al. (2009) used in the Igor Pro software to perform the PMF analysis of the AMS data (272 data points) in robust mode, which included organic mass spectra of all organic mass contributions with  $m/z$  values  $\leq 200$  (170  $m/z$ 's).

For the PMF analysis, we used oxidation data for SOA1 with 2 factors (A1–A2) giving the most reasonable solution. Further information on the PMF analysis including how the number of factors was determined is provided in the Supplementary Material. Because the chemical composition of the SOA particle types varied slightly, a PMF analysis including all three SOA types (i.e. SOA1, SOA2 and SOA3) together required three factors to fully account for initial composition, which complicated interpretation of the results and did not provide more information than the PMF analysis of SOA1 only. Therefore, we focused on the PMF analysis of SOA1 for simplification, but we note that the PMF factors

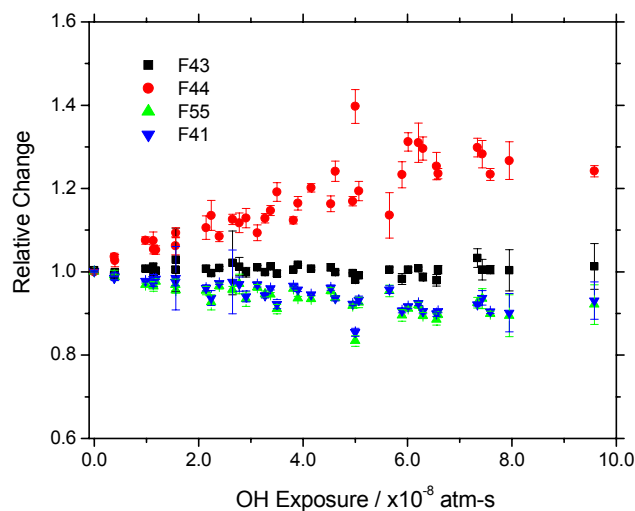
from analysis of the combined SOA types followed similar trends with oxidation as the 2-factor solution for the SOA1 dataset (i.e. the more oxygenated factors increased by similar percentage with OH exposure relative to  $I_0$  values).

The CCN activity of the SOA particles (SOA1 and SOA2) was measured with a continuous flow thermal gradient diffusion chamber (TGDC), which has been described in detail elsewhere (Kumar et al., 2003). For the CCN experiments, we note that, unlike the previous experiments, polydisperse SOA particles from the SOA generation flask were introduced into the particle oxidation flow tube setup. Particles were then size-selected with a DMA after passing through the oxidation setup. The flow was diluted with a  $N_2$  flow by factor of two, and the number concentrations of monodisperse aerosols, ranging from 0 to  $\sim 10^4 \text{ cm}^{-3}$ , were measured with a CPC. Concurrently, the aerosol flow was sampled with the TGDC exposing particles to supersaturated conditions with respect to water that were controlled by the TGDC wetted plate temperatures. The number concentrations of activated droplets ( $D > 0.5 \mu\text{m}$ ) were measured with an aerodynamic particle sizer (TSI 3320). TGDC saturations were calibrated using ammonium sulfate particles produced by an atomizer (TSI 3076). Experimental activation diameters ( $D_{\text{act}}$ ), defined as the inflection point on the sigmoidal fit of a plot of CCN/CN versus particle dry diameter, were translated to effective supersaturation  $S_{\text{eff}}$  values using a hygroscopicity parameter value of  $\kappa = 0.61$  (Petters and Kreidenweis, 2007; George et al., 2009).

### 3 Results and discussion

#### 3.1 Particle oxidation

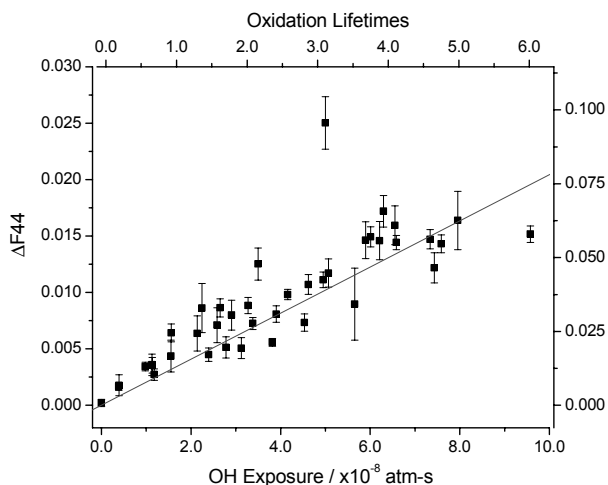
The AMS mass spectra of 200 nm SOA particles exposed to OH radicals in the reactor flow tube were compared to control conditions to gauge the effect of OH oxidation on the particle composition, in particular on the overall degree of oxidation. The modification of the organic mass spectra of SOA1 particles due to varying flow tube conditions is displayed in the difference spectra in Fig. 2. The uncertainty in the measurements was determined under control conditions, i.e. reactor flow tube conditions in the absence of OH radicals, including the following conditions: (a) dark conditions with  $O_3$  absent (“Dark”), (b) the Hg lamp in the reactor flow tube turned on with  $O_3$  absent (“Hg Lamp”), and (c)  $O_3$  present with Hg lamp off (“Dark  $O_3$ ”). The SOA organic mass spectra in Fig. 2 taken under two OH exposures were clearly altered compared to the Hg Lamp and Dark  $O_3$  control conditions. OH exposure led to an increase in  $m/z$  18 ( $H_2O^+$ ) and 44 ( $CO_2^+$ ) mass fractions, which are typically associated with carboxylic acids, and a reduction in most other major peaks, such as masses that are typically associated with hydrocarbons (e.g.  $m/z$  41, 55), indicating that SOA became more oxygenated with increasing OH exposure.



**Fig. 3.** Relative changes in F41, F43, F44 and F55 with OH exposure for all SOA types, i.e. SOA1, SOA2 and SOA3 data are all plotted together. Error bars represent one standard deviation of average values.

Mass fragments  $m/z$  44 and 57 ( $C_4H_9^+$ ) have been used as mass tracers for OOA and HOA fractions, respectively in AMS mass spectra of ambient OA (Zhang et al., 2005b). The mass fractions  $m/z$  44 and 55 of total organic, now referred to as F44 and F55, respectively, are used here to observe changes in the degree of oxidation of SOA particles with heterogeneous oxidation. We used  $m/z$  55 in place of  $m/z$  57 because  $m/z$  57 is a minor peak in the SOA spectra and  $m/z$  55 can also be an important mass fragment in HOA. For the following analysis, SOA mass spectra for particles exposed to OH radicals (I) were compared to average Hg Lamp mass spectra ( $I_0$ ) taken before and after the oxidized SOA mass spectra. Relative changes ( $I/I_0$ ) in F44 and F55 mass fractions of SOA particles were monitored under a range of OH exposures in Fig. 3. Trends for all SOA types (SOA1, SOA2 and SOA3) were consistent and so were not distinguished in the plot.

Figure 3 shows a clear trend of increasing F44 and decreasing F55 with OH exposure up to  $8 \times 10^{-8} \text{ atm-s}$ . In contrast, the mass fraction of  $m/z$  43 that typically contains both hydrocarbon and oxygenated organic fragments ( $C_3H_7^+$ ,  $C_2H_3O^+$ ) showed little change with oxidation. High resolution ToF-AMS measurements of chamber  $\alpha$ -pinene SOA particles revealed that  $m/z$  55 also represents mass fragments from oxygenated and hydrocarbon components ( $C_3H_3O^+$ ,  $C_4H_7^+$ ) (Shilling et al., 2009). Nevertheless, we found that the mass fraction for  $m/z$  55 followed a similar trend with OH exposure as mass  $m/z$  41 that is expected to be mostly made up of a hydrocarbon fragment ( $C_3H_5^+$ ) (Shilling et al., 2009). Taking the trend for all SOA types, the F44 and F55 fractions were altered by +21% and -7% from initial values, respectively, for an equivalent atmospheric exposure of

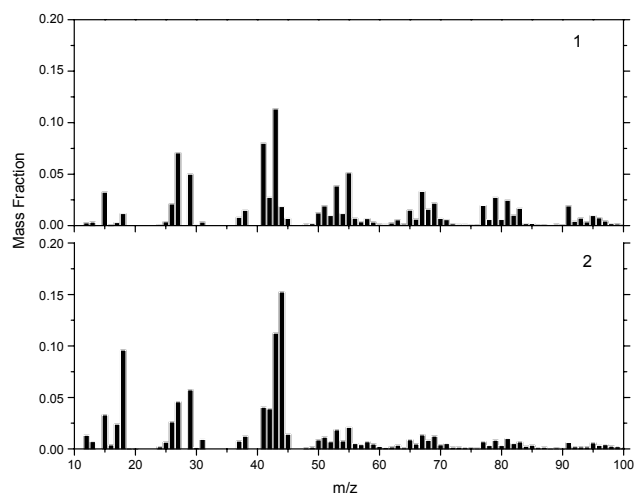


**Fig. 4.** Change in F44 and the O/C ratio as a function of OH exposure (bottom x-axis) and oxidation lifetime (top x-axis) for all SOA types (SOA1, SOA2, SOA3). O/C ratios were calculated from (Aiken et al., 2008). Error bars represent one standard deviation of  $\Delta F44$  values. Line represents error-weighted linear fit to the data forced through origin ( $f(x)=(2.04(\pm 0.03)\times 10^5)x$ ;  $R^2=0.87$ ).

2 weeks for a 24-h average OH concentration of  $10^6 \text{ cm}^{-3}$  corresponding to an experimental OH exposure of approximately  $5 \times 10^{-8} \text{ atm-s}$ .

These results are comparable to our recent work on OH-initiated heterogeneous oxidation of ambient aerosol (George et al., 2008), where we observed an average relative increase of +23% in F44 values over a similar range of OH exposures for ambient aerosol with initial F44 fractions between  $F44=0.06\text{--}0.13$ . In contrast, we measured more dramatic changes of approximately a factor of 3 increase in F44 for the oxidation of less oxygenated model primary organic aerosol (POA) particles with an initial F44 fraction of  $F44=0.011$  (George et al., 2007). Therefore, a comparison of this work with our previous OH oxidation studies for model POA and ambient aerosols indicate that heterogeneous oxidation leads to consistent enhancement in F44 mass fraction of  $\Delta F44=0.01\text{--}0.02$  on an absolute scale for 2 weeks of aging representing a reasonable upper limit of aging time in the atmosphere. Yet, aging may bring about a greater relative enhancement in particle oxidation state for POA compared to oxygenated organic aerosols because of the lower initial oxidation state of POA particles.

We applied the observed correlation between O/C ratios and F44 from AMS measurements of ambient and laboratory OA in recent work by Aiken et al. (2008) to calculate absolute changes in O/C ratios with OH oxidation directly from our observed F44 measurements as shown in Fig. 4. Because we do not have direct measurements of elemental composition of the SOA particles in this work, we make the assumption that the relationship between O/C ratios to F44 from Aiken et al. (2008) holds true for the laboratory SOA



**Fig. 5.** PMF profiles for A1 and A2 PMF factors deconvolved from SOA1 oxidation data.

in this work as it does for chamber and ambient SOA in that study. Average values for initial O/C ratio values for three types of SOA are stated in Table 1. It was found that the O/C ratios were calculated to be initially in the range of  $O/C=0.25\text{--}0.35$ , then rose to a maximum of  $O/C=0.4\text{--}0.45$  with OH oxidation. Our work suggests that the maximum absolute change in the O/C ratio expected from OH oxidation would be between approximately  $\Delta O/C=0.04\text{--}0.08$  units for two weeks of equivalent atmospheric OH exposure time. The lower limit is from this work with SOA particles, and the upper value is from our BES particle oxidation (George et al., 2007). Kroll et al. (2009) measured the evolution of the O/C ratios of squalane particles with OH oxidation with a high resolution ToF-AMS. Squalane particles became as oxidized as the initial SOA1 particle composition in this work after approximately 12 “oxidation lifetimes” (i.e. the total OH reactive collisions with a particle normalized to total number of organic molecule in the particle). Further, we found that the O/C ratio increased by  $\Delta O/C\sim 0.04$  in three subsequent oxidation lifetimes that correspond to approximately 2 weeks of aging in this work. Thus, our work is consistent with the observed changes in O/C ratios for OH oxidation of squalane particles (Kroll et al., 2009).

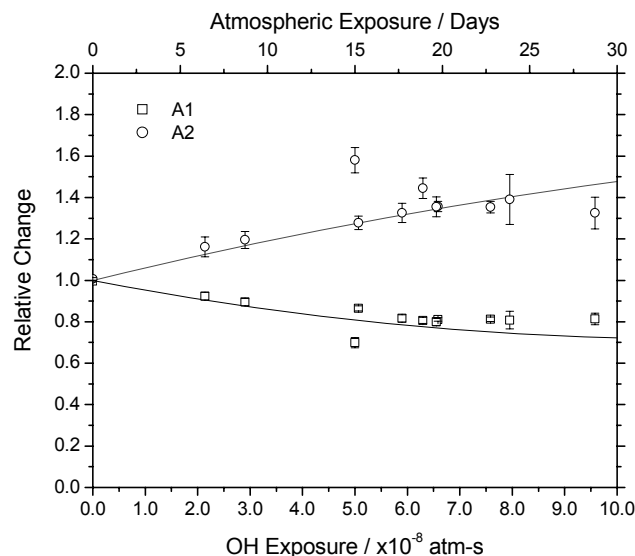
The deconvolved PMF factors from oxidized SOA mass spectra in this work were compared with organic aerosol source factors deconvolved from ambient AMS measurements (i.e. OOA- and HOA-like factors) as a means for evaluating the atmospheric relevance of the observed changes in degree of oxidation of the OA particles. The two PMF factor profiles (A1 and A2) deconvolved from the SOA1 data are shown in Fig. 5. The PMF factors as well as mass spectra for initial and oxidized SOA1 were compared to several HOA-like and OOA-like PMF profiles (Lanz et al., 2007; Ulbrich et al., 2009; Slowik et al., 2009) with  $R^2$  values sum-

**Table 2.**  $R^2$  values of PMF factors A1 and A2 in this work compared to laboratory chamber SOA (Bahreini et al., 2005) and PMF factors from ambient data for the following studies: Zurich (Lanz et al., 2007), Egbert (Slowik et al., 2009), Pittsburgh (Ulbrich et al., 2009). Oxidized SOA1 mass spectrum was taken under an OH exposure of  $7.6 \times 10^{-8}$  atm-s.

|                 | Initial SOA1 | Oxidized SOA1 | A1   | A2   |
|-----------------|--------------|---------------|------|------|
| Chamber SOA     | 0.95         | 0.92          | 0.87 | 0.65 |
| Zurich OOA1     | 0.53         | 0.62          | 0.11 | 0.86 |
| Egbert OOA1     | 0.46         | 0.55          | 0.14 | 0.82 |
| Pittsburgh OOA1 | 0.71         | 0.78          | 0.36 | 0.91 |
| Zurich OOA2     | 0.69         | 0.65          | 0.76 | 0.36 |
| Egbert OOA2     | 0.86         | 0.92          | 0.55 | 0.93 |
| Pittsburgh OOA2 | 0.62         | 0.68          | 0.38 | 0.71 |
| Zurich HOA      | 0.33         | 0.32          | 0.38 | 0.16 |
| Egbert HOA      | 0.75         | 0.68          | 0.94 | 0.33 |
| Pittsburgh HOA  | 0.69         | 0.65          | 0.75 | 0.39 |

marized in Table 2. Ambient OOA1 is generally more oxygenated than OOA2, and represents low-volatility aged regional OA, whereas OOA2 is more volatile and likely more recently formed. The A1 factor ( $F_{44} \sim 0.02$ ) correlated with some of the HOA factors and chamber SOA (Bahreini et al., 2005), suggesting that it may be representative of the less oxygenated component of SOA that reacts away with OH exposure. OOA1 factors correlated well with the A2 factor ( $F_{44} \sim 0.15$ ). Note that this comparison analysis does not imply that the SOA PMF factors in this work represent organic mass of similar chemical composition to ambient PMF factors.

The relative changes in the mass fractions of the PMF A1 and A2 factors of the summed PMF factor organic mass concentrations (i.e. these organic mass concentrations were correlated with measured values with slope=1.002 and  $R^2=0.9999$ ) due to OH exposure are shown in Fig. 6. The HOA-like A1 PMF factor, representing  $66(\pm 2)\%$  of SOA1 organic mass before oxidation, decreased significantly with OH exposure similar to the observed reduction in  $F_{55}$  ( $R^2 > 0.9$ ). The OOA1-like factor A2 correlated with increases in  $F_{44}$  ( $R^2 > 0.74$ ) with OH exposure. In this respect, our results are similar to those presented recently by Jimenez et al. (2009), where oxidized mass spectra increasingly resembled low volatility OOA factors. Also, this validates that the specific  $m/z$  masses 44 and 55 are responding to changes in the overall mass spectrum, and  $F_{44}$  and  $F_{55}$  are good proxies for the PMF factors in this study. For one day of equivalent atmospheric OH exposure, relative changes in the PMF factors were just discernible within uncertainties. After two weeks of OH exposure however, the mass concentration of the OOA-like factor increased from 34% to  $\sim 45\%$  of the organic mass. The comparison of the initial and oxidized SOA1 with the ambient PMF factors suggest that al-

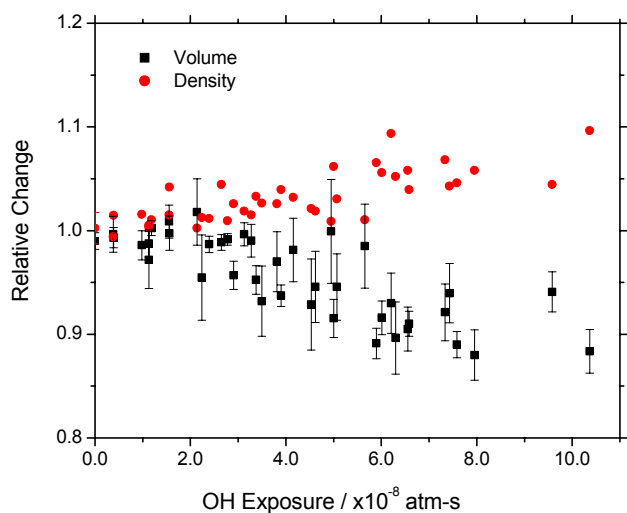


**Fig. 6.** Relative changes in time series mass contributions of PMF factors A1 and A2 (deconvolved from SOA1 oxidation data) with OH exposure with bottom x-axis in experimental exposure, top x-axis in atmospheric exposure time assuming  $[\text{OH}] = 1 \times 10^6 \text{ cm}^{-3}$ . Lines are to guide the eye. Error bars represent one standard deviation of average values.

though the particles are becoming more like OOA1, they are not completely converted to OOA1 even at high OH exposures. In particular, the O/C ratio of the SOA changed from the range of 0.25 to 0.35 up to a maximum of 0.45 upon the highest levels of oxidation, distinctly lower than the O/C of ambient OOA1 (i.e.  $\text{O/C} \approx 0.5\text{--}0.9$ ) (Ng et al., 2009).

### 3.2 Modification of particle properties

Figure 7 shows the relative changes in SMPS particle volume normalized to particle number concentrations for 200 nm diameter SOA particles. Under control conditions including Hg Lamp experiments, the variability in the particle volume measurement was within 5%. Particle volumes decreased with OH exposure to a maximum loss of approximately 10% particle volume at the highest OH exposures. For comparison, we have observed a maximum of 17% decrease in particle volume for Bis(2-ethylhexyl) sebacate (BES) particles (George et al., 2007) and up to 50% loss for stearic acid particles (George et al., 2009). A comparison with the Kroll et al. (2009) work as outlined previously for the changes in O/C ratios reveals that oxidized squalane particles underwent a reduction in particle volume of 9% for 3 OH lifetimes assuming initial O/C ratios of the SOA particles here. Therefore, even though the fragmentation pathway may be the dominant oxidation pathway for OH oxidation of oxygenated OA as determined by Kroll et al. (2009) for oxidized squalane particles, it nevertheless leads to minor reductions in particle volume.



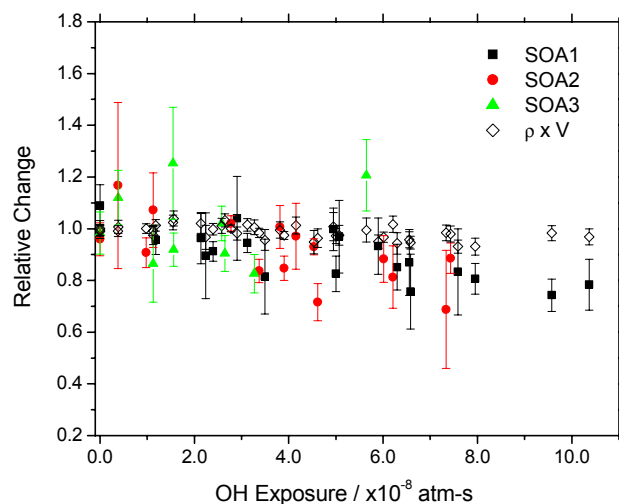
**Fig. 7.** Relative changes in volume and density with OH exposure for all SOA types.

The effective particle density ( $\rho_{\text{eff}}$ ) values were calculated by comparing vacuum aerodynamic diameter ( $D_{\text{va}}$ ) values from AMS measurements in PTOF mode with SMPS mobility diameters with the following equation assuming that the particles are spherical and contain no internal voids: (DeCarlo et al., 2004)

$$\rho_{\text{eff}} = D_{\text{va}}/D_m \quad (2)$$

Using Eq. (2), effective densities for the laboratory SOA are reported in Table 1. This value is consistent with particle density values for chamber SOA for similar SOA mass loadings (Bahreini et al., 2005; Shilling et al., 2009). Particle density changes with OH exposure are shown in Fig. 7. Particle density values increased linearly with OH exposures up to a maximum of approximately 10%. The increase in particle density due to heterogeneous oxidation, consistent with increased particle oxidation, has also been observed in our previous work with model POA particles with up to approximately 20% increase in particle densities (George et al., 2007; Kroll et al., 2009).

Volume and density changes were used to calculate expected mass changes, which were compared with observed changes in AMS organic mass normalized to particle number concentrations, as shown in Fig. 8. Variability in normalized organic mass was within 17% under control conditions. Although there were a few data points showing a net increase in organic mass, most data showed a trend of loss of organic mass with OH exposure. Due to the high variability, most of these data were not statistically different from control data. Calculated mass changes showed no significant mass loss consistent with measured values, except for high OH exposures  $\geq 8 \times 10^{-8}$  atm-s. At these high exposures measured mass changes were significantly different from calculated values. In comparison, a mass reduction of



**Fig. 8.** Relative changes in SOA organic mass. Solid symbols are measured values and open diamonds are calculated from the data shown in Fig. 6.

approximately 7% would be expected for oxidized squalane particles for a comparable starting O/C ratio as the SOA particles and over 3 OH lifetimes (Kroll et al., 2009).

The modification of the CCN activity of SOA1 and SOA2 particles due to heterogeneous oxidation was determined by measuring the activation diameter ( $D_{\text{act}}$ ) for an effective chamber supersaturation of  $S_{\text{eff}}=0.32\%$ . Given the similarity in SOA types, SOA3 was not studied. Figure 9 shows average hygroscopicity parameter ( $\kappa$ ) values (Petters and Kreidenweis, 2007) under different flow tube conditions averaged over both SOA types. As shown in Fig. 9a,  $\kappa$  values for SOA particles sampled directly, i.e. before dilution with other flows, bypassing the activated carbon denuders and the flow tube setup (“No C Traps”), did not vary significantly with the addition of activated carbon denuders (“C traps”). A comparison of average  $\kappa$  values of SOA under different control conditions indicates that there appears to be an increase in CCN activity under Dark  $\text{O}_3$  and Hg Lamp conditions compared to Dark conditions with no  $\text{O}_3$  present. Nevertheless, the differences between values under control conditions are not statistically significant. The  $\kappa$  values under all control conditions ranged from  $\kappa=0.08$ – $0.16$ . The observed  $\kappa$  values are consistent with literature  $\kappa$  values derived from CCN measurements of chamber  $\alpha$ -pinene SOA (Hartz et al., 2005; Prenni et al., 2007; Duplissy et al., 2008; Engelhart et al., 2008; Wex et al., 2009).

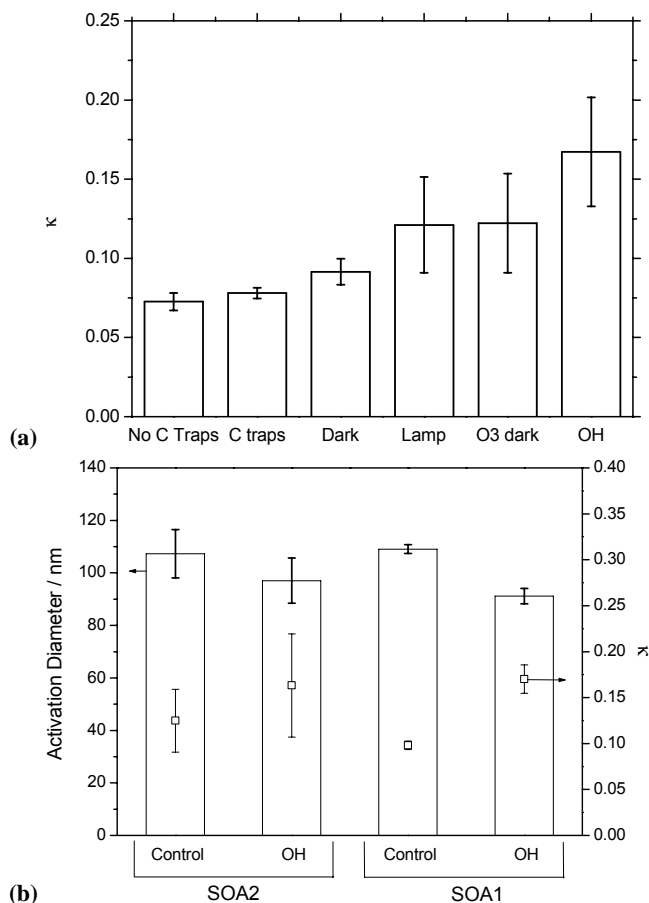
Note that we have made the assumption in these experiments that the hygroscopicities of SOA particles are initially constant with particle size. We observed relatively broad CCN activation curves however, suggesting that there is some variability in hygroscopicities with particle size that may have contributed to variability in our results. Although the mass spectra for SOA particles did not change



significantly under the Hg Lamp and O<sub>3</sub> Dark control conditions, it is possible that particle composition could still have been altered on a molecular level that may impact the CCN activity, as the extent of the changes may vary with particle size. This may explain why the variability in the CCN activation results appears to increase when SOA particles are exposed to UV light (Hg Lamp), O<sub>3</sub> Dark as well as OH, but more work is needed to confirm this. Furthermore, because oxidation rates may vary with particle size, there may also be chemical variability from size to size in the oxidized polydisperse aerosol. Another possibility is that not all particles receive the same level of OH exposure in the flow reactor.

The average  $\kappa$  value for both SOA1 and SOA2 particles is also included in Fig. 9. SOA particles were reacted during each CCN experiment with an average OH exposure of  $5.1 \times 10^{-8}$  atm-s, an equivalent atmospheric OH exposure of two weeks. In general, we observed an increase in CCN activity when SOA particles were exposed to OH radicals with an average  $\kappa$  value of  $\kappa = 0.17 \pm 0.03$ , but the increases in hygroscopicity were not significant when considering the variability in the averaged data for both SOA types. The variability in the activation diameters and  $\kappa$  values for both averaged control and OH data were more pronounced in the SOA2 than the SOA1, as shown in Fig. 9b. Kappa values for both SOA1 ( $\kappa = 0.098 (\pm 0.005)$ ) and SOA2 ( $\kappa = 0.12 (\pm 0.03)$ ) were in general agreement. When discriminating between SOA types, the enhanced CCN activity of SOA1 due to OH reaction compared to control conditions was statistically significant, whereas changes for SOA2 were not. The  $\kappa$  values for SOA1 increased by 74% to an average value of  $\kappa = 0.17 (\pm 0.02)$ .

Overall, the changes in hygroscopicity observed for SOA particles were less dramatic than what has been previously observed for model POA during OH oxidation, where  $\kappa$  values were observed to increase from  $\sim 0$  up to 0.08 (George et al., 2009). Because POA is initially hydrophobic ( $\kappa \sim 0$ ), its hygroscopicity is much more sensitive to the addition of small amounts of soluble material and surface tension reduction than SOA particles of relatively moderate hygroscopicity. One possible reason for these differences is the role of surface tension, which may be important for oxidized POA containing surface active species compared to SOA particles containing more highly soluble organics that may not be as surface active. SOA chamber studies have indicated that photochemical aging over several hours ( $< 24$  h) has a small effect on CCN activity of  $\alpha$ -pinene SOA particles (Duplisssey et al., 2008; Juranyi et al., 2009) with a maximum observed change in the CCN-derived hygroscopicity factor of 0.03. Yet,  $\kappa$  values derived from hygroscopic growth measurements at subsaturated humidities was more significantly enhanced from aging compared to the CCN measurements. One possible explanation is that surface active species will have a greater impact on surface tension under subsaturated conditions, where their concentrations in the particle are higher (Juranyi et al., 2009). It is possi-



**Fig. 9.** (a) Average  $\kappa$  values for various flow tube control conditions and for an average OH exposure of  $5.1 \times 10^{-8}$  atm-s for the “OH” column. (b) Activation diameter (bars) and corresponding  $\kappa$  values (squares) of SOA2 and SOA1 for control conditions and for OH exposure conditions. CCN experiments were conducted under an effective supersaturation of  $S_{\text{eff}} = 0.32\%$ . Error bars represent one standard deviation of mean values.

ble that heterogeneous oxidation may impact hygroscopic growth of organic aerosols over the timescale of days as has been shown for photochemical aging of chamber SOA over shorter timescales, but such measurements have not yet been conducted.

#### 4 Atmospheric implications and conclusions

We sought to determine whether heterogeneous oxidation could enhance the degree of oxidation of SOA particles under atmospherically relevant timescales, and to assess the concomitant changes in aerosol properties. This work, in combination with our related work on OH oxidation of ambient aerosols (George et al., 2008; George, 2009), demonstrates that relatively oxygenated organic aerosol particles reach an enhanced degree of oxidation from heterogeneous

oxidation under atmospherically relevant timescales, with the O/C ratios estimated from F44 values increasing from O/C=0.25–0.35 initially (i.e. F44=0.04–0.07) by approximately  $\Delta\text{O/C}\sim 0.04$  (i.e.  $\Delta\text{F44}\sim 0.01$ ) at the atmospherically relevant OH exposures of 2 weeks assuming a 24-h average OH concentration of  $10^6\text{ cm}^{-3}$ . A comparison of these aging studies along with our work on model POA (George et al., 2007) leads to the generalized conclusion that POA-like particles undergo more dramatic chemical transformations than SOA on a relative scale due to OH oxidation. This is due to the fact that POA particles have low initial oxygen content (O/C<0.1), minor changes from oxidation will obviously have a greater impact on their overall composition than for SOA particles. The changes in degree of oxidation from OH oxidative processing are consistent for POA and SOA with an enhancement in O/C ratio of up to  $\Delta\text{O/C}\sim 0.08$  for two weeks of heterogeneous reaction with OH.

The PMF factors that were deconvolved from the SOA organic mass spectra were similar to ambient OOA and HOA PMF factors. For one day of atmospheric OH aging, our work suggests that observed changes are not likely to impact organic aerosol composition compared to chemical aging expected from an increase in OOA due to SOA formation that occurs on a timescale of several hours in areas with high VOC mixing ratios, such as urban regions. However, our results indicate that OH oxidation over two weeks reduced the HOA-like fraction and increased the OOA fraction by approximately 11% of total organic mass (i.e. the OOA fraction increased from 0.34 to  $\sim 0.45$ ) of the laboratory SOA particles. In this respect, we are in general agreement with the aging experiments reported in Jimenez et al. (2009). But we do note that an OH exposure of two weeks did not fully transform SOA to the composition of highly oxygenated, aged OOA (i.e. OOA1 or LV-OOA). In particular, the O/C ratio did not increase past O/C=0.45 under the OH exposures in this work, whereas ambient OOA1/LV-OOA has been observed to have O/C ratios in the range of approximately O/C=0.5–0.9 (Ng et al., 2009). Although difficult to extrapolate from just one lab study, these results suggest that heterogeneous OH oxidation is not the dominant aging mechanism for the conversion of OA to the most highly oxidized form of OOA. Additional aging mechanisms, such as SOA formation, oxidative aging of semi-volatiles organics, cloud processing, and coagulation with particles containing soluble organics, likely also play an important role in the transformation of atmospheric organic aerosol to low-volatility OOA1. Heterogeneous oxidation could be a significant aging process leading to OOA formation from POA and SOA in remote regions with lower VOC mixing ratios compared to urban regions.

The observed changes in particle composition were accompanied by modifications in physical properties of SOA particles. As observed in our previous work, with the exception of solid model POA particles (George et al., 2007, 2008; Hearn et al., 2007; Kroll et al., 2009), a minor fraction of monodisperse SOA particle volume was volatilized, i.e. up

to 10% for SOA and 20% for POA particles, from OH oxidation under atmospherically relevant exposures. SOA particle densities were enhanced due to aging as a result of an increase in particle-phase oxygenated species. The freshly generated SOA particles readily acted as CCN under atmospheric supersaturations, and thus the modification in SOA hygroscopicity from OH oxidation was less significant compared to hygroscopicity changes observed in previous work with model POA (Petters et al., 2006; George et al., 2009). These differences are likely because the SOA components may not be as surface active as oxidized hydrophobic compounds (Asa-Awuku et al., 2008). Another possibility for the less dramatic modification in particle properties of SOA during oxidation compared to model POA on the whole is slower kinetics of oxidation. It is currently unclear whether OH uptake remains constant as OA particles become more oxidized. Although OH oxidation may not significantly alter CCN activity of OOA, it may nevertheless lead to an enhancement of the particle hygroscopic growth if surface active species are produced, but more work is needed to explore this possibility. Close to source regions, it is now becoming clear that other particle aging pathways, such as condensation of inorganic material and SOA, will likely dominate the conversion of POA to more hydrophilic and CCN active OA.

**Supplementary material related to this article is available online at:**

**<http://www.atmos-chem-phys.net/10/5551/2010/acp-10-5551-2010-supplement.acp-2010-56-supplement.pdf>.**

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