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4 **CO₂ Reduction to CO with 19 % Efficiency in a Solar-Driven Gas Diffusion**
5 **Electrode Flow Cell under Outdoor Solar Illumination**
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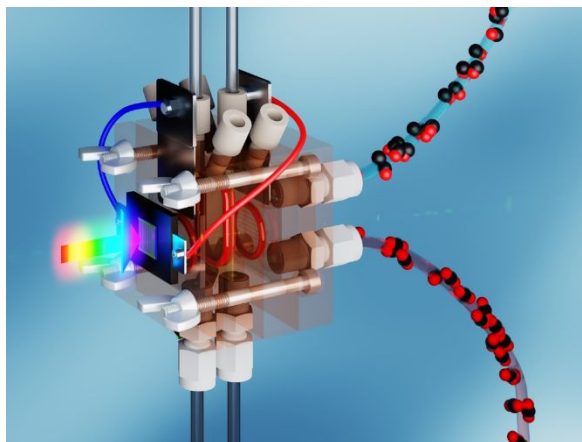
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TOC

**Abstract:**

Solar-driven reduction of carbon dioxide represents a carbon neutral pathway for the synthesis of fuels and chemicals. We report here results for solar-driven CO₂ reduction using a gas diffusion electrode (GDE) directly powered by a photovoltaic cell. A GaInP/GaInAs/Ge triple junction photovoltaic cell was used to power a *reverse-assembled* gas diffusion electrode employing a Ag nanoparticle catalyst layer. The device had a solar-to-CO energy conversion efficiency of 19.1 % under simulated AM 1.5G illumination at 1 Sun. The use of a *reverse-assembled* GDE prevented transition from a wetted to a flooded catalyst bed and allowed the device to operate stably for >150 h with no loss in efficiency. Outdoor measurements were performed under ambient solar illumination in Pasadena, CA, resulting in a peak solar-to-CO efficiency 18.7 % with a CO production rate of 47 mg·cm⁻² per day and a diurnal-averaged solar to fuel conversion efficiency of 5.8 %.

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Solar photovoltaic and wind energy conversion are rapidly growing sources of low-carbon electric power.¹ However, intermittency of the solar and wind resources over wide time scales ranging from minutes to months means solar electricity is not a dispatchable power source. Thus, efficient and inexpensive approaches for energy storage are needed for wide penetration of renewable energy into the power grid.^{2,3} While electrical energy storage in batteries may be important for short-term storage and grid power management, seasonal energy storage is unlikely to rely on batteries. Transformation of solar energy into chemical bonds provides a long-term energy storage strategy that opens a path for the synthesis of fuels, and chemicals.⁴ One approach to chemical energy storage is via solar-driven hydrogen generation, where i) photovoltaics supply carbon free electricity to the grid that is used to generate H₂ by water electrolysis at high current densities;⁵ ii) photovoltaics are used to directly drive electrolysis at low current densities,⁶ or iii) an integrated photoelectrochemical device that performs unassisted direct water splitting to form H₂.^{7,8} Parallel to solar hydrogen generation approaches, pathways for solar-driven reduction of carbon dioxide to fuels have used i) direct electrolysis,⁹ ii) photovoltaic directly driven electrolysis¹⁰ and iii) integrated photoelectrochemical conversion.^{11,12} Of particular interest is solar-driven reduction of carbon dioxide using a high efficiency photovoltaic (PV) device directly coupled to an electrochemical cell tailored for reduction of CO₂ to CO.^{13,14} Mixtures of solar-generated CO and H₂,¹⁵ could be used as syngas precursors in a future Fischer-Tropsch chemical synthesis process¹⁶ to produce high molecular weight hydrocarbon fuels, or chemicals as products.¹⁷ Carbon dioxide reduction to CO is generally more energy efficient and kinetically easier than direct reduction of CO₂ to multicarbon products.^{14,18,19}

Among the most efficient heterogeneous solid state catalysts for CO₂ reduction to CO are gold,^{20,21} silver,²² WSe₂,²³ and MoS₂.²⁴ The use of high surface area morphology structures such as nanoparticles can improve catalytic activity.²⁵ Other factors that impact catalytic performance include catalyst morphology,²⁰ cations present in the electrolyte solution,²⁶ electrolyte concentration²⁷ and local pH.²⁸ The state-of-the-art CO₂ to CO conversion using a Au needle catalyst²⁷ showed an operating current of 15 mA·cm⁻² and 95 % Faradic efficiency at -0.35 V vs. RHE. However, the current record efficiency device for solar conversion of CO₂ to CO using a solution based electrochemical cell suffered from low current density (0.33 mA·cm⁻² at -0.6 V vs. RHE) due to limited catalyst activity. This required the use of large area electrodes to match the

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3 photovoltaic device area.¹⁰ Table S1 shows overpotential and Faradic efficiency data at current
4 densities close to 15 mA·cm⁻² along with the electrolyte conditions and catalyst loading for
5 various Ag and Au electrodes. The catalytic activities of the catalysts shown in Table S1
6 indicate that in many cases nanoparticles of Ag have a similar activity to that of Au while
7 costing significantly less.
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12 Bulk aqueous electrolyte cells can exhibit high catalyst overpotentials due to the limited
13 solubility of CO₂ (33.4 mM) in the electrolyte, a limited pH operating range of ~6 - 10, and slow
14 ionic transport in the solution. In contrast, gas diffusion electrode (GDE) assemblies do not
15 suffer these same restrictions.²⁹⁻³⁵ In a GDE using 1 atm CO₂ vapor, CO₂ is transported in the
16 vapor phase and reacts at a thin (<100 nm) solid-liquid-gas phase interface. In this configuration
17 liquid state concentration and diffusion do not limit the conversion rate, resulting in lower
18 overpotentials and higher current densities for CO₂ reduction.³⁰ Simulations have also shown that
19 a cell using a thin (10 nm) layer of electrolyte on the catalysts (wetted catalyst) outperform cells
20 with either a completely dry or a completely flooded catalyst configuration.³⁶ These insights
21 have led to the development of gas diffusion electrodes,³⁷ and membrane electrode assemblies
22 (MEA)³⁸ with a humidified gas supply to facilitate ion conduction and water balance.
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32 Although membrane electrode assemblies systems are more scalable, they often suffer
33 from short-term stability due to salt precipitation or membrane dehydration at high current
34 densities.³⁹ Hence, we chose to work with an aqueous GDE cell configuration. In this work, we
35 employ a triple junction photovoltaic (PV) device directly coupled with a gas diffusion electrode
36 (GDE) as the first demonstration of an electrolyte flow type PV-GDE reactor, that provides both
37 high selectivity and long-term stability. For a directly driven PV-GDE system, the power
38 generated by the PV is directly supplied to the GDE. In our device, the areas of the PV photo-
39 absorber (A_{PV}) and GDE (A_{GDE}) were both 0.31 cm². To match the lower current density of the
40 PV cell with the operating conditions of the anode, a relatively low catalyst loading of GDE was
41 chosen. A Ag nanoparticle catalyst was used owing to its relatively high activity and relatively
42 low cost, Table S1.
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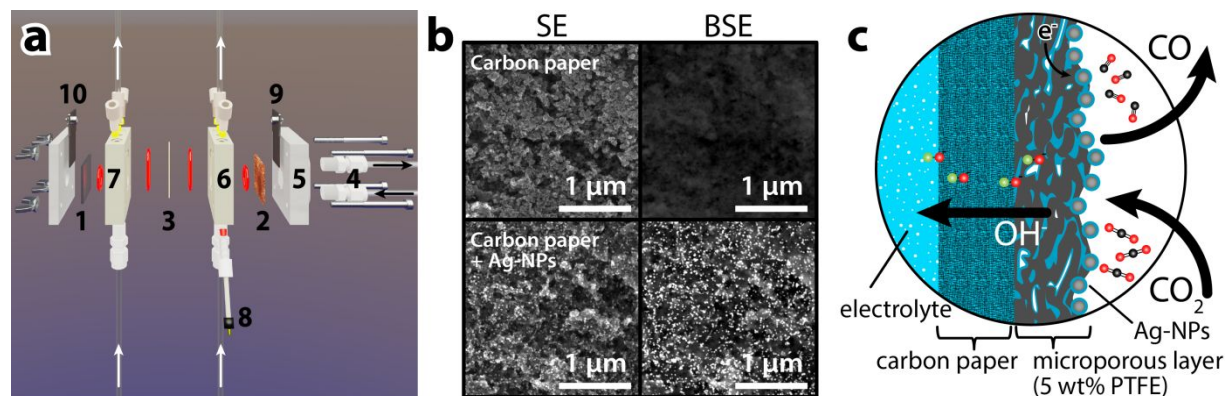


Figure 1 Gas diffusion electrode with Ag-NP catalyst. **a** Cell configuration composed of 1 NiO_x or Pt anode, 2 Ag-NPs on Sigracet 29BC carbon paper cathode, 3 anion exchange membrane, 4 CO₂ gas inlet and CO/CO₂ outlet, 5 Acrylic backplate, 6 catholyte chamber, 7 anolyte chamber, 8 reference electrode, 9 GDE (cathode) power connector, 10 anode power connector. Black arrows indicate the gas flow, and white arrows indicate the electrolyte flow. Note that the backplate, 5, is designed to use an interdigitated wire electrode flow field to enhance the interaction between gas and catalysts and improve CO₂ utilization. (see also Figure S1) **b** Scanning electron microscopy images of carbon paper without (top) and with (bottom) Ag-NP catalyst, secondary electrons image (left row) backscattered electrons image (right row). **c** Illustration of the reverse-assembled GDE cathode cross-section with wetted catalyst and operation for CO₂ reduction.

Figure 1a is an illustration of the compression flow cell employed for the evaluation of gas diffusion electrode catalytic performance. Dilute silver nanoparticles (Ag-NPs) with diameters of ≤ 50 nm were drop cast onto the microporous side of the GDE substrate (Sigracet 29BC). The loading of Ag-NPs in this work was measured to be $0.12 \text{ mg}\cdot\text{cm}^{-2}$. A detailed description can be found in the Methods section. Scanning electron microscopy (SEM) images of the microporous layer with and without Ag-NPs are shown in Figure 1b. Gas was delivered to the GDE through an interdigitated electrode flow field (Figure 1a, and S1) against which the GDE is compressed to maximize the interaction of CO₂ with the catalyst and gas utilization.⁴⁰ Current to the GDE was supplied through the interdigitated electrode to the Ag-NP/carbon paper substrate. Gaseous products were collected at the outlet of the flow field, which was directly connected to a gas chromatograph (for more information see Methods section).

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3 An issue for aqueous GDEs is flooding or saturation of the porous catalyst layer with
4 electrolyte or water during operation. This results in a thick ($>1\ \mu\text{m}$) electrolyte layer and a
5 diffusion-limited supply of CO_2 to the electrode.⁴¹ To maintain the catalyst in a wetted but not
6 flooded condition that minimizes losses of CO_2 to the electrolyte and extends the operational
7 lifetime, we assembled our aqueous GDE in a nontraditional manner with the catalyst coating of
8 Ag-NPs facing away from the electrolyte and towards the CO_2 gas supply. We denoted this
9 configuration as a *reverse-assembled* GDE. The microporous layer of the GDE was treated with
10 polytetrafluoroethylene (PTFE), which helped to prevent flooding. Needle valves in the gas and
11 liquid output streams allowed separation of the liquid and gas phases as well as control of the
12 pressure difference between the aqueous electrolyte and the CO_2 stream. Contact angle analysis
13 indicated that the Ag-NP coated surface was significantly less hydrophobic than the surface
14 without Ag-NPs. Contact angle and optical microscope images of the GDE are shown in Figure
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26 With both the gas inlet and outlet on the same side of the GDE, the device operates in a
27 ‘flow-by’ GDE configuration. The Ag-NP catalyst side of the electrode was facing the CO_2 gas
28 channel as illustrated in Figure 1c. This orientation of the Ag-NPs maintained a thin electrolyte
29 layer on the catalyst and enhance the rate of CO_2 reduction.³⁶ The turnover frequency of the Ag-
30 NP catalyst for the *reverse-assembled* GDE at $-0.6\ \text{V}$ vs RHE was calculated as $\sim 9 \times 10^3\ \text{h}^{-1}$, see
31 Supporting information. The anode was made from either Pt or an electrochemically activated Ni
32 foam for three- and two-electrode measurements, respectively. An aqueous catholyte of 1 M
33 aqueous potassium bicarbonate (KHCO_3) or potassium hydroxide (KOH) was used under near
34 neutral or basic conditions, respectively. In all cases, 1M KOH was the anolyte. The anion
35 exchange membrane (AEM) was Selemin for neutral environment or Fumasep FAA-3-50 for
36 alkaline environment. Electrolyte (500 ml) was continuously pumped through the cathode
37 chamber in a closed loop at a rate of 2 mL/min. A change of pH (from 14 to 13.7) was observed
38 for the 1 M KOH catholyte after 150 h of continuous operation, corresponding to irreversible
39 loss of 0.25 mol KOH (50% of the electrolyte, see Supporting Information). Further
40 improvement to reduce CO_2 loss or regenerate the electrolyte would be necessary for fully
41 sustainable operation. The neutralized carbonate electrolyte can possibly be utilized in
42 carbonate-to-syngas system to compensate the loss of CO_2 in a gas-fed MEA cell with bipolar
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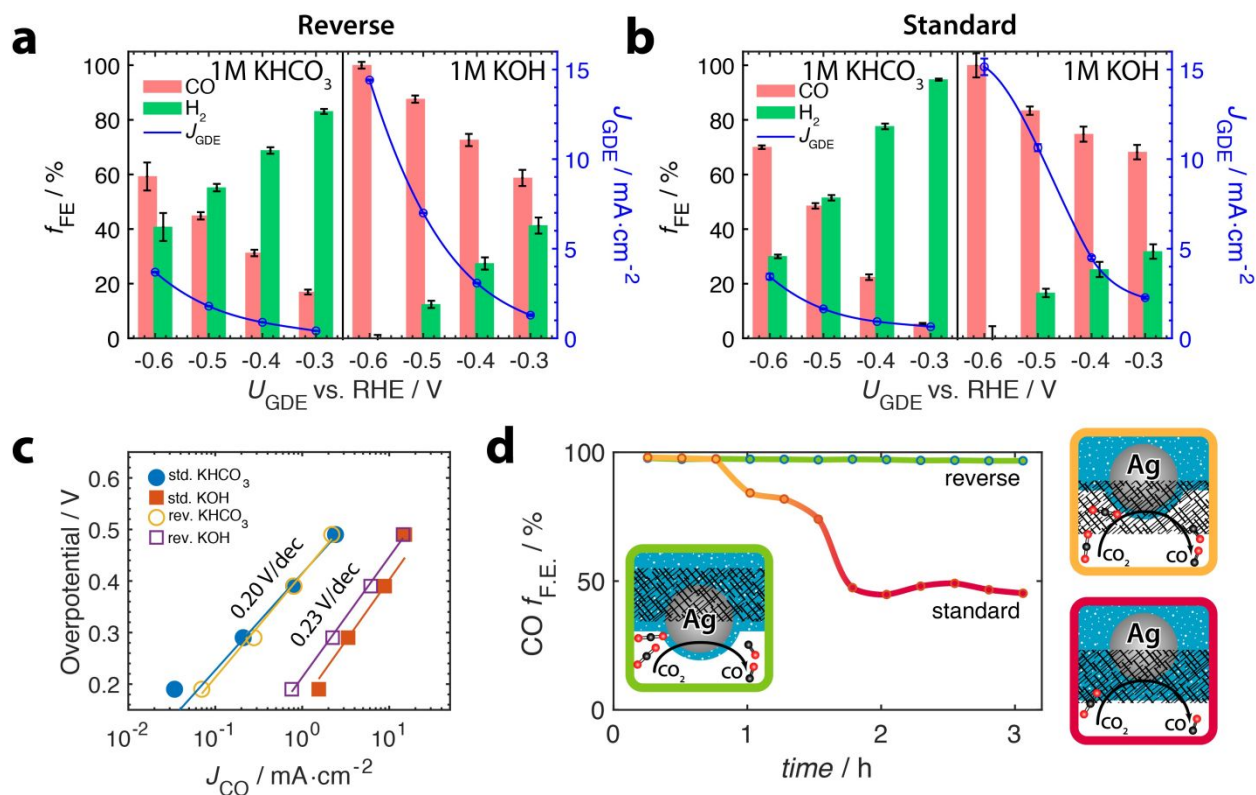


Figure 2 Dark catalysis three-electrode measurement of Ag-NPs GDE. Faradaic efficiency versus GDE potential operated in 1 M KHCO₃ (left half of graph) or 1 M KOH (right half of graph) of **a** the reverse-assembled Ag-NP GDE and **b** a standard-assembled Ag-NP GDE. **c** Overpotential versus CO partial current of Ag-NPs GDE for CO₂ reduction to CO. $Overpotential = |U_{GDE,RHE} + 0.11V|$, $J_{CO} \equiv J_{GDE} \times f_{FE,CO}$ **d** Stability of reverse-assembled and standard-assembled Ag-NPs GDE operated at -0.6 V vs. RHE in 1 M KOH.

Results from three-electrode measurements for *reverse*- and *standard*-assembled GDEs are shown in Figure 2a,b, respectively, for 1 M KHCO₃ (bulk pH of 8.5) and 1 M KOH (bulk pH of 14). Current densities are substantially lower than for earlier reported GDE devices due to the low catalyst loading used to match the current from the PV (current matching). For the *reverse assembled* GDE, both the Faradaic efficiency ($f_{FE,CO}$) for CO and current density (J_{GDE}) increased with increasing potential with $f_{FE,CO}$ close to 100 % at -0.6 V vs. RHE in 1 M KOH, Figure 2a. Similar trends of current density and Faradaic efficiency versus applied potential were found for the *standard-assembled* GDE, Figure 2b. To compare the activity of the Ag-NPs in different

orientations and pH, overpotential analysis for CO₂ reduction to CO was performed, Figure 2c. The comparable Tafel slopes ($\sim 0.23\text{V/dec}$) in KHCO₃ and KOH for either orientation indicate a similar catalytic pathway regardless of the operating conditions. The Tafel behavior plotted with potentials vs NHE falls on a rough single line (Figure S3) and suggests that the rate-determining step for the reduction on our Ag-NP GDE is not proton limited. The achievable current density and Faradaic efficiency ($f_{\text{FE,CO}}$) for CO are higher in 1 M KOH than in 1M KHCO₃ at the same overpotential, Figure 2c, likely due to a pH independent rate determining step. All subsequent measurements were, therefore, performed using 1 M KOH for the PV-GDE integrated device.

Figure 2d shows the Faradic efficiency for CO vs. time at -0.6 V vs. RHE for the two GDE orientations in KOH. For the standard configuration, the $f_{\text{FE,CO}}$ decreasing to $\sim 75\%$ after 1 h and to 50 % after 2 h, while for the reverse configuration, the $f_{\text{FE,CO}}$ was $\sim 97\%$ for 3 h. Though similar in initial current density and $f_{\text{FE,CO}}$, the *standard assembly*, with the Ag-NP catalyst facing the electrolyte, became flooded during the first hour of operation resulting in a reduction of the Faradic efficiency.

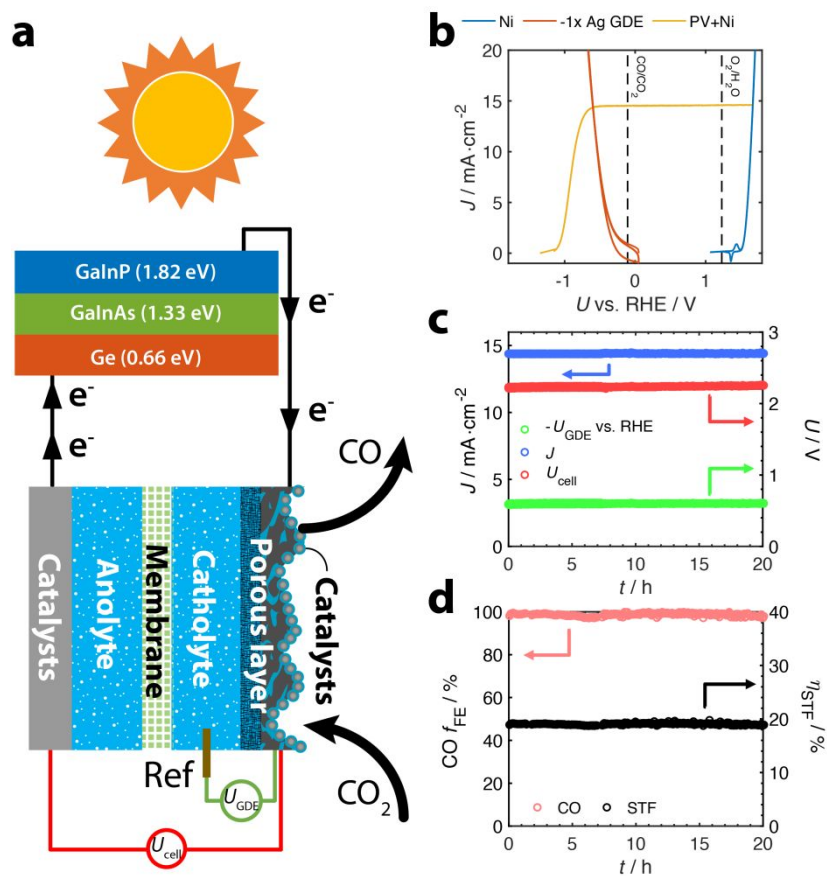


Figure 3 Light driven PV-GDE measurement ($A_{PV} = A_{GDE} = 0.31 \text{ cm}^2$). **a** Illustration of wire connection between the triple junction cell and GDE cell. **b** J-U characteristic of Ni anode, solar cell with Ni anode, and Ag-NP gas diffusion cathode under 1 Sun. **c** Current, GDE potential vs RHE, and cell voltage measurement over 20 h duration. **d** The corresponding CO Faradaic efficiency and solar to fuel efficiency over the same 20 h duration.

We performed two-electrode measurements for the GDE using an electrochemically activated nickel foam anode coupled to the GaInP/GaInAs/Ge triple junction cell. For detailed information about the solar cell see the Methods section, Figures S4–S5, and Table S2. A schematic of the cell is shown in Figure 3a with 1M KOH as electrolyte using a Fumasep FAA-3-50 membrane. Both the cell potential (U_{cell}) and the cathode to reference electrode potential (U_{GDE}) were monitored during the operation. We calculated the solar to fuel efficiency (η_{STF}) for CO₂ reduction using equation 1.

$$\eta_{\text{STF}} = \frac{P_{\text{out}}}{P_{\text{in}}} = \frac{J_{\text{GDE}} \cdot \Delta U_{\text{rxn}} \cdot f_{\text{FE,CO}} \cdot A_{\text{GDE}}}{P_{\text{light}} \cdot A_{\text{PV}}} = \frac{J \cdot \Delta U_{\text{rxn}} \cdot f_{\text{FE,CO}}}{P_{\text{light}}} \quad (1)$$

Where ΔU_{rxn} is the thermodynamic potential difference between the oxygen evolution half reaction (OER) and the CO₂ reduction half reaction of 1.34 V, A is the area of the GDE or PV with $A_{\text{GDE}} = A_{\text{PV}} = 0.31 \text{ cm}^2$, J ($= J_{\text{GDE}} = J_{\text{PV}}$) is the operation current density of the system, and P_{light} is the incident light irradiance ($\text{mW} \cdot \text{cm}^{-2}$) on the photovoltaic. The energy efficiency for the GDE cell (η_{GDE}) was defined as follow:

$$\eta_{\text{GDE}} = \frac{P_{\text{out}}}{P_{\text{in}}} = \frac{\Delta U_{\text{rxn}} \cdot J_{\text{GDE}} \cdot A_{\text{GDE}} \cdot f_{\text{FE,CO}}}{U_{\text{cell}} \cdot J_{\text{PV}} \cdot A_{\text{PV}}} = \frac{\Delta U_{\text{rxn}} \cdot f_{\text{FE,CO}}}{U_{\text{cell}}} \quad (2)$$

where $J_{\text{GDE}} \cdot A_{\text{GDE}} = J_{\text{PV}} \cdot A_{\text{PV}}$, and U_{cell} is the total operating voltage of the cell.

To evaluate the efficiency and stability, we measured cell parameters using simulated AM 1.5G sun illumination at 1 Sun in the laboratory, as shown in Figure 3b–3d. The blue curve in Figure 3b represents the performance of the electrochemically activated Ni foam anode alone, while the yellow curve indicates the behavior of PV plus anode. The red curve shows the catalytic current of the Ag-NPs GDE. The intersection between the red and yellow curves in Figure 3b defines the operation point, located at -0.6 V vs. RHE and $14.4 \text{ mA} \cdot \text{cm}^{-2}$ with a cell voltage of 2.23 V. Figures 3c and 3d illustrate the cell performance over 20 hours with an

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3 average Faradic efficiency for CO of 99 ± 2 % and an average CO production rate of 2.3 mg/h.
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5 No degradation in performance was observed. From the experimental results, we calculated the
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7 average solar to CO efficiency for the 20 h operation as 19.1 ± 0.2 %, with an average energy
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9 efficiency η_{GDE} of 59.4 ± 0.6 %. The error bars were obtained as the variation within the 20 h of
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11 operation. All the experimental results are summarized in Table S3. The chemical composition
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13 of the Ag-NP catalyst layer was examined before and after the reaction by X-ray photoelectron
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15 spectroscopy as shown in Figure S6. No obvious changes were observed other than the
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17 absorption of potassium after operation with the Ag-NPs catalyst maintained its metallic phase.

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19 The solar to CO efficiency of 19.1 % represents a new record efficiency. A performance
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21 comparison with the current state-of-the-art PV-electrolyzer for CO₂ reduction to CO is shown in
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23 Table S4. The PV-GDE device had a CO production rate per projected cathode area 50 times
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25 higher than for the bulk electrolyte device ($7.4 \text{ mg}\cdot\text{h}^{-1}\cdot\text{cm}^{-2}$ versus $0.145 \text{ mg}\cdot\text{h}^{-1}\cdot\text{cm}^{-2}$) with
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27 greatly improved stability (20 h with no degradation versus 15 % loss in 5 h).¹⁰ A similar PV-
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29 GDE device operated under 3.25 Suns illumination with $A_{\text{GDE}} = 1 \text{ cm}^2$, $A_{\text{PV}} = 0.31 \text{ cm}^2$, ($3.25 \approx$
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31 $A_{\text{GDE}}/A_{\text{PV}}$) showed over 150 hours of stability, with an average Faradic efficiency of 96 ± 2 %, an
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33 average solar to CO efficiency of 18.9 ± 0.5 %, and an average energy efficiency η_{GDE} of
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35 53.7 ± 1.2 %, Figure S7.
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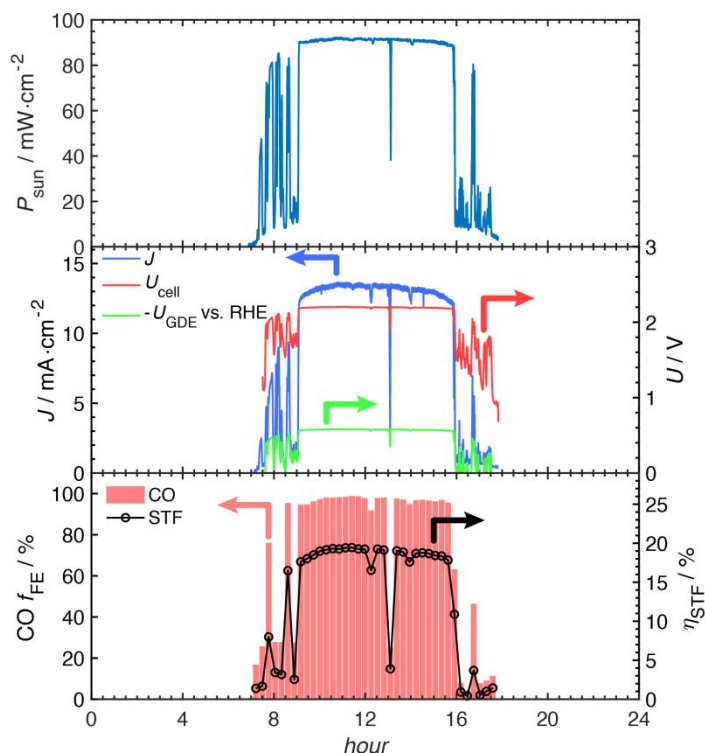


Figure 4 Outdoor assessments of solar driven PV-GDE in Pasadena, CA ($A_{PV} = A_{GDE} = 0.31 \text{ cm}^2$). The solar irradiance was monitored with a calibrated silicon photodiode. Operation current density J ($= J_{GDE} = J_{PV}$), cell voltage U_{cell} , GDE potential U_{GDE} vs. RHE, CO Faradaic efficiency $f_{FE,CO}$ and solar to fuel efficiency η_{STF} were recorded for a 24h day cycle.

Full day outdoor tests were conducted with online gas product analysis in order to obtain the solar to fuel efficiency over the entire day. Results are shown in Figure 4. The triple junction cell and a calibrated silicon photodiode were mounted on a solar tracker to maintain optimum orientation toward the Sun (see illustration in Figure S8). The dips in sun intensity at 7:00am - 9:00am and 4:00 - 6:00 p.m. in the data were the result of trees blocking the sunlight. The system operated at a cell voltage of 2.20 V and GDE potential of -0.57 V vs. RHE under natural full sun illumination. A Faradaic efficiency of $96 \pm 8 \%$ and solar to fuel conversion efficiency of $18.7 \pm 1.7 \%$ was observed over an optimal 6 h period within the day. The diurnal-averaged solar to fuel conversion efficiency was 5.8 %. The CO production rate for one day under actual outdoor sun conditions was calculated to be 15 mg/day of CO. Another outdoor

demonstration used a lens to concentrate the sunlight producing an irradiance of 3.25 Suns ($C = 3.25$, $A_{\text{GDE}} = 1 \text{ cm}^2$, $A_{\text{PV}} = 0.31 \text{ cm}^2$) with data included in Figure S9 and Table S3 with a CO generation rate of 50 mg/day. Using this calculated rate, a system scale up to 1 m^2 would result in a CO production rate of 0.5 kg/day.

The performance of our directly coupled PV-GDE device was compared to a DC-DC converter coupled PV and GDE with power-matching electronics. We simulate DC-DC converter output curves with the input of our solid-state PV curve as shown in Figure S10. Though the DC-DC converter can track the maximum power point (MPP) of the PV, a practical loss of 5-10 % is expected.⁴³ The operating point for the directly driven PV-GDE cell is $U_{\text{cell}} = 2.23 \text{ V}$, $J = 14.4 \text{ mA}\cdot\text{cm}^{-2}$ with a maximum efficiency of 19.3 %. With a 95 % efficient DC-DC converter, the operation point would be $U_{\text{cell}} = 2.22 \text{ V}$, $J = 13.8 \text{ mA}\cdot\text{cm}^{-2}$ with a maximum efficiency of 18.5 %. For a 90 % efficient DC-DC converter, the operation point would be $U_{\text{cell}} = 2.20 \text{ V}$, $J = 13.2 \text{ mA}\cdot\text{cm}^{-2}$ with a maximum efficiency of 17.7 %. The maximum efficiencies are calculated assuming 100 % CO Faradic efficiency. All systems are summarized in Table S3. The slightly higher efficiency of our directly driven PV-GDE device, compared to the same setup with integrated DC-DC converter and power matching electronics, reveals the potential of developing a directly coupled PV-GDE device with its reduced complexity.

In summary, we have demonstrated a highly efficient solar-driven CO₂ reduction device for CO generation using a flow-by *reverse-assembled* gas diffusion electrode cell directly coupled to a triple junction solar cell. The *reverse-assembled* GDE is designed to minimize parasitic CO₂ losses, utilizing a high CO₂ concentration and low overpotential catalysts for the CO₂ reduction reaction. The Ag-NPs based catalyst exhibited near unity Faradic efficiency towards CO generation at approximately -0.6 V vs. RHE in 1 M KOH electrolyte. The PV-GDE system was evaluated under both laboratory AM 1.5G simulated solar irradiation and outdoor real sun conditions. Near-unity Faradic efficiency was observed for CO₂-to-CO conversion and an average solar-to-CO energy efficiency of 19.1 % was achieved with AM 1.5G illumination at 1 Sun, leading to over 50 times higher CO production rate per catalyst area than the current record photovoltaic-driven electrolysis device. The GDE was demonstrated to be stable for over 150 hours without degradation, supporting our hypothesis that, by using a *reverse-assembled* GDE device configuration with the catalyst layer facing towards the CO₂ gas supply, we could

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3 extend the system operation time without suffering a transition from a wetted to a flooded gas
4 diffusion layer. Under outdoor sun conditions, the PV-GDE system exhibited a solar to CO
5 conversion efficiency of 18.7 % during noontime, and yielded a CO production rate of
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7 15 mg·cm⁻² per day. This *reverse-assembled* PV-GDE establishes a new efficiency record for
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9 directly solar-driven CO₂ reduction, and offers an example of a very high efficiency, stable
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11 device for solar CO₂ conversion.
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ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website. Methods, calculations of solar to fuel efficiency, GDE efficiency, turn over frequency, cell potentials, CO₂ loss, supporting figures and tables.

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Author Contributions

W.H.C., M.H.R., and H.A.A. conceived of the experimental study. W.H.C. and M.H.R. executed the experiments and did the data analysis. D.M.L., I.S., B.S.B., and C.X. provided technical support and scientific discussion. W.H.C., M.H.R., B.S.B., and H.A.A. wrote the paper and all authors commented on the manuscript.

Notes

The authors declare no competing financial interest.

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