## **EM - ORIGINAL**



# Clinical characteristics and respiratory support of 310 COVID-19 patients, diagnosed at the emergency room: a single-center retrospective study

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# **Abstract**

An ongoing outbreak of pneumonia associated with severe acute respiratory coronavirus 2 (SARS-CoV-2) occurred at the end of February 2020 in Lombardy, Italy. We analyzed data from a retrospective, single-center case series of 310 consecutive patients, with confirmed SARS-CoV-2 infection, admitted to the emergency room. We aimed to describe the clinical course, treatment and outcome of a cohort of patients with COVID-19 pneumonia, with special attention to oxygen delivery and ventilator support. Throughout the study period, 310 consecutive patients, with confirmed SARS-CoV-2 infection, attended the Emergency Room (ER), of these, 34 were discharged home directly from the ER. Of the remaining 276 patients, the overall mortality was 30.4%: 7 patients died in the ER and 77 during hospitalization. With respect to oxygen delivery: 22 patients did not need any oxygen support (8.0%), 151 patients were treated with oxygen only (54.7%), and 49 (17.8%) were intubated. 90 patients (32.6%) were treated with CPAP (Continuous Positive Airway Pressure) or NIV (Non Invasive Ventilation); in this group, 27 patients had a Do Not Intubate (DNI) order and were treated with CPAP/NIV as an upper threshold therapy, showing high mortality rate (88.9%). Among the 63 patients treated with CPAP/NIV without DNI, NIV failure occurred in 36 patients (57.1%), with mortality rate of 47.2%. Twenty-seven (27) patients were treated with CPAP/NIV without needing mechanical ventilation and 26 were discharged alive (96.3%). The study documents the poor prognosis of patients with severe respiratory failure, although a considerable minority of patients treated with CPAP/NIV had a positive outcome.

**Keywords** SARS-CoV-2 infection  $\cdot$  COVID-19  $\cdot$  CPAP (continuous positive airway pressure)  $\cdot$  NIV (non-invasive ventilation)  $\cdot$  Epidemiology

# Introduction

On February 21, 2020, the first person-to-person transmission of SARS-CoV-2 in Italy was reported, leading to one of the largest COVID-19 outbreaks, causing more than 35,000

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deaths. Epidemiological data from Italy are somehow at variance with those that have been reported for China and other countries. In particular, higher mortality rates have been described [1] This evidence has been attributed to various possible causes, among which, it is important to emphasize the different age distribution of the population, the different prevalence of comorbid conditions and a more conservative policy for carrying on diagnostic swabs.

Although much epidemiological information is currently available [2–4], detailed clinical data are still scarce and mainly refer to the subset of patients admitted to intensive care units [5–7]. Much less is known about the clinical course of the patients who access the Emergency Room (ER) for fever or respiratory symptoms of differing severity. Moreover, there is a big variability in regard to the use of continuous positive air pressure (CPAP) and bilevel



non-invasive ventilation (NIV) and there is a lack of data about clinical course and outcome of patients treated with these supports [2, 5, 8].

This study retrospectively analyzed data from a single center in Milan, Italy, aiming to describe the characteristics and outcome of patients accessing the ER for COVID-19. We also explored the potential association between a number of clinical variables and mortality, with particular attention to the use of CPAP and NIV for respiratory support.

## **Methods**

# **Study participants**

This retrospective study was conducted in a single hospital: Grande Ospedale Metropolitano Niguarda in Milan (900 beds). We collected data from patients admitted to the Emergency Room (ER), from February 29 to March 19, 2020. Three hundred and ten consecutive patients with confirmed SARS-Cov-2 infection were enrolled in the study. According to the WHO guidance [9], laboratory confirmation for SARS-Cov-2 was defined as a positive result of real-time reverse transcriptase-polymerase chain reaction (RT-PCR) assay of nasal and pharyngeal swabs [10]. Figure 1 shows the recruitment flowchart.

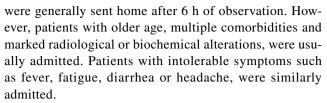
The study was approved by the institutional review board at Grande Ospedale Metropolitano Niguarda. Written informed consent was waived by the ethics commission of the designated hospital, in accordance with governmental regulations on observational retrospective studies.

#### **Data collection**

The demographic characteristics (age and sex) and clinical data (symptoms, symptoms onset, comorbidities, home treatments) were collected from electronic medical records. Diagnostic work-up in the ER (laboratory test, ultrasound and radiologic findings) were similarly collected. Patients' treatment (drugs, respiratory support) and outcome were followed and recorded throughout hospital stay. Causes of death were retrieved from certificates of death. Some data were missing due to the incomplete fulfillment of clinical notes or the clinical decision of not performing a particular test.

# Criteria for hospital admission and respiratory support

Criteria for admission were not strictly defined, but physicians working in the ED behaved uniformly. Patients with oxygen saturation above 93% breathing in ambient air, no signs of respiratory distress and good general conditions



Patients discharged home were asked to self-isolate from the rest of their co-habitants, using a single room, and if possible a private bathroom. They were given written advice and they were reported to local health authorities for quarantine and follow-up. Patients discharged home were followed up on electronic medical record and they were called by phone to check their condition after one month from the discharge. The impossibility of staying in a proper quarantine, together with social frailty or a language barrier, were considered criteria for admission.

Patients with SpO2 90–94% were given oxygen up to 12 L/min, aiming at values of SpO2 > 94%. Patients with SpO2 < 90%, or 90–94% while on oxygen up to 12 L/min, were treated with CPAP or NIV. Patients whose SpO2 was < 90% with oxygen 12 L/min or CPAP/NIV, and those with arterial oxygen partial tension/fraction inspired oxygen (PaO2/FiO2) < 200 mmHg or persistent respiratory fatigue, were considered for immediate intubation unless a do-not-intubate (DNI) order had been issued. DNI was issued by an expert anesthesiologist in agreement with the emergency physician, after considering the severity of the disease, patients age and comorbidities. In case of disagreement, a second opinion by a senior anesthesiologist was obtained.

Patients with COVID-19 infection were admitted to medical wards. Patients treated with CPAP/NIV were admitted to Emergency Medicine or Respiratory Medicine wards. Patients needing mechanical ventilation were admitted directly to the Intensive Care Unit (ICU) or transferred from non-intensive wards, in case of clinical deterioration or CPAP/NIV failure.

# **Statistical analysis**

Descriptive statistics were obtained for all study variables. Continuous variables are presented as median and interquartile range (IQR) or as mean and standard error (SE). Categorical data are expressed as proportions. The prognostic relevance of possible risk factors is evaluated with a Cox proportional hazards model. The *t* test has been used to determine if the means of two sets of data are significantly different from each other.



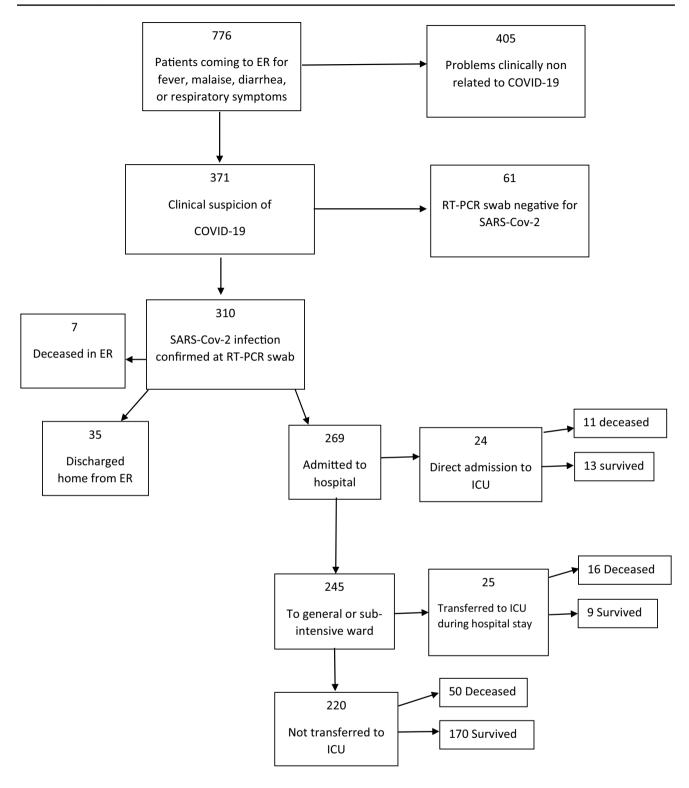


Fig. 1 Flowchart of patients recruitment and outcome



#### Results

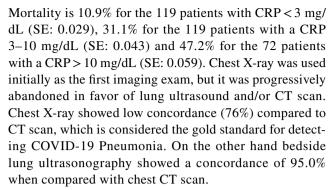
#### **Patient characteristics**

Throughout the period of study, 776 patients attended the ER with fever, diarrhea, headache, syncope or respiratory symptoms; 405 had no disease related to COVID-19. Of the 371 remaining, 61 were excluded because of missing or negative real time-PCR from a nasopharyngeal swab. 34 patients were discharged home from the ER, 7 died in the ER and 269 were admitted to hospital. Three patients were admitted because of the impossibility of self-isolation for proper quarantine or because of social frailty. Patients discharged home from the ER were younger than those admitted to hospital (median 46 [IQR 37.53] vs. 67 [IQR: 54.77]) and had fewer comorbidities (median 0 [IQR 0.2] vs. 1 [IQR 0.2]). During the study period, only 5 of the patients sent home from the ER came back to hospital and 2 of them were subsequently admitted to medical ward. None of the re-admitted patients needed oxygen support and no death was recorded. Two patients were lost in the follow-up because of wrong or missing telephone number. With respect to the patients admitted, 84 (30.4%) died in the hospital and 192 (69.6%) were discharged home. Death rate in the ICU was 55.1% (27 patients out of 49).

Patients aged 18–60 had lower mortality (10.2%), compared to patients aged between 61 and 75 years (38.6%) and > 75 years (48.8%) Table 1.

The median age of patients was 64 years overall (IQR 52–76), 46 years for those discharged from the ER (IQR 37–53) and 67 for those admitted to the hospital (IQR 54–77). Females were 110 (35.5%). Univariate and multivariate Cox regression models were used to evaluate associations of covariates with risk of death. Considering the total number of deaths (n = 84) in our study, 8 covariates were chosen for multivariate Cox model on the basis of univariate analysis (P < :05). The presence of any chronic illnesses (hypertension, diabetes, heart disease, lung disease, cancer) was associated with an increase in mortality. The association with mortality remained significant at the 5% level for most covariates in the multivariable setting Fig. 2; Table 2.

Eighty-nine patients were under chronic medication with angiotensin-converting enzyme inhibitors (ACEI) or angiotensin receptor blockers (ARB); after controlling for age and diagnosis of hypertension, we found no significant association between mortality and chronic use of ACEI or ARB drugs. On the contrary, regressing mortality on CRP at admission we find that the association is significant at any conventional level (an increase in CRP of 1 mg/dL is associated with an increase in mortality of 1.8%).



Twenty-two patients did not need any oxygen support. In this group, no death was recorded. Mortality was 21.2% among the 151 patients treated with oxygen only. Ninety (90) patients were treated with CPAP/NIV: 48 survived (53%) and were discharged, 42 died (47%). Twentyseven (27) patients had a do-not-intubate (DNI) order and were treated with CPAP/NIV as an upper threshold therapy, showing high mortality rate (24 deaths out of 27 patients: mortality rate 88.9%). Among the 63 patients, treated with CPAP/NIV, without DNI, CPAP/NIV failure occurred in 36 patients (57.1%), with mortality rate of 47.2% (17 patients deceased and 19 survived). The most of the patients (29 patients out of the 36) who had first been assigned to CPAP/NIV, underwent endotracheal intubation (ETI) on the same day or the day after starting noninvasive ventilation. Twenty-seven patients were treated with CPAP/NIV, without needing mechanical ventilation; achieving survival in 26 patients (96.3%). Thirteen (13) patients were intubated on arrival in ER or in the prehospital setting, without any trial of CPAP/NIV. Mortality in this group was 76.9% (10 patients out of 13) (Table 3).

All the deceased patients died because of respiratory failure secondary to COVID-19 pneumonia and ARDS. Clinical course was complicated by septic shock or bacterial super-infection in 8 patients (4 in the ETI group, 2 in the NIV failure/ETI group, 1 case of Legionella pneumonia in the NIV/DNI group and 1 case of methicillin-resistant Staphylococcus aureus in the oxygen only group), multi-organ failure (MOF) in 9 patients (4 in the ETI group, 4 in the NIV failure/ETI group and 1 in the oxygen only group), 1 case of pulmonary embolism in the NIV/DNI group and 1 case of ventilator-induced lung injury (VILI) in the NIV failure/ETI group.

Table 4 shows baseline characteristics of patients treated with invasive and non-invasive ventilation. Patients treated with CPAP/NIV only were characterized by younger age (mean 58.4 [SE 2.78] vs. 76.4 [SE 1.37] years), less number of comorbidities and a less severe degree of respiratory insufficiency (mean PaO2/FiO2 248 [SE 16.7] vs. 186 [SE 19.6]), compared with patients who received a DNI order. Patients treated with CPAP/NIV only had also higher PaO2/FiO2 than those intubated, but



**Table 1** Clinical, biochemical and radiological characteristics of patients with COVID-19

Variable	Number	Percentage (IQR)	Observations
Demography			
Patients	310	100	310
Age, median	64	(52–76)	310
Female	110	35.5	310
Signs and symptoms at admission			
Fever	288	92.9	310
Cough	183	59.2	309
Shortness of breath	107	34.5	310
Rhinorrhea or conjunctivitis	8	2.62	305
Anosmia, dysgeusia	43	14.1	304
Fatigue or muscle ache	5	1.64	304
Headache	32	10.4	307
Gastrointestinal symptoms	40	13.2	304
Comorbidities			
Smoke	11	7.28	151
Obesity (variable)	49	22.9	214
Obesity (BMI>30)	34	32.7	104
Hypertension	134	43.5	308
Diabetes	53	17.2	308
Heart disease	50	16.2	309
Vascular disease	39	12.7	308
Chronic obstructive pulmonary disease	42	13.5	310
Immunosuppression or rheumatoid arthritis	20	6.47	309
Cancer	27	8.77	308
Laboratory findings at admission, median (IQR)			
Lymphocytes/L	1.03	[0.74,1.39]	283
CPR, mg/dL	4.6	[1.55,9.75]	308
Creatinine, mg/dL	0.99	[0.82,1.2]	298
Bilirubin, mg/dL	0.5	[0.36,.7]	287
INR	1.13	[1.07,1.21]	248
aPTT	1.1	[0.99,1.26]	247
рН	7.46	[7.44,7.49]	239
pO2, mmHg	69	[59.5,79]	240
pCO2, mmHg	32	[29,35]	241
PaO2/FiO2	300	[233,347]	235
Chest radiology			
Echography, positive	169	54.7	309
X-rays, positive	84	27.6	304
CT, positive	257	83.2	309
Home therapy			
ACEI	55	17.9	307
ARB	39	12.7	307
Other	145	46.8	310

BMI body mass index, CPR C-reactive protein, INR international normalized ratio, aPTT activated prothrombin time, PaO2/FiO2 arterial oxygen partial tension/fraction inspired oxygen, CT computed tomography, ACEI angiotensin-converting enzyme inhibitors, ARB angiotensin II receptor blocker



Fig. 2 Estimated coefficients and 95% confidence intervals for univariate and multivariate Cox regressions of patient survival on potential risk factors. *CKD* chronic kidney disease

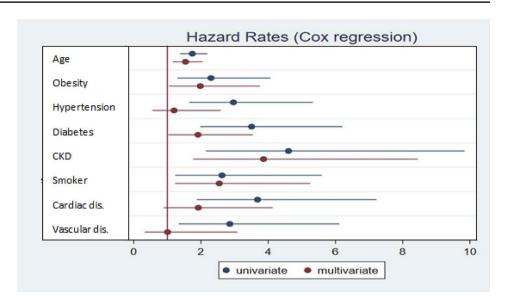


Table 2 Risk factors and death estimated with univariate and multivariate Cox regression models

	Univariate HR (95% CI)	P value	Multivariate HR (95% CI)	P value
Age (decades)	1.744 [1.386,2.194]	0.000	1.540 [1.159,2.047]	0.003
Diabetes	3.507 [1.983,6.201]	0.000	1.912 [1.030,3.547]	0.040
Hypertension	2.967 [1.652,5.329]	0.000	1.201 [0.558,2.585]	0.640
Heart disease	3.684 [1.879,7.221]	0.000	1.921 [0.893,4.135]	0.095
CKD	4.604 [2.153,9.844]	0.000	3.861 [1.765,8.446]	0.001
Obesity	2.299 [1.301,4.063]	0.004	1.981 [1.046,3.750]	0.036
Vascular disease	2.860 [1.338,6.113]	0.007	1.008 [0.329,3.086]	0.989
Ever been a smoker	2.627 [1.234,5.592]	0.012	2.544 [1.233,5.251]	0.012
Cancer	2.418 [0.965,6.059]	0.060		
Pulmonary disease	1.748 [0.802,3.807]	0.160		

Multivariate analysis has not been carried out for cancer and pulmonary disease, because univariate showed no significance

HR hazard ratio, CKD chronic kidney disease

Table 3 Oxygen support and outcome

Oxygen delivery	Number	Outcome					
		Survived (%)	Deceased (%)				
No need of oxygen	22	22 (100)	0 (0)				
Oxygen only	151	119 (78.8)	32 (21.2)				
CPAP/NIV only	27	26 (96.3)	1 (3.7)				
DNI CPAP/NIV	27	3 (11.1)	24 (88.9)				
NIV failure and ETI	36	19 (52.8)	17 (47.2)				
ETI	13	3 (23.1)	10 (76.9)				
Total	276	192 (69.6)	84 (30.4)				

CPAP/NIV continuous positive airway pressure/non-invasive ventilation, DNI do-not-intubate order, ETI endotracheal intubation

significant difference is obtained only for the group with NIV failure and subsequent ETI.

Most patients received treatments specifically aimed at reducing viral load or viral clinical manifestations. The majority of the 269 patients admitted to the hospital (233 pts; 86.6%) were treated with either chloroquine or hydroxyl-chloroquine and with lopinavir–ritonavir (230 pts; 85.5%), even in the absence of definitive information on the efficacy of these drugs. Tocilizumab was administrated to 58 (21.6%) patients, always in association with hydroxychloroquine and lopinavir–ritonavir. Only 15 (5.6%) patients were treated with remdesivir, while 68 (25.3%) received steroids. The trend of drug usage changed during the period of observation, as more data become available from medical literature.



Table 4 Clinical and laboratory characteristics among patients treated with invasive and non-invasive ventilation

Variable	N	(1)	N	(2)	N	(3)	N	(4)	t test	t test	t test
		CPAP/NIV only Mean [SE]		DNI/NIV Mean [SE]		ETI Mean [SE]		NIV failure/ETI Mean [SE]	P value (1)–(2)	P value (1)–(3)	P value (1)–(4)
Age	27	58.444 [2.799]	27	76.444 [1.377]	13	56.231 [3.444]	36	61.222 [1.909]	0.000***	0.640	0.400
Female	27	0.259 [0.086]	27	0.111 [0.062]	13	0.154 [0.104]	36	0.222 [0.070]	0.167	0.467	0.738
Obesity	24	0.292 [0.095]	15	0.267 [0.118]	11	0.545 [0.157]	29	0.414 [0.093]	0.870	0.158	0.366
Hypertension	27	0.370 [0.095]	26	0.731 [0.089]	13	0.462 [0.144]	36	0.583 [0.083]	0.008***	0.593	0.097*
Diabetes	27	0.074 [0.051]	26	0.346 [0.095]	13	0.308 [0.133]	36	0.222 [0.070]	0.014**	0.054*	0.115
Heart disease	27	0.148 [0.070]	26	0.500 [0.100]	13	0.154 [0.104]	36	0.083 [0.047]	0.005***	0.963	0.426
Vascular disease	27	0.037 [0.037]	26	0.231 [0.084]	13	0.077 [0.077]	36	0.056 [0.039]	0.038**	0.599	0.738
COPD	27	0.000 [0.000]	27	0.222 [0.082]	13	0.077 [0.077]	36	0.111 [0.053]	0.009***	0.152	0.076*
Immunosuppression	27	0.074 [0.051]	27	0.111 [0.062]	13	0.000 [0.000]	36	0.056 [0.039]	0.646	0.327	0.770
Cancer	27	0.000 [0.000]	26	0.192 [0.079]	13	0.000 [0.000]	36	0.000 [0.000]	0.016**	N/A	N/A
Lymphocytes/µL	27	1.034 [0.075]	25	0.901 [0.081]	11	1.307 [0.158]	36	1.575 [0.600]	0.235	0.085*	0.440
CRP, mg/dL	27	8.604 [0.943]	26	14.085 [2.197]	13	8.569 [2.353]	36	13.081 [1.242]	0.024**	0.987	0.009***
Creatinine, mg/dL	27	1.429 [0.339]	26	1.758 [0.419]	13	1.070 [0.095]	36	1.197 [0.083]	0.542	0.474	0.455
Bilirubin, mg/dL	27	0.537 [0.045]	25	0.689 [0.090]	13	0.622 [0.081]	36	0.757 [0.091]	0.129	0.330	0.054*
INR	23	1.131 [0.015]	25	1.703 [0.333]	13	1.122 [0.019]	33	1.195 [0.023]	0.107	0.710	0.035**
aPTT	23	1.150 [0.037]	25	1.414 [0.123]	13	1.155 [0.053]	33	1.163 [0.032]	0.053*	0.937	0.781
pН	24	7.474 [0.007]	23	7.462 [0.013]	11	7.426 [0.027]	31	7.464 [0.008]	0.441	0.029**	0.381
pO2, mmHg	25	75.840 [9.996]	23	72.639 [9.213]	11	81.891 [11.115]	30	62.933 [3.600]	0.816	0.721	0.199
pCO2, mmHg	25	30.480 [0.813]	23	29.870 [1.034]	11	30.273 [2.170]	31	30.194 [0.894]	0.642	0.913	0.817
PaO2/FiO2	24	247.875 [16.73]	23	185.783 [19.63]	11	194.091 [29.81]	29	202.828 [16.88]	0.020**	0.101	0.066*

Comparison between patients treated with CPAP/NIV only (1) and the other groups of patients: CPAP/NIV and DNI (2); ETI (3); NIV failure and ETI (4)

N number of observations, CPAP/NIV continuous positive airway pressure/non-invasive ventilation, DNI do-not-intubate order, ETI endotracheal intubation, CRP C-reactive protein, INR international normalized ratio, aPTT activated prothrombin time, PaO2/FiO2 arterial oxygen partial tension/fraction inspired oxygen

The value displayed for t tests are P values. \*\*\*, \*\*\* and \* indicate significance at the 1, 5 and 10 percent critical level

# Discussion

Although much epidemiological data on COVID-19 have been published in the medical literature, there is still a paucity of information about the clinical course of patients accessing the hospital through the ER. Our study is one of the first that analyzes a cohort of patients admitted to medical wards, subintensive and in intensive care units, with detailed data about the outcome of those treated with respiratory support.

The first finding that deserves attention is the high mortality of patients who were admitted to hospital (30.4%). This number is higher than those from other series: Wang et al [11] reported a 4.3% death rate among 138 patients admitted for COVID-19 pneumonia, and Guan et al [2] an astonishingly low 1.4% mortality on a population of 1099 admitted patients. It must be considered though, that the great majority of patients enrolled in these observational studies, had mild form of disease, and that the data from Wang et al. have been calculated when only 41% of patients

had either died or been discharged. Among the 173 patients from the Guan's study who had a severe form of the disease, a composite end-point of death, ICU admission and invasive mechanical ventilation reached the percentage of 24.9%. Differences in death rate could also be secondary to age difference (a median of 64 years in our study vs. 56 and 47, respectively), and to our stricter criteria for hospital admission. In our series, even patients admitted to ICU and invasively ventilated showed high overall mortality (55.1%). This percentage does not differ so much from those of Yang et al. [6] who reported a mortality of 61.5% on 52 critically ill patients, and of Arentz et al. [7] who had 15 (68,2%) deaths and 4 patients, who remained critically ill among 22 severe respiratory patients admitted to their ICU. Report from a cohort of 1591 patients admitted to the ICUs of the Lombardy Region shows a lower mortality rate of 26%; this finding should be questioned because death rate was calculated on the totality of admitted patients, when only 41% of patients had concluded their hospital course. Indeed, among the 661 patients who died or were discharged from



ICU, the death toll rises to 61.2% [5]. Altogether these data give evidence of the dire outcome of patients with the most critical forms of CoV-SARS-2 pneumonia. In our series, all the deceased patients died because of respiratory failure secondary to COVID-19 pneumonia or ARDS. However, the most severe cases, characterized by long ICU admission, were complicated by septic shock, bacterial super-infection, multi-organ failure (MOF) or ventilator-induced lung injury.

A second relevant aspect is related to risk factors. Although our numbers are limited, we confirmed a worse prognosis for older age classes as well as for patients with obesity, diabetes, heart disease or chronic kidney disease.

Conversely, we could not find any association between chronic therapy with ACEI or ARB and mortality, after adjusting for age and hypertension, in accordance with published data [12, 13].

With respect to laboratory tests, patients with a CRP>10 mg/dl had worse prognosis than those with lower values.

CT scan of the chest was performed in 264 of 310 patients. Ground grass opacities, crazy paving or consolidations were found in 97.7% of the tested patients, even in those with no respiratory symptoms. Bedside chest ultrasound demonstrated high concordance compared with chest CT for the identification of interstitial pneumonia. It should be noted that this achievement has been obtained during a pandemic outbreak, where a high index of suspicion supports the correlation between echographic patterns and clinical presentation. The reliability of ultrasound may be of relevance when ER is overwhelmed by a high number of patients who need to be evaluated over a short period of time [14, 15], or in clinical settings where CT is not available. On the other hand, chest X-ray showed a poor performance for the diagnosis of COVID-19 patients. This finding is consistent with clinical literature [16].

Controversy exists in the literature about the effectiveness of, and harm from CPAP and NIV, as poor effectiveness and risk of harm have been shown in other forms of acute respiratory distress syndromes (ARDS) [17–20]. Namendys discourages the use of non-invasive ventilation for critical patients with COVID-19, because he believes, that, according to published data, there is no evidence that it could change the fatal course of the disease [6, 17]. He also raises concerns about the safety for health workers, due to the risk of spreading virus via aerosol. Gattinoni et al. suggested two clinical entities at the extremity of a continuum: type L, characterized by high lung compliance and prevalence of interstitial ground glass patterns at CT scan, and type H, characterized by low compliance and increased number of consolidations. Type L patients usually present elevated respiratory drive and vigorous respiratory effort, with consequent increase in pulmonary transvascular pressure. Elevated transvascular pressure, together with endothelial damage, is thought to be the cause of the socalled patient self-induced lung injury (PSILI), that can lead to alveolar and interstitial edema. Oxygen administration alone or in association with PEEP or pressure support, can reduce the respiratory effort and prevent the lung injury. If the disease progresses to type H, endotracheal intubation represents the only option. However, the administration of elevated PEEP on a rigid lung, with reduced size of wellaerated lung ("baby lung"), can easily cause ventilatorinduced lung injury (VILI) [21].

Interesting suggestions come from our data on oxygen delivery and ventilation. We were the first to differentiate patients who received a DNI order and were therefore not suitable for therapeutic upgrade. More than half of our patients received only oxygen support, and about 30% were supported with CPAP or NIV. Considering the latter group as a whole, roughly one-third received CPAP/NIV as an upper therapeutic threshold, after a DNI order was issued. Unfortunately, most of these patients died (88.9%). Another third of the patients, characterized by younger age, few comorbidities and a less severe degree of respiratory insufficiency, were successfully treated with CPAP/NIV and were discharged home (only 1 death out of 27 patients). Thirty-six patients out of sixty-three underwent endotracheal intubation (ETI) after CPAP/NIV failure, usually within one day of starting ventilator support. Death rate among patients intubated after CPAP/NIV failure was 57.1% (36 patients out of 63), with mortality rate of 47.2%. This figure is consistent with numbers reported in medical literature for ARDS of any cause [22].

It can be speculated that a significant number of COVID-19 patients, younger and with less severe respiratory failure, may benefit from CPAP/NIV without needing tracheal intubation. The use of CPAP/NIV for patients who have a DNI order, can possibly give relief from lack of oxygen, but it does not improve the otherwise poor outcome of the most severe cases. Elevated mortality among intubated patients, together with long clinical course in ICU, represents a crucial question that needs to be answered.

Larger and randomized studies will be needed to better identify patients who could benefit from CPAP/NIV, from those that should be expeditiously intubated. It would also be of interest to investigate whether the start time of CPAP/NIV has any relevance on the outcome.

Most of the patients of our series were treated with lopinavir—ritonavir and hydroxychloroquine, but neither drug showed an association with outcome. Indeed, at least one randomized clinical trial, published after we started data retrieving, showed no efficacy for lopinavir—ritonavir. The use of hydroxychloroquine, that was initially supported by studies with several limitations [23], has been subsequently questioned by more solid data [24–26] The efficacy of immunosuppressive drugs like steroids and tocilizumab



is still debated. Their use has a rationale during the hyperinflammation phase characterized by the cytokine storm but conclusive data based on large trial are still needed [27–31].

Our study has several limitations. First of all, management and treatment of patients did not follow a strict protocol, so outcomes may be due to variables other than those that have been controlled. This can be of relevance for patient treated with CPAP/NIV or mechanical ventilation, because we did not record ventilator parameters or the use of prone positioning strategy. Second, many patients that presented clinical features and imaging compatible with COVID-19 were excluded after negative RT-PCR swab. Considering the low sensibility of the RT-PCR assay [10], it is likely that we excluded a considerable percentage of patients with SARS-Cov-2 related interstitial pneumonia. Third, there are some missing data, in particular for obesity and smoking habit. Since individuals with missing information might not be selected at random, this could possibly introduce bias in our results. In particular, we find that individuals whose information about obesity is non-missing are significantly younger and less likely to present pre-existing cardiac and vascular conditions than individual with missing information about obesity. We do not find significant differences (at the 5% level) in any other observable characteristic between patients with and without missing information for smoking or obesity. Fourth, the study was conducted at the early stage of the pandemic outbreak, when the exact physiopathology of the disease was unknown and there was lack of experience in treating COVID-19 pneumonia. It is possible that the outcome of the patients would have improved over the next weeks. Finally, the decision of excluding DNI patients from intubation and therapeutic up-grade was not based on standardized and clear protocols but on clinical judgment. The arbitrariness of such a complex decision, could have affected the outcome of the most severe cases. In particular, some patients in DNI subgroup could have benefited from therapeutic upgrade reducing the overall mortality.

# **Conclusions**

This single-center study at Milan, Italy, provides detailed clinical data on 310 patients, admitted to Emergency Room. As a specific point of interest, our data confirm the high mortality of patients that need invasive or non-invasive ventilator support, but also show that in a significant subset of patients with SARS-CoV-2 pneumonia, CPAP/NIV may prevent intubation and can be associated with a favorable outcome.

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Data availability Data are available for further request.

# Compliance with ethical standards

**Conflict of interest** The authors declare no conflict of interest.

Statement of Human and animal rights The study was conducted in accordance with the ethical standards of the responsible committee on human experimentation of the institutional review board at Grande Ospedale Metropolitano Niguarda. Non animals were involved in the study.

**Informed consent** Written informed consent was waived by the ethics commission of the designated hospital, in accordance with governmental regulations on observational retrospective studies.

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