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Chlorine Vacancy Passivation in Mixed-Halide Perovskite Quantum Dots by Organic Pseudohalides Enables Efficient Rec. 2020 Blue Light-Emitting Diodes

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ABSTRACT: Blue-emitting perovskites are easily attainable by precisely tuning the halide ratio of mixed halide (Br/Cl) perovskites (MHPs). However, the adjustable halide ratio also hinders the passivation of Cl vacancies – the main source of trap states leading to inferior performance blue MHP light-emitting diodes (LEDs). Here, we report a strategy to passivate Cl vacancies in MHP quantum dots (QDs) using non-polar-solvent-soluble organic pseudohalide (n-dodecylammonium thiocyanate (DAT)), enabling blue MHP LEDs with greatly enhanced efficiency. Density-functional-theory calculations reveal that the thiocyanate (SCN⁻) groups fill in the Cl vacancies and remove electron traps within the bandgap. DAT-treated CsPb(Br_xCl_{1-x})₃ QDs exhibit near unity (~100%) photoluminescence quantum yields; and their blue (~470 nm) LEDs are spectrally stable with an external quantum efficiency (EQE) of 6.3% – a record for perovskite LEDs emitting in the 460-480 nm range relevant to Rec. 2020 display standards – and a half-lifetime of ~99 s.

Perovskite semiconductors are attracting widespread attention as an emerging class of emitters for light-emitting diodes (LEDs), due to their facile processing, tunable emission, and high photoluminescence quantum yield (PLQY). While green and red perovskite LEDs have advanced at a rapid pace, achieving quantum efficiencies (EQEs) >20%,¹⁻³ blue perovskite LEDs still lag far behind with EQEs of

<5% for $\lambda_{\text{emission}}$ at 460-480 nm (the wavelength of the Rec. 2020 is 467 nm)⁴⁻⁷ and <11% for $\lambda_{\text{emission}}$ at 480-490 nm⁸⁻¹⁰, i.e. sky blue (**Table 1**). Among the perovskite blue-emitters, mixed halide (Cl/Br) perovskites (MHPs) afford an easily tunable emission spectra in the blue by adjusting the halide (Cl/Br) ratio.¹¹⁻¹⁵ However, despite being the facile approach to yield perovskite blue LEDs that emit in the color range relevant to display standards (Rec. 2020), blue MHP LEDs are still in their infancy, and exhibit both poor efficiency and short operational half-life.⁹

Halogen vacancies are the predominant defect species in inorganic cesium lead halide (CsPbX₃, X=Cl, Br, I) QDs.¹⁶⁻¹⁷ However, unlike “defect-tolerant” CsPbI₃ and CsPbBr₃, chlorine vacancies in MHP CsPb(Br_xCl_{1-x})₃ create relatively deeper trap states within the bandgap that irreversibly capture charge carriers and dramatically suppress the radiative recombination.¹⁸⁻²⁵ Moreover, these defects initiate and catalyze device degradation by facilitating rapid ion migration and making the perovskite more vulnerable to external stimuli under atmospheric and operational conditions.^{20, 26-27} Therefore, the suppression of Cl vacancies is a prerequisite for achieving efficient and stable blue MHP LEDs.

Table 1. Summary of Key Parameters for Blue Perovskite LEDs

| Perovskite category and examples | | Emission peak [nm] | Color | FWHM [nm] | EQE (%) | Reference |
|----------------------------------|---|--------------------|----------|-----------|---------|-----------|
| Pure bromide, quasi-2D | PEA ₂ (MACs) _{1.5} Pb _{2.5} Br _{8.5} | 490 | Sky-blue | 28 | 1.5 | 8 |
| Mixed halide, 2D-3D | PEACl:CsPbBr ₃ | 485 | Sky-blue | 23 | 11 | 7 |
| Pure bromide, 3D | C ₅ xFA _{1-x} PbBr ₃ | 483 | Sky-blue | 26 | 9.5 | 9 |
| Mixed halide, quasi-2D | CsPbCl _{0.9} Br _{2.1} | 480 | Blue | 21 | 5.7 | 10 |
| Mixed halide, 2D-3D | PEACl:CsPbBr ₃ | 477 | Blue | 23 | 4.8 | 7 |
| Pure bromide, quasi-2D | PEA ₂ (Rb _{0.6} Cs _{0.4}) ₂ Pb ₃ Br ₁₀ | 475 | Blue | 20 | 1.35 | 4 |
| Mixed halide, 3D | CsMn _y Pb _{1-y} Br _x Cl _{3-x} | 466 | Blue | 17.9 | 2.12 | 5 |
| Mixed halide, quasi-2D | BA ₂ Cs _{n-1} Pb _n (Br/Cl) _{3n+1} | 465 | Blue | 23 | 2.4 | 6 |
| Mixed halide, 3D | CsPb(Br _x Cl _{1-x}) ₃ | 471 | Blue | 17 | 6.3 | This work |

We sought to rationally identify efficient Cl vacancy passivating agents for blue-emitting MHPs out of a large pool of potential candidate materials. Organic halides, which are usually useful passivation agents for halogen vacancies in CsPbBr₃ and CsPbI₃, are incompatible with MHPs because of the unwanted peak shifts that occur during their fast halide exchange with MHPs. Pseudohalogens, on the other hand, such as thiocyanate (SCN⁻), can fill in the halogen vacancies without appreciably changing the emission spectrum.²¹ However, in general, low solubility in non-polar solvents is a major hurdle facing most perovskite passivation agents, including pseudohalogens (polar solvents decompose the highly ionic perovskites). Potentially useful non-polar solvents for passivation processing of MHP emitters should also be halogen-free, as halogen-containing solvents (e.g. chloroform) carry halide ions that may halide-exchange and shift the bandgap of MHP QDs. Therefore, any candidate pseudohalogen passivators for Cl vacancies in MHP QDs should be soluble in a non-polar solvent that is compatible with MHP QD processing.

With these constraints in mind, we searched for an organic thiocyanate (RSCN) with sufficient hydrophobic groups to dissolve in non-polar, non-halogenated solvents. We found that n-dodecylammonium thiocyanate (DAT; C₁₂H₂₅NH₃SCN) has a high solubility (>100 mg/ml) in toluene – a non-polar solvent that is used during MHP QD synthesis and storage; while neither a shorter alkyl chain n-butylammonium thiocyanate (BAT; C₄H₉NH₃SCN) nor an equivalently long chained n-dodecylammonium chloride (DAC; C₁₂H₂₅NH₃Cl) could be dissolved in that solvent (**Figure S1**). In the following studies, we subjected the MHP QDs to a post-treatment of DAT by mixing them together in toluene (0.01 wt.% DAT in MHP QD dispersion; see Supporting Information, SI). CsPb(Br_xCl_{1-x})₃ QDs were synthesized according to a well-established synthesis protocol.^{11-15,}

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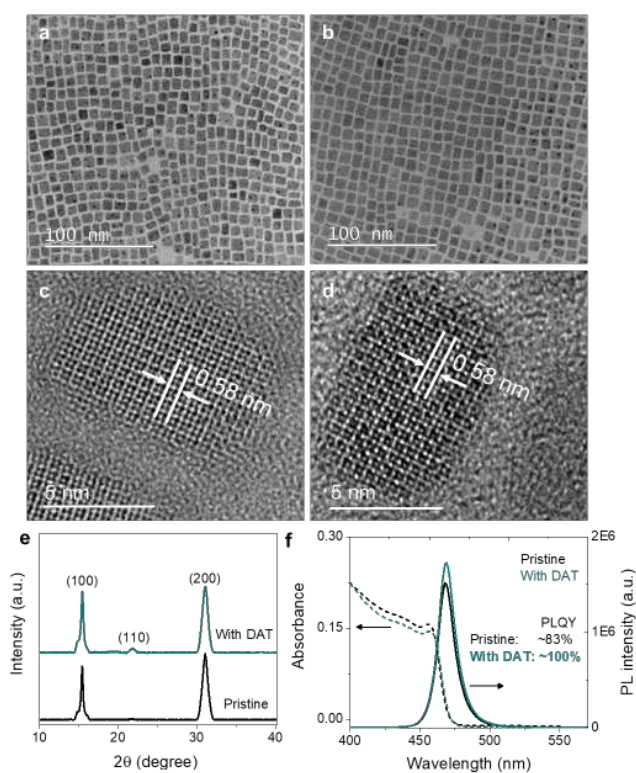


Figure 1. (a, b) Transmission electron microscopy (TEM) images of (a) pristine MHP QDs and (b) DAT-treated MHP QDs, respectively. (c, d) High-resolution TEM (HR-TEM) images of (c) pristine MHP QDs and (d) DAT-treated MHP QDs, respectively. (e) XRD patterns of pristine MHP QDs and DAT-treated MHP QDs. (f) Steady-state optical absorption and PL spectra of pristine MHP QDs and DAT-treated MHP QDs.

The influence of the DAT post-treatment on the structural properties of MHP QDs was investigated by X-ray powder diffraction (XRD) and transmission electron microscopy (TEM). The pristine MHP QDs and DAT-treated MHP QDs were found to have a cuboid shape with average sizes of 8.7 nm and 9.2 nm, respectively (**Figure 1a, b, and Figure S2**). Both pristine MHP QDs and DAT-treated MHP QDs have a lattice constant (d) of 0.58 nm, corresponding to the (100) crystal plane of the cubic phase perovskite,¹⁴⁻¹⁵ indicating

that the DAT-treatment does not alter the MHP QD's crystal structure (**Figure 1c, d**). The XRD patterns shows that both pristine MHP QDs and DAT-treated MHP QDs have a cubic phase (**Figure 1e**). To verify the presence of DAT in the MHP QD films at a high level of sensitivity, we collected secondary ion mass spectrometry (SIMS) depth profiles on drop-casted QD films.²⁹⁻³⁰ The SIMS measurements (**Figure S3**) show that the signal of sulfur in DAT-treated MHP QDs is of over one order of magnitude higher than that of the pristine QDs.

We observed a notable enhancement of the PL intensity and PLQY of these MHP QDs with DAT-treatment. The pristine MHP QDs exhibit an emission peak at 468.4 nm, with a full width at half maximum (FWHM) of ~15 nm and a PLQY of 83%. On the other hand, the DAT MHP QDs exhibit an emission peak at 468.8 nm and a PLQY that reaches to near unity (~100%) (**Figure 1f**). We note that the slight shift of emission peaks can be attributed to the minor change in the NC's size caused by DAT-treatment.¹³

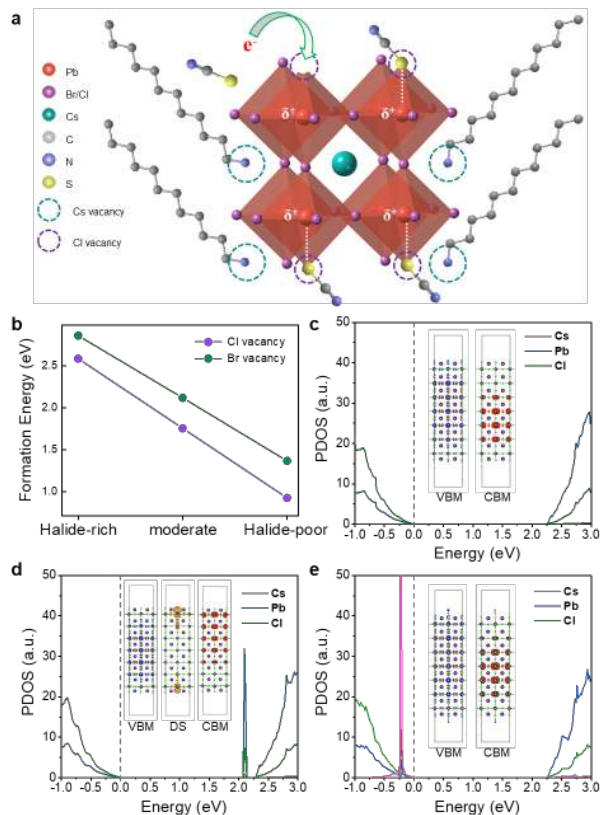


Figure 2. (a) Illustration of Cl vacancy induced Coulomb trap site formation, electron trapping, and self-assembly of organic thiocyanate (RSCN) on the defect sites in MHP. (b) Calculated defect formation energies of Cl vacancy on CsPbCl₃ (100) surface and Br vacancy on CsPbBr₃ (100) surface at different growth conditions. (c-e) Calculated project density of states (PDOS) and electronic charge densities of valence band maximum (VBM), defect state (DS), and conduction band minimum (CBM) for (c) CsCl-rich CsPbCl₃ slab, (d) CsPbCl₃ slab with surface Cl vacancies, and (e) CsPbCl₃ slab with surface filled SCN⁻ groups. The pink line shows the contribution from SCN⁻ to DOS. The valence band maximum (VBM) is set at zero energy with a vertical grey dotted line as a guide to the eye.

To further elucidate the mechanism underpinning the PLQY enhancements, we investigated the effect of DAT-treatment on the electronic properties of CsPbCl₃ by using DFT calculations. We considered three slab models which are (i) an ideal CsPbCl₃ slab, (ii) a CsPbCl₃ slab with removed surface Cl atoms, and (iii) a CsPbCl₃ slab treated with the SCN⁻ on the surface. Here the Cl vacancy is the dominant defect on the CsPbCl₃ surface as the formation energy of surface Cl vacancy is even lower than Br vacancy in CsPbBr₃, which is known as the major defect species in CsPbBr₃ (**Figure 2b**).¹⁹ In the ideal CsPbCl₃ slab, both hole and electron wavefunctions are delocalized in the bulk (**Figure 2c**). The Cl vacancy leads to the formation of a trap state that is ~0.2 eV below the conduction band with a localized charge density on the surface layer (**Figure 2d**), acting as an electron-trapping center for the nonradiative recombination. Once the Cl vacancy on the surface is filled by SCN⁻, it removes the midgap states and enriches the top of the valance band density through contributions from SCN⁻ (**Figure 2e**). The reduction of the trap states is confirmed by prolonged PL lifetime, deduced from time-resolved photoluminescence decay measurements, for the MHP films after DAT treatment (**Figure S4**).

We summarized the likely mechanisms behind the PLQY enhancement in **Figure 2a**. Cl vacancy formation leads to a net positive charge residing on a Pb atom, which is also known as an under-coordinated Pb atom.³¹ The photoexcited electrons can be electrostatically attracted into this Coulomb trap site (Cl vacancies). This view is consistent with the observation of picosecond electron trapping as the dominant nonradiative channel impairing the PLQYs of CsPbCl₃ NCs.²⁴ SCN⁻ can fill these Cl vacancies, and donate electron density to under-coordinated Pb atoms of MHP QDs³²⁻³⁴, resulting in the removal of electron traps. The co-existence of two chemical environments (Pb-halide and Pb-SCN) as demonstrated in a previous study, indicates SCN⁻'s capability of filling halide vacancies,³⁵ which is in good agreement with the proposed mechanisms underpinning the Cl vacancy passivation enabled by SCN⁻.

Encouraged by the improved PLQYs, we fabricated LEDs using these MHP QD inks with the DAT added. LEDs were structured as: indium tin oxide (ITO) glass substrate/Poly(9,9-dioctylfluorene-alt-N-(4-sec-butylphenyl)-diphenylamine) (TFB)/nafion perfluorinated resin (PFI)/MHP QDs/tris(2,4,6-triMethyl-3-(pyridin-3-yl)phenyl)borane (3TPYMB)/Liq/Al, as shown in **Figure 3a**. The cross-sectional high-angle annular dark-field scanning transmission electron microscopy (HAADF-STEM) image of the MHP QD LEDs is shown in **Figure S5**. The MHP LEDs with DAT exhibited electroluminescence (EL) with an emission peak of 471 nm and a narrow FWHM of ~17 nm (**Figure 3b**). The color coordinate of the LED is (0.129, 0.087), which nears the Rec. 2020 specifications for a primary blue emitter.

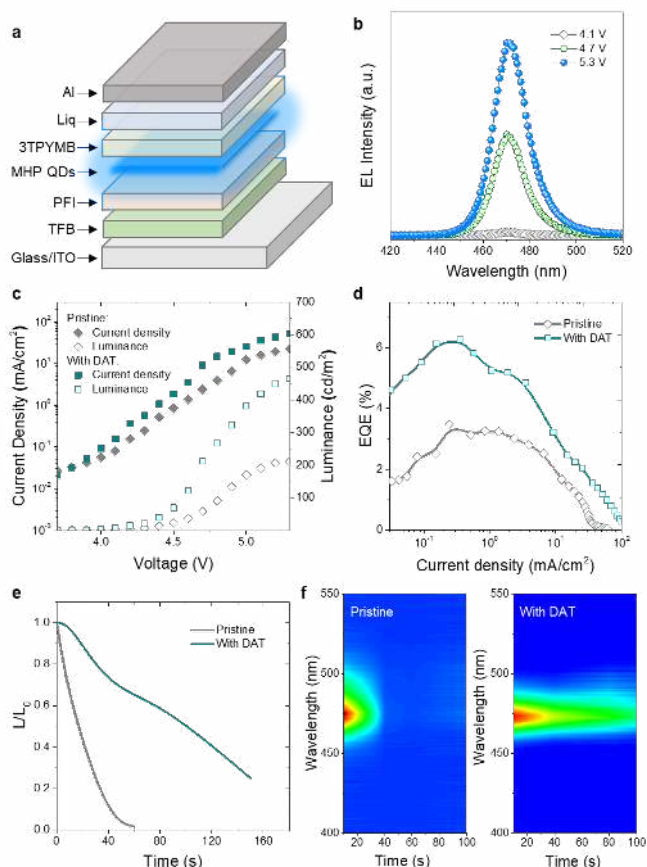


Figure 3. (a) Device structure, (b) normalized EL spectra, (c) Luminance-voltage-current density, (d) EQE-current density, (e) operational lifetimes, and (f) peak position at different operation time for pristine device and the DAT-treated device at a constant voltage bias of 4.5V.

Figure 3c shows luminance and current density characteristics as a function of applied voltage for pristine device and the DAT-treated device. The maximum luminance for DAT-treated device reaches 465 cd/cm^2 , over two times higher than the pristine device (210 cd/cm^2). The maximum EQE for DAT-treated device is 6.3% (**Figure 3d**), a record for perovskite LEDs with emission between 460–480 nm (**Table 1**). The EQE is nearly twice as high of that of pristine MHP QD LEDs (3.5%), which we attribute to the reduced Cl vacancy density and promoted radiative recombination.

To evaluate the spectral stability, we measured the EL spectra of pristine and DAT-treated devices at different voltages (**Figure S6**). The pristine MHP device shows increased emission at longer wavelength with increasing voltage bias. In stark contrast to the pristine MHP LEDs, the EL of DAT-treated devices only shows a slight shift, indicating that ion migration is more hindered in the devices with DAT. We then measured the operational stability of the MHP devices under a constant voltage bias of 4.5 V. The DAT-treated devices show a half-lifetime close to 99s, much higher than pristine MHP QD LEDs (17s) (**Figure 3e, f**). The improved device stability could be ascribed to the suppressed Cl vacancy density, which suppresses ion migration by reducing the hopping sites. It should be noted, the poor operational stability of blue perovskite LEDs – whether using quantum-

tuned pure bromide perovskite (such as CsPbBr_3) or mixed Br-Cl perovskite as emitter – is a major challenge for the field, despite the impressive improvements that are being reported lately in the EQEs of these devices. For MHP LEDs, the most obvious reason for the low stability is the ion migration occurring under the bias, which will lead to Cl-rich and Cl-poor phases. In order to enhance the operational stability of MHP LEDs, further research efforts are needed to find suitable passivating agents that also simultaneously act as strong ion migration inhibitors.

In summary, we have demonstrated a facile strategy to passivate Cl vacancy with non-polar-solvent soluble organic pseudohalides, leading to greatly enhanced efficiency and stability of MHP QD LEDs. As a result of Cl vacancy passivation, MHP QDs treated with DAT exhibit near-unity PLQYs. The Cl-vacancy passivation enabled us to realize efficient blue ($\sim 470 \text{ nm}$, i.e. in the Rec 2020 range relevant display standards) MHP QD LEDs with an EQE of 6.3% (vs. 3.5% for pristine devices) and a half-lifetime of $\sim 99 \text{ s}$ (vs. $\sim 17 \text{ s}$ for the pristine devices). This work highlights the crucial role of Cl vacancy passivation for enhancing the efficiency and stability of MHP QD LEDs, and provides an avenue for significantly improving blue perovskite LEDs relevant to display applications.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at

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Experimental details and additional data.

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Notes

The authors declare no competing financial interests.

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