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Choosing the right tool for the job: A comprehensive assessment of serological assays for SARS-CoV-2 as surrogates for authentic virus neutralization — Source link \square

Nicholas Wohlgemuth, Whitt K, Sean Cherry, Ericka Kirkpatrick Roubidoux ...+17 more authors

Institutions: St. Jude Children's Research Hospital, University of Tennessee Health Science Center, Icahn School of Medicine at Mount Sinai

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1	Choosing the right tool for the job: A comprehensive assessment of serological assays
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4	Nicholas Wohlgemuth ¹ , Kendall Whitt ² , Sean Cherry ¹ , Ericka Kirkpatrick Roubidoux ¹ , Chun-
5	Yang Lin ³ , Kim J. Allison ¹ , Ashleigh Gowen ¹ , Pamela Freiden ¹ , E. Kaitlynn Allen ³ , St. Jude
6	Investigative Team, Aditya H. Gaur ¹ , Jeremie H. Estepp ^{4,5} , Li Tang ⁶ , Tomi Mori ⁶ , Diego R.
7	Hijano ¹ , Hana Hakim ¹ , Maureen A. McGargill ³ , Florian Krammer ⁷ , Michael A. Whitt ² , Joshua
8	Wolf ¹ , Paul G. Thomas ³ , Stacey Schultz-Cherry ^{1*}
9	
10	St. Jude Investigative Team
11	David C. Brice ³ , Ashley Castellaw ³ , David E. Whittman ⁸ , Jason Hodges ⁴ , Ronald H. Dallas ¹ ,
12	Valerie Cortez ¹ , Ana Vazquez-Pagan ¹ , Richard J. Webby ¹ , Resha Bajracharya ³ , Brandi L Clark ³ ,
13	Lee-Ann Van de Velde ³ , Walid Awad ³ , Taylor L Wilson ³ , Allison M. Kirk ³ , Elaine I. Tuomanen ¹ ,
14	Randall T. Hayden ⁹ , James Hoffman ¹⁰ , Jamie Russell-Bell ¹ , James Sparks ⁵
15	
16	¹ Department of Infectious Diseases, St Jude Children's Research Hospital, Memphis, TN, USA
17	² Department of Microbiology, Immunology and Biochemistry, University of Tennessee Health
18	Science Center, Memphis, TN, USA
19	³ Department of Immunology, St Jude Children's Research Hospital, Memphis, TN, USA
20	⁴ Department of Hematology, St Jude Children's Research Hospital, Memphis, TN, USA
21	⁵ Department of Global Pediatric Medicine, St Jude Children's Research Hospital, Memphis, TN,
22	USA
23	⁶ Department of Biostatistics, St Jude Children's Research Hospital, Memphis, TN, USA
24	⁷ Department of Microbiology, Icahn School of Medicine at Mount Sinai, New York, NY, USA
25	⁸ Office of Quality and Patient Care St Jude Children's Research Hospital, Memphis, TN, USA
26	⁹ Department of Pathology, St Jude Children's Research Hospital, Memphis, TN, USA

- ¹⁰Department of Pharmaceutical Sciences, St Jude Children's Research Hospital, Memphis, TN,
- 28 USA
- 29 *Corresponding author: Stacey-Schulz-Cherry stacey.schultz-cherry@stjude.org

30 Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) emerged in late 2019 31 and has since caused a global pandemic resulting in millions of cases and deaths¹⁻⁴. 32 Diagnostic tools and serological assays are critical for controlling the outbreak⁵⁻⁷. 33 especially assays designed to quantitate neutralizing antibody levels, considered the 34 best correlate of protection⁸⁻¹¹. As vaccines become increasingly available¹², it is 35 important to identify reliable methods for measuring neutralizing antibody responses that correlate with authentic virus neutralization but can be performed outside of 36 37 biosafety level 3 (BSL3) laboratories. While many neutralizing assays using pseudotyped 38 virus have been developed, there have been few studies comparing the different assays to each other as surrogates for authentic virus neutralization^{9,10,13,14}. Here we 39 40 characterized three enzyme-linked immunosorbent assays (ELISAs) and three 41 pseudotyped VSV virus neutralization assays and assessed their concordance with 42 authentic virus neutralization. The most accurate assays for predicting authentic virus 43 neutralization were luciferase and secreted embryonic alkaline phosphatase (SEAP) 44 expressing pseudotyped virus neutralizations, followed by GFP expressing pseudotyped 45 virus neutralization, and then the ELISAs.

46 Numerous serological assays have been developed to quantitate severe acute 47 respiratory syndrome coronavirus 2 (SARS-CoV-2) antibody responses to determine total 48 antibody concentration and neutralization activity. Neutralization assays can be performed with 49 authentic virus or a pseudotyped virus expressing the SARS-CoV-2 spike (S) protein on its 50 surface and a marker to measure infection of cells. The clear advantage of a pseudotyped virus 51 is safety, as these studies can be performed in standard BSL2 laboratories. Another advantage 52 is that results using pseudotyped virus can be obtained sooner, typically less than 24 hours 53 whereas with authentic virus, plaque reduction-based neutralization assays take 2-3 days. A 54 third advantage of using pseudotypes is flexibility. Pseudotypes expressing spike variants can 55 be generated easily once the sequence is known since all that is needed is a plasmid that

56 expresses the variant of interest. One disadvantage of the pseudotyped virus neutralization 57 assay is the pseudotyped viruses lack all but the spike protein from SARS-CoV-2, meaning they 58 can only be neutralized by spike specific antibodies, and the clustering of proteins may not be 59 representative of authentic virus particles. Yet, few studies have demonstrated whether the 60 neutralization dose 50% (ND_{50}), the dilution at which 50% of virus will be neutralized, differs 61 between pseudotyped virus detection platforms and, importantly, how they compare to authentic 62 virus. To fill this gap in knowledge, we compared SARS-CoV-2 antibody titers in plasma from 24 63 PCR-positive individuals and 10 PCR negative individuals by enzyme-linked immunosorbent 64 assay (ELISA), pseudotyped virus neutralization assay, and authentic virus neutralization. Adult participants were enrolled in the prospective, adaptive cohort study of St. Jude Children's 65 66 Research Hospital employees "St. Jude Tracking of Viral and Host Factors Associated with 67 COVID-19" (SJTRC, clinicaltrials.gov #NCT04362995) beginning in April of 2020. SJTRC was 68 approved by the St. Jude Internal Review Board, and all participants provided written informed 69 consent in a manner consistent with institutional policies. Cohort characteristics are provided in 70 Supplemental Table 1. Samples were collected from PCR-positive individuals a median 33 days 71 following diagnosis (interguartile range: 25.75-48.25) between April and August 2020.

72 The ELISAs included in the comparison detect antibodies to SARS-CoV-2 spike protein, 73 nucleocapsid protein (N), or the receptor-binding domain (RBD) of the spike protein as 74 described¹⁵. Briefly, plasma samples were diluted 1:50 for RBD and N ELISAs and results 75 expressed as the ratio of the optical density (OD) from the sample over the negative control (a 76 known negative, pre-pandemic plasma sample), which is common practice. To determine spike 77 titers, plasma was diluted 1:100 to 1:8100 and an area under the curve (AUC) analysis 78 performed. The pseudotyped virus platform was a vesicular stomatitis virus (VSV) glycoprotein 79 (G) knockout VSV expressing full-length SARS-CoV-2 spike protein (VSV- Δ G-S) from the 80 Wuhan-Hu-1 strain with three different reporter genes: green fluorescence protein (GFP), 81 luciferase (Luci.) and secreted alkaline phosphatase (SEAP). Authentic virus neutralization

studies were performed under BSL3+ conditions with the 2019n-CoV/USA_WA1/2020 strain
obtained from BEI Resources.

All PCR positive participants had ELISA titers to RBD, N, and spike, although the titers 84 85 differed (Table 1). The average RBD ratio for the positive participants was 16.45 (95% CI: 14.53 86 - 18.36) and 1.62 (95% CI: 1.33 - 1.91) for negative participants while the average N ratios 87 were 9.20 (95% CI: 7.69 – 11.11) for positive participants and 1.40 (95% CI: 0.79 – 2.01) for negative participants. Spike AUC average was 6.44 (95% CI: 5.04 - 7.85) for the positive 88 89 samples (the spike ELISA was not performed on negative samples). 90 To quantitate neutralization titers, plasma was diluted 1:100 to 1:900 and tested by authentic virus and VSV-ΔG-S GFP, Luci., and SEAP pseudotyped viruses. AUC and ND₅₀ 91 92 were calculated (Table 2). The average AUC values for the authentic virus neutralization assay 93 were 51.14 (95% CI: 43.01 – 62.25) for positive participants and 10.96 (95% CI: 6.29 – 15.63) 94 for negative participants. The GFP, Luci, and SEAP pseudotyped virus neutralization assays 95 gave average AUC values of 71.07 (95% CI: 65.47 - 76.67), 54.20 (95% CI: 46.67 - 61.73), 96 and 56.14 (95% CI: 48.26 – 64.01) respectively, for positive participants and 9.33 (95% CI: 3.62 97 -15.04), 0 (95% CI: 0 - 0), and 1.21 (95% CI: 0 - 2.79) respectively for negative participants 98 (Table 2). The geometric average ND_{50} value for the authentic virus neutralization assay was 99 254.7 (95% CI: 92.97 – 697.6) for positive participants and 13.56 (95% CI: 5.08 – 36.14) for 100 negative participants compared to 1305 (95% CI: 763.5 – 2232), 404.1 (95% CI: 225.1 – 725.5), 101 and 474.3 (95% CI: 255.7–879.7), for positive participants and 12.12 (95% CI: 3.562 – 41.27), 1 102 (95% CI: 1 – 1), and 1.772 (95% CI: 0.7476 – 4.202) for negative participants respectively for 103 the GFP, Luci and SEAP pseudotyped viruses. All neutralization platforms differentiated 104 average negative and positive samples (Figure 1). While the AUC and ND₅₀ values were 105 significantly higher for the GFP pseudotyped virus compared to the authentic virus or Luci. 106 pseudotyped virus, suggesting that VSV- Δ G-S-GFP could be a more sensitive assay, it is 107 balanced by increased AUC and ND₅₀ values in negative participants. Only the Luci. and SEAP

108 pseudotyped viruses showed no background in samples from PCR-negative participants. A 109 Bland-Altman methods comparison test shows that there is systematic bias between the 110 different pseudotyped virus neutralization assays and the authentic virus neutralization assay. 111 leading to higher variability (highest for GFP pseudotypes) when the signal is low for each assay 112 (Supplemental Figure 1). However, this bias decreases when signal becomes higher, resulting 113 in the pseudotype assays becoming more concordant with authentic virus neutralization. The 114 sigmoidal relationship between the amount of analyte detected and the readout in SEAP and 115 luminescence assays could be a reason for the difference in bias between the pseudotyped 116 virus assays. Variance at the lower end of the curve is less likely to be detected above 117 background, compared to authentic virus neutralization and GFP pseudotyped virus 118 neutralization where each infectious unit is counted, and variance has the same magnitude in 119 both negative and positive samples. Furthermore, SEAP and luminescence detection kits often 120 provide controls and stringent parameters for keeping background and noise to minimal levels. 121 To determine which neutralization platforms best correlated with authentic virus, linear 122 regression analyses were performed. All pseudotyped virus neutralization platforms were 123 significantly correlated to authentic virus neutralization regardless of the reporter with Luci. 124 (Pearson's r = 0.765) and SEAP (Pearson's r = 0.775) having the highest correlations (Figure 125 2A). The pseudotyped virus neutralization assays were significantly correlated with each other 126 with Pearson's r values as high as 0.971 between the Luci. and SEAP assays. Linear 127 regression analyses demonstrated that ELISA titers to the RBD (Pearson's r = 0.691) and spike 128 (Pearson's r = 0.648) are also significantly correlated with authentic virus neutralization titers 129 (Figure 2B). Nucleocapsid ELISA was significantly correlated with authentic virus neutralization, 130 but has the worst correlation with authentic virus neutralization (Pearson's r = 0.514), which has 131 been shown previously for pseudotyped virus neutralization¹⁶. This is also congruent with the 132 observation that antibodies targeting the RBD domain of spike are highly neutralizing¹⁷. A principle component analysis (PCA) was performed using all three ELISAs and all three 133

pseudotyped virus platforms as variables (Supplemental Figure 2). The resulting PCA plots
shows distinct clustering of the samples with the highest authentic virus neutralization titers and
a gradient from poorly neutralizing samples (in the bottom left) to highly neutralizing samples (in
the top right). Finally, the average difference between the log(ND₅₀) for authentic virus
neutralization and each pseudotyped virus neutralization is -0.487 for the GFP pseudotype,
0.191 for the Luci. pseudotype, and 0.069 for the SEAP pseudotype.

140 To assess granularity in the different ELISA results, cut-off values were used to 141 categorize responses as high positive, low positive, or negative. Determination of cut-off values 142 (RBD ratio: 15, nucleocapsid ratio:10, and spike: 6) was done by finding internal nadir present in 143 histograms for the different ELISAs. The stratification of RBD ELISA responses into high and 144 low groups did not result in significantly different responses in any of the neutralization assays 145 (Figure 3A), suggesting that high RBD values do not necessarily correlate to higher 146 neutralization titers, despite RBD ELISA positivity being associated with neutralization (Figure 147 2A). Similar results were obtained for the spike ELISA (Figure 3B) and nucleocapsid ELISA 148 (Figure 3C). There was, however, a trend for increased neutralization in the high positive group 149 versus the low positive group for each neutralization assay, regardless of ELISA assay, 150 justifying future studies specifically designed to test the granularity of these assays. Congruent 151 with the findings in Figure 2, highly positive ELISA results were significantly better at 152 neutralizing than the negative samples for each ELISA.

While all the serological assays were significantly correlated with authentic virus neutralization, some assays performed better than others at predicting authentic virus neutralization (Supplemental Table 2). Based on correlation with authentic virus neutralization, the most accurate assays were the Luci. and SEAP pseudotyped virus neutralization assays. GFP pseudotyped virus neutralization, spike ELISA, and RBD ELISA form a second tier of assays which are still quite accurate at predicting authentic virus neutralization. Furthermore, the GFP pseudotyped virus neutralization was able to detect antibodies at significantly higher

dilutions than the other assays, making it the most sensitive assay tested. Despite nucleocapsid
 antigen being the basis for several common commercial antibody tests, nucleocapsid was the
 least predictive of authentic virus neutralization.

163 Collectively, these data demonstrate that VSV- ΔG pseudotyped virus neutralization 164 platforms, especially Luci. and SEAP based platforms, are better at predicting authentic virus 165 neutralization than ELISA regardless of the viral antigen tested. Not only are the Luci. and 166 SEAP based pseudotype platforms most strongly correlated to authentic virus neutralization, 167 they also have the lowest average difference in $log(ND_{50})$ compared to authentic virus 168 neutralization. Previous reports have only compared ELISA titers to pseudotyped virus neutralization¹⁶, ELISA to authentic virus neutralization¹⁸, or only one type of ELISA and one 169 170 pseudotyped virus platform against authentic virus neutralization¹⁰. Our studies provide one of 171 the most comprehensive comparisons amongst multiple ELISA antigens, pseudotyped virus 172 neutralization platforms, and authentic virus neutralization.

173 Of note, several spike and RBD positive samples showed very little authentic virus 174 neutralization despite having moderate to high neutralization on the pseudotyped virus 175 platforms. Furthermore, one sample appeared to show antibody dependent enhancement (ADE) 176 in the authentic virus neutralization assay (1.8-fold increased plaque forming units (PFU)), but 177 still showed low but detectable neutralization in all the pseudotyped virus platforms. While there 178 is no definitive role for ADE during human SARS-CoV-2 infection, ADE has been demonstrated *in vitro* with other human coronaviruses¹⁹. Further characterization of this sample and screening 179 180 for and characterizing similar samples will lead to a better understanding of the risk of ADE 181 during SARS-CoV-2 infection. Recent evidence suggests that several SARS-CoV-2 variants, 182 including B.1.351 and P.1, have decreased neutralization when treated with monoclonal 183 antibodies or polyclonal sera derived from patients infected with early strains of SARS-CoV-2²⁰⁻ 184 ²². Future studies need to assess how the mutations present in the variants differentially affect 185 ELISA, pseudotyped virus neuralization, and authentic virus neutralization.

186 In addition to accuracy, the serological assays differ in several key features 187 (Supplemental Table 2), and the assay of choice may have to be determined by the settings. 188 The requirement for a BSL3 laboratory makes authentic virus assays technically challenging 189 and unfeasible for many clinical and research applications. This can be overcome by 190 pseudotyped viruses. However, creation and validation of the different pseudotyped viruses is 191 not trivial and read-outs may require specialized equipment (e.g. luminometer for the Luci. 192 platform). Most laboratories have ready access to the equipment needed for performing ELISAs, 193 making the technical requirements for these assays low. ELISAs can also be completed within 194 several hours while the pseudotyped virus neutralization platforms require 12-24-hour 195 incubations and authentic virus neutralization requires 48-72 hours. If all technical requirements 196 have been met and are available, the assays are all relatively inexpensive, except for the Luci. 197 platform which requires expensive reagents for reading the results. If turnaround time is a 198 priority, the RBD and spike ELISAs would provide the fastest results with minor decreases in 199 predicting authentic virus neutralization response. Alternatively, in resource-limited settings like 200 field hospitals, the GFP based pseudotyped virus neutralization assay requires only a basic 201 fluorescence microscope for readout and is more predictive of authentic virus neutralization than 202 any of the ELISAs. Overall, this study shows that all six serological assays, to varying degrees, 203 correlated with authentic virus neutralization, and the optimal serological assay for assessing a 204 protective antibody response is going to be institution and guestion specific.

205

206 Table 1: SARS-CoV-2 Protein ELISA Values

			ELISA		
PCR	Sample	RBD ratio	N ratio	Spike	
		(sample/negative)	(sample/negative)	(AUC × 100)	
Positive	1	17.92	13.75	9.26	
Positive	2	16.48	14.00	12.90	
Positive	3	9.62	7.20	3.36	
Positive	4	8.79	4.45	1.97	
Positive	5	18.41	8.74	14.19	
Positive	6	10.56	6.46	8.21	
Positive	7	12.01	6.20	3.43	
Positive	8	19.10 16.61		11.38	
Positive	9	15.54	5.85	2.87	
Positive	10	16.73	10.95	3.08	
Positive	11	21.74	9.42	5.30	
Positive	12	24.08	14.14	7.24	
Positive	13	20.13	15.73	3.97	
Positive	14	19.59	13.09	5.39	
Positive	15	8.12	5.32	2.43	
Positive	16	13.76	10.37	3.89	
Positive	17	6.94	5.59	3.51	
Positive	18	21.43	5.11	12.55	
Positive	19	21.20	1.76	5.04	
Positive	20	18.76	8.33	8.03	
Positive	21	20.48	17.47	6.39	
Positive	22	18.39	9.25	7.29	
Positive	23	17.04	9.90	6.26	
Positive	24	17.90	5.82	6.74	
Negative	25	1.04	0.71		
Negative	26	2.15	1.14		
Negative	27	1.80	1.17		
Negative	28	1.72	2.81		
Negative	29	2.27	3.57		
Negative	30	0.99	0.94		
Negative	31	1.99	1.01		
Negative	32	1.45	0.68		
Negative	33	1.75	1.25		
Negative	34	1.07	0.75		

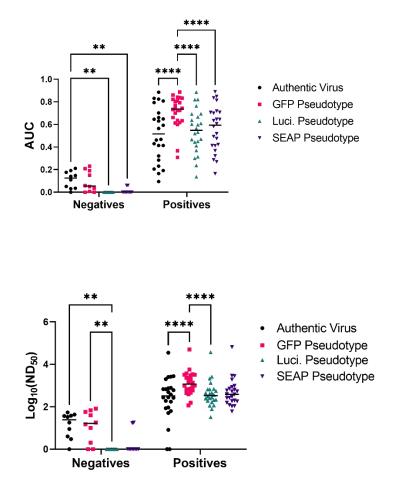
207

		Authen	tic Virus	GFP Ps	eudotype	Luci. Ps	eudotype	SEAP P	seudotype
PCR	Sample	AUC	ND ₅₀	AUC	ND ₅₀	AUC	ND ₅₀	AUC	ND ₅₀
Positive	1	0.565	380	0.739	908	0.520	276	0.582	382
Positive	2	0.630	671	0.804	2305	0.692	662	0.645	535
Positive	3	0.166	1	0.367	153	0.137	33	0.164	60
Positive	4	0.321	1	0.309	116	0.237	78	0.287	109
Positive	5	0.831	2527	0.860	5744	0.821	2162	0.836	2834
Positive	6	0.662	745	0.723	1505	0.668	692	0.706	722
Positive	7	0.206	51	0.661	672	0.467	253	0.412	181
Positive	8	0.794	2612	0.810	2997	0.764	1341	0.814	1922
Positive	9	0.095	8	0.613	665	0.314	112	0.322	121
Positive	10	0.284	86	0.633	586	0.412	193	0.368	154
Positive	11	0.649	782	0.822	3747	0.531	307	0.518	296
Positive	12	0.702	682	0.792	1995	0.597	447	0.645	527
Positive	13	0.646	606	0.837	3229	0.638	551	0.606	392
Positive	14	0.468	275	0.701	716	0.444	208	0.413	186
Positive	15	0.232	93	0.599	462	0.301	116	0.269	105
Positive	16	0.825	2769	0.833	2317	0.820	2606	0.848	2910
Positive	17	0.429	281	0.770	3222	0.470	248	0.493	259
Positive	18	0.886	35373	0.887	50378	0.886	37272	0.890	64851
Positive	19	0.808	2008	0.842	3409	0.694	662	0.700	635
Positive	20	0.593	495	0.733	983	0.565	369	0.634	589
Positive	21	0.414	175	0.744	1373	0.603	417	0.707	850
Positive	22	0.462	266	0.724	1001	0.610	444	0.719	972
Positive	23	0.192	63	0.636	390	0.450	215	0.423	197
Positive	24	0.414	190	0.617	441	0.370	163	0.474	266
Negative	25	0.031	9	0.151	40	0.000	1	0.000	1
Negative	26	0.035	3	0.191	57	0.000	1	0.000	1
Negative	27	0.141	33	0.035	10	0.000	1	0.000	1
Negative	28	0.212	54	0.007	2	0.000	1	0.000	1
Negative	29	0.176	43	0.051	15	0.000	1	0.060	18
Negative	30	0.000	1	0.231	82	0.000	1	0.000	1
Negative	31	0.192	37	0.000	1	0.000	1	0.060	17
Negative	32	0.110	18	0.209	68	0.000	1	0.000	1
Negative	33	0.051	4	0.000	1	0.000	1	0.000	1
Negative	34	0.148	38	0.059	18	0.000	1	0.000	1

209 Table 2: Authentic Virus and Pseudotyped Virus Neutralization Summary Statistics

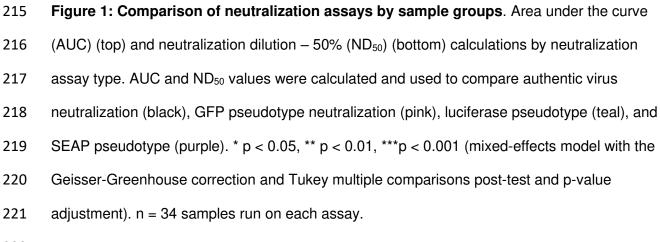
210

212 Figure 1



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20

5

10

Authentic Virus

Spike (AUC × 100)

Spike (AUC × 100)

0-

4-

3-

2.

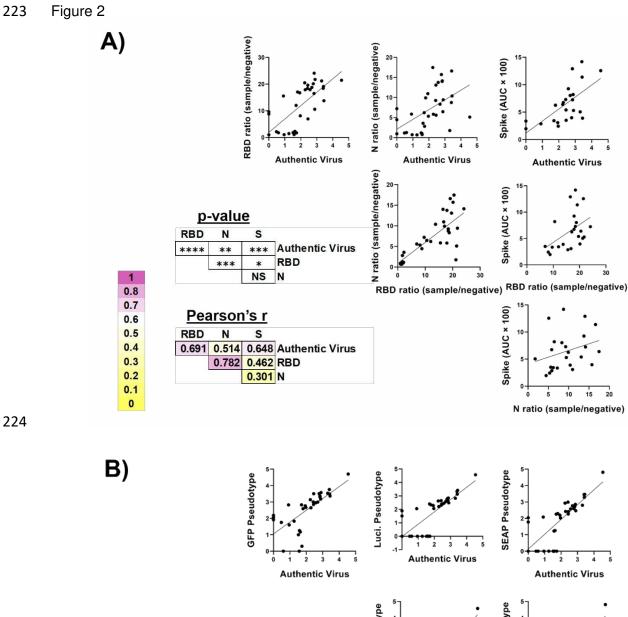
0-1

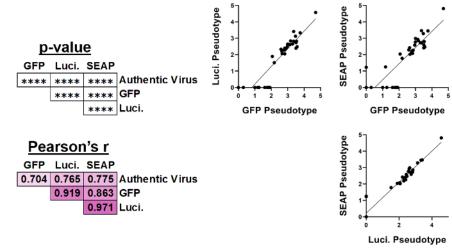
2 3 4

Authentic Virus

5 10

N ratio (sample/negative)





1

0.8 0.7

0.6

0.5

0.4

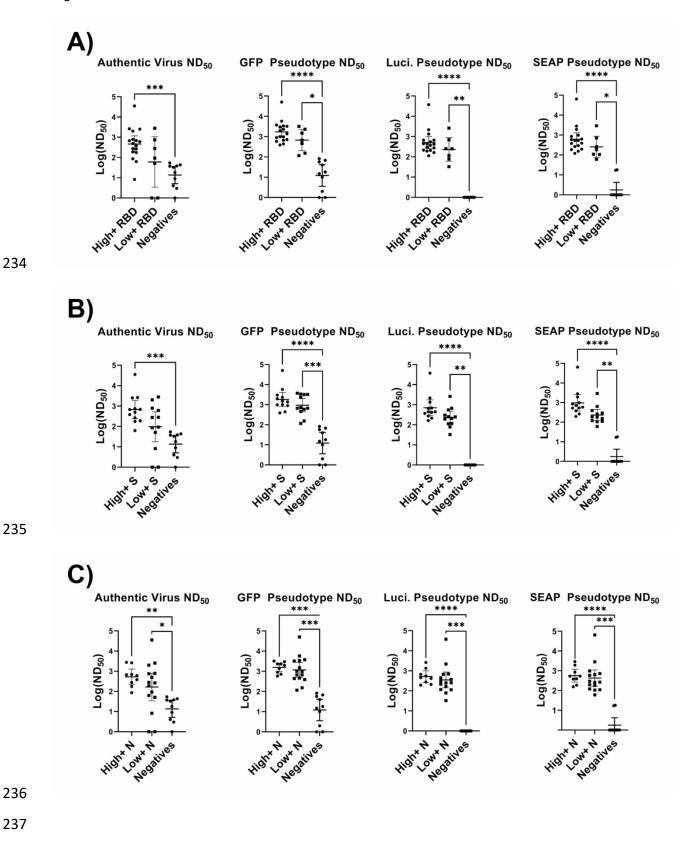
0.3

0.2 0.1 0

226 Figure 2: Correlation of SARS-CoV-2 serologic assays. A) SARS-CoV-2 specific ELISA

- assays and B) VSV pseudotyped virus neutralization assays were compared by simple linear
- regression. The Pearson's r values (a metric of correlation) and p-values corresponding to each
- graph are to the lower left of each set of graphs. The shade of background corresponds to the
- 230 degree of correlation between the two assays. * p < 0.05, ** p < 0.01, *** p < 0.001, **** p < 0.001, **** p < 0.001, **** p < 0.001, ****
- 231 0.0001.
- 232

233 Figure 3



238 Figure 3: Comparison of high positive, low positive, and negative ELISA groups across

- 239 neutralization assays. A) RBD B) spike (S) and C) nucleocapsid (N) positive samples were
- 240 divided into high and low positives by finding cut off values using histograms (RBD ratio: 15, N
- ratio: 10, and Spike: 6). Log(ND₅₀) values for the corresponding samples were then graphed
- and compared by Kruskal-Wallis test with Dunn's multiple comparisons tests. Significance
- 243 thresholds: * p < 0.05, ** p < 0.01, *** p < 0.001, p **** p < 0.0001.
- 244

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304

306 Methods

307

308 Data Availability

Authors can confirm that all relevant data are included in the paper and/or its supplementaryinformation files.

311

312 RBD/N ELISA

313 SARS-CoV-2 RBD protein was diluted to a concentration of 1.5 µg/ml in PBS and added at 50 314 µI per well to a 96-well ELISA plate. The ELISA plates were sealed and allowed to incubate at 315 4°c overnight. The next day the coating solution was removed, and the plates were blocked at 316 room temperature (RT) using 3% milk (200 µl per well) for a minimum of 1 hour but not 317 exceeding 4 hours. While the plates were being blocked, the samples were prepared by diluting 318 the plasma 1:50 in 1% milk. Following the blocking period, the milk was removed, and the plates 319 were washed 3x with 0.1% phosphate buffered saline containing 0.1% Tween-20 (PBS-T) using 320 200 μ l per well. The diluted plasma was added to the blocked plate at 50 μ l per well along with 2 321 positive controls (α SARS-CoV-2 RBD antibody at 1:5000, 1:25000, 1:125000, and 1:625000 322 dilutions and plasma from a naturally infected donor at a 1:50 dilution) and a known negative, 323 pre-pandemic plasma sample (1:50). The samples were incubated for 1.5 hours at RT and then 324 removed and washed 3x with 200 μ l 0.1% PBS-T. Goat α human IgG horseradish peroxidase 325 (HRP) conjugated secondary antibody was diluted 1:2500 in 1% milk and 50 µl was added to 326 each well of the washed plate and incubated at RT for 30 minutes. Following the incubation 327 period, the secondary was removed, and the plate was washed 3x with 0.1% PBS-T. OPD 328 substrate was prepared directly before use and added at 50 µl per well for exactly 8 minutes. 329 The O-phenylenediamine dihydrochloride (OPD) substrate was stopped by adding 50 µl of 3M 330 HCl and then the plate was read using a spectrophotometer at 490nm.

331

332 Spike ELISA

333 SARS-CoV-2 spike protein was diluted to a concentration of 2 µg/ml in PBS and added at 50 µl 334 per well to a 96-well ELISA plate. The ELISA plates were sealed and allowed to incubate at 4°c 335 overnight. The next day the coating solution was removed, and the plates were blocked using 336 3% milk (200 µl per well) for a minimum of 1 hour but not exceeding 4 hours. While the plates 337 were being blocked, the samples were prepared by creating a 3-fold serial dilution starting at 338 1:100 and ending at 1:8100 (1% milk as diluent). Following the blocking period, the milk was 339 removed, and the plates were washed 3x with 0.1% PBS-T using 200 µl per well. The diluted 340 plasma was added to the blocked plate at 50 μ l per well along with 2 positive controls (α SARS-341 CoV-2 RBD antibody at 1:5000, 1:25000, 1:125000, and 1:625000 dilutions and plasma from a 342 naturally infected donor at 1:100, 1:300, 1:900, 1:2700, and 1:8100 dilutions) and a known 343 negative, pre-pandemic plasma sample (1:100). The samples were incubated for 1.5 hours at 344 RT and then removed and washed 3x with 200 μ l 0.1% PBS-T. Goat α human IgG HRP 345 conjugated secondary antibody was diluted 1:2500 in 1% milk and 50 µl was added to each well 346 of the washed plate and incubated at RT for 30 minutes. Following the incubation period, the 347 secondary was removed, and the plate was washed 3x with 0.1% PBS-T. OPD substrate was 348 prepared directly before use and added at 50 µl per well for exactly 8 minutes. The OPD 349 substrate was stopped by adding 50 µl of HCL acid and then the plate was read using a 350 spectrophotometer at 490nm. Spike data is presented as either AUC or AUC × 100 in order to 351 plot it on the same scale as the other ELISAs.

352

353 Tissue culture

VeroE6 cells stably expressing TMPRSS2 (Vero-TMPRSS2) (XenoTech) were cultured in
Eagle's Minimal Essential Medium (EMEM) supplemented with 10% fetal bovine serum (FBS),
100 U/mL penicillin, 100 µg/mL streptomycin, and 2 mM GlutaMax (Gibco). Media was

- supplemented with 1 mg/mL G418 every other passage. All tissue culture was performed in a
 humidified incubator set to 37° C and 5% CO₂.
- 359

360 SARS-CoV-2 neutralizing antibody assay

- 361 Serially diluted plasma samples were mixed with diluted (approximately 6 PFU/cm2) SARS-
- 362 CoV-2 (2019n-CoV/USA_WA1/2020) in EMEM supplemented with 5% FBS, 100 U/mL
- 363 penicillin, 100 μg/mL streptomycin, and 2 mM GlutaMax. Mixtures were incubated for 1 h in a
- humidified incubator at 37° C and 5% CO₂. After 1 h, culture media was removed from
- approximately 90% confluent Vero-TMPRSS2 cells grown in 6-well plates and replaced with
- virus/plasma mixtures. Plates were returned to the incubator for 1 h at 37° C and 5% CO₂.
- 367 Plates were rocked manually every 15 minutes. After incubation, an agarose overlay containing
- 368 Minimal Essential Media (MEM) supplemented with 5% FBS 100 U/mL penicillin, 100 µg/mL
- 369 streptomycin, 2 mM GlutaMax, 0.075% sodium bicarbonate, 0.01 M 4-(2-hydroxyethyl)-1-
- 370 piperazineethanesulfonic acid (HEPES), and 1% low melting temperature agarose (SeaPlaque;
- 371 Lonza) was added to each well. Once agarose hardened at
- 372 RT, plates were returned to the incubator at 37° C and 5% CO₂. After 48 h, cells were fixed with
- 373 10% neutral buffered formalin for 1 h, the agar plugs were removed, and then cells were stained
- with crystal violet for 5 10 minutes. Upon rinsing with H20, plaques were visualized and
- 375 counted. All samples were run in duplicate
- 376

377 VSV-ΔG-GFP-SARS-CoV-2-S Neutralizing antibody assay

- 378 Serially diluted plasma samples were mixed with diluted and mixed with Spike/VSV-ΔG-GFP
- pseudotypes in EMEM supplemented with 5% FBS, 100 U/mL penicillin, 100 μg/mL
- 380 streptomycin, and 2 mM GlutaMax. Mixtures were incubated for 1 h in a humidified incubator at
- 381 37° C and 5% CO₂. After 1 h, culture media was removed from approximately 90% confluent
- 382 Vero-TMPRSS2 cells grown in 96-well plates and replaced with virus/plasma mixtures. Plates

were returned to the incubator at 37° C and 5% CO₂. After 24 h, IU were quantified manually
using an EVOS fluorescence microscope. All samples run in duplicate.

385

386 Luciferase Assay

387 20 hours prior to assay set up, Vero-TMRSS2 were plated in a 96-well plate at 20,000 cells per 388 well in DMEM supplemented with 5% FBS and 1 mg/mL G418. For assay set up, plasma 389 samples were initially diluted 1:100 and serially diluted 1:3 in DMEM supplemented with 5% 390 FBS. Diluted samples were mixed 1:1 with Spike/VSV- Δ G-Luciferase pseudotyped virus diluted 391 to final 250 IU per well in serum free DMEM. Mixtures were incubated for 1 hour in a humidified 392 incubator at 37° C and 5% CO₂. After the incubation period, culture medium was removed from 393 Vero-TMPRSS2 cells and virus/plasma mixture was added to the cells in triplicate. Plates were 394 incubated for approximately 18 hours in a humidified incubator at 37° C and 5% CO₂. After the 395 incubation period, Luc-Screen Extended-Glow (ThermoFisher) buffers were added to the wells 396 according to manufacturer's instructions and incubated for a minimum of 10 minutes at room 397 temperature protected from light. Luminescence was measured with a luminometer using one 398 second integration time.

399

400 SEAP Assay

401 20 hours prior to assay set up, Vero-TMRSS2 were plated in a 96-well plate at 20,000 cells per 402 well in DMEM supplemented with 5% FBS and 1 mg/mL G418. For assay set up, plasma 403 samples were initially diluted 1:100 and serially diluted 1:3 in DMEM supplemented with 5% 404 FBS. Diluted samples were mixed 1:1 with purified Spike/VSV- Δ G--SEAP pseudotyped virus 405 diluted to final 250 IU per well in serum free DMEM. Mixtures were incubated for 1 hour in a 406 humidified incubator at 37° C and 5% CO₂. After the incubation period, culture medium was 407 removed from Vero-TMPRSS2 cells and virus/plasma mixture was added to the cells in 408 triplicate. Plates were incubated for approximately 28 hours in a humidified incubator at 37° C

409 and 5% CO₂. After the incubation period, Quanti-Blue (InvivoGen) solution was combined with

410 20 μL supernatant according to manufacturer's instructions and incubated for a minimum of 15

411 minutes at 37° C protected from light. Optical density was measured at 620-655 nm.

412

413 SARS-CoV-2/VSV pseudotype production

414 VSV- Δ G pseudotypes displaying the full-length SARS-CoV-2 spike (Wuhan-Hu-1 strain) were 415 generated essentially as described²³ with the following modifications. Baby hamster kidney (BHK-21) cells in 10 cm dishes were transfected using Lipofectamine 2000 according to the 416 417 manufacturer's instructions with 24 µg of a plasmid encoding a codon-optimized cDNA for the SARS-CoV-2 spike¹⁵, which was generously provided by Florian Krammer. Approximately 20-24 418 419 hours later the transfected cells were infected at a multiplicity of 5 with VSV-G pseudotyped ΔG -420 GFP, luciferase, or SEAP. Virus was adsorbed for 1 hr, the inoculum was removed, cells were rinsed once with serum-free DMEM and then 4 ml of hybridoma supernatant containing the I1 421 422 monoclonal antibody²⁴ was added for 30 minutes to neutralize residual VSV-ΔG pseudotyped 423 virus from the inoculum and then replaced with DMEM containing 20% fetal bovine serum. The 424 supernatant containing the spike- ΔG pseudotypes was collected 22-24 hours later, cell debris 425 was removed by centrifugation at 450 x g for 10 minutes. For the Δ G-GFP and luciferase 426 pseudotypes, the supernatant was aliguoted and stored at -80° C. For the Δ G-SEAP 427 pseudotypes, the supernatant was transferred to a Beckman SW41 tube, underlayered with 428 sterile 20% sucrose in PBS, and virus was pelleted at 35,000 rpm for 45 minutes is a SW41 429 swinging bucket rotor. Pelleting virus was required to separate it from SEAP released from the 430 infected cells. The pellets were resuspended in DMEM containing 20% FBS and stored at -80° 431 C.

432

433 Statistics

434 Area under the curve (AUC) and neutralization dilution -50% (ND₅₀) analyses were performed 435 in GraphPad Prism (version 9.0.0): non-linear regression (dose-response). Pearson's r values 436 for comparing assays by percent maximum AUC were calculating using simple linear regression 437 analysis in GraphPad Prism. AUC and ND_{50} values for the different assays were compared by 438 mixed-effects model with the Geisser-Greenhouse correction and Tukey multiple comparisons 439 post-test and p-value adjustment in GraphPad Prism (version 9.0.0). Kruskal-Wallis tests with 440 Dunn's multiple comparisons tests. were performed to compare neutralizing antibody responses 441 between highly positive ELISA samples, low positive ELISA samples, and negative samples. 442 Principle component analysis (PCA) was performed in GraphPad Prism (version 9.0.0) with 443 principle components selected based on parallel analysis. A 95% percentile level was used, and 444 1000 simulations were performed for the PCA. The Bland-Altman analyses were performed in 445 GraphPad Prism (version 9.0.0).

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456		

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475

476 Author contributions

- 477 Conceptualization: N.W., K.W., S.C., E.K.R., S.S.-C.
- 478 Conception, design, and oversight of parent study; SJTRC: J.H., H.H., K.J.A., R.D., A.H.G.,
- 479 J.H.E., J.W., and P.G.T.
- 480 Formal Analysis: NW., K.W., S.C., E.K.R., T.M., L.T.
- 481 Investigation: N.W., K.W., S.C., E.K.R.
- 482 Methodology: NW., K.W., S.C., E.K.R., C.Y.L. E.K.A., P.F., M.A.M.
- 483 Resources: F.K., M.A.W.

- 484 Sample acquisition and curation: K.J.A., A.G., A.H.G., E.K.A., J.H.E., J.W., and P.G.T.
- 485 Writing, original draft: N.W., E.K.R.
- 486 Writing, review, and editing: N.W., K.W., S.C., E.K.R., M.A.W., H.H., J.H.E, A.H.G., T.M., T.L.,
- 487 D.R.H., M.A.M., F.K., J.W., P.G.T., S.S.-C.
- 488 Visualization: N.W.
- 489 Supervision: S.S.-C.
- 490

491 Competing interest's declaration

492 The Icahn School of Medicine at Mount Sinai has filed patent applications relating to SARS-

493 CoV-2 serological assays and NDV-based SARS-CoV-2 vaccines which name FK as inventor.

- 494 FK would also like to note the following, which could be perceived as a conflict of interest: He
- 495 has previously published work on influenza virus vaccines with S. Gilbert (University of Oxford),
- 496 has consulted for Curevac, Merck and Pfizer (before 2020), is currently consulting for Pfizer,
- 497 Seqirus and Avimex, his laboratory is collaborating with Pfizer on animal models of SARS-CoV-
- 498 2, his laboratory is collaborating with N. Pardi at the University of Pennsylvania on mRNA
- 499 vaccines against SARS-CoV-2, his laboratory was working in the past with GlaxoSmithKline on
- 500 the development of influenza virus vaccines and two of his mentees have recently joined
- 501 Moderna. No other others have conflicts of interest to report.