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Circ_0000043 promotes breast cancer cell proliferation, migration, invasion and epithelial-mesenchymal transition via the miR-136/ Smad3 axis

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42 Abstract

43 Circular RNAs (circRNAs) are a type of tissue-specific RNA with more stable structure than
44 linear RNAs, and its association with breast cancer (BC) is poorly understood. This study aimed at
45 probing the biological effect of circ_0000043 in the progression of BC. In this study, expression
46 of circ_0000043 in BC tissue samples was measured using quantitative real-time polymerase
47 chain reaction (qRT-PCR). Immunohistochemistry (IHC) and Western blot were used to detect the
48 expression of Smad family member 3 (Smad3). CCK-8, wound healing and Transwell assays were
49 used to assess the effect of circ_0000043 in regulating BC cell proliferation, migration and
50 invasion. Moreover, the binding relationships between circ_0000043 and miR-136, and miR-136
51 and Smad3 were detected by dual-luciferase reporter assay. Additionally, Western blot was used
52 to detect the expressions of markers related to epithelial-mesenchymal transition (EMT),
53 including E-cadherin, N-cadherin and vimentin. Our results showed that circ_0000043 expression
54 was up-regulated in BC tissues and cell lines. Proliferation, migration, invasion and EMT of BC
55 cells were significantly inhibited by circ_0000043 knockdown, and overexpression of
56 circ_0000043 had the opposite effects. Additionally, circ_0000043 could up-regulate Smad3
57 expression by sponging miR-136. In conclusion, our study demonstrates that circ_0000043 can
58 promote BC progression via regulating the miR-136/Smad3 axis.

59 **Key words:** circ_0000043, breast cancer, miR-136, Smad3

60

61 **Introduction**

62 Breast cancer (BC) is one of the most common tumors among women (Donepudi et al. 2014; Zeng
63 et al. 2014). Its etiology is complex and related to genetic background, hormone levels, nutrition,
64 environmental factors, etc. (Chen et al. 2016a). At present, there are still great challenges
65 depriving BC patients of satisfactory clinical outcomes. Molecular targeted therapy becomes the
66 focus of cancer research because of its low toxicity, few side effects and high efficiency.
67 Therefore, it is particularly crucial to study the molecular mechanism of BC progression and seek
68 novel therapy targets for BC.

69

70 Circular RNA (circRNA) is a class of endogenous non-coding RNAs widely found in the
71 eukaryotic transcriptome. Unlike linear RNA, circRNA is not easy to be degraded and has a more
72 stable structure. As a molecular sponge of miRNA or protein-binding RNA, circRNA can regulate
73 gene expressions at the transcriptional or post-transcriptional level (Meng et al. 2017; Qu et al.
74 2015; Xu et al. 2018). CircRNA is regarded as a promising biomarker for disease diagnosis and
75 therapy target for disease treatment, and figures prominently in the pathogenesis of human
76 diseases, especially in cancers (Meng et al. 2017; Qu et al. 2015; Xu et al. 2018). However, the
77 function of circRNA in BC has not been fully clarified.

78

79 MiRNA is a class of small non-coding RNA with 20-24 nucleotides in length. MiRNA inhibits
80 translation through pairing with the 3'UTR of the target mRNA and participates in multiple
81 biological activities, including proliferation, apoptosis, differentiation and so on (Gong et al. 2018;
82 Jannot and Simard 2006; Lu et al. 2005). MiR-136 is linked to tumorigenesis and cancer
83 progression. MiR-136 expression is reported to be up-regulated in human and mice lung cancer
84 (Liu et al. 2010). In addition, miR-136 is highly expressed in the T-cell leukemia Jurkat cell line
85 (Yu et al. 2006). Importantly, miR-136 expression is down-regulated in BC cancer tissues, and
86 miR-136 can inhibit BC progression (Yan et al. 2016). However, the mechanism of miR-136
87 dysregulation in BC awaits further elucidation.

88

89 Belonging to Smad protein family, Smad family member 3 (Smad3) is a crucial transcription
90 factor in the TGF- β /Smad signaling pathway. Besides, it is involved in various biological
91 processes, including cell proliferation and differentiation, embryogenesis, angiogenesis, bone
92 formation, tumorigenesis and epithelial-mesenchymal transition (EMT) (Huang et al. 2018;
93 Wiercinska et al. 2011). It is reported that the expression of Smad3 is abnormally
94 up-regulated/activated in BC. For example, TGF- β is found to strongly induce MMP2 and MMP9
95 in a Smad3-dependent manner in BC cells, and TGF- β -induced invasion of BC cells is reversed by
96 knockdown of Smad3 (Wiercinska et al. 2011). The mutation of Smad3 phosphorylation site will
97 impair the tumorigenesis and metastasis of BC cancer cells (Huang et al. 2018). However, little is
98 known concerning the mechanism of its up-regulation and activation in BC.

99

100 There is little research about circRNA_0000043. Reportedly, circRNA_0000043 expression is
101 up-regulated in endometrial cancer tissues and its overexpression can promote proliferation,
102 migration and invasion of endometrial cancer cells (Zong et al. 2020). Interestingly, in lung
103 adenocarcinoma cells, Smad3 is negatively regulated by miR-136 (Yang et al. 2013).
104 Additionally, CircInteractome database indicates that circRNA_0000043 can probably interact
105 with miR-136 and repress it. These data suggest that circRNA_0000043 may function as an
106 oncogenic circRNA in BC by regulating miR-136 and Smad3. This study explored the regulatory
107 effects of the circ_0000043/miR-136/Smad3 axis on the progression of BC, which might provide
108 new targets for the treatments of BC.

109

110 **Materials and Methods**

111 *Tissue samples collection*

112 The cancer tissues of 40 BC patients who received surgery in the Affiliated Tumor Hospital of
113 Xinjiang Medical University from May 2018 to May 2019 were randomly selected. None of the
114 patients enrolled received neoadjuvant treatments prior to the surgery. The specimens of control
115 group were from the paracancerous tissue of the same patients (at least 3 cm from the surgical

116 margin), and no cancer cells were found through postoperative pathological examination. All
117 specimens were removed during the surgery and immediately stored in liquid nitrogen at -196 °C
118 for the subsequent experiments. Our study was ratified by the Research Ethics Committee of the
119 Affiliated Tumor Hospital of Xinjiang Medical University and the informed consent of all the
120 patients was obtained.

121

122 ***Cell culture and cell transfection***

123 Human normal breast epithelial cell line (MCF-10A) and BC cell lines (MDA-MB-231, MCF7
124 and ZR751) were purchased from the Institute of Biochemistry and Cell Biology of the Chinese
125 Academy of Sciences (Shanghai, China). These cells were cultured in RPMI-1640 medium
126 (Gibco, Grand Island, NY, USA) containing 10 % fetal bovine serum (FBS, HyClone, Logan, UT,
127 USA), 100 U/mL penicillin and 0.1 mg/mL streptomycin (Sigma, St. Louis, MO, USA). The cells
128 were cultured in an incubator with 5 % of CO₂ (volume fraction) at 37 °C.

129 pcDNA empty vector (NC), pcDNA-circ_0000043, shRNA normal control (sh-NC), shRNA
130 against circ_0000043 (sh-circ_0000043), miRNA control (miR-NC), miR-136 mimics and
131 miR-136 inhibitors were designed and provided by GenePharma Co., Ltd. (Shanghai, China).
132 ZR751 and MDA-MB-231 cells were inoculated on 24-well cell culture plates with 3×10^5 cells/
133 well. Lipofectamine®3000 (Invitrogen, New York, CA, USA) and plasmids or oligonucleotides
134 mentioned above were mixed, and cells were cultured in RPMI-1640 medium without FBS for 24
135 h. Then the mixture was added to the medium. After 24 h, the medium was changed to complete
136 medium, and quantitative real-time polymerase chain reaction (qRT-PCR) was used to detect
137 transfection efficiency after 36 h of culture.

138

139 ***RNA extraction and qRT-PCR***

140 Total RNA of tissue or cell was extracted using TRIzol reagent (Invitrogen, New York, CA,
141 USA). Nanodrop-spectrophotometer was used to detect RNA concentration and purity. According
142 to the manufacturer's instruction, PrimeScript-RT Kit (Madison Biotechnology Co., Ltd, Madison,
143 WI, USA) was used to synthesize the complementary DNA (cDNA), and then, with cDNA as the
144 template, qRT-PCR was performed using SYBR® Premix-Ex-Taq™ (Takara, Osaka, Japan) on
145 ABI 7300 Real-time PCR System (Applied Biosystems, Foster City, CA, USA). GAPDH was the

146 internal reference of circ_0000043 and Smad3, and U6 was the internal reference of miR-136. The
147 primers were designed, synthesized and provided by RiboBio Co., Ltd. (Guangzhou, China). The
148 primers for qRT-PCR were shown in Table 1.

149

150 ***Immunohistochemistry (IHC)***

151 BC tissues and normal tissues were fixed in 10 % formaldehyde and embedded in paraffin. After
152 that, the paraffin-embedded tissues were cut into 4- μ m-thick sections. After dewaxing, rehydration
153 and antigen recovery, the sections were incubated with anti-Smad3 antibody (ab40854, 1: 500,
154 Abcam, Shanghai, China) at 4 °C for 12 h, and then washed with PBS, followed by the incubation
155 with biotin-linked antiserum for 1 h at room temperature. Next, the sections were washed again
156 and stained with DAB (Beyotime, Shanghai, China) for 1 min. Then the sections were stained
157 with hematoxylin for 1 min, observed and then scored by two independent pathologists.

158

159 ***Cell counting kit-8 (CCK-8) assay***

160 ZR751 and MDA-MB-231 cells from each group were harvested and inoculated into 96-well
161 plates with a density of 2×10^3 / well. After that, the culture plates were put into an incubator.
162 After 12 h, 10 μ L CCK-8 solution (Beyotime, Shanghai, China) was added to each well, with
163 which the cells were incubated for 4 h. Subsequently, the absorbance of cells at 450 nm was
164 measured by a microplate reader. The same operation was repeated at 24 h, 48 h, 72 h and 96 h
165 after inoculation, respectively, and after that, the proliferation curve was plotted.

166

167 ***Wound healing assay***

168 ZR751 and MDA-MB-231 cells in logarithmic growth phase were collected and inoculated in
169 24-well plates at a density of 2.5×10^5 cells / well and cultured in an incubator with 5 % CO₂ at 37
170 °C. After the cells fully covered the bottom of the wells, the medium was discarded, and cells were
171 rinsed with PBS twice. 200 μ L pipette was employed to make a scratch. After that, cells were
172 rinsed with PBS again, and serum-free medium was loaded into each well. The scratch was
173 observed and photographed under a microscope. Then cell culture was continued, and the scratch
174 was observed and photographed again after 24 h.

175

176 Transwell assay

177 The ZR751 and MDA-MB-231 cells were harvested and resuspended with serum-free RPMI-1640
178 medium (Gibco, Grand Island, NY, USA), and the cell density was modulated to 1×10^5 cells/ml.
179 Then 200 μ L cell suspension and RPMI-1640 medium containing 10 % FBS were added into the
180 upper compartment and the lower compartment of each Transwell chamber (8 μ m pore diameter,
181 Corning, Shanghai, China) coated with Matrigel (BD Biosciences, Franklin Lakes, NJ, USA),
182 respectively, before the cells were cultured for 12 h. Then, the Transwell chamber was removed
183 and the non-invaded cells on the membrane were wiped off with a cotton swab. The invaded cells
184 were fixed with 4 % paraformaldehyde and stained with crystal violet. Finally, under the
185 microscope, five fields of view on the Transwell membrane were randomly selected to calculate
186 the number of cells passing through the membrane, so as to evaluate the cell invasive ability.

187

188 Dual-luciferase reporter gene assay

189 In brief, wild type (WT) and mutant (MUT) luciferase reporter vectors (circ_0000043-WT,
190 circ_0000043-MUT, Smad3-WT and Smad3-MUT) were co-transfected into MDA-MB-231 cells
191 with miR-136 mimics or miR-NC using lipofectamine[®]3000 (Invitrogen, New York, CA, USA).
192 After 48 h, luciferase activity in each group was determined with Dual-Luciferase Reporter Assay
193 System (Promega, Madison, WI, USA) in compliance with the manufacturer's protocols.

194

195 RNA immunoprecipitation (RIP) assay.

196 RIP assay was performed using the Magna RIP[™] RNA Binding Protein Immunoprecipitation kit
197 (Millipore, Billerica, MA, USA) in line with manufacturer's instructions. In brief, MDA-MB-231
198 cells were collected and lysed in cleavage buffer. Next, 200 μ L cell lysate was incubated with
199 anti-Ago2 antibody or rabbit IgG-coupled magnetic beads at 4 °C overnight. The complex
200 containing magnetic beads/antibody was washed and then resuspended using RIP washing buffer.
201 After being treated with protease K buffer, total RNA was extracted from the immunoprecipitate,
202 and qRT-PCR was performed to detect the expression levels of circ_0000043 and miR-136.

203

204 Western blot

205 RIPA lysate (Beyotime Biotechnology, Shanghai, China) was used to extract the total protein of

206 the ZR751 and MDA-MB-231 cells, and the BCA protein detection kit (Pierce, Rockford, IL,
207 USA) was used to detect the protein concentration. Sodium dodecyl sulfate polyacrylamide gel
208 electrophoresis (SDS-PAGE) was utilized to separate the protein, and the protein was then
209 electrophoretically transferred to polyvinylidene fluoride (PVDF) membrane (Millipore, Bedford,
210 MA, USA). Next, the membrane was blocked at room temperature with 5 % skim milk for 2 h at
211 room temperature, and then the primary antibodies, including anti-Smad3 antibody (ab40854,
212 1:1000, Abcam, Shanghai, China), anti-E Cadherin antibody (ab1416, 1:1000, Abcam, Shanghai,
213 China), anti-N-Cadherin antibody (ab18203, 1:1000, Abcam, Shanghai, China), anti-Vimentin
214 antibody (ab8978, 1:1000, Abcam, Shanghai, China) and anti-GAPDH antibody (ab181602,
215 1:2000, Abcam, Shanghai, China), were added to incubate the membrane at 4 °C for 12 h. After
216 that, the membrane was washed, and HRP-labeled secondary antibody (ab205718, 1:2000,
217 Abcam, Shanghai, China) was added, with which the membrane was incubated at room
218 temperature for 1 h. Moreover, ECL chemiluminescence kit (Millipore, Bedford, MA, USA) was
219 used for band development.

220

221 ***Statistical Methods***

222 SPSS 17.0 statistical software (SPSS Inc., Chicago, IL, USA) was employed for data analysis. The
223 measurement data were expressed as mean \pm standard deviation ($\bar{x} \pm s$). Comparison between the
224 two groups was tested by *t*-test. The counting data in two groups were compared by χ^2 test. The
225 difference was statistically significant with $P < 0.05$.

226

227 **Results**

228 ***Circ_0000043 was highly expressed in BC tissues and cells.***

229 First of all, we investigated the expression of circ_0000043 in BC tissues and cells. The results of
230 qRT-PCR showed that compared with in normal breast tissues and MCF-10A cells, the expression
231 of circ_0000043 in BC tissues and cell lines (MDA-MB-231, MCF7 and ZR751) was increased
232 (Figure 1A-B). In addition, we analyzed the correlation between circ_0000043 expression and
233 pathological characteristics. The data indicated that high expression of circ_0000043 was
234 remarkably associated with lymph node metastasis and poor differentiation of tumor tissues (Table
235 2). These results implied that high expression of circ_0000043 was related to poor prognosis of

236 patients.

237

238 ***Effects of circ_0000043 on BC cell proliferation, migration, invasion and***
239 ***epithelial-mesenchymal transition (EMT).***

240 Next, we pinpointed the biological function of circ_0000043 in BC. Circ_0000043 overexpression
241 and knockdown cell lines were constructed with ZR751 and MDA-MB-231 cell lines,
242 respectively, and qRT-PCR proved that the transfection was successful. (Figure 2A-B). CCK-8
243 assay results depicted that the proliferation of ZR751 cells was up-regulated after circ_0000043
244 overexpression, and circ_0000043 knockdown repressed the proliferation of MDA-MB-231 cells
245 (Figure 2C-D). Wound healing and Transwell assays manifested that the migration and invasion
246 abilities of ZR751 cells were markedly up-regulated after overexpression of circ_0000043, while
247 knocking down circ_0000043 had the opposite effects in MDA-MB-231. (Figure 2E-F). Western
248 blot results unveiled that compared with the control group, the expression of the epithelial cell
249 marker E-cadherin in ZR751 cells with circ_0000043 overexpression was markedly reduced,
250 while the expressions of mesenchymal cell markers N-cadherin and Vimentin were remarkably
251 increased; conversely, knocking down circ_0000043 in MDA-MB-231 had reversed effects
252 (Figure 2G). These results revealed that circ_0000043 could serve as a cancer-promoting circRNA
253 to promote BC cell proliferation, local migration and invasion and EMT process.

254

255 ***Circ_0000043 directly interacted with miR-136.***

256 Bioinformatics database CircInteractome suggested that circ_0000043 contained a potential
257 binding site for miR-136 (Figure 3A). Dual-luciferase reporter assay was performed to verify the
258 relationship between them, and miR-136 mimics or the negative controls were co-transfected into
259 MDA-MB-231 cells, the findings of which demonstrated that miR-136 mimics could dramatically
260 reduce the luciferase activity of circ_0000043-WT reporter, but had no significant effect on the
261 luciferase activity of circ_0000043-MUT reporter (Figure 3B). This result ascertained the specific
262 base pairing relationship between circ_0000043 and miR-136. Moreover, we performed RIP
263 experiment, and MDA-MB-231 cells were transfected with miR-136 mimics or the control
264 miRNAs. The results showed that compared with the control group, anti-Ago2 antibody enriched
265 more circ_0000043 (Figure 3C), which implied a direct interaction between miR-136 and

266 circ_0000043. Additionally, qRT-PCR experiments indicated that after overexpression and
267 knockdown of circ_0000043, the expression of miR-136 in BC cell lines was down-regulated and
268 up-regulated, respectively (Figure 3D-E). These results betokened that circ_0000043 could target
269 miR-136 and inhibit its expression.

270

271 ***Smad3 was a downstream target of miR-136***

272 In order to elaborate on the downstream molecular mechanism of miR-136, we screened candidate
273 targets of miR-136 with TargetScan. It was suggested that miR-136 could probably bind to the
274 3'UTR of Smad3 mRNA (Figure 4A). The dual-luciferase reporter assay in MDA-MB-231 cells
275 manifested that miR-136 could remarkably down-regulate the luciferase activity of Smad3
276 3'UTR-WT reporter, but had no remarkable effect on that of Smad3 3'UTR-MUT reporter (Figure
277 4B). Furthermore, Western blot assay was utilized to detect the relationship between Smad3 and
278 miR-136 or circ_0000043 in BC cell lines. The results uncovered that after the transfection of
279 miR-136 mimics and inhibitors, the expression level of Smad3 in BC cells was down-regulated
280 and up-regulated, respectively (Figure 4C). Additionally, the expressions of Smad3 in ZR751 with
281 circ_0000043 overexpression and MDA-MB-231 cells with circ_0000043 knockdown were
282 up-regulated and down-regulated, respectively (Figure 4D). These results unearthed that in BC
283 cells, Smad3 was a target gene of miR-136 and was positively regulated by circ_0000043.

284

285 ***Circ_0000043 regulated BC cell proliferation, migration, invasion and EMT*** 286 ***through miR-136/Smad3 axis.***

287 Next, we transfected miR-136 mimics into ZR751 cells with high expression of circ_0000043, and
288 the transfection efficiency was confirmed by qRT-PCR (Figure 5A-C). The results of functional
289 experiments displayed that the proliferation, migration and invasion of ZR751 cells in
290 circ-0000043 / miR-136 group were significantly inhibited than those in circ-0000043 / miR-NC
291 group (Figure 5D-F). In addition, compared with in the circ_0000043 / miR-NC group, the
292 expression of E-cadherin in the circ_0000043 / miR-136 group was markedly enhanced, while the
293 expressions of N-cadherin and Vimentin were decreased dramatically. (Figure 5G). These results
294 confirmed that miR-136 could partially reverse the function of circ_0000043 in promoting BC cell
295 proliferation, migration, invasion and EMT, which further illustrated that circ_0000043 could

296 promote the proliferation, local migration and invasion and EMT of BC cells by regulating the
297 miR-136/Smad3 axis.

298

299 ***The correlations among circ_0000043, Smad3 and miR-136 in BC tissues.***

300 At last, we used Pearson's correlation analysis to detect the correlations among circ_0000043,
301 Smad3 mRNA and miR-136 in BC tissue samples, the results of which demonstrated that in BC
302 tissues, there were negative correlations between expressions of miR-136 and circ_0000043, and
303 miR-136 and Smad3 mRNA; there was a positive correlation between the expressions of
304 circ_0000043 and Smad3 mRNA (Figure 6A-C). The results of immunohistochemistry further
305 authenticated that high expression level of Smad3 protein in BC tissues was associated with high
306 expression of circ_0000043 (Figure 6D). These results further validated that there were regulatory
307 relationships among circ_0000043, miR-136 and Smad3 in BC.

308

309 **Discussion**

310 Accumulating studies report that abnormal expressions of circRNAs are involved in the
311 progression of tumors. For example, circ_0000064 expression is up-regulated in lung cancer
312 tissues and cells, and its high expression is related to adverse clinical characteristics, including
313 higher T stage and lymph node metastasis; knockdown of circ_0000064 represses the malignant
314 phenotypes of lung cancer cells (Luo et al. 2017); circPTK2 inhibits the expression of TIF1 γ in
315 non-small cell lung cancer, thereby blocking the TGF- β -induced EMT process (Wang et al. 2018).
316 Importantly, multiple circRNAs are found to be abnormally expressed in BC tissues, and they are
317 proved to be crucial modulator during BC progression. A study demonstrates that circ-Foxo3 is
318 underexpressed in BC tissues and cell, and its ectopic expression induces stress-induced apoptosis
319 and reduces proliferation of cancer cells (Du et al. 2017); circ-Dnmt1 interacts with both p53 and
320 AUF1, and promotes the nuclear translocation of them, which triggers autophagy to promote
321 cancer progression (Du et al. 2018); additionally, circANKS1B up-regulates the expression of
322 TGF- β 1, thus activating the TGF- β 1/Smad signaling pathway and promoting EMT (Zeng et al.
323 2018). Interestingly, circ_0000043 was found to promote endometrial cancer cell proliferation and
324 migration via targeting miR-136/NOTCH3 pathway (Zong et al. 2020). In this study, it was

325 observed that the expression of circ_0000043 was significantly up-regulated in BC tissues and cell
326 lines; high expression of circ_0000043 was associated with adverse pathological indicators of the
327 patients; overexpression of circ_0000043 could promote BC cell proliferation, local migration and
328 invasion and EMT, while knocking down circ_0000043 functioned oppositely. For the first time,
329 circ_0000043 was identified as an oncogenic circRNA in BC in this study. These data also
330 suggested that circ_0000043 was a potential biomarker and therapy target for BC.

331

332 Multiple miRNAs are abnormally expressed in many tumors, and they can function as a tumor
333 promoter or suppressor (Caldas and Brenton 2005). Even though miR-136 expression is reported
334 to be up-regulated and function as an oncogenic miRNA in several cancers such as leukemia and
335 lung cancer, in most cancers, miR-136 is well known as a tumor suppressor (Liu et al. 2010; Yu et
336 al. 2006). For instance, in esophageal squamous cell carcinoma, it induces the apoptosis of cancer
337 cells and increases the radiosensitivity by repressing mucin 1 (Huang et al. 2019). In
338 hepatocellular carcinoma, miR-136 inhibits proliferation and migration of cancer cells by targeting
339 cyclooxygenase 2 (Abulizi et al. 2019). In osteosarcoma, decreased miR-136 expression is
340 significantly associated with higher Enneking staging and distant metastasis (Chu et al. 2019).
341 Some researches confirm that miR-136 is a tumor suppressor in BC. In triple-negative breast
342 cancer, it suppresses tumor invasion and metastasis by down-regulating RAS protein activator like
343 2 (Yan et al. 2016). Additionally, it is reported that down-regulation of miR-136 expression in BC
344 can activate the Wnt / β -catenin signaling pathway, thereby promoting cancer progression (Huan
345 et al. 2017). Our results suggested that miR-136 mimics could partially reverse the effect of
346 circ_0000043 on the progression of BC, which is consistent with previous reports (Huan et al.
347 2017; Yan et al. 2016). Additionally, in this work, it was illustrated that Smad3 was a target gene
348 of miR-136 in BC, which further clarified the mechanism of miR-136 in cancer biology.

349

350 Smad3 is a crucial mediator in multiple signaling pathways in cancer biology (Chen et al. 2016b;
351 Derynck et al. 1996). In breast cancer, CCL2/CCR2 axis coordinates the survival and movement
352 of BC cells through Smad3 and p42/44MAPK-dependent mechanisms (Fang et al. 2012).

353 Transcriptional activation of EGFR induced by TGF- β in BC is mediated by Smad3 and ERK/Sp1
354 signaling pathways (Zhao et al. 2018). Additionally, a recent study indicates that HDAC8
355 cooperates with Smad3/4 complex to suppress SIRT7 and promote BC progression (Tang et al.
356 2020). In our work, a novel mechanism by which Smad3 expression is up-regulated was
357 presented. It was demonstrated that Smad3 was negatively regulated by miR-136, but positively
358 regulated by circ_0000043.

359

360 It should be noted that this study has several limitations. Above all, *in vivo* experiments, such as
361 nude mice tumorigenesis assay, are required to further verify the oncogenic function of
362 circ_0000043. In addition, more patients should be included to perform survival analysis based on
363 the expression of circ_0000043, and other downstream targets of circ_0000043 remain to be
364 screened and identified. All in all, this work shows that circ_0000043 expression is up-regulated
365 in BC tissues and cells, and circ_0000043 can promote the proliferation, local migration and
366 invasion and EMT of BC cells, and circ_0000043 mainly plays its role by regulating
367 miR-136/Smad3 axis. Our findings suggest that circ_0000043 is a potential biomarker and
368 therapeutic target for BC.

369

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465 **Tab 1 Primers used for qRT-PCR**

Genes	Primers
miR-136	Forward: 5'-GCGCACTCCATTTGTTTTGAT-3' Reverse: 5'-GTGCAGGGTCCGAGGT-3'
U6	Forward: 5'-AAAGCAAATCATCGGACGACC-3'

	Reverse: 5'-GTACAACACATTGTTTCCTCGGA-3'
circ_0000043	Forward: 5'-TATTCAGGCACGCAGGTACC-3'
	Reverse: 5'-TCACTGTCTGCATCCCTTGG-3'
Smad3	Forward 5'-CTCCAAACCTATCCCCGAAT-3'
	Reverse 5'-CCTGTTGACATTGGAGAGCA-3'
GAPDH	Forward: 5'-TGTGGGCATCAATGGATTTGG-3'
	Reverse: 5'-ACACCATGTATTCCGGGTCAAT-3'

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467 **Tab 2 Association between circ_0000043 expression and clinicopathological characteristics**

Characteristic	Group	n	circ_0000043		P value
			Low (n=20)	High (n=20)	
Age(years)	≤50	18	6	12	0.0736
	>50	22	14	8	
Tumor size(cm)	≤2	15	6	9	0.3272
	>2	25	14	11	
Differentiation grade	G1+2	17	14	3	0.0004***
	G3	23	6	17	
Histological type	Ductal	13	5	8	0.3112
	Lobular	27	15	12	
ER status	Negative	25	14	11	0.3272
	Positive	15	6	9	
PR status	Negative	24	13	11	0.5186
	Positive	16	7	9	
HER-2 status	Negative	16	6	10	0.302
	Positive	24	14	10	
Lymph node metastasis	Absent	16	14	2	0.0001***
	Present	24	6	18	

468 Notes: *** presents $P < 0.001$

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Figure 1: Circ_0000043 was highly expressed in BC tissues and cells.

A-B: qRT-PCR demonstrated that the expression of circ_0000043 in BC tissues and cells (MCF-10A, MDA-MB-231, MCF7 and ZR751) was significantly upregulated..

$P < 0.05$ denoted by *, $P < 0.01$ denoted by **, $P < 0.001$ denoted by ***.

Figure 2: Effects of overexpression and knockdown of circ_0000043 on BC cell proliferation, metastasis, and EMT.

A-B: qRT-PCR was used to detect the expression of circ_0000043 in ZR751 and MDA-MB-231 cells after over-expression and knockdown of circ_0000043. C-D: CCK-8 assay indicated that overexpression of circ_0000043 promoted proliferation of ZR751 cells and knockdown of circ_0000043 inhibited proliferation of MDA-MB-231 cells. E-F: Wound healing assay and Transwell assay indicated that overexpression of circ_0000043 promoted migration and invasion of ZR751 cells and knockdown of circ_0000043 in MDA-MB-231 cells functioned oppositely. G: Western blot data suggested circ_0000043 promoted N-cadherin and Vimentin expressions but inhibited E-cadherin expression.

$P < 0.05$ denoted by *, $P < 0.01$ denoted by **, $P < 0.001$ denoted by ***.

Figure 3: Circ_0000043 had a targeting relationship with miR-136.

A: Circ_0000043 contained a potential binding site for miR-136. B: The targeting relationship between circ_0000043 and miR-136 was validated by dual luciferase report assay with MDA-MB-231 cells. C: In MDA-MB-231 cells, RIP experiment found that circ_0000043 directly interacted with miR-136. D-E: qRT-PCR demonstrated that overexpression of circ_0000043 in ZR751 cells inhibited miR-136 expression and knockdown of circ_0000043 in MDA-MB-231 cells promoted miR-136 expression.

$P > 0.05$ denoted by ns, $P < 0.01$ denoted by **, $P < 0.001$ denoted by ***.

Figure 4: Smad3 was a downstream target of miR-136.

A: The 3'UTR of Smad3 was found to contain a potential binding site for miR-136. B: Dual luciferase report assay was used to detect the targeting relationship between miR-136 and Smad3 in MDA-MB-231 cells. C-D: Western blot assay data showed that miR-136 inhibited SMAD3 expression but circ_0000043 promoted SMAD3 expression.

$P > 0.05$ denoted by ns, $P < 0.01$ denoted by **, $P < 0.001$ denoted by ***.

Figure 5: Circ_0000043 promoted BC cell proliferation, metastasis, and EMT through miR-136 / Smad3 axis.

A-C: After miR-136 mimics were transfected into ZR751 cells overexpressing circ_0000043, the expressions of circ_0000043, miR-136 and Smad3 mRNA were detected by RT-PCR. D: CCK-8 assay indicated that miR-136 reversed the effect of circ_0000043 on proliferation of ZR751 cells. E-F: Wound healing and Transwell assays suggested that miR-136 reversed the effects of circ_0000043 on migration and invasion of ZR751 cells. G: Western blot assay demonstrated that miR-136 reversed the effect of circ_0000043 on EMT of ZR751 cells.

$P < 0.05$ indicated by *, $P < 0.01$ indicated by **, $P < 0.001$ indicated by ***. In figure D, , $P < 0.05$ indicated by *, $P < 0.01$ indicated by ** (vs Vector group); $P < 0.05$ indicated by # (vs circ_0000043 / miR-NC group)

Figure 6: The correlation of circ_0000043, Smad3 and miR-136 in BC tissues.

A-C: The negative correlations between miR-136 and circ_0000043, miR-135 and SMAD3 mRNA, and the positive correlation between circ_0000043 and SMAD3 mRNA in BC tissues were found by Pearson's correlation analysis. D: Immunohistochemical staining was performed, and chi-square test indicated the significant positive correlation between Smad3 protein expression and circ_0000043 expression in BC samples.

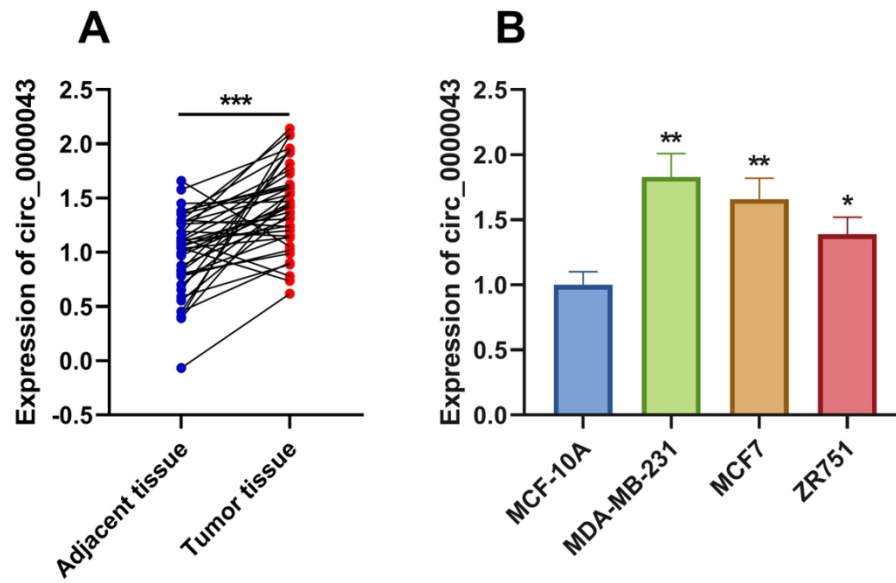


Figure 1: Circ_0000043 was highly expressed in BC tissues and cells.
A-B: qRT-PCR demonstrated that the expression of circ_0000043 in BC tissues and cells (MCF-10A, MDA-MB-231, MCF7 and ZR751) was significantly upregulated.
P < 0.05 denoted by *, P < 0.01 denoted by **, P < 0.001 denoted by ***.

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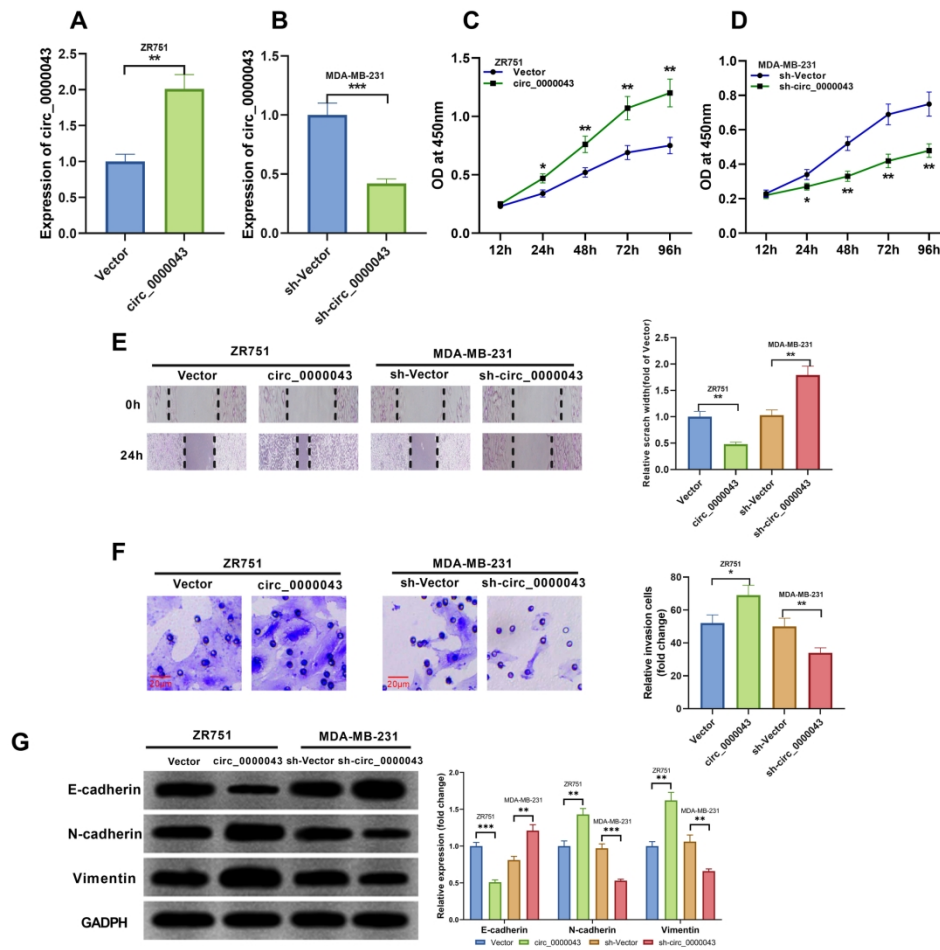


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P < 0.05 denoted by *, P < 0.01 denoted by **, P < 0.001 denoted by ***.

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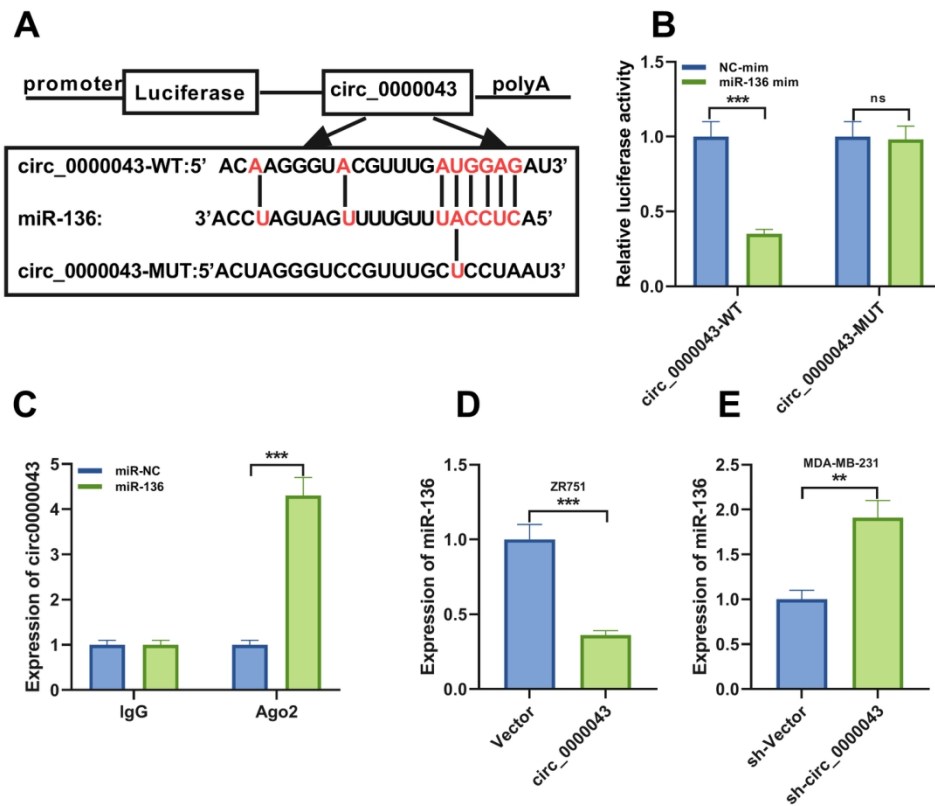


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135x118mm (300 x 300 DPI)

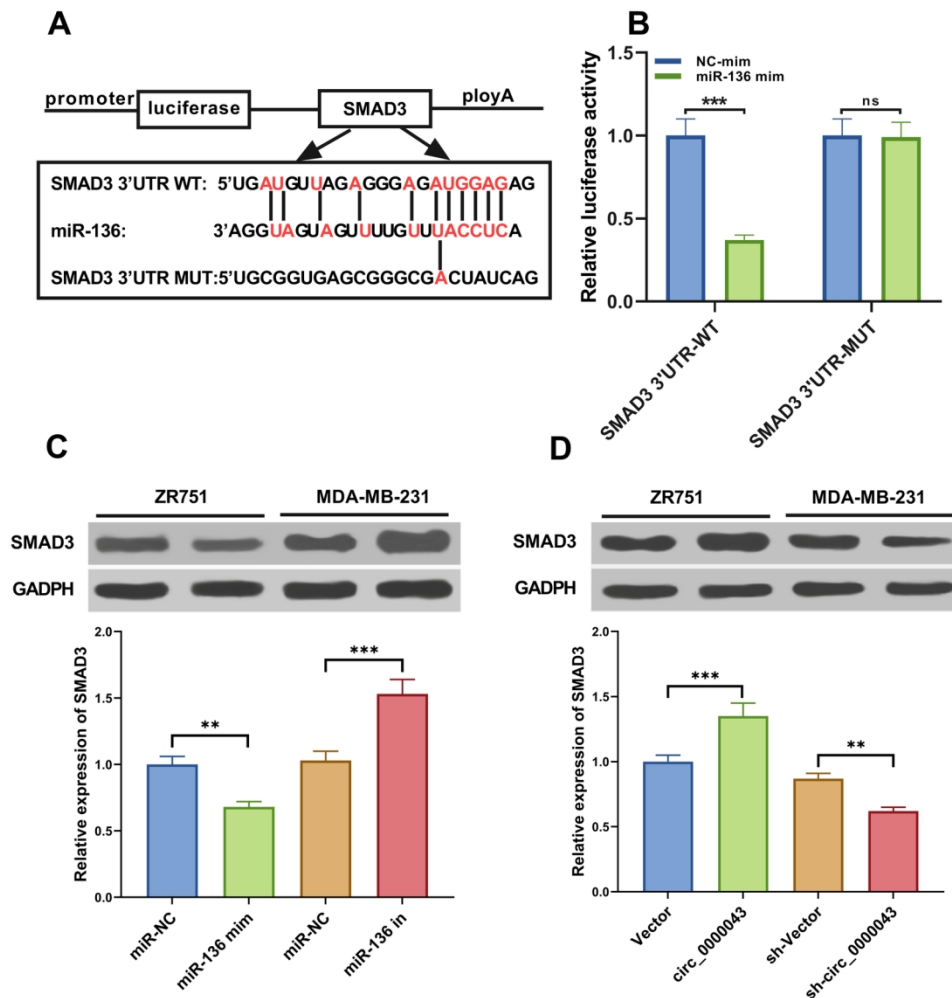


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P > 0.05 denoted by ns, P < 0.01 denoted by **, P < 0.001 denoted by *** .

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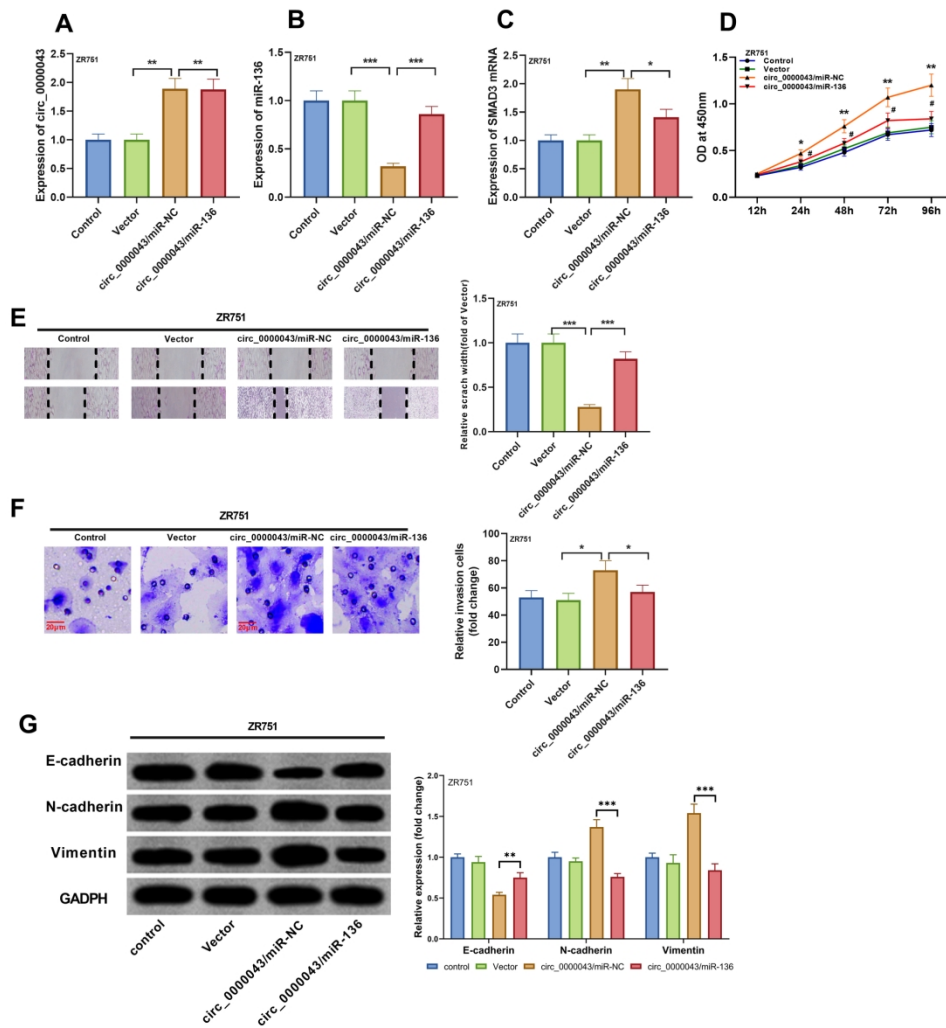


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P < 0.05 indicated by *, P < 0.01 indicated by **, P < 0.001 indicated by ***. In figure D, P < 0.05 indicated by *, P < 0.01 indicated by ** (vs Vector group); P < 0.05 indicated by # (vs circ_0000043 / miR-NC group)

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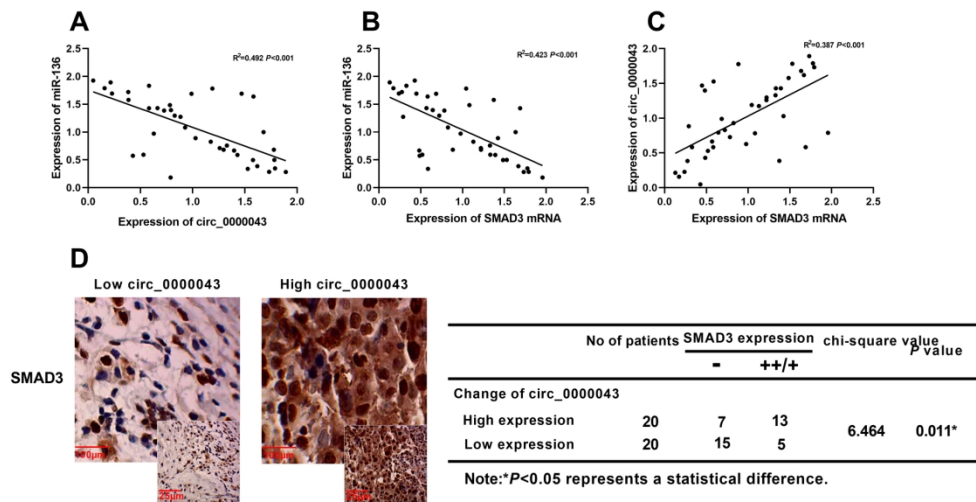


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182x97mm (300 x 300 DPI)