

• 1400008463  
còpia 1

## Classes of bounded nondeterminism

J. Díaz  
J. Torán

Report LSI-88-6

FACULTAT D'INFORMÀTICA

BIBLIOTECA

R. 7467 29 ENF 1990

# CLASSES OF BOUNDED NONDETERMINISM

Josep Díaz\* and Jacobo Torán  
Departament de Llenguatges i Sistemes Informàtics  
Universitat Politècnica de Catalunya  
Pau Gargallo 5, 08028 Barcelona

## Abstract

We study certain language classes located between  $P$  and  $NP$  that are defined by polynomial time machines with a bounded amount of nondeterminism. We observe that these classes have complete problems and find a characterization of the classes using robust machines with bounded access to the oracle, obtaining some other results in this direction. We also study questions related with the existence of complete tally sets in these classes and closure of the classes under different types of polynomial time reducibilities.

## 1. Introduction and basic definitions

If  $P \neq NP$ , are there classes with “natural” complete problems between  $P$  and the class of  $NP$ -complete problems ( $NP-C$ )? It is well known, that if  $P \neq NP$  there are sets of intermediate complexity, lying between  $P$  and  $NP$ -complete ([La 75]; see also chapter 7 of [Ba,Di,Ga 88]). As a matter of fact, several classes of sets have been proposed, which include the class  $P$  and do not seem to be complete for  $NP$ ; among these classes we could mention the class  $UP$  of  $NP$  languages that can be accepted by nondeterministic polynomial time machines with unique accepting paths [Va 76], the class FewP of languages recognized by nondeterministic Turing machines with a bounded number of accepting paths [Al 85], [Ca,He 89], [Kö,Sc,To,To 89], the class  $R$  of languages accepted by polynomially clocked probabilistic machines which have zero error probability for inputs not in the language and error probability bounded by  $\varepsilon < \frac{1}{2}$  for strings in the language [Gi 77], and the class  $ZPP$  of languages which are recognized in polynomial time by Las Vegas type algorithms [Gi 77] (see also chapter 6 of [Ba,Di,Ga 88]). A common characteristic of these

---

\* The research of this author was supported by CIRIT grant EE87/2

classes is the fact that the existence of complete problems for any of them is not known [Ha,He 86].

In this work we study complexity classes that have “natural” complete problems and appear to be strictly between  $P$  and  $NP$ -complete. These classes arise considering problems that can be solved in polynomial time using a small amount of nondeterminism:

**Definition:** For any function  $f : \mathbb{N} \rightarrow \mathbb{N}$  let

$$\beta_f = \{L \subseteq \Sigma^* \mid \exists A \in P, \exists c \in \mathbb{N}, \forall x \in \Sigma^* : x \in L \Leftrightarrow (\exists y, |y| \leq c \cdot f(|x|) \text{ and } \langle x, y \rangle \in A)\}.$$

Recall that from the classical characterization of  $NP$  in terms of quantifiers we have:

$$NP = \{L \subseteq \Sigma^* \mid \exists A \in P, \exists k \in \mathbb{N}, \forall x \in \Sigma^* : x \in L \Leftrightarrow (\exists y, |y| \leq |x|^k \text{ and } \langle x, y \rangle \in A)\}.$$

The class  $\beta_f$  is therefore the class of problems which can be recognized in polynomial time by a nondeterministic machine which for any input  $w$  uses at most an “ $O(f(|w|))$  amount of nondeterminism”.

From the definition it follows immediately that  $P = \beta_{\log(n)}$  and  $NP = \bigcup_{k \geq 1} \beta_{n^k}$ .

Although every “reasonable” function  $f$  defines a complexity class  $\beta_f$ , in this work we will only consider the classes defined by the polylogarithmic functions  $f(n) = \log^k(n)$ . These classes satisfy certain basic closure properties (for example closure under polynomial time many-one reducibility), and define a hierarchy of complexity classes that seems to be strict. For succinctness, we abbreviate  $\beta_{\log^k(n)}$  as  $\beta_k$  for  $k \geq 1$ . We also define  $\beta_{\text{polylog}}$  as the union of these classes, i.e.,  $\beta_{\text{polylog}} = \bigcup_{k \geq 1} \beta_k$ .

We have then

$$P = \beta_1 \subseteq \beta_2 \subseteq \dots \subseteq \beta_k \subseteq \dots \subseteq \beta_{\text{polylog}} \subseteq \dots \subseteq NP.$$

The classes of bounded nondeterminism are not new. The classes in  $\beta_{\text{polylog}}$  appeared in [Ki,Fi 84] illustrating the issue of relativizations separating  $P$  from  $NP$ . In the article by Kintala and Fisher, some oracles separating every class in  $\beta_{\text{polylog}}$  are found. Also these classes are briefly mentioned in [Ba 84], [Sc,Bo 84], and [Xu,Do,Bo 83]. We should remark that none of the mentioned papers goes into a deep study of the classes themselves or the relations between them and some other structural classes placed in the “no man’s land” between  $P$  and  $NP$ -complete.

From the results in [Ki,Fi 84] the hierarchy of  $\beta_k$ -classes seems to be strict although the result is hard to prove since it implies  $P \neq NP$ . Using padding arguments we can see that a collapse in the hierarchy has consequences on other complexity classes. This result has been pointed to us by R. Beigel.

**Theorem 1:** Let  $j$  and  $k$  be two natural numbers with  $j \leq k$ . If  $\beta_j = \beta_k$  then

- (i)  $SAT \in DTIME(2^{n^{j/k}})$
- (ii)  $NTIME(n) \subseteq DTIME(2^{n^{j/k}})$ .

**Proof:** We show (i). (ii) is analogous. Let  $L = \{0^m \# x \mid x \in SAT \text{ and } |x| = \log^k(m)\}$ . Then  $L$  is in  $\beta_k$ , and by the hypothesis  $L$  is in  $\beta_j$ . Simulating the nondeterminism we have  $L \in DTIME(2^{\log^j(n)})$  and  $SAT \in \beta_{n^{j/k}}$ , which implies  $SAT \in DTIME(2^{n^{j/k}})$ .  $\square$

In this article we show that all the  $\beta_k$  classes have natural complete problems, which are obtained by a simple modification of certain complete problems for  $P$ , i.e., by adding nondeterminism. It is interesting to observe that by the complementary process of restricting the nondeterminism in natural  $NP$ -complete problems, we do not seem to obtain complete problems for the  $\beta$ -classes.

Although the complete problems for  $\beta_k$  are simple variations of complete problems for  $P$ , the classes seem to be much closer to  $NP$  than to  $P$  and many of the results obtained for the class  $NP$  can be translated to the classes  $\beta_k$ . Nevertheless, not all of the results that hold for  $NP$  seem to be true for the  $\beta$ -classes; for example, for every  $k$ ,  $\beta_k$  is closed under disjunctive polynomial time reducibility but does not seem to be closed under conjunctive polynomial time reducibility. (In section 3 we present a relativization under which  $\beta_k$  is not closed under conjunctive reducibility).

We also obtain (section 4) a characterization of the classes in  $\beta_{\text{polylog}}$  in terms of one-way robust Turing machines, showing that the small amount of nondeterminism defining the classes can be simulated by bounding the number of questions to the oracle of a deterministic robust machine. We observe an unusual phenomenon related with the  $\beta$ -classes and the robust machines, presenting a relativized world in which  $\beta_k$  helps (in the sense of [Ko 87]) a class strictly bigger than  $\beta_k$ .

## 2. Complete problems in $\beta_k$

Let us start by showing the existence of complete problems in  $\beta_k$ . In order to enumerate the machines recognizing languages in  $\beta_k$ , we define a machine model for this class.

**Definition:** For every  $k$ , a  $\beta_k$ -machine is a nondeterministic polynomial time machine equipped with a clock, that for a certain constant  $c > 0$  works as follows:

Given input  $x$ , the machine first writes nondeterministically  $c \log^k(|x|)$  symbols on one of its working tapes. Thereafter, the machine works deterministically, and if it has to take a nondeterministic decision, the machine follows the path given by the written nondeterministic string.

It should be clear that these machines recognize the languages in  $\beta_k$ , and that for every  $k$  there exists a recursive enumeration of the  $\beta_k$  machines. We can suppose that machine  $M_i$  with an input of length  $n$  can make  $i \cdot \log^k(n)$  nondeterministic choices. From this enumeration we define in a canonical way the following  $\beta_k$ -complete language

$$L_k = \{ \langle i, x, 0^n \rangle \mid M_i \text{ accepts } x \text{ in } n \text{ or less steps} \},$$

where, as we have said,  $M_i$  is a nondeterministic machine using  $i \cdot \log^k(|x|)$  nondeterministic choices. Observe that we can also enumerate all the machines computing languages in  $\beta_{\text{polylog}}$  and obtain in the same way complete languages for this class.

We define now more “natural” complete problems for  $\beta$ -classes. These problems are found introducing a certain amount of “controlled nondeterminism” into  $P$ -complete problems. Consider the following variation of the circuit value problem from [La 75], which is  $\beta_k$ -complete.

$\beta_k$ -CVP:

**Input:** A pair  $\langle x, y \rangle$  such that  $x \in \{0, 1\}^*$  and  $y$  encodes a boolean circuit with  $|x| + \lceil \log(|x|) \rceil$  input gates.

**Question:** Is there a string  $z \in \{0, 1\}^*$  of length  $\lceil \log(|x|) \rceil$  such that the circuit encoded by  $y$  with input  $xz$  outputs a 1 ?

**Theorem 2:** For every  $k \geq 1$ ,  $\beta_k$ -CVP is  $\beta_k$ -complete under logarithmic space many-one reducibility.

The hardness proof is essentially the same as the one given by Ladner in [La 75], but using the  $\beta_k$ -machine model defined in the previous section. The second stage of the  $\beta_k$ -machine (when all the nondeterministic bits have been written) can be simulated by a boolean circuit, and the nondeterministic bits of the machine correspond to the input bits that are not fixed in the circuit.

It is not hard to introduce nondeterminism in some other  $P$ -complete problems, like, for example, the generator problem from [Jo,La 76], making it  $\beta_k$ -complete.

$\beta_k$ -GEN:

**Input:** A set  $X$  of elements; a binary operation  $\circ$  defined on  $X$  and explicitly given by a table and defined in such a way that in  $\log^k(|X|)$  cases the operation  $\circ$  can have two possible values; a subset  $S \subset X$ ; and a distinguished element  $x$  of  $X$ .

**Question:** Is there a way to define the  $\circ$  operation unambiguously such that  $x$  is contained in the smallest subset of  $X$  which contains  $S$  and is closed under the given operation  $\circ$  ?

Observe that the two problems above as well as a small modification of  $L_k$ , are self-reducible, a fact that will be used in next sections. Another interesting point is that by restricting the amount of nondeterminism in  $NP$ -complete problems, we do not seem to obtain complete problems for  $\beta_k$ . For example, the satisfiability problem for formulas with only a polylogarithmic amount of variables is in  $ATIME(polylog)$  [Bu 87], and therefore it may not even be hard for  $P$ . In spite of this fact, as we will see in the next sections, the  $\beta$ -classes share many of the properties of the class  $NP$ .

### 3. Closure under polynomial time reducibilities

The  $\beta$ -classes present interesting properties concerning their closure under polynomial time reducibilities. It is easy to see that they are closed under many-one polynomial time reducibility, and they do not seem to be closed under polynomial time Turing reducibility (it is not hard to find a relativization in which these classes are not closed under this reducibility; in fact the relativization presented in the previous section can be used for this purpose). So far these closure properties are the same as for the class  $NP$ ; the interesting aspect arises when we consider polynomial time truth table reducibility, and more specifically disjunctive and conjunctive reducibilities. Recall that a set  $A$  is conjunctive reducible to a set  $B$ , if there is a function  $f \in PF$  such that for every string  $x$ ,  $f(x)$  computes a list of strings  $y_1, \dots, y_{p(|x|)}$ , and  $x \in A$  if and only if  $y_i \in B$  for each  $i$ ,  $1 \leq i \leq p(|x|)$ . Analogously,  $A$  is disjunctive reducible to  $B$  if there is a function  $f \in PF$  computing a list  $y_1, \dots, y_{p(|x|)}$ , and  $x \in A$  if and only if  $y_i \in B$  for some  $i$ ,  $1 \leq i \leq p(|x|)$ . The  $\beta$ -classes are closed under disjunctive reducibility but do not seem to be closed under conjunctive reducibility. Again, this last remark implies  $P \neq NP$ , and therefore we are only able to prove it in a relativized world.

**Theorem 3:** For every  $k$ , the class  $\beta_k$  is closed under polynomial time disjunctive reducibility.

**Proof:** Let  $B$  be a set in  $\beta_k$ , and  $A$  a set disjunctive reducible to  $B$  via a function  $f \in PF$  bounded by a polynomial  $q$ . To decide if a string  $x$  belongs to  $A$ , compute the list of strings  $y_1, \dots, y_{p(|x|)}$  given by  $f(x)$ , choose one of the strings  $y_i$  ( $\log(p(|x|))$  nondeterministic bits) and then guess a string of length  $\log^k(q(|y_i|))$  to witness the membership of  $y_i$  in  $B$ .

The algorithm runs in polynomial time and uses a  $O(\log^k(n))$  amount of nondeterminism. Therefore  $A$  is in  $\beta_k$ . □

**Theorem 4:** For every  $k \geq 2$  there is a relativization in which the class  $\beta_k$  is not closed

under polynomial time conjunctive reducibility. This also holds for  $\beta_{\text{polylog}}$ .

**Proof:** We will find an oracle  $B$  and a language  $L$  such that  $L$  is conjunctive reducible to  $\beta_2^B$ , but  $L$  is not in  $\beta_{\text{polylog}}^B$ .

For every set  $B$ , consider the test language

$$L_B = \{0^n \mid \forall u, |u| = \lceil \log(n) \rceil, \exists v, |v| = \lceil \log^2(n) \rceil \text{ and } uv \in B\}.$$

It is clear that for every  $B$ ,  $L_B$  is conjunctive reducible to a set in  $\beta_2(B)$ . As we will see, using counting arguments, a set  $B$  can be found such that  $L_B \notin \beta_{\text{polylog}}^B$ .

Let  $M_1, M_2 \dots$  be an enumeration of  $\beta_{\text{polylog}}$  oracle machines, with the computation time of  $M_s$  bounded by polynomial  $p_s$  and its nondeterminism bounded by  $s \log^s$ . We will construct set  $B$  in stages:

stage 0:  $B_0 = \emptyset$ ;  $n_0 = 0$ .

stage  $s$ : Let  $n_s$  be the smallest  $m$  such that  $m$  is a power of 2,  $\log^2(m) > p_i(n_i)$  for  $i < s$ , and

$$\frac{2^{\log^2(m)m}}{\binom{p_s(m)}{m}} > 2^{s \log^s(m)}.$$

Let  $\{x_1, \dots, x_{n_s}\}$  be the set of all strings of length  $\log(n_s)$ .

If  $0^{n_s} \in L(M_s, B_{s-1})$  then  $B_s = B_{s-1}$

else

1. If there are  $n_s$  strings  $w_1, \dots, w_{n_s}$  (not necessarily different) of length  $\log^2(n_s)$  such that  $0^{n_s} \notin L(M_s, B_{s-1} \cup \{x_1 w_1, \dots, x_{n_s} w_{n_s}\})$  then let  $B_s = B_{s-1} \cup \{x_1 w_1, \dots, x_{n_s} w_{n_s}\}$ .
2. If the condition in 1 is not true then there is an integer  $r$ , ( $r < n_s$ ), and  $r$  strings of length  $\log^2(n_s)$ ,  $w_1, \dots, w_r$  (not necessarily different), such that  $0^{n_s} \in L(M_s, B_{s-1} \cup \{x_1 w_1, \dots, x_r w_r\})$ . Let  $B_s = B_{s-1} \cup \{x_1 w_1, \dots, x_r w_r\}$ .

end (of stage  $s$ )

Let  $B = \bigcup_s B_s$ . Following similar arguments as in [Ba,Gi,So 75] it is not hard to check that  $L_B \notin \beta_{\text{polylog}}^B$ . It is only left to show that the assertion in 2 is true.

**Claim:** For every  $n_s$ , if for every sequence of  $n_s$  strings  $\{w_1, \dots, w_{n_s}\}$  (not necessarily different) of length  $\log^2(n_s)$ ,  $0^{n_s} \in L(M_s, B_{s-1} \cup \{x_1 w_1, \dots, x_{n_s} w_{n_s}\})$  then there is an integer  $r$ ,  $r < n_s$ , and  $r$  strings  $\{w_1, \dots, w_r\}$  (not necessarily different) of length  $\log^2(n)$ , such that  $0^{n_s} \in L(M_s, B_{s-1} \cup \{x_1 w_1, \dots, x_r w_r\})$ .

**Proof of the claim:** Given  $n_s$ , we will call a string of  $s \log^s(n_s)$  bits a computation path of  $M_s$ , since it forces the computation of  $M_s$  (for fixed input and a fixed oracle). Observe

that there are at the most  $2^{s \log^s(n_s)}$  computation paths, and that this is independent of the oracle we use.

Suppose that the claim is not true. Then for every tuple of  $n_s$  strings  $\{w_1, \dots, w_{n_s}\}$  of length  $\log^2(n_s)$ , every accepting path of machine  $M_s$  with input  $0^{n_s}$  and oracle  $B_{s-1} \cup \{x_1 w_1, \dots, x_{n_s} w_{n_s}\}$  must query all the strings  $x_1 w_1, \dots, x_{n_s} w_{n_s}$  and all the answers must be “yes”.

We will say that a tuple of  $n_s$  strings  $\{w_1, \dots, w_{n_s}\}$  “is included” in a computation path if every element from the tuple  $\{x_1 w_1, \dots, x_s w_{n_s}\}$  is queried by  $M_s$  on that computation path with input  $0^{n_s}$  and oracle  $B_{s-1} \cup \{x_1 w_1, \dots, x_s w_{n_s}\}$ . Observe that although in a computation path the range of oracle queries that can be made could be very big (the machines are non-adaptive), the number of tuples of  $n_s$  strings “included” in a computation path is at the most  $\binom{p_s(n_s)}{n_s}$ . The explanation for this is that there are at the most as many tuples included in a computation path as there are sequences of  $p_s(n_s)$  oracle answers including exactly  $n_s$  “yesses”.

But then for every tuple  $\{w_1, \dots, w_{n_s}\}$  there is an accepting path that contains exactly the mentioned strings answered “yes”.

From the above two facts it follows that the number of computation paths of  $M_s$  that accept  $0^n$  for some oracle  $B_{s-1} \cup \{x_1 w_1, \dots, x_s w_{n_s}\}$  is greater or equal than the number of different tuples  $w_1, \dots, w_{n_s}$  (considering the order and with repetitions allowed) divided by the number of tuples that can be “included” in a computation path. But this number is

$$\frac{2^{\log^2(n_s)n_s}}{\binom{p_s(n_s)}{n_s}}$$

which is strictly greater than  $2^{s \log^s(n_s)}$ , the number of possible computation paths of  $M_s$  with input  $0^{n_s}$ . This is a contradiction, and therefore assertion 2 has to be true.  $\square$

#### 4. Robust machines and the $\beta$ -classes

In this section we give a characterization of the  $\beta$ -classes using robust machines i.e. oracle machines that recognize the same language independently from the oracle they use. This kind of machines were first introduced in [Sc 85], and also studied in [Ko 87], and [Ha,He 87].

**Definition:** For any language  $L$ ,  $L \in P_{1\text{-help}}$  if and only if there is a deterministic polynomial time bounded machine  $M$  and an oracle  $A$  such that:

- i/ For every  $x \in L$ ,  $x \in L(M, A)$  and
- ii/ For every oracle  $B$  and every  $x \notin L$ ,  $x \notin L(M, B)$ .



For a language class  $K$ , a language  $L$  is in  $P_{1\text{-help}}^K$  if  $L \in P_{1\text{-help}}$  and the oracle  $A$  satisfying condition i/ in the definition above is in the class  $K$ .

By bounding the access to an  $NP$  oracle, we can characterize the  $\beta$ -classes in terms of robust machines. Between square brackets, we indicate the amount of oracle queries allowed.

**Theorem 5:** For every  $k \geq 2$ ,

$$\text{i/ } P_{1\text{-help}}^{NP [\log^k]} = P_{1\text{-help}}^{\beta_k [\log^k]} = \beta_k$$

$$\text{ii/ } \beta_k \subseteq P_{1\text{-help}}^{\beta_k}.$$

**Proof:** (Sketch) i/ The inclusions from left to right follow from the fact that an accepting computation path from a deterministic machine that makes  $\log^k$  questions to the oracle can be found guessing  $\log^k$  bits; since the machine is robust, if a certain input is not in the language recognized by the machine, none of the possible paths that can be guessed for this input will accept.

The first inclusion from right to left is straightforward. For the other inclusion, given a language  $L \in \beta_k$  which for a certain polynomial time predicate  $P$  satisfies:

$$L = \{x \mid \exists y, |y| \leq \log^k(|x|) \text{ and } \langle x, y \rangle \in P\} \quad \text{let}$$

$$\text{Pref}(L) = \{\langle x, y \rangle \mid \exists y', |y| + |y'| = \log^k(|x|) \text{ and } \langle x, yy' \rangle \in P\}.$$

Clearly  $\text{Pref}(L)$  is also in  $\beta_k$ . Any set  $L$  in  $\beta_k$  can be semi-decided following the usual methods by a deterministic robust machine doing binary search in oracle  $\text{Pref}(L)$ . This proves also result ii/.  $\square$

Result ii/ only seems to hold in one direction; consider a language  $L$  in  $\beta_k$  and the language  $L' = \{\langle a_1, a_2, \dots, a_n \rangle \mid a_i \in L \text{ for } i = 1 \dots n\}$ . Clearly  $L'$  is in  $P_{1\text{-help}}^{\beta_k}$  since in order to check that a given string  $w$  is in  $L'$ , the robust machine only needs to ask the oracle for the witnesses of all the substrings in  $w$ , and then check that they are correct. This can be done in polynomial time with a “good” oracle in  $\beta_k$ . On the other hand,  $L'$  does not seem to be in  $\beta_k$  since in order to check the validity of an input,  $n \log^k$  nondeterministic bits are needed.

This is a strange phenomenon since all the classes that have appeared in the literature helping some other class in the sense of one-way robust machines, can only help themselves or some of their subclasses, ( $P_{1\text{-help}}^{NP} = NP$ ,  $P_{1\text{-help}}^P = P$ ,  $P_{1\text{-help}}^{BPP} \subseteq R$ , [Sc 85], [Ko 87]), and  $\beta_k$  seems to help a class strictly greater than itself. It would be very hard to prove that  $\beta_k$  is strictly contained in  $P_{1\text{-help}}^{\beta_k}$  since it would immediately imply  $P \neq NP$ , but we still

can prove the result in a relativized world, in fact, we can use for this the relativization from theorem 4.

In order to do this we first have to define relativized robust machines.

**Definition:** For any language  $L$  and any oracle  $B$ ,  $L \in (P_{1\text{-help}})^B$  if and only if there is a deterministic polynomial time bounded machine  $M$  and an oracle  $A$  such that:

- i/ For every  $x \in L$ ,  $x \in L(M, A \oplus B)$  and
- ii/ For every oracle  $D$  and every  $x \notin L$ ,  $x \notin L(M, D \oplus B)$ .

Intuitively a relativized robust machine is just a deterministic machine with an oracle which is the join of two sets. Questions to one of the sets in the join are always answered correctly, but the questions to the other set have to be verified by the machine. It is not hard to see following the same techniques as in [Sc 85], [Ko 87] that for any oracle  $B$ ,  $(P_{1\text{-help}})^B = NP^B$ .

As in the unrelativized case, we can define consider the case in which the helping oracle is in a certain complexity class.

**Definition:** Let  $K$  be a language class that can be relativized. For any language  $L$  and any oracle  $B$ ,  $L \in (P_{1\text{-help}}^K)^B$  if and only if there is a deterministic polynomial time bounded machine  $M$  and an oracle  $A \in K^B$  such that:

- i/ For every  $x \in L$ ,  $x \in L(M, A \oplus B)$  and
- ii/ For every oracle  $D$  and every  $x \notin L$ ,  $x \notin L(M, D \oplus B)$ .

Observe that in the above definition we also relativize the helping class. The motivation for this is that if we give more power to the robust machine by letting it access “two” oracles, we also have to give more power to the helping class or it will not be able to help the machine. It is also easy to prove that for any oracle  $B$ ,  $(P_{1\text{-help}}^{NP})^B = NP^B$ .

**Theorem 6:** For any  $k \geq 2$ , there is an oracle  $B$  such that  $(P_{1\text{-help}}^{\beta_k})^B \not\subseteq \beta_k^B$ .

**Proof:** For every set  $B$ , consider the test language

$$L_B = \{0^n \mid \forall u, |u| = \lceil \log(n) \rceil, \exists v, |v| = \lceil \log^2(n) \rceil \text{ and } uv \in B\}.$$

In theorem 4 we showed that there is a set  $B$  such that for every  $k$ ,  $L_B \notin \beta_k$ , therefore we will just show that for every set  $B$ ,  $L_B \in (P_{1\text{-help}}^{\beta_2})^B$ . (This obviously implies  $L_B \in (P_{1\text{-help}}^{\beta_k})^B$  for every  $k \geq 2$ ).

Consider the set

$$B' = \{0^n \# x \# u \mid |x| = \lceil \log(n) \rceil \text{ and } \exists v \ |u| + |v| = \lceil \log^2(n) \rceil \text{ and } xuv \in B\}.$$

Clearly,  $B' \in \beta_2^B$  since in order to check that a given input is in the set, we only need to guess  $\log^2$  bits, at the most, and then make one query to  $B$ .

Informally we describe now a deterministic one-way robust machine that recognizes  $L_B$  using oracle  $B'$ : With input  $0^n$ , cycle over all strings  $x$  of length  $\lceil \log(n) \rceil$ , and for each one of them, doing binary search in the oracle, find a string  $w$  of length  $\lceil \log^2(n) \rceil$  in  $B$ . Finally check that the string obtained,  $xw$  is in  $B$  by directly asking oracle  $B$ . If this can be done for every string  $x$  of length  $\lceil \log(n) \rceil$  then accept.  $\square$

## 5. Similarities between $\beta$ and $NP$

Although we have seen that  $\beta_k$ -complete problems can be obtained with small modifications from  $P$ -complete problems, we will see in this section several results which indicate that the classes  $\beta_k$  share many of the properties of the class  $NP$ . We will begin by re-stating in terms of  $\beta_k$  an old result of Adleman [Ad 79] which says that the difference between  $P$  and  $NP$  is the ability of the  $NP$ -machines to manufacture randomness. In the next theorem, we prove that this difference also exists between  $P$  and  $\beta_k$ , which in a certain sense means that the ability to manufacture randomness is independent of the amount of nondeterminism used by the machine. Our proof follows directly a similar one in [He,We 89]. We introduce first two definitions.

**Definition:** Given a  $\beta_k$  machine  $M$  and an input  $x$ , a certificate of  $M$  on  $x$  is an accepting path of  $M(x)$ .

**Definition:** Let  $M_U$  be a universal Turing machine,  $z \in \Sigma^*$ , and  $f, g : \mathbb{N} \rightarrow \mathbb{N}$ . Define the Kolmogorov complexity relative to string  $z$  as

$$K[f(n), g(n) \mid z] = \{x \mid \exists y, |y| \leq f(|x|) \wedge M_u(y\#z) \text{ prints } x \text{ within } g(x) \text{ steps}\}.$$

We say that a string  $x$  is Kolmogorov simple relative to  $z$  if there is a constant  $c$  such that  $x \in K[c \log n, n^c \mid z]$ .

**Theorem 7:**  $P = \beta_k$  iff  $\beta_k$  has Kolmogorov simple certificates relative to the input.

**Proof.** If  $P = \beta_k$ , by using the self-reducibility of  $\beta_k$ -CVP we can find certificates for any instance in the language. In the other direction, there are only polynomially many simple strings relative to the input and we can produce all of them in polynomial time, and check if at least one of them is a true certificate.  $\square$

Besides this similarity between the sets accepted by machines using a polynomial amount of nondeterminism and a  $\log^k$  amount of nondeterminism, there are other common

characteristics. One of them is that  $\beta_k$  cannot have complete tally sets unless  $P = \beta_k$ . This result is similar to the well known result of Berman about the non-existence of  $NP$ -complete tally sets if  $P \neq NP$ . The result is given in the following theorem, whose proof is practically the same as the one given by Berman [Ber 78], but using the set  $\beta_k\text{-CVP}$ , shown previously to be complete in  $\beta_k$ , and self-reducible. The details of the proof are left to the reader.

**Theorem 8:** If there exists a co-sparse and  $\beta_k$ -complete set, then  $P = \beta_k$ .

As a corollary to this last theorem we can state

**Corollary:** If there exists a tally  $\beta_k$ -complete set, then  $P = \beta_k$ .

It is an open question whether the result holds also for sparse sets. Mahaney's proof for sets in  $NP$  [Ma 80], cannot be carried over to  $\beta$  since it needs a massive use of nondeterminism.

It is also interesting to compare the ability of  $\beta_k$ -machines to compress queries to an oracle in  $NP$  with the ability of  $NP$ -machines to do the same thing. It is well known that an  $NP$ -machine with access to an oracle in  $NP$  can be simulated by another machine that queries the oracle just once ( $NP^{NP} = NP^{NP[1]}$ ). We present results in this line for the class  $\beta_k$ . Recall that between the square brackets we indicate the number of oracle queries allowed.

**Theorem 9:** For every  $k$ , and  $j \geq k$ ,

$$\text{i/ } \beta_k^{NP[\log^j(n)]} \subseteq \beta_j^{NP[2]}.$$

$$\text{ii/ } \beta_k^{NP[\log^k(n)]} = \beta_k^{NP[2]}$$

**Proof:** We sketch i/. ii/ is a corollary. A  $\beta_k$ -machine  $M$  making  $\log^j$  queries to an oracle in  $NP$  can be simulated by another machine  $M'$  making just 2 queries to another  $NP$  oracle in the following way:  $M'$  guesses a computation path of  $M$  and a list of oracle answers from the oracle ( $O(\log^j(n))$  bits). With this information  $M'$  computes the list of oracle queries corresponding to the guessed answers and the guessed computation path, and needs just two queries to the new oracle to check that all the queries corresponding to a (guessed) positive answer are in the old oracle, and all the queries corresponding to a negative answer are not in the old oracle. Since  $NP$  is closed under disjunctive and conjunctive truth table reducibilities, the new oracle is also in  $NP$ .  $\square$

**Final remarks.**

We have presented a hierarchy of  $\beta$ -classes, which are located between  $P$  and  $NP$ -complete and which have complete problems. We have characterized these classes in terms of robust machines, introducing the concept of relativized robust machine. Under the hypothesis  $P \neq \beta_k$ , we obtained a negative results about the existence of complete tally sets in the  $\beta$ -classes.

The relationship between the  $\beta$ -classes and other complexity classes that lie between  $P$  and  $NP$ , like  $R$  or  $ZPP$ , is an interesting open question since any result in this direction will clarify the relationship between nondeterminism and probabilism. We do not know either whether the  $\beta$ -classes lie within Schöning's Low or High hierarchies (see [Sc 86]), but we conjecture that the classes are not included in either of them.

**Acknowledgements:** The authors sincerely thank Richard Beigel for pointing to us theorem 1, and Ron Book and the anonymous referee for very helpful comments on the paper.

## References

- [Ad 79] L. Adleman: Time, Space and Randomness. Techn. Report MIT/LCS/TM-131, MIT 1979.
- [Al 85] E. Allender: *Invertible functions*. Ph.D. dissertation, Georgia Institute of Technology, 1985.
- [Ba,Gi,So 75] I. Baker, J. Gill, R. Solovay: Relativization of the  $P=?NP$  question. *SIAM J. Comput.*, 4, 1975, 431–442.
- [Ba 84] J.L. Balcázar: *En torno a oráculos que ciertas máquinas consultan, y las espantables consecuencias a que ello da lugar*. Ph.D. dissertation, FIB. 1984.
- [Ba,Di,Ga 88] J.L. Balcázar, J.Diaz, J.Gabarró: *Structural Complexity*, (Vol.1) 1988, Springer-Verlag.
- [Be 78] P. Berman: Relationships between density and deterministic complexity of NP-complete languages. *Proceedings 5th ICALP*, 1978, 63–72.
- [Bo 74] R. Book: Tally languages and complexity classes. *Information and Control*, 1974, 186–193.

- [Bu 87] S. Buss: The boolean formula value problem is in ALOGTIME. *19th STOC* 1987, 123–131.
- [Ca,He 89] J. Cai, L. Hemachandra: On the power of parity. *Symp. Theor. Aspects of Comput. Sci.* 1989, 229–240.
- [Gi 77] J. Gill: Computational complexity of probabilistic Turing machines. *SIAM J. Comput.*, **6**, 1977, 675–695.
- [Ha,He 86] J. Hartmanis, L. Hemachandra: Complexity classes without machines: on complete languages for UP. *13th. ICALP*, 1986, 123–135.
- [Ha,He 87] J. Hartmanis, L. Hemachandra: One-way functions, robustness, and the non-isomorphism of NP-complete sets. *2nd Structure in Complexity Theory Conference*, 1987, 160–175.
- [He,We 89] L. Hemachandra, G. Wechsung: Using randomness to characterize the complexity of computation. To appear in *IFIP 89*.
- [Jo,La 76] N. Jones, W. Laaser: Complete problems for deterministic polynomial time. *Theoretical Computer Science*, **3**, 1976, 105–118.
- [Ki,Fi 84] C. Kintala, P. Fisher: Refining nondeterminism in relativized complexity classes. *SIAM J. Comput.*, **13**, 1984, 329–337.
- [Ko 87] K. Ko: On helping by robust oracle machines. *Theoretical Computer Science*, **52**, 1987, 15–36.
- [Kö,Sc,To,To 89] J. Köbler, U. Schöning, S. Toda, J. Torán: Turing machines with few accepting computations and low sets for PP. To appear in *4th Structure in Complexity Theory Conference*, 1989.
- [La 75] R. Ladner: On the structure of polynomial time reducibility. *J.ACM*, **22** 1975, 155–171.
- [Ma 80] S. Mahaney: Sparse complete sets for NP: solution of a conjecture of Berman and Hartmanis. In *Proceedings IEEE Symposium on Foundations of Computer Science*, 1980, 54–60.
- [Sc 85] U. Schöning: Robust algorithms: a different approach to oracles. *Theoretical Computer Science*, **40**, 1985, 57–66.
- [Sc 86] U. Schöning: *Complexity and Structure*. Lecture Notes in Computer Science, 1986,

Springer-Verlag.

[Sc,Bo 84] U. Schöning, R. Book: Immunity, relativizations, and nondeterminism. *SIAM J. Comput.*, **9**, 1984, 46–53.

[Va 76] L. Valiant: Relative complexity of checking and evaluating. *Information Processing Letters*, **5**, 1976, 20–23.

[Xu,Do,Bo 83] M. Xu, J. Doner, R. Book: Refining nondeterminism in relativizations of complexity classes. *JACM* **30**, 1983, 677-685.