

# Circularly-Polarized Shaped Pattern Planar Antenna for Aerial Platforms

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**ABSTRACT** Circularly-polarized planar antenna with a shaped pattern for airborne applications, is proposed in this letter. The proposed antenna consists of vertically-polarized formation and horizontally-polarized stubs to provide circularly-polarized configuration, which is loaded by a copper curl based top layer to control current distribution for beam shaping and filling of zenith null. The antenna also contains split ring resonators beside partial ground to make it deployable over larger ground planes (metallic bodies) without distorting circular polarization by controlling phase of reflected waves. The power density of presented airborne shaped pattern antenna differs for variant elevation and azimuth angles, to have uniform wireless coverage over the earth's surface by managing variations of the signal strength because of different path lengths. The demonstrated antenna also possesses circular polarization and direction based circular polarization diversity at angles against the greatest path-lengths. Antenna retains a null-filled pattern in the vertical plane along with having high absolute peak gain ( $5.5 \pm 1$  dBi) and adequate peak polarization gain (2 to 4 dBi) through-out the operational bandwidth from 2.82 GHz to 2.89 GHz (Measured reflection coefficient less than  $-10$  dB). Moreover, the radiating element exhibits omnidirectional radiation characteristics, with good horizontal gain (greater than  $-3.6$  dBi).

**INDEX TERMS** Pattern-shaping, circular polarization, null-filled radiation, airborne antenna.

## I. INTRODUCTION

Antennas are always regarded as an essential part of wireless systems, whereas their radiation and polarization characteristics play a vital role in defining the performance and efficiency of such systems [1], [2]. Thus, the focus is on the radiation-pattern shaping of the antenna as per application requirements, using various techniques, generally using multiple radiating elements, embedded coding, or bulky structures [3]–[6]. Applications for which antenna is to illuminate various angles at different path lengths; it is worthy of achieving radiation configuration of arbitrary, application-oriented shape and fixed response ripple [7]–[9].

On the other hand, circular polarization and circular polarization diversity are in demand to provide additional

value to the performance of communication systems. Circularly-polarized antennas have valuable properties like suppression of multipath interferences, combating Faraday rotation effect of the ionosphere, and provision of flexible orientation/alignment between transmitter and receiver by minimizing polarization mismatch losses, which are quite worthy characteristics for modern wireless applications [10], [11]. Besides that, circularly-polarized waves possess benefits of weather penetration and better mobility [12]. Antenna diversity techniques are generally used to increase the data capacity of wireless systems [13]–[15]. Direction based circular polarization diverse antenna in combination with mechanical rotator or digital control can be beneficial to receive two orthogonally polarized signals from different directions without crossover, using a single antenna, which leads to an increase in traffic handling capacity. Moreover, such blends can help to avoid signal fade, form polarization-based

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sectors, and to provide better functional efficiency for airborne microwave systems.

At airborne applications, linearly polarized protruding antennas like that of blade or stub antennas are generally installed [16], [17]. Apart from losing circular polarization benefits, such antennas lead to an increase in radar cross-section (RCS), cause considerable drag and wind noise, are considered structurally weak, and are more susceptible to corrosion and damage due to the air-stream or high aero heating atmospheric conditions [18]–[21].

Desirable radiation beam shape for the airborne antenna is meant to provide smooth/unhindered communication in all hemispherical directions (at both elevation and azimuth plane), by having different gain amplitudes at variant angles to cover various distance ranges, as shown in Figure 1. An airborne antenna needs to have peak gain at pitch angles where the aerial vehicle is to grab maximum range, along with the availability of a reasonable amount of gain in all hemispherical directions for the unimpaired realization of wireless access. However, at airborne applications, very long-distance communication is generally not being conducted at acute elevation angles due to vegetation, uneven terrain, and earth’s curvature, so the signal strength required at such angles is less as compared to the gain against most distant points [8], [22]. Antenna for aerial platform requires to attain omnidirectional radiation pattern in a horizontal plane to ensure signal coverage in all yaw directions [23].

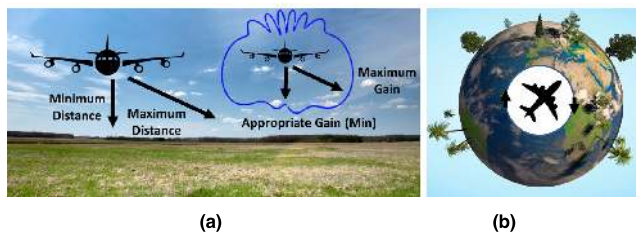


FIGURE 1. Desired beam pattern for aerial platforms. (a) Elevation (Pitch); (b) Azimuth (Yaw).

In the recent past few papers have been published proposing planar and conformal antennas targeting airborne applications [8], [22], [24], [25], mainly to provide an alternative for catering issues caused by protruding elements. Nevertheless, all of these papers demonstrated linearly polarized antennas. The antenna configuration proposed in this paper is one of its kind, which along with targeting pattern shaping, provides circular polarization at angles where the target is to achieve maximum range. Circular polarization providing a gain advantage against the greatest path lengths is very worthy for long-distance communication. Apart from targeting the benefit of covering more illumination range, the proposed antenna also provides direction based polarization diversity. Such antennas can be worthy of modern aerial platforms for data sectoring and secure communication, apart from grabbing other benefits of polarization diversity. Another issue catered during the design is the compatibility of circularly

polarized configuration over the metallic airborne structure. Circular polarization gets affected due to the reflections from a metallic structure. In 2015, a circularly polarized conical beam antenna was proposed having the capability to be mounted over large ground planes [26]; however, antenna have a deep null and is not designed for airborne surface mount applications. The designed configuration presented in this paper proposed the use of split-ring resonators to avoid circular polarization distortion and provide mounting compatibility with metallic vehicular structures. The use of absorbing paints and scattering sheets is another method to avoid circular polarization distortion, but such arrangements reduce the efficiency of the overall antenna. By using split-ring resonators, along with avoiding circular polarization distortion, the energy of reflected waves can be utilized to achieve better total efficiency.

In this letter, a planar, conformal antenna having an omnidirectional azimuth pattern, stable horizontal gain, and null-filled radiation characteristics in the elevation plane is proposed, which fulfills beam shape requirements of airborne applications. On top of that, the presented antenna also possesses direction based circular polarization diversity (left-hand circular polarization and right-hand circular polarization) and circular polarization gain against pitch angles that are intended to have maximum gain amplitude, to further increase communication range against most distant points. The proposed antenna also possesses split-ring resonator structures for having the capability to be mounted over the metallic vehicular body without circular polarization distortion. The letter is arranged as follows. The geometry and design methodology of the proposed antenna is elaborated in Section II. Section III contains an analysis of simulated and measured results, whereas section IV is the conclusion.

II. ANTENNA DESIGN AND GEOMETRY

The proposed circularly-polarized pattern shaped antenna illustrated in Figure 2, comprises of a single-fed structure implemented using Roger RT/duroid 5870 ( $\epsilon_r = 2.33$ ), having radius of 62mm. Its construction consists of three layers, demonstrated in Figure 3, while developed prototype of each layer is visible in Figure 4. Middle layer is setting up a circularly-polarized radiator, top layer is to achieve null-filled pattern characteristics, whereas bottom layer provides

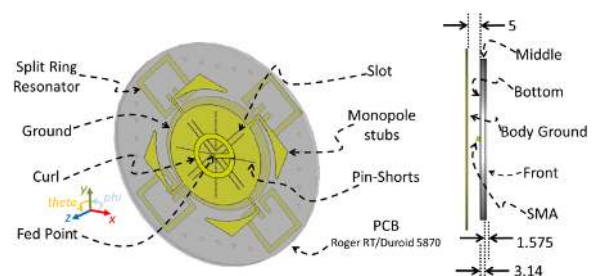


FIGURE 2. Proposed circularly-polarized shaped pattern antenna structure.

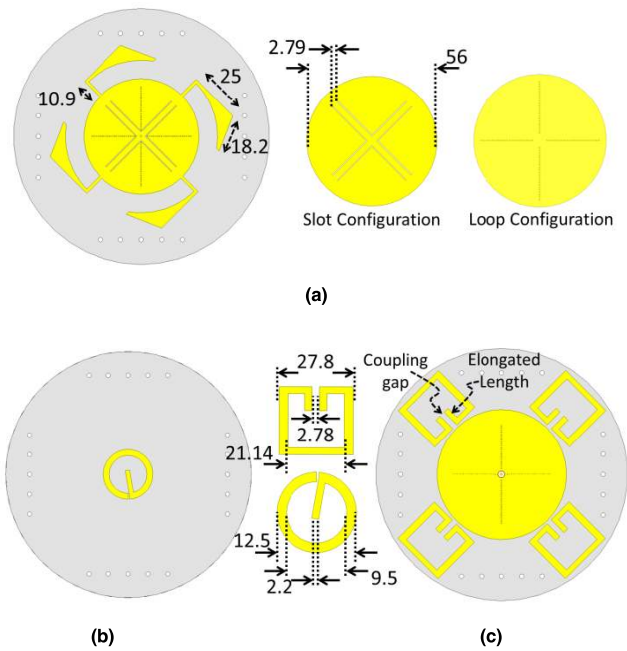


FIGURE 3. Layout of antenna with dimensions in millimeters (a) Middle Layer (b) Top Layer (c) Bottom Layer.

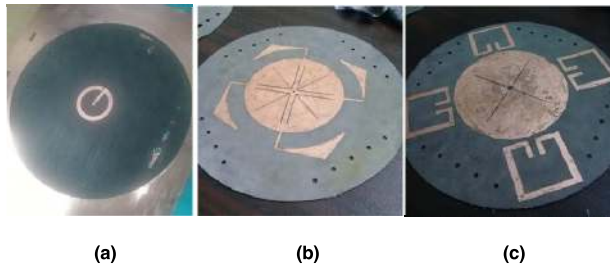


FIGURE 4. Fabricated prototype (a) Top layer (b) Middle layer (c) Bottom layer.

ground and control phase of reflected waves to avoid circular polarization distortion when being mounted over metallic surface.

The middle layer is composed of vertically-polarized slot-loop formation and horizontally-polarized stubs to create the circularly-polarized omnidirectional pattern. The radius of the circle-shaped patch over which slot-loop configuration is embedded defines the operational frequency of the antenna. Length and width of engraved slots are set to realize  $\lambda/4$  transformers with an impedance of  $200\Omega$  each; so that perfect  $50\Omega$  impedance matching can be achieved at the center of the patch (fed-point) [8], whereas ground shortening pins are utilized for loop realization. The center-fed symmetric structure provides the benefit of having radiation pattern symmetry along the horizontal plane. Sometimes hybrid-fed configurations are designed to attain circular polarization; such antennas may cause power losses and efficiency decline because of input source split. Moreover, it is more difficult to obtain radiation pattern symmetry along azimuth

plane in-case of dual-fed or multi-fed antennas in comparison to that of center-fed antennas [27]. Four feed branches are extended from patch to stimulate horizontally-polarized monopole stubs. The length of curved monopole stubs is optimized to grab desired functional frequency in-correlation to that of the working frequency of the vertically polarized radiator, while gradually narrow outer curved stubs provide better circularly-polarized fields by giving improved phase matching of electric vectors [28].

An asymmetric layer is placed over a circularly-polarized formulation to compensate its pitch null and make antenna prospective candidate for aerial systems by beam-shaping and null-filling. The top layer contains a copper curl, excited from the same point to that of the middle layer resonator, to enhance current concentration towards the center, hence emitting flux and altering radiation pattern shape. Top layer curl is stimulated using uni-directional feeding branch, because of which the direction of current flow at the point of contact (between copper curl and feeding branch) is reversed to that of current's direction at the opposite end of the curl. Generation of dominant propagating field components in opposite directions (to that of each-other) at two halves of antenna, assists in creating direction based circular polarization diversity; left-hand circular polarization and right-hand circular polarization at mirror directions of elevation pattern. Outer-radius/inner-radius ratio of the circular curl outlines the directivity of the generated beam-pattern; more the ratio greater will be the directivity [29]. When the curl width reaches half the value of the wavelength of the antenna (wavelength of the center frequency in free space), higher-order TM modes appear. To generate inner and outer fringing fields of the same polarity, single order mode has been generated (curl width (.03cm) < half value of  $\lambda$  (.104/2 =.05). Hence, the thickness of the curl is selected as 3mm to achieve good null-fill characteristics along with maintaining single-mode operation.

Meanwhile, the below-mentioned equation is used to design curl against desired frequency

$$Resonant\ Frequency = (\chi_{12} c)/(2\pi a\sqrt{\epsilon_r})$$

where 'c' is the speed of light, 'a' represents the inner radius of the curl, and ' $\epsilon_r$ ' denotes relative permittivity.

A well-known characteristics equation [30]

$$J'_n(kb)Y'_n(ka) - J'_n(ka)Y'_n(kb) = 0$$

has been used to find roots and calculate value of  $\chi_{12} = 4.34$ ; where  $b/a = \text{Outer radius} / \text{Inner radius} = 6.25 / 4.75 = 1.31$  mm, k is the propagation constant, and Bessel functions of first and second kind are denoted by  $J_n(x)$  and  $Y_n(x)$ , respectively.

Thickness of the curl is carefully set to fill the zenith null and to create the desired radiation shape; by grabbing appropriate amount of current at the center and at the same time by not grasping too much current that may cause beam pattern distortion, by shifting peak gain towards the angle perpendicular to that of aerial vehicle or by affecting horizontal gain.

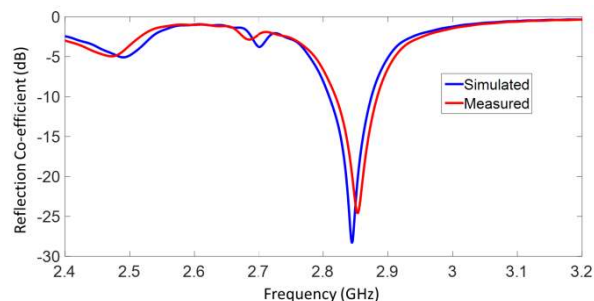
Zenith null is to be filled (more than  $-10$  dB) to avoid blanking of airborne systems at perpendicular angles [22], [31]; while maintaining an adequate amount of horizontal gain to refrain dropping of communication range at acute angles.

The bottom layer is carved at the opposite side to that of the middle layer, over a double-sided PCB (Printed circuit board). Parameters of circularly-polarized antennas generally get affected while being mounted over vehicular platforms because of intense field coupling between metallic structures (body ground) and antenna [28]. To cater to radiation efficiency distortion at the operating frequency and to avoid circular polarization degradation, split ring based structures are used, along with partial ground at the bottom layer. Spacers are positioned underneath the bottom-layer to maintain a gap between designed antenna structure and body ground. The split-ring configuration unit cell comprises a squared split ring resonator with a coupling gap and elongated length between the two symmetrical parts of the structure [32]. The partial ground plane covers the area beneath the vertically-polarized patch, while split ring structures are placed under horizontally-polarized monopole stubs. The shape of the split-ring resonator cell and the air-gap between split-ring arrangement layer and body ground defines the built-in anisotropic behavior and LC resonance, which provides control over phase of reflected electromagnetic wave [32], [33]. The distance between split-ring resonators and the body ground is adjusted and optimized, to manage phase harmony between radiated wave and reflected wave to prevent circular polarization distortion and to acquire high gain. Moreover, freedom of getting mounted over larger metallic surfaces because of phase control characteristics of split-ring resonator structures helps the proposed antenna to have minimized the back-lobe level and better beam-shaping.

### III. SIMULATED AND MEASURED RESULTS

The proposed planar antenna is designed for surface mount application (to be mounted over aerial platform). Mountable antenna characteristics mainly polarization and radiation pattern are a function of the aerial platform, as these parameters get affected due to the reflections from an aerial vehicle body [28]. To analyze those effects and workability of the proposed antenna under reflections, a metallic plate has been used beneath the proposed antenna configuration, visible in Figure 2 (labeled as body ground). Simulated and measured results of the proposed antenna are taken using a smooth circle-shaped copper plate of radius 140 mm.

S-parameter simulation has been carried out using CST (Computer Simulation Technology) simulator, and the measured result of the fabricated prototype was extracted using Agilent Vector Analyzer. Simulated and measured reflection-coefficient contours of the proposed antenna are illustrated in Figure 5. The measured result depicts that the designed radiating element is effectively operational over the frequency band of 2.82 GHz to 2.89 GHz. Simulated and Measured results are well in harmony, offering impedance bandwidth of 70 MHz.



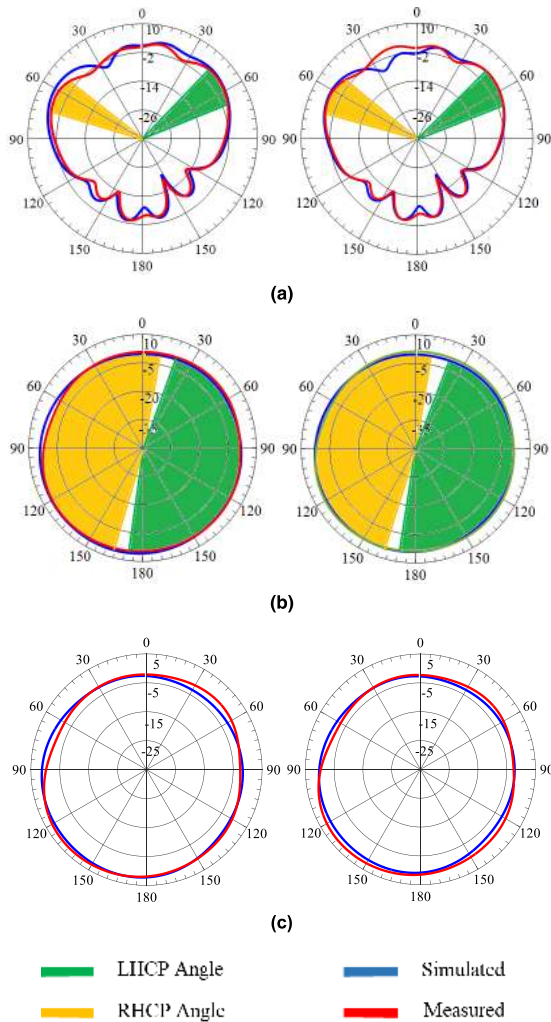
**FIGURE 5.** Simulated and measured reflection co-efficient of proposed antenna.

Figure 6 displays the simulated and measured radiation patterns of the proposed antenna. Radiation characteristics are measured along  $xz$ -plane (vertical plane),  $xy$ -plane (horizontal plane), and beside  $60^\circ$  elevation angle plane ( $\theta = 60^\circ$ ) at two different frequencies that are 2.84 GHz and 2.87 GHz.

Beam contour at pitch-plane shows the null-filled shaped pattern, having the minimum but adequate amount of perpendicular gain (more than  $-6$  dB), along with achieving circular polarization gain and direction based circular polarization diversity against angles with maximum signal strength ( $60^\circ$  elevation angle plane). Measured circularly-polarized beam-width in  $xz$ -plane is  $23^\circ$  (left-hand circular polarization) against  $\phi$   $20^\circ$  to  $188^\circ$  and  $22^\circ$  (right-hand circular polarization) against  $\phi$   $196^\circ$  to  $10^\circ$  at 2.84 GHz and  $22^\circ$  (left hand circular polarization) against  $\phi$   $21^\circ$  to  $189^\circ$  and  $24^\circ$  (right hand circular polarization) against  $\phi$   $198^\circ$  to  $9^\circ$  at 2.87 GHz. Gain roll-off of the radiation beam at pitch-plane is smooth and slow, pattern contour tends to move from the minimum (at perpendicular ( $0^\circ$ )) to the maximum amplitude ( $60^\circ$  from the perpendicular) and then from the maximum to relatively lower gain (at small pitch angles); while sustaining good and appropriate gain in all hemispheric directions. Gain magnitude distribution at the vertical plane indicates that the main-lobe carries the major part of flux concentration whereas the back-lobe is limited.

Azimuth-plane of antenna exhibits omnidirectional radiation characteristics, worthy of providing coverage at all yaw angles. The proposed antenna possesses good horizontal gain, comparable with a gain of the simple monopole antenna. Figure 6 also flaunts that the radiation characteristics of the antenna remain stable and similar through-out the operational frequency band.

The simulated and measured axial ratios are shown in Figure 7, which are very well in harmony. Results present that both the 3 dB LHCP and RHCP axial ratio bandwidths are achieved against the entire 10 dB impedance bandwidth of 70 MHz, covering different directions, hence providing direction based circular polarization diversity. Simulated peak RHCP gains vary from 2 dBi to 4 dBi, whereas simulated peak LHCP gains variate between 2 dBi to 3.4 dBi against the operational frequency band that is from 2.82 to 2.89 GHz.

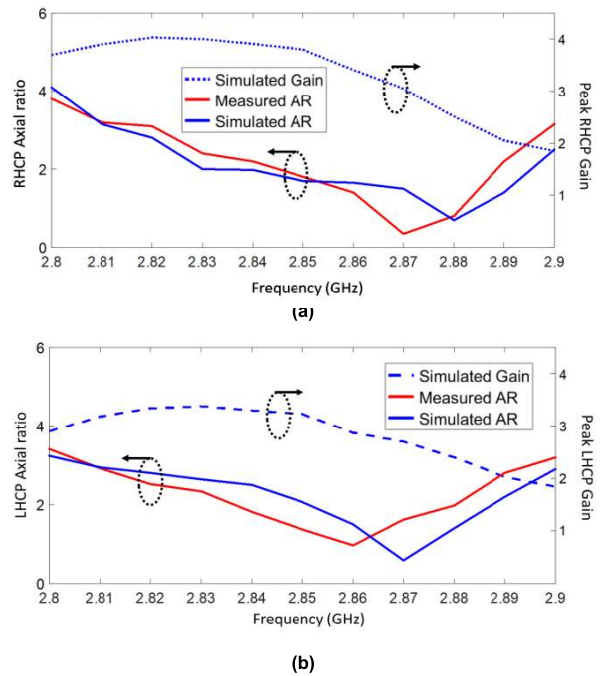


**FIGURE 6.** Radiation patterns of demonstrated antenna at 2.84 and 2.87 GHz respectively (a) xz-plane (b) 60° elevation angle plane (c) xy-plane Green color represents angles where antenna have LHCP Gold color represents angles where antenna have RHCP Blue contours represent simulated results Red contours represent measured results.

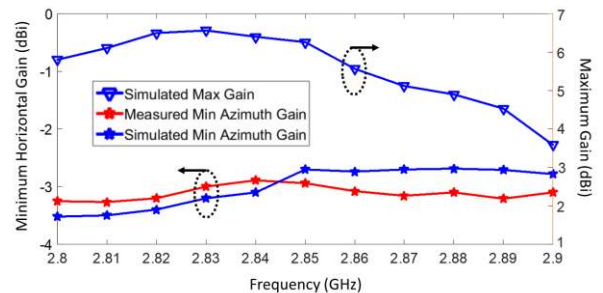
Figure 8 depicts minimum horizontal gain and maximum 3D gain over the functional frequency band. Proposed null-filled beam shaped antenna retain good minimum horizontal gain (greater than  $-3.5$  dBi) and high peak again ( $5.5 \pm 1$  dBi) against the whole operational frequency band. Thus, the proposed antenna exhibits very promising and worthy radiation characteristics at all pitch and yaw angles, making the antenna a really good option for aerial platforms.

Even though the proposed antenna is unique in the essence of providing pattern-shaping (with respect to that of aerial platform requirements), in combination with circular polarization and surface mounting compatibility; a comparison table is provided with the state of the art flush-mount/conformal designs published in recent years, targeting airborne applications.

Table 1 exhibit the parametric comparison of the proposed antenna configuration with other state of the art designs. Results show, that though polarization is the main advantage



**FIGURE 7.** Axial ratio and circular polarization gain of proposed antenna (a) RHCP (b) LHCP.



**FIGURE 8.** Minimum horizontal gain and peak gain of shaped pattern antenna.

over all the other antenna designs, but it is not the only one, when it comes to achieving desired pattern for airborne applications. Results present, that antennas referred as [24] and [25] show uni-directional behavior, though they are designed for aerial platforms, but are not covering lower acute angles and have limited beam-width. All antennas other than that of [8] have larger profile in comparison to that of the configuration proposed in this paper. Although [8] has size advantage over recently presented work, but it significantly lacks when it comes to zenith gain. Hence, comparison table flaunts that the proposed antenna configuration is superior candidate for long-range airborne communication systems.

#### IV. CONCLUSION

A circularly-polarized, pattern shaped antenna is proposed in this letter. Union of vertically-polarized slot-loop formation and horizontally-polarized monopole stubs have been used to realize circularly-polarized arrangement, which is further overlapped by an asymmetric curl to attain different

**TABLE 1. Comparison with other antennas designed for aerial platforms.**

Ref	Center Freq. (GHz)	Polarization	Height (Antenna Profile)	Zenith Gain (dB)	Min Azimuth Gain (dB)
[8]	2.6	Linear	.04 $\lambda$	-9.5	-2.85
[22]	6	Linear	.14 $\lambda$	-1.3	-4.5
[24]	2.1	Dual (Linear)	.26 $\lambda$	8	< -10 (Dir.)
[25]	2.4	Linear	.13 $\lambda$	4.5	< -10 (Dir.)
This work	2.85	Circular	.09 $\lambda$	-3	-3.5

radiating energy concentrations at different angular directions and to achieve circular polarization diversity at angles against most-distant points. Moreover, demonstrated antenna got split-ring resonator structures at the bottom layer to make it mountable over metallic vehicular bodies without distorting circular polarization. Proposed antenna possesses different gain amplitudes at various hemispherical angles (to cater beam shaping requirements of airborne application), slow signal-strength roll-offs in the elevation plane, omnidirectional azimuth plane, appropriate horizontal gain, good polarization gains and stable radiation characteristics all over the functional frequency band. The designed antenna also offers benefits of being planar, conformal, and surface mount to make it suitable for the aero-dynamic environment and not to have extravagant RCS.

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