



# Codon usage bias

Sujatha Thankeswaran Parvathy<sup>1</sup> · Varatharajalu Udayasuriyan<sup>2</sup> · VijaiPal Bhadana<sup>1</sup>

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## Abstract

Codon usage bias is the preferential or non-random use of synonymous codons, a ubiquitous phenomenon observed in bacteria, plants and animals. Different species have consistent and characteristic codon biases. Codon bias varies not only with species, family or group within kingdom, but also between the genes within an organism. Codon usage bias has evolved through mutation, natural selection, and genetic drift in various organisms. Genome composition, GC content, expression level and length of genes, position and context of codons in the genes, recombination rates, mRNA folding, and tRNA abundance and interactions are some factors influencing codon bias. The factors shaping codon bias may also be involved in evolution of the universal genetic code. Codon-usage bias is critical factor determining gene expression and cellular function by influencing diverse processes such as RNA processing, protein translation and protein folding. Codon usage bias reflects the origin, mutation patterns and evolution of the species or genes. Investigations of codon bias patterns in genomes can reveal phylogenetic relationships between organisms, horizontal gene transfers, molecular evolution of genes and identify selective forces that drive their evolution. Most important application of codon bias analysis is in the design of transgenes, to increase gene expression levels through codon optimization, for development of transgenic crops. The review gives an overview of deviations of genetic code, factors influencing codon usage or bias, codon usage bias of nuclear and organellar genes, computational methods to determine codon usage and the significance as well as applications of codon usage analysis in biological research, with emphasis on plants.

**Keywords** Anticodon · Codon adaptation · Codon optimization · CUB indices · Genetic code · Synonymous codon · tRNA abundance

## Introduction

The genetic language of DNA base sequences is deciphered as twenty-letter language of amino acids in proteins, in multiple ways. Out of the 64 nucleotide triplets (codons), 61 encode standard 20 amino acids, whereas three are translation stop signals. Degeneracy of genetic code permits the same amino acid to be encoded by different codons or synonymous codons. Tryptophan and methionine are encoded by a single codon, while remaining 18 of 20 amino acids are encoded by multiple synonymous codons [1]. However,

synonymous codons are not randomly or equally used, but some repeatedly preferred over others, to code for an amino acid. This universal phenomenon of usage of synonymous codons with different frequencies is termed as codon usage bias (CUB). CUB is widespread across species and serves as a code within the genetic code or the second genetic code [2, 3]. Biased frequency of synonymous codons (CUB) varies not only among genomes, but also among functionally related genes and within a single gene [4]. Reasons for existence of codon usage bias in organisms are intriguing. Mutations in gene-coding regions, especially in the second or third nucleotide of an existing codon, that exchange one synonymous codon for another, do not change the amino acid specified by new, modified codons nor the peptide primary sequence. Such synonymous or ‘silent’ mutations without any functional consequences, when selected during evolution, cause synonymous codon usage biases in genomes [5]. Thus, codon usage bias exists due to biased mutational patterns, whereby some codons may be more

✉ Sujatha Thankeswaran Parvathy  
sujatha.parvathy@icar.gov.in; hiisuj1@gmail.com

<sup>1</sup> ICAR-Indian Institute of Agricultural Biotechnology, Ranchi, Jharkhand 834010, India

<sup>2</sup> Department of Biotechnology, Centre for Plant Molecular Biology and Biotechnology, Tamil Nadu Agricultural University, Coimbatore 641003, India

prone to mutation than others and maintained by selection [6]. GC heterogeneity and GC-biased gene conversion (gBGC) also shape codon usage bias in a manner dependent upon the local recombination rate. Evolution of synonymous codon usage is therefore a result of balance between mutation, natural selection and genetic drift on translational efficiency of genes and may contribute to genome evolution in a significant manner [7–9]. Mutational mechanism of codon bias postulates that codon bias is due to biases in nucleotides produced by point mutations, or in the rates or repair of point mutations and explains for the interspecific variation in codon usage. Meanwhile, theory of natural selection postulates that synonymous mutations influencing fitness of an organism are promoted or repressed throughout evolution, resulting in codon usage variations across a genome or a gene [10].

Codon bias plays an important role in a multitude of cellular processes, such as transcription, mRNA stability, translation efficiency and accuracy, as well as structure, expression, function and co-translational folding of proteins [1, 3, 6]. Codon bias determines transcription levels by affecting chromatin structures and mRNA folding and affects translation efficiency, by tuning the elongation rate of translation, suggesting that codon bias is due to genome adaptation to both transcription and translation machineries [1, 11]. Selection exercised on gene sequences without amino acid changes has profound implications in the study of molecular evolution of genes. Codon bias analysis can reveal horizontal gene transfers and evolutionary relationships between organisms, since closely related organisms have similar patterns of codon usage [6]. Highly expressed proteins are mostly encoded by genes with mostly optimal codons [12]. Hence, the most important application of CUB is in genetic engineering or recombinant DNA technology, where codon optimization of genes from *alien* sources are carried out to enhance protein expression for heterologous genes [13].

Striding into the post genomic era, marked by an explosion of information on genetic sequences, it becomes imperative that the databases generated are analysed to extract meaningful information. Many computational approaches are already devised to analyze sequence similarities [14]. However, research on codon usage bias (CUB) is under-exploited at the genomic level. Plants exhibit variations in gene expression, physiology and stress response under diverse environmental conditions. Knowledge of codon usage of plants will therefore help in understanding the molecular mechanisms of environmental adaptation and biological diversity of each plant species [9]. The review discusses the fundamentals of genetic code and its deviations, factors affecting codon usage bias, CUB in plants with reference to the nuclear as well as chloroplast genes,

computational methods to determine CUB and applications of codon usage in crop improvement.

## Degeneracy and deviances of genetic code

Deciphering the enigma termed genetic code and addressing the coding problem of how the 4 nucleotides in the DNA were translated to the 20 amino acids in proteins, was the biggest challenge after the discovery of DNA structure and formulation of Central Dogma of molecular biology in 1953. George Gamow's hypothetical "Diamond code", of overlapping triplet code in 1953, Marshal Nirenberg and Heinrich Matthaei's experiments on cell-free protein synthesis systems to synthesise poly phenyl alanine and poly proline using polyU and polyA mRNA in 1960, and the experiments using acridine-induced single base mutations in DNA of rII cistronic region of T4 phage in 1961 by Francis Crick and colleagues, proved that genetic code is triplet, degenerate, non-overlapping and unpunctuated and each nucleotide sequence is read from a specific starting point [15–17]. The landmark paper of Francis Crick, Leslie Barnett, Sydney Brenner and Richard Watts-Tobin titled "General nature of the genetic code for proteins" was published in journal 'Nature' in 1961 [15]. Har Gobind Khorana decoded the entire genetic code using short defined sequences of DNA and artificial mRNA molecules [18]. Synonymous codons specify the same amino acid, and a codon family includes collection of such synonymous codons, whose maximum size is six and minimum size is one. The universal genetic code and the degeneracy of genetic code are highlighted in Tables 1 and 2.

Origin of genetic code is as mysterious and enigmatic as origin of life. Spontaneous interaction of cosmic and terrestrial chemicals or biomolecules in steaming hydrothermal environments, marked the beginning of life. Peptides were easy to synthesize than RNAs in the primordial environment. As many as 70 naturally occurring amino acids in the prebiotic soup probably originated from carbonaceous chondrites. More than 40 different amino acids were produced in Stanley Miller's atmospheric spark discharge experiments. Subsequent studies showed that 10 of the 20 naturally occurring amino acids could be generated abiotically under simulated primordial earth conditions [19].

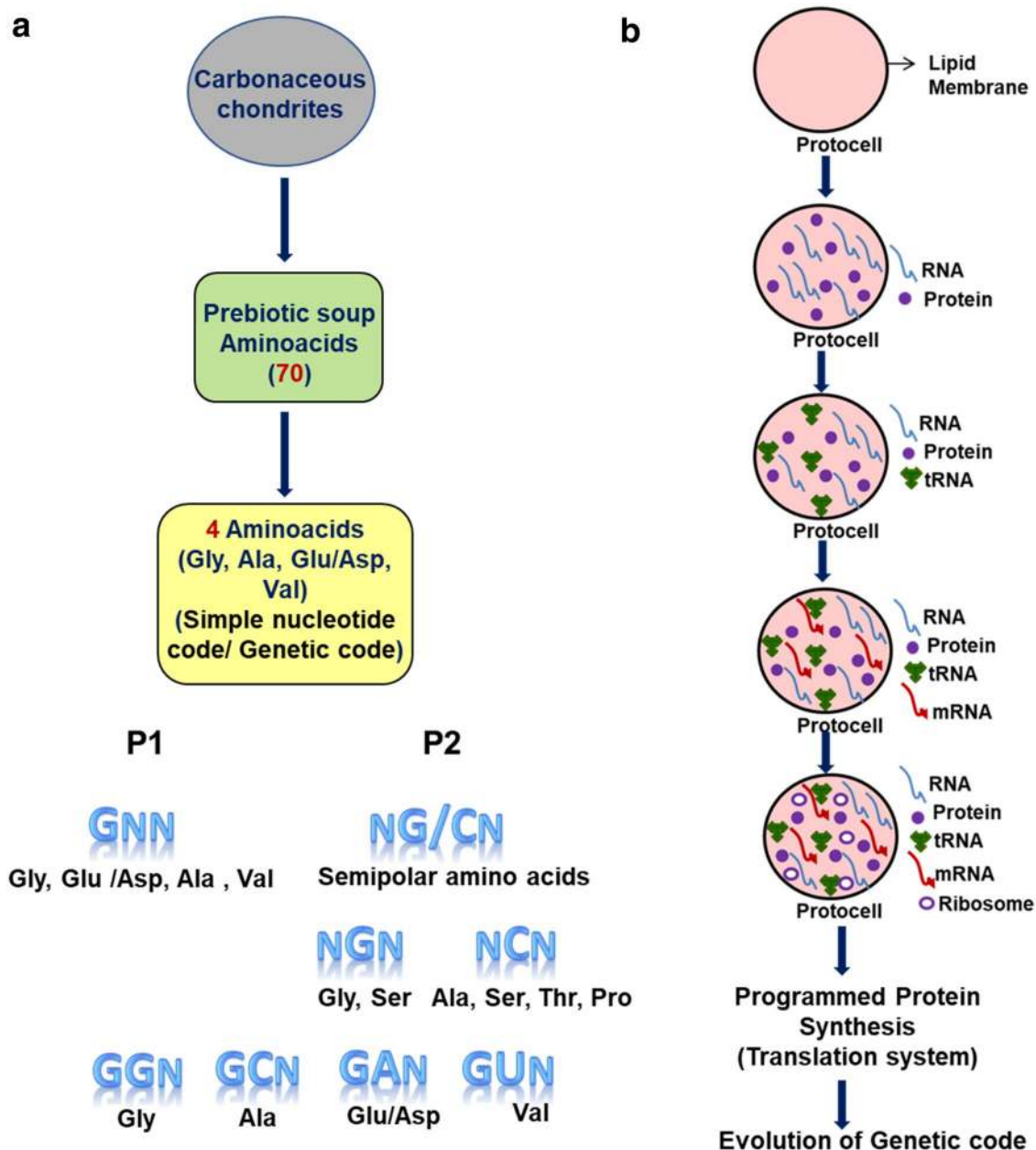
Genetic code formed, as dictated by thermodynamic principles, followed a logical sequence of events, specified as few as 4 amino acids with a simple nucleotide code, in the primordial soup (Fig. 1a and b). The earliest amino acids such as glycine, alanine and glutamic acid had simple structure and could be formed in a variety of environments spontaneously, from purely chemical means, without assistance of protein molecules [20]. In

**Table 1** Universal genetic code

		SECOND POSITION								
		U		C		A		G		
FIRST POSITION	U	UUU		UCU		UAU		UGU		U
		UUC	Phe	UCC		UAC	Tyr	UGC	Cys	C
		UUA		UCA	Ser	UAA	STOP	UGA	STOP	A
		UUG		UCG		UAG	STOP	UGG	Trp	G
	C	CUU		CCU		CAU		CGU		U
		CUC	Leu	CCC		CAC	His	CGC		C
		CUA		CCA	Pro	CAA		CGA	Arg	A
		CUG		CCG		CAG	Gln	CGG		G
	A	AUU		ACU		AAU		AGU		U
		AUC	Ile	ACC		AAC	Asn	AGC	Ser	C
		AUA		ACA	Thr	AAA		AGA		A
		AUG	Met	ACG		AAG	Lys	AGG	Arg	G
	G	GUU		GCU		GAU		GGU		U
		GUC	Val	GCC		GAC	Asp	GGC		C
		GUA		GCA	Ala	GAA		GGA	Gly	A
		GUG		GCG		GAG	Glu	GGG		G

**Table 2** Degeneracy of genetic code

Number of synonymous codons for an amino acid	1	2	3	4	6
Number of amino acids encoded by corresponding codons	2	9	1	5	3
Specifications of amino acids	Methionine (Met) Tryptophan (Trp)	Phenylalanine (Phe) Tyrosine (Tyr) Histidine (His) Glutamine (Gln) Asparagine (Asn) Lysine (Lys) Aspartic acid (Asp) Glutamic acid (Glu) Cysteine (Cys)	Isoleucine (Ile)	Proline (Pro) Threonine (Thr) Alanine (Ala) Valine (Val) Glycine (Gly)	Serine (Ser) Leucine (Leu) Arginine (Arg)
Properties of amino acids with symbols					
Non-polar aliphatic R group	Methionine (M)		Isoleucine (I)	Alanine (A) Valine (V) Glycine (G)	Leucine (L)
Non-polar aromatic R group	Tryptophan (W)	Phenylalanine (F) Tyrosine (Y)			
Polar negatively charged R group (acidic)		Aspartic acid (D) Glutamic acid (E)			
Polar positively charged R group (basic)		Histidine (H) Lysine (K)			Arginine (R)
Polar uncharged R group (neutral)		Asparagine (N) Glutamine (Q) Cysteine (C)		Proline (P) Threonine (T)	Serine (S)



**Fig. 1** Origin of life and genetic code. **a** Origin of 4 amino acids in the prebiotic soup encoded by simple genetic code. P1 indicates nucleotide at codon position 1 and P2 indicates nucleotide at codon position 2. **b** Evolution of the translation system and the genetic code

the primordial code, when second base in a codon (P2) is G, Gly and Ser are encoded and when C is in P2, Ala, Ser, Thr and Pro are encoded. The most abundant primordial aminoacids are Gly (with G in P2) and Ala (with C in P2). If G is in P1, regardless of which base is at P2, Gly, Glu or Asp, Ala, and Val are encoded. Thus, a primitive code with G in P1 gave four original codons coding for the four or five most prevalent amino acids in the prebiotic soup., GGN encoding Gly, GCN (Ala), GAN (Glu or Asp), and GUN (Val) (where N is any base). The primordial

code specified three types of four L-amino acids viz., two semi-polar (Gly, Ala), one hydrophilic (Asp) and one hydrophobic (Val) amino acid. Later, six more amino acids were recruited from the prebiotic environment for tRNA-mRNA-aaRS (aminoacyl-tRNA synthetase) interactions. The relative abundances of these ten amino acids in the order Gly > Ala > Asp > Glu > Val > Ser > Ile > Leu > Pro > Thr, correlated with the free energies of their synthesis, suggesting that thermodynamics determined their relative amounts. These were precursors for the formation of other

ten amino acids along prebiotic pathways. Tryptophan has a complex structure, is comparatively rare in the protein code and hence is one of the latest additions to the code. Due to evolving anabolic pathways, when additional amino acids became available, genetic code expanded stepwise, with increasing number of codons to specify correspondingly increased number of amino acids and to eventually include all 20 common protein amino acids [20]. The complexity grew over time, so that codons were reassigned later to a related amino acid, to minimize the consequences of mutations and translational errors. The current code would thus be a relic of the early code.

Complex cellular components originated by encapsulation of RNA, amino acids and peptide molecules from prebiotic soup by lipid membranes, to initiate a molecular symbiosis inside the protocells. The prebiotic information system was created step-by-step by these biomolecules, at first plasma membranes, followed by RNA and peptides, then tRNAs, mRNAs, and then ribosomes, for programmed protein synthesis. The demand for a wide range of protein enzymes over peptides, was the main selective pressure for the origin of information-directed protein synthesis, a unique signature of life. Transition from peptide to protein in the peptide or RNA world and the interactions between diverse RNA molecules, amino acids or peptides and various enzymes such as ribozymes, aminoacyl tRNA synthetases etc., led to the gradual evolution of the translation system and the genetic code (Fig. 1a and b) [19].

The code was thought to be invariable in all organisms ('frozen accident') [21]. Deviations from the standard genetic code and subtle variations in the codon assignment were found in Archaea, Bacteria, eukaryotic nuclear genomes and organellar genomes, mostly in mitochondrial genome with more than 20 alternative codes [22]. Violations of the universal code are rarer for nuclear genes, the deviations mostly restricted to stop-to-sense reassignments of termination codons, while mitochondrial genetic code deviations included sense-to-sense or even sense-to-stop codon reassignments [21, 23]. Reassignment of one or two termination codons as sense ones is reported in eukaryotes. However, a new variant of the nuclear genetic code with all three standard termination codons reassigned to code for amino acids, was discovered in a clade of trypanosomatids or protists, where UGA was reassigned to encode tryptophan, and UAG as well as UAA (UAR) were reassigned to encode glutamate. Use of both UAG and UAA codons in the coding sequences for glutamate can be explained by G-to-U transversion of the first nucleotide in GAG and GAA codons (for glutamate), respectively. Efficient use of UAG, UAA, or UGA as sense codons not only requires sufficient abundance of cognate aminoacyl-tRNAs, but also specific modifications in the eukaryotic release factor 1 (eRF1) to recognise all termination codons, so that the specificity of eRF1

is reduced. However, as termination codon, UAA predominated, UAG was rarely used and UGA was not used [24]. Post-transcriptional base modifications at tRNA anticodons modify the codon or anticodon base-pairing rules ('wobble rule'). Mutations of the tRNA identity elements also result in codon reassignments. As more organisms were studied, genetic code was found to be no longer universal and frozen, but malleable, continuously evolving and 'quasi' universal, due to the widespread deviant codes [22]. The deviations of genetic code and the alternative codons used are given in Table 3 [21, 24–29]. A list of alternative translation tables is maintained by NCBI (<https://www.ncbi.nlm.nih.gov/Taxonomy/Utils/wprintgc.cgi>) [23].

Genetic code has expanded slowly and may continue to evolve with the evolution of organisms and changing environments. The code structure co-evolved with amino acid biosynthesis pathways (co-evolution theory); or for minimizing the adverse effect of point mutations and translation errors (error-minimization theory) or due to physicochemical affinity between amino acids and the cognate codons (anticodons) (stereochemical theory) [22]. Code evolution takes place due to recruitment of non-standard or non-canonical amino acids (NSAAs or ncAAs) to non-sense codons (genetic code expansion) or to multiple sense codons (genetic code reprogramming). Selenocysteine (Sec, 21st amino acid) and pyrrolysine (Pyl, 22nd amino acid) can be inserted against non-sense codons UGA and UAG respectively [30]. Thus, 22 amino acids are used in the native translation system. Selenocysteine (Sec) is similar to cysteine and serine, but contains a selenium atom in place of sulfur in cysteine, and oxygen in serine, and is synthesized on its cognate tRNA. Mechanisms of incorporation of non-standard amino acids are given in Fig. 2a. The precursor of Sec is serine, which attaches to tRNA<sup>Sec</sup> by seryl-tRNA synthetase (SerRS), to form Ser-tRNA<sup>Sec</sup>. In bacteria, Ser-tRNA<sup>Sec</sup> is then converted to Sec-tRNA<sup>Sec</sup> by selenocysteine synthase (SelA) in the presence selenophosphate, a selenium donor. In Archaea and eukaryotes, an additional step of phosphorylation of Ser-tRNA<sup>Sec</sup> by *O*-phosphoseryl-tRNA kinase (PSTK) occurs, that results in formation of *O*-phosphoseryl-tRNA<sup>Sec</sup> (Sep-tRNA<sup>Sec</sup>). Sec-tRNA<sup>Sec</sup> is formed from Sep-tRNA<sup>Sec</sup> and selenophosphate, by Sep-tRNA:Sec-tRNA synthase (SepSecS). Sec incorporation at the UGA codon requires the presence of a stem-loop structure, a Sec insertion sequence (SECIS), SECIS binding protein 2 (SBP2), Sec specific elongation factor (EFSec), Sec tRNA, phosphoseryl-tRNA kinase (PSTK), SECp43 and Sec synthase [30, 31]. Selenoproteins are found in animals but are absent in fungi or higher plants, and hence tRNA<sup>Sec</sup> is absent in higher plants [32]. However, pyrrolysine is not made on tRNA<sup>Pyl</sup>, but as a free amino acid, which is directly ligated to its cognate tRNA. This is recognized by the standard elongation factor EF-Tu



**Table 3** Deviations of standard genetic code

Sl no	Codon	Standard aminoacid or code	Deviation or alternative code	Examples
1	UGA	STOP	Trp (Tryptophan)  Cys (Cysteine)  Sec (Selenocysteine)  Gly (Glycine)	Bacteria ( <i>Mycoplasma</i> , <i>Spiroplasma</i> , <i>Bacillus subtilis</i> ) Yeast and vertebrate mitochondria Protists (Trypanosomatids)  Ciliates ( <i>Euplotes sp</i> )  Many species in three domains of life  Gammaproteo bacteria
2	UAR	STOP	Gln (Glutamine)	Ciliates Green algae <i>Amoebophilidium protococcarum</i>
3	UAA	STOP	Glu (Glutamic acid)  Gln (Glutamine)  Tyr (Tyrosine)	Ciliates, Trypanosomatids  Heteropteran insect <i>Lygus hesperus</i> , Anaerobic flagellate <i>Iotanema spirale</i>  <i>Mesodinium sp</i> <i>Planaria sp</i>
4	UAG	STOP	Pyl (Pyrrolysine)  Gln (Glutamine)  Leu (Leucine)  Ala (Alanine)	Few methanogenic Archaea and anerobic bacteria  Anaerobic flagellate <i>Iotanema spirale</i> , Trypanosomatids  Heteropteran insect <i>Lygus hesperus</i> Mitochondrial genomes of several green algae Chytrid fungus <i>Spizellomyces punctatus</i> Organelles of some green algae like <i>Hydrodictyon reticulatum</i> , <i>Neochloris</i> etc
5	UUA	Leu (Leucine)	STOP	Mitochondria of <i>Pycnococcus provasolii</i>
6	UUG			
7	UCA	Ser (Serine)	STOP	Mitochondria of Sphaeropleales
8	UCG			
9	CUN	Leu (Leucine)	Thr (Threonine)  Ala (Alanine)	In yeast mitochondria
10	CUG	Leu (Leucine)	Ser (Serine)  Ala (Alanine)	Fungi <i>Candida</i> and Ascomycetes  Mitochondria of yeast <i>Pachysolen tannophilus</i>
11	CGG	Arg (Arginine)	Leu (Leucine)	Mitochondria of <i>Chromochloris</i>
12	AUA	Ile (Isoleucine)	Met (Methionine)  Leu (Leucine)  STOP	<i>Pycnococcus</i> Yeast and vertebrate mitochondria Nematodes  Some animal and yeast mitochondria
13	AAR/AAA	Lys (Lysine)	Asn (Asparagine)  Ser (Serine)	Mitochondria of <i>Drosophila</i> , platyhelminths and echinoderms

**Table 3** (continued)

Sl no	Codon	Standard aminoacid or code	Deviation or alternative code	Examples
14	AGR/AGA	Arg (Arginine)	Ser (Serine)	Mitochondria of echinoderms, fungi and most animals
15	AGG	Arg (Arginine)	STOP	Vertebrate mitochondria
			Gly (Glycine)	Mitochondria of metazoans
			Ser (Serine)	Mitochondria of Sphaeropleales
			Leu (Leucine)	Mitochondria of <i>Chromochloris zofingiensis</i>
			Ala (Alanine)	Mitochondria of Sphaeropleales
16	GGG	Gly (Glycine)	Met (Methionine)	<i>Chromochloris</i>
			Leu (Leucine)	Nematodes ( <i>Caenorhabditis elegans</i> )
			Ile (Isoleucine)	

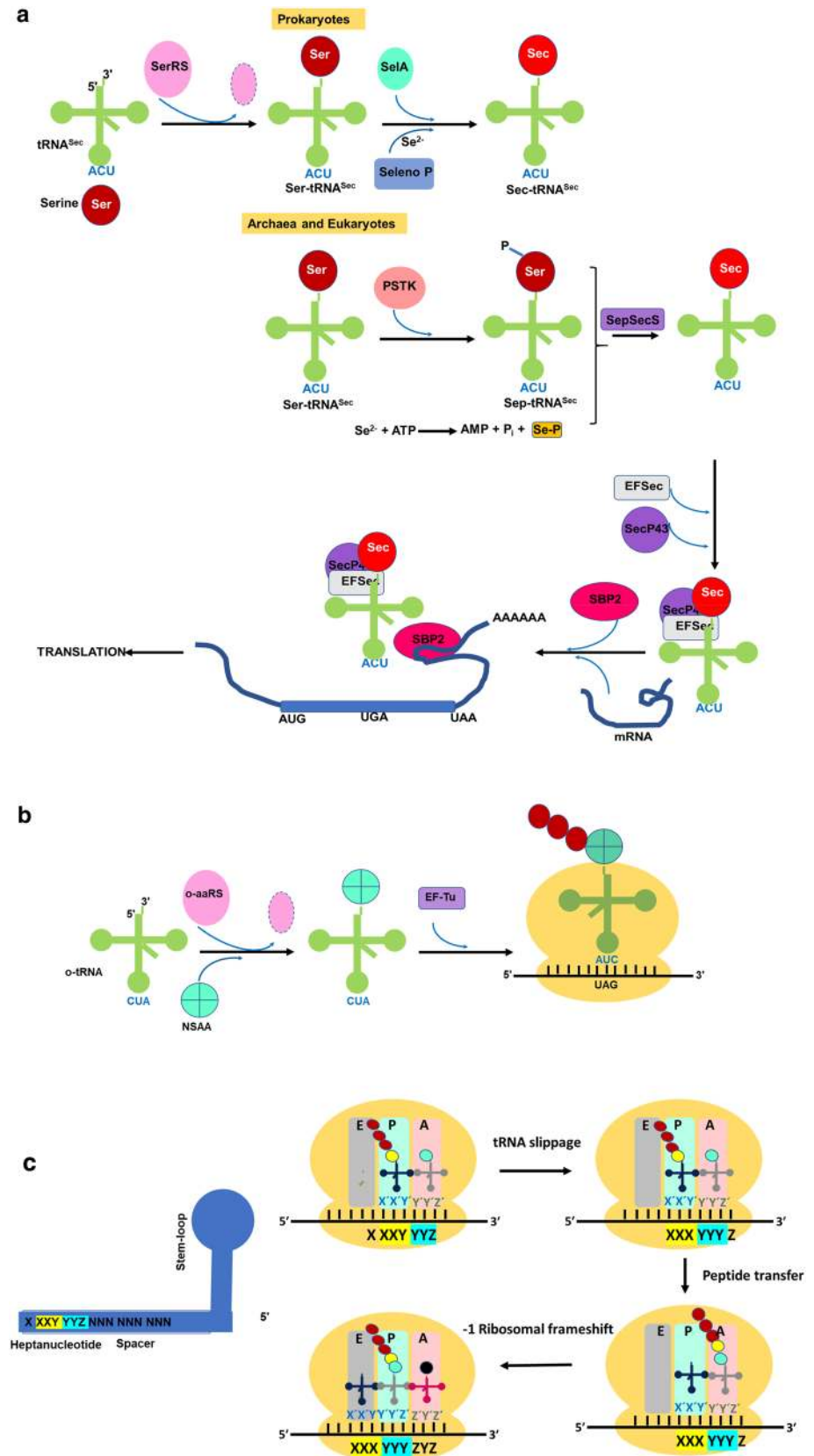
References [21, 24–29]

and pyrrolysyl-tRNA synthetase (PylRS), that ligates pyrrolysine to UAG codon [33]. Meanwhile, AUG is standard start codon in all organisms that codes for two amino acids,  $\alpha$ -N-formylmethionine (fMet) and methionine (Met), respectively for initiation and elongation, where fMet is used for initiation only in bacteria, mitochondria and chloroplasts and Met is used for initiation in eukaryotes. The pre-translationally formed fMet residue is however co-translationally deformed by a ribosome-associated deformylase and removed [34]. Initiation at near-cognate or alternative start codons are also found in prokaryotes and eukaryotes, though their occurrence is rare in eukaryotes. Mitochondrial genomes in eukaryotes (humans) use AUA and AUU, and those of prokaryotes use GUG and UUG, as alternate start codons. In prokaryotes, abundant initiation occurs at GUG (12%), UUG (8%), CUG, AUU and occasionally at AUC codons. In prokaryotes, ‘class I’ start codons UUG and GUG can initiate with 12–15% efficiency, while ‘class IIA’ start codons CUG, AUU, AUC, AUA and ACG initiate translation with 1–3% efficiency when compared to AUG. CUG is used as start codon to code for leucine. The 30S preinitiation complex in prokaryotes positions the start codon in the P-site, base-paired with the anticodon of initiator fMet-tRNA. The near-cognate-initiator tRNA codon-anticodon mismatch occurs through a wobble mechanism. The relative efficiencies of near-cognate start codon utilization compared to AUG, are primarily controlled by bacterial initiation factor 3 (IF3). In eukaryotes, scanning model of translation initiation is proposed, where the 43S preinitiation complex with small ribosomal subunit, multiple initiation factors,

and initiator tRNA recognizes 7-methylguanosine cap of mRNA and scans 5'-to-3' till first start codon is recognized. At non-AUG start codons, methionine is incorporated via scanning initiation, suggesting wobble base-pairing between the initiator tRNA and near-cognate codons. The presence of a Kozak consensus sequence (especially an A or G at the –3 position) strongly affects the efficiency of initiation at non-AUG start codons. Exceptions to the scanning initiation mechanism at non-AUG start codons include initiation at CUG codons using leucyl-tRNA and eIF2A (instead of eIF2) and RAN (repeat-associated non-AUG) translation via cap-dependent scanning mechanisms in multiple reading frames [35]. Codons in any position within the open reading frame can have dual function as with selenocysteine and pyrrolysine, depending on the availability of a specific type of RNA stem-loop structure in the 3'-untranslated regions. Thus, duality of codon function provides additional recoding events and novel features responsible for evolution of genetic code [30].

Nearly 51 or more non-standard amino acids have been reported [36]. Proteinogenic non-standard amino acids are formed by post-translational modifications, while non-proteinogenic non-standard amino acids (NPAAs) may arise as metabolic intermediates or isomers of standard amino acids such as ornithine, citrulline, norvaline, norleucine, alloisoleucine etc. More than 250 non-protein amino acids are found in plants of *Leguminosae*, *Sapindaceae*, *Aceraceae*, *Hippocastanaceae*, *Cucurbitaceae* etc., especially in seeds of legumes and have defense-related functions such as anti-herbivory, antimicrobial or as toxins against invertebrates

**Fig. 2** Mechanism of incorporation of non-standard amino acids and ribosomal frameshifting. **a** Incorporation of selenocysteine. In prokaryotes, serine attaches to tRNA<sup>Sec</sup> to form Ser-tRNA<sup>Sec</sup> and then to Sec-tRNA<sup>Sec</sup>. In Archaea and eukaryotes, Ser-tRNA<sup>Sec</sup> is phosphorylated to Sep-tRNA<sup>Sec</sup> and then converted to Sec-tRNA<sup>Sec</sup>. SerRS indicates seryl-tRNA synthetase, SelA:selenocysteine synthase, SelenoP:Seleno phosphate, PSTK: O-phosphoryl-tRNA kinase and SepSecS: Sep-tRNA:Sec-tRNA synthase. Sec incorporation at UGA codon requires mRNA stem loop structure, Sec insertion sequence (SECIS), SECIS binding protein 2 (SBP2), and Sec specific elongation factor (EFSec). Mechanism of incorporation of selenocysteine in Archae and prokaryotes modified and redrawn from [30]. **b** Incorporation of non-standard amino acids (NSAAs) in proteins through orthogonal translation system (OTS). Orthogonal tRNA (o-tRNA)/aminoacyl-tRNA synthetase (o-aaRS) pairs from phylogenetically distant organisms are used to charge tRNA with NSAAs. **c** Programmed -1 ribosomal frameshifting. Ribosome shifts 1 nucleotide towards the 5' end mRNA. This requires heptanucleotide slippery sequence with consensus of X<sub>2</sub>XXY<sub>2</sub>YYZ where X = any nucleotide, Y = A/U, Z = A/C/U, a spacer region, and a 3'-RNA secondary structure





and vertebrates, or allelochemicals, or in protection against stress, in signaling and nitrogen storage [37]. To incorporate NSAAs site-specifically into proteins for novel properties and diverse applications, an orthogonal translation system (OTS), with orthogonal tRNA (o-tRNA)/aminoacyl-tRNA synthetase (o-aaRS) pairs from phylogenetically distant organisms was used (Fig. 2b). The OTS system does not cross-react with the endogenous amino acids, aaRSs, or tRNAs of the host cell [38].

Codon arrangements and amino acid assignments in the genetic code were non-random. Codons with T or U in the second position encoded hydrophobic amino acids, while those with C or G, and those with A in position 2, respectively encoded semipolar and strongly hydrophilic amino acids. UGA can code for amino acids: L-selenocysteine, L-tryptophan and glycine [20, 22]. The number of synonymous codons for an amino acid was negatively correlated with its molecular weight, but was positively correlated with its frequency in proteins [22].

Codon overlapping is another deviation from genetic code. Codon overlapping occurs in co-translational frameshifts or recoding and by passing, when the ribosome pauses at a rare codon or mRNA secondary structure, shifts forward or backward by a single nucleotide or two nucleotides, and continues translation in a different reading frame [39]. Meanwhile, RNA polymerase slippage results in transcriptional frame shift. Ribosomal frame shifts can be either  $-1$  or  $-2$  (1 or 2 nucleotides towards the 5' end of mRNA) or  $+1$  (1 nucleotide towards the 3' end of mRNA), majority of ribosomal frameshifting being  $-1$  frame shifts. Programmed  $-1$  ribosomal frameshifting (-1PRF) is a gene expression mechanism which requires a slippery sequence, a spacer region, and a 3'-adjacent stimulatory RNA secondary structure (stem-loop or pseudoknot). In eukaryotes, the slippery sequence has a consensus heptanucleotide motif X\_XXY\_YYZ, where X is any three identical nucleotides, Y represents A or U and Z represents A, C or U (Fig. 2c). The slippery sequence may vary in length or content in other organisms. In the tandem slippage model of frameshifting, the ribosomal P-site tRNA anticodon, re-pairs from XXY to overlapping codon XXX, and the A-site anticodon re-pairs from YYZ to YYY. However, frameshifting involving re-pairing at a new, non-overlapping frame codon (hopping/bypassing), is less frequent. Coronaviruses utilize high level  $-1$  frameshifting for synthesis of their polymerase. In  $+1$  ribosomal frameshifting, ribosome pauses at a codon sequence encoding a rare amino acid or when amount of tRNA of that codon in the cytosol is low. The ribosome and its associated tRNA slips into the new frame (single tRNA slip rather than two). Frameshifting is therefore dependent on codon combinations and the physiological state of the cell [39, 40]. Alternate initiation codons such as non-AUG triplets are used for proteins of low expression, different

sub cellular localisations or distinct biological functions in plants [41]. The ambiguities of genetic code in these cases are but tolerated without loss of fidelity.

Expansion of genetic code is a prospective area of research but still in its infancy. Reassignment of stop and start codons and rare sense codons to new amino acid, artificial synthesis of synthetic nucleotides (XNAs) and novel codons, use of four-base codon strategy for synthesis of peptides with multiple non-natural amino acids and natural promiscuous activity of the aminoacyl-synthase enzyme, offer wide possibilities of expanding the standard genetic code [42, 43].

## Codon usage bias

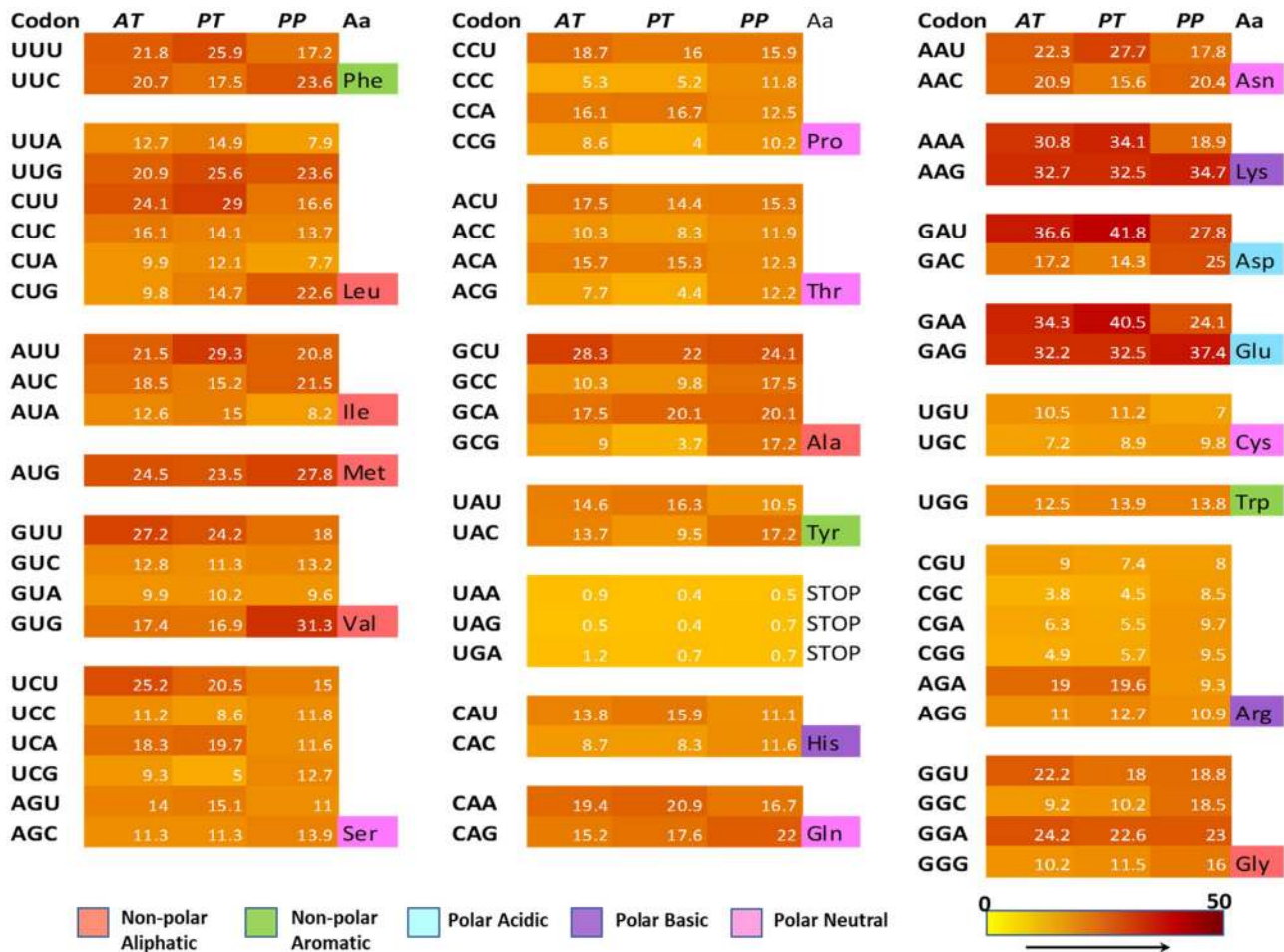
Codon usage bias (CUB) refers to non-uniform use of synonymous codons, the extent of which varies within and among species. *Arabidopsis*, *Populus* and *Physcomitrella patens* are used as model species to analyze codon usage in plants. A comparison of codon usage bias and list of the preferred codons in the three model species are given in Fig. 3 and Table 4 respectively.

## Factors affecting codon usage bias

Codon bias or preference varies not only with species of organisms, family or group within kingdom, but also between the genes and between different sites or positions in a gene. Species-specific codon bias is correlated with overall GC content of a genome. Within the organism, among the genes, codon bias may be influenced by expression level and length of gene(s), mRNA structure, amino acid composition and hydrophobicity of the encoded protein and different steps of protein expression [44]. Codon usage is thus dependent on several factors which are summarized in Fig. 4 and detailed below.

## Genome composition

Genomic GC content determined by mutational processes is a major determinant of codon-usage variation across species [10]. Different species have consistent and characteristic codon biases. Plants have a higher G + C content and among plants, monocots have high GC content (59–61%) than dicots (35–42%) [45]. Chloroplast DNA usually possesses a lower GC content (28.5–42%) and plant mitochondrial DNA is comparably GC-richer (40.6–49%). Nuclear gene-coding regions of monocots are enriched in codons ending in C and G, whereas those of dicots have a higher proportion of codons ending in A and U [46]. A- and U-ending codons were predominant in seven different *Citrus* species



**Fig. 3** Comparison of codon usage bias in model plant species using heat map of codon usage. *AT* refers to *Arabidopsis thaliana*, *PT*: *Populus trichocarpa* and *PP*: *Physcomitrella patens*. The RSCU values for each codon obtained from Kazusa codon database (<https://www.kazusa.or.jp/codon/>) are indicated inside the box in white. Colour code from 0 to 50 indicates the RSCU values. The amino acids are colour-coded to indicate the groups as shown below. (Color figure online)

and in *FAD7* gene of dicots, whereas G- or C-ending codons were used for *FAD7* of monocots [2, 47].

In a genome, differences in codon bias between genes may be due to the variation of G + C or dispersion of large isochores homogenous for G + C content, throughout the genome. Composition bias and selection affects codon bias. To correct for intragenomic compositional heterogeneity, direction and strength of the codon bias should be investigated in the local genomic context [48]. Local GC content increases rapidly in recombination hotspots in genome. GC-biased gene conversion depends on local recombination rate and favors fixation of G and C alleles over A and T alleles at polymorphic sites. Overall genome compositional bias affects degenerate 3rd nucleotide position bias of coding sequences. Greater GC content at synonymous positions in the coding regions compared with the flanking introns indicates selection at synonymous sites [49]. In non-grass monocots, a positive relationship was observed between coding

and genomic GC content as well as between CAI (Codon adaptation index) and GC3 (GC content in third codon position) [9]. GC3 and codon usage were strongly correlated among genes in rice and *Arabidopsis*, which suggested that codon usage variations may be due to a mutational bias at the DNA level, rather than natural selection at the translation level [50].

### Degree of gene expression

Codon usage may reflect degree of gene expression, though gene expression is determined mainly by transcriptional and post-transcriptional regulations. Codon usage reflects the pool of tRNAs available when a gene is expressed, which in turn depends on the physiological state of the cell [51]. Highly expressed genes have a much stronger codon usage bias and are encoded by optimal codons corresponding to

**Table 4** Comparison of preferred codons in three model plants

Amino acid	Plant species			Number of codons
	<i>Arabidopsis thaliana</i>	<i>Populus trichocarpa</i>	<i>Physcomitrella patens</i>	
Non-polar aliphatic				
Met	AUG	AUG	AUG	1
Ile	AUU	AUU	<b>AUC</b>	3
Val	GUU	GUU	<b>GUG</b>	4
Ala	GCU	GCU	GCU	4
Gly	GGA	GGA	GGA	4
Leu	CUU	CUU	<b>UUG</b>	6
Non-polar aromatic				
Trp	UGG	UGG	UGG	1
Phe	UUU	UUU	<b>UUC</b>	2
Tyr	UAU	UAU	<b>UAC</b>	2
Polar acidic				
Asp	GAU	GAU	GAU	2
Glu	GAA	GAA	<b>GAG</b>	2
Polar basic				
His	CAU	CAU	<b>CAC</b>	2
Lys	AAG	<b>AAA</b>	AAG	2
Arg	AGA	AGA	<b>AGG</b>	6
Polar neutral				
Gln	CAA	CAA	<b>CAG</b>	2
Asn	AAU	AAU	<b>AAC</b>	2
Cys	UGU	UGU	<b>UGC</b>	2
Pro	CCU	<b>CCA</b>	CCU	4
Thr	ACU	<b>ACA</b>	ACU	4
Ser	UCU	UCU	<b>AGC</b>	6
STOP CODON	UGA	UGA	UGA/UAG	3
			Total	64

Codon in bold indicates variant codon when compared in all the three plant species

abundant tRNAs, for more rapid and efficient translation. [12, 52]. Codon bias is significantly correlated to gene expression, but independent of base composition. Translational selection acts on highly expressed gene, to have fitness advantage through increased translation efficiency or accuracy [7]. But initiation is rate limiting for production of endogenous proteins and hence the elongation rate should not influence the amount of protein produced [10]. Codon usage and GC content influence mRNA levels by regulating transcription at the chromatin structure level, or through regulation of premature transcription termination and splicing. Thus, codon usage is one of the several factors that affects gene expression through multiple mechanisms at translational, transcriptional and post-transcriptional levels [3].

Only a subset of potential codons is used in highly expressed genes [53]. High G + C content was observed at the silent third nucleotide position in codons of high expression genes [54]. All the preferred codons need not be GC rich and GC/GC3 may not be the accurate

representation of codon usage trend [53]. Optimal third (wobble) codon position could end in A or T rather than G or C as frequent alleles [55]. More random and suboptimal codon usage was observed among low expression genes. For genes whose expression varies from lower to higher expression, with a change in environmental conditions, the codon bias is similar to that of highly expressed genes. In *Arabidopsis*, codon bias was related to the composition of 3' flanking region, in both strongly and weakly expressed genes [56]. A measure termed as ARSCU (Average ratio of Relative Synonymous Codon Usage of GC-end codons to AT-end codons in each gene) was devised to separate high-expression from low-expression genes, where genes with ARSCU values above threshold value 13 were classified highly expressed under drought [51]. The codon usage bias of highly expressed genes and comparison with lowly expressed genes in few plants are presented in Table 5 [51, 53, 57, 58]. Expression levels of genes could be regulated by virtue of codon strategies.

## Pattern of gene expression

Codon bias also depends on whether the genes are spatially or temporally regulated or constitutively and highly expressed. In *Arabidopsis*, genes encoding abundant protein in vegetal cells such as photosynthetic and housekeeping genes had a highly G/C biased codon usage and genes with strong tissue-specific expression had a weaker A/T-biased codon usage when compared to stress-regulated genes [59]. A unimodal correlation existed between the codon usage bias (CUB) and salt stress-resistant genes among plant species [52]. Tissue-specific genes exhibit significantly different synonymous codon usage in rice, due to GC content variation among tissues, although this effect is weak [8]. Environmental stress factors also may modify codon usage. In a basidiomycete, metabolic specialization to use wood as the sole carbon source had modified codon usage of the genes involved in lignocellulose degradation, to the tRNA pool available, to improve the translation efficiency [60]. A score of modified relative codon bias (MRCBS) was used to elucidate pattern and level of expression of genes in *Arabidopsis* where, MRCBS and CAI (codon adaptation index) were strongly correlated [53].

## Gene length

For same expression pattern, a strong negative correlation exists between frequency of optimal codons, codon usage and gene or protein length. Codon usage decreases with increasing protein length. Codon bias is higher for shorter proteins and lower in longer proteins in yeast, plants and metazoans. However, in *E. coli* and *Saccharomyces cerevisiae*, codon-usage bias increased with protein length [4, 50, 61]. In rice genes, weakly expressed genes were longer and had a lower G + C content at the third codon position than strongly expressed genes. Longer transcripts, when enriched in optimal codons ending in G/C, are more likely to form strongly packed mRNA tertiary structures, so that such codons may be counter-selected in genes expressed at low or moderate levels. In shorter genes expressed at high levels, the expression level and frequency of optimal codons ending in G/C are positively correlated [48]. Effect of protein length on codon bias was explained by selection for translation rate [62]. But none of the models could correctly explain the decrease in codon bias for longer proteins.

## Codon position and context in the gene

Translation efficiency is determined by both translation initiation and elongation rates, but translation initiation is a predominant factor. Locally biased distributions of rare and frequent codons or “codon landscape” exist in a gene, which may result in variable translation rates [1].

Codon-tRNA co-evolution explains for the bias towards frequent (preferred) codons, which are selected for accurate and efficient translation, while the rare (un-preferred) codons are important in the fitness of the organism, regardless of their position in the coding regions [63]. But un-preferred codons were position-dependent with important functional roles [10]. Synonymous mutations at specific sites may be subject to selection. At the start of a gene, where missense or nonsense errors are less costly, selection is weaker for translation accuracy, but is strong for increasing ribosomal initiation rate and reducing the elongation rate [10]. Rate of synonymous substitutions and SNP density are reduced in 5' regions, near splicing control elements since synonymous mutations near intron–exon boundaries can create spurious splice sites or disrupt splicing control elements. Also, at 5' end of genes, selection acts for mRNA structure that results in increased usage of A/T rich codons shortly after the gene start. The mRNA secondary structure within the first 40 nucleotides near the 5' end of a coding region can inhibit ribosomal initiation and hence codons that create strong 5' mRNA secondary structure are selected against, and one or more rare codons that disrupt 5' mRNA secondary structure are placed at 5' end of genes in prokaryotes [64]. In *E. coli*, rare codons are only selected if they are AU-rich, whereas GC-rich codons are repressed. Thus, rare codons are not selected because they are rare, but are selected to weaken or suppress the mRNA structure [65]. Beginning of coding sequences is a region of slow translation. In eukaryotes, reduced codon adaptation in the 5' region of genes slows down elongation rate thereby reducing the frequency of ribosomal traffic jams towards the 3' end, and keeps the ribosomes evenly spaced to avoid spontaneous or collision-induced abortions [1]. Also, slow elongation may facilitate recruitment of chaperone proteins to the emergent peptide or in secretion or in membrane localization of nascent protein chain bearing N-terminal signal sequences [10, 64]. Stretches of rare codons cause ribosome pausing or frameshifting, co-translational cleavage of mRNA or amino acid misincorporation and reduces protein yields by obstructing translation initiation [10]. Poorly adapted codons may be selected in sites that require ribosomal pausing, mRNA folding, proper nucleosome positioning, proper co-translational protein folding, ubiquitin modification or in secreted proteins for promoting membrane targeting and secretion efficiency and in highly expressed genes for sequential folding of protein domains during translation [3]. Rare codons are not randomly scattered across genes, but often occur in large clusters, in numerous eukaryotic and prokaryotic genomes and are not always associated with low translation rates. Transcripts enriched in rare codons underwent a higher translation boost than transcripts with common codons [66].



Decreased codon adaptation over the 1st 10–20 codons at 5' end of genes, was observed in plastid and *E. coli* genes with high overall codon adaptation, but not in those with low codon adaptation [67]. Both lowly and highly expressed genes are similar in their codon usage patterns in the 5'-gene regions, but for highly expressed genes, codon preferences diverge at distal sites resulting in greater positional dependency. Despite the general G + C enrichment by TAMB (Translocation and Assembly Module B) DNA repair system, four-fold enrichment of degenerate codons ending in T was observed in *Arabidopsis* and rice intergenic DNA, but no such differences were observed in introns [48].

Specific, preferred or bias against certain sequences or avoided nucleotide patterns were observed in the coding region, which differed among species. In prokaryotes, the sequences GAGG and GGAG in the Shine-Dalgarno sequence (UAA GGA GG) were rarely used in the coding region to avoid internal translation sites [67]. Although G/C ending codons were enriched in monocots, the frequency of GGG and CCC codons were not increased, to prevent mRNA tertiary structures. Similarly, CpG dinucleotide and codon GTA were suppressed, to discourage deleterious methylation/deamination events and insertion events that target the CpG and TpA dinucleotides, respectively [48, 69]. NCG codons are avoided in species with a high level of DNA methylation, to avoid mutations because, methylated cytosine (C) in CG dinucleotide, when unrepaired, is easily deaminated into thymine (T), resulting in the conversion of CpG to TpG and the G in the 3rd codon position is wobbly [70]. CpG suppression is observed in coding regions of plants, but not in animal mitochondria or chloroplast genomes which lack methylase activity. Frequency reduction of CpG dinucleotide that exhibits greatest thermodynamic stacking energy of all dinucleotides, might be to facilitate DNA replication and transcription. When G + C level is increased, CpG shortage is decreased [70]. NCG:NCC ratio index which shows methylation level in mRNA coding sequences, is widely used to estimate CpG suppression. Species with a high methylation level such as *Populus* have a relatively lower NCG:NCC ratio (0.46), while species with a low methylation level such as *Arabidopsis* have a relatively higher value (0.921). NUA codons also had low codon usage since UA dinucleotides are sites for RNA hydrolysis by ribonucleases. Therefore, UpA suppression reduces mRNA degradation and increases protein production [71]. Heptanucleotides that are prone to frameshifts and codons promoting formation of complex mRNA tertiary structures, are under-represented in the coding sequences [69]. Codon frequencies are modified to avoid homotrimer and homotetramer formation especially for G and C than A and T [70]. G and C homotetramers were avoided in *Graminae* whereas T and A tetranucleotides were omitted in dicots. Intercodon CpGs and TpAs were preferably replaced by TpGs and CpAs,

respectively. Two out of three stop codons start with TpA. TpA is energetically less stable than all other dinucleotides, which provides flexibility for untwisting and bending of DNA double helix and hence are found in TATA sequences and at replication origins. Reduction of TpA therefore avoids inappropriate binding of regulatory factors [70].

Non-random distribution or clustering of iso-accepting codons (synonymous codons decoded by the same anticodon of tRNA) in the coding region and genome is termed as codon co-occurrence [72]. Codon context meanwhile refers to the nucleotides or codons adjacent to a codon. Compositional context can also influence synonymous codon selection, and this phenomenon is known as context-dependent codon bias [48]. Codon context bias shows preferences for a codon pair within an organism, that can have conserved patterns and may be species-specific. Codon context bias can influence missense and nonsense suppression, elongation rates and translational accuracy, since different species have varied abundance of tRNA iso-acceptors for each codon family [69, 73]. In many eukaryotic species, both synonymous and non-synonymous mutations are selected to maintain context biases [49]. Codon-pair context is mainly determined by constraints imposed by the translational machinery in eubacteria and archaea, and by DNA methylation and trinucleotide repeats in eukaryotes. Context preferences exist in coding as well as non-coding sequences. Lysine is preferentially encoded by AAA, if guanosine is 3' adjacent (AAAG), but by AAG, if cytidine is 3' adjacent (AAGC). A less significant bias is observed at the 5' position of codons. For example, NNG codons are preferred over synonymous NNA codons in the 5' position of lysine codons (NNG AAA) [74]. Mononucleotide repeats in coding sequence that result in transcriptional or translational slippage and frameshifting are avoided [69]. The dinucleotide bias at codon–codon junctions (cP3–cA1) influences codon pair frequencies, where 3rd base of a P-site codon (cP3) influences the choice of the first base of the A-site codon (cA1). The most frequently avoided type of cP3–cA1 dinucleotide or codon pairs contain the patterns NNUANN, NNGGNN, NNGNNC, NNCGCN, UUCGNN, CUCCNN, GUCCNN and NNCNNA. Meanwhile, the most frequently preferred codon pairs contain the patterns NNGCNN, NNCANN or NNUNCN in Bacteria, Archaea and eukaryotes [69]. In dicots, XCG is always the least favored codon. The G ending codons for Thr, Pro, Ala and Ser are avoided in both monocots and dicots because they contain C in codon position 2. UpA dinucleotides are avoided in the three domains of life (Archaea, prokaryotes and eukaryotes), while CpG dinucleotides are rejected in higher eukaryotes. However, UpG and CpA dinucleotides were strongly preferred in higher eukaryotes and ApA and UpU dinucleotides overall preferred (due to occurrence of three or more identical bases in all three domains of life). In plant genomes, a general bias exists in the use of specific



dinucleotides and trinucleotides in different genomic regions [75].

The nucleotide composition surrounding the start codon AUG is significantly biased. 'A/G' and 'G' nucleotides were respectively preferred preceding and just following the start codon AUG (A/G AUG G), while a 'C' following AUG was less favored in the Kozak sequence of the translation start site [71]. AUG codon context is quite diverse among different species and consensus sequence of AUG context for high translational efficiency in plants does not conform to the Kozak sequence found in animal systems. Most frequent nucleotides around the initiation site was reported to be A(A/C)AAA + 1UGGC in eudicots and A(A/G)CCA + 1UGGC in monocots. There is preference for G in position +4 (85%) and C at +5 (77%) in plants [76]. Preference and restrictions also exist for stop codons. At the 3' side of translation termination codon, uridine is the nucleotide most frequently found. Context of stop codons has conserved sequence patterns and thus termination signals may contain more than four nucleotides. In seven eukaryotic classes, significant differences were revealed in stop-codon context at first position in the downstream region (+1) and last two positions in the upstream region (−1 and −2) [77]. The codons resembling stop codons (URR) are restricted in highly expressed genes to prevent premature termination of translation. GC content of genome and RF1/RF2 ratio have a strong impact on stop codon frequencies, where RF1 is release factor 1 for UAA and UAG and RF2 is the release factor 2 for UAA and UGA. RF1/RF2 abundance ratio is linked to the ratio between number of genes with UAG and UGA stop codons. In bacteria, the frequency of UAA and UGA stop codons strongly depends on the genomic GC-content, but UAG frequency is independent of the GC content. When GC content is high, UGA is the preferred stop codon. In highly expressed genes, UAA is more frequent or optimal stop codon, while UAG is suboptimal codon. The sequences UAAUG, UGAUG and AUGA (stop codons are underlined) are excluded to remove potential overlapping start- and stop codons [78]. On the other hand, in eukaryotes, UGA was the most frequent stop codon, UAA was intermediate and UAG the least frequent in terms of usage [71]. In plants, the preference is in the order UGA > UAA > UAG [77].

### Intergenic codon bias

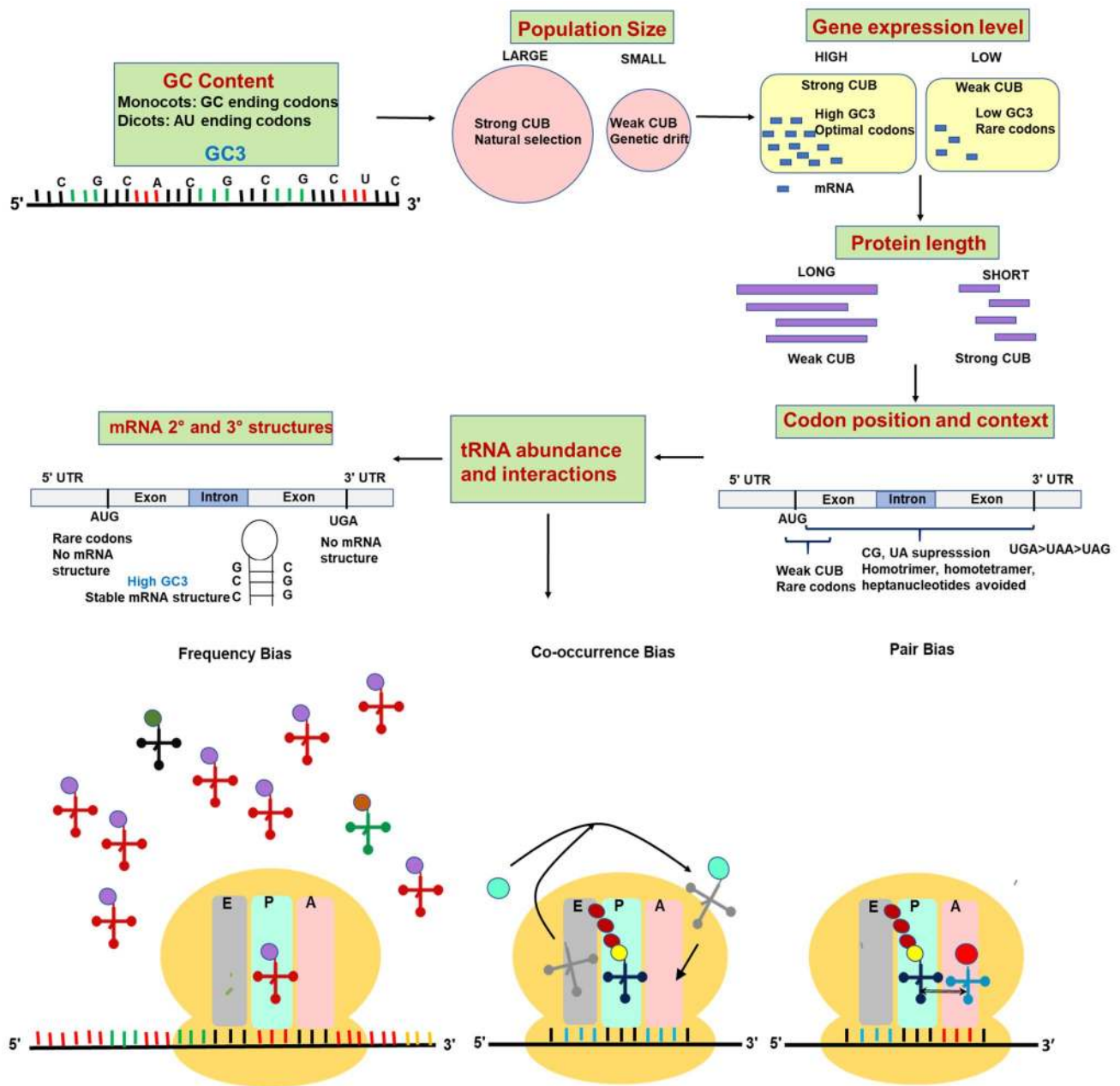
Codon bias occurs not only within individual genes (intra-genic) but also between genes, that are either clustered in operons or scattered in a genome. A highly stable, non-random dinucleotide frequency pattern identified in bulk genomic DNA is called general design. The relative abundance of dinucleotides constitute a 'genome signature' [70, 79]. In mammals, bacteria and plants, several dinucleotide sequences such as CpG, TpA and GpA are under-represented

and hence the first nucleotide after each codon drives synonymous codon choice. Codon choice also maintains the efficiency of global protein translation in the cell. Intergenic codon bias in genes is randomly distributed in the genome and facilitates their differential expression. Codon choice of some genes would affect the translation of others due to a "shared economy" of the entire translation apparatus. Hence, codon usage also acts in 'trans' [12]. Functionally related genes show similar codon bias patterns for their co-regulation under specific conditions and codon optimality helps to coordinate their expression patterns. However, degree of codon bias of related genes may significantly differ as in the genes within prokaryotic operons [1]. In yeast, proteins involved in metabolically important processes such as glycolysis are enriched with optimal codons, while the regulatory products are enriched with non-optimal codons so that, response to transient stimuli or stress can be curtailed, when the stimulus is withdrawn [80].

### tRNA abundance and tRNA interactions

Codon bias in relation to tRNA can be categorized into (a) Frequency bias, where the frequency of used codons matches the cellular tRNA population, (b) Co-occurrence bias, where synonymous codons recognized by same tRNA, cluster together and (c) Pair bias, which is a bias for optimal interactions of tRNAs in the A and P sites of the ribosomes, during translation [1].

The most 'preferred codons are those for which the respective tRNAs are abundant [10]. The single major codon in synonymous group is complementary to the most abundant iso-accepting tRNA, which is termed as frequency bias. In many prokaryotes and eukaryotes, tRNA abundance correlates with codon usage and amino acid composition. In multicellular organisms, this relation between codon frequency bias and tRNA abundance is measured using tRNA adaptation index (tAI), which is based on the copy number of tRNA genes and efficiency of codon-anticodon binding [1]. Use of codons that match the most abundant tRNA, reduces the time to find and bind the correct tRNA, decreasing the likelihood of binding a non-cognate tRNA. In plants, tRNA population functionally adapts to codon frequency. The codon usage pattern in zein protein in maize was found to fit well with the array of most abundant tRNA iso-acceptors in the endosperm [70]. However, positive correlation between codon usage and tRNA abundance did not occur in many instances. In multicellular organisms with larger genomes, higher tRNA gene redundancy decreased selection for specific codons [1]. Certain amino acid sequences that are required for protein function and conformation do not use most abundant tRNAs. In highly expressed genes of rice, correspondence between majority of preferred codons and tRNA gene copy number was observed in GC-poor class,



**Fig. 4** Factors affecting codon usage bias. Major factors affecting codon usage bias in organisms such as GC content of genome, population size, gene expression level, protein length, codon position and context, tRNA abundance and interactions and mRNA structure are

diagrammatically indicated. tRNA interactions are classified into frequency bias, co-occurrence bias and pair bias. E, P and A indicate exit, peptide and amino acid sites in the ribosomes. The tRNA interactions were modified and redrawn from [1]

but not with GC-rich group of genes. On the other hand, the synonymous codon usage pattern in *Arabidopsis* was completely influenced by tRNA gene copy number. This was due to a huge variation of GC content in homologous gene sets in rice, which was absent in *Arabidopsis* [81]. tRNA gene number is negatively correlated with amino acid size or complexity, to minimize the use of large or complex amino acids that cause protein misfolding. Frequent and exclusive

use of abundant tRNAs for most genes produces a shortage of these tRNAs within a cell, which is a mechanism to accommodate other more important genes [50].

Wobble or non-Watson–Crick base-pairing (such as G-U at the third position of a codon, corresponding to the 5' position of the anticodon of a tRNA) and modification of nucleotides in tRNAs, extend the range of recognized synonymous codons. In bacteria, tRNA modifications of uridine-34 to

**Table 5** Comparison of codon bias in genes based on their level of expression

Codon usage index		Gene expression						Reference	
		High		Intermediate		Low			
ARSU values		> 13		9–13		< 9		[51]	
Codon usage in high and low expression genes									
Amino acid	Plant species								Total number of codons
	<i>Arabidopsis thaliana</i> (RSCU values)	<i>Oryza sativa</i> <sup>a</sup>		<i>Medicago trunculata</i> (RSCU values)		<i>Ginkgo biloba</i> (RSCU Values)			
	High	High	Low	High	Low	High	Low		
Non-polar aliphatic									
Met	AUG (1.75)	AUG	–	AUG (1)	AUG (1)	–	–	1	
Ile	AUC (1.32)	AUC	AUC	AUU (1.58)	AUC (1.29)	AUU (1.62)	AUU (1.16) AUC (1.17)	3	
Val	GUU (1.2)	GUG	GUG	GUU (2.02)	GUU (1.54)	GUG (1.8)	GUU (1.24)	4	
Ala	GCU (1.84)	GCG	GCC	GCU (1.85)	GCU (1.3)	GCA (1.83)	GCC (1.36)	4	
Gly	GGA (2.54)	GGC	GGC	GGA (1.68)	GGA (0.99) GGC (0.98)	GGG (1.69)	GGC (1.28)	4	
Leu	UUG (1.83)	CUC	CUC	UUG (1.65) CUU (1.67)	CUC (1.59)	UUG (1.62) CUU (1.62)	CUC (1.45)	6	
Non-polar aromatic									
Trp	UGG (1.56)	UGG	–	UGG (1)	UGG (1)	–	–	1	
Phe	UUC (2.55)	UUC	UUC	UUU (1.38)	UUC (1.07)	UUU (1.46)	UUC (1.2)	2	
Tyr	UAC (1.46)	UAC	UAC	UAU (1.43)	UAC (1.01)	UAU (1.48)	UAC (1.13)	2	
Polar acidic									
Asp	GAU (0.93)	GAC	GAC	GAU (1.62)	GAU (1.21)	GAU (1.51)	GAU (1.17)	2	
Glu	GAA (1.36) GAG (1.38)	GAG	GAG	GAA (1.38)	GAA (1.06)	GAG (1.84)	GAG (1.12)	2	
Polar basic									
His	CAC (0.82)	CAC	CAC	CAU (1.56)	CAU (1) CAC (1)	CAU (1.54)	CAU (1.26)	2	
Lys	AAG (2.44)	AAG	AAG	AAA (1.23)	AAG (1.02)	AAG (1.97)	AAG (1.19)	2	
Arg	AGA (1.79)	CGC	AGG	AGA (3.77)	CGU (1.24) CGC (1.23)	AGA (1.96)	AGA (1.63)	6	

**Table 5** (continued)

Codon usage in high and low expression genes

Amino acid	Plant species								Total number of codons
	<i>Arabidopsis thaliana</i> (RSCU values)		<i>Oryza sativa</i> <sup>a</sup>		<i>Medicago trunculata</i> (RSCU values)		<i>Ginkgo biloba</i> (RSCU Values)		
	High	High	Low	High	Low	High	Low		
Polar neutral									
Gln	CAA (1.38)	CAG	CAG	CAA (1.68)	CAA (1.12)	CAA (1.09)	CAG (1.2)	2	
Asn	AAC (1.1)	AAC	AAC	AAU (1.39)	AAU (0.95)	AAU (1.5)	AAU (1.07)	2	
Cys	UGC (1.1)	UGC	UGC	UGU (1.48)	UGU (1.06)	UGU (1.35)	UGC (1.34)	2	
Pro	CCA (2.09)	CCG	CCG	CCA (2.01)	CCU (1.18)	CCU (1.75)	CCC (1.16)	4	
Thr	ACU (1.01)	ACC	ACC	ACA (1.96)	ACC (1.3)	ACA (1.83)	ACC (1.29)	4	
Ser	UCA (1.53)	UCG	AGC	UCA (2.05)	UCU (1.33) UCC (1.3)	UCU (1.79)	UCC (1.31)	6	
Reference	[53]	[51]		[57]		[58]			

RSCU values are indicated in brackets below the most preferred codon

<sup>a</sup>RSCU values are not exactly clear as these are indicated in graph in the reference

hydroxy-uridine and derivatives allow wobble pairing with A, G and U, while in eukaryotes and few bacteria, tRNA modification of adenine-34 to inosine-34, allows wobble pairing with A, C and U. Also, synonymous codons recognized by the same tRNA are clustered (codon co-occurrence bias) to ensure interaction of tRNAs in the A and P sites of the ribosome to enhance translation efficiency [1]. Certain codons would be less likely to occur next to each other when particular tRNAs interfere or are incompatible when brought close together on the ribosome [82]. This also explains how tRNA-tRNA interactions affect codon usage.

tRNA-ribosome interactions also could be reflected in codon usage. Confirmational flexibility of L-shaped structure of tRNA, along with confirmational changes and rearrangements of the ribosomes, respectively provide variety of tRNA binding states and ability of ribosome to bind to tRNA hybrid states [83]. After their exit from the E site, tRNAs remaining near translating ribosome, are recharged by the corresponding amino-acyl-tRNA synthetases and when the same or iso-accepting codon occurs, these tRNAs start translation in the ribosome [1]. Ribosomal dwelling time or ribosomal occupancy on a codon is determined by codon usage as well as amino acid context of codon. If ribosomes traverse optimal codons rapidly, then ribosomes will be scarce when the ribosomal-A site is positioned

over optimal codons. Treatment of cells with translation elongation inhibitor cycloheximide showed that tRNA abundance and codon-level ribosome density were not correlated. However, in cycloheximide-free systems, tRNA abundance and ribosome occupancy was found to be inversely related, indicating that ribosomes spend less time at optimal codons [80]. Optimal codons allow rapid ribosome translocation, while stretches of non-optimal codons or inhibitory codon pairs and their synergistic action slows ribosome translocation, since ribosomes wait for a rare cognate tRNA, eventually creating ribosome crowding, that can inhibit translation initiation. Such inhibitory codon pairs had at least a codon which interacted with its cognate tRNA via wobble pairing and exhibited long ribosomal dwelling times at P and A or E and P ribosomal sites. Slowing or increased dwelling time of ribosomes result when non-optimal codon doublets occur or when a codon-tRNA cognate pair is rare, or when a codon must be decoded by wobble tRNAs. Also, a decrease in (aminoacyl) tRNA availability due to starvation or shortage of amino acids, increases ribosome stalling [80].

### mRNA secondary structure

RNA level selection acts on synonymous sites in both prokaryotes and eukaryotes. Synonymous codon positions define

mRNA secondary structure and stability, translation rate and folding as well as post-translational modifications of nascent polypeptides [49]. Single-stranded mRNA molecules form secondary structures through complementary self-interactions. Periodic nucleotide patterns created by the genetic code as well as synonymous codon usage and relative abundance of dinucleotides are involved in mRNA secondary structures. A gene is more stable when folded as RNA or DNA, and this secondary structure protects the functionally important sites from detrimental mutations and decreases the evolutionary variability [84]. Functional domains of the mRNA (CDS and 5' and 3'-UTRs) preferentially fold onto themselves, while the start codon and stop codon regions have relaxed secondary structures. Synonymous codons are selected to maintain a more stable and ordered mRNA secondary structure [49]. Higher mRNA structure improves interaction with RNA binding proteins (RBPs) that positively impacts translation or reduces accessibility to single strand-specific endonucleases improving functional mRNA half-life [85]. Synonymous sites can vary because of redundancy in genetic code, but messenger RNA secondary structure restricts this freedom [84]. Constraints acting on mRNA secondary structure were responsible for modulating codon usage variations in rice tissue-specific genes [81].

Synonymous codon usage bias favors AT-richness at third codon nucleotide positions. High sequence variability, particularly at third codon positions (or synonymous sites), inversely correlates with mRNA stability and affects mRNA secondary structures such as helices or loops. A high C content at third codon sites increases the number of potential G:C base-pairs, which are stronger than A:U interactions. G:C pairings make helices more bendable. Mutations at third codon position nucleotides in helices are selected against so that the helix-forming regions accumulate lesser mutations than loops. Synonymous changes that increase CpG extends mRNA half-life in vitro while ApU increase results in mRNA degradation. An increase in 3rd position Cs reflects mRNA secondary structure and stability. In both  $\alpha$ -helices and  $\beta$ -sheets of protein secondary structures that are preferentially coded by mRNA stems, G is more abundant than C at first and second non-synonymous sites. Cytosine is preferred at third sites to maintain stable stems in these regions [84, 86]. Housekeeping genes have unusually low rates of protein evolution, their mRNAs have unusually high relative stability [86]. Transcript length controls secondary structure stability because mutations of mRNAs results in destabilization of longer mRNAs but not of shorter mRNAs [84].

### Gender specificity, mating system and effective population size

Gender-specific selective pressures on codon usage could alter gene evolution and structure, influencing reproductive

biology. Genes expressed in female had greater CUB than in male organs and gametes and exhibited greater usage of species-specific preferred codons. However, highly expressed genes have greater codon bias than lowly expressed genes, irrespective of gender specificity [87]. Mating system also affects codon usage, where efficacy of selection on non-synonymous mutations is reduced in a highly inbred species relative to outcrossed sibling species [88]. Codon bias is determined by mutation, genetic drift and natural selection on efficiency of translation. Selection on codon usage is strong in species with large effective population sizes. Codon bias declines with reduction in effective population size and long-term reduction leads to major shift in genome evolution. When effective population size is small, genetic drift becomes dominant over natural selection. Self-fertilisation reduces effective population size and reduction is more in organisms with haploid than for diploid selfing. In *Physcomitrella patens* with AT rich genome and showing haploid selfing, optimal codon usage and GC content are low [7, 89]. Closely related species do not usually exhibit major shifts in codon preferences, but changes in mutation rates over short time scales are quite common in large effective population sizes [7].

### Codon usage in nuclear and organelle genes of plants

Transformation of crop plants with foreign genes is mainly achieved by targeting the desired genes at the nucleus. Hence the study of codon usage in nuclear genes of plants assumes importance. For functionally homologous genes, codon usage differs across species. The synonymous codon usage of nuclear genes of plants varies between monocots and dicots. In *Graminae* (monocot) genes, a gradient of GC content and codon usage existed along with the direction of transcription. The 5' ends of monocot genes were up to 25% more rich in GC content than their 3' ends, but not in dicot genes [90]. Codon usage variation in monocots is mainly determined by spatial arrangement of genomic G + C content, or the isochore structure [81]. The silent third nucleotide position of codons is GC-rich in the monocot genomes (59–61% of G + C content), but AU-rich in the eudicot genomes (35–42% of G + C content) [45]. Monocot genes can be classified into those with narrow codon bias (high G3 + C3 values) and broader codon bias (lower G3 + C3). More biased codon usage is seen in highly expressed genes and more random usage in low-expression genes. The CpG intercodon dinucleotides are few or under-represented, frequently methylated and scattered in both monocots and dicots. However, TpG is over-represented. In *Graminae*, amino acid specific behavior is seen in codon usage, where T-ending codons were preferred for glycine and alanine [70].



Codon usage gradients were strongest for aminoacids with largest number of synonymous codons. Codon usage pattern in gymnosperm *Ginkgo biloba* tended towards A/U-ending codons, which showed an obvious gradient progressing from gymnosperms to dicots to monocots [58].

Plastids and mitochondria are thought to be prokaryotes in symbiotic association with a eukaryotic cell, during evolution. The codon usage of organellar genes is therefore more similar to that of prokaryotes. Plastid genome is small and encodes a limited set of genes fully expressed within the organelle [91]. During plant evolution, some plastid genes have moved to the nuclear genome, adjusted their base composition to nuclear genes, expressed in the nucleus and their products were transported to chloroplasts. The G + C content of such genes also increased when they integrated into the nuclear genome. The average GC content of entire genes, and at the three codon positions individually, was higher in nuclear than in chloroplast genes, in four angiosperm species (rice, maize, wheat and *Arabidopsis*), suggesting different genomic organization and mutation pressures in nuclear and chloroplast genes. Codon usage pattern of chloroplast genes differed from nuclear genes by their AU-richness and bias towards NNA and NNU codons, whereas G, C or U-ending codons were optimal in nuclear genomes [91, 92]. Chloroplast genes have low CUB and lower GC than AT content. Chloroplast genomes of *Asteracea* family had a narrow GC distribution without significant correlation between GC12 and GC3, and purines were used more frequently than pyrimidines [93]. Natural selection might have played a prominent role over mutation pressure in sculpturing the CUB of chloroplast genes [94].

Codon usage bias at a particular site is influenced by flanking codons, composition of 3' flanking nucleotide and amino acid content. In highly expressed plastid genes with a high overall codon adaptation, codon adaptation is lower particularly within the 1st 25 codons, at the 5' end of genes [67]. Strong context-dependent codon bias was observed in chloroplasts of flowering plants [91]. Highly expressed genes show an overall bias towards the NNC codons, which is strongest upstream of a C, but weakest upstream of a G. When the 3' neighboring base is a G, the bias changes towards NNT [67]. The bias towards NNC codons is to avoid CpG sites in coding region, but CpG is not generally avoided in plastid non-encoding regions. Avoidance of CpG is not a general compositional feature but is specific to NNY groups. While CpG methylation influences codon bias in the nuclear genome, CpG methylation is rare in chloroplast genomes. CpG is not methylated in plastid genes due to lack of methylase activity. However, CpGs are heavily modified in amyloplasts and chromoplasts indicating that plastid SCUB is also affected by DNA methylation [67, 95]. Plastid genes also have atypical start codons such as GTG, TTG, CAC, TTG ACG, ATC, ATT and TAC and atypical stop codons such

as CAA, TCA, CGA, and CAG. The preference for typical stop codons is in the order TAA > TAG > TGA. Internal stop codons rarely exist in the coding sequence of plastid genome due to RNA editing or processing mechanism than converts U to C, which eliminates internal stop codons [95].

The codon usage in plastid genes is dependent on the aminoacid content. Highly expressed genes have increased proportions of certain amino acids since codons for these (G + C rich or GNN codons, in particular) are more efficiently translated [67]. SCUB patterns in chloroplast genomes were distinct based on ploidy level and reflected the polyploid formation from their diploid progenitors. Total frequency of SCUB did not vary between polyploids and diploids, but the SCUB for plastid coding sequences were distinct for polyploids and diploids or their progenitor species [96]. Synonymous codon usage bias (SCUB) is correlated with both intron number and exon position in the plant nuclear genome but not in the plastid genome [95]. In the nuclear and organellar genomes, the frequency of NNA/T codons rises as the intron number increases. However, in chloroplasts, NNC/G codons are preferred in genes with more introns. SCUB in exonic sequence was unaffected by polyploidization in *Gossypium* while heterogeneity of SCUB prevailed in *Triticum sp* [96].

Organisms across all domains of life, never contain full set of tRNAs with anticodons complementary to the 61 different codons and the tRNA numbers may vary from 28 to 47 or more. A single tRNA can translate multiple synonymous codons through wobble base-pairing (G-U at the third codon position) and by super wobbling due to tRNA nucleotide modifications as described above (in tRNA abundance and interactions) [1]. Organelles also do not encode full set of tRNA species required to read all codons. In *A. thaliana*, *O. sativa* and *P. trichocarpa* chloroplast genomes, 37, 38 and 39 tDNAs have been annotated, respectively which correspond to 30 tRNA isoacceptor species. Chloroplast genomes encode most of the tRNAs required for translation, while missing tRNAs are imported from the cytosol. In *Balanophora* with an extremely AT-rich genome and AT-rich plastid protein genes, plastid genome (plastome) had no tRNAs and plastids imported all tRNAs required for translation [97]. Many plastid genes have been lost or transferred to the nucleus during evolution. The number of organellar tDNA insertions in nuclear genome varies from one plant species to another. None of plastid tRNA genes were found to be functional after integration to nuclear genome in five angiosperms (*A. thaliana*, *M. truncatula*, *P. trichocarpa*, *O. sativa*, *B. distachyon*) and green alga (*C. reinhardtii*) though tRNA genes maybe functional after integration [32].

In plant mitochondrial genomes, 17–29 tDNAs have been identified, except in *Chlamydomonas* mitochondria, which had only three tDNAs. Import of tRNAs from nucleus, plastid and cytosol compensates for the deficiency of mitochondrial

tDNAs [32]. In plant mitochondria, codon usage patterns were more conserved in GC content, no correlation prevailed between GC12 and GC3, and T/A ending codons were preferred, the preference being more in genes with a greater number of introns, though the bias was also seen among exons and T was more frequently used than A [98].

## Analysis of codon usage

Codon usage can be quantified in different ways. Earlier studies on synonymous codon usage were based on a sample of 100 genes from a genome. The first catalogue of codon usage frequencies was tabulated from mRNA sequences of 50 (or more) codons in length by Grantham and colleagues in 1980 [63]. As large volumes of sequence data were produced, surveys of codon usage required automation in extracting protein-coding DNA sequences from the primary databases and in subsequent statistical analysis of thousands of genes. DNA sequence information can be obtained directly from GenBank, EMBL or NCBI database. Numerous measures or a variety of indices have been developed since the 1980s to describe, analyse and quantify codon usage bias or codon use preferences [99]. The methods of analysis of codon usage bias can be split into 2 main categories viz., those that compare the observed codon usage distribution of target coding sequence against the reference set of highly-expressed genes and those that compare distribution based on assumption of uniform usage of synonymous codons [99]. Some most common methods used for analysis of codon usage bias (CUB) are given below.

## Correspondence analysis

Correspondence analysis (CA) or factorial correspondence analysis (FCA) is a graphical two-dimensional representation of multivariate count or proportion data. Data are expressed as a matrix or two-way contingency table in which rows correspond to genes and columns show codons. A sample of G number of genes can be arranged with G rows and 61 columns [100]. CA can be used to extract the trends in the data set or trends among the genes either using raw counts (containing synonymous codon usage information) or counts corrected for amino acid usage or relative synonymous codon usage values.

## Frequency of optimal codons (fop)

It is the ratio between the frequency of optimal codons and the total number of synonymous codons, and is a species-specific measure [47].

## Effective number of codons

The effective number of codons (ENC or  $N_c$ ) index is a measure of the extent of codon preference in a gene and quantifies the extent of departure of a gene from uniform or equal usage of synonymous codons within each amino acid class. ENC is best overall estimator of absolute synonymous codon usage biases and can be easily calculated from codon usage data alone. To investigate codon usage patterns across genes, ENC is plotted against factors such as GC3 to constitute ENC plot.  $ENC_{expected} = 2 + s + (29s^2 + (1 - s)^2)$ , where  $s$  indicates the frequency of GC3s [101].

For each gene, value of ENC lies between 20 (extreme bias when only one codon is used for each amino acid) and 61 (when all codons are uniformly used). ENC values  $\leq 35$  are indicative of genes with significant codon bias [101]. A higher ENC value indicates weaker codon usage bias [4]. ENC is independent of gene length and amino acid composition, does not rely on organism-specific data and can be easily applied to study new organisms.

## Neutrality plot

Neutrality plot is used to analyse the effects of natural selection and mutation pressure on codon usage. The GC12 values are plotted against GC3 values, and a regression line is plotted. When slope of the regression curve is 1, codon usage bias is due to mutation pressure, and when the slope is towards 0, natural selection is considered the main force shaping codon usage [102].

## Parity rule 2 (PR2) bias plot analysis

Parity rule 2 (PR2) plot analysis is used to evaluate the effect of mutation pressure and natural selection at the third codon position of the four-codon amino acids. The PR2 plot distinguishes between AU bias [ $A_3 / (A_3 + U_3)$ ] and GC bias [ $G_3 / (G_3 + C_3)$ ]. The AU bias and GC bias of each gene are calculated and AU bias is plotted against GC bias, to show the relationship between the contents of purines (A and G) and pyrimidines (T and C) at the third codon position of genes. At the centre of the plot where  $A = T$  and  $G = C$  (PR2) and both coordinates are 0.5, there is no deviation between mutation and selection pressure of two DNA chains (where  $A + T + G + C = 1$ ) and the effect of mutation pressure and natural selection are equal. The degree of deviation from PR2 estimates the chain bias affected by mutation, selection, or both. If the codon usage frequency of  $A + T$  is the same as that of  $G + C$  at the third position, then the codon usage preference is likely to be entirely caused by mutation [102].

## Codon adaptation index

Codon adaptation index or CAI is a simple, effective measure that shows the degree of codon usage bias towards the major codons. CAI for a specific gene can be determined by comparing its codon usage frequency with the reference set of highly expressed genes from a species. CAI score for a gene is calculated from the frequency of use of all codons in that gene. CAI value ranges between 0 and 1.0, and a higher CAI value means a stronger codon usage bias and a higher expression level [4]. Programs like Emboss chips may be used to calculate CAI value for the genes. CAI can be used to compare codon usage in different genes and organisms, predicting the expression level of a gene and indicates approximately the success of heterologous gene expression. CAI and ENC are the most popular indices. CAI measures the degree of bias towards a specified set of adaptive codons as opposed to ENC which measures only the degree of deviation from uniform codon usage, regardless of which codons are over-represented. Higher codon bias is indicated by lower ENC and higher CAI [91].

## Relative synonymous codon usage

Relative synonymous codon usage (RSCU) is used to analyse codon usage variation between genes. RSCU value for a codon is the observed frequency of the codon divided by the expected frequency of the same codon within a synonymous codon group in the entire coding sequence of the gene, under the assumption of equal usage of the synonymous codons for an amino acid or in the absence of codon usage bias. Expected number of occurrences of a codon is ratio of the number of times the encoded amino acid is present in the protein sequence to the number of synonymous codons for the amino acid encoded by codon. An RSCU value of 1 shows no codon bias and greater than 1 means that a codon is used more often than expected, while values less than 1 indicate its relative rarity [9]. RSCU values can be 2, 3, 4 and 6 when a single codon is used to encode aminoacids having 2,3,4 and 6 synonymous codons respectively [51].

ARSCU or Average ratio of RSCU is a new index based on RSCU, which measures the ratio of RSCUs with GC-ending codons to the AT-ending codons for all amino acids in a gene.

$$\text{ARSCU} = \left\{ \frac{\sum_{aa=1}^{18} \text{RSCU of GC ending codons}}{\text{RSCU of AT ending codons} \div 18} \right\}$$

Since RSCU may be zero for some codons, any RSCU with a value of zero is arbitrarily assigned a value of 0.1. ARSCU values was used to discriminate genes with different expressions under drought conditions, Genes with ARSCU

above 13, 9 to 13 and less than 9 were predicted to be genes with high, high or intermediate and low or intermediate expression respectively, in rice under drought conditions [51].

## Relative codon bias strength (RCBS)

RCBS is a codon bias index (CBI) to estimate codon bias without using a reference set.

$$\text{RCBS} = \left\{ \prod_{l=1}^L (\text{RCB}_{xyz}(l))^{1/L} \right\} - 1 \text{ where } \text{RCB}_{xyz} = \frac{f(x, y, z)}{f1(x)f2(y)f3(z)}$$

L is the length of the gene represented in codons, RCB<sub>xyz</sub> is the RCB of codon xyz in the gene, f(x,y,z) the normalised observed frequency of codon xyz and f1(x), f2(y) and f3(z) are the normalised observed frequencies of bases x, y and z at codon positions 1, 2 and 3 respectively. For a particular codon, the observed codon frequency and then ratio of the observed to the expected codon frequency is calculated, which is derived as the product of the individual base frequencies at each codon position. RCBS of gene sequence is computed as the geometric mean of codon bias over all sequence codons. RCBS correlated significantly with CAI but was superior to CAI in predicting protein concentration and abundance. However, RCBS has a strong correlation with gene length, yielding larger values for shorter gene sequences which prevents its application to sequences shorter than 1000 bp. RCBS can be corrected with genomic pseudo-counts, but this in turn limits its application to complete genomes [103].

Modified relative codon bias strength or MRCBS is a score used to elucidate pattern and level of expression of genes in *Arabidopsis* [53]. MRCBS and CAI (codon adaptation index) were also strongly correlated. MRCBS relies exclusively on sequence features for identifying the highly expressed genes. MRCBS is also calculated in similar way as RCBS first for each codon and then for the whole gene.

$$\text{MCBS} = \left\{ \prod_{i=1}^N (\text{MRCB}_{xyz})^{1/N} \right\}, \text{ where } \text{MRCB}_{xyz} = \frac{\text{RCBS}(xyz)}{\text{RCBS}_{aa, \max}}$$

RCBS<sub>aa, max</sub> is the maximum value of RCBS of codon encoding the same amino acid aa in the same reference set, and N is the codon length of the gene or query sequence. The score of MRCBS ranges from 0 and 1. The threshold score of MRCBS for identifying highly expressed genes, varies from genome to genome.

## Relative codon adaptation (RCA)

Relative codon adaptation or RCA is a reference-set-based index similar to CAI and based on genomic base composition [103]. RCA uses a reference set to compute observed and expected codon frequencies.

$$RCA = \left\{ \prod_{i=1}^L (RCA_{xyz(l)})^{1/L} \right\} \text{ where } RCA_{xyz} = \frac{f(x,y,z)}{f1(x)f2(y)f3(z)}$$

$L$  is the length of the query sequence, in codons,  $RCA_{xyz}$  is the RCA of codon  $xyz$ ,  $f(x,y,z)$  the observed frequency of codon  $xyz$  and  $f1(x)$ ,  $f2(y)$  and  $f3(z)$  the observed frequencies of bases  $x$ ,  $y$  and  $z$  at codon positions 1, 2 and 3 respectively. Like CAI and RCBS, RCA is also computed as the geometric mean of the  $RCA_{xyz}$  for each codon  $xyz$  in the sequence. However, unlike RCBS, RCA doesn't have intrinsic bias for gene length, can be used for sequences shorter than 1000 bp and genomic corrections need not be applied. RCA outperforms CAI as a predictor of gene expression when operating on the CAI reference set.

## Codon deviation coefficient (CDC)

CDC characterizes CUB, ascertains its statistical significance and requires no prior knowledge of reference gene sets. CDC takes into account both GC and purine contents, not only in sequences but also at three codon positions. It adopts the cosine distance metric to quantify CUB and employs bootstrapping to assess its statistical significance. CDC values range from 0 (no bias) to 1 (maximum bias) [99].

## Other CUB indices and methods

Selection forces may directly shape the genome-wide relative frequency of codons and may operate at sequence level on individual genes (sequence level selection or SLS). A distance measure  $D$  was devised, that determines amount of bias in a particular genome, differences in the genome-wide frequency of codons and apparent non-random distributions of codons across mRNAs. Magnitude of  $D$  varies within taxonomic classes and its calculation requires no gene reference sets, so that it can be applied to poorly characterized genomes [104]. Relative codon deoptimisation index (RCDI) is another index which compares the similarity in codon usage of a given coding sequence with that of a reference genome. It can be used to measure host adaptability of plant viruses. An RCDI value of 1 indicates that the codon usage patterns are similar, while RCDI values higher than 1 indicates lower adaptability [99]. Within and across genomes, codon usage bias can be measured using the synonymous codon usage order (SCUO) purely in a mathematical way,

based on the entropy of the amino acid in a sequence and with values varying from 0 to 1; a larger SCUO denoting a higher codon usage bias [105]. Gravy value is another measure which indicates the effect of protein hydrophobicity on codon usage bias with values ranging from  $-2$  to  $2$ . On the other hand, aroma value measures the effect of aromatic hydrocarbon proteins on codon usage bias [101].

## Softwares for codon usage analysis

A large number of codon usage bias indices have been devised to estimate and understand codon usage preferences. In many cases, computation and analysis is complex and not straight forward. Many softwares have been devised for ease of computation such as INteractive Codon usage Analysis or INCA, JCat (Java Codon Adaptation Tool), COUSIN (COdon Usage Similarity INDEX) [14], Automated Codon Usage Analysis (ACUA) software, CAIcal [9] etc. Most of the softwares compute the CAI, ENC and occasionally other indices. COUSIN (<http://cousin.ird.fr>), includes COUSIN, seven other indices, and provides additional features such as statistical analyses, clustering, and codon usage optimization for gene expression [14]. Few softwares are updated, few work in Linux or UNIX such as codonW and few are obsolete or not available such as CodonExplorer. A list of online tools available for codon analysis is given in [9].

## Databases of codon usage

The Codon Usage Database is a useful source of pre-calculated codon usage data. Kazusa codon database (<https://www.kazusa.or.jp/codon/>) tabulated using information from GenBank and High-performance Integrated Virtual Environment-Codon Usage Tables (HIVE-CUTs, [hive.biochemistry.gwu.edu/review/codon](http://hive.biochemistry.gwu.edu/review/codon)), use publicly available sequencing database such as GenBank and NCBI's RefSeq for analysing codon bias in plants. HIVE-CUTs is a more comprehensive tool that analyses codon usage between individual organisms and across taxonomical clade. CUB can be viewed, compared as well as graphically represented through commonly used indices [6]. The Codon Bias DataBase or CBDB, Microbial Genome Codon Usage Database, Prokaryotes Codon Usage Database etc. were developed for bacteria or prokaryotes [106].

## Applications of codon usage studies

Analysis of codon usage facilitates the understanding of evolution, phylogenetic relations, host–pathogen co-evolution relationships and environmental adaptation of living



organisms, molecular evolution of individual genes, horizontal gene transfer events between species, detection of protein coding regions in uncharacterized genomic DNA and translation studies [6, 105]. Knowledge of codon usage patterns can be utilised to design degenerate oligonucleotides for PCR amplification of a gene [46]. Codon usage bias may play a role in temporal or cyclic control of gene expression, protein structure and function, co-translational protein folding, recombinant protein production and protein functional classification [45]. In addition, consideration of G + C content or codon usage is important for high levels of gene expression.

The major application of codon usage data is in optimizing or redesigning a gene for high or optimum expression in heterologous systems. When a foreign gene is transferred into a crop, the codons in the gene are modified, to suit to the host plant codon usage pattern. Codon re-engineering is done for optimizing expression of heterologous proteins in plants. Optimal codons rather than overall abundant codons should be used for the optimization [45]. Several algorithms and softwares were developed for codon optimization and synthetic gene design such as Codon optimizer, OPTIMISER, Eugene, COStar, DNATailor or D-Tailor, Codon Optimisation OnLine or COOL, Computationally Optimised DNA Assembly or CODA, ATGme, CodonWizard etc. [107–109]. Synthetic gene design involves designing candidate sequences by selecting codons at random, using their probabilities from the codon usage table, passing the sequences through filters to ensure other design criteria, then eliminate unfavorable codon pairs, extreme GC content, repetitive sequences, unfavourable mRNA structures etc., and finally including or excluding restriction sites as required [110].

Codons adapted to efficient elongation for endogenous genes will not correspond to those for heterologous genes, because overexpression causes amino acid starvation and alterations in the abundances of charged tRNA [10].

Codon optimization has been used in plants for increasing expression of ‘Cry’ proteins for pest resistance or recombinant proteins for molecular pharming. The *cry* genes of *Bacillus thuringiensis* and synthetic *cry* genes are transferred to crops for insect or pest resistance. A synthetic *cry2AX1* gene (NCBI accession GQ332539.1) made with plant-preferred codons and expressed in rice and cotton showed significant protection against different types of lepidopteran insects [111–113]. However, designing of two kinds of codon optimised *cry* genes, one for monocot and another for dicot plants are also in vogue to improve the chances for getting events with desirable level of transgene expression. Wheat cytochrome P450 genes (with high GC content and strong codon usage bias) different from dicots were codon optimized (through recoding of the 5' end of genes with low usage codons using a single

PCR mega primer) and introduced into yeast and tobacco, for use in bioremediation [114].

Codon optimization resulted in significant increases in gene expression (75- to 80-fold) to negligible enhancement. Increase of codon-optimized protein synthesis is at the translational level rather than on transcript abundance. Ribosome pause at certain codons is eliminated by codon optimization in chloroplasts. To express larger genes (greater than 200 kD) not only codon optimization, but also knowledge of tRNAs encoded by genome, compatibility with regulatory sequences for optimal translation initiation and elongation are important [13].

Use of non-canonical amino acids (ncAAs) through codon reassignment has been applied in analysis of protein structure and interaction, introduction of post-translational modifications, production of constrained peptides, antibody–drug conjugates and novel enzymes [115]. The scope of applications of codon usage analysis is thus immense.

## Conclusions

Codon usage bias is a widely prevalent biological phenomenon observed across all life forms with significant biological functions, implications and applications. Codon bias is only one of the multitude factors affecting gene expression and codon usage is itself influenced by several factors in the biological system. Hence, understanding codon usage bias is not as simple as altering the DNA sequence or codons, but highly complex due to the difficulty in identifying or measuring the relative impact of the various factors or components. Though having significant application in plant biotechnology in terms of heterologous expression of foreign genes, codon usage bias is not thoroughly investigated in most crop plants, though general principles are known in prokaryotic systems. The computation or estimation of codon bias is as well complicated and technically challenging or demanding, thereby limiting our understanding of codon usage bias. Detailed analysis of this intriguing phenomenon in plants requires integration of statistical, computational and bioinformatics tools, simplification of the computational methods, and thorough study or delineation of factors influencing codon usage. This can further aid in devising better tools for synthetic gene design, and regulation of gene expression in heterologous gene expression systems, a major application of one of the most intricate ‘code within the genetic code’, the codon usage bias. Codon usage bias (CUB) is an example that shows that neither the genome nor the genetic code were designed or had evolved at random, but with a purpose.

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