

**Coastal flooding, climate change and environmental Justice: Identifying obstacles and incentives for adaptation in two metropolitan Boston Massachusetts communities**

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**Abstract**

We explored the possible future impacts of increased coastal flooding due to sea level rise and the potential adaptive responses of two urban, environmental justice communities in the metropolitan Boston area of Massachusetts. East Boston is predominantly a residential area with some industrial and commercial activities, particularly along the coastal fringe. Everett, a city to the north of Boston, has a diversified industrial and commercial base. While these two communities have similar socioeconomic characteristics, they differ substantially in the extent to which residents would be impacted by increased coastal flooding. In East Boston, a large portion of residents would be flooded, while in Everett, it is the commercial/industrial districts that are primarily vulnerable. Through a series of workshops with residents in each community, we found that the target populations do not have an adaptation perspective or knowledge of any resources that could assist them in this challenge. Furthermore, they do not feel included in the planning processes within their communities. However, a common incentive for both communities was an intense commitment to their communities and an eagerness to learn more and become actively engaged in decisions regarding climate change adaptation. The lessons that can be applied to other studies include 1) images are powerful tools in communicating concepts, 2) understanding existing cultural knowledge and values in adaptation planning is essential to the planning process and 3) engaging local residents at the beginning of the process can create important educational opportunities and develop trust and consensus that is necessary for moving from concept to implementation.

1 1. Introduction

2 In the year 2000, about 17 percent of the global population lived in the world's  
3 coastal zone (Argady et al. 2005). Furthermore, approximately 8 percent of urban area  
4 and 13.5 percent of the global urban population are estimated to reside in the coastal zone  
5 (McGranahan et al. 2006). Based upon a slightly more expansive definition of the coastal  
6 zone, the Intergovernmental Panel on Climate Change, Working Group 2 (IPCC 2007  
7 WG2) estimate that approximately 33 percent of global population will live in coastal and  
8 low lying areas by 2080. Over the last decade, the link between anthropogenic-climate  
9 change and its impacts on terrestrial and marine systems have become increasingly  
10 undeniable (IPCC 2007 WG1). Around the globe, four billion people are vulnerable, 325  
11 million people are seriously affected and over 300,000 people die each year due to the  
12 impacts of climate change (GHF, 2009); the annual cost of these impacts is estimated to  
13 be \$125 million USD.

14 In February 2007, the IPCC Working Group 2 (IPCC 2007 WG2) concluded that,  
15 “coasts are projected to be exposed to increasing risks, including coastal erosion, due to  
16 climate change and sea-level rise. The effect will be exacerbated by increasing human-  
17 induced pressures on coastal areas.” In the US, over 50 percent of the US population  
18 now lives in the coastal zone and the number is projected to increase (Wilbanks et al.  
19 2008). Most of these coastal dwellers are and will be in urban areas. Coastal communities  
20 are subject to both inland and ocean-related climate change impacts, such as rising  
21 temperatures, increased extreme and variable precipitation, and higher sea levels. The  
22 rising sea levels will likely cause more flooding of land during high tides and storm  
23 surges. Storm surges may also be increasing due to the observed increasing intensity of

24 coastal storms (USGCRP 2008). Many coastal dwellers are already vulnerable to coastal  
25 flooding; with sea level rise due to climate change, continued land subsidence, and  
26 probable increases in the intensity and frequency of coastal storms, their vulnerabilities  
27 will almost certainly increase over the next few decades. There has been considerable  
28 research on the possible increases in coastal flooding, but very little research on the  
29 distribution of impacts by socio-economic categories. The focus of this research was on  
30 possible impacts on urban populations that are already suffering from environmental  
31 injustices (so called environmental justice or EJ communities) and hence are particularly  
32 vulnerable to the consequences of climate change because of their limited adaptation  
33 options. Adaptation to reduce a region's vulnerability to climate change can be  
34 accomplished by both reducing the actual climate change through mitigation and  
35 managing its exposure, sensitivity and adaptive capacity. Here we acknowledge the  
36 critical need for mitigation but also that adaptation to climate change must be undertaken  
37 because climate change cannot now be reversed by mitigation. Only the rate of change  
38 can be decreased; changes will continue for centuries (Solomon et al. 2009).

### 39 1.1 What is Environmental Justice?

40 The concept of "environmental justice" arose from the now well-documented  
41 observation that low-income minority communities have historically borne a  
42 disproportionate share of environmental hazards (Checker 2005; Johnston 2011). In  
43 urban areas, low-income populations and communities of color are exposed to a  
44 disproportionate number of harmful conditions. These include toxics in air and  
45 groundwater from past industrial practices and vehicle emissions; contaminated or  
46 abandoned industrial sites (brownfields); illegal dumping; vacant lots and abandoned

47 buildings; lack or neglect of greenspace, failing infrastructure, relatively few economic  
48 opportunities, higher density housing; human health problems, higher overall mortality  
49 and infant mortality rates, poor access to health care, inadequate health education, fewer  
50 opportunities for safe recreation; poor quality housing, inequitable access to transit  
51 services; and community isolation or displacement.

52 Steps to manage a coastal area's exposure and sensitivity are not possible without  
53 the adaptive capacity to implement them. Here we examine the social and cultural  
54 incentives and obstacles to adaptation to increased coastal flooding due to sea level rise  
55 (SLR) and assess how the community's social and cultural characteristics complicate land  
56 use planning and other aspects of adaptation planning. Despite these obstacles there are  
57 also some incentives upon which to capitalize. Thus further insights are provided here on  
58 the challenges of adjustment or adaptation to climate change in an urban area. While the  
59 communities are subject to more climate change impacts than just SLR (Kirshen et al.  
60 2008a), it is the only impact considered here. In both East Boston and Everett, due to the  
61 topography and the highly urbanized coast line, permanent loss of land and wetlands and  
62 increased erosion are not major factors, as is the case in some other regions of the US  
63 such as Chesapeake Bay and Florida. Thus only storm surge impacts are examined here.

## 64 1.2 Climate Change and Sea Level Rise

65 One of the impacts of the changing climate has been an increase in sea level  
66 because of the melting of ice on land and thermal expansion of the ocean as it is warmed  
67 (the sum of both is eustatic sea level rise, Pugh 2004). The long term (1880-200) average  
68 rate of eustatic sea level rise has been estimated to be on the order of  $1.6 \text{ mm yr}^{-1}$   
69 (Bindoff and Willebrand 2007). Sea level elevation relative to land is also related to

70 vertical land movement (uplift or subsidence) that is due to both geologic and human-  
71 induced effects which vary with location. Total or relative sea level rise (RSLR)  
72 describes both eustatic SLR and vertical land movement. In Boston in the northeastern  
73 United States, land subsidence is estimated to have been  $1.1 \text{ mm yr}^{-1}$  and RSLR has  
74 averaged  $2.65 \text{ mm yr}^{-1}$  over approximately the last 100 years (Kirshen et al. 2008b). The  
75 effects of SLR in the coastal zone generally include displacement and loss of wetlands,  
76 inundation of low-lying property, increased erosion of the shoreline, change in the extent  
77 of flood zones, changing water circulation patterns, and more salt water intrusion into  
78 groundwater. Eustatic sea level rise projections range from 0.8 m (most plausible) to 2 m  
79 (possible, but unlikely) by Pfeffer et al. (2008), 0.3 to 0.5 m (moderate temperature  
80 scenario) to 0.4 to 0.8 m (warm temperature scenario) by Katsman et al (2008) and 1.0 to  
81 1.4 m by 2100, with the range of uncertainty spanning 0.8 to 1.9 m (Vermeer and  
82 Rahmstorf 2009). Regional variations in sea level due to differences in salinity,  
83 temperature and circulation patterns will augment these global mean projections  
84 (Pardaens et al., 2011). For instance, Yin et al (2009) found that in response to a possible  
85 weakening of the Atlantic Meridional Overturning Circulation there could be an  
86 additional 0.16 to 0.24 m of regional dynamic sea-level rise by 2100 in Boston.

### 87 1.3 Environmental Justice and Climate Change

88 There is now a large and growing literature focused on understanding the  
89 relationships between cultural, socioeconomic, race and ethnicity, and environmental  
90 hazards. Much of the recent literature is related to exposure to pollution emissions  
91 (Downey 2005; 2007; Diawara et al. 2006; Pastor et al. 2006; Krieg 2005) and public  
92 health (Resnik and Roman 2007; Lambert et al. 2006, Chess et al. 2005). A few recent

93 studies have highlighted racial and economic injustices in response to natural disasters  
94 (Allen 2007; Pezzolli et al. 2007; Elliot and Pais 2006). A traditional focus of  
95 environmental justice claims has been to empower communities and highlight inequities  
96 in decision making in order to promote a fairer distribution of risks and benefits.  
97 However, in the face of dire, and possibly imminent, consequences of climate change, a  
98 call for more community awareness of and direct participation in the decision-making  
99 process may be a more beneficial goal (Stallworthy 2009). Until very recently, little  
100 attention had been paid to challenges of EJ communities in the face of climate change,  
101 which by its very nature is a more insidious and expansive threat than that posed by  
102 present natural disasters. Globally, ninety-eight percent of the seriously affected, ninety-  
103 nine percent of weather-related deaths and ninety percent of economic losses are suffered  
104 in developing countries while the fifty least develop countries on the planet contribute  
105 less than one percent of global carbon dioxide emissions (GHF 2009). Here in the U.S., a  
106 report by the Congressional Black Caucus Foundation (CBCF 2004) highlighted the  
107 disparity between those who benefit from and those who bear the burden of climate  
108 change and national climate change policies. More recent research has been published by  
109 Norgaard (2006), Page (2007), Soskolne et al. 2007 and Ruth and Ibararan (2009).  
110 While not directly examining the impacts of climate change, Clark et al. (1998) found  
111 that physical vulnerability to flooding must be combined with the socio-economic  
112 vulnerabilities in coastal flood management in Revere, Massachusetts. Doherty and  
113 Clayton (2011) call for more attention to be paid to the psychological as well as the  
114 physical impacts of climate change, which they group into three classes: direct (acute or  
115 traumatic), indirect (threats based on observation and concern about future risks), and

116 psychosocial (chronic social and community effects). They state that increased  
117 ecoliteracy (an understanding of natural and human systems are linked and affect each  
118 other) within impacted groups should be a part of any solution. Larsen et al. (2011) note  
119 the potential drawbacks of participatory decision making: without community  
120 involvement, acceptance and implementation of solutions will be difficult, but an over  
121 emphasis on negotiation can lead to solutions that do not meet the original environmental  
122 goals. Furthermore, Walker (2010) notes that while an assessment of distributional  
123 injustices can indeed catalyze the problem-solving process, the enhanced knowledge of  
124 inequities gained from such assessments can increase controversy and conflict and further  
125 complicate the solution.

126       Despite well-accepted sociological theories that suggest that global-scale issues  
127 such as climate change “transcend” social and geopolitical boundaries, evidence suggests  
128 that even large-scale problems exacerbate and perpetuate existing inequities and  
129 injustices (Alario and Freudenburg 2010). Environmental justice considerations will only  
130 increase as the impacts of climate change and sea level rise become more widely known  
131 and as policy and program efforts expand to build adaptive capacity. “Climate change  
132 reflects and increases social inequality in a series of ways, including who suffers most its  
133 consequences, who caused the problem, who is expected to act, and who has the  
134 resources to do so,” (Mohai et al. 2009 pg. 420). The events surrounding Hurricane  
135 Katrina exemplified the disparities among people of different racial and socioeconomic  
136 groups and how they might be affected differently by incidences of extreme weather and  
137 climate change. It also demonstrated the need for special adaptive considerations for  
138 certain groups of people. Harlan and Ruddell (2011) outline a variety of mitigation and



139 adaptation strategies for urban communities to address climate change impacts related to  
140 extreme heat, but state that most city plans do not target marginalized or more vulnerable  
141 populations, which may lead to more social inequities within the cities.

#### 142 1.4 Adaptation for sea level rise

143 While climate change and sea level rise (SLR) are global problems, the  
144 consequences will be suffered locally, hence adaptation will need to be enacted at a local  
145 level. As such, individuals trying to adapt to climate change and the resulting extreme  
146 weather and sea level rise will be limited by their socioeconomic and institutional  
147 capacity (Adger 2001), which can be low in EJ communities. Cultural understanding of  
148 specific groups can facilitate adaptation of vulnerable groups by finding solutions that  
149 will reduce the impacts of climate change on those communities. The literature suggests  
150 that the best way to enact adaptation to climate change impacts is to take a proactive  
151 response to prepare the natural and built environments for the impacts of climate change  
152 (Easterling et al. 2004; Kirshen et al. 2008a; NRC 2009; Rosenzweig and Solecki 2010;  
153 Kirshen et al. 2011). However, compared to many other planning processes, the major  
154 challenge of adaptation planning is the consideration of uncertainties of future climates  
155 and other drivers such as population growth, land use change, and technological  
156 innovation. At its best, adaptation planning is itself a dynamic and adaptive process given  
157 the uncertainties associated with climate and other changes. Given these uncertainties,  
158 actual selection of an adaption strategy or set of strategies should be focused on actions  
159 that are robust (Yohe 2009; Stakhiv 2010), that is, actions implemented over time and  
160 space that function acceptably well under all future uncertainties and risks. To achieve  
161 robust decisions, some adaptation actions will need to be flexible and adjustable. For

162 example, a sea wall may not initially be built to the full height its foundation can support,  
163 but can be designed so that the height can be increased relatively inexpensively if  
164 necessary. It may also be prudent to develop a portfolio of approaches or multiple levels  
165 of safety so that if one approach fails, others can provide redundancy. Other criteria for  
166 evaluating adaptation options could include no-regrets (valuable even without climate  
167 change) and co-benefits (valuable to multiple sectors) actions; integration with  
168 sustainability planning to respond to other pressures on the region and the need for GHG  
169 mitigation; equity and respect for adaptive capacity; responsiveness to climate surprises;  
170 resilience (Wardekker et al 2010) and employment of adaptive management as needed.

171 Most experts (IPCC 2001; Natural Resources Canada 2002; USCCSP 2009) agree  
172 with the IPCC (1990) formulation that adaptation responses to SLR for urban areas  
173 include protection, accommodation, and retreat. Protection attempts to manage the hazard  
174 with "hard" structures such as seawalls and groins or "soft" measures such as beach  
175 nourishment and wetland restoration. Accommodation allows human activities and the  
176 hazard to coexist through actions such as flood proofing of homes and businesses and  
177 evacuation planning. Retreat removes human activity from the vulnerable area which  
178 generally is accomplished by abandoning land as the sea rises. Each of these strategies  
179 has different technical, economic, social, and environmental impacts and policy  
180 implications that are highly site dependent. Thus it is particularly important to have a  
181 social and cultural understanding of these limitations in order to facilitate adaptation of  
182 these vulnerable groups. Of course, there is also always the option of taking no action,  
183 but much research (e.g., Kirshen et al. 2008a; National Research Council 2010) shows  
184 that this is generally the least effective (and most costly over the long term) response in

185 developed areas.

## 186 1.5 Objectives of this study

187 As discussed subsequently in this paper, the extent of areas vulnerable to flooding  
188 from extreme storm events could be significantly larger in the future due to due to  
189 climate change; it is this threat and the potential human consequences, especially in  
190 communities with little capacity to adapt, that served as the motivation for this study. We  
191 focused our investigation on two communities, East Boston and Everett, Massachusetts,  
192 in the Boston metropolitan area, which are classified as environmental justice (EJ)  
193 communities (defined below). The objectives of this study were to 1) map the potential  
194 extent of flooding from an extreme coastal storm event under different climate change  
195 scenarios; 2) elicit the response of community residents to these impacts and 3) to begin  
196 to identify the obstacles and incentives for urban EJ communities in adapting to the  
197 consequences of sea level rise due to climate change. Results of a parallel study by the  
198 authors for two rural EJ communities along the eastern shore of the Chesapeake Bay in  
199 Maryland is presented in Paolisso et al. (2011).

## 200 2. Research Methods and Analysis

### 201 2.1 Study areas

202 For this research, we selected East Boston and Everett, two communities within  
203 the metropolitan Boston area, that were found to be vulnerable to increased coastal  
204 flooding from our previous analyses (Frumhoff et al. 2007; Kirshen et al. 2008b; Watson  
205 2007). Neighborhoods within both communities are also categorized as Environmental  
206 Justice Communities as defined by the Massachusetts Executive Office of Energy and  
207 Environmental Affairs (EOEEA fact sheet;

208 [http://www.mass.gov/Eoeea/docs/eea/ej/ej\\_factsheet\\_english.pdf](http://www.mass.gov/Eoeea/docs/eea/ej/ej_factsheet_english.pdf), accessed August 30,  
209 2010); “neighborhoods (U.S. Census Bureau census block groups) that meet one or more  
210 of the following criteria: the median annual household income is at or below 65 percent  
211 of the statewide median income for Massachusetts; *or* 25 percent of the residents are  
212 minority; *or* 25 percent of the residents are foreign born, *or* percent of the residents are  
213 lacking English language proficiency.” In addition, these particular communities were  
214 selected because of previously established relationships between the community  
215 organizations and project team members. The locations of these communities are shown  
216 in Figure 1 and are described as follows.

#### 217 2.1.1 East Boston

218 East Boston is one of the 21 neighborhoods of the City of Boston. It covers an  
219 area of 4.5 mi<sup>2</sup> and is essentially a peninsula bordered by tidal portions of Chelsea Creek,  
220 the Mystic River and Boston Harbor (see Figure 1). Large portions of East Boston were  
221 created by filling in the area between several islands during the 19<sup>th</sup> century. The  
222 southeastern half of East Boston is dominated by Logan International Airport (Figure 1).  
223 The region was originally a center of shipbuilding. It is now predominantly a residential  
224 area with some industrial and commercial activities, particularly along the coastal fringe.  
225 Buildings are a mixture of old and new. Since 1840, East Boston has been, “by turns,  
226 largely Irish, Jewish, and then Italian for most of the 20th century. In recent years, East  
227 Boston has welcomed a growing Latino population” (BRA, 2003). In 2000, the  
228 population of East Boston was 38,413 (6.5 percent of total Boston population) and a  
229 poverty rate of 19.5 percent, identical to that of Boston as a whole (BRA, 2003). Forty-  
230 two percent of East Boston residents were foreign-born, and some 60 percent of these

231 have entered the United States after 1990. The Latino community, in particular, has seen  
232 well over a 158 percent increase during that time. Nearly 40 percent of the population  
233 speaks only Spanish at home; and approximately 23 percent of the population is  
234 considered to be linguistically isolated (<http://www.noahcdc.org/about/index.html>,  
235 accessed July 23, 2010).

236 Our particular study area was the Eagle Hill area, an EJ neighborhood within East  
237 Boston (labeled in Figure 1), Much the East Boston coastline is within a state Designated  
238 Port Area (DPA), which has important implications for future climate change adaptation  
239 strategies. According to the Massachusetts General Law, Chapter 91 (Public Waterfront  
240 Act) and their implementing regulations at 310 CMR 9.0, within DPA's, the central  
241 principle is to promote water dependent industries and to avoid the conversion of these  
242 areas to incompatible residential, commercial, and recreational uses. The City of Boston  
243 has zoned much of this waterfront consistent with this objective. The state Waterways  
244 Regulations govern the licensing of structures and uses in DPAs. These regulations  
245 strictly limit the placement of fill or structures in DPAs to only water-dependent  
246 industrial, accessory uses and a limited amount of supporting uses on filled tidelands.  
247 Thus some adaptation actions would have to be coordinated with Massachusetts  
248 Waterways Regulations for DPAs. This presents both opportunities and challenges; the  
249 opportunity is that new DPA activities could include adaptation to climate change, the  
250 challenge is that the community does not have complete control over its local land use.

#### 251 2.1.2 Everett

252 The other study area includes neighborhoods of the City of Everett (Figure 1).  
253 Everett was settled in 1630, established as a town in 1870 and incorporated as a city in

254 1892. Everett has a population of just over 38,000 and a land area of 3.36 mi<sup>2</sup>. About 35  
255 percent of Everett's population was between 25 and 45 years of age in 2000 (US Census  
256 Bureau, census 2000). Although predominantly white in 2000, Everett has served as a  
257 gateway city to immigrants for most of its history. African Americans make up 7.5  
258 percent and Latinos 9.5 percent of its population. The community has a diversified  
259 industrial and commercial base with manufacturing accounting for approximately 31  
260 percent of all jobs and more than 35 percent of the total annual payroll, followed by  
261 services and retail trade ([http://www.cityofeverett.com/Everett\\_files/facts.htm](http://www.cityofeverett.com/Everett_files/facts.htm), accessed  
262 on Mar 18, 2011). While the labor force has remained nearly constant over the last  
263 decade, the unemployment rate has increased dramatically, varying roughly between 3  
264 and 5 percent from 2000 through 2007, then increasing to 9.1 percent in 2010. The  
265 minority population of Everett is 25 percent and the median household income is  
266 \$40,661. Twenty-two percent of the population is foreign born and approximately one-  
267 third of foreign born residents are Hispanic. About 59 percent of residences are renter-  
268 occupied and 41 percent are owner-occupied. The waterfront area of Everett is  
269 dominated by commercial and industrial land uses. Everett is home to major petroleum,  
270 natural gas and food distribution centers serving Boston and New England and also to a  
271 1600 megawatt electric generating plant that serves the Boston metropolitan area. Unlike  
272 East Boston, Everett has a convenient and accessible location, abutting the Mystic River  
273 across from Boston and close to major interstate highways.

## 274 2.2 Map preparation

275 In order to assess increased vulnerability, we created maps of the extent of coastal  
276 flooding under selected climate change scenarios following the methodology presented in

277 Kirshen et al. (2008b) using SLR projections by Vermeer and Rahmstorf (2009). At first,  
278 we developed maps showing flooding related to a 100-year coastal flood (estimated in  
279 Kirshen 2008b) under a lower emissions scenario (Special Report on Emissions  
280 Scenarios (SRES) B1; eustatic SLR projection ranged from 81 to 131 cm above the 1990  
281 sea level) and a higher emissions scenario (SRES A1Fi; eustatic SLR projections ranged  
282 from 113 to 179 cm) in 2100, as we had done in previous studies (Frumhoff et al. 2007;  
283 Kirshen et al. 2008b). However, our collaborator at the Neighborhoods for Affordable  
284 Housing (NOAH, an East Boston community-based organization) considered these maps  
285 too alarming. We also realized that the “century-scale” timeframe was well beyond the  
286 typical time horizon considered by most residents. Instead, we developed maps of coastal  
287 flooding at 2030, 2050 and 2070 by visually interpolating from the Vermeer and  
288 Rahmstorf (2009) curves. The interpolated eustatic SLR projections and land subsidence  
289 heights were added to the elevation of mean higher high water (1.45 m) and the 100-year  
290 storm surge height (1.49 m) estimated from Kirshen et al. (2008b). Table 1 presents the  
291 Stillwater flood elevations used to generate the maps developed for this study and  
292 presented in Section 3.

### 293 2.3 Community workshops

294 The research into the community’s adaptation incentives and obstacles was  
295 accomplished in three workshops with residents of each community. The workshops  
296 were organized by the authors and participants were solicited by the collaborating  
297 advocacy groups: the Neighborhood of Affordable Housing (NOAH), nonprofit multi-  
298 service community development corporation headquartered in East Boston and La  
299 Comunidad, a nongovernmental organization (NGO) in Everett. Workshops were held in

300 the evening and generally, as requested by us, the same set of participants from each  
301 community attended all the workshops in that community.

### 302 2.3.1 Workshop One.

303 The goal of the first workshop was to elicit the participants' cultural knowledge about  
304 climate change and impacts. By cultural knowledge, we mean the explicit and implicit  
305 beliefs and values that participants use to understand climate change. This approach is  
306 rooted in the theories and methods of cognitive anthropology, here applied to  
307 environmental issues (cf. Paolisso 2003; 2007). To elicit cultural knowledge about  
308 climate change, with the longer-term research goal of linking such knowledge to adaptive  
309 capacity, we used a series of systematic data collection approaches, specifically free  
310 listing, pile sorting and multidimensional scaling (Borgatti 1996; Weller and Romney  
311 1993). We first asked participants to freely list the words that come to mind when they  
312 think about "climate change." This was an open-ended exercise; we did not attempt to  
313 guide or direct their responses. Next, we asked the participants to identify the most  
314 important words, which allowed us to cut the list of words to a manageable number  
315 (approximately 50 words). Finally, we asked workshop participants to group these words  
316 into piles of related terms ("pile sort"). Pile sorting is an easy and useful way to  
317 collecting information on similarities and differences in knowledge and values (Weller  
318 and Romney 1993). Again, we did not provide any criteria for judging similarity or  
319 dissimilarity, but rather we wanted participants to use their own cultural criteria to group  
320 terms. Multidimensional scaling (MDS), the last step of the analysis, was performed  
321 after the workshop. This is a set of techniques that help researchers uncover the "hidden  
322 structure" of data by analyzing proximities within the data itself (Kruskal and Wish



323 1978).

### 324 2.3.2 Workshop Two.

325 The purpose of this workshop was to discuss the MDS results, to present an overview of  
326 scientific understanding of climate change and to elicit participants' preliminary  
327 responses with respect to the possible adaptation options. After presentation of the flood  
328 maps (describe in Section 2.2), we began a discussion about options for adaption to  
329 increased flooding due to climate change. We presented the four categories of flood  
330 protection: no action, protection, accommodation through floodproofing and evacuation  
331 planning, and retreat and then elicited general discussion from participants with respect to  
332 the feasibility of these options within their communities.

### 333 2.3.3 Workshop Three.

334 The third and final workshop in each community focused on community incentives and  
335 obstacles to specific adaptation options that we presented to them, since they were not  
336 familiar with adaptation possibilities. In East Boston, to focus the discussion, we  
337 presented conceptual images of some options which were designed to be flexible so they  
338 could be adjusted to SLR changes over time. These included a modular sea wall (see  
339 Figure 2), building a beach and dune system to protect a presently exposed coastal area,  
340 the building up of a present beach with geotubes to provide additional flood protection  
341 and various types of wet and dry floodproofing. The beach concepts would provide  
342 amenities now as well as protection later. After the presentation of options, the  
343 participants were divided into four groups with a moderator to discuss the following  
344 questions:

345 1. Which of the adaptation options seems most feasible/attractive ?

- 346 2. Which options would you object to and why?  
347 3. What obstacles are in the way to getting the options in place?  
348 4. What needs to happen to make adaptation a reality?

349 In Everett, due to the more indirect impacts of flooding upon community members, the  
350 discussion was broader and related to how indirect impacts would affect the residents and  
351 options that they might have for coping with these impacts. In the next section, we will  
352 discuss the results of this workshop series in each community and compare and contrast  
353 the meaning of our findings.

#### 354 2.4 Limitations of this study

355 Our study represents a small demographic within each of the two communities we  
356 evaluated, which may not be representative of the community as a whole or of other  
357 communities within the Boston metropolitan area. The participants were recruited by the  
358 non-governmental organizations within each community (NOAH in East Boston and La  
359 Comunidad in Everett) and hence, this may have biased participation towards residents  
360 that were already active within their communities and somewhat aware of issues related  
361 to climate change. The techniques used in our analysis such as word-listing, pile-sorting  
362 and MDS analysis, are limited in that the domain of interest is not well bounded and that  
363 there could be a high degree of variability in respondents' understanding of the domain.  
364 As a result, we may have multiple sub-domains, or ideas or belief systems represented in  
365 the analysis that are not widely shared by all participants in the research. This may have  
366 confounded the results and made it difficult to find patterns of agreement. Despite the  
367 limitations, these techniques and approaches are very useful and productive for the type  
368 of exploratory research we conducted. These methods produce very useful descriptive

369 insights, suggest analytical trends and patterns, and serve as a platform for productive  
370 engagement with study participants. This exploratory research promoted a good dialogue  
371 and allowed us to establish a rapport with community residents and decision makers  
372 alike, which will be leveraged to develop both qualitative and quantitative analysis in  
373 follow up studies currently being pursued.

### 374 3. Results and Discussion

#### 375 3.1 Workshop One: Cultural knowledge about climate change

##### 376 3.1.1 East Boston

377 The first workshop in East Boston was held on the evening of March 9, 2009 and was  
378 attended by 26 community residents, about two-thirds of which were Spanish speaking.  
379 Consent forms for voluntary participation and permission to audio tape were explained to  
380 participants by the workshop leader and then signed by the participants. Sequential  
381 translation (lines presented first in English and then in Spanish) was offered by the  
382 directors of the NGOs. Participants were asked to list all words that came to mind when  
383 they think of the term “climate change”. A total of 74 words were mentioned and  
384 recorded on flip charts and participants were provided "post it" notes to rate those words  
385 that they thought represented impacts that were most important. Again, we did not ask  
386 for any explanation, so as not to bias their cultural thinking about the terms and their  
387 importance. We reduced the list of 74 words to 47 based on this rating; a few synonyms  
388 were included in the final list. After this exercise was complete, we asked participants for  
389 their thoughts about our process and general ideas about climate change. It became clear  
390 that many of workshop participants were actively engaged and deeply invested in their  
391 community and reasonably well educated on the issues and complexities of climate

392 change. However, this may have been due to the fact the director of NOAH, who  
393 advertised the workshop and invited the participants, had targeted those whom she  
394 already knew were active in the community and on environmental issues.

### 395 3.1.2 Everett

396 The first workshop in Everett was held on the evening of December 10, 2009 and  
397 was attended by 30 community residents, the vast majority of which were Spanish  
398 speaking. The same procedure was followed (first consent, then introductions, then word  
399 listing-pile sorting exercise). Over 60 words were listed of which 41 were mentioned by  
400 four or more participants; these terms were used in the pile sorting exercise and later  
401 MDS analysis. Figures 3a and 3b show the results of the MDS analysis of word list-pile  
402 sort activity for East Boston and Everett, respectively. These plots were generated using  
403 non-metric multidimensional scaling tools of the software program Anthropac V4.0  
404 (Borgatti 1996). Word that are plotted closer together were on average placed in the the  
405 same pile by workshop participants, suggesting that they see the words as more similar  
406 than words in other piles. While there are differences in the words elicited for both East  
407 Boston and Everett, there are some general commonalities across the two MDS plots.  
408 Participants in both communities identified a number of drivers of climate change. In  
409 East Boston, participants identified such causes as emissions, pollution, and carbon  
410 dioxide, (Figure 3a), while in Everett deforestation, green house gases (Figure 3b). Most  
411 words for both communities fell into a category that can be labeled large-scale  
412 environmental impacts. For East Boston, a cluster of words such as changing  
413 atmosphere, melting glaciers, rising sea level, flooding, drought, fires, disaster,  
414 devastation, etc were elicited (Figure 3a). For Everett, participants mentioned large-scale

415 impacts such as fires, volcanoes, polar cap, landslide, wind, rain, flooding, storms, etc.  
416 (Figure 3b). A third cluster of words in both plots include perceived social and health-  
417 related impacts of climate change. The East Boston MDS plot includes terms for social  
418 impacts, including insecurity, discrimination, war, poverty and violence, and health  
419 impacts such as asthma, sickness, death, famine, epidemic (Figure 3a). For Everett, the  
420 social impacts mentioned include immigration, fears, extinction, hunger, and more bio-  
421 medical impacts included infertility, illness and epidemics (Figure 3b)

422         The terms and their clustering in Figures 3a and 3b support a number of  
423 observations that are relevant for both communities' opportunities and constraints in  
424 terms of adaptation to climate change and SLR. First, many of the elicited causes and  
425 consequences or impacts are not scientifically established or at best could be a number of  
426 indirect links away. The veracity of specific terms is less important than the overall  
427 pattern of clustering. First, participants are clearly conceptualizing climate change as  
428 initiating a series of environmental and human impacts. In both plots, however, there are  
429 not many terms that capture the causes, though East Boston does have a number of terms  
430 related to causes (e.g., emission, carbon dioxide). In our discussion with workshop  
431 participants after presenting the MDS results, it became clear that participants did not  
432 have much scientific-based information on the causes of climate change and resulting  
433 SLR (which is why they greatly appreciated our presentation on the topic in Workshop  
434 Two). Where participants did have more information was on the impacts of climate  
435 change, regardless of the extent and veracity of their understanding on the causes. In  
436 both MDS plots, many terms were listed for environmental impacts. Many of these  
437 impacts have not been scientifically linked to climate change, though more than a few

438 have been (e.g., flooding, storms, melting glaciers, drought, hot, storms, rising sea level).  
439 Again East Boston participants generated more environmental impact terms relative to  
440 Everett, which maybe due to the information and outreach work of NOAH. Finally,  
441 participants in both communities provided words that suggest they understand that  
442 climate change will have a wide range of social and health impacts. The scope and  
443 breadth of these impacts is impressive: greed, insecurity, war sadness, illness, asthma,  
444 famine, etc. (Figures 3a and 3b). Again, while not scientifically proven, the range of  
445 human impacts mentioned by participants is noteworthy for the possibility that  
446 participants see a very ominous and catastrophic future associated with climate change.  
447 Given the above results, it is important to reiterate that the results of the MDS should not  
448 be judged as "right or wrong" according to scientific knowledge, but as representative of  
449 the cultural beliefs and values participants draw upon to help them understand climate  
450 change. This cultural knowledge is derived from shared experiences, both here and in  
451 regions from which participants have emigrated.

452         The MDS plots also are informative in terms of what terms were not mentioned.  
453 While participants from both communities mentions broad responses such as  
454 reforestation, trees, Kyoto Accords, green alternatives and even Al Gore, there was no  
455 mention at all of specific adaptation strategies, in particular to flooding and SLR. In our  
456 discussions with participants during Workshop 3, it became very clear that participants  
457 have almost no knowledge of possible adaptation strategies, or the local government or  
458 non-government agencies that could assist them. . The "take away message" from this  
459 analysis was a general lack of understanding about local impacts or responses to climate  
460 change. This is the first barrier that must be overcome to develop effective adaptation

461 plans.

462 3.2 Workshop Two: Local impacts of climate change and the concept of adaptation.

463 3.2.1 East Boston

464 The second workshop in East Boston was held on April 27, 2009. There were 30  
465 participants in this workshop. Many but not all of the participants had attended the first  
466 workshop. Joining us at the workshop was a representative from the City of Boston,  
467 because he was interested in knowing how the residents would react to the information  
468 that we presented. At the beginning of this workshop, we presented preliminary results  
469 of the MDS analysis, noting the way the words had been grouped together and possible  
470 explanations as discussed in Section 3.1. We then presented a brief overview of climate  
471 change science, presenting a graph of long-term climate records, how these records are  
472 developed from ice cores and an overview of climate change impacts that have observed  
473 in New England. We went into more detail about the causes of sea level rise (thermal  
474 expansion, ice melt, and vertical land movement) and projections of how sea level could  
475 change under the two selected climate change scenarios. Figures 4a and 4b show the  
476 extent of flooding in East Boston due to a 100-year coastal storm in 2030, under lower  
477 emissions and 2070, under the higher emissions scenario, to bracket the range of flood  
478 maps we developed for this workshop. There was a great deal of reaction to these flood  
479 maps. After the presentation of the maps, we then gave a brief overview of the four  
480 general adaptation options: 1) no action; 2) protection; 3) floodproofing and 4) retreat.  
481 All agreed that the “no action” scenario was unacceptable, but the biggest issues related  
482 to all options were that of cost and accessibility. Building a sea wall was mentioned as an  
483 example of the protection option, but this elicited a visceral response. The concern with

484 this option was that there are already many problems that residents have to deal with  
485 living in East Boston (proximity to Logan Airport, limited public transportation, and  
486 congestion being some of the biggest) and the one positive attribute was their access to  
487 the water. Residents viewed a sea wall as completely blocking their view and access to  
488 the waterfront. To the “retreat” option, there was a resounding, collective “NO!”  
489 Participants expressed their cultural roots and sense of community as East Boston  
490 residents and that they would not consider leaving this area. With respect to the option of  
491 “floodproofing”, the general consensus was “who would pay for this?” Many residents  
492 are renters and were concerned that landlords would have no incentive for floodproofing  
493 their buildings. We also discussed temporary evacuation as an option, but participants  
494 noted that many of them would have no place to go. Their families and friends are all in  
495 East Boston and they would not be able to afford to live in hotels outside of the flooded  
496 areas

### 497 3.2.2 Everett

498 The second workshop in Everett was held on April 16, 2010; twenty participants  
499 attended. We followed the same format as in East Boston, first discussing the results of  
500 the MDS analysis and then presenting an overview of climate change in general, and sea  
501 level rise in particular. Figures 5a shows the flooding in 2030 under the lower emissions  
502 scenario and Figure 5b shows flooding in 2070 under the higher emissions scenario,  
503 bracketing the extent of flooding we presented to the residents. The residential area of  
504 Everett is well above the flood elevations. No one at the workshop lived in the affected  
505 area and only a few knew people who did. As before, we discussed adaptation options  
506 with the residents, but in general, there was little connection to this idea because the



507 residents themselves would not be affected directly. However, we did discuss the many  
508 ways in which flooding in the commercial and industrial areas would disrupt jobs,  
509 transportation into and out of the city and could lead to environmental contamination.

510 3.3 Workshop Three: Incentives and obstacles to community implementation of  
511 adaptation strategies.

#### 512 3.3.1 East Boston

513         The final workshop in East Boston was held on March 29, 2010. Coincidentally,  
514 this meeting was held during the third of three successive large rainfall events in as many  
515 weeks that occurred in March 2010, and so flooding was on everyone's mind that  
516 evening. Forty participants showed up, which was by far the largest attendance of any of  
517 our workshops. We first gave an overview of the information presented as the second  
518 workshop and then began talking in more detail about adaptation options. The first  
519 option we discussed was evacuation and we showed the map of evacuation routes and  
520 evacuation centers in East Boston shown in Figure 6. This clearly indicated that the  
521 current evacuation plan for the city of Boston is inadequate for the future because, by  
522 mid-century, both evacuation routes and centers would be flooded in an extreme coastal  
523 storm under both lower and higher emission scenarios. Adapting the evacuation plan for  
524 East Boston is addressed in more detail in Kuhl et al. (in review). After discussing  
525 evacuation, we then presented more detail on the types of floodproofing that is available  
526 for residential buildings and we showed the conceptual images for the sea wall and beach  
527 designed by Woods Hole Group, Inc. (a coastal engineering firm in East Falmouth,  
528 Massachusetts) and also reiterated the retreat option. We then divided the participants  
529 into 4 groups so that they could discuss these options and answer the four questions

530 outlined in Section 2. Each group had a facilitator who transcribed the discussion as best  
531 as possible. Following is a summary of these answers.

532 **1. Which of the adaptation options seems most feasible/attractive?**

533 Generally, most supported the concepts of utilizing natural (“soft”) approaches as  
534 much as possible. This would include beach systems as well as restored wetlands. The  
535 advantage of “soft” barriers is that flood protection can be combined with neighborhood  
536 amenities, such as green space. Overall, there was more acceptance of the modular sea  
537 wall as an option in some places, which was a very different reaction than during the  
538 second workshop. This attests to the power of images in conveying an idea. Some  
539 supported the concepts of floodproofing by wet and dry methods as appropriate. Very  
540 few supported elevation of existing buildings. Only a few supported evacuation as an  
541 option. Some suggested using the facilities at the nearby Logan Airport as an evacuation  
542 site; part of the airport terminals are relatively high and they have food preparation and  
543 water and toilet facilities. Tour boats such as “Duck” boats could be used to ferry  
544 residents, if necessary. Others brought up the concept of connecting the chain of islands  
545 in the harbor with an opening hurricane barrier. A number of participants recognized that  
546 it may be possible to implement some adaptation measures against coastal flooding that  
547 also protect against another climate change threats such as increased local drainage  
548 flooding from more intense rainstorm.

549 There was some discussion prompted by one of the facilitators about when the  
550 group recommends action be taken? Does it makes sense to adapt now or wait? Some  
551 remarked that actions should be taken now to avoid a situation like the flooding during  
552 Hurricane Katrina. Others were willing to wait until had more information but agreed

553 with the facilitator that options for future actions need to be preserved now. All agreed  
554 that community members need to be a part of the planning process.

555 **2. Which options would you object to and why?**

556 Every option had some objections. Protection based upon sand systems faced the  
557 threat of loss of stability and erosion. Sea walls were generally considered unattractive  
558 and block views (though there was some discussion of the trade-offs of views and safety).  
559 Dry floodproofing with tarps around the basement might be difficult to implement.  
560 Elevation of some buildings would be unattractive and difficult because many buildings  
561 are attached to each other. There are also many basement apartments making any kind of  
562 flood proofing difficult. In addition, since many rented their residences, they were not  
563 able to carry out these options. Evacuation was a concern because of the resulting traffic  
564 jams, the costs of staying outside of their residents for any period of time, most residents  
565 not having cars, and a significant number of disabled and elderly people. Many people  
566 would stay to protect their property. Permanent retreat is not seen as an option because of  
567 desires of residents to remain close to family and friends and general difficulty of  
568 obtaining low priced housing; “permanent moving should not be on the table... People in  
569 East Boston have a real identity and roots... there needs to be a better plan for staying  
570 here.” Some acknowledged that living close to the coast presented a special set of risks  
571 that must be recognized.

572 **3. What obstacles are in the way to getting the options in place?**

573 All the workshop participants mentioned that cost was a major obstacle for the  
574 community taking action. Costs for individuals would be high and landlords would be  
575 unwilling to invest in floodproofing rental units because of possible lost of rents from

576 lower units. Other obstacles to evacuation besides those previously described include  
577 some having no place to go – no family or friends within 10 miles inland. Evacuation  
578 preparation time of 24 hours would also be an obstacle. Another obstacle was the need to  
579 redefine the evacuation routes after the next few decades so they would be passable – if  
580 that was possible. Other obstacles included the need to coordinate flood protection from  
581 multiple sources – for examples from areas outside of the neighborhood and the drainage  
582 network also backing up – both possibly negating any local adaptation defenses.  
583 Participants also mentioned that dealing with the local municipal bureaucracy was very  
584 difficult. Interestingly no one mentioned current floodplain management policies of the  
585 City, the state, and the federal government. Also, no one mentioned that much of the East  
586 Boston coastline is a Designated Port Area.

#### 587 **4. What needs to happen to make adaptation a reality?**

588         Some suggested that the City of Boston fund and build large protection projects  
589 that protect many residents because many homeowners and landlords will not will pay to  
590 take steps to protect individual residences. Perhaps also all new buildings should be  
591 floodproofed and zoning has to be improved to formally incorporate consideration of  
592 future sea level rise vulnerability. Most participants agreed with the suggestion of one  
593 participant that one of the first actions has to be for all to recognize the challenges of  
594 climate change and then for the community to participate in the planning process - “So  
595 they don’t feel powerless”. Several factors were seen as important to accomplishing this.  
596 There is the need to educate a broad range of stakeholders. More information on climate  
597 change is key. Community groups need to become more involved by helping negotiate  
598 between the City and the community, between landlords and renters. Participants offered

599 to go out and each talk to 2 to 3 people about climate change in East Boston. The goal is  
600 for “people (to) get concerned and start taking prevention measures.”

601 The East Boston results can be summarized as below:

602 • The residents profess to having little power over the management of their community.

603 They are generally renters with very limited economic, political or social resources. It

604 appears that the adaptation decisions will be made by processes, institutions and

605 individuals who are between these community members and the climate change

606 impacts, eg., state regulatory program for Designated Port Areas the Boston

607 Redevelopment Authority, and other city agencies, and landlords.

608 • All options have some disincentives for them; with high costs being common to all.

609 Permanently leaving the area is the least attractive. Even though most of them are

610 recent immigrants, they have strong ties to each other and to the concept of remaining

611 together. Their cultural knowledge may limit their viewpoints on alternative locations

612 or communities to live in.

613 • Participants believe they need more information on climate change, how it will

614 impact them, and what resources are available to assist them. Thus even though there

615 have been many reports on climate change and the need for local participation in

616 adaptation (IPCC 2007; USCCSP 2009; NRC 2010), this information has not reached

617 this community or yet resulted in locally driven adaptation planning.

618 On the other hand our research uncovered many incentives to pursue adaptation

619 planning with this community.

620 • They have a very broad ranging view of climate change impacts, as evidenced by the

621 free list, pile sorts and MDS results. They do not appear to be climate change

622 naysayers. Their very holistic view of possible climate change impacts, while not  
623 science-based, is a good platform for further education and learning about the  
624 multiple connections between climate change and a range of impacts).

- 625 • They are committed to their communities, out of choice and also a lack of other  
626 housing options; they don't want to leave; it appears that they want to stay. They also  
627 recognize coastal living presents special risks.
- 628 • Participants prefer options that enhance their present environment and will not require  
629 evacuation or permanently leaving the area. Further research into the social,  
630 economic and environmental aspects of various kinds of adaptation options is  
631 necessary to determine if it is possible to meet this preference and if not possible in  
632 all cases, then other acceptable options must be found.
- 633 • At the end of the workshops the participants appeared to be empowered by the  
634 knowledge they gained during the workshops and wanted to take action. In other  
635 words, this community, while not in main stream of the decision making process,  
636 once they become educated and engaged in this issue, are willing and able to become  
637 a part of the decision making process

### 638 3.3.2 Everett

639 The final workshop at Everett was held on April 21, 2011 and thirty participants  
640 attended. Again, we reiterated the information shared at the second workshop including  
641 the flood maps. In this case there were a couple of participants who do live in an area that  
642 would be flooded. Because there was so little impact on the residents themselves, we did  
643 not ask the same questions as in East Boston. Instead, we focused more discussion on  
644 what the MDS plots said about residents' understanding of climate change and impacts.

645 Impacts noted by some participants included economic and emotional. Residents' ability  
646 to get to their jobs and to other parts of Boston would be disrupted. Also, they noted that  
647 one of the facilities that would be flooded is a food and produce distribution center for  
648 much of New England, suggesting that this type of event could have far reaching impacts.  
649 They also noted that this end of Everett was central to gas distribution for the region and  
650 that there are several large power stations in the area vulnerable to flooding. So in  
651 contrast to East Boston, where most of the impacts would be felt locally, the impacts of  
652 increased flooding in Everett could have dramatic effects on food and energy distribution  
653 and potentially large economic impacts for the region.

654 The workshop concluded with a discussion of the necessity of this type of  
655 meeting to 1) educate residents on climate change and local impacts and 2) facilitate  
656 connections between residents and also with decision makers so that they could be  
657 involved in developing solutions. The obstacles and incentives to climate change  
658 adaptation in Everett were similar in that there was a general lack of understanding of  
659 local climate change impact, but once residents were made aware of the potential  
660 impacts, they were very engaged and eager to be a part of the solution. One major  
661 obstacle in Everett is the fact that the areas most vulnerable to increased coastal flooding  
662 are industrial and commercial land uses, and it may be more difficult to engage these  
663 stakeholders in adaptation planning. The impacts of sea level rise will likely be felt more  
664 indirectly by residents in Everett than in East Boston, but these impacts will be felt  
665 keenly in the metropolitan area and the region because some of the affected areas in  
666 Everett are important centers of regional economic activity. This could be the impetus  
667 for greater participation in adaptation planning by city, state and regional officials.

668 4. Summary and Conclusions

669 We explored the possible impacts of increased sea level rise and potential  
670 adaptive responses of two urban, environmental justice communities (East Boston and  
671 Everett) within the metropolitan Boston area of Massachusetts. While these two  
672 communities have similar socioeconomic characteristics, they differ substantially in the  
673 extent to which residents would be directly impacted by increased coastal flooding. In  
674 East Boston, a large portion of residents would be flooded, while in Everett, it is the  
675 commercial/industrial districts that are primarily vulnerable. The Everett residents  
676 pointed out that since many of these commercial and industrial activities serve the region,  
677 the regional impacts could be greater than impacts on the residents, who are generally at  
678 higher elevations. Our findings indicate that there exist a myriad of social and cultural  
679 obstacles to adaptation in these communities that limit their adaptive capacity. The MDS  
680 analysis indicates that neither community has an adaptation perspective or knowledge of  
681 any resources that could assist them in this challenge. In fact, the MDS indicates the  
682 possible belief that any humans or societies could not adapt to problems of this scale.

683 Table 2 summarizes the obstacles and incentives to adaptation that appeared to be  
684 common in these two communities. With respect to common obstacles, we were troubled  
685 by the lack of empowerment we perceived amongst the participants and the lack of a  
686 local perspective with respect to climate change issues, despite the dramatic increase in  
687 literature and education efforts over the last few years. A further line of research inquiry  
688 is that perhaps this is common for recent immigrant groups that have a tradition, present  
689 or in their past, of being dependent on nature and subject to unmanageable natural  
690 disasters such as floods, storms, and earthquakes. Religious values should also be further



691 explored. This may also reflect the wider lack of knowledge of adaptation options among  
692 all types of communities in the United States because it is only since 2009 that the US  
693 government has acknowledged the inevitability of the need for adaptation planning. On  
694 the other hand, with respect to the many incentives identified, we were encouraged by the  
695 openness and enthusiasm with which the residents embraced our research and the  
696 information that was presented. Residents, NGOs and decision makers alike were very  
697 excited to participate in this research, and have agreed to participate in our follow up  
698 study that will build upon this exploratory study and bring to bear much more concrete  
699 guidance and real steps towards adaptations in these communities.

700       Although not an explicit objective, this research began to address one of the  
701 pressing question presented by Doherty and Clayton (2011), whether educational  
702 intervention can promote positive responses such as empowerment and involvement. In  
703 both sets of community meetings, participants acknowledged that one of the most  
704 important future actions needs to be increased community-level education on climate  
705 change and its impacts. In East Boston in particular, we observed an increasing level of  
706 excitement and willingness by participants to be involved, at some level, in the solution.  
707 Our findings support the central tenet of Doherty and Clayton (2011 pg. 266) “*that*  
708 *climate change is as much a psychological and social phenomenon as a matter of*  
709 *biodiversity and geophysics and has impacts beyond the biophysical.*” Given the  
710 community's desire to move forward with adaptation and the present lack of local active  
711 engagement by government on adaptation here, we believe that a collaborative planning  
712 and learning process such as Joint Fact Finding (JFF) with local and institutional  
713 stakeholders is the next step for East Boston. In JFF, “stakeholders with differing

714 viewpoints and interests work together (with the technical team) to develop data and  
715 information, analyze facts and forecasts, develop common assumptions and informed  
716 opinion, and, finally, use the information they have developed to reach decisions  
717 together” (Ehrmann and Stinson 1999 pg. 376).

718       There are four key lessons that we learned from this work that can be applied to  
719 other communities and other studies as well. The first lesson is the power of an image.  
720 We have found that the flood maps are a very good tool for engaging audiences in  
721 general, and the residents of our case study communities in particular. But even more,  
722 we found that the conceptual design images of adaptation strategies (such as the modular  
723 sea wall) were essential in turning what could have been an attitude of complete dismissal  
724 into one of potential acceptance of such options. The second lesson is that understanding  
725 existing cultural knowledge and values about adaptation to climate change must be part  
726 of the framework adaptation planning, if progress is to be made at the local level. The  
727 third lesson is that one must be prepared to present funding resources along with the  
728 adaptation strategies as we found this to be the biggest concern in each community; the  
729 willingness to be involved in adaptation planning was there, but the financial resources  
730 for implementing them were not. The fourth lesson is that despite the dire predictions and  
731 possibly devastating consequences of climate change, engaging local residents at the  
732 beginning of the planning process can create important educational opportunities and  
733 develop rapport, trust and consensus that is essential for moving from concept through  
734 implementation.

735

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745

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**Figure 1:** Study communities of East Boston and Everett, MA. Community boundaries are outlined in black. The roughly triangular-shaped area of East Boston known as Eagle Hill is labelled in red.

**Figure 2:** Conceptual modular sea wall design protection for a school and community center in East Boston.

**Figure 3a:** Multidimensional scaling (MDS) of pile sorting exercise from first East Boston workshop.

**Figure 3b:** Multidimensional scaling (MDS) of pile sorting exercise from first Everett workshop.

**Figure 4a:** Estimated extent of the 100-year coastal flood in East Boston by 2030 under the low emissions scenario. Note: this map was made prior to developing a method for assessing the connectivity of flooded areas to the ocean. Based on our current improved mapping method, some areas shown to be flooded on Logan Airport property (lower right quadrant of map), have been removed because a geographic information system (GIS) analysis has shown no physical connection to flooding from the ocean.

**Figure 4b:** Estimated extent of the 100-year coastal flood in East Boston by 2070 under the high emissions scenario. Note: this map was made prior to developing a method for assessing the connectivity of flooded areas to the ocean. Based on our current improved mapping method, some areas shown to be flooded on Logan Airport property (lower right quadrant of map), have been removed because a GIS analysis has shown no physical connection to flooding from the ocean.

**Figure 5a:** Estimated extent of the 100-year coastal flood in Everett by 2030 under the low emissions scenario.

**Figure 5b:** Estimated extent of the 100-year coastal flood in Everett by 2070 under the high emissions scenario. Map has been magnified to better illustrate flooded areas.

**Figure 6:** Location of evacuation routes (in red) and evacuation centers (green symbols) relative to flooding due to the 100-year coastal storm under the higher emissions scenario. In this map, we also present color-coded flood depth intervals as shown in the legend.

Fig 1

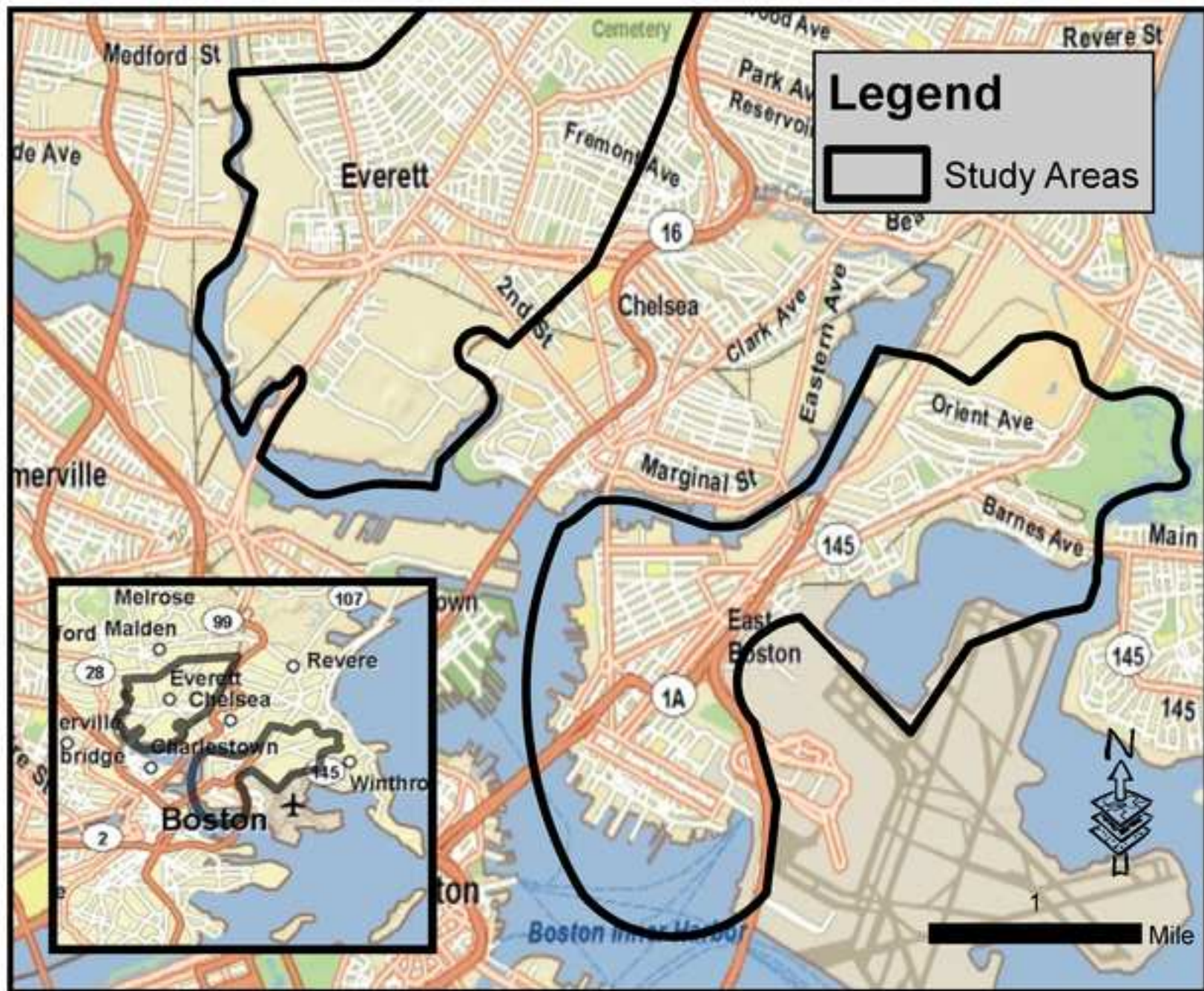


Fig 2





Fig 3a

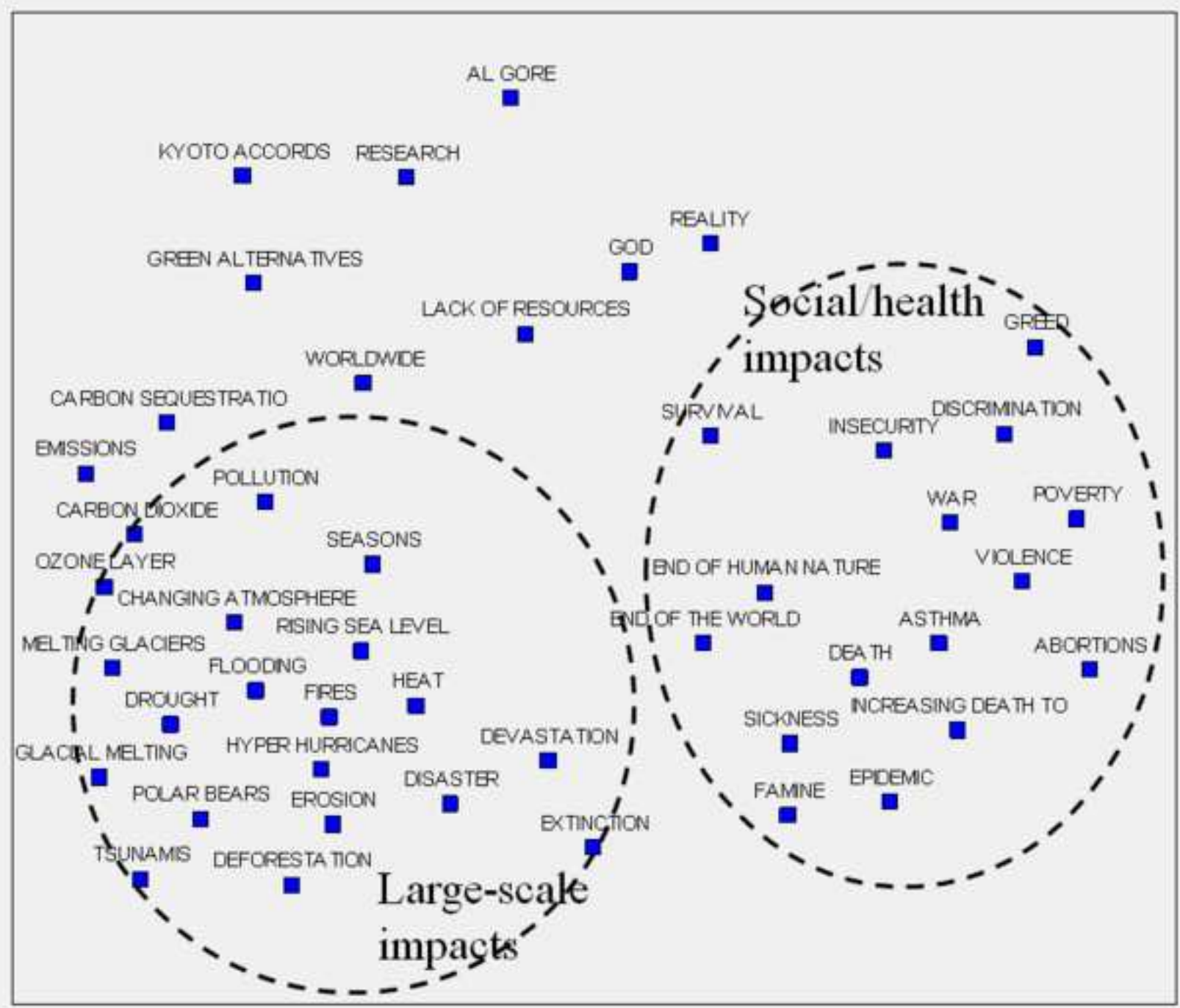


Fig 3b

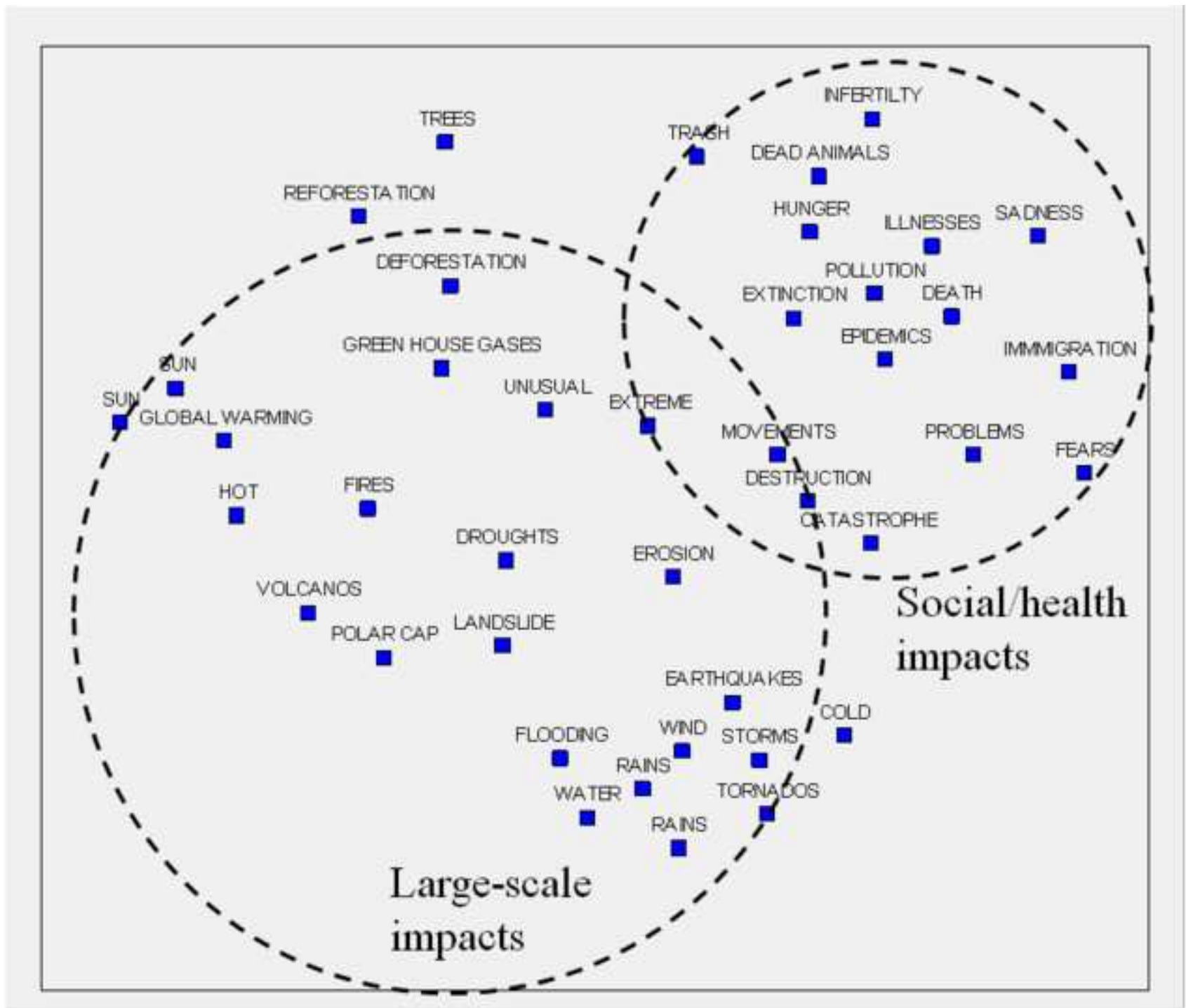


Fig 4a

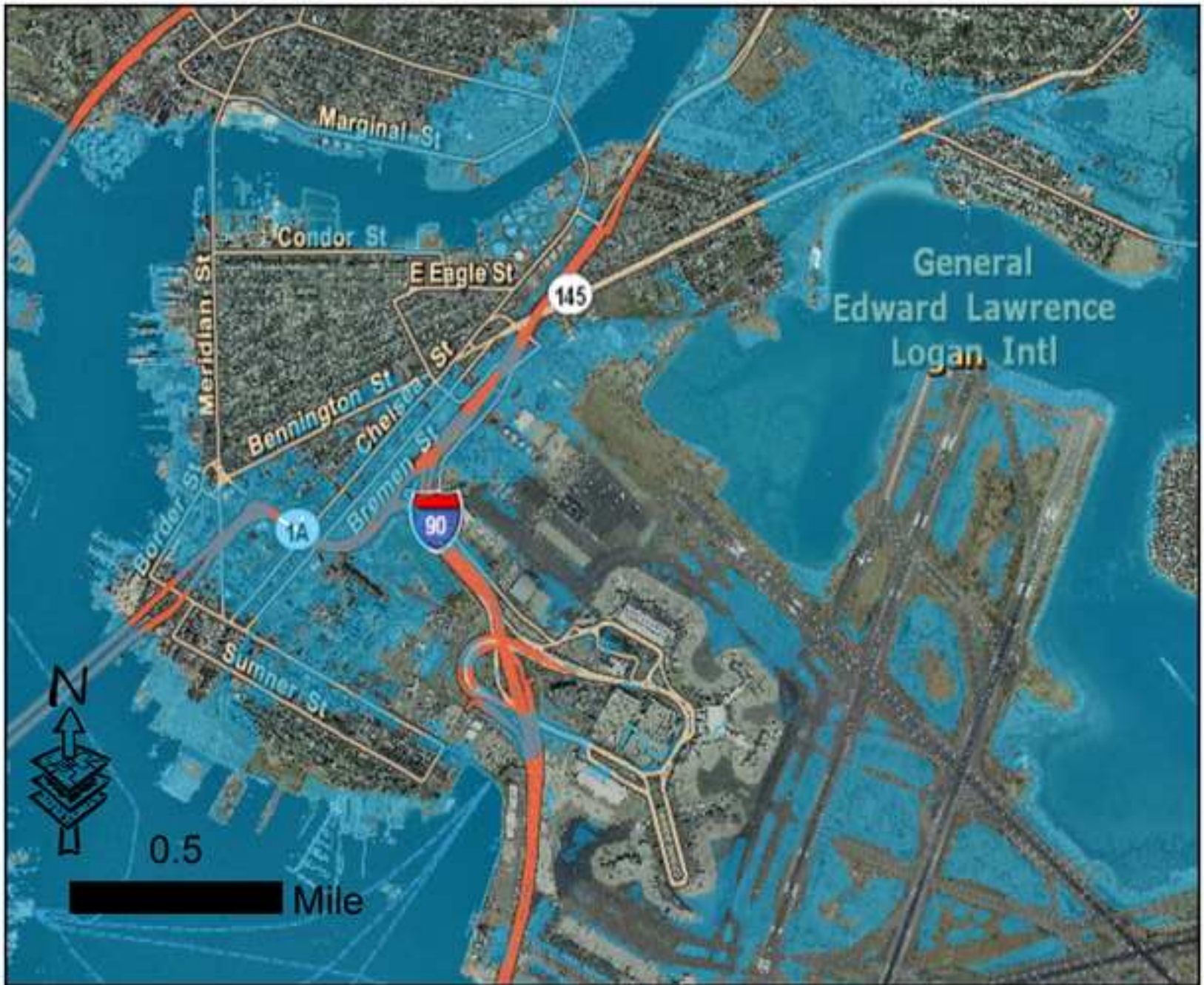


Fig 4b



Fig 5a

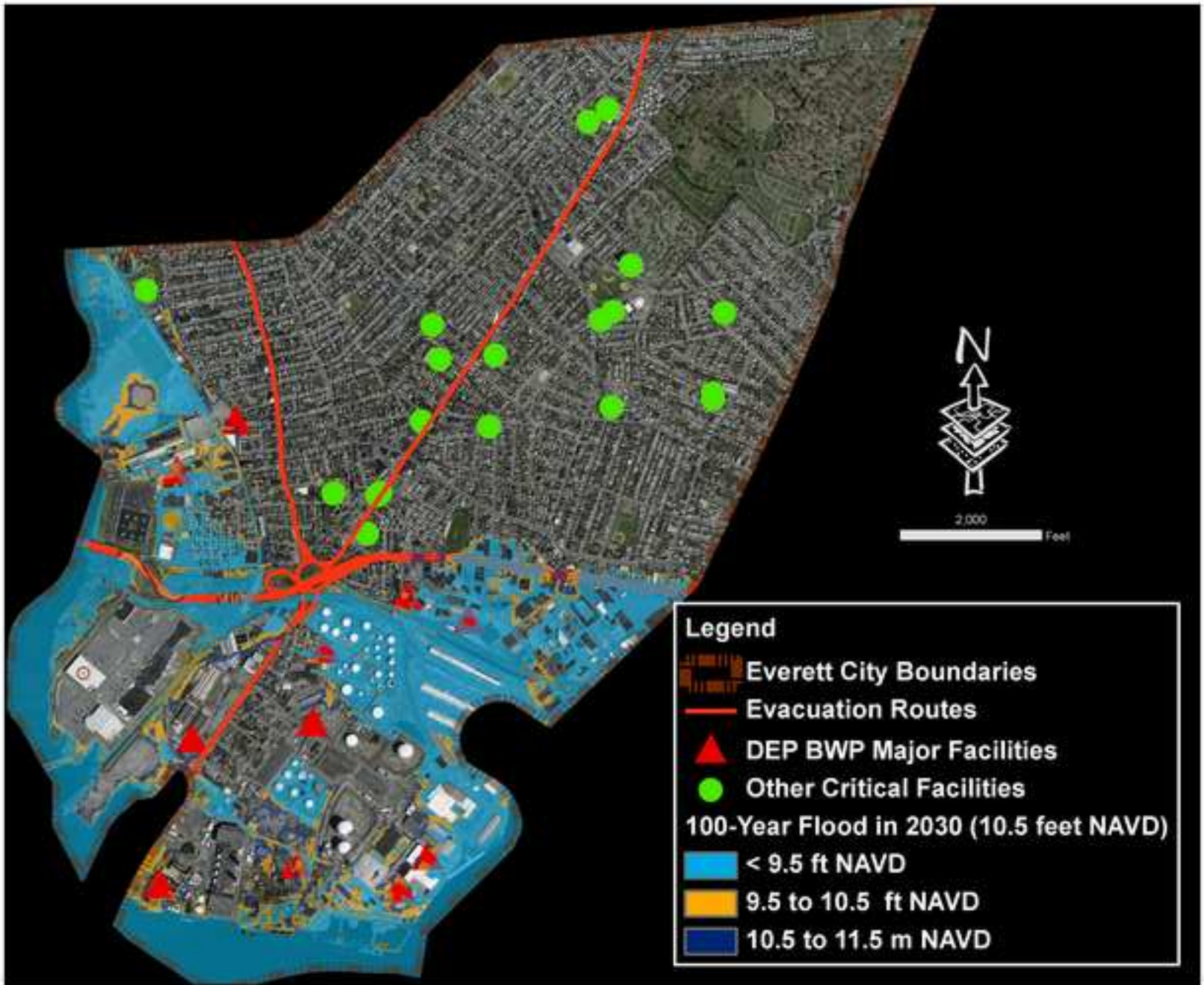


Fig 5b

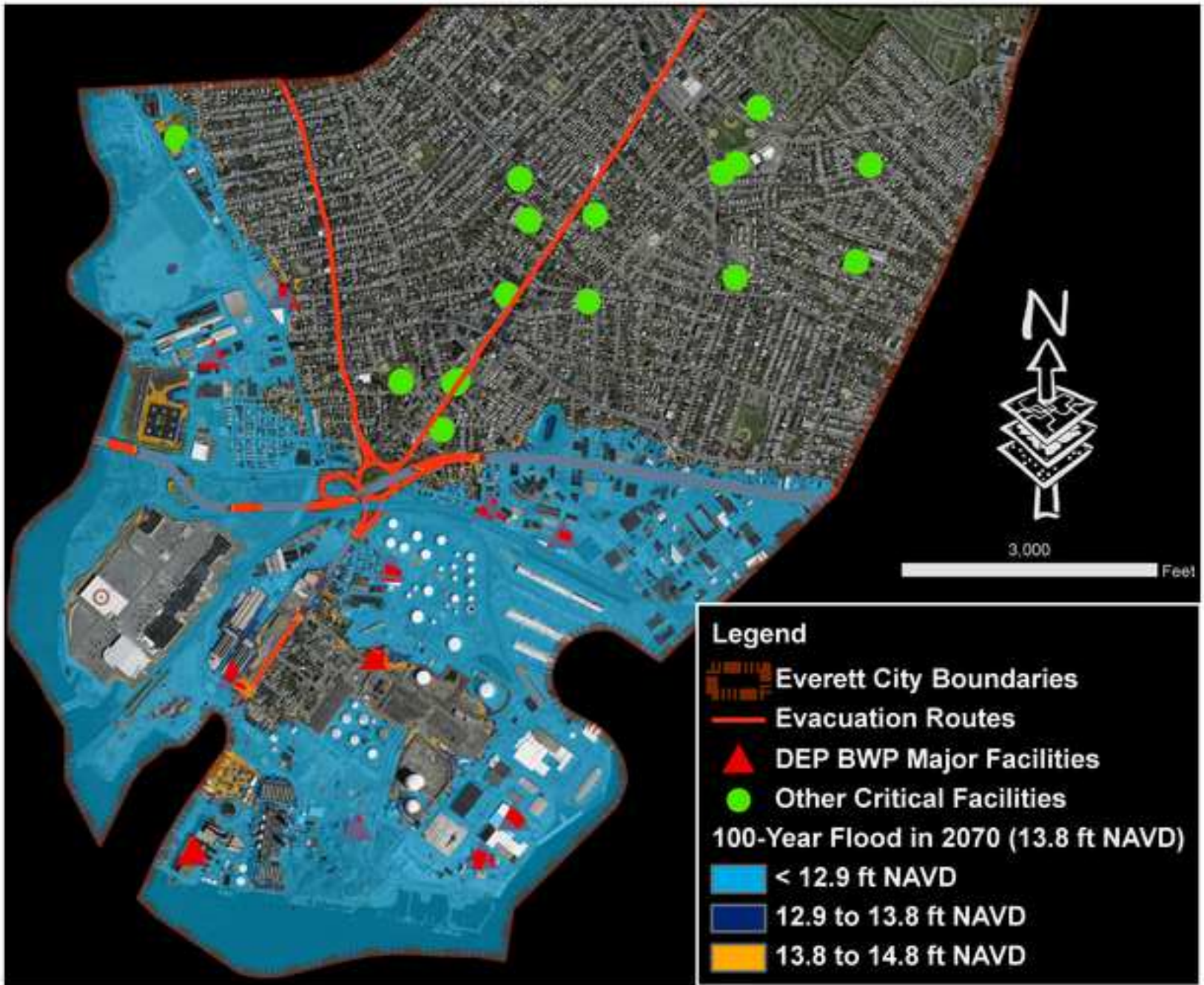


Fig 6



Table 1. Stillwater elevations represented by flood maps developed in this study.

<b>Figure referenced</b>	<b>Year</b>	<b>Emissions Scenario represented</b>	<b>Stillwater elevation (ft NAVD)</b>
4a	2030	Low	10.5
4b	2070	High	13.8
5a	2030	Low	10.5
5b	2070	High	13.8
6	2050	High	12.3



Table 2: Summary of findings with respect to common obstacles and incentives to adaptation in the two case study communities.

<b>Obstacles to adaptation perceived by community residents</b>	<b>Incentives for adaptation perceived by community residents</b>
<p>Many of the participants in our workshops were already actively engaged in community issues, and yet in the workshops, they expressed a lack of power over the management of their community. They are generally have very limited economic, political or social resources. It appears that the adaptation decisions will be made by processes, institutions and individuals who are between these community members and the climate change impacts, eg., the Designated Port Area of the City of Boston and other city agencies, and landlords.</p>	<p>Participants had a very broad ranging view of climate change impacts, as evidenced by the free list, pile sorts and MDS results. They did not appear to be climate change deniers although this could be due in part to the participant selection process. Their very holistic view of possible climate change impacts, while not science-based, is a good platform for further education and learning about the multiple connections between climate change and a range of impacts).</p>
<p>All options have some disincentives for them; with high costs being common to all. Retreat (permanently leaving the area) is the least attractive option. Even though most of them are recent immigrants, they have strong ties to each other and to the concept of remaining together in East Boston. Their cultural knowledge may limit their viewpoints on alternative locations or communities to live in.</p>	<p>They are committed to their communities, out of choice and also a lack of other housing options; they don't want to leave; it appears that they want to stay. They also recognize coastal living presents special risks.</p>
<p>Participants believe they need more information on climate change, how it will impact them, and what resources are available to assist them. Thus even though there have been many reports on climate change and the need for local participation in adaptation (e.g., USCCRP, 2009; NRC, 2010), this information has not reached these communities nor has it yet resulted in locally driven adaptation planning.</p>	<p>While initially the participants had no or a limited concept of adaptation, at the end of the process they were eager to continue learning about climate change and recognized that there is the need for an integrated regional flood management strategy</p>

Participants prefer options that enhance their present environment and will not require evacuation or permanently leaving the area. Further research into the social, economic and environmental aspects of various kinds of adaptation options is necessary to determine if it is possible to meet this preference and if not possible in all cases, then other acceptable options must be found.

The participants realized that stakeholder driven solutions are necessary and are eager to collect more information on climate change and to engage any available institutions and resources to help them. While not in main stream of the decision making process, once they become educated and engaged in this issue, the participants appeared willing and able to become a part of the decision making process.