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# 1 Chemically extracted nanocellulose from sisal fibres by a simple and

# 2 industrially relevant process

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#### 10 Keywords:

11 Sisal fibres; Cellulose nanofibres (CNFs); Cellulose films; acetylation, nanofibers

#### 12 Abstract:

- 13 A novel type of acetylated cellulose nanofibre (CNF) was extracted successfully from sisal fibres using
- 14 chemical methods. Initially, a strong alkali treatment was used to swell the fibres, followed by a bleaching step
- to remove the residual lignin and finally an acetylation step to reduce the impact of the intermolecular hydrogen
- 16 bonds in the nanocellulose. The result of this sequence of up-scalable chemical treatments was a pulp consisting
- 17 mainly of micro-sized fibres, which allowed simpler handling through filtration and purification steps and
- 18 permitted the isolation of an intermediate product with a high solid content. An aqueous dispersion of CNF
- 19 could be obtained directly from this intermediate pulp by simple magnetic stirring. As a proof of concept, the
- 20 dispersion was used directly for preparing a highly translucent CNF film, illustrating that there is no large
- aggregates in the prepared CNF dispersion. Finally, CNF films with alkali extracts were also prepared, resulting
- 22 in flatter films with an increased mass yield and improved mechanical strength.

# 23 **1. INTRODUCTION**

24 Cellulose is the most abundant bio-derived polymer in the world, with a yearly production of about  $10^{11}$  tons 25 (Azizi Samir et al. 2005). This production originates mainly from plants, but there are other sources of cellulose 26 such as bacteria, tunicates and algae(Moon et al. 2011). Cellulose has the empirical formula ( $C_6H_{10}O_5$ ) and is a 27 linear homopolysaccharide with hundreds to thousands of glucose units connected through 1-4- $\beta$ -glucosidic 28 bonds. Cellulose is semicrystalline and therefore contains both amorphous and crystalline domains of various 29 types depending on the source of cellulose. Due to the recently increased focus on sustainability, lignocellulosic 30 materials in general, and cellulose in particular, have been investigated widely in search of novel application 31 fields such as biofuels(Baker and Keisler 2011), polymer reinforcement(Saheb and Jog 1999) and biomedical 32 applications(Czaja et al. 2007) (Lin and Dufresne 2014). A turning point in this development occurred when 33 Herrick et al. (Herrick et al. 1983) successfully isolated microfibrilated cellulose using mechanical methods to 34 break up the hierarchical structure of cellulose. The cellulosic fibres can be considered bundles of nanosized

- 35 cellulosic fibres (CNFs) comprising of cellulosic fibrils with a high aspect ratio, having diameters on the
- 36 nanoscale and lengths on the microscale. CNFs have been shown to have very interesting properties, such as a
- 37 specific Young's modulus that is 3.4 times higher than that of steel(Eichhorn et al. 2009). Research into
- 38 applications of the nanosized cellulosic materials has increasingly caught the interest of the scientific
- 39 community(Lavoine et al. 2012), and the subject has been widely studied for applications such as
- 40 hydrogels(Chang and Zhang 2011), aerogels(Fischer et al. 2006), barrier coatings(Minelli et al. 2010) and
- 41 polymer reinforcement(Siró and Plackett 2010). Films containing such nanofibres are reported to have very
- 42 good mechanical properties(Siró and Plackett 2010), high transparency(Siró et al. 2011), good oxygen barrier
- 43 properties at low relative humidity as well as medium water vapour barrier properties(Lavoine et al. 2012) due
- to the high water uptake of the nanofibres(Minelli et al. 2010).
- 45 In order to bring CNF applications to market, an industrially relevant method that can extract and break up the
- 46 strong association between fibres to prepare them for use in composites is required. Usually, the procedures for
- 47 obtaining CNFs are based on applying high shear forces to extracted fibres e.g. by grinding, micro fluidisation,
- 48 homogenisation or other similar techniques. These methods are usually highly energy demanding and are
- 49 performed in high dilution or using processes that are not directly up-scalable or cost-effective. Therefore
- 50 extensive research has been invested in finding methods for pre-treatment, such as enzymatic
- 51 treatments(Henriksson et al. 2007) or using (2,2,6,6-tetramethylpiperidin-1-yl)oxyl (TEMPO)(Saito et al. 2006)
- 52 for oxidation of the cellulose to decrease the energy consumption and weaken the hydrogen bonds between
- 53 fibres(Qing et al. 2013; Abdul Khalil et al. 2014). Here a method to obtain partially acetylated CNF, by
- 54 employing a simple chemical treatment followed by a low energy dispersion step, is presented. The intermediary
- 55 pulp achieved after the chemical treatments is easy to filter and dry, which makes it highly suitable for
- 56 transportation.

# 57 2. EXPERIMENTAL

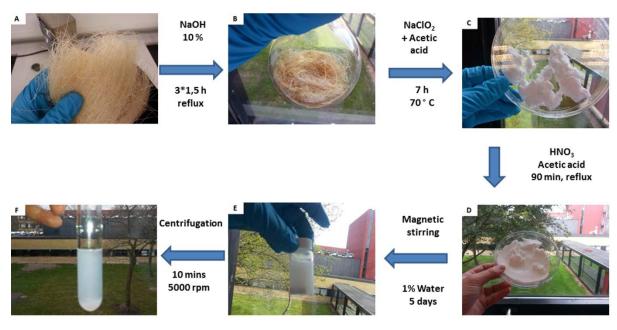
#### 58 2.1 Materials and methods

- 59 Cellulose nanofibres were extracted from sisal, which was kindly supplied by Expor Sisal S.L., while sodium 60 hydroxide, nitric acid (ACS reagent, 70%) and acetic acid (99%-100%) were purchased from Sigma Aldrich and 61 sodium chlorite (25 wt% in water) was obtained from Merck. All of the reagents were used as received. If not 62 specified, the analysis of CNF were done after centrifugation.
- 63

# 2.1.1 Extraction and isolation of the acetylated CNF pulp (SMBA)

- 64 Sisal fibres (50 g) were cut and rinsed with an aqueous solution of sodium hydroxide (1.5 L, 2 wt%) at 23°C for
  65 16 hours. The rinsed fibres were isolated by filtration and washed with distilled water until constant pH of the
  66 washing water was achieved. The alkali treatment (mercerisation) was repeated three times (1.5 hours at boiling
- 67 temperature) with a stronger alkali solution (1.5 L, 10 wt%) followed by filtration, after which the pulp (SM)
- 68 was suspended in distilled water (1.25 L), and the temperature was increased to 70°C. Once this temperature
- 69 was reached, acetic acid (8 mL) followed by sodium chlorite (NaClO<sub>2</sub>, 25 wt%, 40 mL) was added once every
- 70 hour for 7 hours. Finally, the wet bleached pulp (approximately 30 g on dry mass) was isolated by filtration and
- 71 washed with distilled water until a constant pH was reached (SMB). Thereafter, this pulp was suspended in a
- 72 mixture of nitric acid (150 mL) and acetic acid (900 mL), and the mixture was stirred at boiling temperature for
- 73 90 minutes. The mixture was cooled by dilution with cold distilled water (ratio 1:5) and the acetylated pulp was

- 74 isolated by filtration. The product was rinsed with distilled water until a constant pH level was achieved and the
- 75 acetylated pulp (SMBA) was used without further purification.
- 76



80

- **Figure 1.** Overview of the CNF extraction protocol. A) Sisal fibres (S); B) Sisal fibres after alkali treatments (SM); C) Sisal fibres after mercerisation and bleaching (SMB); D) Sisal fibres after mercerisation, bleaching and acetylation (SMBA); E) SMBA after dispersion in water (CNF) and F) Stable CNF dispersion after centrifugation.
- 81

# 82

#### 2.1.2 Extraction of lignin and hemicellulose for compounding (residue solution)

83 Sisal fibres (35 g) were mixed with an aqueous solution of sodium hydroxide (300 mL, 10 wt%), and the

- 84 mixture was refluxed for 2 hours. The fibres were filtered off and the filtrate was cooled and dialysed in a
- 85 regenerated cellulose membrane (Cellu Sep T3, MWCO 12,000-14,000) against distilled water until pH was
- 86 constant. The dialysed residue containing cellulose, lignin and hemicellulose was used without further
- 87 purification.
- 88 2.1.3 Preparation of CNF films

An aqueous dispersion of CNF in water (1 wt%) was prepared by dilution of the SMBA pulp with distilled
water followed by magnetic stirring for five days in an Erlenmeyer flask. The dispersion was centrifuged at
5000 rpm for 10 minutes, and the supernatant (0.8 wt%) was transferred to a Teflon mould. A translucent CNF
film was formed by concentration of the solution in a climatic chamber for one week at 19°C and 65% relative
humidity (RH), resulting in a translucent film with a thickness of 20-30 µm.

- 94 2.1.4 Preparation of the CNF/residue films
- 95 CNF/residue films were prepared from the aqueous CNF dispersion as described above for the CNF films.
- 96 Additionally, the supernatant was mixed with the residue solution (extracted cellulose, lignin and hemicellulose
- 97 from the mercerisation) to obtain a mixture with 70 wt% CNF and 30 wt% residue. The mixture was cast in a

- **98** Teflon mould and dried in a climatic chamber for one week at 19°C and 65% RH, resulting in the formation of a
- 99 translucent CNF/residue film with a thickness of 20-30  $\mu$ m.

#### 100 2.2 Characterization

- 101 Thermogravimetric analysis (TGA) was performed on a thermal TGA Q500 (TA) instrument from 25-600°C
- 102 with a heating rate of 10 K/min under nitrogen flow. Fourier transform infrared spectroscopy (FT-IR) was
- 103 obtained by triplicate on a Thermo-Fisher is 50 FT-IR spectrometer equipped with a universal attenuated total
- reflection (ATR) sampling accessory with a diamond crystal at a resolution of 4 cm<sup>-1</sup> in the range of 500-
- $105 \quad 4000 \text{ cm}^{-1}.$
- 106 The rheological data was obtained with a TA Instruments AR2000 controlled stress rotational rheometer using a
- 107 cone-and-plate geometry. The aluminium cone had a diameter of 60 mm and a 1° cone angle. Viscosity
- 108 measurements were obtained in steady shear at  $25^{\circ}$ C.
- 109 Scanning electron microscopy (SEM) of the sisal and processed fibres was carried out using a Hitachi T3030
- 110 with a 5 kV field. The CNF was characterised by SEM on an FEI Quanta 200 ESEM FEG. Transmission
- electron micrographs were obtained using a Hitachi HT770 microscope operating at 100 kV. A drop of an
- aqueous CNF suspension (0.2 % CNF) was deposited on a 200 mesh carbon/formvar copper grid (TED PELLA,
- 113 USA) and imaged without the addition of staining agents or other chemicals.
- 114 X-ray diffraction was performed using a Panalytical X'Pert Pro MPD-Ray diffractometer with an Ni-filtered Cu
- 115 K $\alpha$  radiation ( $\lambda$ =1.54 Å) source, a voltage of 45 kV, a current of 40 mA and scans from 5° to 60°. The
- 116 crystallinity index of the extracted fibres was calculated using the Buschle-Diller and Zeronian equation.

117 
$$I_{\rm C} = 1 - \frac{I_1}{I_2}$$

118

- 119 Where  $I_1$  is the peak at  $2\theta=18.8^{\circ}$  (amorphous peak) and  $I_2$  is the peak at  $2\theta=22.8^{\circ}$  (crystalline peak),  $I_c$ , the 120 crystalline index.
- 121 Optical properties were measured in triplicates using a UV-Vis spectrometer (Polar Star Omega) in the range of

122 200 nm – 1000 nm and a Gardener Haze-Gard Plus to analyse the transmittance, haze and clarity of the

- specimens. Transmittance is the percentage of light transmitted through the sample, haze the amount of
- transmitted light that is scattered more than  $2.5^{\circ}$  and clarity the amount of transmitted light that is scattered less
- **125** than  $2.5^{\circ}$ .
- 126 The surface properties of CNF films were estimated by advancing contact angle (CA) measurements on a
- 127 Dataphysics Contact Angle System OCA20. Specimens of 2 x 1 cm were placed on a glass slide and a drop of
- 128 water (6 µL) was deposited on the specimen surface. Thereafter, the needle was placed into the drop and the
- advancing CA was determined as the constant value obtained with a flow of 0.5  $\mu$ L/s. The CA was determined
- as an average of at least five measurements.

- 131 Water absorption analysis was performed in duplicates based on the mass increase of samples (100-300 mg)
- 132 conditioned in a climatic chamber overnight at 23°C with a relative humidity of 10%, 25%, 50% and 75%,
- 133 respectively.
- 134 The mechanical properties were measured by duplicate in a DMA RSA3 (TA Instruments, USA) working in
- tensile mode. The specimens (2 cm length and 0.5 cm width) were preconditioned at 23 °C and 50% RH for 24
- hours prior to the measurement, which was carried at a speed of 1 mm/min with a distance between the fixtures
- **137** of 10 mm.
- 138

#### 139 **3. RESULTS AND DISCUSSION**

- 140 **3.1 From raw materials to nanomaterials**
- 141 The developed method employs simple and industrially relevant processes for the conversion of sisal fibres into 142 CNF. Each of the steps is described in detail in the experimental section, as shown in Figure 1. An initial alkali 143 treatment was used to remove oil residues and impurities from the fibres. Three subsequent stronger alkali treatments of sisal (S), to acquire mercerised fibres (SM, B in Figure 1), was used to swell the fibres and to 144 145 extract lignin and hemicellulose from the fibres. The repeated mercerisation minimized adsorption of these 146 impurities on the surface of the fibres compared to one longer mercerization step. After alkali treatment the 147 fibres were light-brown in colour, which is attributed to deposition of the extracted lignin on the surface of the 148 fibres. The deposited lignin was removed in the following bleaching step (SMB, C in Figure 1), and finally the 149 influence of hydrogen bonds between the fibres was reduced through acetylation of the fibres (SMBA, D in 150 Figure 1). This extraction protocol is based on two separate modifications of the fibres. Firstly, the alkali 151 treatment swells the fibres, breaks the strong association, due to hydrogen bonds, between the cellulose chains 152 and opens up the structure to additional chemical treatments (Mwaikambo and Ansell 1999). Secondly, in the 153 swollen state, the hydroxyl groups from the cellulose nanofibres are grafted with acetate groups, which thereby 154 permanently reduces the energy required to break the strong association between cellulose nanofibres in 155 subsequent processing steps. 156 The result of this sequence of chemical treatments was a pulp consisting mainly of modified micro-sized fibres,
- 157 which is easy to transport or store as a precursor for later preparation of CNF dispersions. An aqueous
- dispersion of CNF was obtained directly from this intermediate by magnetic stirring (product E in Figure 1), and
- any agglomerates were removed by centrifugation.
- 160 The yield of cellulosic material after each step of the extraction protocol is shown in Figure 2.

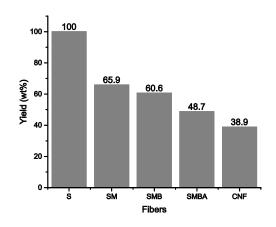


Figure 2. Mass yield of the treated fibres after drying of a sample of the respective suspensions, where the CNF is the supernatant obtained after centrifugation in the last step (all results are on a dry basis).

164 The amount of extracted cellulose fibres depends strongly on the type of fibres used for the process. Sisal fibres

are generally reported to consist of about 60-70 wt% cellulose, 10-15 wt% hemicellulose and 8-12 wt%

166 lignin(Bismarck et al. 2001; Mondragon et al. 2014). After all of the chemical treatments and purification steps

that removes the majority of the lignin and hemicellulose, 39 wt% of the original sisal fibres were converted into

a stable CNF dispersion, which corresponds to an extraction of approximately 60 wt% of the total amount of

169 cellulose from the sisal fibres. This compares to other extraction protocols, where e.g. 65 wt% of cellulose

170 nanofibres have been extracted from cotton(de Morais Teixeira et al. 2010) or 50-60 wt% were extracted from

171 softwood(Tejado et al. 2012).

# 172 **3.2** Characterization of the pulp

173 The isolated material was characterised by SEM after each step, in order to illustrate the effects of each of the

treatments, as shown in Figure 3.

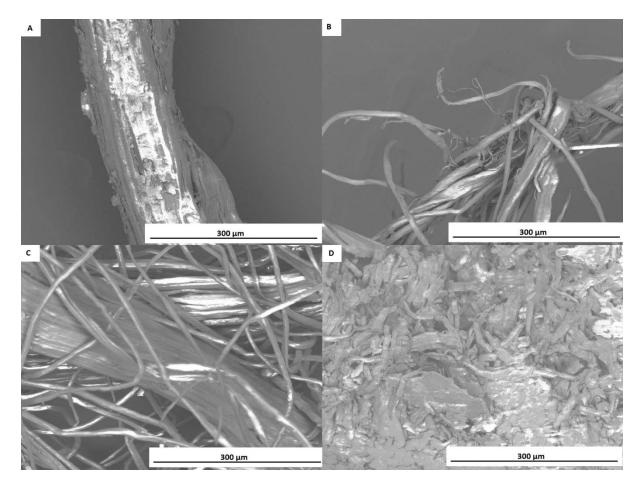


Figure 3. SEM pictures of the fibres at the various stages of the process showing the transgression from large fibre bundles to the fully treated acetylated fibres in the final pulp. A) Sisal fibres B) fibres after alkali treatments C) fibres after bleaching D) fibres after acetylation.

179 The micrographs in Figure 3 show how each step in the process affects the fibres. The strong alkali treatment 180 swells the fibres and results in the formation of free individual fibres (SM). In the bleaching step even more 181 separated fibres are produced (SMB) due to the removal of the remaining lignin, which reduces the cohesion 182 between the fibrils. Finally after the acetylation step the structure of the macroscopic sisal fibres have been 183 completely removed, resulting in the formation of a more uniform mass consisting of much smaller fibres. The

184 results of the extraction protocol in terms of chemical and thermal properties can be seen in Figure 4.

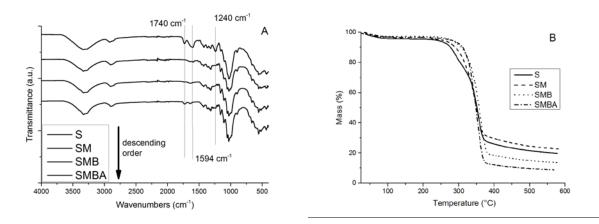


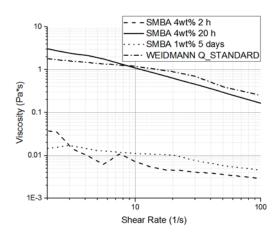
Figure 4. Analysis of the extracted CNF at each step in the process by FT-IR (A) and by TGA (B).

7

- 185 In Figure 4a the chemical changes to the fibres are illustrated by changes in the IR spectra. Here the removal of
- the majority of hemicellulose during the first alkali treatment from S to SM can be seen through disappearance
- 187 of the peaks at 1740 cm<sup>-1</sup> (which are related to acetyl and ester groups, characteristic of hemicellulose) and at
- 188 1240 cm<sup>-1</sup> (C-O stretching vibration of the hemicellulose). In addition to this, the disappearance of the peak at
- 189 1594 cm<sup>-1</sup> (related to the C-C in the plane symmetrical stretching of aromatic rings, characteristic of lignin)
- 190 from SM to SMB shows that the most part lignin has been removed from the fibre. This is corroborated by the
- 191 fact that the fibres are completely white after bleaching. The removal of a large part of the hemicellulose is
- 192 confirmed by TGA in Figure 4b. Hemicellulose usually degrades between 200-400°C, which results in an
- increase in the onset of thermal degradation after both the alkali treatment and the bleaching step compared to
- the pure sisal fibres.
- 195 Finally, acetylation of the pulp in the last step of the process is confirmed by reappearance of the peak at
- 196 1740 cm<sup>-1</sup>, attributed to new acetate groups. The intensity of the carbonyl stretch indicates that only a partial
- acetylation has taken place, which was also confirmed by the low degree of substitution (10%) determined using
- the method published by Kim et al. (Kim et al. 2002). The extracted residues were also analysed (SI-Figure 1)
- and it was found that the alkali treatments removed not only the majority of lignin and hemicellulose, but also
- significant amounts of cellulose.

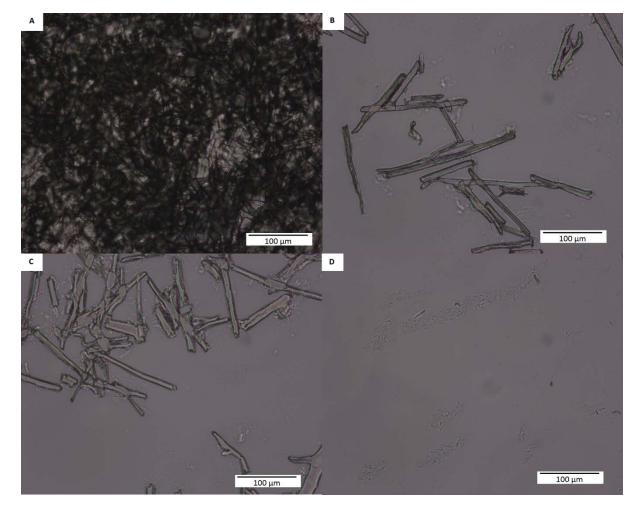
# 201 3.2 Characterization of the CNF

- 202 Introduction of acetate groups on the surface of the fibres, however, is not enough to fully separate the
- 203 nanofibres. This acetylation results in reduced cohesion between the nanofibres, but a very small amount of
- 204 energy is still required in order to separate the fibres. Magnetic stirring is sufficient to separate the nanofibres as
- shown by the increase in viscosity of a 4 wt% SMBA dispersion stirred for respectively 2 and 20 hours, as
- shown in Figure 5.



- 207
- Figure 5. Viscosity of a 4 wt% SMBA solution in water after magnetic stirring for 2 hours and 20 hours compared to a commercial CNF (Weidmann Q standard) and to a 1wt% CNF solution that has been stirred for 5 days.
- 210 The viscosity is dramatically increased between 2 and 20 hours of magnetic stirring, due to dispersion of the
- 211 CNF in water. With continued stirring the fibres becomes gradually more and more separated and eventually the
- sample reaches the rheological percolation threshold, resulting in formation of a CNF network and a significant
- 213 increase in viscosity. The viscosity of the resulting dispersion after 20 hours was similar to a commercially

- available CNF produced by Weidmann (Q standard). The increased viscosity proves that the very soft
- 215 mechanical treatment successfully disperses the CNF. However, at 4 wt% CNF the viscosity was so high after
- 216 20 hours that magnetic stirring was no longer powerful enough to efficiently stir the suspension (it formed a
- 217 hydrogel), which prevents the complete dispersion of the CNF. Therefore the concentration was reduced to
- 218 1 wt%, which it was possible to stir for 5 days without reaching the rheological percolation threshold. Samples
- of this solution were analysed by optical microscopy after different stirring times as shown in Figure 6.
- 220



- Figure 6. Optical micrographs of a 1wt% mixture of the acetylated pulp (SMBA) after magnetic stirring in water for 0 min (A), 15 min (B), 1 hour (C) and 48 hours (D).
- 224 Simple magnetic stirring breaks up the aggregates and ultimately results in a stable aqueous dispersion of the
- 225 nanofibres after 48 hours. After two days of magnetic stirring, it is no longer possible to see large fibres in the
- 226 optical microscope, thus suggesting that the majority of the fibres are below microscale in size.
- 227 In order to evaluate the dimensions of the prepared nanofibres, a drop of a 0.6 wt% CNF dispersion was casted
- on an aluminium film, resulting in the formation of a thin film with a film thickness of around 100 nm. The
- 229 prepared film was sputtered and investigated by SEM, which showed a uniform distribution of nanofibres on the

- 230 surface (SI-Figure 2). The size of the cellulose nanofibres were additionally investigated using transmission
- electron microscopy (TEM) as shown in Figure 7.

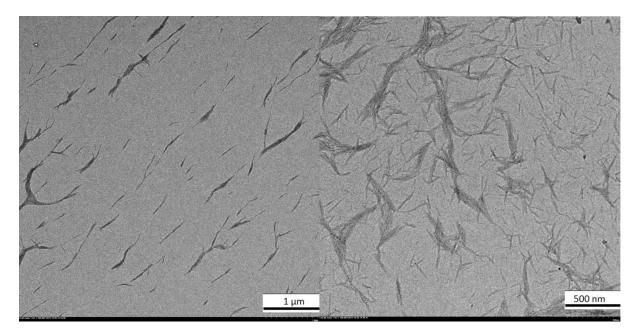






Figure 7. Analysis of the structure of the isolated CNF by TEM at different magnifications.

From both SEM and TEM it is clear that no large fibres are present in the film casted from the dispersion. The

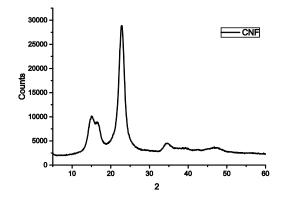
fibres are estimated to have a diameter of 27 +/- 13 nm and a length of 658 +/- 290 nm with minor aggregates of

approximately 160 +/- 75 nm in diameter and 0.90 +/- 0.42  $\mu$ m in length. The fibres are shorter than what has

been obtained when CNFs are prepared by using, for example, TEMPO-mediated oxidation and mechanical

methods such as homogenisation, ultrasound or grinding (Moon et al. 2011), which is attributed to the harshconditions employed during the extraction process.

- 240 The processing of cellulosic materials is known to affect the crystallinity, and it was therefore investigated by
- 241 X-ray diffraction (XRD), as shown in Figure 8.



242 243

#### Figure 8. XRD analysis of the purified CNF.

The XRD spectrum shows the expected peaks from a cellulose material with peaks at  $2\theta$ =15.13 and  $2\theta$ =22.88°.

245 The degree of crystallinity of the extracted fibres was calculated based on the peak at 22.88°, and it was

determined to be 84.2%. This value is comparable to the crystallinities reported in the literature where sisal-

- 247 based CNF was reported to have a crystallinity of 93% (Siqueira et al. 2010) and from TEMPO oxidized CNF
- having a crystallinity of 59-92% (Lavoine et al. 2012).
- 249 3.3 CNF film properties
- 250 The CNF dispersion was used to prepare large CNF films by solution casting of either the prepared CNF
- 251 dispersion directly or by combination of the CNF dispersion and the extracted residues. The prepared films have
- a high clarity and a good transparency as shown in Figure 9.

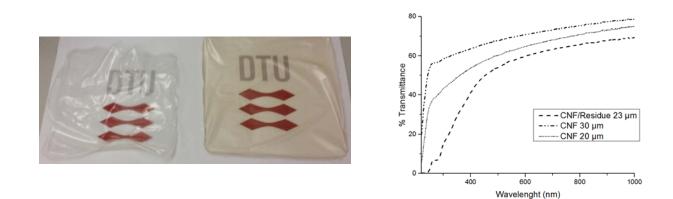
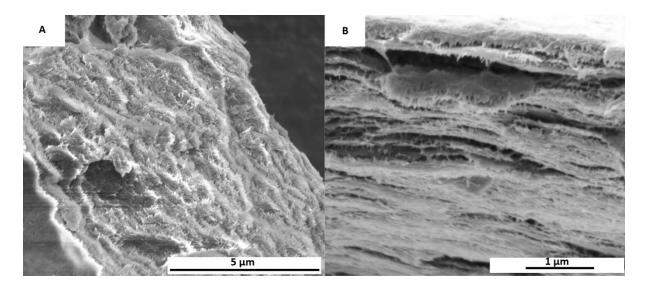


Figure 9. Left: Optical image of the prepared film (pure CNF on the left and CNF with residue solution on the right);
 Right: Transmittance of the CNF films determined by UV-vis spectroscopy.

- 255 The film prepared from the pure CNF is fully translucent, and has a high clarity (69,8% clarity, 26,7% haze)
- whereas the film with the added residues (extracted cellulose, lignin and hemicellulose from the mercerisation
- step) resulted in a light-brown but more uniform film with a slightly reduced clarity (44,6% clarity, 36,2% haze)
- 258 (see Table 1, supporting information). The CNF/Residue film additionally had a decreased UV transmittance,
- due to the presence of lignin that acts as a UV absorber and as antioxidant. Ultimately, the CNF are intended for
- 260 use in composites for packaging materials and for this application a reduced UV transmittance as well as the
- antioxidative properties of the lignin are interesting properties. The residues were also incorporated into the
- 262 film, in order to investigate if they could potentially decrease the water sorption of the films as well as to
- investigate any effects on film forming. The cross-sectional view of the films by SEM can be seen in Figure 10.



265

#### Figure 10. SEM analysis of a fractured cross section of a) Neat CNF film and B) CNF/Residue film.

The SEM micrographs show that there are no large agglomerates on the fractured interphase from the films, (SEMs of the surface view of the films can be seen in the supporting information in SI-Figure 3 and 4). The micrographs also show a clear differences in the layered structures of the two films, where the CNF/Residue film is clearly much more compact compared to the pristine CNF film, which appears to have a more open structure. This feature of the CNF/Residue film is attributed to the lignin and hemicellulose in the residue that work as binders and make a stronger bonding with CNF through hydrogen bonding, which results in a reduced swelling of the CNF during the drying stage.

273 The mechanical properties of the films were investigated (SI-Table2), showing that in particular the pure CNF 274 film had lower tensile strength and elongation at break compared to other nanocellulose films(Henriksson et al. 275 2008; Siró et al. 2011). This is attributed to the partial acetylation of the fibres, which results in less hydrogen 276 bonding between the fibres in the neat film. However, the degree of substitution is not high enough to affect the 277 transparency of the film, as would be expected from a fully acetylated CNF. The pure CNF films were very 278 brittle and had an uneven surface, which made the mechanical analysis very difficult. In contrary to this, the 279 CNF/Residue films were more uniform and easier to handle, which resulted in a significant increase in tensile 280 strength and elongation. CNF films, apart from their brittleness, have been reported to have good properties at 281 low relative humidity, but their barrier properties dramatically decreases with increasing relative humidity(Aulin 282 et al. 2010; Minelli et al. 2010). For this reason, any process that could decrease water sorption would be very 283 useful for new applications of CNF films. The CNF nanomaterials prepared here are partially acetylated, which 284 could potentially affect water sorption. In an effort to elucidate this, the advancing water contact angles of the 285 prepared films were determined. Both films have comparable water contact angles of 48.8° +/- 2.7 for the CNF 286 film and  $52.4^{\circ}$  +/- 1.1 for the CNF/Res film, which is similar to other non-functionalised nanocelluloses ( $41.2^{\circ}$ 287 (Rodionova et al. 2010), 50-60°(Siqueira et al. 2010), 50°(Wu et al. 2014)). Apparently the partial acetylation 288 does not significantly increase the hydrophobicity of the thin films, which corroborates the low degree of 289 substitution (10%). The actual water sorption of the films can be seen in the supporting information (SI-Figure 290 5). The observed water sorption, approximately 2,6% at 23°C and 50% rel. humidity for both types of films, was 291 slightly decreased compared to other nanocellulose films, where CNF and nanocrystalline cellulose have been

shown to have water sorption of 6.5% at 25°C and 50% rel. humidity (Belbekhouche et al. 2011), or 4% at 35°C
and 50% rel. humidity for enzymatically pre-treated CNF(Minelli et al. 2010).

294 The mechanical properties of these materials are too poor to allow a direct application of these materials.

However, the process produces partially acetylated CNFs, which makes these amphiphilic materials easier to

disperse in organic media, and thereby makes them well suited for use as reinforcement agents in e.g. poly(lactic

acid) (PLA). Recently CNF composites in PLA were shown to have superior thermomechanical resistance and

enhanced barrier properties (PLA/CNF 1% showed a 64% of decrease on oxygen transmission rate, a 46% of

- decrease on water vapour transmission rate)(Trifol et al. 2016a), which were greatly improved when both CNF
   and a commercially available clay (C30B) were used to reinforce PLA (PLA/CNF 5%/C30B 5% showed a a
- 301 reduction of up to 90% in OTR and a further reduction in the water vapour transmission rate (WVTR) of up to
- **302** 76% (Trifol et al. 2016b).

#### 303 4. CONCLUSIONS

304 In this study a method is presented whereby a partially acetylated CNF can be prepared by employing a 305 chemical treatment protocol. This method of extracting cellulose nanofibres has several advantages. The raw 306 pulp produced after the chemical treatments is produced in a high yield of 48% and can be easily filtered and purified. The isolated SMBA pulp does not form hydrogels, and can be reduced to a water content of 50%, 307 308 resulting in a potential reduction in transportation costs of the pulp. The chemicals used in the process are very 309 common and not particularly expensive. Moreover, it is possible through well-established processes in the paper 310 industry to reclaim these chemicals. The process directly affords acetylated fibres, which results in easy 311 dispersion by low energy magnetic stirring. The prepared dispersions were seen to contain nanofibres, with no 312 large aggregates present, as illustrated by both SEM and TEM as well as through preparation of highly 313 translucent CNF films. In an attempt to improve the film forming properties of the CNF films the alkali residue 314 was reintroduced into the CNF films, which lead not only to an overall higher mass yield, but also to flatter 315 films, which illustrated the potential of the residue as a novel cementing agent for the CNF films. Additionally, 316 the inclusion of the alkali residue resulted in a significant reduction in the UV transmittance.

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