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**DOI**

[10.1089/soro.2018.0165](https://doi.org/10.1089/soro.2018.0165)

**Publication date**

2019

**Document Version**

Accepted author manuscript

**Published in**

Soft Robotics

**Citation (APA)**

van der Weijde, J., Vallery, H., & Babuska, R. (2019). Closed-loop control through self-sensing of a Joule-heated twisted and coiled polymer muscle. *Soft Robotics*, 6(5), 621-630.  
<https://doi.org/10.1089/soro.2018.0165>

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# Closed-Loop Control Through Self-Sensing of a Joule-Heated Twisted and Coiled Polymer Muscle

Joost van der Weijde, Heike Vallery, and Robert Babuška\*

## Abstract

The twisted and coiled polymer muscle has two major benefits: low weight and low cost. Therefore, this new type of actuator is increasingly used in robotic applications where these benefits are relevant. Closed-loop control of these muscles, however, requires additional sensors that add weight and cost, negating the muscles' intrinsic benefits. Self-sensing enables feedback without added sensors. In this paper, we investigate the feasibility of using self-sensing in closed-loop control of a Joule-heated muscle. We use a hardware module capable of driving the muscle, and simultaneously providing sensor measurements based on inductance. A mathematical model relates the measurements to the deflection. In combination with a simple force model, we can estimate both deflection and force, and control either of them. For a muscle that operates within deflections of [10,30]mm and forces of [0.32,0.51]N, our self-sensing method exhibited a 95% confidence interval of 2.14 mm around a mean estimation error of -0.27 mm and 29.0 mN around a mean estimation error of 7.5 mN, for the estimation of respectively deflection and force. We conclude that self-sensing in closed-loop control of Joule-heated twisted and coiled polymer muscles is feasible and may facilitate further deployment of such actuators in applications where low cost and weight are critical.

## Introduction

The recently developed actuation principle represented by the Twisted and Coiled Polymer Muscle (TCPM) has a number of benefits that make it interesting for application in soft robotics.<sup>1</sup> Two major benefits are its low weight and low cost. The working principle of this actuator is based on the thermal torsion effect.<sup>2</sup> Twisting a fiber with a substructure highly aligned in the direction of the fiber, such as polymer chains or carbon nanotubes, results in a helically aligned substructure. Radial expansion of the twisted fiber and entropic contraction of the helical substructure generate a torque in the opposite direction of the twist. In nylon, both effects can be induced through heating. These torsional actuators become linear actuators through coiling.<sup>2,3</sup>

Of the varieties of the TCPM, the thermally-activated Joule-heated nylon muscle receives the most attention. This specific type already has a wide range of applica-

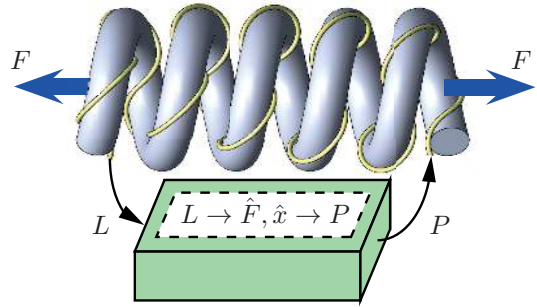


Figure 1: Impression of a self-sensing muscle. A control signal  $P$  is used to both drive the muscle to generate the force  $F$  and measure the inductance  $L$  of the Joule-heating wire. Based on the measurement and the previous control input, the self-sensing and control module estimates the force  $\hat{F}$  and deflection  $\hat{x}$ , and subsequently determines the new control signal.

tions: robotic fingers,<sup>4-6</sup> joints,<sup>7-9</sup> orthoses,<sup>10,11</sup> complete robots,<sup>12,13</sup> or being embedded in a silicon manipulator,<sup>14</sup> silicon skin for robotic facial expressions,<sup>15</sup> or a self-adjusting sports bra.<sup>16</sup>

Systems that benefit most from TCPMs are typically lightweight and inexpensive, and should function in versatile environments. However, most TCPM control schemes rely either on added sensors to enable feedback control,<sup>4-7,17-20</sup> or on predictable circumstances to enable feedforward control.<sup>21</sup> Added sensors increase weight and cost, negating two major benefits of these actuators. Accurate feedforward control requires a controlled environment, which limits its usability in real-life applications. One way to enjoy the benefits of both without the drawbacks of added sensors or complex models is through self-sensing. This means that a system determines its state through the interpretation of input-signal behavior, use of special input signals, or connecting additional electrical leads to existing hardware.<sup>22</sup> Self-sensing in TCPMs will provide an inexpensive and light-weight way to implement feedback.

TCPMs with Joule heating possess self-sensing capabilities, as we demonstrate in our previous work.<sup>23</sup> We show the potential to use both resistance and inductance of Joule heating for self-sensing purposes. Next to our work, three studies on sensing in TCPMs focus on modeling the resistance of coated nylon muscles.<sup>24-26</sup> Two of these works use auto-coiled muscles.<sup>24,25</sup> The first work contributes a phenomenological approach to derive a sensing model.<sup>24</sup> They

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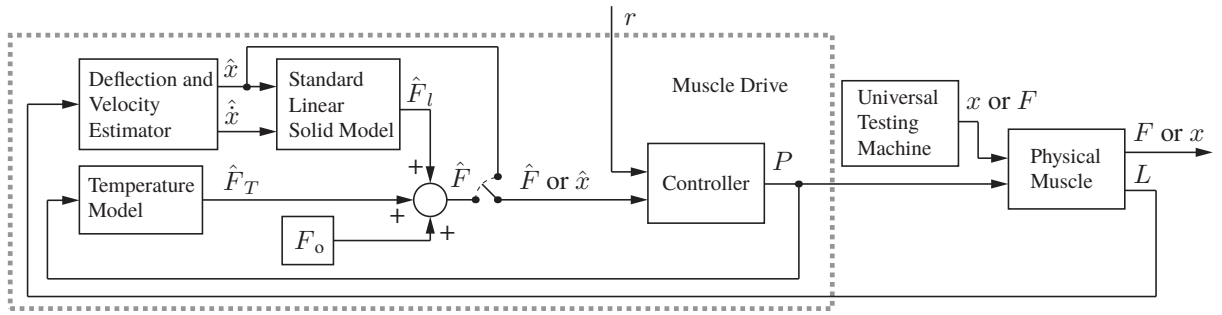


Figure 2: Block diagram for estimation and control. The gray dashed rectangle contains the functionality of the Muscle Drive (MD). Within the MD, the switch indicates that either the deflection estimate  $\hat{x}$  or the force estimate  $\hat{F}$  is used as input for the controller, alongside reference  $r$ , resulting in either control of deflection  $x$  or force  $F$ . The Universal Testing Machine (UTM) acts as a load on the muscle. When the MD controls force, the UTM imposes deflection, and vice versa. The temperature model uses power input  $P$  to compute the contribution of temperature to force  $\hat{F}_T$ , as in (1). The deflection and velocity estimator represents the measurement of deflection based on decay time  $L$  in (4), the subsequent low-pass filtering of the deflection measurement, and taking the backward difference to find velocity  $\dot{\hat{x}}$ . The Standard Linear Solid model calculates the contribution  $\hat{F}_l$  to force by deflection and velocity using (6). The total force estimate  $\hat{F}$  is found by adding  $\hat{F}_l$ ,  $\hat{F}_T$  and force offset  $F_o$ . Finally, the PID controller with anti-windup determines the control signal by using (7).

relate resistance of a coated fiber to geometric changes during stretching of the coil. However, this approach does not include actuation, and therefore cannot be applied as a self-sensing model. The second work contributes an analysis of the resistance when actuating the muscle.<sup>25</sup> The authors found nonlinearities in the resistance attributed to coil windings making contact with each other. The third study uses mandrel-coiled muscles embedded in a silicon manipulator.<sup>26</sup> The authors use the muscles purely as sensors, instead of actuators, and propose a fourth-order polynomial fit as measurement model. Although these contributions demonstrate the capability for self-sensing, none use self-sensing to close the feedback loop.

In this paper we close the feedback loop via self-sensing. We first identify and validate parameters for two models: one model to estimate deflection via the muscle's inductance, and another model to estimate force, with as input power and the estimated deflection. Second, with the models applied, we implement a feedback loop through self-sensing, and perform simple control tasks, as illustrated by Figure 1.

We start with an explanation of the methods. The subsequent section contains the experimental validation of our methods. Next, we present the results of the experiments. Finally, we discuss our work and provide conclusions.

## Self-Sensing and Control Methods

We first describe the hardware that combines actuation and sensing. Next, we introduce the models used for self-sensing of deflection and estimation of force, as well as their online implementations. Finally, we introduce the control method.

### Combined Actuation and Sensing

While several ways exist to activate the TCPM, we choose Joule heating by means of a constantan resistance wire.

Joule heating has the benefit that it can be used for self-sensing.<sup>23</sup> In this paper, we make use of hardware that realizes this principle.<sup>27</sup> The so-called Muscle Drive (MD) drives the TCPM by applying a Pulse Width Modulated (PWM) signal with a controlled duty cycle  $D$ . The electrical response of the TCPM during the off time of a signal period relates to inductance. Based on this response, the MD determines a measure of inductance  $L$  called decay time.<sup>27</sup>

### Self-Sensing Model

In our previous work we have introduced a self-sensing model to estimate deflection  $x$ , force  $F$  and temperature, when measuring both inductance and resistance.<sup>23</sup> In this paper, we first use the actuation power  $P$  to estimate the contribution of temperature to force  $F_T$ . Next, we use  $L$  to determine  $x$  and velocity  $\dot{x}$ . We calculate their contribution to force  $F_l$  via a mechanical model. Addition of  $F_T$ ,  $F_l$  and a force offset  $F_o$  gives the total force. Figure 2 illustrates this process. Note that the symbol  $L$  in this paper does not represent physical inductance, but an assumed proportionally related measure thereof.

For the estimation of  $F_T$ , we disregard the heating time of the resistance wire and assume it heats the fiber homogeneously. We do not measure temperature independently, and we want to use a minimal set of fitted parameters. Therefore, rather than using temperature, we directly relate input power  $P$  to the contribution of temperature to force  $F_T$ . A first-order model describes the relation between  $P$ ,  $F_T$  and its derivative with respect to time  $\dot{F}_T$  as a function of time  $t$ :

$$\dot{F}_T(t) = \kappa_P P(t) - \kappa_C F_T(t), \quad (1)$$

where  $\kappa_P$  and  $\kappa_C$  represent the coefficient of conductive heating and convective cooling, respectively. Since  $F_T$

represents the contribution of temperature to force,  $\kappa_P$  includes a factor modeling the influence of temperature on force and a factor to correct for power dissipated by the wire directly to the air. We find  $P$  by

$$P(t) = D(t)^2 R_m \left( \frac{U_b}{R_b} \right)^2, \quad (2)$$

where  $U_b$  is the voltage at the connectors of the drive when  $D = 1$ ,  $R_b$  the electrical resistance of the circuit as measured at the connectors, and  $R_m$  the electrical resistance of the Joule-heating part of the circuit. Note that we neglect the influence of reactive power on heating of the muscle. The muscles used in this paper have an inductance in the order of magnitude of 1  $\mu$ H. With a signal frequency in the order of magnitude of 100 Hz, the reactive power is around 0.01% of the total power.

The model for computing deflection is taken directly from our previous work.<sup>23</sup> It relates  $L$  to  $x$  and temperature  $T$  by

$$L(t) = \frac{\lambda_x}{x(t) + \lambda_l} + \lambda_T T(t) + \lambda_o, \quad (3)$$

with  $\lambda_x$ ,  $\lambda_l$ ,  $\lambda_T$ ,  $\lambda_o$  as fitted parameters. In contrast to our previous work,<sup>23</sup> we use a constantan resistance wire, which exhibits almost constant resistance regardless of temperature. We can therefore neglect the influence of temperature on the actuation and measurement signal. We furthermore neglect the potential influences of temperature on inductance that do not also influence deflection. Omitting temperature from (3) and rewriting the equation to act as a self-sensing model results in

$$x(t) = \frac{\lambda_x - \lambda_l (L(t) - \lambda_o)}{L(t) - \lambda_o}. \quad (4)$$

As a force model we combine the Standard Linear Solid (SLS) model for the mechanical behavior,<sup>28</sup> with a contribution by temperature in parallel, as shown in Figure 3. This makes the force model

$$F(t) = F_l(t) + F_T(t) + F_o, \quad (5)$$

in which  $F_o$  represents a force offset, and for which the contribution by  $F_l$  is governed by

$$\dot{F}_l(t) = -\frac{k_2}{c} F_l(t) + \frac{k_1 k_2}{c} x(t) + (k_1 + k_2) \dot{x}(t), \quad (6)$$

with stiffnesses  $k_1$  and  $k_2$ , and damping  $c$ . These three parameters, in addition to  $F_o$ , are fitted parameters.

#### Estimator Implementation

$F_T$  and  $F_l$  can be found by transferring their respective models to discrete time. However, filtering is required to process deflection measurements into usable estimates, and we need to estimate  $\dot{x}$  as an input for the force model. To that end, we apply a low-pass filter, with a cut-off frequency at  $\frac{1}{6}$  Hz. Subsequently, we find the velocity by taking the backward difference of the deflection estimate.

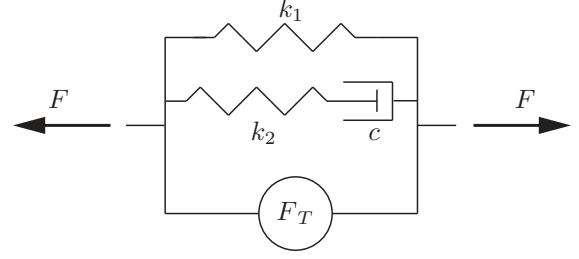


Figure 3: Representation of the force model used for the muscles: the Standard Linear Solid model,<sup>28</sup> with a contribution by temperature in parallel.

#### Control Design

To keep control simple, we choose to use PID control with anti-windup via back calculation to deal with the actuation-signal limits.<sup>29</sup> The control law to find the desired actuation signal  $P_d$  is given by

$$P_d(t) = K_p \left( e(t) + T_d \dot{e}(t) + \frac{1}{T_i} \int^t z(\tau) d\tau \right), \quad (7)$$

with

$$z(t) = e(t) - \frac{1}{K_p} (P_d(t) - P(t)), \quad (8)$$

with the error  $e$  and  $\dot{e}$  its derivative with respect to time. Control parameters  $K_p$ ,  $T_d$  and  $T_i$  respectively represent the proportional gain, and the derivative and integral time constants. We saturate  $P_d$  using

$$P(t) = \max(P_{\min}, \min(P_{\max}, P_d(t))) \quad (9)$$

with  $P_{\max}$  and  $P_{\min}$  representing the respective upper and lower bound of the actuation signal. We use this control law for both deflection control and force control. Therefore, the reference  $r$  can be either a deflection or a force, and we use the corresponding estimate,  $\hat{x}$  or  $\hat{F}$ , to calculate  $e$  and  $\dot{e}$ . We discretize the integral action by using Euler's method.

#### Stability Analysis

Stability analysis requires knowledge of the full system: the physical actuator, its controller and the load. However, for the method in this paper we do not make assumptions regarding the behavior of the load. In other words, we do not know the behavior of the blocks representing the Universal Testing Machine (UTM) and the physical muscle in Figure 2 for arbitrary cases. This means that we cannot analyze stability for the full system. However, we can analyze the stability of the control loop within the gray dotted lines representing the MD, by assuming a constant  $x$ , and hence a constant  $L$ . This case represents force control with a constant deflection. In this case, closed-loop control reduces to the interaction between the temperature model in (1) and the control law in (7). A potential source of instability is the saturation in (9). Separating the nonlinearity from the dynamics allows for stability analysis via describing functions.<sup>30</sup> To that end, we determine the transfer function

from  $P$  to  $P_d$ , and use a describing function to represent the saturation in the controller. In the Laplace domain, the transfer function that represents the interaction between (1) and (7) is given by

$$\frac{P_d}{P} = \frac{-K_p T_d \kappa_P s^2 + \left(\frac{1}{T_i} - \kappa_P K_p\right) s + \left(\frac{\kappa_c}{T_i} - \frac{\kappa_P K_p}{T_i}\right)}{s^2 + \left(\frac{1}{T_i} + \kappa_c\right) s + \frac{\kappa_c}{T_i}}, \quad (10)$$

where  $s$  represents the Laplace variable. We can analyze the stability of this system via the describing-function method.<sup>30</sup> Given a properly tuned controller and positive parameters, this system is stable.

## Experimental Methods

In this section, we first describe the experimental setup, followed by the construction method and limits of the muscle. We then explain the signal construction for identification, training and warming up, followed by the control tasks. Then, we explain the experimental protocol. Lastly we describe how we processed the data.

### Experimental Setup

The MD applies the PWM signal, and measures  $L$ . To cope with artifacts of the device that result in spikes and predictable variations in the measurements, we apply a 2-sample moving-average filter, and a 15-sample median filter. We use a UTM with a load cell to apply and measure deflection and force. The UTM is a *Mark10 ESM303*, which has a resolution of 0.02 mm. The load cell of the UTM is a *Mark10 M5-05* Force Gauge, which has a resolution of 0.5 mN. We control both the UTM and the MD with custom Python code, running on a laptop. The perspex duct surrounding the TCPM, and a *GELID silent 12* 120 mm fan directed at the TCPM, with 10 V applied, ensures controlled airflow. Figure 4 illustrates this setup.

### Muscle Construction and Limits

For construction of the TCPM we use the method described in our previous work:<sup>23</sup> we align the precursor fiber and resistance wire, with a load suspended at one end, blocking rotation, and a rotary motor at the other. We twist the line until it just starts to coil upon itself. Complete coiling can be achieved either by letting the whole fiber coil upon itself, or by wrapping it around a mandrel. We choose the latter, for it increases the sensitivity of inductance to muscle deflection. Annealing finishes the muscle. The endings of the resistance wire connected to the electrical leads are shaped such that when the TCPM is under tension, their influence on the force measurement is minimal. The relevant specifications for construction are shown in Table 1.

To obtain repeatable actuation behavior we had to train the muscle.<sup>23</sup> In addition, in pilot experiments we found that trained muscles that had been inactive for a while needed a *warming up* to regain that same behavior. Therefore, we included a warming-up phase each time we started an experiment and when we continued an experiment after

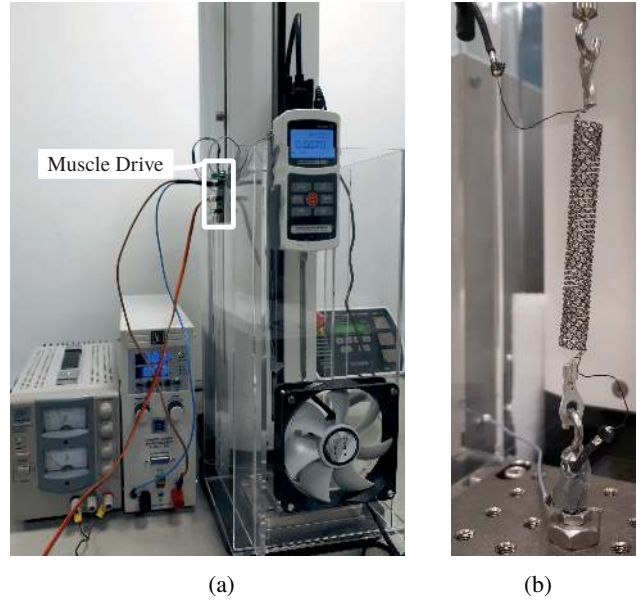


Figure 4: Overall setup, with the Universal Testing Machine and the Muscle Drive in (a), and the Twisted and Coiled Polymer Muscle in (b).

a pause in the protocol.

Through pilot experiments we determined the following limits of deflection and power. To be sure to have overcome the preload knee and avoid nonlinear behavior due to touching coils,<sup>25,31</sup> we choose  $x_{\min} = 10$  mm as the minimum deflection for the experiments. To prevent overstretching, we choose  $x_{\max} = 30$  mm as the maximum deflection. With a voltage of  $U_b = 7$  V applied on the electrical leads, and a resistance at the connectors of  $R_b = 10.75 \Omega$ , of which the resistance at the muscle is  $R_m = 10.18 \Omega$ , the maximum power input would be 4.31 W. However, to prevent overheating, we choose a 85% duty cycle as the maximum, obtaining  $P_{\max} = 3.12$  W. In addition, the MD requires a minimum duty cycle of 15% to provide accurate measurements. This is a practical limitation of the MD, when combined with constantan wire for Joule heating. This sets the lower limit at  $P_{\min} = 0.10$  W. Therefore, the boundaries within which we performed the experiments are [10, 30] mm for deflection and [0.10, 3.12] W for power.

### Signal Construction

In training, warming up, identification and validation we excited the muscle by letting the MD apply a power, and the UTM apply a deflection. We used two signal types: a multi-sine signal  $m$ , and random-step signal  $g$ .

We constructed the multi-sine signal with  $N$  components as

$$m(t) = a_0 + \sum_{i=1}^N a_i \sin(2\pi f_i t + \phi_i), \quad (11)$$

with  $a_0$  the signal offset,  $a_i$  the amplitude of the  $i$ th component,  $f_i$  its frequency and  $\phi_i$  its phase. In construction

we determine the phases as

$$\phi_i = \phi_0 - \frac{\pi i^2}{N}, \quad (12)$$

where  $\phi_0$  is a pseudo-randomly chosen phase offset. This construction method avoids high peaks.<sup>32</sup> We took equal amplitudes, with the signal scaled such that it fit the deflection and power limits, respectively. The frequency interval from which we took the  $N$  equally spaced frequencies was  $[10^{-2.4}, 10^{-1.1}]$  Hz. To avoid producing the same signal for deflection and power, we took two different prime numbers for  $N$  and produced two different values for  $\phi_0$ . For the deflection excitation we chose  $N = 11$ , and for the power excitation we took  $N = 7$ .

We constructed the random-step signal with  $H$  steps as

$$g(t) = b_0 + \sum_{i=1}^H b_i h(t - \tau_i) \quad (13)$$

with  $h$  representing the Heaviside step function,  $b_0$  the signal offset,  $b_i$  the amplitude for each step and  $\tau_i$  the step times. We determined the step times with a random generator, following the construction of step times for generalized binary noise.<sup>33</sup> Given a certain process time constant  $\tau_p$  and sampling frequency  $f_s$ , for each sample time, the probability  $p$  the signal switches is

$$p = 1 - \frac{1}{0.5\tau_p f_s}, \quad (14)$$

such that the average time between switching was half the process time constant. Via pilot experiments we determined the approximate time constants for deflection and power to be respectively  $\tau_p \approx 2$  s and  $\tau_p \approx 35$  s. However, to not let the influence of deflection dominate in the identification data set, we chose the time constants for deflection and power to be respectively  $\tau_p = 12.5$  s and  $\tau_p = 20$  s. For the size and direction of the step, we used two pseudo-random processes. First, we sampled the step size from a uniform distribution  $[0, 0.25(g_{\max} - g_{\min})]$ , with  $g_{\max}$  and  $g_{\min}$  representing the upper and lower limit of deflection and

power, respectively. Next, a virtual coin toss determined the direction of the step. However, if a step in either direction would take the signal out of bounds, the opposite direction was chosen. Finally, we scaled the signal to include the upper and lower limits of deflection and power.

### Control Tasks

We performed several control tasks to quantify the self-sensing performance and the closed-loop control performance of the muscle. We had the muscle perform both force and deflection control. Both consisted of step responses to determine control behavior, and tracking sinusoid references to find the bandwidth of the actuator. The step references contained 7 steps, spread over the respective ranges of  $[0.375, 0.525]$  N and  $[10, 30]$  mm. Each step was held for 20 s. The sinusoid reference swept over 15 subsequently applied frequencies. For force control the sinusoid had a 0.05 N amplitude and a 0.40 N offset. For deflection control the sinusoid had a 5 mm amplitude, and a 20 mm offset. The frequencies were logarithmically spaced within the same frequency interval used for the multi-sine identification signal. The application of each frequency lasted for three periods. In pilot experiments we tuned the gains of both controllers, via the Ziegler-Nichols method.<sup>34</sup> For deflection control we used PID control, with  $K_p = -1.08$  W/mm,  $T_d = 0.625$  s and  $T_i = 2.5$  s. For force control we chose to use PI control, with  $K_p = 540$  W/N and  $T_i = 1$  s. During the control tasks the UTM respectively imposed deflection and force. For deflection control, we had the UTM maintain a constant force of 0.40 N. For force control, we had the UTM maintain a 20 mm deflection.

As part of the control tasks, we implemented a calibration sequence for deflection measurements and force estimates. The calibration provided two offsets, compensating for unmodeled effects, and disturbances happening in between identification and control. For calibration of the deflection measurements the UTM held a deflection of 20 mm. The difference between the deflection estimate and the actual deflection, averaged over 10 s, gave the calibration offset for the deflection measurements. For calibration of the force estimates the UTM held a force of 0.40 N, while the MD controlled the deflection. The difference between the force estimate and the actual force, averaged over 30 s, gave the calibration offset for the force estimates.

### Experimental Protocol

For training we first suspended the untrained TCPM and set the load cell to zero. We then attached the bottom of the TCPM to the UTM, and set the position of the UTM, such that the TCPM just started to be under tension. At this point, we set the deflection of the UTM to zero. Then, we turned on the fan and the MD, and started the training. We excited deflection and power for 600 s, using a multi-sine signal for both.

The identification was initiated in the same way as train-

Table 1: Muscle Construction Specifications

| Property                 | Value                  |
|--------------------------|------------------------|
| precursor fiber diameter | 0.8 mm                 |
| precursor fiber material | nylon                  |
| resistance wire diameter | 0.3 mm                 |
| resistance wire material | constantan             |
| load at twisting         | $\approx 6.50$ N       |
| mandrel diameter         | 5 mm                   |
| mandrel length           | 50 mm                  |
| annealing temperature    | 165 °C                 |
| annealing time           | 1 hour                 |
| nr. of windings          | 46                     |
| Joule-heating resistance | 10.18 $\Omega$         |
| Joule-heating inductance | $\approx 1.30$ $\mu$ H |

ing. Prior to gathering identification data, we gave the TCPM a warming up by means of a 250s multi-sine on deflection and power. For identification we subsequently applied a 200s multi-sine, and a 200s random-step signal on both deflection and power. For validation of the identification, we applied a 100s multi-sine, followed by a 120s random-step signal on both deflection and power. Directly after gathering identification data and preceding the control tasks, we identified the model parameters as described in the following paragraph. During this time the TCPM was still suspended in the UTM.

The control tasks were preceded with warming up the TCPM by means of a 380s multi-sine, and a 200s random-step signal on both deflection and power. After the warm up, we calibrated the deflection measurements and force estimates. Next, we started the force-control tasks. After completion, we recalibrated the deflection measurements and force estimates, to correct for numeric drifting or low-frequency effects that were not included in the models. We then continued the experiment with the position control tasks.

#### Data Processing

The data acquired by the UTM and the MD had their own respective time stamps. Using those, we aligned and re-sampled both UTM and MD data to 16 Hz.

To identify the 6 parameters for (1), (5) and (6), we minimized the squared error between the measured and estimated force response. We obtained the estimated force response by running a simulation of the dynamical system, with the re-sampled power and deflection as input. With MATLAB's genetic-algorithm optimization we came close to the absolute minimum. Subsequently, with MATLAB's nonlinear least-squares optimization, via the Levenberg-Marquardt algorithm, we found the absolute minimum. We found the 3 parameters for (4) in a similar fashion, minimizing the squared error between estimated and applied deflection.

For analysis of the models, we first calculated the Root Mean Square Error (RMSE) to quantify the estimation error of deflection and force. Second, we assessed the quality of the fit via the  $R^2$  value, given by

$$R^2 = 1 - \frac{\sum_{i=1}^n (y_i - f_i)^2}{\sum_{i=1}^n (y_i - \bar{y})^2}, \quad (15)$$

where  $y_i$  are the  $n$  data points with  $\bar{y}$  as their mean, and  $f_i$  the estimates. We calculated the  $R^2$  and RMSE values for the offline estimates belonging to the identification and validation part, and online estimates of the control tasks. In addition, we calculated the 95% confidence interval for online estimation of both deflection and force. We used the data gathered during deflection control to assess deflection estimates, and data gathered during force control to assess force estimates.

To take a closer look at the performance and limitations of control, we calculated the rise times of the step

Table 2: Fitted parameters for measuring deflection and estimating force. The unit at \* proportionally relates to  $\mu\text{Hmm}$ . The unit at \*\* proportionally relates to  $\mu\text{H}$ .

| $x$         |          | $F$        |                                   |       |                                    |
|-------------|----------|------------|-----------------------------------|-------|------------------------------------|
| $\lambda_x$ | 2.81 *   | $\kappa_P$ | $7.2 \cdot 10^{-3} \text{ N/J}$   | $k_1$ | $10.8 \cdot 10^{-3} \text{ N/mm}$  |
| $\lambda_i$ | 28.8 mm  | $\kappa_c$ | $131.6 \cdot 10^{-3} \text{ 1/s}$ | $k_2$ | $2.7 \cdot 10^{-3} \text{ N/mm}$   |
| $\lambda_o$ | 0.433 ** | $F_o$      | $106.9 \cdot 10^{-3} \text{ N}$   | $c$   | $4.3 \cdot 10^{-3} \text{ N.s/mm}$ |

responses. In addition, to determine the bandwidth of the actuator, we fit the amplitude, phase and offset of a sinusoid with a given frequency to the respective responses to the last two periods of the sinusoid reference. We approximated the bandwidth by determining the -3 dB point via linear interpolation of the resulting magnitudes.

## Results

Figure 5 shows the time series of the identification and validation experiment. Table 2 gives the fitted parameters for (1), (4), (5) and (6). Table 3 shows the quality of the fit and the estimation error resulting from these parameters.

Figure 6 highlights the online estimation of deflection and force, by directly comparing the estimates to the true values. We achieved 95% confidence intervals of respectively 2.14 mm around a mean error of -0.27 mm for deflection estimation, and 29.0 mN around a mean error of 7.5 mN for force estimation. Figure 7 shows the resulting

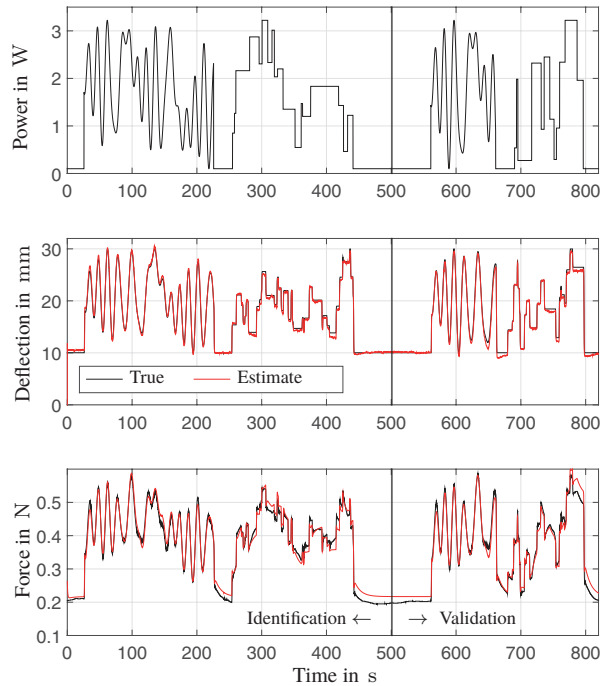
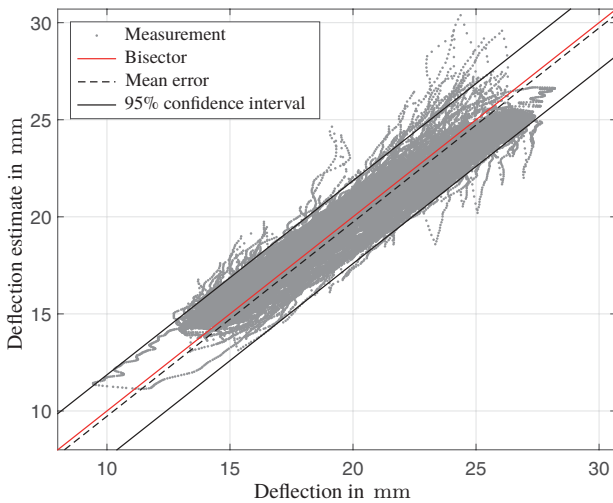
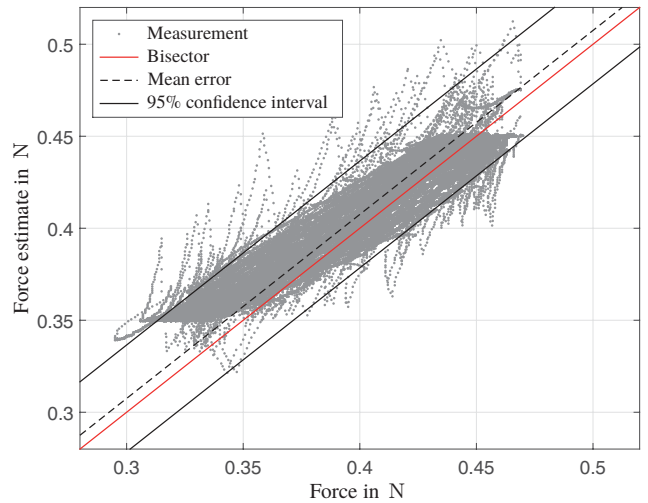


Figure 5: Time series of the identification and validation. The top figure shows the applied power. The middle figure shows the applied deflection in black, and the fit deflection estimate in red. The bottom figure shows the measured force in black and the fit force in red. In all figures, the black vertical line shows the separation of identification and validation data.



(a) Deflection estimation during deflection control.



(b) Force estimation during force control.

Figure 6: Estimation data during respectively deflection control (a) and force control (b). The gray dots represent the estimates given at the true value. The red line represents bisector of the graph, indicating what the correct values would be. The area between the black lines indicates the 95% confidence interval, which is  $\pm 2.14$  mm around a mean error of  $-0.27$  mm for deflection, and  $\pm 29.0$  mN around a mean error of  $7.5$  mN for force.

Table 3: Fit quality measures for deflection and force, for data regarding fitting, validation and control.

|     | Fit   |         | Validation |         | Control |         |
|-----|-------|---------|------------|---------|---------|---------|
|     | $R^2$ | RMSE    | $R^2$      | RMSE    | $R^2$   | RMSE    |
| $x$ | 0.96  | 0.97 mm | 1.00       | 0.39 mm | 0.93    | 1.10 mm |
| $F$ | 0.97  | 12.8 mN | 0.98       | 12.7 mN | 0.86    | 16.3 mN |

time series of the control experiment. Herein, Figure 7a and Figure 7b show the step responses during deflection and force control, respectively. Figure 7c and Figure 7d show four representative periods of the respective sine sweeps. In Figure 8 we show the frequency responses of the sine sweeps during deflection control, and during force control. The step responses during deflection control had rise times between 4.2 s and 14.1 s, and during force control they had rise times between 2.1 s and 5.1 s. Both ranges had outliers at 20 s, indicating that the response did not reach the reference value. We found the bandwidth for deflection control to be approximately  $\frac{1}{25}$  Hz, and for force control approximately  $\frac{1}{18}$  Hz.

## Discussion

Our method and implementation of self-sensing resulted in a 95% confidence interval of 2.14 mm around a mean error of  $-0.27$  mm for estimation of deflection, and 29.0 mN around a mean error of 7.5 mN for estimation of force. Combined with our control implementation we achieved a  $\frac{1}{25}$  Hz for deflection control, and  $\frac{1}{18}$  Hz for force control.

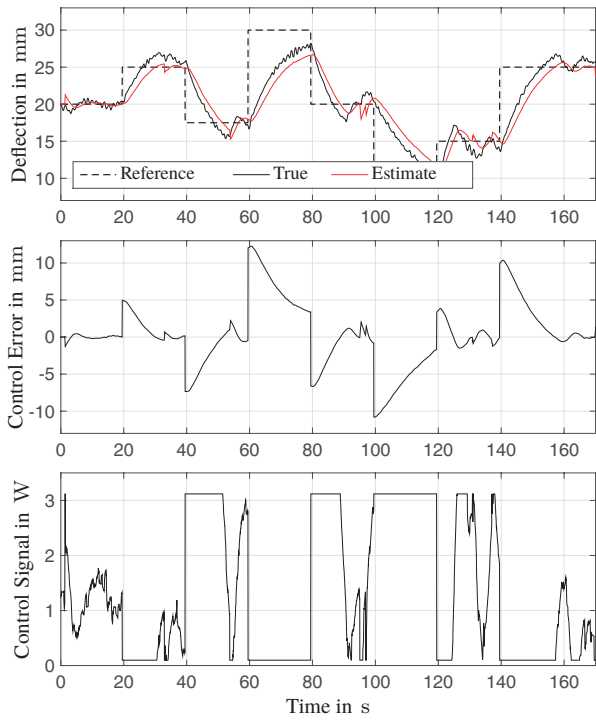
The RMSE and 95% confidence interval we achieved for estimation of deflection were sufficient for feedback control. From these results, we conclude that our measurement

model in (4) includes the most important effects. Still, tailoring the hardware to the range of inductance of this specific muscle would likely improve the measurements. In addition, we needed an averaging filter and a rather strong median filter to avoid spikes in the data. These artifacts should be taken care of in a new version of the hardware. Furthermore, in the measurement model we neglected the potential influence of the applied control signal and the influence of temperature. The former requires additional research, in combination with developments in hardware. The latter requires a measurement of temperature, for example via resistance, as in our previous work.<sup>23</sup>

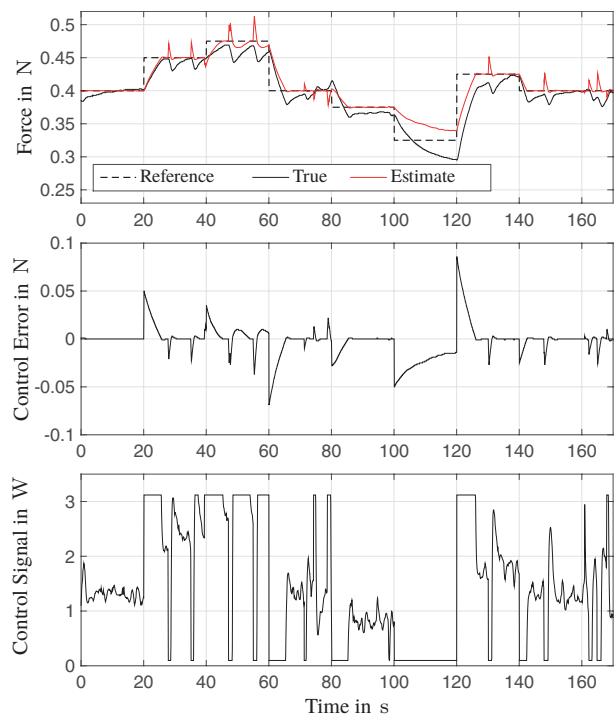
The presented implementation for force estimation also captures the most important effects, and allows for feedback control. However, it does need improvement of both precision and accuracy. The force estimates in Figure 7b and Figure 7d show underestimation at the bottom edge of the achievable force interval, when the control signal is at the lower saturation limit. This indicates that the experimental procedure to find the Joule-heating parameters might underestimate the contribution by convective cooling. Moreover, the peaks in deflection measurements propagate in the force estimate. This explains the peaks in Figure 7b. In additional future work, we aim to quantify the repeatability of the behavior of the muscles, both within and between muscles. We included a warming-up phase in the experimental protocol, to ensure repeatable behavior. The muscle seems to have a relaxation effect with a low time constant. Endurance tests will reveal this time constant. Subsequent modeling thereof allows for omission of the warming up.

Figure 7a and Figure 7b illustrate the response of the muscle to step inputs on the reference during respectively

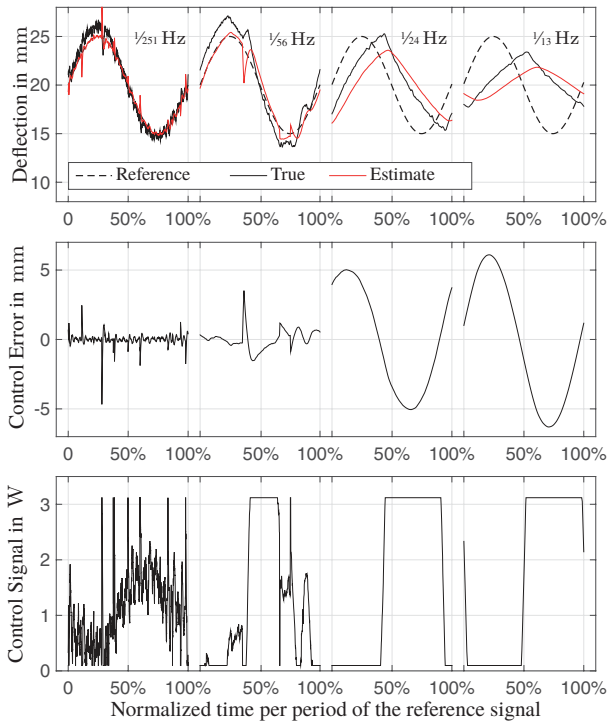




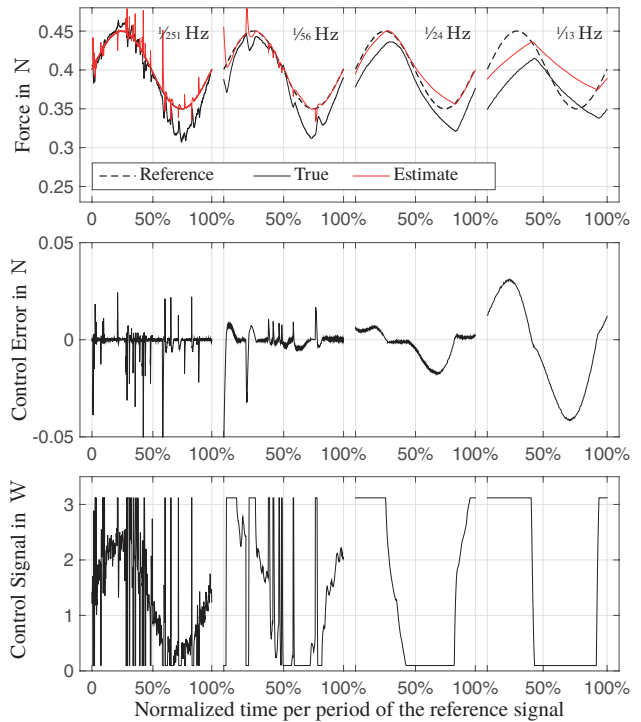
(a) Step response during deflection control.



(b) Step response during force control.



(c) Sine sweep during deflection control.



(d) Sine sweep during force control.

Figure 7: Time series data regarding the control experiment. The top figures show the step responses with respectively deflection control (a) and force control (b) over time. The bottom figures show four sample periods during the sine sweep with respectively deflection control (c) and force control (d). In all four figures, the black solid line indicates the true value, the red line indicates the estimate, and the dashed black line indicates the reference.

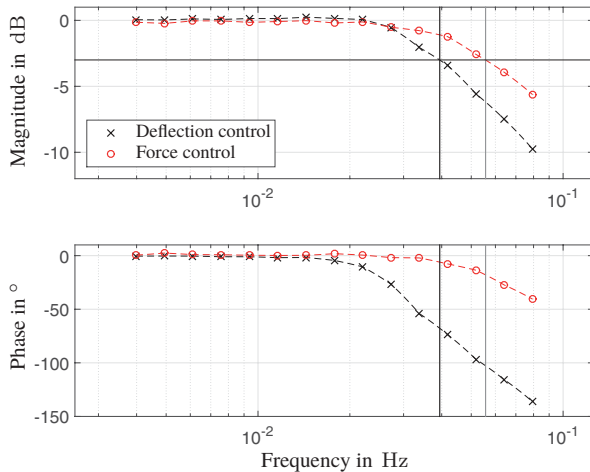


Figure 8: Frequency response data of the sine sweeps, with deflection control in black, and force control in red. The cross markers indicate the measured response. The dashed lines indicate the linear interpolation between these points. This shows that the  $-3$  dB point for deflection control lies at approximately  $1/18$  Hz, and for force control approximately at  $1/25$  Hz.

deflection and force control. The rise times vary from 2.1 s to 14.1 s, excluding outliers at 20 s. The control action gets saturated for the majority of the step responses.

Figure 8 shows a limited bandwidth, while a high bandwidth is beneficial for robotic applications. TCPMs inherently suffer from this issue, because in practice heating and cooling are slow processes. However, these actuators are suitable for tasks that do not require a high bandwidth. For example, in compliant structures they can slowly change the configuration or stiffness, or apply pre-tension. Furthermore, there are possibilities to increase the bandwidth reported in this study by optimizing material properties, the activation principle, muscle configurations and control methods. For example, we recommend to use smaller diameter fibers or a suitable configuration of several muscles, like an antagonistic setup.<sup>19,35</sup> In addition, we see opportunities for improving the implementation of the activation principle by expanding the control action space. For example, active cooling stimulates muscle expansion.<sup>36</sup> Changing the cooling medium from air to liquid improves the performance as well.<sup>17,35,37</sup> Moreover, when the application of the actuator is known, a feedforward signal could improve the control performance.

A drawback of the TCPM is the poor scalability when considering a single muscle. Using a structure of TCPMs to perform as one actuator increases the scalability and versatility.<sup>1,38</sup> However, closely packing the muscle might lead to interaction of actuation and sensing. In future work, we will investigate these potential disturbances for self-sensing and actuation in muscle structures, and methods to cope with those disturbances.

## Conclusion

In this study, we aimed at strengthening the position of TCPMs as a feasible actuator in inexpensive and lightweight control systems. To that end, we closed the feedback loop of a controlled TCPM via self-sensing. We estimated both the deflection and force, using the applied power and self-sensing measurements of deflection as input. Subsequently, this allowed us to control either deflection or force. We achieved a 95% confidence interval of 2.14 mm around a mean estimation error of  $-0.27$  mm and 29.0 mN around a mean estimation error of 7.5 mN, for respectively deflection and force. This work validated the used sensing model, and laid the foundation for further developments of research and hardware. It demonstrated the increase in potential of TCPMs to be the actuators in inexpensive and lightweight control systems.

## Acknowledgment

The authors would like to thank Michael Fritschi for sharing the hardware that enables self-sensing, and for deliberation on how to get the most out of it.

The authors would also like to thank Ron van Ostayen and Just Herder for their consultation regarding modeling and experiment design.

## Author Disclosure Statement

No competing interests exist.

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