1	
2	
3	
4	Climatic control of intra-annual wood density fluctuations of
5	Pinus pinaster in NW Spain
6	
7	
8	Vicente Rozas ^{1,*} , Ignacio García-González ² , Rafael Zas ¹
9	
10	
11	¹ Misión Biológica de Galicia, Consejo Superior de Investigaciones Científicas, Apdo
12	28, E-36080 Pontevedra, Spain
13	² Departamento de Botánica, Escola Politécnica Superior, Campus de Lugo,
14	Universidade de Santiago de Compostela, E-27002 Lugo, Spain
15	* Corresponding author: E-mail <u>vrozas@mbg.cesga.es</u> , Telephone +34 986 854800,
16	Fax +34 986 841362
17	
18	
19	

21

22

23

24

25

26

27

28

29

30

31

32

33

34

35

36

37

38

39

Abstract Tree rings of *Pinus pinaster* often contain intra-annual density fluctuations (IADFs), which have been attributed to the succession of dry and rainy periods typical of Mediterranean climate, but their formation has not been studied yet under Atlantic climate. We analyzed the occurrence and climatic significance of replicated IADFs in ten monospecific stands in NW Spain. The frequency of IADFs was higher than previously reported for this species under Mediterranean conditions and consistently decreased with increasing elevation. The formation of bands of latewood-like tracheids within the earlywood was favored by dry previous August, cold previous winter and dry April. Bands of earlywood-like tracheids within the early latewood were also favored by low winter temperatures. However, their occurrence was geographically heterogeneous, with two groups of stands being defined by their distances to the shoreline. In coastal stands, cold May-August triggered IADFs formation, while in inland stands their formation was favored by dry May-July. Regional winter temperatures and April water balance were strongly related to the East Atlantic (EA) pattern, which greatly conditioned the occurrence of IADFs in the earlywood and the early latewood. By contrast, the presence of bands of earlywood-like tracheids in the late latewood was independent of the EA pattern, being strongly related to warm conditions in spring and especially to a wet October. The link between regional climate and the EA pattern strongly controlled the physiological processes that determine intra-annual growth dynamics and short-term cell enlargement of *P. pinaster* in NW Spain.

41

- 42 **Keywords** Dendrochronology, false tree-rings, *Pinus pinaster*, Atlantic climate, water
- 43 balance, East Atlantic pattern

Introduction

The integration of the effects of several environmental factors operating at multiple time scales on tree-ring growth make difficult to understand the underlying mechanisms responsible for triggering intra-annual cambial activity and growth dynamics (Fritts 2001). In fact, cambial activity in conifers may vary at a shorter time scale within the growing season, leading to the formation of intra-annual bands of tracheids with distinctive appearance, differing from those typical of the early- or latewood parts of the ring (Vaganov et al. 2009). Like the most widely used ring-width records, small variations in wood density within a tree ring are morphologically preserved in the wood structure and can be easily differentiated and analyzed any time.

The intra-annual density fluctuations (IADFs) in conifers are areas of the tree ring where wood density changes as a response to a particular combination of environmental conditions that modify the rates of cambial activity (De Micco et al. 2007). The term IADF includes the so-called false rings, intra-annual bands, light latewood rings and double or multiple rings, which can be anatomically characterized by latewood-like tracheids within the earlywood, or earlywood-like tracheids within the latewood (Wimmer et al. 2000; Girardin et al. 2001; Rigling et al. 2001). The visual characterization of IADFs is given by changes in the wall/lumen ratio of the tracheids, which corresponds with intra-ring variations in the ¹³C/¹²C isotopic composition of cellulose, suggesting that IADFs are associated to plastic responses to changes in physiological stress (Park et al. 2006; De Micco et al. 2007).

Several external disturbance events such as insect outbreaks, and different climatic triggers such as droughts, flooding, snowfall, or frosts have been identified as causes of IADF formation (Rigling et al. 2002; Hoffer and Tardif 2009; Edmondson 2010). In

69 addition, individual features such as canopy position, growth rate and tree age can also 70 influence their formation (Copenheaver et al. 2006; Bogino and Bravo 2009; Vieira et 71 al. 2009). Usually, the presence of IADFs in the earlywood is related to dry springs 72 followed by moist conditions, while moist summers can cause IADFs in the latewood 73 (Wimmer et al. 2000; Bouriaud et al. 2005). A wide variety of woody species under 74 Mediterranean climate characterized by summer drought and a high inter-annual 75 variability in precipitation and temperature commonly form IADFs (Cherubini et al. 76 2003). Among these species, IADFs in the Mediterranean pines P. halepensis, P. 77 pinaster and P. pinea have been attributed to an irregular rainfall regime during the 78 growing season (Campelo et al. 2006; De Luis et al. 2007; De Micco et al. 2007; 79 Bogino and Bravo 2009). 80 *Pinus pinaster* is a forest species of the western Mediterranean basin, inhabiting a 81 wide range of environmental and physiographic conditions. Its main populations are 82 located in the Iberian Peninsula, growing in both natural and planted woodlands under 83 climatic conditions ranging from the extremely dry and warm summers of the 84 Mediterranean areas in central and southern Spain to the mild and humid climate of the 85 Atlantic coast at its western range boundary in the Iberian Peninsula (Alía et al. 1997). Despite the great productive and conservational importance of *P. pinaster* under 86 87 Atlantic conditions, its intra-annual growth dynamics and sensitivity to limiting climatic 88 factors have not been studied in this region yet. Under Mediterranean climate, P. 89 pinaster presents IADFs in both the early- and latewood due to the succession of dry 90 and rainy periods during the growing season (Bogino and Bravo 2009; Vieira et al. 91 2009). The pronounced genetic and physiological differences between the Atlantic and 92 Mediterranean populations (Bucci et al. 2007; Correia et al. 2008), and the contrasting 93 climatic regimes of both regions, suggest that other environmental factors different from the alternation between dry and wet periods may be critical for the intra-annual growth dynamics of *P. pinaster* under Atlantic climate.

Regional climate in Spain is controlled by large-scale circulation patterns, such as the North Atlantic Oscillation and El Niño-Southern Oscillation, which significantly influence rainfall regimes (Rodó et al. 1997; Trigo et al. 2004). Therefore, large-scale climatic patterns may also influence intra-annual growth of *P. pinaster* through determining the variability of regional climate conditions in NW Spain. This study is aimed at (a) characterizing the occurrence patterns of intra-annual wood density fluctuations in *Pinus pinaster* over a tree-ring network at its northwestern range boundary in NW Spain, and (b) identifying the regional and large-scale climatic factors that drive the formation of different IADF types under Atlantic climate.

Materials and methods

Study area

The study area comprises the region of Galicia, NW Spain, where *P. pinaster* grows at its northwestern range boundary and covers over 47% of the forested area in pure or mixed stands, being one of the most important commercial woods. Since the past century, this species has been extensively planted in Galicia (Fig. 1a), and the resulting even-aged stands are typically managed through short rotations of 40-50 years. Regional climate is temperate and humid, with a mean annual temperature of 12.7 °C for the period 1967–2005, ranging between 10.1 and 14.5 °C. The mean annual precipitation is 1,300 mm, ranging from 870 in the southern inland area to 1,800 mm near the coast under more oceanic influence.

Climate data

We used monthly gridded data from the datasets of the Climate Research Unit, University of East Anglia (CRU TS 3, $0.5^{\circ} \times 0.5^{\circ}$), taken from the Web site of the Royal Netherlands Meteorological Institute (http://climexp.knmi.nl/), for mean temperature (T) and total precipitation (P) for the period 1967–2006. Since maximum temperature is coupled to a minimum of rainfall during summer time, precipitation data were just used to calculate water balance (WB) as WB = P - PET, where PET is the potential evapotranspiration estimated as a function of monthly mean temperatures and geographical latitude (Thornthwaite 1948). Mean monthly WB ranged between -73 mm in July and 145 mm in December-January, showing a tendency to water deficit from June to August. To characterize the climatic determinants of IADFs formation in P. pinaster at a regional scale, mean values from the 0.5° × 0.5° grid boxes comprised within the region (7.0–9.5° W, 42.0–44.0° N) were calculated. Monthly indices of a series of teleconnective patterns (North Atlantic Oscillation, East Atlantic pattern, East Atlantic/West Russia pattern, Scandinavia pattern, Tropical/Northern Hemisphere pattern, Polar/Eurasia pattern) were obtained from the Web site of the NOAA/National Weather Service, Climate Prediction Center, Maryland, USA (ftp://ftp.cpc.ncep.noaa.gov/wd52dg/data/indices/tele_index.nh). Among them, only the East Atlantic (EA) pattern showed significant relationships with IADFs records of *P. pinaster*. EA pattern is a prominent mode of low-frequency variability structurally similar to the NAO, which consists of a north-south dipole of anomaly centers spanning the North Atlantic from east to west, whose positive phase is associated with aboveaverage surface temperatures in Europe (Barnston and Livezey 1987). Monthly climatic variables were seasonalized to values for winter (December-February), spring (March-May), summer (June-August) and autumn (September-

119

120

121

122

123

124

125

126

127

128

129

130

131

132

133

134

135

136

137

138

139

140

141

142

143

November). The considered window for exploring the relations between climate and

tree growth were from previous June (Jun(-1)) to current November (Nov) for monthly values, while for seasonal values they spanned from previous summer (Sum(-1)) to current autumn (Aut). Additionally, annual values were calculated by averaging monthly values from previous October (Oct (-1)) to current September (Sep).

Sampling, tree-ring dating and tree age estimation

We sampled 10 monospecific stands located both at the coastal and inland areas along the full elevation range of *P. pinaster* in Galicia, from sea level to 855 m (Fig. 1b, Table 1). We measured DBH (bole diameter at 1.30 m), and took at least two increment cores per tree from 15 to 24 dominant trees per stand. The cores were air-dried, glued onto wooden mounts, and polished with successively finer grades of sandpaper, until the xylem cellular structure was visible in the transverse plane. The tree-ring series were absolutely dated by assigning calendar years to the rings following standard procedures (Fritts 2001). Total ring widths were measured under magnification to the nearest 0.001 mm with a sliding-stage micrometer (Velmex Inc., Bloomfield NY, USA) interfaced with a computer. The software COFECHA (Grissino-Mayer 2001) was used to quantitatively check for crossdating errors in the ring width series. All series with potential dating errors or weakly correlated with the master site chronology were corrected when possible or discarded.

In cores showing the pith, tree age at coring height was determined by the number of crossdated rings. In partial cores showing the arcs of the inner rings, the pith was located using a graphical method based on the convergence of xylem rays at the pith (Rozas 2003), and the number of missing rings toward the pith was estimated by extrapolating the mean growth rates from the innermost five rings in the cores. Tree age

was estimated based on the oldest core per tree. No corrections for the number of missing rings due to coring height were performed.

Intra-annual wood density fluctuations

The adequately dated cores were visually examined under magnification for identification of IADFs, which were distinguished from actual tree rings due to their non-sharp transitions in opposite to the marked boundaries between annual rings (Wimmer et al. 2000; Park et al. 2006). Based on previous definitions for *P. pinaster*, IADFs were classified into three types according to their appearance and intra-ring position (Vieira et al. 2009). IADFs type E were bands of latewood-like tracheids within the earlywood (Fig. 2a). By contrast, IADFs types L and L+ were bands of earlywood-like tracheids within the latewood (Fig. 2b), and near the transition between the latewood and the earlywood of the following ring (Fig. 2c), respectively.

An IADF in a given ring was considered when present in at least one core per tree, and was tabulated. The percent frequencies of cores and rings per stand showing IADFs of types E, L, and L+ were computed as descriptive statistics of the IADF distributions. The relative frequency of IADFs was calculated as $F = n_x/N$, where n_x is the number of trees showing IADFs in the year x, and N is the number of trees in that year. Moreover, the variance bias induced by the varying number of tree rings per year was corrected by calculating the stabilized IADF frequency as $F_{\text{stab}} = F \times N^{0.5}$ (Rigling et al. 2001). F_{stab} series were calculated separately for the types E, L and L+ at each stand. In order to estimate the dependence of IADFs frequency on ring age and ring width, Spearman's correlations between ring age, mean ring width, and F_{stab} of each IADF type, were calculated.

We tested if the occurrence of IADFs in P. pinaster was independent from both inter-site distances and differences between site elevations using the standardized Mantel statistic $(R_{\rm M})$. The Mantel test compares two similarity or distance matrices computed for the same objects, and behaves like a correlation coefficient, being bounded between -1 and 1 (Legendre and Legendre 1998). To calculate $R_{\rm M}$ we used the matrices of similarity between F_{stab} chronologies and descriptive statistics of the IADF distributions for the period 1967-2005, compared to the matrices of geographical distances and elevation differences among stands. We used Spearman's correlation as a measure of similarity between F_{stab} chronologies, and $1-D_{\text{norm}}$ as similarity between descriptive statistics, being D_{norm} the normalized difference ranging between 0 and 1 (Legendre and Legendre 1998). Since we compared similitude matrices with distance matrices, positive and negative $R_{\rm M}$ values would indicate more and less similar patterns, respectively, at increasing distances or elevation differences. The statistical significance of $R_{\rm M}$ was tested by means of 9,999 random permutations of one of the distance matrices, to obtain the expected distribution of the statistic under the null hypothesis of independence. $R_{\rm M}$ was calculated with the Mantel function from the PopTools v3.1.0 add-in for Microsoft Excel (http://poptools.org/).

209

210

211

212

213

214

215

216

192

193

194

195

196

197

198

199

200

201

202

203

204

205

206

207

208

Relationships between IADFs and climate variability

To analyze the relationships between climate and IADFs frequency at a regional scale, we excluded from the analyses those years showing IADF frequencies that did not show any significant replication within a stand. We used the definition given by Schweingruber et al. (1990) of "pointer year" to refer to a group of trees in which most of them display an event –in our case a density fluctuation– in the same year. The most conspicuous IADFs replicated amongst a significant proportion of trees at each stand

were considered using a significance test that identifies frequencies higher than a given background value (Edmondson 2010). This background value represents the approximate frequency of an entirely random inter-annual occurrence, and was calculated as the ratio between all IADFs and the total number of dated rings at a given stand. The frequency F was considered significant when the value $F-(F\cdot(1-F)/N)^{0.5}$ exceeded the random background value (Edmondson 2010). Pointer years showing significant IADFs were identified separately for the types E, L and L+ in each stand, and a regional distribution was calculated for each type as the year-by-year sum of significant events at all stands (Appendix S1).

The analyses for relating climate and IADFs frequency were performed along 1967–2005, the common period for all IADFs records. Due to the abundant zero values in the regional chronology of IADFs type E, we calculated the anomalies of all climatic variables for pointer years in comparison to the expected means in the remainder years without IADFs for the period of analysis (Masiokas and Villalba 2004). Monthly, seasonal and annual climatic variables were normalized, and the deviations of means from years showing IADFs type E, with respect to years not showing IADFs, were calculated. Standard deviations above 0.5 or below -0.5 were considered as significant positive or negative anomalies, respectively. Logistic regression analysis was also used to identify those independent explanatory variables with a significant effect on the probability of occurrence of IADFs type E at a regional level. The model was depicted by $P = 1/(1 + e^{a + \sum biXi})$, where P is the probability of an IADF occurrence (P = 0 indicates non-pointer year, P = 1 indicates pointer year), a is the constant of the model and bi are the coefficients for the independent variables Xi. Logistic regression was performed by a forward stepwise procedure and the best adjustment was obtained by maximum likelihood (Sokal and Rohlf 1995).

For IADFs types L and L+, the reduced number of null values allowed the use of correlation analysis to identify the main climatic variables that determined the regional IADF chronologies. To account for non-normality of regional IADF pointer-year chronologies, we calculated Spearman's rank correlations between the regional chronologies of types L and L+ and the monthly, seasonal and annual climatic variables. Additionally, to evaluate the diversity of climatic triggers at a local scale, Spearman's correlations between local F_{stab} distributions and monthly gridded T and WB were calculated. Finally, the relationships between regional climate variability and EA pattern were explored by means of Pearsons's correlation. The statistical analyses were performed with the SPSS 15.0 for Windows package (SPSS Inc., Chicago IL, USA).

Results

Characteristics of trees and IADF distributions

The mean DBHs of dominant trees at the sampled stands varied between 29.6–66.7 cm, and the mean estimated ages between 33–55 years (Table 1). The reduced standard deviations of tree ages suggested an even-aged origin for the majority of the sampled stands. Almost 100% of the 498 cores analyzed showed IADFs (Table 2). The proportion of cores with IADFs type E was quite variable, ranging between 2.2 and 88.2%, while the proportion of cores with IADFs in the latewood was consistently high. For IADFs type L, the proportions ranged between 58.3–100%, and 87.5–100% for the type L+. A total of 19,513 tree rings were analyzed, with the proportion of rings with IADFs varying between 15.9–89.5% depending on the site. The proportion of rings showing IADFs type E ranged between 0.0–9.0%, while the types L and L+ were comparatively more abundant, ranging between 3.7–38.8% for type L, and 7.9–66.9% for type L+.

Patterns of IADFs occurrence

No inter-site common trends in the relationships between the frequency of IADFs and tree-ring age or ring widths were found. For the IADFs type E, there were no significant relationships of F_{stab} with age and ring width. For types L and L+ some correlations were statistically significant, both positive and negative, but no general pattern was observed (Appendices S1, S2).

The similitude between $F_{\rm stab}$ chronologies was neither related to inter-site distances nor to the difference among site elevations, as shown by the Mantel test (Table 3). The only exception was the IADFs type L, which showed a negative relationship with inter-site distances, suggesting less similar patterns of IADFs frequency when increasing distance. The statistics for IADFs distribution were independent of the geographic distance, but were in general significantly and negatively related to elevation differences. Additionally, negative correlations with elevation were found for the proportion of cores showing IADFs types E (R = -0.71, P = 0.021) and L (R = -0.65, P = 0.041). The only exception was the proportion of cores showing IADFs type L+, which did not show a great inter-site variation. These results suggest more frequent IADFs occurring at lower than at higher sites, irrespective of their geographical position.

Climatic significance of IADFs

Composite regional distributions of pointer years for significant IADFs were developed for each IADF type, according to the random background frequencies for pointer year recognition (Appendix S1). For IADFs type E, the 16 pointer years within the period 1967–2005 showed below-average temperature in December and previous winter,

below-average water balance in previous August and April, and below-average EA indices in previous December and April (Fig. 3a). According to the logistic model, the independent explanatory variables with a positive effect on the probability of occurrence of IADFs type E were lower EA indices in April (Wald's test $\chi^2 = 4.94$, P = 0.026, coefficient b = -0.009), and reduced water balance in previous August ($\chi^2 = 4.27$, P = 0.039, b = -0.038).

The regional record of pointer years showing IADFs of type L was significantly correlated with both T and EA variability, but independent from any variation in WB (Fig. 3b). Pointer years for IADFs type L were negatively correlated with winter and annual T, and also with EA variation in previous December, previous winter, and its annual variation. The local distributions of F_{stab} for IADFs type L were negatively correlated with T in January-February and May-August at the coastal sites (CAP, COR, INS, MUR, VIG), but in the period May-August positive correlations with T were found at inland sites (ALO, BAR, MCU, VER) (Fig. 4a). Moreover, F_{stab} for IADFs type L in these inland sites showed also negative correlations with WB in May-July. All these results suggest that temperature and water availability in the growing season are site-dependent triggers for IADFs type L formation, exerting contrasting local effects on intra-annual cambial activity.

By contrast, the regional record of pointer years for IADFs type L+ is significantly correlated with both T and WB variability, but independent from EA pattern (Fig. 3c). Positive correlations with T in April, August, spring, and the complete year were found. For WB, positive correlations were found in October and autumn, and negative correlations in January and the complete year, were found. The local analysis showed that, in more than 50% of the cases and without any distinction between the coastal and inland stands, IADFs type L+ responded positively to T in March-May and August, and

also positively to WB in October (Fig. 4b). Moreover, high WB in May impacted negatively on the formation of IADFs type L+.

According to the obtained correlations, the main regional climate driver for the formation IADFs type L was previous winter T, which showed a decreasing number of pointer years strongly related to the ascending trend of winter temperature (Fig. 5a). For the type L+, the main climatic driver at a regional scale was autumn WB, with more frequent IADFs in those years with enough water availability in autumn (Fig. 5b).

Several climatic factors revealed as major determinants of IADFs formation in P. pinaster showed to be directly dependent on EA pattern variability. For instance, mean temperature of December and winter of the previous year were highly positively correlated with EA in December (Fig. 6a) and winter (Fig. 6b), respectively. In addition, annual temperature was positively correlated with annual EA (R = 0.49, P = 0.001). Moreover, water balance in April showed a positive correlation with April EA (Fig. 6c).

Discussion

Patterns of IADF occurrence

The frequencies of IADFs previously described for different pine species under a wide variety of climatic conditions were considerably lower than those reported in this paper. Under boreal or temperate climate, IADFs were observed in 9% of the tree rings at maximum (Wimmer et al. 2000; Rigling et al. 2001; Copenheaver et al. 2006), and under Mediterranean climate, they were observed in up to 15–32% of the rings (Campelo et al. 2006; Bogino and Bravo 2009; Vieira et al. 2009). In our study, eight out of ten stands showed IADFs in more than 30% of the rings, six stands in more than 50%, and a maximum frequency of 89.5% of the rings with IADFs was found. Despite

IADFs are assumed to be special features or anomalies in normal tree-ring growth, in the light of the observed frequencies, IADFs are more the rule than the exception in *P. pinaster* under mild Atlantic climate.

Studies on several pines, including *P. pinaster*, showed that the frequency of IADFs was negatively related to tree age and positively to growth rates, being more abundant in the juvenile period and during or immediately after periods with wider rings (e.g., Copenheaver et al. 2006; Bogino and Bravo 2009; Hoffer and Tardif 2009; Vieira et al. 2009). Our findings, however, showed no dependence among IADFs frequency, cambial age, and ring width, which can be due to the reduced age of our trees in comparison with other works describing age-related effects. However, age-related effects can be important in determining the high frequency of IADFs observed, since the sampled trees are not much older than 55 years, and IADFs frequency is usually much higher for younger trees (Viera et al. 2009).

The frequency of IADFs was strongly dependent on elevation, with more abundant IADFs at lower than higher elevations. These evidences suggest that the stressful effects of specific triggering factors causing short-term reduction/reactivation of cambial activity and cell enlargement decrease with increasing elevation. A longer span of the growing season at a lower elevation has probably also contributed to higher frequencies of IADFs.

As previous publications on pine species (Rigling et al. 2001, 2002; Campelo et al. 2006; Vieira et al. 2009), we found a higher frequency of IADFs in the latewood than in the earlywood of *P. pinaster*. Reductions of cambial activity at the early growing season are less likely than a later growth reactivation, probably due to the different duration of growth periods for early- and latewood, and to more regular weather conditions during early in the active season. In *P. halepensis* under warmer and xeric conditions,

earlywood formation lasts for only two months (March to April-May), while latewood growth is more time-consuming, extending up to six-eight months from May-June to November-December (De Luis et al. 2007; Camarero et al. 2010). Accordingly, our results suggest that latewood growth of *P. pinaster* in NW Spain could last for at least six months, from May to October-November.

Climatic determinants of wood density fluctuations

We interpret the dependency of IADFs on climate on the basis of particular preconditioning and triggering climatic factors, whose combined effects produced the observed fluctuations in wood density.

Climatic drivers for the occurrence of IADFs in the earlywood of *P. pinaster* showed to be relatively heterogeneous throughout the study area, with very few IADFs per stand, while a clear combination of climatic drivers for their formation was identified at a regional scale. Below-average water availability in previous late summer (August), or below-average temperature in previous winter (December), are preconditioning factors for the formation of bands of latewood-like tracheids within the earlywood. Both factors are related to the amount of carbohydrates stored in the previous season and used for growth in spring (Hansen and Beck 1994; Lacointe 2000). Water availability in summer can modulate the amount of carbohydrates at the end of the growing season, considering that summer drought is not as restrictive as under Mediterranean climate, while photosynthetic activity of *P. pinaster* can be inhibited by low temperatures during winter (Medlyn et al. 2002). Below-average water balance in April appears to be the actual triggering factor for IADFs type E, in combination to low carbohydrate reserves at the beginning of the active season. Such relationships to water availability in the early growing season were found for other conifers in different

regions. For instance, the frequency of IADFs in the earlywood of *P. nigra* in the northern Alps was negatively correlated with May precipitation (Wimmer et al. 2000), earlywood density of *Picea abies* in France responded strongly to fluctuations in soil water reserves in late spring-early summer (Bouriaud et al. 2005), and drought in early summer induced the formation of IADFs in the earlywood of the Mediterranean pines *P. pinea* and *P. halepensis* (Campelo et al. 2006; De Luis et al. 2007). Moreover, carbon gain in winter can be allocated to growth of fine roots, increasing their absorptive capacity at the onset of following growing season (Lacointe 2000), which can contribute to proper cell enlargement during the earlywood formation, and thus reduce the frequency of IADFs type E. These findings highlight the importance of water deficit for the short-term inhibition of cell enlargement at the beginning of the growing period, which combined with a low carbon availability can induce false-rings formation in the earlywood of *P. pinaster* under Atlantic climate.

The formation of IADFs type L are trigged by climatic conditions in late spring and summer, but winter temperature regulating photosynthesis and the amount of carbohydrates available for the following season seemed to be also a major preconditioning. Radial growth depends on tree water status as a controlling factor for the metabolism of the entire tree, but it also depends on the carbon balance as a source of energy for metabolic activity and of compounds for the cambial activity (Zweifel et al. 2006). In evergreen conifers, the carbon supply for needle formation and cambial activity is provided by mobilization of stored reserves, complemented with new photosynthates assimilated even during the cold season (Hansen and Beck 1994). Relatively high rates of winter photosynthesis are stimulated by elevated temperatures in the previous winter (Schaberg et al. 1998; Medlyn et al. 2002), and bands of earlywood-like tracheids in the latewood seemed to occur less frequently when high

temperatures occurred in previous winter. Therefore, a negative carry-over effect due to less stored carbohydrates seems to be detrimental for growth, probably causing an inhibition of cambial activity and the earlier beginning of latewood formation due to limited carbon reserves. If latewood is formed earlier, the probability of cambium reactivation due to the occurrence of any favorable condition increases.

417

418

419

420

421

422

423

424

425

426

427

428

429

430

431

432

433

434

435

436

437

438

439

440

The climatic triggers for IADFs type L had a geographically heterogeneous distribution within the study area, with two different groups of stands. In coastal stands, IADFs type L were favored by low temperatures in May-August, when periods of low water availability are common, though less pronounced than under a Mediterranean climate (Martínez Cortizas et al. 1994). The high water use efficiency and growth potential of P. pinaster even under moderate water stress (Correia et al. 2008), can explain the greater frequency of IADFs type L if low temperatures in late spring and summer alleviate drought stress and promote cambium reactivation. By contrast, a low water availability coupled with high temperatures in late spring-summer increased the probability of latewood IADFs occurrence in inland stands. Cambium reactivation may be promoted by positive water balance at shorter time scales than the monthly periods adopted in this work, as probably occurs in our inland study stands. These climatic determinants for IADFs formation are not consistent with those previously described in the literature, in which the combined effects of cool springs-drought summers (Hoffer and Tardif 2009), or the succession of cool and warm conditions in the active period (Rigling et al. 2002) facilitate the formation of IADFs. But these previous works were performed in boreal pines under other limiting climatic conditions, and they did not distinguish different types of IADFs according to their intra-ring position, then these previous studies could not be comparable with our results.

Density fluctuations of type L+ were preconditioned by warm/dry conditions during most of the growing season, particularly by a warm period from March to May in dry years, and triggered by a wet October. This dependency on climatic factors is consistent with those described for other Mediterranean pines. In *P. pinea* and *P. halepensis*, the occurrence of a spring-summer drought combined with early autumn precipitation favored the formation of IADFs in the latewood (Campelo et al. 2006; De Luis et al. 2007). Moreover, Vieira et al. (2009) showed that IADFs in the late latewood of *P. pinaster* under Mediterranean conditions are strongly linked to wet autumns, mainly in October. This response was uniformly observed throughout our study area, suggesting that the formation of IADFs type L+ responds to very specific climatic factors, and has a wide geographical and even inter-specific validity among Mediterranean pine species.

A relevant finding of this paper is that IADFs in the earlywood and the early latewood of *P. pinaster* in NW Spain were strongly linked to the EA pattern, but not those in the late latewood. This significant connection with EA was due to a direct link between EA variation and the regional climatic drivers for the formation of IADFs at the beginning and the middle of the growing season. In particular, regional climate is strongly related to EA pattern for temperature in previous winter, and also for water balance in April, which are major preconditioning and triggering factors, respectively, for the formation of IADFs types E and L. Although some connection between tree-ring growth and other large-scale patterns, like the North Atlantic Oscillation, have been previously observed in southern Europe (Piovesan and Schirone 2000; Rozas et al. 2009), this work demonstrates for the first time a strong link between IADFs formation and the EA pattern, mediated by a robust large-scale modulation of regional climate.

IADFs and growth plasticity in *P. pinaster*

As other Mediterranean pines, P. pinaster evolved during the Pliocene under tropical-like climate, before the onset of the Mediterranean climate, as a component of the pre-Mediterranean Arcto-Tertiary flora (Verdú et al. 2003; Petit et al. 2005). This species survived to a past gradual increase of aridity during the transition to Mediterranean conditions, which may have led to its characteristic growth plasticity (Chambel et al. 2007). The expected growth behavior should be partially related to the intra-annual reduction/reactivation of photosynthetic and cambial activities typical of Mediterranean and subtropical environments showing seasonal aridity (Cherubini et al., 2003). Also the vegetative cycle of Mediterranean pines is usually markedly bicyclic or polycyclic, often showing two or more growth units in each annual shoot (Heuret et al. 2006; Barthélémy and Caraglio 2007). Polycyclism is a characteristic expressing adaptation to intermittent favorable conditions for vegetative growth that is more frequently expressed under Atlantic humid conditions (Alía et al. 1997). The hormone indol-3-acetic acid is produced in the younger shoots and exported basipetally to induce the production of xylem and regulate the developmental processes during xylogenesis (Aloni 2001), so that we can hypothesize that shoot growth and cambial activity should be related. Further investigations on intra-annual tree-ring growth based on highresolution dendrometer measurements and xylogenesis (e.g., De Luis et al. 2007; Camarero et al. 2010), and their relationships with shoot enlargement, should inform us about the physiological and ecological significance of IADFs as adaptive traits.

487

486

466

467

468

469

470

471

472

473

474

475

476

477

478

479

480

481

482

483

484

485

488

489

490

Acknowledgements We thank N. Bermejo, A. González, S. Lamas, P. Manso, B.

Rodríguez-Morales and A. Soliño for field and laboratory assistance. The personnel

491 from Dirección Xeral de Montes, Xunta de Galicia, Forest Districts and Islas Atlánticas 492 National Park facilitated site accession. V. Rozas benefited from research contracts by 493 INIA-Xunta de Galicia and CSIC. This research was funded by Dirección Xeral de 494 Investigación, Desenvolvemento e Innovación. Xunta de Galicia 495 (PGIDIT06PXIB502262PR). 496 497 498 References 499 Alía R, Moro J, Denis JB (1997) Performance of *Pinus pinaster* provenances in Spain: 500 interpretation of the genotype by environment interaction. Can J For Res 27: 1548– 501 1559 502 Aloni R (2001) Foliar and axial aspects of vascular differentiation: hypotheses and 503 evidence. J Plant Growth Regul 20: 22-34 504 Barnston AG, Livezey RE (1987) Classification, seasonality and persistence of low-505 frequency atmospheric circulation patterns. Mon Wea Rev 115: 1083–1126 506 Barthélémy D, Caraglio Y (2007) Plant architecture: a dynamic, multilevel and 507 comprehensive approach to plant form, structure and ontogeny. Ann Bot 99: 375-508 407 509 Bogino S, Bravo F (2009) Climate and intraannual density fluctuations in *Pinus* 510 pinaster subsp. mesogeensis in Spanish woodlands. Can J For Res 39: 1557–1565 511 Bouriaud O, Leban J-M, Bert D, Deleuze C (2005) Intra-annual variations in climate 512 influence growth and wood density of Norway spruce. Tree Physiol 25: 651–660 Bucci G, González-Martínez SC, Le Provost G, Plomion C, Ribeiro MM, Sebastiani F, 513 514 Alía R, Vendramin GG (2007) Range-wide phylogeography and gene zones in

515 Pinus pinaster Ait. revealed by chloroplast microsatellite markers. Mol Ecol 16: 516 2137-2153 517 Camarero JJ, Olano JM, Parras A (2010) Plastic bimodal xylogenesis in conifers from 518 continental Mediterranean climates. New Phytol 185: 471–480 519 Campelo F, Nabais C, Freitas H, Gutiérrez E (2006) Climatic significance of tree-ring 520 width and intra-annual density fluctuations in *Pinus pinea* from a dry Mediterranean 521 area in Portugal. Ann For Sci 64: 229-238 522 Chambel MR, Climent J, Alía R (2007) Divergence among species and populations of 523 Mediterranean pines in biomass allocation of seedlings grown under two watering 524 regimes. Ann For Sci 64: 87–97 Cherubini P, Gartner BL, Tognetti R, Bräker OU, Schoch W, Innes JL (2003) 525 526 Identification, measurement and interpretation of tree rings in woody species from 527 Mediterranean climates. Biol Rev 78: 119–148 528 Copenheaver CA, Pokorski EA, Currie JE, Abrams MD (2006) Causation of false ring formation in Pinus banksiana: A comparison of age, canopy class, climate and 529 530 growth rate. For Ecol Manage 236: 348–355 531 Correia I, Almeida MH, Aguiar A, Alía R, Soares T, Santos J (2008) Variations in 532 growth, survival and carbon isotope composition (\delta 13C) among Pinus pinaster 533 populations of different geographic origins. Tree Physiol 28: 1545–1552 534 De Luis M, Gričar J, Čufar K, Raventós J (2007) Seasonal dynamics of wood formation 535 in *Pinus halepensis* from dry and semi-arid ecosystems in Spain. IAWA Journal 28: 536 389-404 537 De Micco V, Saurer M, Aronne G, Tognetti R, Cherubini P (2007) Variations of wood 538 anatomy and δ13C within-tree rings of coastal *Pinus pinaster* showing intra-annual 539 density fluctuations. IAWA Journal 28: 61-74

540 Edmondson JR (2010) The meteorological significance of false rings in eastern redcedar 541 (Juniperus virginiana L.) from the southern great plains, U.S.A. Tree-Ring Res 66: 542 19–33 543 Fritts HC (2001) Tree rings and climate. Second edition. Blackburn Press, Caldwell 544 Girardin M-P, Tardif J, Bergeron Y (2001) Radial growth analysis of *Larix laricina* 545 from the Lake Duparquet area, Quèbec, in relation to climate and larch sawfly 546 outbreaks. Écoscience 8: 127–138 547 Grissino-Mayer HD (2001) Evaluating crossdating accuracy: A manual and tutorial for 548 the computer program COFECHA. Tree-Ring Res 57: 205–221 549 Hansen J, Beck E (1994) Seasonal changes in the utilization and turnover of 550 assimilation products in 8-year-old Scots pine (Pinus sylvestris L.) trees. Trees 8: 551 172-182 Heuret P, Meredieu C, Coudurier T, Coudurier F Barthélémy D (2006) Ontogenetic 552 553 trends in the morphological features of main stem annual shoots of Pinus pinaster 554 (Pinaceae). Am J Bot 93: 1577-1587 555 Hoffer M, Tardif JC (2009) False rings in jack pine and black spruce trees from eastern 556 Manitoba as indicators of dry summers. Can J For Res 39: 1722–1736 557 Lacointe A (2000) Carbon allocation among tree organs: A review of basic processes 558 and representation in functional-structural tree models. Ann For Sci 57: 521–533 Legendre P, Legendre I (1998) Numerical Ecology. 2nd English edition. Elsevier, 559 560 Amsterdam 561 Martínez Cortizas A, Castillo Rodríguez F, Pérez Alberti A (1994) Factores que 562 influyen en la precipitación y el balance de agua en Galicia. Boletín de la 563 Asociación de Geógrafos Españoles 18: 79–96

564 Masiokas M, Villalba R (2004) Climatic significance of intra-annual bands in the wood 565 of Nothofagus pumilio in southern Patagonia. Trees 18: 696–704 566 Medlyn BE, Loustau D, Delzon S (2002) Temperature response of parameters of a 567 biochemically based model of photosynthesis. I. Seasonal changes in mature 568 maritime pine (*Pinus pinaster* Ait.). Plant Cell Environ 25: 1155–1165 569 Park Y-I, Dallaire G, Morin H (2006) A method for multiple intra-ring demarcation of 570 coniferous trees. Ann For Sci 63: 9-14 571 Petit RJ, Hampe A, Cheddadi R (2005) Climate change and tree phylogeography in the 572 Mediterranean. Taxon 54: 877–885 573 Piovesan G, Schirone B (2000) Winter North Atlantic oscillation effects on the tree 574 rings of the Italian beech (Fagus sylvatica L.). Int J Biometeorol 44: 121–127 575 Rigling A, Waldner PO, Forster T, Bräker OU, Pouttu A (2001) Ecological 576 interpretation of tree-ring width and intraannual density fluctuations in Pinus 577 sylvestris on dry sites in the central Alps and Siberia. Can J For Res 31: 18–31 578 Rigling A, Bräker O, Schneiter G, Schweingruber F (2002) Intra-annual tree-ring 579 parameters indicating differences in drought stress of *Pinus sylvestris* forests within 580 the Erico-Pinion in the Valais (Switzerland). Plant Ecol 163: 105–121 581 Rodó X, Baert E, Comin FA (1997) Variations in seasonal rainfall in Southern Europe 582 during the present century: relationships with the North Atlantic Oscillation and the 583 El Niño-Southern Oscillation. Clim Dyn 13: 275–284 584 Rozas V (2003) Tree age estimates in Fagus sylvatica and Quercus robur: testing 585 previous and improved methods. Plant Ecol 167: 193–212 586 Rozas V, Lamas S, García-González I (2009) Differential tree-growth responses to local 587 and large-scale climatic variation in two Pinus and two Quercus species in 588 northwest Spain. Écoscience 16: 299–310

- 589 Schaberg PG, Shane JB, Cali PF, Donnelly JR, Strimbeck GR (1998) Photosynthetic 590 capacity of red spruce during winter. Tree Physiol 18: 271–276 591 Schweingruber FH, Eckstein D, Serre-Bachet F, Bräker O (1990) Identification, 592 presentation and interpretation of event years and pointer 593 dendrochronology. Dendrochronologia 8: 9–38 594 Sokal RR, Rohlf FJ (1995) Biometry: the principles and practice of statistics in 595 biological research. Third edition. Freeman & Co., New York 596 Thornthwaite DW (1948) An approach toward a rational classification of climate. 597 Geographical Review 38: 55–94 598 Trigo RM, Pozo-Vázquez D, Osborn TJ, Castro-Díez Y, Gámiz-Fortis S, Esteban-Parra 599 MJ (2004) North Atlantic Oscillation influence on precipitation, river flow and 600 water resources in the Iberian Peninsula. Int J Climatol 24: 925-944
- Vaganov EA, Schulze E-D, Skomarkova MV, Knohl A, Brand WA, Roscher C (2009)

 Intra-annual variability of anatomical structure and δ¹³C values within tree rings of
- spruce and pine in alpine, temperate and boreal Europe. Oecologia 161: 729–745
- Verdú M, Dávila P, García-Fayos P, Flores-Hernández N, Valiente-Banuet A (2003)
- "Convergent" traits of Mediterranean woody plants belong to pre-Mediterranean
- lineages. Biol J Linn Soc 78: 415–427
- Vieira J, Campelo F, Nabais C (2009) Age-dependent responses of tree-ring growth and
- intra-annual density fluctuations of *Pinus pinaster* to Mediterranean climate. Trees
- 609 23: 257–265
- 610 Wimmer R, Strumia G, Holawe F (2000) Use of false rings in Austrian pine to
- reconstruct early growing season precipitation. Can J For Res 30: 1691–1697

Zweifel R, Zimmermann L, Zeugin F, Newbery DM (2006) Intra-annual radial growth
and water relations of trees: implications towards a growth mechanism. J Exp Bot
57: 1445–1459
615
616
617

Table 1 Site and tree characteristics of the *P. pinaster* stands

Stand name	Code	Latitude (N)	Longitude	Elevation	Number of	DBH ± SD	Age ± SD
			(W)	(m)	sampled	(cm)	(yr)
					trees		
Monte Aloia	ALO	42° 05'	8° 41'	530	21	46.2 ± 9.3	33 ± 6
A Barrela	BAR	42° 32'	7° 51'	620	24	66.7 ± 15.4	45 ± 4
A Capelada	CAP	43° 40'	7° 59'	340	23	45.0 ± 9.0	42 ± 3
Illa de Cortegada	COR	42° 37'	8° 47'	20	22	54.4 ± 9.5	52 ± 6
Monte Insua	INS	43° 08'	9° 09'	10	15	52.8 ± 11.8	34 ± 9
Marco da Curra	MCU	43° 22'	7° 53'	590	20	29.6 ± 3.7	44 ± 1
Muros	MUR	42° 48'	9° 04'	155	22	56.6 ± 5.9	54 ± 3
Trabada	TRA	43° 25'	7° 13'	640	23	45.7 ± 6.8	50 ± 5
Verín	VER	42° 04'	7° 33'	855	23	41.6 ± 3.5	43 ± 4
Vigo	VIG	42° 12'	8° 39'	365	19	59.6 ± 8.3	55 ± 7

DBH diameter at 1.30 m above ground

Table 2 Descriptive statistics of the IADF distributions. The period includes at least five trees

		Cores					Rings				
Code	Period	N	% IADFs	% E	% L	% L+	N	% IADFs	% E	% L	% L+
ALO	1967-2006	36	100.0	33.3	97.2	100.0	1081	54.0	2.3	26.3	35.8
BAR	1961-2006	50	100.0	14.0	94.0	100.0	1951	69.7	0.7	11.9	61.9
CAP	1966-2006	45	97.8	20.0	97.8	97.8	1693	30.7	0.6	14.7	17.2
COR	1953-2005	34	100.0	88.2	100.0	100.0	1541	89.5	9.0	38.8	66.9
INS	1967-2007	26	100.0	61.5	100.0	92.3	822	45.7	2.8	13.7	34.4
MCU	1961-2006	46	100.0	2.2	97.8	100.0	1914	36.1	0.0	13.6	26.8
MUR	1951-2006	42	100.0	30.9	100.0	95.2	2172	56.2	0.8	15.7	47.5
TRA	1951-2006	48	100.0	16.6	95.8	89.6	2427	15.9	0.4	8.4	7.9
VER	1964-2006	48	95.8	27.1	58.3	87.5	1894	18.3	0.7	3.7	13.9
VIG	1951-2007	33	100.0	63.6	100.0	100.0	1563	53.0	3.0	28.3	31.3

N total number of cores or rings analyzed, % IADFs percentage of cores or rings showing intra-annual density fluctuations, % E, % L and % L+ percentage of cores or rings showing density fluctuations of the types E, L and L+, respectively

Table 3 Mantel tests for the comparison of inter-site distances and differences between site elevations, with the similarities between F_{stab} site chronologies and chronology statistics for the different types of IADFs (ns: non-significant test)

		Inter-site dista	nces	Site elevations	3
	Variables	$R_{ m M}$	P-value	$R_{ m M}$	P-value
IADF chronologies	$F_{ m stab}$ E	0.08	ns	0.13	ns
	$F_{ m stab}$ L	-0.30	0.021	-0.14	ns
	$F_{ m stab}$ L+	-0.15	ns	0.16	ns
IADF chronology statistics	% cores E	0.01	ns	-0.73	< 0.001
	% cores L	0.25	ns	-0.64	< 0.001
	% cores L+	0.11	ns	-0.24	ns
	% rings IADFs	0.05	ns	-0.57	< 0.001
	% rings E	-0.04	ns	-0.61	< 0.001
	% rings L	0.07	ns	-0.57	< 0.001
	% rings L+	0.04	ns	-0.49	0.001

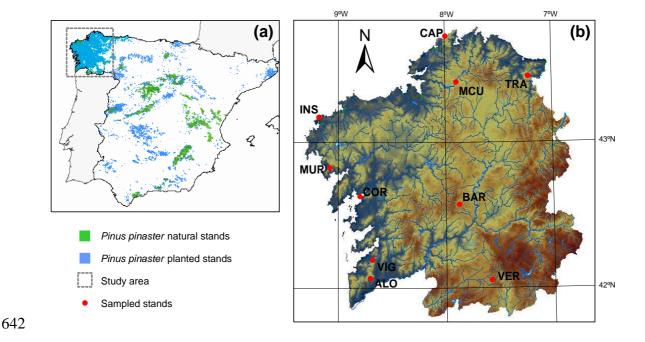


Fig. 1 a Location of the study area, and distribution of natural and planted *Pinus pinaster* in Spain (http://iniagis.inia.es/Pinus%20pinaster/). **b** Location of the sampled stands in Galicia, NW Spain. Stand codes are shown in Table 1.

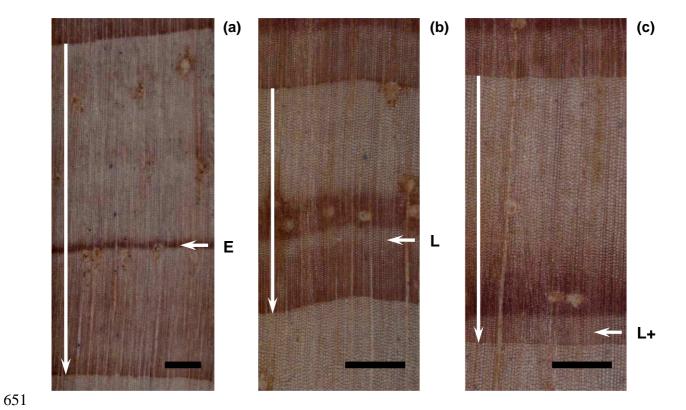


Fig. 2 Aspect of the intra-annual wood density fluctuations of the types E **a**, L **b** and L+ **c** in *P. pinaster* based on their anatomical appearance and intra-ring position. Vertical arrows show the extension of the complete annual ring. E: Latewood-like tracheids within the earlywood. L: Earlywood-like tracheids within the latewood. L+: Earlywood-like tracheids near the transition between the latewood and the earlywood of the next ring. Scale bars: 1 mm

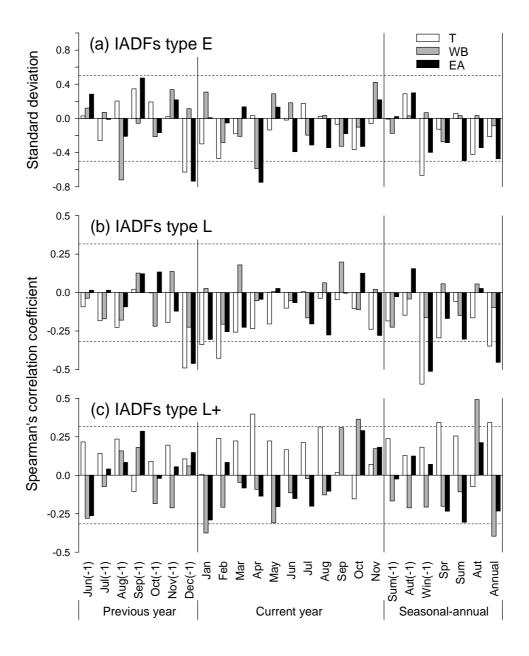


Fig. 3 Standard deviations from mean temperature (T), water balance (WB) and East Atlantic pattern (EA) in those years with significant IADFs type E $\bf a$, and Spearman's correlation coefficients between the significant IADFs of types L $\bf b$ and L+ $\bf c$ and mean monthly, seasonal and annual T, WB and EA in the period 1967-2005. Horizontal lines indicate the standard deviations -0.5 and 0.5, or the lowest significant (P < 0.05) correlation coefficient, in absolute value

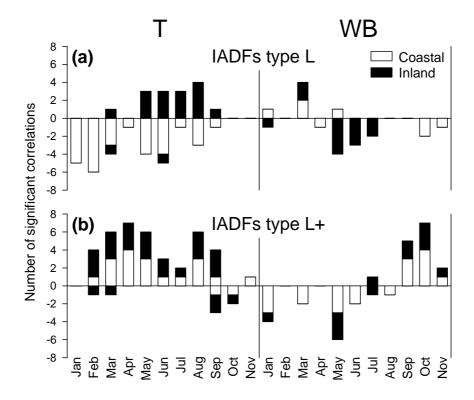


Fig. 4 Number of significant Spearman's correlation coefficients between the $F_{\rm stab}$ chronologies for IADFs of types L $\bf a$ and L+ $\bf b$ and local gridded monthly temperature (T) and water balance (WB). Coastal (CAP, COR, INS, MUR, VIG) and inland (ALO, BAR, MCU, TRA, VER) stands are differentiated. Negative numbers refer to negative correlations



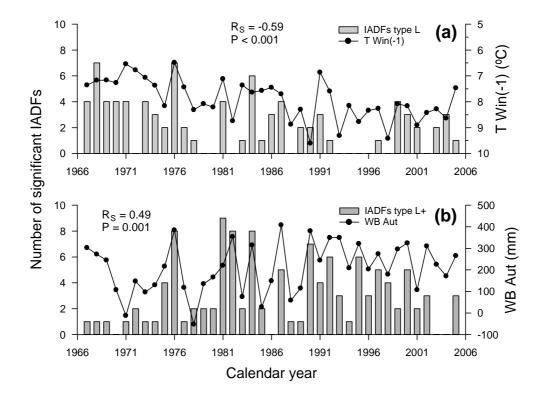
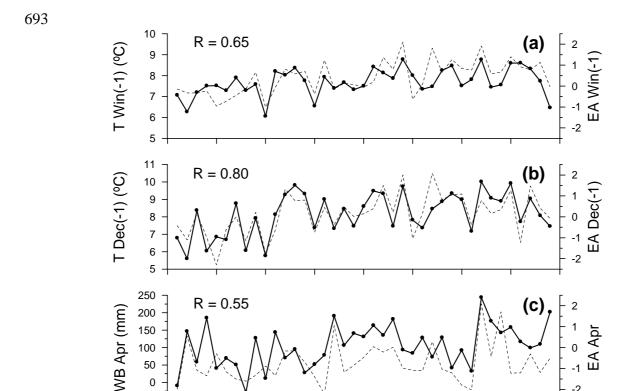


Fig. 5 a Comparison of the observed number of significant IADFs type L and mean temperature (T) in previous winter. Note the reverse scale of T axis. **b** Comparison of the observed number of significant IADFs type L+ and water balance (WB) in autumn. The Spearman's correlation coefficients (R_S) and their significance values (P) are shown



-50

Fig. 6 Comparison of regional climatic variables for T and WB (dashed lines) and the EA pattern (solid lines and dots) for previous winter T a, previous December T b, and April WB c. Pearson's correlations (R) between EA and the regional climate series in 1967–2005, all of them significant at the 0.001 level, are shown

Calendar year

-2