

# Classification and Comparison of Small RNAs from Plants

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Annu. Rev. Plant Biol. 2013. 64:137–59

First published online as a Review in Advance on January 16, 2013

The *Annual Review of Plant Biology* is online at [plant.annualreviews.org](http://plant.annualreviews.org)

This article's doi:  
10.1146/annurev-arplant-050312-120043

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## Keywords

microRNA, siRNA, *Arabidopsis*

## Abstract

Regulatory small RNAs, which range in size from 20 to 24 nucleotides, are ubiquitous components of endogenous plant transcriptomes, as well as common responses to exogenous viral infections and introduced double-stranded RNA (dsRNA). Endogenous small RNAs derive from the processing of helical RNA precursors and can be categorized into several groups based on differences in biogenesis and function. A major distinction can be observed between small RNAs derived from single-stranded precursors with a hairpin structure [referred to here as hairpin RNAs (hpRNAs)] and those derived from dsRNA precursors [small interfering RNAs (siRNAs)]. hpRNAs in plants can be divided into two secondary groups: microRNAs and those that are not microRNAs. The currently known siRNAs fall mostly into one of three secondary groups: heterochromatic siRNAs, secondary siRNAs, and natural antisense transcript siRNAs. Tertiary subdivisions can be identified within many of the secondary classifications as well. Comparisons between the different classes of plant small RNAs help to illuminate key goals for future research.

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## INTRODUCTION

Plant small RNAs are initially produced as double-stranded duplexes from the helical regions of larger RNA precursors by the endonuclease activities of Dicer-like (DCL) proteins. One strand from the initial duplex then becomes associated with an Argonaute (AGO) protein. The AGO-bound small RNA

is available to hybridize with target RNAs; upon satisfactory Watson-Crick pairing, the AGO protein directly catalyzes and/or indirectly orchestrates repressive activities on the target. AGO-organized target repression can occur at the levels of repressive chromatin modifications, decreased RNA stability, and lowered translational efficiency. The AGO-bound small-RNA ensemble in any given plant is therefore essentially a reservoir of sequence-specific negative regulators that can be deployed for a wide variety of functions.

In this review, I describe a hierarchical classification scheme that can be used to annotate the diversity of plant DCL/AGO small RNAs based primarily on their distinct modes of biogenesis. I then compare the evolution, mechanisms, and functions of these various classes of small RNAs both between plant species and between the different classes. I focus specifically on the endogenously expressed DCL/AGO small RNAs in plants, which are hereafter referred to simply as small RNAs. Readers interested in other classes of plant regulatory small RNAs, such as the longer 30–40-nucleotide (nt) RNAs produced upon bacterial infections (59), virus-derived and pathogen-associated small RNAs (97), and synthetic small RNAs (31, 36, 87), are directed to the above works and the references therein. Finally, for brevity, I for the most part omit in-depth discussion of the many specific genes and proteins required for small-RNA biogenesis, function, and turnover beyond the core RNA-dependent RNA polymerase (RDR), DCL, and AGO families (see sidebar, RDRs, DCLs, and AGOs: Core Enzymes for Plant Small-RNA Biogenesis and Function). Interested readers are directed toward several excellent reviews that together cover these other factors in much more detail (23, 64, 109, 111, 114).

## A HIERARCHICAL CLASSIFICATION SYSTEM FOR PLANT SMALL RNAs

Small RNAs in plants derive from the processing of helical regions of RNA precursors.

**DCL:** Dicer-like

**AGO:** Argonaute

**RDR:** RNA-dependent RNA polymerase

When considering the details of the precursors of small RNAs, a fundamental dichotomy emerges between those derived from double-stranded precursors formed by the intermolecular hybridization of two complementary RNA strands and those derived from single-stranded precursors that possess an intramolecular, self-complementary “hairpin” structure (**Figure 1**). Small RNAs derived from double-stranded RNA (dsRNA) precursors are known as small interfering RNAs (siRNAs). I refer to all small RNAs derived from single-stranded hairpins as hairpin RNAs (hpRNAs), and propose that the distinction between siRNAs and hpRNAs is a primary distinction when classifying small RNAs (**Figure 1**). It is important to note that other modes of small-RNA biogenesis are possible: Piwi-associated RNAs (piRNAs) in animals derive from the fragmentation of single-stranded, likely nonhelical precursors (57), and the 22G RNAs of *Caenorhabditis elegans* are the direct products of transcription by an endogenous RDR (88). However, neither of these alternative modes of small-RNA biogenesis has been described in plants to date.

hpRNAs and siRNAs can be subdivided into two and three secondary classifications, respectively, based on distinct modes of biogenesis and/or function (**Figure 1**): hpRNAs can be divided into microRNAs (miRNAs) and everything else (other hpRNAs), and the currently known siRNAs can be divided into heterochromatic siRNAs, secondary siRNAs, and natural antisense transcript siRNAs (NAT-siRNAs). Some of the secondary categories can be further subdivided into tertiary categories: miRNAs can be lineage specific or long, secondary siRNAs can be phased or *trans*-acting, and NAT-siRNAs can be *cis* or *trans*. It is important to note that child categories do not necessarily constitute the entirety of the parent category; for instance, not all miRNAs are either lineage specific or long (**Figure 1**). Each of these secondary classifications and their child tertiary classes (where present) are discussed in detail in the sections below.

It is important to keep in mind that any small-RNA classification system is by defini-

## RDRs, DCLs, AND AGOs: CORE ENZYMES FOR PLANT SMALL-RNA BIOGENESIS AND FUNCTION

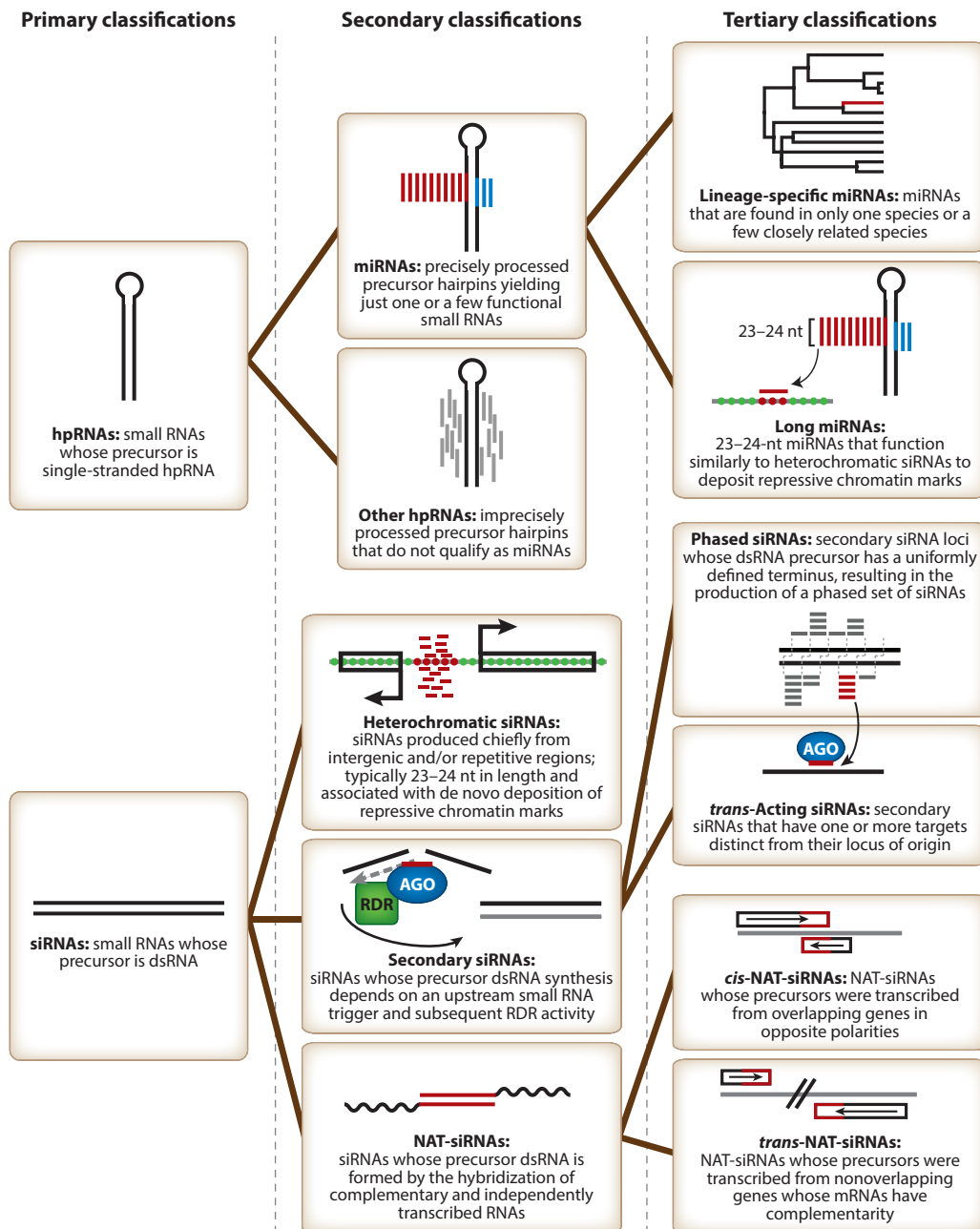
RDR, DCL, and AGO proteins are three enzyme families central to plant small-RNA biogenesis and function. RDRs synthesize second-strand RNA using an RNA template, resulting in the production of dsRNA. DCL endonucleases process helical RNA precursors (either dsRNA or the helical regions of stem-loop single-stranded RNAs) to release short double-stranded duplexes, 20–24 nt long, with 2-nt 3' overhangs. AGOs then engage these duplexes, retaining only one of the two possible strands and discarding the other. AGO-loaded small RNAs serve as specificity determinants to select RNA targets based on small-RNA/target complementarity. Successful target identification is followed by repressive activities orchestrated by the associated AGO protein. Many plant AGO proteins are endonucleases that catalyze the “slicing” of target RNAs—hence the colloquial term for AGOs, “slicers.” In addition, AGO proteins can direct translational repression, chromatin modifications, and slicer-independent destabilization of target mRNAs. RDRs, DCLs, and AGOs are all encoded by multigene families in plants with conserved clades (73, 109, 124). Each clade is often specialized for the production or use of a certain class of small RNA.

tion an intellectual construct and unlikely to be a perfect reflection of nature. The utility of the classifications should be judged both by the degree to which the groupings reflect an underlying biological reality and the degree to which they facilitate discussion of the subject. The primary classification—the distinction between hpRNAs and siRNAs—seems quite straightforward, as a given small RNA comes from either one or the other precursor in plants. Some, but not all, of the secondary classifications also appear quite robust: miRNAs, heterochromatic siRNAs, and secondary siRNAs all have consistent and unique sets of RDR, DCL, and AGO family members (see sidebar) required for their biogenesis, distinct mechanisms of action that have been extensively demonstrated experimentally, and unique small-RNA size distributions. Furthermore, the defining features and biogenesis requirements for these

**Double-stranded RNA (dsRNA):** RNA formed by the intermolecular hybridization of two separate, complementary polynucleotides

**Small interfering RNA (siRNA):** small RNA derived from DCL-catalyzed processing of dsRNA

**Hairpin RNA (hpRNA):** small RNA derived from DCL-catalyzed processing of the helical region of a self-complementary single-stranded RNA



**Figure 1**

Hierarchical classification system for endogenous plant small RNAs. Thick black lines indicate hierarchical relationships. Abbreviations: dsRNA, double-stranded RNA; hpRNA, hairpin RNA; miRNA, microRNA; NAT-siRNA, natural antisense transcript small interfering RNA; siRNA, small interfering RNA.

three groups are known to be conserved and to remain distinct from one another in multiple plant species. Non-miRNA hpRNAs and NAT-siRNAs, in contrast, may not be biologically cohesive groupings: Both have variable RDR and DCL requirements for biogenesis and variable small-RNA size distributions that are inconsistent between members within the categories. Additionally, the conservation of non-miRNA hpRNAs and NAT-siRNAs has not been as extensively documented as for the other three secondary classifications. Finally, emerging data suggest functional overlaps between heterochromatic siRNAs and secondary siRNAs. Therefore, the classification scheme I present here should be regarded as a work in progress and subject to change as more knowledge accumulates in the coming years.

## miRNAs

miRNAs are a well-studied subset of hpRNAs defined by the highly precise excision of one or sometimes a few functional products, which are the mature miRNAs (**Figure 1**) (3, 77). miRNAs often have a defined set of mRNA targets (54), and individual miRNA families can be conserved over long evolutionary distances (29). Most plant miRNAs are 21 nt long, require a DCL1-clade DCL for their biogenesis, and require an AGO1-clade AGO for function, although exceptions have been described for each of these general trends (19, 28, 72, 79, 93, 116).

## Conservation Patterns of miRNAs and Their Targets

Several miRNA families are conserved between multiple plant species, and some are conserved across the huge evolutionary distances between mosses and flowering plants (29). Conserved miRNAs have homologous target mRNAs in multiple species (54), demonstrating that miRNA/target relationships have often been stable for long periods of plant evolution. However, recent work has demonstrated that the relationships between conserved plant miRNAs and their targets are somewhat

malleable. miR159 is a highly conserved miRNA that targets a subset of *MYB* mRNAs in multiple plant species. Buxdorf et al. (16) found that tomato miR159 also targets a non-*MYB* mRNA, *SGN-U567133*. Expression of a miR159-resistant version of *SGN-U567133* causes developmental defects, implying that miR159-mediated regulation of this non-canonical target is of functional consequence. miR396 regulates *GRF* transcription factor mRNAs in multiple plant species. Debernardi et al. (30) discovered that, besides *GRFs*, *Arabidopsis* miR396 also targets the *bHLH74* mRNA, and this regulatory interaction affects leaf development. The miR396-*bHLH74* interaction is limited to the Brassicaceae and Cleomaceae eudicot families, whereas the canonical miR396-*GRF* interactions extend throughout all flowering plants. Both of these cases demonstrate that conserved miRNAs can sometimes “pick up” functional interactions with extra targets while maintaining the regulation of their canonical target gene families.

The strength of repression conferred on target mRNAs by a conserved miRNA can also be variable. Todesco et al. (107) demonstrated that polymorphisms within the hairpin precursors of *Arabidopsis* miRNAs affect the efficiency of miRNA biogenesis. In the case of miR164, such precursor polymorphisms have a functional impact on phenotype, as evidenced by the finding that a major quantitative trait locus affecting the transgressive segregation of leaf morphology in crosses between the Col-0 and C24 *Arabidopsis* ecotypes is based on a *MIR164A* precursor polymorphism. Similar polymorphisms are common in *Arabidopsis* ecotypes, suggesting that such variations are a common modulator of miRNA function.

The extent of miRNA/target complementarity can also contribute to differences in the strength of miRNA-mediated repression. In a comparison of eudicots and monocots, Debernardi et al. (30) showed that sequence polymorphisms in the mature miR396 miRNA exist and that these miRNA variants have differing strengths of target mRNA regulation. Thus, even conserved miRNA/target interactions

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### MicroRNA

**(miRNA):** hpRNA defined by precise processing of a hairpin

### Other hpRNA:

hairpin-derived small RNA that is not miRNA

### Heterochromatic

**siRNA:** siRNA that is typically 23–24 nt long and that arises from intergenic and repetitive genomic regions

### Secondary siRNA:

siRNA whose dsRNA precursor is made in response to the activity of an upstream small RNA

### Natural antisense

### transcript siRNA

**(NAT-siRNA):** siRNA whose dsRNA precursor was formed by the hybridization of two independently transcribed RNAs

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**Seed pairing:** pattern of miRNA/target complementarity where pairing involving nucleotides 2–7 of the miRNA (along with optional pairing at nucleotides 1 and 8) is sufficient for repression

are not static; the strength of their regulatory power over their conserved targets can rise or fall based both on variations in precursor processing efficiency and on small changes in the mature miRNA sequence itself.

## Lineage-Specific miRNAs

Not all plant miRNAs are conserved. Indeed, the majority of miRNAs present in any given plant species appear to be unique to that species, and many more are present only within a few closely related species, implying that there is a great deal of birth and death among miRNA genes. The lineage-specific miRNAs are, as a class, distinct in many ways from the more conserved miRNAs. Lineage-specific miRNAs tend to either lack targets or identify their targets based on currently unknown criteria (38, 39, 70). They also tend to have more heterogeneous processing from their hairpin precursors, have low overall abundance, and be encoded by single genes instead of by multiple paralogs (38, 39, 70). These qualitative differences indicate that many lineage-specific miRNAs may be transient, nonfunctional entities, and justify categorizing them as a distinct subset of miRNAs (Figure 1). Previous reviews have covered lineage-specific miRNAs in much greater detail (5, 29).

## Long miRNAs

Most plant miRNAs are 21 nt long, with some also being 20 or 22 nt. However, some miRNAs in both *Arabidopsis* and rice are 24 nt long and, after their biogenesis, enter into the heterochromatic siRNA effector pathway and direct chromatin modifications at their target genes (Figure 1). Interestingly, most of the long miRNAs described to date in both *Arabidopsis* and rice (19, 110, 116) are also lineage-specific miRNAs. This suggests that newly evolving “proto-miRNAs” may sometimes transition through a phase where they produce 24-nt species and may also imply that long miRNAs are not favorable for long-term selection, as they do not seem to make the transition

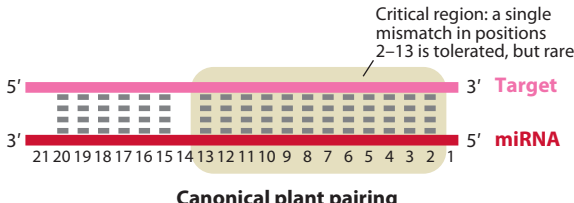
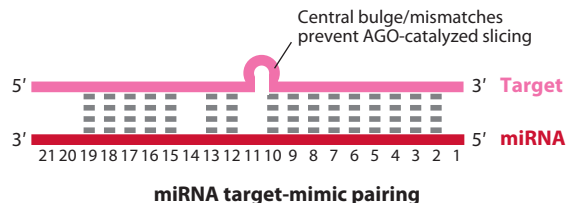
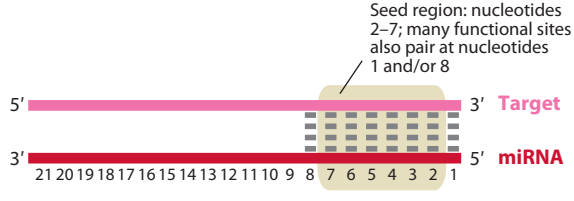
from the proto-miRNA stage to canonical, conserved miRNA. The biological or phenotypic relevance of chromatin modifications directed by long miRNAs has not yet been described.

## Complementarity Thresholds for miRNA-Mediated Target Repression

All experimentally verified plant miRNA targets described to date have extensive complementarity to their cognate miRNAs (Figure 2). Perfect complementarity is rare, but so are examples with more than five mismatches between miRNA and target. Mismatches tend to occur at either the first nucleotide of complementarity (relative to the 5' end of the miRNA) or toward the 3' end of the alignment (71, 98). A subset of functional sites have an alternative arrangement, with very high complementarity at both the 5' and 3' regions, with central mismatches and/or bulged nucleotides (Figure 2) (6, 40). Assuming that all functional sites are similar to those already known, computational identification of potentially functional miRNA/target alignments is a straightforward exercise in identifying regions of mRNAs with high complementarity to known miRNAs; several successful and largely similar methods to accomplish miRNA target predictions in plants have been described for this purpose (2, 26, 37, 55, 98).

Are there additional patterns of miRNA/target complementarity that are functional in plants? One potential alternative paradigm comes from animal miRNA/target pairs, where the majority of targets depend solely on base pairing between nucleotides 2–7 of the miRNA, with additional, optional pairing between nucleotides 1 and 8 (Figure 2) (10). Despite the knowledge of such “seed” pairing in animals for over a decade, there have been no documented examples of functional seed pairing for a plant miRNA/target interaction. Extrapolating from negative data is perilous, of course. However, given the intensity with which plant miRNAs have been investigated over the past decade,



miRNA/target pairing pattern	Prevalence	Mechanisms of target repression
 <p>Critical region: a single mismatch in positions 2–13 is tolerated, but rare</p> <p>5' Target 3'</p> <p>3' miRNA 5'</p> <p>21 20 19 18 17 16 15 14 13 12 11 10 9 8 7 6 5 4 3 2 1</p> <p><b>Canonical plant pairing</b></p>	Common in plants; very rare in animals	Slicing-dependent (and perhaps slicing-independent) reduction in mRNA accumulation, and/or translational repression
 <p>Central bulge/mismatches prevent AGO-catalyzed slicing</p> <p>5' Target 3'</p> <p>3' miRNA 5'</p> <p>21 20 19 18 17 16 15 14 13 12 11 10 9 8 7 6 5 4 3 2 1</p> <p><b>miRNA target-mimic pairing</b></p>	A few examples in plants; in animals, seed pairing is used instead	Competition for and sequestration of active AGO-miRNA complexes
 <p>Seed region: nucleotides 2–7; many functional sites also pair at nucleotides 1 and/or 8</p> <p>5' Target 3'</p> <p>3' miRNA 5'</p> <p>21 20 19 18 17 16 15 14 13 12 11 10 9 8 7 6 5 4 3 2 1</p> <p><b>Seed pairing (canonical animal pairing)</b></p>	No evidence in plants to date; common in animals	Translational repression followed by slicing-independent reduction in mRNA accumulation

**Figure 2**

Patterns of functional microRNA (miRNA)/target complementarity in plants and animals. Note that a few additional marginal or atypical patterns of complementarity (10) that function in animals are not shown; none of these are known to function in plants.

the fact that functional seed pairing has not been reported makes it seem likely that miRNA seed pairing does not occur, is rarely used, or has subtle effects in plants.

## Mechanisms of miRNA-Mediated Target Repression

After a plant miRNA target is identified, it is commonly observed that the steady-state level of the intact target mRNA decreases and that some of the target mRNA is cleaved, between positions 10 and 11 of the alignment, by the endonucleolytic activity of the associated AGO protein. A reasonable interpretation of these observations is that miRNA-directed regulation is chiefly accomplished at the level of mRNA stability via AGO-catalyzed “slicing.”

However, this view is clearly oversimplified. In animal systems, slicing-independent miRNA targeting events typically lead to a decrease in the steady-state accumulation of the target mRNA (44); thus, increased turnover orchestrated by AGO association but independent of slicing may also contribute to the regulation of plant miRNA targets. Additionally, many specific cases have long been known where a plant miRNA has much stronger effects on the target’s steady-state protein level compared with the effects on the steady-state target mRNA level, suggesting that translational repression contributes to miRNA-directed target repression (4, 22, 42). Further analyses have clearly demonstrated that translational repression is a common contributor to miRNA-mediated repression in plants, and genetic studies have

**Slicing:**  
AGO-catalyzed cleavage of targeted RNAs

begun to uncover specific effectors of this mechanism (15, 118). Nonetheless, AGO-catalyzed slicing plays a key role in miRNA function, as evidenced by the recent observation that slicer-defective mutants in *Arabidopsis* AGO1 (the primary miRNA-associated slicer) cannot complement *ago1* mutants (18). Taken together, the available data indicate that most plant miRNAs repress their targets via some combination of RNA destabilization (either slicing dependent or not) and translational repression, and that slicing often plays a key role.

Mechanisms other than mRNA destabilization and translational repression have also been reported. Some instances of miRNA-directed chromatin modifications and subsequent transcriptional repression of target loci have been documented (8, 61), but whether this is a general phenomenon for canonical miRNAs is not yet settled. The existence of long miRNAs, which after their production enter the heterochromatic siRNA pathway (19, 116), may explain these observations. Another mechanism by which some miRNAs direct repression of their targets is the triggering of secondary siRNA production (secondary siRNAs are discussed in detail in the Secondary siRNAs section, below). Finally, at least one family of plant miRNA targets (the *IPS1/At4* family of non-protein-coding RNAs, targeted by miR399) serve as “mimics” that compete with more typical targets for miRNA association, and in doing so modulate the activity of the miRNA. The base-pairing pattern of target-mimic sites is distinct from that of canonical plant miRNA targets (**Figure 2**) and serves to prevent slicing (40). So far, the *IPS1/At4* family of non-protein-coding RNAs is the only documented example of natural miRNA target mimics in plants; whether this is a more widespread mechanism in plants awaits further research. Animals also use miRNA target mimics, but these use seed-pairing patterns of complementarity similar to “real” targets (106). Artificial miRNA target mimics in plants are easily designed and are useful tools for analyzing reductions in function

phenotypes caused by sequestration and subsequent turnover of miRNAs (108, 117).

## Connections Between miRNA/Target Complementarity and Mechanism of Repression

Does the pattern of base pairing between a miRNA and a target dictate the mechanism of the subsequent repression? In some cases it clearly does. miRNA target mimics require central mismatches/bulges to function; without these central mismatches, the transcripts are sliced by AGO and fail to function as effective competitors for miRNA activity (40). AGO-catalyzed slicing also requires extensive miRNA/target complementarity (71, 104). Similarly, triggering of secondary siRNA production sometimes requires specific patterns of miRNA/target complementarity (6, 78, 79, 89). Finally, the strength of miRNA-mediated repression *in vivo* is affected by the extent of the complementarity (30).

But what about the balance between translational repression and mRNA destabilization? Older models suggested that animal miRNAs, most of whose targets were identified through seed pairing, chiefly directed translational repression of their targets (9). In contrast, the activity of plant miRNAs, which identify targets with more extensive complementarity, was previously suggested to be chiefly directed toward mRNA destabilization (95). However, current results have eroded the supposed mechanistic differences between the kingdoms. In animals, time-resolved experiments have shown that translational repression precedes subsequent mRNA deadenylation and accelerated mRNA turnover (11, 32). Similarly, in plants, both translational repression and mRNA destabilization are now known to co-occur for many targets (111). However, it is critical not to confuse mechanism with complementarity requirements: The mechanisms of plant and animal miRNA-mediated repression are now known to be more similar than previously thought, but the complementarity requirements to trigger



repression still appear quite distinct. Only a few animal miRNAs have plant-like extensive complementarity to their targets (99), and no currently known plant miRNA targets have animal-like seed-only pairing to their targets. In particular, the common occurrence of translational repression initiated by plant miRNAs cannot by itself be used to conclude that animal-like miRNA/target complementarity patterns are widespread or even functional at all in plants.

One example that has been used to argue for the functionality of less complementary target sites in plants, and for a relationship between complementarity pattern and repression mechanism, deserves close scrutiny. Dugas & Bartel (34) observed that miR398 is induced by sucrose in the growth media, and that this induction is correlated with decreases in both the mRNA and protein accumulation levels of the *CSD1* and *CSD2* copper-zinc superoxide dismutase targets. Extensively mutagenized variants of *CSD1* and *CSD2* in which the miR398 target sites were severely disrupted (lacking both plant-like pairing and animal-like seed pairing) lost responsiveness to miR398 induction at the mRNA level, but the steady-state levels of the two proteins were still strongly repressed. Taken at face value, these results suggest that miR398 mediates translational repression of *CSD1* and *CSD2* with a very limited and novel requirement for miRNA/target complementarity. However, the *CCS1* mRNA, which encodes a chaperone required for the posttranslational stability of the *CSD1* and *CSD2* proteins (27), is also a miR398 target (12). Therefore, the decline in *CSD1* and *CSD2* protein levels in response to miR398 induction is likely to be an indirect, posttranslational effect of the miR398-triggered loss of the *CCS1* chaperone and cannot be attributed to the highly mutagenized miR398 target sites on the engineered *CSD1* and *CSD2* mRNAs. This case highlights a more general complication when inferring miRNA-mediated translational repression solely based on steady-state analysis of the native protein accumulation: Possible changes in posttranslational stability

indirectly mediated by other targets of the miRNA in question must be taken into account.

## OTHER hpRNAs

Some plant small-RNA loci produce small RNAs from apparent single-stranded hairpin precursors but do not meet the criteria for annotation as a miRNA (**Figure 1**). Some of these are similar to miRNAs in terms of hairpin structure and locus size. However, endogenous hairpins that are much larger than typical miRNAs can also produce small RNAs (46). Dunoyer et al. (35) conducted a detailed study of two such inverted-repeat-derived hairpins, *IR71* and *IR2039*, with small RNAs generating regions of approximately 6.5 and 3.0 kb, respectively. Both of these long hairpins produced small-RNA populations with sizes distributed from 21 to 24 nt, and both used three of the four DCL proteins (DCL2, -3, and -4) for their biogenesis. Interestingly, small RNAs from the *IR71* locus were found to be mobile within the plant. Both *IR71* and *IR2039* have limited conservation even between *Arabidopsis thaliana* ecotypes, demonstrating that they are not strongly conserved. Overall, the characteristics of *IR71* and *IR2039* are very similar to exogenously introduced long-hairpin RNA-interference (RNAi) constructs that have been used to engineer gene knockdowns in plants for many years (41, 112). Whether these features are typical of a larger class of endogenous inverted-repeat-derived hairpin RNAs and whether they are shared by the potentially numerous shorter-hairpin RNAs await further exploration. In particular, efforts directed at comprehensive genome-wide annotation of non-miRNA hpRNA loci are urgently needed.

## HETEROCHROMATIC siRNAs

Heterochromatic siRNAs are derived from intergenic and/or repetitive genomic regions and are associated with the de novo deposition of repressive chromatin modifications (5-methyl cytosine, particularly at asymmetric CHH sites,

and H3K9 histone methylation) at target DNA loci (**Figure 1**) (64, 74). Most heterochromatic siRNAs are 23–24 nt long, which easily distinguishes them from the other classes of endogenous plant small RNAs, which are mostly 20–22 nt long. Heterochromatic siRNAs have very consistent requirements for specific members of the *RDR*, *DCL*, and *AGO* gene families: Most depend specifically on *RDR2* and *DCL3* for their biogenesis (58, 68) and on members of the *AGO4* clade of *AGOs* (*AGO4*, -6, and -9 in *Arabidopsis*) for their function (45). Most heterochromatic siRNAs also depend on an alternative DNA-dependent RNA polymerase, Pol IV, for their biogenesis (83).

### Conservation of Heterochromatic siRNAs

Like miRNAs, heterochromatic siRNAs as a distinct class of endogenous plant small RNAs are clearly conserved in multiple species. For instance, most small RNAs in immature maize ears are 24 nt long and are dependent on *mop1*, a maize *RDR2* homolog, for their accumulation (85). Similarly, 24-nt small RNAs dependent on *OsDCL3a* and *OsRDR2* dominate the small-RNA profile of wild-type rice (116). In numerous other flowering plant species, 24-nt small RNAs are the most abundant size in small-RNA sequencing experiments. However, the conservation of heterochromatic siRNAs is nonetheless quite distinct from that of miRNAs: In the case of miRNAs, individual miRNAs themselves can be conserved across multiple species. In contrast, individual heterochromatic siRNA loci do not appear to be conserved even between closely related species (70), even though the pathway itself is conserved. Many heterochromatic siRNA loci overlap with transposons or transposon fossils, and there are likely to be rapid birth and death of individual heterochromatic siRNA loci in response to the rapid changes in transposon position and copy number that occur during plant evolution.

Beyond flowering plants, the conservation pattern of the heterochromatic siRNA pathway becomes more interesting. Young

leaves and meristematic shoot tissues from several conifers conspicuously lack a 24-nt small-RNA population (33, 80). In addition, no *DCL3* homolog has yet been identified from a conifer species (33, 80), although the analysis is limited by the current lack of any fully assembled genome sequence from conifer species. These data suggest that in conifers, the heterochromatic siRNAs have been either lost or functionally replaced by shorter RNAs. Similarly, in the deeper-branching *Selaginella* lineage, aboveground tissues lack a large population of 24-nt small RNAs (7). However, the *Selaginella* genome encodes clear *RDR2*, *DCL3*, and *AGO4* homologs (7), suggesting that a *Selaginella* heterochromatic siRNA pathway could be deployed in a tissue-specific manner. In the even deeper-branching bryophyte lineage represented by the moss *Physcomitrella patens*, the heterochromatic siRNA pathway is clearly active: *PpDCL3* is required for the accumulation of 23–24-nt siRNAs from repetitive, intergenic genomic regions (25). Synthesizing the available data, it appears that the heterochromatic siRNA pathway is ancestral within the land plants, may be selectively deployed in specific tissues in some lineages (e.g., *Selaginella*), and may have been lost entirely in the conifers.

### Tissue- and Parent-Specific Expression of Heterochromatic siRNAs

Certain tissues and cell types associated with sexual reproduction have striking patterns of heterochromatic siRNA expression. Many transposons are derepressed specifically within the vegetative nucleus of mature *Arabidopsis* pollen grains (101). This derepression correlates with the accumulation of 21–22-nt, not 24-nt, heterochromatic siRNAs corresponding to the elements specifically in the sperm cells, and a general decrease of 24-nt heterochromatic siRNA accumulation from the entire pollen grain. Slotkin et al. (101) suggested a model in which the genome of the vegetative nucleus is sacrificed by allowing rampant transposon expression: Expression of transposon RNAs

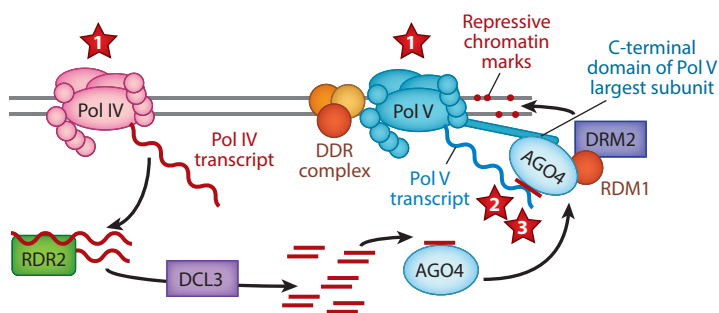
specifically in the vegetative nucleus is used to create transposon-derived 21–22-nt siRNAs that are specifically imported into the sperm cells to direct repressive chromatin modifications, thus reinforcing silencing at potentially expressible elements specifically in the germ cells. In support of this hypothesis, two recent studies have documented extensive active DNA demethylation specifically in the vegetative nucleus that is linked with heterochromatic siRNA production (17, 51).

The apparent deployment of 21–22-nt siRNAs for a task normally associated with 24-nt siRNAs in pollen is especially intriguing, and could be a general feature of a small-RNA response that ensues when repressive chromatin marks are removed from an otherwise expressible transposon; similar patterns of transposon-derived 21–22-nt siRNA accumulation are apparent in *ddm1* mutants (75, 101), which globally eliminate repressive chromatin marks, as well as in certain transposons that lose silencing chromatin marks and become transcribed in *Arabidopsis* cell suspension cultures (105). These 21–22-nt siRNAs resemble secondary siRNAs (see Secondary siRNAs, below) in their requirement for RDR6, DCL2, and DCL4 for their accumulation (75), perhaps blurring the lines between heterochromatic siRNAs and secondary siRNAs. Indeed, two classic secondary siRNA loci that produce 21–22-nt siRNAs in all tissue types, *Arabidopsis TAS3a* and *TAS1c*, possess DNA methylation patterns typical of those specified by the heterochromatic siRNA pathway (115). Heterochromatic siRNA loci might also occasionally evolve into novel miRNA genes, as evidenced by miRNA-like patterns of small-RNA production from some siRNA-generating transposons (91). Indeed, it has been suggested that heterochromatic siRNAs might have provided the ancestral raw material from which the current miRNA and secondary siRNA pathways derived (66). Future studies may continue to find connections between secondary siRNAs, miRNAs, and the canonical 23–24-nt heterochromatic siRNAs.

Developing *Arabidopsis* seeds, including the triploid endosperm and the young embryo, limit heterochromatic siRNA production to the maternally derived genome (82). Uniparental small-RNA production is confined to heterochromatic siRNAs during endosperm and seed development and does not extend past germination or occur for other small-RNA classes, such as miRNAs. Interestingly, methylated DNA is essentially entirely removed from the *Arabidopsis* endosperm genome by the DEMETER (DME) and DME-like DNA glycosylases (43, 50, 51). This global DNA demethylation is likely specific to the maternal chromosomes, with occasional hypermethylated hot spots occurring at small-RNA hot spots. However, *dme* mutants retain uniparental expression of heterochromatic siRNAs, demonstrating that the uniparental expression is not a direct function of global DNA demethylation in the endosperm (84). An interesting hypothesis to account for these observations states that maternal-specific demethylation-based activation of subsequent transposon expression in the endosperm, coupled with the production of heterochromatic siRNAs, acts in *trans* to reinforce transposon silencing in the young embryo (81). The parallels to the above-mentioned pollen hypothesis of Slotkin et al. (101) are intriguing.

### Unresolved Mechanisms of Heterochromatic siRNA Biogenesis and Function

The current model for the biogenesis of heterochromatic siRNA begins with transcription by RNA Pol IV, which is then followed by RDR2-catalyzed synthesis of dsRNA, processing of the dsRNA by DCL3, and assembly of the resulting siRNA duplexes in AGO4-clade AGOs (Figure 3) (64, 113). The function of the AGO-assembled heterochromatic siRNAs involves the production of a scaffold transcript by yet another alternative DNA-dependent RNA polymerase, Pol V, and many other associated factors. AGO4-bound heterochromatic



- ★ What are the Pol IV and Pol V core promoters? How is transcription initiation by Pol IV and Pol V regulated?
- ★ Is there a role for AGO4-catalyzed slicing of target scaffold transcripts?
- ★ What are the complementarity requirements between siRNAs and scaffold transcripts?

**Figure 3**

Model for the biogenesis and function of heterochromatic small interfering RNAs (siRNAs) in plants. Red dots show repressive chromatin marks such as 5-methyl cytosine and histone H3K9 methylation. Three outstanding questions are indicated with red stars. Adapted from Reference 113.

siRNAs then pair with the nascent transcript during the act of transcription, and successful targeting recruits chromatin modifiers to the genomic vicinity being transcribed by Pol V. The *Domains Rearranged 2* (DRM2) de novo cytosine methyltransferase, which catalyzes cytosine methylation in the asymmetric CHH context, is an especially well studied modifier thought to be recruited by heterochromatic siRNAs. Several fundamental questions about heterochromatic siRNA biogenesis and function remain open and are discussed below.

According to the current model, the sites of Pol IV transcription dictate the heterochromatic siRNA population, but how the Pol IV machinery selects these sites is unknown. Similarly, the sites of Pol V transcription can be assumed to dictate the full inventory of scaffolding transcripts that can serve as targets for heterochromatic siRNAs. Wierzbicki et al. (113) and Zhong et al. (123) independently used chromatin immunoprecipitation against the largest Pol V subunit to discover high-confidence Pol V-occupied genomic loci in *Arabidopsis*. Consistent with the general model for heterochromatic siRNA function, many of

these Pol V-occupied sites are correlated with both asymmetric DNA methylation and heterochromatic siRNA biogenesis, and loss of Pol V function is correlated with increased mRNA production from the Pol V-occupied regions. A subset of the Pol V-occupied regions, however, do not correlate with either 24-nt siRNA accumulation or DNA methylation (113), indicating that Pol V occupancy per se is independent of small-RNA production. Motif analysis of Pol V-occupied regions found a short nucleotide motif present in approximately half of the identified sites (113), but it is not clear whether this motif is sufficient to explain Pol V positioning. The wild-type pattern of Pol V genomic occupancy depends critically on the DDR complex (123), which comprises a putative chromatin-interacting ATPase (DRD1), a hinge-domain protein (DMS3), and a single-stranded DNA-binding protein (RDM1) (63). These data suggest that chromatin remodeling by the DDR complex is required for proper Pol V positioning. Zhong et al. (123) also observed that Pol V-occupied sites tend to be in the immediate 5' proximal region next to known Pol II promoters, suggesting that Pol V and Pol II have similar core-promoter assembly mechanisms. Curiously, Pol V occupancy is especially enriched at the promoters near transposons, especially "younger" transposons (123). Elucidating how Pol IV, Pol V, and DDR complexes select their occupancy and initiation sites is an important goal for future research.

*Arabidopsis* AGO4—probably along with the other members of this clade that are specific to heterochromatic siRNAs—is a small-RNA-guided endonuclease capable of cleaving target RNAs in vitro (92). The scaffolding model implies that stable association of AGO4-siRNA complexes with nascent transcripts is required to initiate chromatin modifications. What, then, is the role of AGO4-catalyzed slicing? In vivo, slicing-defective AGO4 only partially complements the *ago4* null mutant, and a subset of heterochromatic siRNAs require AGO4's slicer activity for their accumulation (92). This suggests that AGO4-catalyzed slicing triggers the production of 24-nt secondary siRNAs

from a subset of scaffold transcripts. However, heterochromatic siRNAs are loaded onto AGO4 in the cytoplasm, and their loading requires the AGO4 slicer activity to cleave the passenger strand of the initial siRNA duplex (119). Therefore, an alternative hypothesis is that AGO4 slicer activity exists for siRNA loading but not necessarily for the target recognition stage. Whether AGO4 actually slices scaffold transcripts *in vivo* is an open question. In contrast to the heterochromatic siRNA-associated AGO4, miRNA-associated AGOs (*Arabidopsis* AGO1, AGO2, and AGO7) do not require slicing to load miRNAs, as slicing-defective versions can still form ternary complexes with target RNAs *in vivo* (18).

Another interesting mechanistic question surrounding heterochromatic siRNA function is their base-pairing requirements. Heterochromatic siRNAs are not tethered to the genomic regions from which they are initially transcribed: Both the cytoplasmic assembly of AGO4/siRNA duplexes (119) and the non-cell-autonomous functions of these siRNAs (35, 76) clearly indicate that, like miRNAs, they select targets *in trans*. Perfect matches between heterochromatic siRNAs and targets are of course functional, but whether other pairing patterns are also functional has not been experimentally addressed. Determining the base-pairing requirements for heterochromatic siRNA function is a key goal for future research.

## SECONDARY siRNAs

Secondary siRNAs derive from double-stranded precursors whose production is stimulated by one or more upstream small RNAs; small-RNA targeting of an initial primary transcript leads to recruitment of an RDR, synthesis of the complementary RNA strand, and processing of the resulting dsRNA into secondary siRNAs (Figures 1 and 4a) (1, 120). Most secondary siRNAs described to date require a distinct set of biogenesis factors, including RDR6 and DCL4. In addition, the initiating small RNAs for most known examples are either miRNAs or other secondary siRNAs.

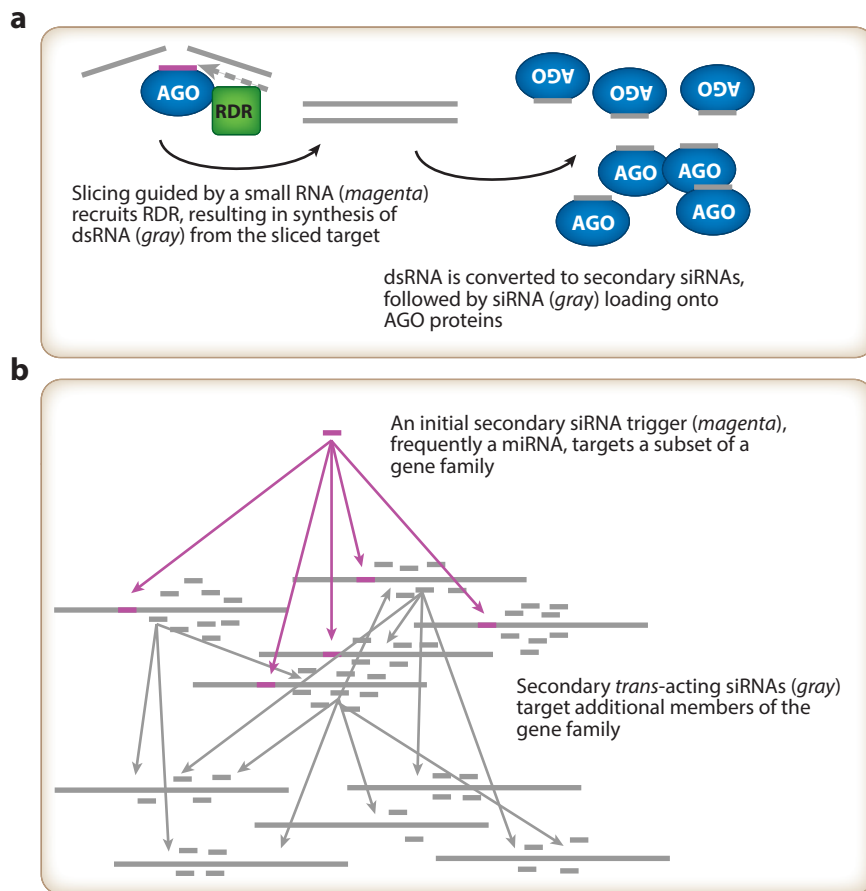
As a category of small RNAs, endogenous secondary siRNAs are well conserved, present in flowering plants as well as more diverged lineages (6, 103). In addition, as described below, some individual secondary siRNA genes themselves are conserved between different plant species to varying degrees. Taken together, these consistent traits indicate that secondary siRNAs are a robust, distinct, and biologically meaningful class of small-RNA genes.

## Phased and *Trans*-Acting siRNAs

Many secondary siRNAs are phased in that they derive from successive DCL-catalyzed processing from a consistent dsRNA terminus (Figure 1). The consistency of the dsRNA terminus is defined by a discrete initial small-RNA-directed cleavage event on the primary transcript. Some secondary siRNAs are also capable of acting *in trans* to direct repression of distinct mRNA targets—hence the term *trans*-acting siRNAs. The two tertiary classifications, phased siRNAs and *trans*-acting siRNAs, often both apply to the same locus: Many of the known *trans*-acting siRNAs are also phased (Figure 1).

Computational identification of phased small-RNA patterns is a powerful method to identify secondary siRNAs (21, 49, 121), as no other mechanism is known to produce this distinct pattern of small-RNA accumulation. However, it is important to realize that neither phasing nor *trans* targets are essential for classification as a secondary siRNA. For example, a sequence-diverse population of initiating small RNAs would stimulate a dsRNA population with heterogeneous ends, and the overall resulting secondary siRNA population would not be phased. Thus, phased siRNAs likely represent only a subset, albeit a much more easily recognizable subset, of all secondary siRNAs. Similarly, secondary siRNAs need not necessarily have *trans* targets. Indeed, only a handful of the large number of distinct secondary siRNAs observed to date have been experimentally shown to have *trans* targets.





**Figure 4**

Cascades of secondary small interfering RNAs (siRNAs) can coordinately regulate a gene family. (*a*) Detailed schematic of secondary siRNA production. (*b*) Schematic cascade of secondary siRNA synthesis and targeting. An initial small RNA (*magenta*) targets a subset of a gene family, producing secondary siRNAs (*small gray bars*). Some of these are *trans*-acting siRNAs that can downregulate additional members of the gene family based on sequence complementarity. The process depicted in panel *a* occurs at each arrowhead in panel *b*. Additional abbreviations: dsRNA, double-stranded RNA; miRNA, microRNA.

### Many Factors Cause Secondary siRNA Biogenesis

Only a subset of small-RNA/target interactions result in secondary siRNA biogenesis. Features of the initial small RNA, the specific AGO protein upon which it is loaded, and the target itself dictate the existence and extent of the subsequent secondary siRNA production. Target transcripts with aberrant 5' or 3' ends (48) and those with more than one small-RNA target site (6, 49) are especially suscepti-

ble to secondary siRNA synthesis. Highly complementary small-RNA target sites, especially when combined with overexpression of either the small-RNA trigger or the target mRNA, also promote secondary siRNA biogenesis (78, 89). The AGO protein to which a small RNA is loaded can also determine whether sliced targets enter the secondary siRNA biogenesis pathway: Both AGO7-loaded (79) and AGO2-loaded (72) small RNAs are prone to trigger secondary siRNA biosynthesis.



Slicing directed by 22-nt miRNAs also causes initiation of secondary siRNA biogenesis from the sliced target (20, 28), suggesting that AGO1, which mostly binds 21-nt small RNAs, can be reprogrammed into a state where it recruits RDR6 to targets by perceiving a loaded 22-nt species. However, Manavella et al. (72) found that a 22-nt miRNA size per se does not cause secondary siRNA biogenesis; rather, it results from the existence of an initial asymmetric miRNA/miRNA\* duplex where the two strands are of different lengths. This suggests that the AGO1 reprogramming occurs when such miRNA/miRNA\* duplexes are processed during loading onto AGO1. Many factors required for secondary siRNA biogenesis colocalize in discrete cytoplasmic foci (56, 62). It will be interesting to determine how the various molecular features associated with secondary siRNA biogenesis relate to the subcellular compartmentalization of their precursor RNAs and the proteins required for secondary siRNA production.

## Functions of Secondary siRNAs

The extensively studied miR390-triggered *TAS3* family of secondary siRNA loci produce two nearly identical *trans*-acting siRNAs that target *Auxin Response Factor 3* (*ARF3*) and *ARF4* (1). This regulatory interaction plays a key role in the regulation of organ polarity, meristem identity, and developmental timing (reviewed in 24) and is widely conserved (6). The less conserved *TAS4* secondary siRNA locus, which is targeted by miR828, produces a *trans*-acting siRNA that targets *MYB* transcription factor mRNAs (93) and affects anthocyanin production in *Arabidopsis* (69).

Secondary siRNAs, some of which act in *trans*, can also be produced as a mechanism to coordinate the repression of a large gene family (**Figure 4b**), as in the case of miR161-mediated repression of numerous *Pentatricopeptide Repeat* (*PPR*) genes through a cascade of secondary small RNAs in *Arabidopsis* (21, 49). Disease-resistance genes in the nucleotide-binding site–leucine-rich repeat (NBS-LRR) super-

family are subject to extensive secondary siRNA biogenesis triggered by the miR482/miR2118 superfamily of miRNAs in multiple plant species (65, 100, 121). Because many bacterial and viral infections involve pathogen-triggered suppression of various small-RNA pathways in the host, infections might often cause reductions in the accumulation and/or function of miR482/miR2118, thus leading to a reduction in secondary siRNA synthesis and corresponding increases in the accumulation of intact NBS-LRR disease-resistance mRNAs. Shivaprasad et al. (100) showed that, consistent with this hypothesis, both viral and bacterial infections of tomato correlate with reductions in miR482 accumulation and increases in NBS-LRR mRNA accumulation. Curiously, miR2118 family members in rice trigger extensive secondary siRNA synthesis specifically in panicles from targets that have not been reported to encode NBS-LRR proteins (53, 102), suggesting that secondary siRNAs triggered by miR2118 might have functions beyond NBS-LRR regulation.

## NAT-siRNAs

NAT-siRNAs are a third subset of siRNAs. In contrast to the other types of siRNAs, which rely on an RDR to synthesize the precursor dsRNA, the dsRNA precursors of NAT-siRNAs are thought to arise from the hybridization of separately transcribed, complementary RNAs (**Figure 1**). The separate RNAs can be complementary because they were transcribed from opposite strands of the same locus; these are the *cis*-NAT-siRNAs. Alternatively, the hybridizing RNAs can arise from genes that possess no overlap; these are the *trans*-NAT-siRNAs. Only *cis*-NAT-siRNAs have been described in plants; *trans*-NAT-siRNAs remain only a hypothetical possibility.

Three *Arabidopsis cis*-NAT-siRNAs have been functionally analyzed. Borsani et al. (13) described a salt-stress-induced, RDR2-dependent 24-nt *cis*-NAT-siRNA arising from the region overlapping the At5g62530 (*P5CDH*) and At5g62520 (*SRO5*) genes. This

*cis*-NAT-siRNA initiated phased 21-nt siRNA synthesis from the *P5CDH* transcript and a subsequent decrease in *P5CDH* accumulation. Katiyar-Agarwal et al. (60) described an RDR6-dependent 22-nt *cis*-NAT-siRNA deriving from the At4g35860 (*ATGB2*)–At4g35850 (*PPRL*) gene pair that is induced upon infection with avirulent bacteria. Induction of this *cis*-NAT-siRNA is correlated with reduced accumulation of the *PPRL* transcript. Ron et al. (96) demonstrated that the mRNAs from the antisense-overlapping At5g63720 (*KPL*)–At5g63730 (*ARI4*) gene pair accumulate reciprocally during pollen development, and the *ARI4* accumulation increased in several small-RNA biogenesis mutants, including RDR2. Co-overexpression of *KPL* and *ARI4* resulted in the production of 21-nt small RNAs.

Genome-wide analyses of *cis*-NAT gene pairs in *Arabidopsis* have shown that such gene pairs are slightly, but significantly, enriched for negatively correlated accumulation patterns (47, 52). Henz et al. (47) observed that *cis*-NAT genes were not strong sources of small-RNA production; compared with nonoverlapping gene pairs, *cis*-NAT pairs generally had lower, not higher, small-RNA densities. More recent work from Zhang et al. (122) painted a similar picture in both *Arabidopsis* and rice: Only 6% and 16% of *Arabidopsis* and rice *cis*-NAT pairs, respectively, were associated with appreciable amounts of small-RNA accumulation. Accumulation of *cis*-NAT mRNAs is not globally affected by small-RNA biogenesis mutants (47). However, several studies have shown a significant enrichment of small-RNA accumulation within the overlapped regions of *cis*-NAT gene pairs, relative to nonoverlapping positions in the two genes (47, 52, 122). Together, these data indicate that a *cis*-NAT gene configuration by itself is not generally predictive of *cis*-NAT-siRNA formation, and suggest that *cis*-NAT-siRNAs may not play a major role in the regulation of most of the *cis*-NAT genes observed in plants. Exploring the reasons that only a subset of *cis*-NAT genes appear to trigger the production of *cis*-NAT-siRNAs is an important goal for further research.

The biogenesis pathways responsible for *cis*-NAT-siRNA production are strikingly heterogeneous; many of those investigated to date (13, 60, 96, 122) require individualized subsets of RDRs, DCLs, and other factors for their accumulation. The heterogeneity of *cis*-NAT-siRNA biogenesis pathways raises the question of whether this group is a biologically meaningful classification that describes a small-RNA population with any unifying characteristics beyond origins from the overlapped regions of *cis*-NAT gene pairs. Many of the *cis*-NAT-siRNAs investigated to date depend on an RDR for their accumulation (13, 60, 96, 122). This RDR dependency suggests that the precursor dsRNA did not actually derive from the hybridization of two separately transcribed, complementary mRNAs. As Zhang et al. (122) pointed out, *cis*-NAT-siRNA RDR dependency could reflect a secondary siRNA amplification process that is triggered by initial hybridization of *cis*-NAT mRNAs. However, this has not been experimentally demonstrated, and it therefore remains possible that many RDR-dependent small RNAs that map to the regions of overlap between *cis*-NAT gene pairs may simply be correlated with, but not caused by, the overlapping transcripts. That the *cis*-NAT gene configuration itself is not highly predictive of small-RNA production in plants only adds to this question. Further work exploring the triggers for *cis*-NAT-siRNA production is clearly needed.

## CONCLUDING REMARKS

Genetic, biochemical, and genomic studies over the past decade have revealed a diverse array of endogenous small RNAs in plants and resulted in the identification of several distinct small-RNA classes. To date, *Arabidopsis thaliana* endogenous small RNAs have been most intensively studied, and the insights gained from *Arabidopsis* small-RNA populations have to a large extent shaped the thinking on those found in all other plants. On this, however, some caution is warranted: The *Arabidopsis* genome is unusually small and nearly devoid of functional transposable elements. In addition, there is

very little heterozygosity in *Arabidopsis* owing to its primarily self-fertilizing lifestyle. These atypical features do, of course, represent some of the key advantages of using *Arabidopsis* as a subject of genetic and genomic studies. However, as researchers continue to extend in-depth annotations of small RNAs to more and more “typical” plant species, it will be important to be open to the discovery of novel small-RNA types, or novel functions for already established types, that were not obvious in *Arabidopsis*. The recent discovery of the coordinate downregulation of NBS-LRR disease-resistance mRNAs by miR482/miR2118-triggered secondary siRNAs in numerous non-*Arabidopsis* species (65, 100, 121) underscores this point.

Similarly, the majority of plant small-RNA discovery to date has been performed on tissues easily harvested in the lab—most prominently leaves and floral tissues. These tissues comprise mixtures of multiple distinct cell types, most of which are terminally differentiated. New

small-RNA classes may be lurking within individual cell types and harder-to-sample tissues; this seems especially likely in pollen (101), ovules (86), and developing seeds (82). Besides reproduction-associated cells and tissues, stem-cell niches within apical meristems are especially interesting targets for future small-RNA discovery and annotation efforts. Further development and implementation of cell-specific small-RNA profiling methods (14) seem likely to drive further discovery of cell- and tissue-specific types of endogenous small RNAs.

When endogenous plant small RNAs were first isolated a decade ago (67, 90, 94), it would have been hard to imagine the full diversity and complexity of the many small-RNA classes that are now known to exist in plants. As the second decade of study of these small RNAs begins, it will be exciting to witness the further evolution of our knowledge of these gene regulatory elements.

## SUMMARY POINTS

1. Endogenous plant small RNAs can be classified into several distinct, hierarchically organized groups based on unique aspects of biogenesis and/or function. One possible classification system makes a primary distinction between hairpin-derived small RNAs (hpRNAs) and dsRNA-derived small RNAs (siRNAs).
2. The typical patterns of functional miRNA/target complementarity differ between plants and animals, whereas the molecular mechanisms of miRNA-directed target repression are more similar.
3. There are many hpRNA genes that are not miRNAs.
4. Heterochromatic siRNAs are widely conserved in land plants but may be deployed in tissue-specific domains in some lineages, and have perhaps been lost in the conifers.
5. Secondary siRNAs are used in silencing cascades that coordinately downregulate large families of highly similar mRNAs, such as NBS-LRR disease-resistance mRNAs.
6. NAT-siRNAs derive from the hybridization of two independently transcribed RNAs instead of from dsRNA produced by RDRs.

## FUTURE ISSUES

1. Are there additional patterns of miRNA/target complementarity that are functional in plants?

2. What are the functions of the non-miRNA hpRNAs?
3. How are Pol IV and Pol V promoters identified and regulated?
4. Is there a role for AGO-catalyzed slicing during target recognition in the heterochromatic siRNA pathway?
5. What are the siRNA/scaffold transcript complementarity requirements for the heterochromatic siRNA pathway?
6. What additional triggers allow some *cis*-NAT gene pairs to produce *cis*-NAT-siRNAs?
7. Are there more classes of endogenous plant small RNAs awaiting discovery?

## DISCLOSURE STATEMENT

The author is not aware of any affiliations, memberships, funding, or financial holdings that might be perceived as affecting the objectivity of this review.

## ACKNOWLEDGMENTS

Research in my lab is currently supported by grants from the US National Science Foundation (award 1121438) and the US National Institutes of Health National Institute of General Medical Sciences (award R01 GM084051). I apologize to colleagues whose works were not cited owing to space constraints.

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65. Demonstrates the coordinate regulation of NBS-LRR disease-resistance genes by miR482/miR2118-triggered secondary siRNAs in tobacco (see also Refs. 100 and 121).

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72. Shows that asymmetric miRNA/miRNA\* duplexes trigger subsequent secondary siRNA biogenesis.

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100. Demonstrates the coordinate regulation of NBS-LRR disease-resistance genes by miR482/miR2118-triggered secondary siRNAs in tomato (see also Refs. 65 and 121).

107. Demonstrates that polymorphisms in miRNA hairpin structures affecting processing efficiency are common and can contribute to phenotypic variation between *Arabidopsis* ecotypes.

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113. Describes the genome-wide occupancy patterns of Pol V in *Arabidopsis* (see also Ref. 123).

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121. Demonstrates the coordinate regulation of NBS-LRR disease-resistance genes by miR482/miR2118-triggered secondary siRNAs in legumes (see also Refs. 65 and 100).

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123. Describes the genome-wide occupancy patterns of Pol V in *Arabidopsis* (see also Ref. 113).

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## Errata

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