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**Citalopram Did Not Significantly Improve Anxiety in Children with ASD Undergoing Treatment for Core Symptoms: Secondary Analysis of a Trial to Reduce Repetitive Behaviors**

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Keyword:	Autistic Disorder, Anxiety Disorders, Antianxiety Drugs
Manuscript Keywords (Search Terms):	autism, autistic disorder, randomized controlled trial, selective serotonin reuptake inhibitors, anxiety
Abstract:	Objective: Anxiety disorders are amongst the most common co-occurring conditions in autism spectrum disorder (ASD). Despite their prevalence and impact, there are no randomized controlled trials (RCTs) aimed at evaluating the efficacy of selective serotonin reuptake inhibitors (SSRIs) for anxiolysis in this population, who may have a different biological basis for anxiety. Method: Secondary analyses of the STAART double-blind, placebo-controlled RCT of citalopram in children with ASD examined whether citalopram reduced anxiety measured on the parent-reported Child and Adolescent Symptom Inventory (CASI-4) as the primary outcome. An

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	<p>intention to treat analysis involving all 149 participants used multiple imputation for missing data and included baseline stratification factors of age group and site, amongst others. We pre-specified as clinically significant a 33% reduction in anxiety in citalopram versus placebo, coinciding with 80% power. We tested whether communicative ability on the Vineland Communication score moderated treatment effect and explored whether initial anxiety was associated with greater adverse events, which could impact on dose titration and achieving optimal dose. Results: Both groups showed substantial reduction in anxiety. Citalopram was associated with a non-significant 16.5% greater reduction (observed coefficient = <math>-.181</math>, bootstrap SE = <math>.126</math>, <math>p = .151</math>, CI = <math>-.428, .066</math>). Anxiety reports were significantly lower in children with reduced communicative ability, but communicative ability did not moderate the treatment effect (interaction <math>p = .294</math>). Initial anxiety levels were not associated with increased adverse effects (interaction <math>ps .162</math> to <math>.954</math>). Conclusion: Citalopram did not statistically significantly improve anxiety in children with ASD. Clinicians should be cautious in their use of SSRIs for this indication. There remains a need for well-powered clinical trials testing the efficacy of SSRIs amongst autistic children with anxiety disorders.</p>

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## Disclosures

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21 *Running head: Citalopram for anxiety in ASD*



## 1 Abstract

2 *Objective:* Anxiety disorders are amongst the most common co-occurring conditions in autism  
3 spectrum disorder (ASD). Despite their prevalence and impact, there are no randomized  
4 controlled trials (RCTs) aimed at evaluating the efficacy of selective serotonin reuptake  
5 inhibitors (SSRIs) for anxiolysis in this population, who may have a different biological basis for  
6 anxiety.

7 *Method:* Secondary analyses of the STAART double-blind, placebo-controlled RCT of citalopram  
8 in children with ASD examined whether citalopram reduced anxiety measured on the parent-  
9 reported Child and Adolescent Symptom Inventory (CASI-4) as the primary outcome. An  
10 intention to treat analysis involving all 149 participants used multiple imputation for missing  
11 data and included baseline stratification factors of age group and site, amongst others. We pre-  
12 specified as clinically significant a 33% reduction in anxiety in citalopram versus placebo,  
13 coinciding with 80% power. We tested whether communicative ability on the Vineland  
14 Communication score moderated treatment effect and explored whether initial anxiety was  
15 associated with greater adverse events, which could impact on dose titration and achieving  
16 optimal dose.

17 *Results:* Both groups showed substantial reduction in anxiety. Citalopram was associated with a  
18 non-significant 16.5% greater reduction (observed coefficient =  $-.181$ , bootstrap SE =  $.126$ ,  $p =$   
19  $.151$ , CI =  $-.428, .066$ ). Anxiety reports were significantly lower in children with reduced  
20 communicative ability, but communicative ability did not moderate the treatment effect

1 (interaction  $p=.294$ ). Initial anxiety levels were not associated with increased adverse effects  
2 (interaction  $ps .162$  to  $.954$ ).

3 *Conclusion:* Citalopram did not statistically significantly improve anxiety in children with ASD.  
4 Clinicians should be cautious in their use of SSRIs for this indication. There remains a need for  
5 well-powered clinical trials testing the efficacy of SSRIs amongst autistic children with anxiety  
6 disorders.

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1 **Keywords:** autism, autistic disorder, randomized controlled trial, selective serotonin reuptake

2 inhibitors, anxiety

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## 1 Introduction

2 Autism spectrum disorder (ASD) is a heterogeneous condition characterized by  
3 impairments in social communication, restricted, repetitive behaviors and interests and sensory  
4 abnormalities. ASD begins early in development and typically has lifelong impact on a range of  
5 domains including socialization, cognition, adaptive function, and physical and mental health  
6 (Lord et al. 2020). Anxiety disorders are one of the two most common co-occurring conditions  
7 in autism (Simonoff et al. 2008; Lai et al. 2019). Indeed, anxiety was highlighted in Kanner's first  
8 description of autism as a disorder of affective control (Kanner 1943). Prevalence estimates of  
9 anxiety vary but converge around 40-50%, with a substantial additional proportion exhibiting  
10 sub-diagnostic symptoms (Kent and Simonoff 2017). Anxiety symptoms and disorders in people  
11 with ASD can be present from the preschool period (Gadow et al. 2004; Salazar et al. 2015),  
12 remain common across the lifespan (Lever and Geurts 2016) and appear to be stable over  
13 time (Simonoff et al. 2013; Stringer et al. 2020). There is considerable inconsistency about  
14 whether the prevalence of anxiety disorders varies according to the presence of intellectual  
15 disability (ID) (Kent and Simonoff 2017) with methodological concerns that anxiety may be  
16 particularly under-recognized and under-reported in those with low levels of verbal ability  
17 (Salazar et al. 2015; Gadow et al. 2004; Hallett et al. 2013; Sukhodolsky et al. 2008). Anxiety can  
18 cause high levels of distress and autistic people and their parents/caregivers have ranked the  
19 study of anxiety and its interventions as one of the most important research areas (Wallace et  
20 al. 2014).

21 In non-autistic individuals, there is an established evidence base demonstrating benefits  
22 of pharmacological interventions for anxiety disorders in non-autistic children (Walkup et al.

1 2008) and adults (Baldwin et al. 2011). However, to date, no randomized controlled trials (RCTs)  
2 have focused on their use in children with ASD and co-occurring anxiety. There are important  
3 biological and psychological differences in the ASD population that may alter the efficacy and  
4 safety of using SSRIs in this patient group. About one-quarter of people with ASD have  
5 hyperserotonemia (Gabriele et al. 2014) but the role of this variability on SSRI treatment  
6 response is not well understood. More generally, patients with ASD may be more susceptible to  
7 adverse effects related to pharmacological treatments, as shown for methylphenidate  
8 (Research Units on Pediatric Psychopharmacology Autism Network 2005; Simonoff et al. 2013).  
9 Due to the impairments in communication, interoception and emotional literacy, it may be  
10 more difficult to ascertain both internally experienced treatment response and adverse effects  
11 in people with ASD.

12 There are also cautions about SSRIs in younger people. In the non-autistic population,  
13 younger people (Strawn et al. 2014) and children compared to adolescents are more sensitive  
14 to treatment-emergent adverse effects, particularly behavioral activation (Safer and Zito 2006).  
15 Although current guidelines do not recommend the use of SSRIs in the routine treatment for  
16 anxiety in ASD (National Collaborating Centre for Mental Health 2013; Howes et al. 2017; Vasa  
17 et al. 2014; Williams et al. 2013), in the US and UK SSRIs account for at least 10-20% of  
18 psychiatric prescriptions for youth and 20-50% in adults with ASD (Aman et al. 2005; Hsia et al.  
19 2014; Oswald and Sonenklar 2007; Houghton et al. 2017).

20 To explore the efficacy and adverse effects of SSRIs in treating anxiety in people with  
21 ASD, we make use of previously collected data from an RCT of citalopram in children with ASD,  
22 aimed at evaluating its efficacy in reducing core symptoms of repetitive and stereotyped

1 behavior (King et al. 2009). We capitalize on the blinded parent-reported measures of anxiety  
2 collected pre-randomization and at 12 weeks to examine the effects of citalopram compared to  
3 placebo.

#### 4 **Method**

##### 5 *Study Design*

6 A detailed description of the STAART citalopram trial has been published previously  
7 (King et al. 2009). The clinical trial (identifier: [NCT00086645](https://www.clinicaltrials.gov/ct2/show/study/NCT00086645)) was registered at  
8 [www.clinicaltrials.gov](https://www.clinicaltrials.gov) prior to initiation. This multi-center randomized double-blind, placebo-  
9 controlled parallel arm trial aimed to assess the efficacy and safety of citalopram for the core  
10 symptoms of repetitive behaviors in children with ASD. Participants were randomized using  
11 permuted blocks with randomly varying block sizes stratified by site (6) and age (5-11 years  
12 versus 12-17 years). The mean (SD) dosages of citalopram and placebo at week 12 were 16.5  
13 (6.5) mg (mode, 20 mg) and 18.5 (3.5) mg (mode, 20 mg), respectively ( $p=.05$ ). Parent-reported  
14 adherence to treatment was high in both groups (mean [SD], 96.1% [7.8%] for the citalopram-  
15 treated group and 98.6% [3.1%] for the placebo group;  $p=.03$ ). The primary analyses found no  
16 significant difference in response on the Clinical Global Impression–Improvement (CGI-I) scale  
17 between the citalopram (32.9% response rate) and placebo group (34.2% response rate) (King  
18 et al. 2009). However, compared with placebo, the citalopram group was significantly more  
19 likely to exhibit adverse events (97.3% reported at least 1 treatment-emergent adverse event)  
20 than the placebo group (86.8%,  $p = .03$ ).

1 For the present secondary analyses, the aim was to determine whether citalopram  
2 reduced levels of parent-reported anxiety symptoms in comparison with placebo and whether  
3 anxiety response was moderated by adverse effects.

#### 4 *Subjects*

5 A total of 149 children (128 males) aged between 5 and 17 years ( $M = 9.4$  years,  $SD = 3.1$   
6 years) who (i) met DSM-IV-TR criteria for autistic disorder, Asperger disorder or pervasive  
7 developmental disorder, not otherwise specified (determined by an experienced clinician and  
8 informed by the Autism Diagnostic Interview–Revised [ADI-R (Lord et al. 1994)] and the Autism  
9 Diagnostic Observation Schedule [ADOS (Lord et al. 2000)]), (ii) had an illness severity rating of  
10 at least moderate on the Clinical Global Impressions – Severity (CGI-S) of Illness Scale (Guy  
11 1976), and (iii) at least moderate on compulsive behaviors ( $\geq 8$  on the sum of items 1A, 2, 3, and  
12 5) scores measured with the Children's Yale-Brown Obsessive Compulsive Scales modified for  
13 pervasive developmental disorders (CYBOCS-PDD) (Scahill et al. 2006). Exclusion criteria can be  
14 found in the primary paper (King et al. 2009) and at [www.clinicaltrials.gov](http://www.clinicaltrials.gov) (identifier:  
15 [NCT00086645](https://clinicaltrials.gov/ct2/show/study/NCT00086645)).

16 Each of the six participating sites received ethical approval from their institutional  
17 review board (IRB) and informed consent was obtained from all study participants and/or legal  
18 representatives prior to data collection. An external board convened by the National Institute  
19 of Mental Health monitored the trial. No additional approval was sought for these secondary  
20 analyses.

#### 21 *Study assessments*

1            *Primary outcome.* The primary outcome measure for the current analysis was parent-  
2 reported anxiety at 12 weeks post-randomization, based on a total score for 20 items from the  
3 Child and Adolescent Symptom Inventory-4 (CASI-4; Gadow and Sprafkin 2002). Items are  
4 scored from 0 – 3 (0=Never; 1=Sometimes, 2=Often, 3=Very Often), allowing a potential score  
5 range of 0-60. These items were used in a previous studies of the parent-reported anxiety in  
6 children with ASD (Sukhodolsky et al. 2008; Hallett et al. 2013) and include domains of  
7 generalized anxiety disorder, simple phobia, social phobia and separation anxiety disorder, but  
8 not obsessive-compulsive disorder or post-traumatic stress disorder, in line with the inclusion  
9 of disorders in pharmacological studies in typically developing anxious children (Research Units  
10 on Pediatric Psychopharmacology 2001; Walkup et al. 2008). Furthermore, the OCD symptoms  
11 have potential overlap with restricted and repetitive behaviors, for which no treatment effect  
12 was identified in the primary analysis. For the present analysis, as participants had not been  
13 selected to have high anxiety levels, we looked for a treatment-related decrease in symptoms  
14 that was proportional to each participant’s level at baseline (thus no reduction being expected  
15 for those without symptoms) with a positive clinical response defined as a 33% decrease in the  
16 total anxiety score at week 12 post-randomization.

17            For exploratory analyses, we also defined a subgroup of participants whose  
18 questionnaire scores were above the pre-determined threshold for a likely anxiety disorder in  
19 at least one of the above categories (Gadow and Sprafkin 1997).

20            *Adverse events.* Treatment-emergent adverse events were elicited at each biweekly visit  
21 using the Safety Monitoring Uniform Report Form completed by the clinician with the parent  
22 and on examination (Greenhill et al. 2004). We grouped the individual adverse events into

1 three categories in line with their original description: neuropsychiatric adverse events  
2 (increased energy level, disinhibited or impulsive behavior, decreased attention, hyperactivity,  
3 and stereotypy), insomnia-related adverse events (any insomnia, initial, midcycle or terminal),  
4 and non-CNS adverse events (diarrhea, vomiting or nausea, and dry skin or pruritus).

5 *Additional included measures.* The additional measures were used to improve efficiency,  
6 reduce bias associated with missing data, and to examine potential masking of treatment effect  
7 arising from the difficulty of reporting on anxiety symptoms of children with poor  
8 communication. These measures were the parent-reported Vineland Adaptive Behavior Scale  
9 (VABS) communication scale age equivalent, the ADOS module (which is selected based on  
10 spoken language competence), chronological age and non-verbal IQ, measured variously on the  
11 Leiter-Revised, Wechsler Intelligence Scale for Children-IV, Wechsler Abbreviated Scale of  
12 Intelligence, Mullen Scales of Early Development, and Stanford-Binet Test. The severity of  
13 repetitive and stereotyped behavior, measured on the CGI-S, was weighted to consider  
14 repetitive behaviors, as well as the CGI-I score at 12 weeks. As behavioral disturbance has also  
15 been associated with parent reports of anxiety symptoms (Sukhodolsky et al. 2008) and could  
16 affect parents' ability to identify anxiety, the baseline irritability subscale of the parent-  
17 reported Aberrant Behavior Checklist (ABC) (Aman and Singh 1985) was added. Body Mass  
18 Index (BMI), in conjunction with age, accounts for baseline weight differences which could be  
19 related to therapeutic drug levels.

#### 20 *Statistical Analysis*

21 Drawn up by AP, FM and ES who were not involved in the original trial and without  
22 knowledge of participants' treatment assignment, the Statistical Analysis Plan was pre-

1 registered on The Open Science Framework (<https://osf.io/h67ek/>). In summary, analyses used  
2 the intention-to-treat (ITT) population (to test between-group (placebo versus citalopram)  
3 change from baseline in the primary outcome of anxiety at the post-intervention 12-week  
4 assessment. Analysis used the log-transformed anxiety scores (with 1 added to avoid log of  
5 zeros) as Gaussian variables and estimated the treatment effect on a log scale (i.e., as a  
6 multiplicative treatment effect on the total score). This means that treatment is expected to  
7 have more effect on those with more symptoms, less effect on those with fewer symptoms,  
8 and no effect on those with none. This method is particularly suitable where the outcome of  
9 interest has a wide range of values at baseline. This model is likely statistically more powerful  
10 than limiting the analysis to the high scorers only. As a post hoc sensitivity analysis (added after  
11 pre-registration of the analysis plan), we also fitted a model just to those participants whose  
12 questionnaire scores were above the pre-determined threshold for a likely anxiety disorder as  
13 described above. The models were estimated using a structural equation modelling (SEM)  
14 framework in which baseline and endpoint are allowed a non-zero covariance, and no  
15 treatment group difference is allowed for the response pre-randomization. While yielding the  
16 same estimates as ANCOVA when data are complete this method incorporates incomplete  
17 observations. Analyses were performed in Stata version 17.0 (StataCorp. 2021) using the *sem*  
18 command option, *method(mlmv)*, which is consistent with ITT. Original stratification variables  
19 were included in the analysis model (age group and site). Residuals were checked using normal  
20 probability plots. Statistical tests and 95% confidence intervals were two-sided.

21 We then examined whether if the effect of citalopram on anxiety is moderated by  
22 communicative level, following our hypothesis that parents may find it more difficult to discern



1 their children's anxiety when they cannot directly communicate these experiences. We used  
2 the VABS Communication age equivalent score and report both the VABS main effect and the  
3 group (citalopram versus placebo) by VABS interaction. Finally, exploratory analysis examined  
4 whether initial levels of anxiety might be associated with higher levels of adverse events, which  
5 could have interfered with achieving optimal dose for anxiety reduction. We examined whether  
6 the three adverse events profiles were influenced by pre-randomization CASI-4 score and  
7 whether this differed by group (group-by-baseline anxiety score interaction). This used Poisson  
8 regression analysis conducted separately for each of the three adverse events categories,  
9 adjusting for dose-by-weight.

10 *Missing data.* We used single imputation of occasional missing items by chained  
11 equations and predictive mean matching (White et al. 2011). The items were imputed in a  
12 single model incorporating both baseline and outcome. Non-verbal IQ, VABS Communication  
13 age equivalent, CGI-S at baseline as well as CGI-I at week 12, ABC irritability, BMI, sex, site,  
14 treatment group and chronological age were included in the imputation model.

15 Total scores were then calculated using the complete and imputed item values for  
16 participants with 6 or fewer missing items (30% or fewer items missing out of the 20 items). For  
17 those with more than 30% of missing items, their total score was set to missing before the main  
18 analysis, thus being treated as Missing-At-Random (MAR) within full maximum likelihood model  
19 estimation.

1            *Sensitivity Analysis.* The main analysis was repeated with missing baseline and endpoint  
2 data in the treatment group replaced by a 10% worsening of scores (i.e. 10% greater anxiety).  
3 This provided a further test of the robustness of the primary analysis.

4            *Power.* Using the ITT sample of 149, power was calculated, subsequent to specifying the  
5 level of clinically significant treatment effect, using an ANCOVA approach for two-tailed  
6  $\alpha=.05$ , assuming a correlation of 0.5 between measurement time points. This gave 80%  
7 power for an effect size of -0.4 on the log scale, equating to a  $100(1-\exp(-0.4)) \approx 33\%$  reduction  
8 in anxiety in the citalopram group compared to placebo. Using the same calculation adjusting  
9 for the number of complete cases specified in the primary paper (i.e., 13 cases missing in each  
10 group), the power would be 73% to detect the same effect size.

## 11 **Results**

### 12 *Efficacy*

13            Table 1 shows the sample characteristics of the 149 participants. At baseline screening,  
14 118 (citalopram  $n=60$ , placebo  $n=58$ ) had complete data on all anxiety items, a further 19 had 6  
15 or fewer missing items that were imputed, and 12 were left missing. Corresponding numbers  
16 for the week 12 endpoint were 94 (citalopram  $n=49$ , placebo  $n=45$ ), with 20 imputed and 35  
17 left missing. The distribution of anxiety scores by group and time point are shown in Figure 1.

18            *Table 1*

19            *Figure 1*

20            There was a substantial decrease in parent-reported anxiety symptoms in both groups  
21 over the course of the trial. The estimated baseline mean of 11.1 symptoms (CI 9.7 to 12.5) fell

1 by 32% in the placebo group to 7.5 (CI 6.0 to 8.9), and by 44% in the citalopram group to 4.7 (CI  
2 2.6 to 6.8). The estimated effects are shown in Figure 2, using the log-scale on which the  
3 analysis was undertaken in the left panel. The simple additive treatment effect on this log-scale  
4 corresponded to a proportional/multiplicative effect on the raw total score scale. The placebo  
5 group experienced a substantial reduction in symptoms, falling almost exactly on the red-  
6 dashed line for 67% of baseline. The line for the citalopram group, while lower than placebo,  
7 does not achieve the additional 33% reduction we set as the minimum clinical requirement  
8 (solid red line). Model estimates found no significant difference in the reduction of anxiety  
9 symptoms from baseline to week 12 between the citalopram-treated and placebo group  
10 (observed coefficient =  $-.181$ , bootstrap SE =  $.126$ ,  $p = .151$ , CI =  $-.428, .066$ ). This  
11 corresponded to a 16.5% greater reduction in the citalopram group, less than the level of 33%  
12 between-groups difference pre-identified as clinically significant. However, this clinically  
13 significant threshold fell within the confidence interval of our estimate which spanned a relative  
14 reduction of 36.8% to an increase of 3.7% (1000 replicate bootstrap CI).

15 *Figure 2*

16 The questionnaire algorithm for likely disorder indicated 86 of the 149 (58%) children  
17 met threshold for at least one anxiety disorder (Figure 3). The model fitted to this subset  
18 estimated the initial symptom score of 16.5, declining by 40.2% (CI 31.8, 48.5) in the placebo  
19 group and 49.5% (CI 37., 61.4) in the citalopram group, corresponding to 15.6% greater  
20 reduction (CI  $-8.1, 38.3$ ) in the citalopram group, very close to the whole sample estimate.

21 *Figure 3*

1 A sensitivity analysis, using total scores, where scores for those in the citalopram-  
2 treated group with more than 6 missing items for screener or outcome anxiety were replaced  
3 with a 10% worsening of their total anxiety score, produced similar results to the main analysis,  
4 with a 13.4% reduction in the citalopram group compared to placebo (observed coefficient = -  
5 .144, bootstrap SE = .099,  $p = .144$ ).

#### 6 *Moderation of treatment effect by communication level*

7 We identified a main effect of VABS communication level on anxiety score at week 12  
8 (coefficient = .006, SE = .002,  $p = .018$ , 95% CI = .001, .010, supporting the idea that parent-  
9 reported anxiety symptoms are lower in children with reduced communication ability.  
10 However, the effect of citalopram on reducing anxiety from screen to week 12 was not  
11 moderated by communication level (group-by-communication level interaction: coefficient = -  
12 .003, SE = .003,  $p = .294$ , 95% CI = -.009, .003), indicating that the lower anxiety scores in low-  
13 functioning children does not mask a significant treatment effect.

#### 14 *Adverse Events*

15 As detailed in the primary paper, adverse events were more likely to be exhibited in the  
16 citalopram-treated group. Table 2 describes the number of participants from the citalopram  
17 and placebo groups exhibiting at least one adverse event in each of the three domains. Poisson  
18 regression analysis, examining whether the adverse event score is influenced by baseline  
19 anxiety and if this differs by group (adjusting for final prescribed dose by weight: citalopram  
20  $n=51$ , mean = 0.51, SD= 0.26; placebo  $n=51$ , mean = 0.54, SD = 0.24), did not show a significant  
21 group-by-baseline anxiety score interaction for any of the AE categories (neuropsychiatric:

1 coefficient = -.45, SE = .32, p = .162, 95% CI = -1.08, .18; insomnia: coefficient = -.40, SE = .34, p  
2 = .248, 95% CI = -1.07, .28; non-CNS: coefficient = -.02, SE = .43, p = .954, 95% CI = -.87, .82).

3 *Table 2*

#### 4 **Discussion**

5 Anxiety symptoms and disorders are one of the most common co-occurring conditions  
6 in children and adolescents with ASD and SSRIs are frequently prescribed, despite an absence of  
7 RCT-based evidence. Here, we tested for a treatment-specific reduction in parent-reported  
8 anxiety symptoms in youth receiving citalopram versus placebo using data from an RCT aimed  
9 at assessing the efficacy of citalopram for repetitive behaviors. We pre-defined a clinically  
10 meaningful effect size of -0.4, which for our log-transformed scores approximated a 33%  
11 reduction in symptoms compared to the placebo group. Both groups showed a reduction in  
12 CASI anxiety symptom scores across the 12-week trial, suggesting substantial placebo effect,  
13 regression to the mean or both. The observed greater improvement in the citalopram  
14 compared to placebo group of 16.9% was robust to missing data assumptions, and selection of  
15 participants for disorder, increasing confidence in the estimated group difference. This  
16 difference had wide confidence intervals but was not statistically significant and did not meet  
17 our threshold for clinical significance.

18 The previous literature on SSRIs in ASD using randomized and blinded designs is  
19 extremely limited. In a placebo-controlled crossover trial of fluoxetine in 45 children with ASD  
20 designed to examine effects on core symptoms and repetitive behaviors, there was a lower rate  
21 of treatment-emergent anxiety on active treatment versus placebo (Hollander et al. 2005).  
22 Another tiny (N=6) placebo-controlled crossover RCT of fluoxetine in children reported

1 significant anxiety reduction on active medication (Buchsbaum et al. 2001). Other findings are  
2 limited due to open-label or case review designs. The case review literature may be over-  
3 optimistic in describing benefits of SSRI treatment because it does not account for placebo  
4 effects, which were of moderate magnitude in this study (Thorkelson et al. 2019).

5 We confirmed the finding from other studies using the CASI-4 (Sukhodolsky et al. 2008;  
6 Hallett et al. 2013) that parent-reported levels of anxiety symptoms are higher in children with  
7 greater communicative ability. However, the current analyses do not identify a stratification  
8 effect, or that the inclusion of lower communication ability children masked a treatment effect.  
9 Furthermore, the finding that initial anxiety scores neither predicted level of adverse events,  
10 nor showed an interaction with treatment group, provides some reassurance that achieving  
11 effective dosing was not limited by levels of anxiety.

12 Strengths of the current study include its moderately large sample size. The original  
13 study was well-conducted and described; it employed an ITT design with comparatively high  
14 levels of completion and careful medication dose adjustments. The present statistical analysis  
15 was pre-specified and lodged on Open Science Framework, except for the additional sensitivity  
16 analysis that was restricted to the participants with a likely anxiety disorder. The structural  
17 equation modeling and imputation provided efficient and unbiased estimates of ITT effects. The  
18 selection of anxiety items from the parent-reported CASI-4 is consistent with that used in other  
19 autism studies (Sukhodolsky et al. 2008) and has minimal if any overlap with repetitive  
20 behaviors examined in the primary paper.

21 An important limitation is that the original study was not designed to address the  
22 present question of efficacy of SSRIs for anxiety disorders. We therefore tested for a treatment

1 effect that was proportional to the initial severity of anxiety symptoms, avoiding the dilution  
2 effect of participants with little anxiety on treatment effect estimation. Our sensitivity analysis  
3 limited to those with symptom levels indicative of an anxiety disorder provided a similar point  
4 estimate, but with expected wider confidence intervals. The CASI-4 is not a diagnostic  
5 instrument and the use of questionnaire scores to identify a subgroup with likely disorder  
6 should be treated with caution. The present study did not include blinded clinician ratings of  
7 global improvement focusing on anxiety, currently the gold standard in many psychiatry  
8 studies. Furthermore, it is unclear whether the CASI-4 is the most sensitive measure of anxiety  
9 symptoms in children with ASD (Hallett et al. 2013).

10 Consistent with other research groups using the CASI-4, we found reduced levels of  
11 parental symptom reports in those with lower communication levels, which may reflect  
12 measurement insensitivity in children with significantly reduced communication. New measures  
13 focusing on observable behaviors may be more sensitive to non-verbal manifestations of  
14 anxiety (Scahill et al. 2019). In evaluating treatment effects in people with ASD and significant  
15 impairments in communication, an optimal measurement strategy would also include objective  
16 measures. Children with ASD and anxiety often have high levels of irritability and maladaptive  
17 behaviors and previous research has shown these characteristics are difficult for parents to  
18 distinguish on questionnaires (Mikita et al. 2015). Future consideration of measures and  
19 experimental paradigms that discriminate anxiety- and anger-mediated arousal will be  
20 important.

21 Finally, the criterion of a Cohen's  $d$  effect size of 0.4 on the log-scale (corresponding to a  
22 33% reduction) that we chose was in part in order that the analysis would have adequate

1 power for any possible positive finding to be reliable. However, Cohen's  $d$  is scaled by baseline  
2 standard deviation, and an effect size of 0.4 may correspond to a substantially greater change  
3 where participants are not selected on baseline score (as here) than that found in the typical  
4 purpose designed trial where participants are recruited to be uniformly high scorers. For  
5 example, Wagner et al. (2004) report data for a similarly sized but purpose designed RCT for  
6 non-ASD adolescents, all with high scores, corresponding to symptom reductions of ~28% for  
7 placebo and ~38% for citalopram, effects a little smaller than those that we found (32% and  
8 44%). However, with their more homogeneous participants, these effects gave a statistically  
9 significant citalopram advantage and provided a Cohen's  $d$  effect size of more than 1.

## 10 **Conclusions**

11 The present study finds a modest, non-significant benefit of citalopram over and above  
12 that achieved by placebo for reducing parent-reported anxiety symptoms in children with ASD.  
13 While the sample size is relatively large and the effect robust to different model assumptions,  
14 the confidence intervals on the estimated effect were very wide and hence this finding still  
15 leaves uncertainty about the potential of SSRIs to provide benefit for patients with ASD and  
16 anxiety. Moreover, this study did not specifically enroll children with anxiety disorders.

17 Our findings indicate that there is a need for an authoritative trial of SSRIs for the  
18 treatment of anxiety in children with ASD.

## 19 **Clinical Significance**

20 The present study finds a modest, non-significant benefit of citalopram over and above  
21 that achieved by placebo for reducing parent-reported anxiety symptoms in children with ASD.  
22 The original study showed that SSRIs can have significant adverse effects. Therefore, clinicians  
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1 should be cautious in their use of SSRIs for the treatment of anxiety in children with ASD. The  
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6 present study does not alter current guidance suggesting that CBT-based psychological  
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8 interventions should be the first line of treatment and SSRIs should be reserved for those who  
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10 cannot make use of or do not respond to CBT, even when adapted or mediated by a  
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12 parent/caregiver, or where levels of anxiety are so severe that a psychological approach cannot  
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14 be implemented (National Collaborating Centre for Mental Health, 2013). Our findings highlight  
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17 the need for an authoritative trial of SSRIs for the treatment of anxiety in people with ASD.  
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1 **Figure 1.** Primary outcome (anxiety as measured with the CASI-4) for Baseline (Screener:  
2 placebo n=70, citalopram n=67) and Endpoint (Week 12: placebo n=58, citalopram n=56) –  
3 missing items imputed for those with 6 or fewer missing of the 20 items.

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4  
5 **Figure 2.** Estimated effects of citalopram vs placebo on anxiety (as measured with the CASI-4),  
6 compared to hypothetical levels of change between baseline and endpoint: Log-transformed  
7 scores (left) and back transformed to raw scores (right).

8 *[Figure description]*

9 The figure shows the actual reduction in placebo and citalopram arms against predictions for no  
10 change (same as baseline), a reduction to 67% of baseline (just achieved by placebo - the  
11 'placebo effect') and a further 33% treatment effect, not achieved by citalopram. This  
12 proportional effect on the raw score scale is shown on the right panel, the size of the expected  
13 treatment effect on the raw score increasing with the baseline level of symptoms and no effect  
14 expected for those with no symptoms. The red-dotted diagonal line indicates hypothetical  
15 continuity of the same level of anxiety.

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16  
17 **Figure 3.** Venn diagram of anxiety disorders according to the diagnostic algorithm of the CASI-4

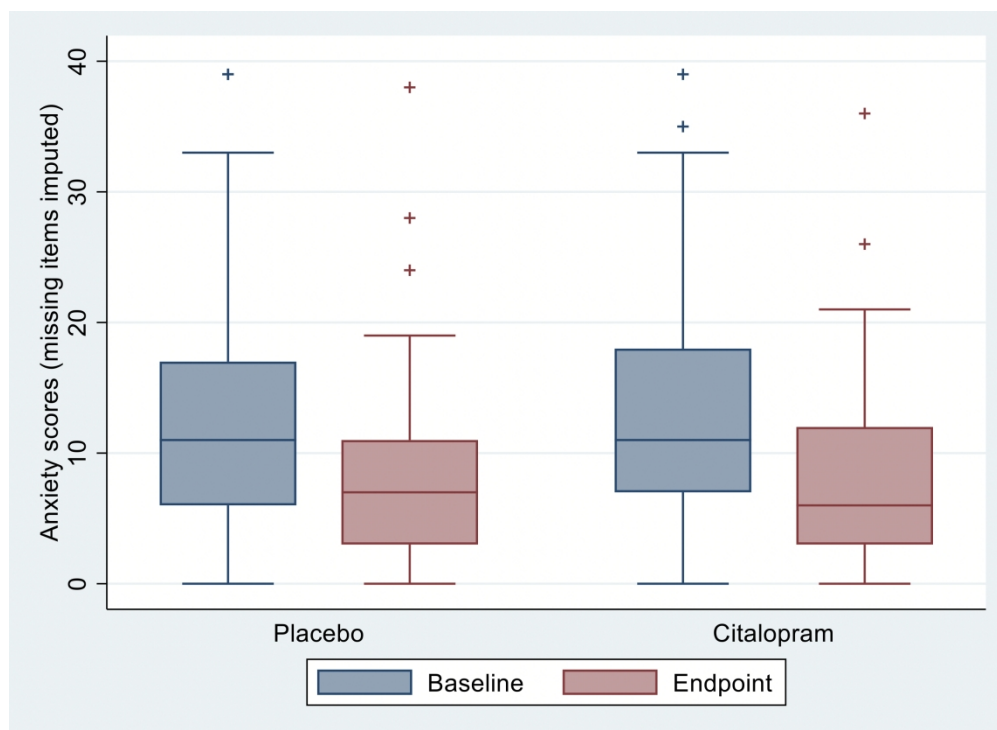


Figure 1. Primary outcome (anxiety as measured with the CASI-4) for Baseline (Screener: placebo n=70, citalopram n=67) and Endpoint (Week 12: placebo n=58, citalopram n=56) – missing items imputed for those with 6 or fewer missing of the 20 items.

517x376mm (144 x 144 DPI)

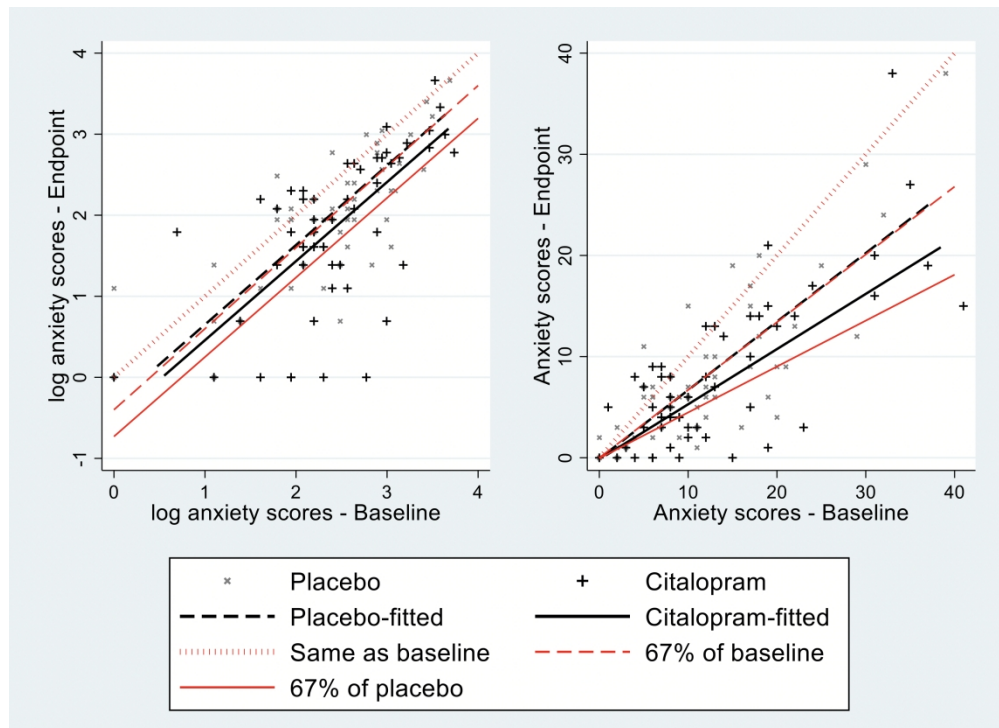


Figure 2. Estimated effects of citalopram vs placebo on anxiety (as measured with the CASI-4), compared to hypothetical levels of change between baseline and endpoint: Log-transformed scores (left) and back transformed to raw scores (right).

[Figure description]

The figure shows the actual reduction in placebo and citalopram arms against predictions for no change (same as baseline), a reduction to 67% of baseline (just achieved by placebo - the 'placebo effect') and a further 33% treatment effect, not achieved by citalopram. This proportional effect on the raw score scale is shown on the right panel, the size of the expected treatment effect on the raw score increasing with the baseline level of symptoms and no effect expected for those with no symptoms. The red-dotted diagonal line indicates hypothetical continuity of the same level of anxiety.

546x397mm (144 x 144 DPI)

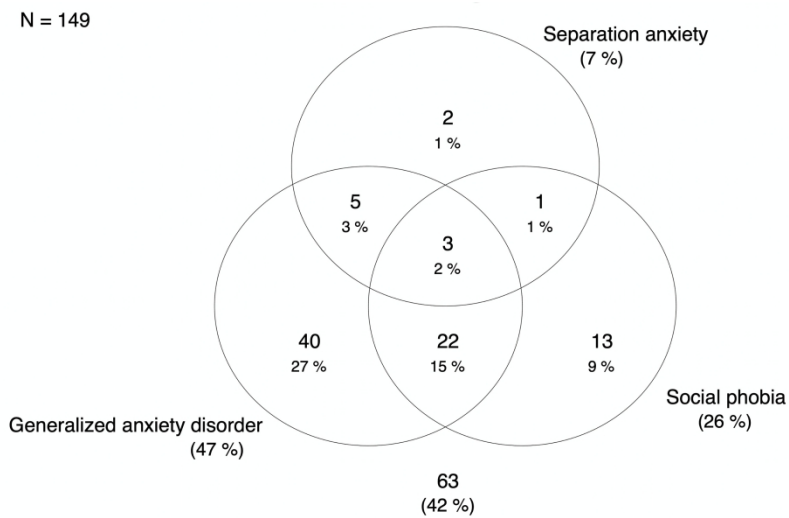


Figure 3. Venn diagram of anxiety disorders according to the diagnostic algorithm of the CASI-4

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**Table 1.** Characteristics of the Citalopram-treated and placebo groups

		Citalopram (n=73)		Placebo (n=76)	
Male sex, No. (%)		64 (87.7)		64 (84.2)	
Age at consent, mean years (SD)	N=73	9.1 (3.2)	N=76	9.6 (3.1)	
Non-verbal IQ <sup>a</sup>	N=70	75.77 (28.08)	N=72	76.42 (29.05)	
VABSs Communication Age Equivalent, mean (SD) <sup>b</sup>	N=72	63.58 (36.99)	N=75	62.25 (40.69)	
ADOS module completed, No. (%) <sup>a</sup>	N=71	1: 21 (28.77) 2: 14 (19.18) 3: 33 (45.21) 4: 3 (4.11)	N=75	1: 20 (26.32) 2: 19 (25.00) 3: 32 (42.11) 4: 4 (1.32)	
Irritability, mean (SD) <sup>b</sup>	N=72	12.82 (8.44)	N=75	12.12 (8.24)	
BMI, mean (SD) <sup>b</sup>	N=69	18.68 (4.74)	N=73	19.83 (5.50)	
Autism severity (CGI-S)	Screeners	N=73	4.90 (0.78)	N=76	4.92 (0.74)
	Week 12	N=55	4.44 (0.96)	N=61	4.49 (0.91)

<sup>a</sup> Measures of non-verbal IQ and the ADOS module were completed at one of the following time points (ranging from screener, baseline, week 2 or week 4)

<sup>b</sup> These measures were completed at the screening time point

**Table 2.** The number of participants experiencing at least one of the following adverse event types

Type (n)	Citalopram	Placebo
Neuropsychiatric	43 (59%)	20 (26%)
Insomnia-related b	29 (40%)	19 (25%)
Non-CNS	30 (41%)	13 (17%)

<sup>a</sup> Participants experienced at least one of the following: increased energy level, disinhibited or impulsive behavior, decreased attention and concentration, hyperactivity, stereotypy

<sup>b</sup> Participants experienced at least one of the following: initial, midcycle or terminal insomnia

<sup>c</sup> Participants experienced at least one of the following: diarrhea, vomiting or nausea, dry skin or pruritus



**Supplementary Table 1.** 20 anxiety items used from the CASI

Item no.	Item description
D47	Is over concerned about abilities in school, athletic, work or social activities
D48	Has difficulty controlling worries
D49	Acts restless or edgy
D51	Is extremely tense or unable to relax
D52	Has difficulty falling asleep or staying asleep
E53	Is overly fearful of (or tries to avoid) specific objects or situations (animals, heights, storms, going places alone, being "trapped", etc.)
E54	Complains about heart pounding, shortness of breath, feeling dizzy, trembling, or fear of dying
E55	Cannot get distressing thoughts out of mind
E61	Complains about physical problems (headaches, upset stomach etc.) for which there is no apparent
E62	Worries about physical health
F63	Is more anxious in social situations than most other children
F64	Is excessively shy with peers
G65	Gets very upset when he/she expects to be separated from home or parents
G66	Worries that parents will be hurt or leave home and not come back
G67	Worries that some disaster (getting lost, kidnapped, etc.) will separate him/her from parents
G68	Tries to avoid going to school in order to stay home with parent
G69	Worries about being left at home alone or with a sitter
G70	Afraid to go to sleep unless near parent
G71	Has nightmares about being separated from parent
G72	Complains about feeling sick when he/she expects to be separated from home or parents

**Supplementary Table 2.** Anxiety scores after imputation for those with 6 or less items missing from the 20 CASI anxiety items

	Screeners		Week 12	
	Citalopram (n=67)	Placebo (n=70)	Citalopram (n=56)	Placebo (n=58)
Total anxiety score, mean (SD) <sup>a</sup>	12.96 (8.63)	12.14 (8.89)	8.05 (7.34)	8.10 (7.11)
	Range: 0-39	Range: 0-39	Range: 0-36	Range:0-38