

# CloudScope: Diagnosing and Managing Performance Interference in Multi-Tenant Clouds

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**Abstract**—Virtual machine consolidation is attractive in cloud computing platforms for several reasons including reduced infrastructure costs, lower energy consumption and ease of management. However, the interference between co-resident workloads caused by virtualization can violate the service level objectives (SLOs) that the cloud platform guarantees. Existing solutions to minimize interference between *virtual machines* (VMs) are mostly based on comprehensive micro-benchmarks or online training which makes them computationally intensive.

In this paper, we present CloudScope, a system for diagnosing interference for multi-tenant cloud systems in a lightweight way. CloudScope employs a discrete-time Markov Chain model for the online prediction of performance interference of co-resident VMs. It uses the results to optimally (re)assign VMs to physical machines and to optimize the hypervisor configuration, e.g. the CPU share it can use, for different workloads. We have implemented CloudScope on top of the Xen hypervisor and conducted experiments using a set of CPU, disk, and network intensive workloads and a real system (MapReduce). Our results show that CloudScope interference prediction achieves an average error of 9%. The interference-aware scheduler improves VM performance by up to 10% compared to the default scheduler. In addition, the hypervisor reconfiguration can improve network throughput by up to 30%.

## I. INTRODUCTION

The demand for cloud computing has been constantly increasing during recent years. Millions of servers are hosted and utilized in data centers every day and many organizations deploy their own, private cloud services to be able to better manage their own computing infrastructure [1]. By 2013, more than 75% of enterprise workloads were running on virtualized environments [2]. Virtualization enables cloud providers to efficiently allocate resources to tenants on demand and consolidate tenants' workloads to reduce operational cost.

Successful management of a cloud platform requires the optimal assignment of incoming *virtual machines* (VMs) or *guests* to available physical machines (PMs) or *hosts*. This scheduling problem is constrained by both the tenants' Service Level Objectives (SLOs) and the available resources. Co-resident VMs are desirable for the cloud provider as this means utilizing available resources more efficiently. However, the more VMs are consolidated on a single machine, the more instances compete for resources and the hypervisor capacity. As a result of this *interference*, guest systems may experience high performance variations which lead to unpredictable system behavior and SLO violations [3], [4] such as a drop in application throughput or an increase in the response time of a web service.

Recognizing this problem, researchers have developed many methods to identify and predict performance interference. This work can be categorized into two groups: (1) machine learning-based approaches [1], [4], [5], [6], [7], [8], [9], [10] and (2) queuing model-based approaches [11], [12], [13]. The first group uses sophisticated micro-benchmarks and online training to predict the performance interference of different applications. As prediction is based on historical data, adaptation to unknown workloads becomes difficult. Also, continuously updating the models is computationally expensive. The second group relies on unified queuing models and system attributes such as service and arrival rates which are usually difficult to obtain due to system complexity and varying workloads. In addition, these methods support only specific hardware configurations and existing applications. They do not provide a method which is general and efficient enough for complex cloud environments where applications change frequently.

In this paper, we consider this co-residency problem and present CloudScope, a system that diagnoses the bottlenecks of co-resident VMs and mitigates their interference based on a lightweight prediction model. The model is a discrete-time Markov Chain that predicts performance slowdown when compared to an environment without hypervisor overhead and resource contention, represented by a *virtualization-slowdown* (V-slowdown) factor. The key feature of CloudScope is its ability to efficiently characterize the performance degradation by probing the system behavior which includes both the behavior of the hypervisor layer and the hardware characteristics of different workloads. Current systems [1], [4], [6], [7], [9] do not explicitly consider these factors in an analytical model.

CloudScope's model parameters can be easily obtained via hypervisor profiling utilities such as *xentop*. As these values are by default reported from the hypervisor, no overhead is introduced. CloudScope employs its model to control guest instance placement and reduce SLO violations by minimizing interference effects. It also manipulates the hypervisor to achieve an optimal configuration by, for example, increasing the CPU share available to the hypervisor. Because of the practicality of this analytical model, adaptive hypervisor control and migration or consolidation of VMs becomes a lightweight and fast operation that does not require complex training or micro-benchmarking.

We implement CloudScope and evaluate its accuracy and effectiveness using a wide spectrum of workload scenarios including a set of CPU, disk, and network intensive benchmarks and a real workload using Hadoop MapReduce [14].

CloudScope’s interference prediction model can achieve a minimum prediction error of 4.8% and is less than 20% for all our test workloads. We illustrate the feasibility of CloudScope’s interference-aware VM scheduler by comparing it to the default scheduler of a CloudStack [15] deployment and achieve an overall performance improvement of up to 10%. In addition we show how CloudScope can be applied to self-adaptive hypervisor control to answer questions such as: which configurations can best serve the guests performance requirements? We make the following contributions:

- We introduce a lightweight analytical model solely based on available system parameters to predict the impact of co-residing VMs on performance.
- We combine both the behavior of the hypervisor and the specific hardware requirements of different workloads in our model for fast and accurate predictions.
- We implement an interference-aware scheduler for a CloudStack deployment and illustrate the effect of a self-adaptive Xen control domain.

The rest of the paper is organized as follows. Section II demonstrates the performance degradation caused by hypervisor overhead and resource contention using benchmarking experiments. We present CloudScope’s system design and its performance model in Section III and discuss details on interference handling in Section IV. The validation and experimental results are presented in Section V. Section VI compares our work with existing approaches and Section VII concludes the paper.

## II. PERFORMANCE INTERFERENCE IN PARAVIRTUALIZATION

Performance interference in multi-tenant data centers is well studied both in the context of prediction and measurement. Many benchmarking studies are devoted to understanding the performance of EC2 VM instances [1], [16], their network [3], [6], [17] and applications deployed in them [18], [19]. These studies found that virtualization and multi-tenancy are the major causes for resource contention as multiple VMs are placed on a single host. This leads to performance variation in EC2 instances. In this section, we show that these performance bottlenecks depend on different workload parameters and quantify the impact on the underlying system.

### A. Virtualization Background

The Xen hypervisor is widely used as the basis of many commercial and open source applications. It is also used in the largest clouds in production such as Amazon and Rackspace. We picked Xen as the basis for our model in this work as it is the only open source bare-metal hypervisor. Xen provides a spectrum of virtualization modes, where *paravirtualization* (PV) and *full virtualization* are the poles. The main difference between PV and full virtualization is that the PV guest kernel (the user domain or *DomU*) will issue *hypercalls*, which are direct calls to the hypervisor’s control domain (*Dom0*), instead of privileged system instructions. This relationship is detailed in Figure 1. For example, instead of issuing a system call to allocate memory address space for a process, the PV guest will make a hypercall to Xen, requesting the address space. PV

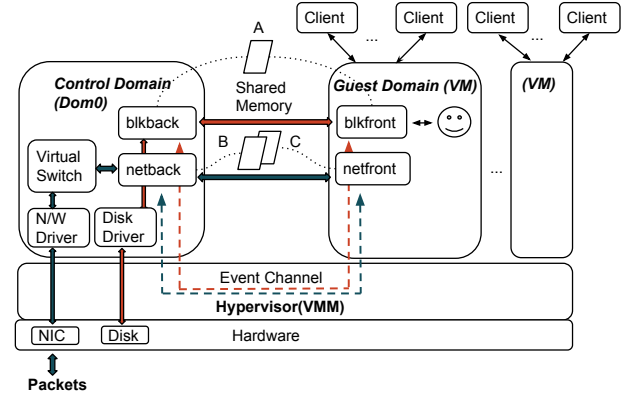


Figure 1. Xen hypervisor architecture with guest VMs

Table I. BENCHMARKING CONFIGURATION

PM	Two Intel 8-core 2.9 GHz (32 hyper-threading), 256 GB Memory
VM-CPU	4 vCPUs (1 GHz per vCPU), 2 GB Memory, 5 GB local storage
VM-disk	4 vCPUs (1 GHz per vCPU), 8 GB Memory, 32 GB local storage
VM-net	4 vCPUs (1 GHz per vCPU), 8 GB Memory, 1 vNic

is very efficient and lightweight and hence it is more widely adopted as a virtualization solution [3], [5] which is why in this work we focus on modeling Xen’s PV mode.

Although PV has significant performance benefits as demonstrated in the original Xen paper [20], the existence of an additional layer between the VM applications and the hardware introduces overhead. The overhead depends on the type of workload that is executed by the guest [21]. In general, CPU-intensive guest code runs close to 100% native speed, while I/O might take considerably longer due to the virtualization layer [21]. However, CPU oversubscription is common in cloud environments which also limits the performance of CPU-intensive jobs [22].

CPU performance is affected by the time slices allocated to the VMs which are based on a weight (the CPU share for each VM and the hypervisor), a cap (the maximum usage), and the amount of pending tasks for the physical processors [23], [24], [25]. Disk and network I/O suffer from overhead caused by moving data between the VM, shared memory, and the physical devices [17], [25]. As shown in Figure 1, Xen uses two daemon processes, *blkfront* and *blkback*, to pass I/O requests between *DomU* and *Dom0* via a shared memory page. Similarly, *netfront* and *netback* share two pages, one for passing packets coming from the network and the other for packets coming from *DomU*. Xen also places upper limits on the number of requests that can be placed in this shared memory which may result in delays due to blocking. This means that not only the latency increases but also that the bandwidth is reduced.

### B. Measuring the Effect of Performance Interference

To illustrate the problems resulting from the above described virtualization techniques, we measure the performance of CPU, disk, and network intensive applications sharing resources on one physical server. Table I gives the configurations for the PM and the VMs for each experiment.

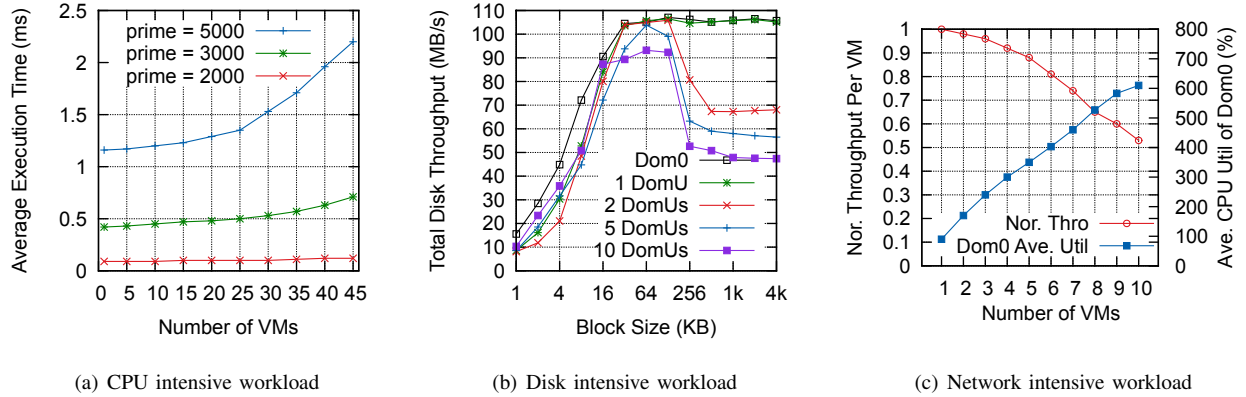


Figure 2. Co-resident VM performance measurements for (a) CPU, (b) disk and (c) network intensive workloads for revealing different system bottlenecks. Figure (a) shows the average execution time of executing prime 2000, 3000, and 5000. Figure (b) shows the total sequential read disk I/O throughput of Dom0 and 1, 2, 5, and 10 guest VMs with different block sizes. Figure (c) shows the normalized network throughput of one VM co-resident with another 1 to 9 VMs and the corresponding utilization of Dom0.

To generate a CPU intensive workload we use the `sysbench` [26] benchmark with 4 threads to generate CPU load for a single VM. We measure the mean utilization of the VM for different prime number calculations. For prime numbers up to 2000, 3000, and 5000 we see utilization of 20%, 50%, and 90% respectively. Figure 2(a) shows the mean completion time for the jobs while increasing the number of co-resident VMs from 1 to 45. We observe that the time for calculating prime numbers up to 2000 is stable until 35 VMs and then slightly increases. For prime numbers up to 3000 and 5000, we already observe an increase in completion time for 5 co-resident VMs with a steeper increase from 25 VMs on.

This behavior reflects the effects of (1) the high VM CPU load, (2) the Xen scheduling overhead and (3) CPU resource contention. Resource contention depends on the individual loads within each VM and the number of VMs running such loads. For example, when each VM runs prime 3000 it will produce an average utilization of 50% individually on each vCPU; thus we expect the physical machine to be saturated with 46 simultaneously running VMs:

$$VMs_{50} = \frac{32 \times 2.9 \text{ GHz}}{4 \times 1 \text{ GHz} \times 50\%} \approx 46$$

Hence, the results for prime 3000 in Figure 2(a) show the Xen scheduling overhead without CPU contention which can go as high as 1.7x for 45 VMs. We also observe that for prime 5000, the mean execution time is affected earlier as each VM produces 90% utilization. After 25 VMs, the increase in execution time then comes from both Xen scheduling overhead and resource contention.

For the disk intensive workload, we run the `fio` [27] benchmark on Dom0 and individual VMs. We perform sequential reads and vary the block size from 1 KB to 4 MB. Figure 2(b) details the total disk throughput of Dom0 and 1 to 10 DomUs processing requests to read a 5 GB file simultaneously. We can split the results into three phases:

(1) For small block sizes (1 KB to 16 KB), we observe a high load (80 to 90%) on Dom0 as it has to process a large number of requests in parallel. In this phase, the total

disk throughput is bounded by Dom0’s capacity. (2) After that (32 KB to 128 KB) the system is bound by the disk throughput. (3) Once the block size goes beyond 128 KB, the throughput drops for 2, 5, and 10 DomUs while the average utilization of Dom0 stays at 30 to 40%.

The grant memory contention explains the drop in throughput for the VMs from a block size of 256 KB. The size of a Xen grant table entry is 4 KB [23] and the maximum number of entries is limited to  $128^1$  [25], [24]. As a result, we have:  $256 \text{ KB} / 4 \text{ KB} = 64$  table entries and  $64 \times 2 = 128$ . When the block sizes of more than two VMs are larger than 256 KB, the grant table will be locked when there are too many concurrent disk requests which causes delays and decreases the total disk throughput significantly.

To produce a network intensive workload, we start 10 VMs with `iperf` [28] servers on the same physical machine. We then launch another 10 VMs as clients on other hosts in our local private cloud. All the VMs are connected via 10 Gbps links. Figure 2(c) shows the average normalized network throughput and the corresponding average utilization of Dom0. Throughput is normalized against the performance of when there is only one VM processing network requests.

The throughput of the VMs decreases and the mean CPU utilization of Dom0 increases with a larger number of co-resident VMs. The reason for the drop is a combination of the memory page locking for network requests (see Section II-A) and the scheduling and processing overhead of Dom0.

### III. CLOUDSCOPE SYSTEM DESIGN

Our benchmarking results demonstrate that VM interference can have a significant impact on performance. We now describe CloudScope, a system that predicts the effects of interference and reacts accordingly to prevent performance degradation. CloudScope runs within each host in the cloud system and is complementary to current resource management components (e.g. VMware Distributed Resource Sched-

<sup>1</sup>This is set in the Xen kernel via: `MAX_MAPTRACK_TO_GRANTS_RATIO` and `gnntab_max_nr_frames`

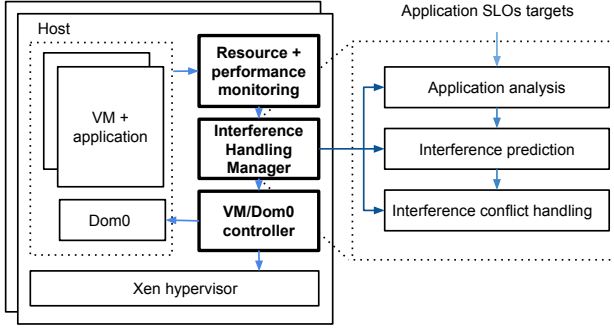


Figure 3. CloudScope system architecture

uler [29] or CloudStack resource manager [15]) that handle SLO violations, dynamic VM scaling and elastic server consolidation [30], [31]. CloudScope incorporates the VM application SLO monitor and evaluator developed in our previous work [19], [32]. Figure 3 illustrates the overall system architecture which consists of three main parts:

**Monitoring Component.** The Monitoring Component collects application and VM metrics at runtime. A daemon script reads the resource usage for Dom0 and every VM within Dom0 via `xentop`. The resource metrics include CPU utilization, memory consumption, disk I/O request statistics, network I/O, number of virtual CPUs (vCPUs), and number of virtual NICs (vNICs). External monitoring tools [19] are used to keep track of application SLOs in terms of application metrics such as response time, disk/network throughput, or job completion time. The resource and SLO profiling metrics are fed to the Interference Handling Manager.

**Interference Handling Manager.** The Interference Handling Manager is composed of three main modules. The *application analysis* module analyses the monitoring data from each VM and obtains the application metrics. The result is an initial *application loading vector* for each application VM. The *interference prediction* module incorporates an analytical model based on the *V-slowdown factor* (see Section III-B) that infers the expected application performance degradation from the profile of currently running guest domains and Dom0. The *interference conflict handling* module provides migration-based interference-aware scheduling and adaptive Dom0 reconfiguration.

**Dom0 Controller.** The Dom0 controller calls the corresponding APIs to trigger VM migration or Dom0 reconfiguration based on the prediction results and the SLO targets.

In the rest of this section, we will provide details about our interference prediction model.

#### A. Predicting Performance Interference

Section II showed that the performance of co-resident CPU, disk, and network intensive applications may decrease due to the paravirtualization protocol, the load of Dom0, and the number of VMs competing for resources. We can view an application as a sequence of micro job slices accessing different system resources. Each application can be characterized with a certain resource statistic using a *loading vector* [9], [33] that represents the proportion of the time that an application

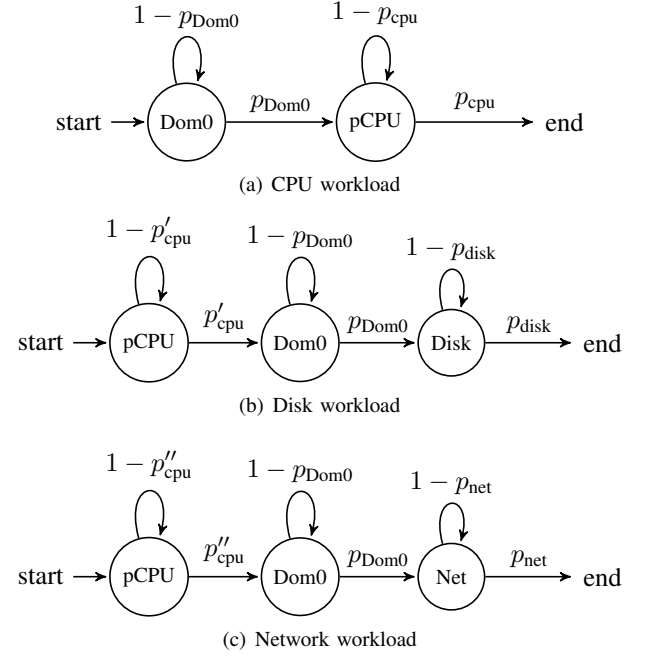


Figure 4. State transition diagrams for (a) CPU, (b) disk, and (c) network intensive workloads

spends on each resource. We define the *V-slowdown*  $\delta_j$  of a VM  $j$ , as the percentage of degradation in performance due to co-residency compared to no co-residency. We obtain the *V-slowdown* of an application VM by combining the slowdowns of each resource.

Consider multiple applications running in VMs  $1, \dots, n$  with CPU utilizations  $util_1, \dots, util_n$  on a single PM. A job is considered a sequence of job slices scheduled by the hypervisor to access the physical CPU, memory, disk and network resources. We represent the processing steps of a VM request within a PM as a discrete-time Markov Chain in which the states represent the hypervisor layer and physical resources: *Dom0*, *pCPU*, *Disk*, and *Net* as illustrated in Figure 4. In this model, we do not deal with memory as a resource as it is by default isolated and efficiently managed in Xen. Phenomenons such as memory ballooning or cache effects [35] are out of scope for this work.

Each Markov Chain in Figure 4 represents the processing steps of a specific workload. Note that one job slice can only be processed in one of the four states at any one time. A job moves from state to state based on a probability that depends on the current workloads within the system. In the following we calculate these probabilities.

1) *CPU workloads:* When a CPU job arrives (see Figure 4(a)), the CPU scheduler has to schedule the vCPU to a runnable queue of a pCPU (physical CPU).  $p_{Dom0}$  denotes the probability that in the next interval the job will be forwarded to a pCPU. Assume that the vCPUs of Dom0 are modeled by  $n$  M/G/1-PS queues, in which  $n$  represents the number of vCPUs and the PS (processor sharing) policy reflects the scheduling policy. In an M/G/1-PS queue, the average time spent in the

system by customers that have service time  $x$  is denoted by

$$T(x) = \frac{x}{C(1-\rho)}$$

where  $C$  is the capacity of the server [34] and  $\rho$  is the utilization of the server. As each job sees the same effective service capacity  $C(1-\rho)$ , the probability of leaving the Dom0 state, i.e., going to the pCPU state is:

$$p_{\text{Dom0}} = 1 - \rho_{\text{Dom0}} \quad (1)$$

where  $p_{\text{cpu}}$  represents the probability that a job completes service at a pCPU and leaves the state. The derivation of  $p_{\text{cpu}}$  is based on the delay caused by oversubscribed resources presented in Section II.

$$p_{\text{cpu}} = \begin{cases} 1 & \text{if CPU is not oversubscribed} \\ \frac{\sum_j p_{\text{CPU}_j}}{\sum_i \text{util}_i \times v_{\text{CPU}_i}} & \text{if CPU is oversubscribed} \end{cases} \quad (2)$$

where  $p_{\text{CPU}_j}$  denotes the capacity of the  $j^{\text{th}}$  physical CPU,  $v_{\text{CPU}_i}$  denotes the capacity of the  $i^{\text{th}}$  virtual CPU and  $\text{util}_i$  denotes the CPU utilization due to  $\text{VM}_i$ . If  $p_{\text{cpu}} < 1$ , then the CPU resources of the physical machine are oversubscribed; otherwise  $p_{\text{cpu}} = 1$ .

2) *I/O workloads*: Recall that the guest VM has a shared memory area with Dom0 for processing I/O requests. The Xen hypervisor also has an event channel for handling the interrupts from guest VMs. This channel goes through the hypervisor and has some latency associated with it. Note that we account for this delay in our Dom0 state. When one VM needs to perform I/O, it follows these steps (see also Figures 4(b) and 4(c)):

- 1) The VM creates an I/O request and places it in the shared memory area (grant table). This process is represented by state  $p_{\text{CPU}}$ .
- 2) The VM sends an interruption to Dom0 (state  $\text{Dom0}$ ) via a pre-established channel. Dom0 reads the shared memory and asks the hypervisor for access to the memory areas pointed to by this request.
- 3) Dom0 submits the request either to storage or the network (see state  $\text{Disk}$  and  $\text{Net}$ ). When the request completes, Dom0 places the response back in the shared memory, revokes its access to the memory areas pointed to by the request and sends a notification to the guest VM. The guest VM reads the response from the shared memory, clears the interruption channel and accepts the response as success or failure for that request.

Equation 3 abstracts the effect of the memory map locking delay, where  $\sum_i bs_i$  represents the total I/O sizes of all requests issued at the same time. When this number is larger than the maximum 128 entries  $\times$  4 KB, the memory page locks and updates itself; thus some of the requests have to be placed in the next interval.  $p'_{\text{cpu}}$  and  $p''_{\text{cpu}}$  represent the probability of a request successfully accessing the memory table and passing the request to Dom0 for disk and network requests respectively. They depend on  $p_{\text{cpu}}$  because performing I/O operations also consumes CPU cycles.

$$p'_{\text{cpu}} = p''_{\text{cpu}} = \begin{cases} 1 & \text{if } \lfloor \frac{\sum_i bs_i}{128 \times 4} \rfloor < 1 \\ \frac{1}{\lfloor \frac{\sum_i bs_i}{128 \times 4} \rfloor + 1} \times p_{\text{cpu}} & \text{if } \lfloor \frac{\sum_i bs_i}{128 \times 4} \rfloor \geq 1 \end{cases} \quad (3)$$

Note that calculation of  $p'_{\text{cpu}}$  and  $p''_{\text{cpu}}$  differ slightly as  $\sum_i bs_i$  depends on whether it relates to disk or network I/O. In the case of disk I/O, the total I/O size counts both read and write requests. However, we have to count the total I/O size of sending and receiving packets for network I/O separately because they use separate memory tables.

Disk requests are served in FIFO order and thus, the arrival queue length at any disk is equal to  $\frac{\rho}{1-\rho}$ , where  $\rho$  is the utilization of the server. If the queue length is smaller than 1, meaning there are no queued requests, then the probability of a job accessing the physical disk is  $p_{\text{disk}} = 1$ . Based on this, the probability of completing disk service is:

$$p_{\text{disk}} = \frac{1 - \rho_{\text{disk}}}{\rho_{\text{disk}}} \quad (4)$$

where  $\rho_{\text{disk}}$  represents the utilization of the disk channel. For block devices such as iSCSI, which are common in cloud environments,  $\rho_{\text{disk}}$  would be the utilization of the connection between the host and the iSCSI server. In our setup, we have 10 Gbps links between the server and the storage server which comprises multiple disk volumes. We found that in this case  $p_{\text{disk}}$  is usually close to 1.

The probability of network requests being served and leaving the system can be calculated as,

$$p_{\text{net}} = \begin{cases} 1 & \text{if pNIC is not oversubscribed} \\ \frac{\sum_j p_{\text{NIC}_j}}{\sum_i \text{util}_i \times v_{\text{NIC}_i}} & \text{if pNIC is oversubscribed} \end{cases} \quad (5)$$

where  $p_{\text{NIC}_j}$  denotes the capacity of the  $j^{\text{th}}$  physical network interface, while  $v_{\text{NIC}_i}$  denotes the capacities of the  $i^{\text{th}}$  virtual network interface.  $\text{util}_i$  denotes the network utilization due to  $\text{VM}_i$ . Note that we can easily obtain all these parameters, such as physical or virtual CPU utilization or network capacity, from hypervisor profiling utilities such as `xentop`.

## B. Virtualization Slowdown Factor

The states of the Markov Chains of Figure 4 represent a system of inter-related geometric distributions in sequence. Thus the mean time to absorption, i.e. the mean delay for each chain is:

$$\begin{aligned} E(K_{\text{cpu}}) &= \frac{1}{p_{\text{Dom0}}} + \frac{1}{p_{\text{cpu}}} \\ E(K_{\text{disk}}) &= \frac{1}{p_{\text{Dom0}}} + \frac{1}{p'_{\text{cpu}}} + \frac{1}{p_{\text{disk}}} \\ E(K_{\text{net}}) &= \frac{1}{p_{\text{Dom0}}} + \frac{1}{p''_{\text{cpu}}} + \frac{1}{p_{\text{net}}} \end{aligned}$$

$E(K_{\text{cpu}})$ ,  $E(K_{\text{disk}})$  and  $E(K_{\text{net}})$  represent the mean time that a VM request will take to complete execution on the CPU, disk or network given a certain workload on the virtualized system. We define  $E'(K_{\text{cpu}})$ ,  $E'(K_{\text{disk}})$  and  $E'(K_{\text{net}})$  as the expected execution time for a VM running alone or running



with other VMs in an environment with unsaturated resources, i.e., when  $p_{\text{Dom0}}$ ,  $p_{\text{cpu}}$ ,  $p'_{\text{cpu}}$ ,  $p''_{\text{cpu}}$ ,  $p_{\text{disk}}$ , and  $p_{\text{net}}$  are equal to 1.

Thus, the virtualization slowdown for each resource given a current workload on the system is:

$$\begin{aligned}\gamma_{\text{cpu}} &= \frac{E(K_{\text{cpu}})}{E'(K_{\text{cpu}})} \\ \gamma_{\text{disk}} &= \frac{E(K_{\text{disk}})}{E'(K_{\text{disk}})} \\ \gamma_{\text{net}} &= \frac{E(K_{\text{net}})}{E'(K_{\text{net}})}\end{aligned}$$

An application needs a certain proportion of CPU, disk, and network resources to run a job. For example, a file compression job might spend 58% of the total execution time on CPU and 42% on disk I/O. Without any other system bottleneck or competing job, the vector  $\beta_{i,j}$  represents an application's resource usage profile, referred to as the loading vector [9], [33], [35].

$$\beta_{i,j} = \frac{\text{the time of job } j \text{ spent on resource } i}{\text{the total completion time}} \quad (6)$$

Therefore, the virtualization slowdown  $\delta$  of an application/VM when co-located with other VMs on a system with a known current workload is:

$$\delta_j = \sum_i \gamma_i \times \beta_{i,j} \quad (7)$$

where  $j$  denotes a particular application VM and  $i$  represents different types of resources.  $\delta_j$  allows us to evaluate how much performance slowdown one application VM might experience if co-resident with  $n - 1$  VMs.

#### IV. INTERFERENCE CONFLICT HANDLING

CloudScope can answer several key questions that arise when trying to improve VM scheduling and consolidation in cloud environments. For example: (1) among multiple VM placements which physical machine can best satisfy the required SLO; (2) what should be the right degree of VM consolidation in terms of the utilization-performance trade-off; (3) can the hypervisor be self-adaptive without having to reboot to improve the performance of applications? In this section, we illustrate how CloudScope is able to provide insight for answering these questions.

##### A. Dynamic Interference-aware Scheduling

Workload consolidation increases server utilization and reduces overall energy consumption but might result in undesirable performance degradation. By default, all newly created VMs are assigned to a PM by a load balancing scheduler that is generally based on a heuristic such as bin packing.

CloudScope currently decides whether to trigger migration by comparing the V-slowdown factor among all potential PMs, and migrates VMs to the PM with the smallest V-slowdown factor as shown in Algorithm 1. The algorithm greedily finds the most suitable PM for each VM by picking the PM with the smallest slowdown when assigned the new VM. It requires the loading vectors from each VM as input. Previous work has shown how to obtain these [9], [33], [35]. In our experiments

(see Section V-D) we acquire the loading vectors online from running monitoring tools (such as `top`) inside each VM. This allows us to continuously update and refine migration and consolidation decisions without prior knowledge of the applications.

The time complexity of Algorithm 1 is the product of the number of targeted VMs and PMs,  $O(mn)$ . However, the V-slowdown model runs simultaneously across the Dom0 of each PM, so in practice the time complexity is linear in the number of targeted VMs.

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#### Algorithm 1 Interference-aware Scheduling Algorithm

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**Data:** Targeted  $VM_j$ , where  $j \in 1, \dots, n$ ;  
Resource pool consist of  $PM_k$ , where  $k \in 1, \dots, m$ ;  
Obtain the workload factor  $\beta_{i,j}$  for each task within  $VM_j$ ;  
 $Model$  is the V-slowdown interference prediction model.

**Result:**  $VM_j$  to  $PM_k$  assignments

```

1: for  $j = 1$  to  $n$  do
2:   for  $k = 1$  to  $m$  do
3:      $\delta_j = \text{Predict}(\beta_{i,j}, PM_k, Model)$ ;
4:   end for
5: end for
6:  $PM_{\text{candidate}} = \min_j(\delta_j)$ ;
7:  $\text{Assign}(VM_j, PM_{\text{candidate}})$ ;

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##### B. Local Interference Handling

In some cases, CloudScope will not migrate the application VM but instead resolve the problem locally using Dom0 re-configuration. This prevents the application from experiencing a period of high SLO violations and the destination PM from experiencing increased utilization in Dom0 due to migration.

CloudScope allows adaptive Dom0 configuration to transfer unused resources for better performance without affecting application SLOs. For example, in Figure 2(c), 8 vCPUs are given to Dom0. These are needed in order for Dom0 to sustain the workload and fully utilize the hardware resource for the current guests. The same effect can be achieved by changing the CPU weight and cap of Dom0. For example, a domain with a weight of 512 will get twice as much CPU as a domain with a weight of 256 on a contended host. The cap fixes the maximum amount of CPUs a domain can consume. With different weights and caps in the system, we can modify the models by setting:

$$p_{\text{Dom0}} = (1 - \rho) * \frac{w_{\text{Dom0}}}{\sum_i \frac{w_i}{n}} \quad (8)$$

where  $w_{\text{Dom0}}$  and  $w_i$  represent the weight of Dom0 and each guest VM respectively, and  $n$  is the number of guest VMs. We assume that the SLOs are provided to CloudScope by the users or cloud providers. Therefore, when a violation of an SLO is detected and the current host does not have a full CPU utilization, which in our model means  $p_{\text{cpu}} = 1$  and  $\sum_j p_{\text{CPU}_j} > \sum_i \text{util}_i \times v_{\text{CPU}_i}$ , CloudScope will derive the Dom0 CPU weight needed by Equation 8. This will change the attributes of file `/boot/extlinux.conf` in Dom0 triggering a hot reconfiguration without rebooting. In Section V-E, we illustrate the effect of modifying the attributes of Dom0 on-the-fly.

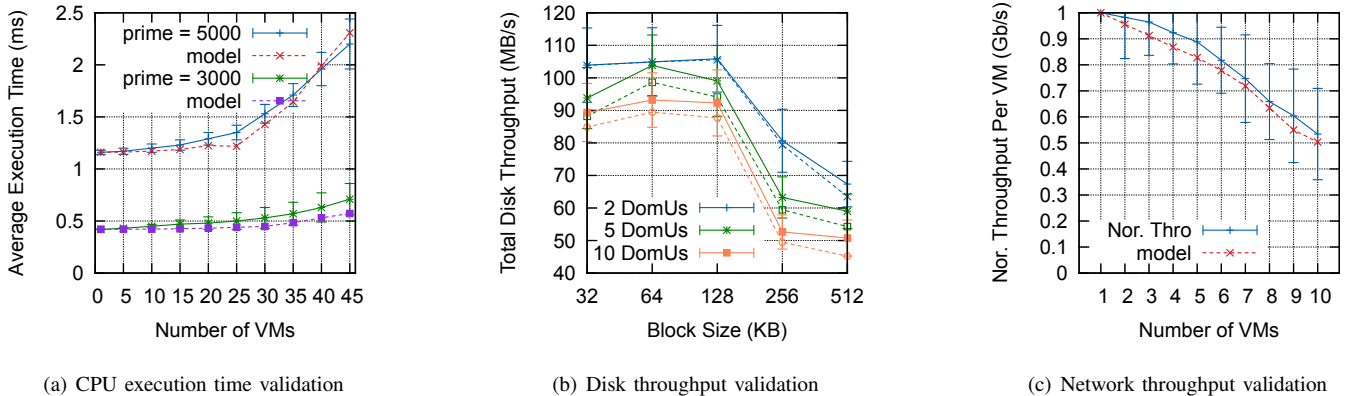


Figure 5. Interference prediction model validation for (a) CPU, (b) disk, and (c) network intensive workloads. Figure (a) shows the validation results for average execution time, executing prime 3000 and 5000. Figure (b) shows the validation results for the total disk I/O throughput of 2, 5, and 10 DomUs with different block sizes. Model results are shown in the same color but as dashed lines. Figure (c) shows the validation results of the normalized throughput of one VM co-resident with another 1 to 9 VMs.

## V. EVALUATION

In this section, we evaluate a variety of workloads to validate the proposed model. We use (1) the single workloads for CPU, disk, and network as presented in Section II, (2) a synthetic workload in which we combine the three, and (3) a realistic workload which consists of Hadoop MapReduce jobs. The results in this section show that CloudScope is able to accurately capture the performance degradation and the interference of VMs caused by Xen virtualization. The validation experiments are run with the same hardware configurations as introduced in Table I of Section II with the results averaged over 30 runs for each experiment.

### A. Experimental Setup

To ensure that our benchmarking experiments are reproducible, we provide the configuration for the Xen hypervisor used in our experiments. All the experiments are running on XenServer 6.2.0 (Clearwater)<sup>2</sup> with the following configurations: (1) we fine-tune Dom0's vCPU to pCPU affinity before the domains boot. The reason for this is that the vCPU will run on specific NUMA nodes and try to allocate memory closer to it, so it helps Dom0 to deliver better performance; (2) we turn off power saving mode to avoid Xen adjusting the CPU clock rate dynamically<sup>3</sup> (3) we enable hyper-threading on the test machine as the Xen and VMware hypervisors perform better with hyper-threading [7] and to emulate Amazon EC2 in which VM instances run on hyper-threaded CPUs. The system used to collect the performance data from our benchmarks is similar to the testbed setup in [32].

For disk I/O, before running the actual experiments, we create a large file on each VM to completely fill the file system and then delete this file. This ensures that the virtual hard disk is fully populated and performance metrics will be correct. We also run `iostat` to make sure that all the virtual block devices are active.

### B. CPU, Disk, and Network Intensive Workloads

First we present the prediction results for the scenarios in which the VMs are running CPU, disk, or network intensive workloads generated by `sysbench`, `fiio`, and `iperf`, respectively (equal to Section II). We validate our model against system measurements presented in Figure 5. Figure 5(a) shows the validation results of the average execution time of prime 3000 and prime 5000 workloads with an increasing number of VMs. The mean model prediction error is 3.8% for prime 5000 and 10.5% for prime 3000. From 1 to 25 VMs, the model deviates from the measurement data but the results are still within standard deviation of the measurements. In particular, the model underestimates execution time for the prime 3000 workload, as the performance is affected by hyper-threading overhead which is not considered in the model.

The disk intensive workload validation results are shown in Figure 5(b). The dashed lines represent the model predictions. The total throughput of 2, 5, and 10 VMs running sequential read workloads with varying block sizes from 32 to 512 KB is predicted with a mean error of 7.4%. The drops at 256 KB block size in all three scenarios are precisely captured. We only present block sizes from 32 to 512 KB for the disk I/O validation. Firstly because, as shown in Figure 2(b), block sizes from 1 to 32 KB are dominated by the hypervisor overhead caused by the performance differences between non-virtualized and virtualized domains but our model focuses on the performance interference caused by virtualization within a multi-tenant environment. Secondly, for block sizes larger than 512 KB, our model does not account for the processing of memory page locking and updating. Hence, the model cannot accurately capture the performance outside of those boundaries.

Figure 5(c) represents the normalized network throughput validation with 1 to 9 VMs running `iperf`. The mean prediction error is 4.8%. The model follows the measurements closely and can reflect the effect of intensive Dom0 overhead and sharing network resources with other VMs.

<sup>2</sup><http://support.citrix.com/article/CTX137826>

<sup>3</sup><http://blogs.citrix.com/2012/06/23/xenserver-scalability-performance-tuning/>

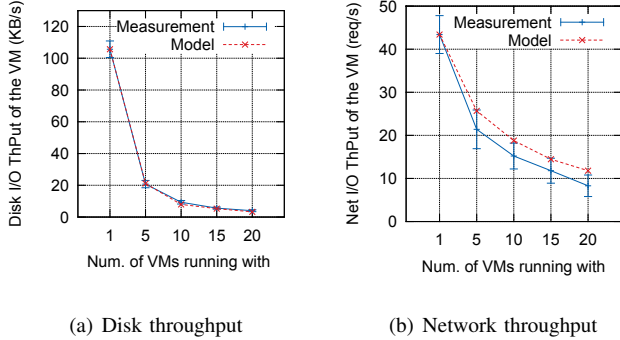


Figure 6. Interference prediction model validation of mixed workload. Figure (a) and (b) show the disk and network throughput of a targeted VM collocated with 5, 10, 15, and 20 VMs

### C. Mixed Workload

Next, we apply our model to a workload consisting of a mix of disk I/O and network intensive jobs in combination with a moderate CPU workload running together within each VM. The VMs were configured with 4 vCPUs with 1 GHz per vCPU, 8 GB memory, 32 GB storage, and 1 vNIC. We refer to the network intensive workload as *std-net*. It comprises a set of HTTP requests over the network to the VM which runs an HTTP server. `httperf` was deployed to generate the HTTP client workload with clients distributed across the hosts in our private departmental cloud. The disk intensive workload is referred to as *std-disk* which is a sequential read of 1 GB data with block size 64 KB and without buffer access. The CPU workload is referred to as *std-cpu* which is a `sysbench` prime 2000 workload.

We predict the virtualization slowdown of a VM running a mixed workload when co-resident with 5, 10, 15, and 20 VMs running the same mixed workloads. Figure 6 shows the prediction of the model for the disk and network throughput for a target VM as the number of co-resident VMs increases. The *std-cpu* workload (not shown) did not incur obvious degradation resulting from co-residency (also predicted by the model). The average relative prediction error is less than 8.3% and 18.4% for disk and network throughput respectively. The prediction error of network throughput is higher than disk throughput likely due to other cloud network traffic between our client and server VMs.

### D. MapReduce Workload

In this section we investigate the accuracy of our interference prediction model based on a real workload. We deploy Apache Hadoop Yarn [14] on 4 VMs (4 2.9 GHz vCPUs, 8 GB memory, and 40 GB local disk) co-located within the same physical machine with one master node and three workers. We use Apache Pig [36] to run a subset of the queries from the TPC-H benchmark [37] on a 10 GB dataset with varying complexities. Some of the queries need several consecutive MapReduce jobs to be processed. We run the first four TPC-H queries for 30 times both alone and with another 20 VMs running prime 3000 and 5000. For space reasons, we do not list all the MapReduce jobs involved during a query but rather pick individual jobs from each query in a way that we cover a

Table II. SPECIFICATIONS FOR EXPERIMENTAL ENVIRONMENT

PMs	13 Dell PowerEdge C6220 & 8 Dell PowerEdge C6220-II
VMs	250 to 270 other VMs running during the experiments
Shared Storage	NetApp + Cumulus 04 (ZFS) shared storage (iSCSI)
Network	10Gbps Ethernet. 10Gbps switch
IaaS	Apache Cloudstack v4.1.1
Hypervisor	XenServer 6.2.0

broad spectrum of different numbers of map and reduce tasks. Each job has  $M$  mappers and  $R$  reducers, written as  $M:R$ .

Figure 7 presents the average performance of 1:1, 8:1, 10:2, and 58:8 jobs running alone and co-located with the other 20 VMs running either prime 3000 or 5000. The model predictions are compared to measurements. Map and reduce tasks were validated separately because they have different proportions of resource usage. The mean relative error for map and reduce tasks is 10.4% and 11.9%, respectively. The mean relative errors for each 1:1, 8:1, 10:2 and 58:8 job are 14.3%, 6.7%, 8.3% and 14.8%.

The evaluation shows that in this case study, our model is able to achieve a prediction error less than 20% across all workloads. In the following, we use our model to enhance VM performance by implementing an interference-aware scheduling approach and an adaptive Dom0.

### E. Interference-aware Scheduling

To evaluate CloudScope’s interference-aware scheduling mechanism, we compare it to the default CloudStack VM scheduler [15]. Using the experimental setup described in Table II, we utilize our private cloud consisting of 21 physical machines running Apache CloudStack. At the time of our experiments, the cloud was open to other users and was running between 250 to 270 other VMs with unknown workloads. We prepare the 34 VMs with the following workloads: 10 VMs running *std-cpu*, 10 VMs running *std-disk*, and 10 VMs running *std-net* and another 4 VMs running Hadoop as configured in the previous section.

We launched these 34 VMs using both the default CloudStack VM scheduler and our CloudScope scheduler and measured the average execution time, throughput, and Map/Reduce job completion times for all VMs and repeated this experiment 3 times. Figure 8 details the average performance improvement of each type of VM when scheduled with CloudScope in comparison to the default CloudStack scheduler. The error bars show the standard deviation across all VMs for a single workload and all runs.

The *std-disk* and *std-net* VMs show a performance improvement of 10% when scheduled with CloudScope. The *std-cpu* and Hadoop VMs show an improvement of 5.6% and 2.1%, respectively. Because of the grant memory management (see Section II-B), the I/O intensive VMs are more sensitive to resource contention and hence, the CloudScope scheduler achieves larger improvements in these cases.

### F. Adaptive Dom0

We also use CloudScope to implement an adaptive Dom0 which is able to its configuration parameters at runtime. We run the *std-net* workload using VM-net VMs (see Table I) using the same PM. 10 VMs running `iperf` server were



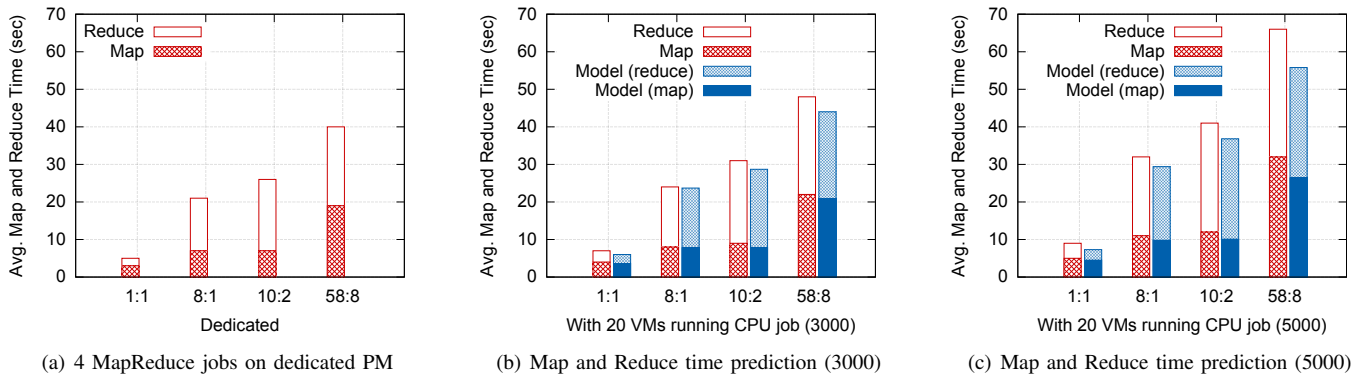


Figure 7. Interference prediction model validation for different Hadoop workloads with different numbers of mappers and reducers. For example 1:1 represents 1 mapper and 1 reducer. Figure (a) shows each Hadoop job running dedicated within one PM. Figure (b) and (c) show the validation results of each Hadoop job with 20 co-resident VMs executing prime 3000 and 5000, respectively.

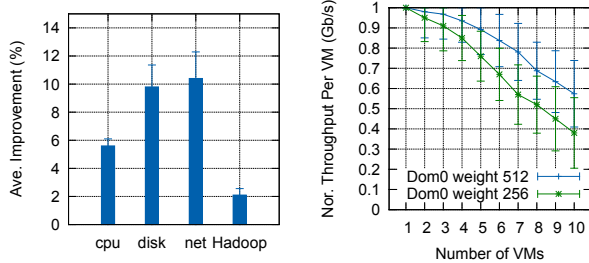


Figure 8. CloudScope scheduler results compared to the default vCPU CloudStack scheduler. Figure 9. CloudScope self-adaptive Dom0 with different vCPU weights.

Table III. RELATED VIRTUALIZATION INTERFERENCE WORK

Approaches	Application	Techniques	Avg. Err.
TRACON [4]	Data-intensive	Model training & Nonlinear	19%
CloudScale [38]	CPU contention	Linear	14%
Paragon [1]	CPU or IO-bound	Microbenchmark & ML	5.3%
Cuanta [35]	Cache, memory	Cache pressure clone	4%
Q-Clouds [5]	CPU contention	Nonlinear	13%
CloudScope	Any application	No microbenchmark & linear	9%

launched on the PM. Dom0 was configured with 4 vCPUs with weight 256 and a cap of 400. CloudScope could recognize that Dom0 suffered heavy network I/O workload while handling the network traffic. CloudScope obtained the weight needed by Equation 7 and 8, calculating a new weight of  $> 425$ . Changing the weight of Dom0 to 512 provides an average performance gain of 28.8% (see Figure 9).

The two presented simple use cases demonstrate that our model can be successfully applied to improve VM performance. We believe that more sophisticated scheduling and reconfiguration approaches can also benefit from CloudScope.

## VI. RELATED WORK

CloudScope is lightweight and provides good accuracy in comparison to previous work. Table III provides a comparison of CloudScope to similar work in the literature. We discuss related work in three major parts.

*Hypervisor overhead benchmarking.* Cherkasova et al. [23] analyzed the impact of different Xen schedulers on appli-

cation performance and discussed challenges in estimating application resource requirements in virtualized environments. Shea et al. [17] studied the performance degradation and variation for TCP and UDP traffic, then provided a hypervisor reconfiguration solution to enhance the performance. Pu et al. [25] presented experimental research on performance interference on CPU and network intensive workloads on the Xen hypervisor and reached the conclusion that identifying the impact of exchanged memory pages is important to the in-depth understanding of interference costs in Dom0. Our work makes similar findings but then uses those to guide our analytical model.

*Performance interference modeling.* Most work on performance interference modeling is based on machine learning or heuristic methods, which are either computationally intensive (in the former case) or less accurate (in the latter case) compared to analytical solutions. Nathuji et al. [5] used online feedback to build a multiple-input multiple-output model that captures performance interference interaction. Caglar et al. [7] proposed a machine learning-based technique to classify the VMs based on their historic mean CPU and memory usage and extracted the patterns that provided the lowest performance interference while still allowing resource overbooking. Kraft et al. [13], [12] proposed a simple model to predict the performance degradation of disk requests due to storage device contention in consolidated virtualized environments. Lim et al. [33] proposed a dilation-factor, similar to the virtualization slowdown proposed in this paper, to model application slowdown in multi-resource shared systems. Govindan et al. [35] proposed a technique for predicting performance interference due to a shared processor cache.

*VM scheduling and consolidation.* Roytman et al. [6] proposed a polynomial time algorithm which yields a solution close to the optimal to determine the best suited VM combination. Chiang et al. [4] presented the TRACON system, a task and resource allocation control framework that mitigates the interference effects from concurrent data-intensive applications and improves their performance. Kim et al. [8] presented a VM consolidation method based on the idea that a highly interference-intensive VM should be co-located with less interference-sensitive ones.

By contrast to the above, CloudScope estimates the per-

formance slowdown of VMs using an analytical model that includes hypervisor overhead and resource contention. The method is simple, does not require pre-training and is applicable to any application. In addition, the models can adapt to future changes within the Xen architecture, for example, when decentralizing the Dom0 to multiple, specialized domains.

## VII. CONCLUSION

In this paper, we have presented CloudScope, a comprehensive system to predict resource interference in virtualized environments, and used the prediction to optimize the operation of a cloud environment. CloudScope incorporates a lightweight analytical model for the prediction of performance degradation. To this end, we have characterized and analyzed different workload behaviors by benchmarking CPU, disk, and network intensive workloads. CloudScope predicts the completion time of jobs by using virtualization-slowdown factor that incorporates the effect of hypervisor overhead and resource contention. Evaluation shows that CloudScope can achieve an average relative error of 6.1% for single resource intensive workloads, 13.4% for mixed resource workloads, and 11.2% for MapReduce workloads. CloudScope provides an efficient interference-aware VM scheduling mechanism which can improve job completion time on average by 7.5%. For future work, we plan to apply CloudScope to other hypervisors and more sophisticated scheduling and consolidation scenarios.

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