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Coexistence of EGFR with KRAS, or BRAF, or PIK3CA somatic mutations in lung cancer: a comprehensive mutation profiling from 5125 Chinese cohorts

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Background: Determining the somatic mutations of epidermal growth factor receptor (EGFR)-pathway networks is the key to effective treatment for non-small cell lung cancer (NSCLC) with tyrosine kinase inhibitors (TKIs). The somatic mutation frequencies and their association with gender, smoking history and histology was analysed and reported in this study.

Methods: Five thousand one hundred and twenty-five NSCLC patients' pathology samples were collected, and EGFR, KRAS, BRAF and PIK3CA mutations were detected by multiplex testing. The mutation status of EGFR, KRAS, BRAF and PIK3CA and their association with gender, age, smoking history and histological type were evaluated by appropriate statistical analysis.

Results: *EGFR*, *KRAS*, *BRAF* and *PIK3CA* mutation rates revealed 36.2%, 8.4%, 0.5% and 3.3%, respectively, across the 5125 pathology samples. For the first time, evidence of *KRAS* mutations were detected in two female, non-smoking patients, age 5 and 14, with NSCLC. Furthermore, we identified 153 double and coexisting mutations and 7 triple mutations. Interestingly, the second drug-resistant mutations, T790M or E545K, were found in 44 samples from patients who had never received TKI treatments.

Conclusions: *EGFR* exons 19, 20 and 21, and *BRAF* mutations tend to happen in females and non-smokers, whereas *KRAS* mutations were more inclined to males and smokers. Activating and resistant mutations to EGFR-TKI drugs can coexist and 'second drug-resistant mutations', T790M or E545K, may be primary mutations in some patients. These results will help oncologists to decide candidates for mutation testing and EGFR-TKI treatment.

Lung cancer has the highest incidence among all cancers and is the leading cause of death worldwide (Jemal *et al*, 2011). Non-small cell lung cancer (NSCLC) accounts for the vast majority of lung cancers (\sim 80%) (American Cancer Society, 2011). Treating advanced NSCLC with the small molecule tyrosine kinase inhibitors (TKIs), gefitinib and erlotinib, which target the

epidermal growth factor receptor (EGFR), has significantly improved the overall survival rate of patients harbouring activating mutations (Pao *et al*, 2005; Toyooka *et al*, 2005).

This report serves to provide oncologists with patient demographics associated with a higher statistical probability of having somatic mutations of the EGFR signalling pathway networks.

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Owing to the large cohort of patients, we were able to analyse the coexistence of double or triple somatic mutations of the relevant genes. Although they are rare, multiple mutations are very critical for the decision of TKI treatment. Importantly, for the first time, we report here detection of *KRAS* somatic mutations in NSCLC samples obtained from non-smoking children, which may be associated with second-hand smoke exposure or some environmental factors.

EGFR, KRAS, BRAF or PIK3CA mutations have been shown to predict clinical response to EGFR-TKIs in NSCLC patients. Mutations of these four genes are associated with gender, smoking history and histology. For example, deletions in EGFR exon 19 and the point mutation L858R in exon 21 are the most common activating mutations and have been predominantly found in females, never smokers, adenocarcinomas and Asian patients (Rosell et al, 2009; Xu et al, 2011). In addition, they have been identified as predictors of responsiveness to both gefitinib and erlotinib (Lynch et al, 2004; Pao et al, 2004). KRAS, BRAF or PIK3CA mutations are also important indicators for EGFR-TKI therapy (Marchetti et al, 2005; Pao et al, 2005; Schmid et al, 2009; Ludovini et al, 2011; Paik et al, 2011; Dogan et al, 2012). Conversely, KRAS mutations are more common in individuals with a history of cigarette use and are associated with resistance to EGFR-TKI (Pao et al, 2005; Xu et al, 2011; Dogan et al, 2012). KRAS, mutated to an oncogenic form by introducing amino-acid substitutions at codons 12, 13 and 61, are detected in 25-33% of patients with lung adenocarcinomas from the United States and Europe (Smits et al, 2012) and 8% from China (Xu et al, 2011). BRAF, a serine/threonine kinase, is activated by somatic point mutation V600E in exon 15 in only 3% of lung cancer patients. BRAF mutations are associated with resistance to TKI therapy (Pao et al, 2005; Lin and Bivona, 2012). PIK3CA encodes the p110a subunit of the mitogenic signalling protein phosphatidylinositol 3-kinase (PI3K). PIK3CA mutations in the helical-binding domain and the catalytic subunit of the protein have been associated with tumourigenesis and treatment resistance in various malignancies. Indeed, *PIK3CA* mutations are detected in $\sim 4\%$ of lung cancers and have become an important predictor for drug resistance to EGFR-TKI (Ludovini et al, 2011).

Owing to very low frequencies, the clinical significance of coexistence of somatic mutations has never been systematically analysed up until now. Although coexistence of somatic mutations on EGFR pathway networks have been observed in some individual cases (Toyooka *et al*, 2005), the low frequency of this occurrence has presented limitations to determining the clinical implications for these multiple mutation cases. Accordingly, large-scale mutation analysis could identify the frequencies of multiple mutations and expand our understanding of somatic mutations on the EGFR pathway networks.

Using a liquid chip technology for somatic mutation identification (Wu *et al*, 2010; Li *et al*, 2011), we conducted a large-scale mutation analysis for *EGFR*, *KRAS*, *BRAF*, or *PIK3CA* mutations on 5125 tumour samples from patients with NSCLC, and analysed their associations with gender, smoking and histology. Of these, 160 cases were identified as having multiple mutations. In this study, the clinical significance of these 160 cases has been analysed and is discussed.

MATERIALS AND METHODS

Patients. Between 2009 and 2012, 5125 patients with lung cancer from most major hospitals throughout China were enrolled in this study. Formalin-fixed and paraffin-embedded (FFPE) tumour samples were prepared from primary surgical or biopsy specimens in lung. All samples were identified by pathologists as primary

NSCLC and were provided by the SurExam Clinical Testing Centre. Written informed consent was obtained from all participants.

Mutation analysis of EGFR, KRAS, BRAF and PIK3CA. Tumour genomic DNA from each FFPE slide was extracted with the Maxwell system (Promega, Madison, WI, USA). The mutation status was analysed with the 70plex liquidchip platform (Surexam, Guangzhou, China) for the 70 alleles (Li et al, 2011). The 70plex includes five major steps: (1) multiplex PCR to amplify 70 target genes; (2) exonuclease I and shrimp alkaline phosphatase (EXO-SAP) cleaning to remove excess nucleotides and primers; (3) allelespecific primer extension where EXO-SAP-cleaned PCR products were amplified with70 specific primers that were linked to 70 universal tags; (4) hybridisation to beads and (5) Luminex analysis and the median fluorescence intensity was read and analysed. The 70plex platform has been validated for clinical use. All of 5125 tests and data analyses were performed at the SurExam Clinical Testing Centre. As part of quality control, samples were randomly sent to independent companies for DNA sequencing analysis.

Statistical analysis. The mutation status of *EGFR*, *KRAS*, *BRAF* and *PIK3CA* and their association with gender, age and smoking history were evaluated using Maximum Likelihood Multivariate Logistic Regression. Variables were selected by the Complete Model. The adjusted odds ratios were calculated. A two-sided *P*-value of <0.05 was considered statistically significant if there was no alpha correction. The phenotypes of gender and smoking were analysed using the Binomial test and then the Bonferroni correction was performed. Smoking refers to current and ever smoking in this study. The significant difference refers to *P*<0.001 between smoking and non-smoking or male and female proportions in comparison with overall samples and phenotypes. All analyses were performed using SAS 9.2 software (SAS Institute Inc., Cary, NC, USA).

RESULTS

Demographic characteristics. The presence of *EGFR*, *KRAS*, *BRAF* and *PIK3CA* mutations was analysed in 5125 lung cancer patients; 2072 of them were female (40.4%) and 3053 male (59.6%). Patient ages ranged from 5–91 years with the median age of 59 years. All specimens were NSCLC. Non-small cell lung cancer forms were identified in all of patients: 4046 (78.9%) samples were adenocarcinomas, whereas only 1079 (21.1%) were squamous cell carcinomas (see Table 1).

Mutation distributions. Two thousand two hundred and eight (2208) NSCLC patients (2208 out of 5125, 43.1%) harboured single mutations of *EGFR*, *KRAS*, *BRAF* or *PIK3CA*, and 160 were found to have multiple mutations (Figure 1, 153 double and coexisting mutations and seven triple mutations). Of the 153 double and coexisting mutations, 58 patients carried EGFR + PIK3CA mutations; 53, two EGFR double mutations; 29, EGFR + KRAS mutations; 9, KRAS + PIK3CA mutations; 0f the seven triple mutations, five patients carried $2 \times EGFR + 1 \times PIK3CA$ mutations; one patient carried $1 \times EGFR + 2 \times PIK3CA$ mutations; and one patient carried $1 \times EGFR + 1 \times PIK3CA$ mutations (Figure 1B).

Together, there were 36.2% patients with *EGFR* mutations (1854 out of 5125); 8.4%, *KRAS* mutations (429 out of 5125); 0.5%, *BRAF* mutations (26 out of 5125) and 3.3%, *PIK3CA* mutations (167 out of 5125). The percentage distributions of *EGFR*, *KRAS*, *BRAF* and *PIK3CA* among mutation-positive samples were 74.9%, 17.3%, 1.1% and 6.7%, respectively (Figure 2B).

^bInclude ever smokers and current smokers

^cNever smokers

	No. of patients
1edian age	59 (5–91) years
iender	
1ale	3053
emale	2072
listology ^a	
.DCs	4046
quamous	1079
moking history	
mokers ^b	2314
Ion-smokers ^c	2493
Inknown	318

EGFR mutations. EGFR mutations were detected in 36.2% patients (1854 out of 5125), with most of these located in exon 19 (18.0%, 920 out of 5125) or exon 21 (16.9%, 868 out of 5125). The remaining of these were located in exon 20 (1.5%, 75 out of 5125) or exon 18 (1.0%, 49 out of 5125). The percentage distributions in exons 18, 19, 20 and 21 were 3%, 48%, 4% and 45%, respectively, (Figure 2B). Double *EGFR* mutations were found

in 1.1% patients (58 out of 5125) (Figure 2A).

KRAS mutation. KRAS mutation was detected in 8.4% patients (429 out of 5125), with most of these located in exon 2 (8.0%, 408 out of 5125); the remaining ones were detected in exon 3 (0.4%, 21 out of 5125) (Figure 2). In these *KRAS* mutation specimens, there were 134 G12C mutations and 87 G12V mutations (Figure 2). Notably, there were two patients under or near the age of 15 years, who were found to carry the *KRAS* mutation. The youngest of these patients, who was 5 years of age, suffered from NSCLC and was also found to carry a *KRAS* E3 mutation (Q61H). The other of these patients, who was 14 years of age, had a *KRAS* E2 mutation (G12V). Interestingly, neither of these two patients was a smoker, and both were female.

BRAF mutation. BRAF mutation was detected in 0.5% patients (26 out of 5125). All of them were a V600E mutation (Figure 2).

PIK3CA mutation. PIK3CA mutation was detected in 3.3% patients (167 out of 5125) with 2.1% of these mutations located in exon 9 (109 out of 5125) and 1.1% in exon 20 (58 out of 5125) (Figure 2). There were 62 cases of E545K mutations and 44 cases of E542K (Figure 2). We found one patient who carried two *PIK3CA* mutations plus one *EGFR* mutation (E545K + E542K + L861Q) (Figure 1B).

Patients' characteristics and somatic mutations. Analysis by multivariate logistic regression revealed that females were more likely to have E19/E21 mutations (male *vs* female: 23.5% *vs* 51.5%, adjusted OR 0.63, CI 0.52–0.75, $P \le 0.001$) than males (Table 2). Similar results were also found in *BRAF* mutations (male *vs* female: 0.2% *vs* 1.1%, adjusted OR 0.15, CI 0.04–0.62, $P \le 0.01$). The *KRAS* mutation rate was higher in males than in females (male *vs* female: 11.0% *vs* 6.1%, adjusted OR 1.38, CI 0.99–1.93, P = 0.054), but the difference was not statistically significant. These results suggested a

significant association of *EGFR* E19/E21 and *BRAF* mutations with gender.

Non-smokers were more likely to have E19/E21 mutations than smokers (smokers vs non-smokers: 18.1% vs 51.0%, adjusted OR 0.287, CI 0.24–0.34, $P \le 0.001$) and E20 mutations (smokers vs non-smokers: 0.6% vs 2.5%, adjusted OR 0.39, CI 0.17–0.87, P = 0.022). However, an inverse relationship was found in *KRAS* mutations (smokers vs non-smokers: 6.0% vs 12.3%, adjusted OR 1.83, CI 1.38–2.57, $P \le 0.001$). There was no significant difference between smoker and non-smoker NSCLC patients for the mutation rates of *EGFR* E18, *BRAF* and *PIK3CA*.

EGFR E19/E21 (adeno vs squamous: 42.0% vs 8.2%, adjusted OR 7.36, CI 5.61-9.57, P≤0.001), EGFR E20 (adeno vs squamous: 1.9% vs 0.5%, adjusted OR 2.89, CI 1.04-8.04, P = 0.042) and KRAS (adeno vs squamous: 10.4% vs 3.6%, adjusted OR 3.26, CI 2.21-4.80, $P \leq 0.001$) mutations were more frequent in adenocarcinomas. However, the PIK3CA mutation rate in adenocarcinomas was lower than in squamous cell carcinoma (adeno vs squamous: 2.9% vs 7.9%, adjusted OR 0.50, CI 0.34–0.73, P≤0.001). These results suggest a significant association of EGFR E19/E21, EGFR E20, KRAS and PIK3CA mutations with the histology of tumour specimens. Accordingly, adenocarcinomas have a higher likelihood of harbouring EGFR E19/E21, EGFR E20 and KRAS mutations, whereas squamous cell carcinomas have a higher likelihood of harbouring a PIK3CA mutation. Unfortunately, there was no classification information on 3948 NSCLC samples (out of 5125) at time of testing.

In addition, there was no significant difference found for the mutation rates of *EGFR*, *KRAS*, *BRAF* and *PIK3CA* occurring in between patients over 60 years of age and those 60 years of age or younger. We also conducted statistical analysis by decade of age; there was no significant difference of these four gene mutations in any of the age groups (data not shown).

We conclude, therefore, that non-smoking females with adenocarcinoma lung cancer may have a high likelihood of carrying the *EGFR* E19/E21 mutation, whereas smokers with adenocarcinoma lung cancer have a high likelihood of carrying *KRAS* mutations.

Phenotype analysis with smoking history and gender. A significant association was found between smoking history and *EGFR* pathway gene mutation frequencies (Figure 3): the proportions of smoking *vs* non-smoking in E746_A750del, L747_A750 > P, L747_T751del, L747_P753 > S, T790M, L858R and G12C mutations were significantly different with the proportion of smoking *vs* non-smoking in the overall sample ($P \le 0.001$). Never smokers showed higher in E746_A750del, L747_A750 > P, L747_T751del, L747_P753 > S, T790M and L858R mutations. However, there was an inverse relationship in G12C mutations. These results suggest a significant association of mutation phenotypes with smoking history.

Significant associations were also found between gender and mutation phenotypes. The proportions of male *vs* female in E746_A750del, L747_P753 > S, T790M, L858R, L861Q, V600E and G12C mutations were significantly different with the proportion of male *vs* female in the overall sample ($P \le 0.001$). E746_A750del, L747_P753 > S, T790M, L858R, L861Q and V600E mutations were higher in females than males, whereas G12C was higher in males than in females (Figure 3).

Double mutations. There were 153 patients found to have a double and coexisting mutation profile; 72.5% of them (111 out of 153) were never smokers; 62.1% (95 out of 153) were females (Figure 1A). Interestingly, there were only 13.1% (20 out of 153) of these who were treated with the TKI or TKI-combined therapy before the tests.

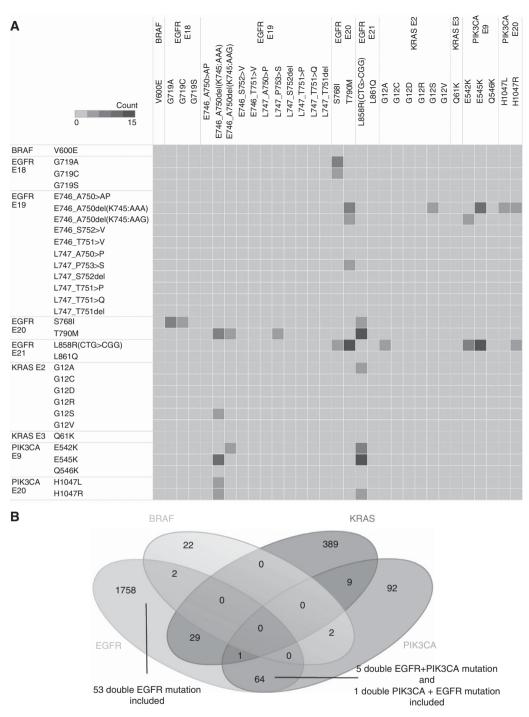


Figure 1. Combinations of multiple mutations. (A) Double mutation sites and case number in 153 patients. Double mutations L858R + T790M showed the highest incidence rate (9.8%, 15 out of 153) followed by L858R + E545K (8.5%, 13 out of 153). (B) Four set venn-diagram of single and multiple mutation panoramagram for the whole study.

There were 30 *EGFR* mutation specimens that also harboured *KRAS* mutations; two had *BRAF* mutations; and 65 had *PIK3CA* mutations. There were 6.0% (10 out of 167) of *KRAS* mutation specimens that also harboured *PIK3CA* mutations. However, *KRAS* and *BRAF* mutations were mutually exclusive.

Double mutations L858R and T790M possessed the highest incidence rate (9.8%, 15 out of 153) followed by L858R + E545K (8.5%, 13 out of 153) (Figure 1A).

It is well known that the *EGFR* E19/E21 mutation is a sensitive or active mutation to TKI therapy, whereas T790M and E545K are resistant mutations. We identified 56 patients who carried both E19/E21 mutations and T790M or E545K; most of these were female (73.2%, 41 out of 56) and never smokers (78.6%, 44 out of

56). Of these, 53.6% (30 out of 56) were detected in patients <60 years of age, and 78.6% (44 out of 56) had never received TKI treatments (data not shown).

Triple mutations. There were seven patients found to carry a triple mutation: four of them were male; two, smokers; age range, 47–76 years. There were five patients who harboured double *EGFR* mutations and one patient (76 years old, female) who had double *PIK3CA* mutations. Three triple-mutation specimens harboured *EGFR* E21, and all *EGFR* E21 mutations in this triplet mutation group were L861Q. The samples with double or triple mutations have been further confirmed by independent DNA sequencing lab (data not shown).

GFR G 8 G GFR E 9 E 9 L L L L E E E E E E L L L L L L L L	G719A G719S G719C E746_A750del(K745:AAA) E746_A750del(K745:AAG) -747_P753>S -747_T751del -747_A750>P E746_S752>V -747_T751>P E746_T751>A(GCA) -747_S752del	1.03% 1.22% 0.47% 0.24% 16.31% 8.25% 3.40% 2.49% 2.21% 0.99% 0.99% 0.51% 0.51% 0.51% 0.28% 0.20% 0.20% 0.08%		R E19, 36.30% 3.11% of EGFR	EGFR E2 45.409
8 G GFR E 9 E 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	G719S G719C C746_A750del(K745:AAA) C746_A750del(K745:AAG) C747_P753>S C747_T751del C747_A750>P C746_G752>V C747_T751>P C746_T751>A C746_T751>V C746_T751>V C746_T751>V C746_T753>VS C746_G752>D C746_G752>D C746_G752>D C747_P753>Q	0.47% 0.24% 8.25% 3.40% 2.49% 2.21% 0.99% 0.83% 0.51% 0.51% 0.28% 0.20% 0.08% 0.08%	48	3.11% of EGFR	45.409
GFR E 9 E 9 L L L E E E E E L L L	G719C G719C G746_A750del(K745:AAA) G746_A750del(K745:AAG) C747_P753~S C747_T751del C747_A750>P G746_S752>V C747_T751>P G746_T751>A(GCA) C747_S752del G746_T751>V G746_T751>V G746_G753>VS G746_A750>AP C747_P753>Q	0.24% 16.31% 3.40% 2.49% 2.21% 0.99% 0.83% 0.51% 0.51% 0.28% 0.28% 0.20% 0.08% 0.08%	I		
GFR E 9 E L L E E E E E E L L L	746_A750del(K745:AAA) 746_A750del(K745:AAG) 747_P753>S 747_T751del 747_A750>P 746_S752>V 747_T751>P 746_T751>P 746_T751>A(GCA) 747_S752del 746_T751>V 746_T753>VS 746_A750>AP 746_S752>D 747_P753>Q	16.31% 8.25% 2.49% 2.21% 0.99% 0.83% 0.51% 0.51% 0.28% 0.28% 0.20% 0.08% 0.08%	I		
9 E L L E L E E E L L L L L	2746_A750del(K745:AAG) .747_P753>S .747_T751del .747_A750>P 2746_S752>V .747_T751>P 2746_T751>A(GCA) .747_S752del 2746_T751>V 2746_P753>VS 2746_P753>VS 2746_S752>D .747_P753>Q	8.25% 3.40% 2.49% 2.21% 0.99% 0.83% 0.51% 0.28% 0.20% 0.88% 0.08%	I		
	747_P753>S 747_T751del 747_T751del 746_S752>V 747_T751>P 746_T751>A(GCA) 747_S752del 746_T751>V 746_T751>V 746_T753>VS 746_A750>AP 746_A750>AP 746_S752>D 747_P753>Q	3.40% 2.49% 2.21% 0.99% 0.83% 0.51% 0.51% 0.28% 0.28% 0.20% 0.08%	1		
	.747_T751del .747_A750>P .746_S752>V .747_T751>P .746_T751>A(GCA) .747_S752del .747_S752del .746_T751>V .746_T753>VS .746_P753>VS .746_A750>AP .746_S752>D .747_P753>Q	2.49% 2.21% 0.99% 0.83% 0.51% 0.51% 0.28% 0.20% 0.20% 0.20% 0.08%	1		
	_747_A750>P E746_S752>V _747_T751>P E746_T751>A(GCA) _747_S752del E746_T751>V E746_T751>V E746_P753>VS E746_A750>AP E746_S752>D _747_P753>Q	2.21% 0.99% 0.83% 0.51% 0.51% 0.28% 0.28% 0.20% 0.20% 0.08%	1		
	E746_S752>V .747_T751>P E746_T751>A(GCA) .747_S752del E746_T751>V E746_P753>VS E746_P753>VS E746_A750>AP E746_S752>D .747_P753>Q	0.99% 0.83% 0.51% 0.28% 0.20% 0.20% 0.08%			
	-747_T751>P =746_T751>A(GCA) .747_S752del =746_T751>V =746_P753>VS =746_A750>AP =746_A750>AP =746_S752>D _747_P753>Q	0.83% 0.51% 0.51% 0.28% 0.20% 0.08% 0.08%			
E E E L L	E746_T751>A(GCA) _747_S752del E746_T751>V E746_P753>VS E746_A750>AP E746_A750>AP E746_S752>D _747_P753>Q	0.51% 0.51% 0.28% 0.20% 0.08% 0.08%			
L E E L	747_5752del 2746_T751>V 2746_T751>V 2746_P753>VS 2746_A750>AP 2746_A750>AP 2746_S752>D 2747_P753>Q	0.51% 0.28% 0.20% 0.08% 0.08%			
E E E L	746_T751>V 746_P753>VS 746_P753>VS 746_A750>AP 746_S752>D .747_P753>Q	0.28% 0.20% 0.08% 0.08%			
E E L	746_P753>VS 746_A750>AP 746_S752>D .747_P753>Q	0.20% 0.08% 0.08%			
E	E746_A750>AP E746_S752>D _747_P753>Q	0.08% 0.08%			
E L L	E746_S752>D _747_P753>Q	0.08%			
L	_747_P753>Q				
L		0.08%			
	_747_T751>Q				
		0.04%			
	S752 I759del(T751:ACC)	0.04%			
		1.38%			
		0.95%			
		0.51%			
	0770 N771insG	0.08%			
	H773_V774insH	0.04%			
	_858R(CTG>CGG)	32.42%			
		1.42%			
-		0.39%			
RAS E2 G		5.29%			
	G12V	3.44%			
	G12D	3.08%			
	G12D G12A	2.45%	FGE	R E20, 2.96%	EG
		0.79%		92% of EGFR	2
		0.39%	KRAS	S E2, 16.11%	
		0.36%			
	G12R	0.32%			
RAS E3 C		0.59%			~
		0.20%			/
	Q61K	0.04%		/	
K3CA E		2.45%		KRAS E3, 0.8	23%
		1.74%		KNAS E3, 0.0	00 /0
		0.08%			
		0.04%			
		1.74%			
20 H	H1047L	0.55%	Gene		

Figure 2. Somatic mutation frequencies of EGFR, KRAS, BRAF and PIK3CA. (A) The mutation sites (abscissas) and frequency (ordinates) of EGFR, KRAS, BRAF and PIK3CA in 5125 patients with NSCLC. (B) Distributions tree-map of EGFR, KRAS, BRAF and PIK3CA mutations in 2368 patients carrying mutations.

	Covariates for logistic regression									
	Gender Male vs female ^a		Age >60 vs <60ª		Smoking Smokers vs never smokers ^a		Histology subtype ADCs vs SCCs ^a			
Gene mutation	AOR (95% CI)	P-value	AOR (95% CI)	P-value	AOR (95% CI)	P-value	AOR (95% CI)	P-value		
EGFR E19/E21	0.628 (0.524–0.754)	< 0.0001	1.040 (0.898–1.204)	0.6002	0.287 (0.237–0.347)	< 0.0001	7.358 (5.611–9.566)	< 0.0001		
EGFR E18	0.501 (0.222–1.132)	0.0964	1.509 (0.813–2.799)	0.1922	0.676 (0.284–1.608)	0.3762	1.617 (0.627–4.169)	0.3200		
EGFR E20	0.540 (0.273–1.070)	0.0775	0.889 (0.532–1.483)	0.6513	0.387 (0.173–0.870)	0.0216	2.889 (1.039-8.035)	0.0420		
KRAS	1.384 (0.995–1.926)	0.0537	1.173 (0.933–1.476)	0.1719	1.833 (1.380–2.568)	< 0.0001	3.256 (2.209–4.798)	< 0.0001		
BRAF	0.147 (0.035–0.623)	0.0093	0.642 (0.251–1.646)	0.3567	1.425 (0.371–5.476)	0.6057	1.053 (0.302–3.675)	0.9352		
РІКЗСА	1.093 (0.666–1.795)	0.7248	0.840 (0.582–1.212)	0.3512	1.099 (0.682–1.772)	0.6978	0.495 (0.335–0.733)	0.0004		

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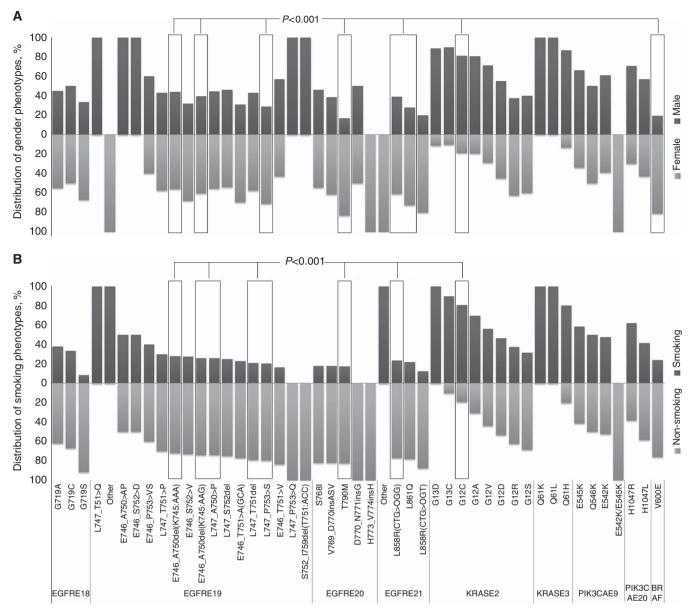


Figure 3. (A) The bars on two sides of the horizontal axis represent the percentage difference of male and female genotypes. The bars above represent male genotypes, and the bars below represent female genotypes. The bars with a rectangle box show the statistical difference of genotypes between male and female by the binomial test (P<0.001). (B) The bars on two sides of horizontal axis represent the percentage difference of smoker and non-smoker genotypes. The above are smokers', and the below, non-smokers'. The bars with a rectangle box show the statistical box show the statistical difference of genotypes in percentage between smokers and non-smokers by the binomial test (P<0.001). (B) share the same horizontal axis that represents genotypes.

DISCUSSION

We tested 5125 Chinese NSCLC specimens for the somatic mutation frequencies of *EGFR*, *KRAS*, *BRAF* and *PIK3CA*. It was expected that somatic mutation testing from such a large cohort of NSCLC patients would provide more reliable results for interpreting the association of mutation frequencies with patient demographics. In this study, we identified 34.8% *EGFR* E19/E21, 2.2% *EGFR* E18/E20, 8.4% *KRAS*, 0.5% *BRAF* and 3.3% *PIK3CA* mutations within the population (Figure 2B). Age had no significant role in mutations of these four genes. Although most of the *EGFR* mutations were detected in adenocarcinomas, from the unselected Chinese patients with NSCLC, the *EGFR* E19/E21 mutation frequencies were much higher than previously reported in American patients with adenocarcinoma NSCLC (34.8% from

5125 patients *vs* 19% from 2142 patients) suggesting that racial diversity contributes to occurrence of the E19/E21 mutation (D'Angelo *et al*, 2011). We also observed that *EGFR* E19/E21 mutations were significantly higher in NSCLC from tumours with adenocarcinoma histology (43.6% *vs* 8.5%, $P \le 0.001$), in women (51.5% *vs* 23.5%, $P \le 0.001$) and in never smokers (51.0% *vs* 18.1%, $P \le 0.001$), which are similar to results of previous reports (Xu *et al*, 2011; Dogan *et al*, 2012).

From our 1854 *EGFR*-mutated cases, mutations in exons 18, 19, 20 and 21 occurred in 3%, 48%, 4% and 45%, respectively. These findings are consistent with the frequencies of these mutations reported for an international database by researchers from the Metropolitan Hospital of Athens who collected data reported on 3303 *EGFR* mutations from peer-reviewed publications and concluded that the mutation distribution among these four exons are 4%, 50%, 6% and 40%, respectively (Somatic Mutation in

Epidermal Growth Factor Receptor Database http://www.somaticmutations-egfr.info/overall_mutation_frequency.html).

Although the *EGFR* exon 20 S768I mutation is considered to be a very rare mutation, we detected a total of 24 (0.5%) NSCLC harbouring this mutation. Interestingly, we found high frequencies of S768I in multiple mutation cases: in two triple-mutation cases (2 out of 7) and in 15 double-mutation cases (15 out of 153), in four cases of L858R/S768I and in 11 cases of G719X/S768I. The function of the S768I mutation in TKI therapy is still uncertain. Masago *et al* (2010) demonstrated that one patient who harboured the S768I mutation showed a good clinical response to EGFR-TKI therapy, whereas Asahina *et al* (2006) reported completely opposite results. The fact that S768I showed high frequencies in multiple mutations suggests a rationale for specific studies designed to explore the role of S768I in NSCLC oncogenesis.

In contrast to EGFR mutations, KRAS codon 12 and 13 mutations are typically found in smokers, are associated with a poor NSCLC prognosis, are resistant to TKIs and predict lack of a survival benefit from adjuvant chemotherapy. These mutations have been found to have an association with race: $\sim 25\%$ NSCLC in western countries and much less in Asian NSCLC (Rodenhuis et al, 1987; Slebos et al, 1990; Riely et al, 2008). In our study, only 12.3% smokers and 6.0% non-smokers were detected to harbour the KRAS mutation in the 5125 NSCLC cases, which is much lower than that previously reported in the United States (34% in smokers and 6% in non-smokers) (Dogan et al, 2012). Initially, KRAS mutations were thought to be uncommon in NSCLC cases without a history of smoking (Rodenhuis et al, 1987). However, we identified 6.0% of KRAS mutations in non-smokers as also observed in the United States (Riely et al, 2008; Dogan et al, 2012), which may lead future studies to explore the impact of factors other than cigarettes, such as genetic, environmental or hormonal factors, on KRAS mutations.

Patients with *BRAF* V600E mutations in their tumours had significantly shorter DFS and OS times than those without mutations (Marchetti *et al*, 2011). To our knowledge, this is the largest cohort of V600E tests performed on NSCLC samples obtained from patients of the same race. The frequency of V600E is 0.51% in our study, which is much lower than that reported for Italian (2.8%) (Marchetti *et al*, 2011) and American patients (2.9%), but comparable to Japan patients (0.8%) (Sasaki *et al*, 2006). Therefore, we suspect that like *EGFR* mutations, incidence of V600E mutations may be racial reliant. We also did not see significantly higher V600E mutations in smokers than non-smokers. Similar results were reported by Marchetti *et al* (2011) as well. However, our data indicate that V600E mutations are significantly more prevalent in females than in males ($P \leq 0.05$).

The median age of NSCLC is 71 worldwide (Subramanian et al, 2010). However, it is 59 in our study, which is much lower than 71. Although the median age in Asia has been reported as lower than 71 before (Xu et al, 2011; Zhang et al, 2012), more young patients with NSCLC in China than the western countries may be the reason for the low median age. According to an internet database from 1381 hospitals, there is only 0.7% lung cancer at the age group <40 years (Age Group of Lung Cancer Diagnosed, 2000-2007). However, our patient population included 176 (3.4%) NSCLC patients who were younger than 40 years of age. Lung cancer is extremely rare in children. Surprisingly, for the first time, we discovered KRAS mutations in two very young patients: a 5-year old (with a Q61H mutation) and a 14-year old (with a G12V). Both of these patients were non-smoker females. This may be the first report of KRAS somatic mutation cases in children with NSCLC. Although it is a widely accepted notion that second-hand smoke (SHS) is linked to lung cancer (Besaratinia and Pfeifer, 2008), medical professionals and researchers have not reached a consensus on the extent of the increase in lung cancer risk due to SHS. Although recent data suggested that most KRAS mutations in

non-smokers are not due to SHS exposure (Dogan *et al*, 2012), we raised the possibility again that certain subtypes of *KRAS* mutations in children may result from SHS. The epidemiology of young NSCLC in China and association with SHS and environmental factors are currently under investigation.

Coexistence of the PIK3CA mutation and other activating gene mutations in the EGFR signalling pathway were previously reported (Chaft et al, 2012). Double mutations of KRAS and PIK3CA exon 9 (but not exon 20) have also been reported in colorectal cancer (De Roock et al, 2010). However, in lung cancer, EGFR gene mutations are reported as mutually exclusive with KRAS or BRAF gene mutations (Marchetti et al, 2005). For the first time, we found 30 cases of coexistence of EGFR and KRAS mutations in FFPE samples of NSCLCs. In other words, a tumour can simultaneously harbour an activating EGFR mutation as well as mutations downstream of the pathway in the KRAS gene, which means that upstream inhibition of EGFR with TKIs will have no therapeutic effect in these cases. This finding also suggests that the EGFR mutation test alone may not be sufficient for the decision of whether or not the patient is a candidate for TKI therapy.

Interestingly, our data showed that *KRAS* and *BRAF* V600E mutations are mutually exclusive, which agrees with Rajagopalan *et al* (2002) that the *BRAF* mutation occurs only in tumours that do not carry *KRAS* mutations, as KRAS and BRAF provide an equivalent or at least redundant oncogenic stimulus in cancer pathogenesis. This phenomenon has also been observed in colorectal cancer (De Roock *et al*, 2010). However, we did find for the first time two cases of *EGFR* and *BRAF* coexsiting mutations, which have been previously considered as mutually exclusive mutations, as well. Again, we submit that *KRAS* and *BRAF* mutation tests should be run concurrently with the *EGFR* mutation test.

EGFR-TKIs, such as gefitinib and erlotinib, have been successfully used to treat advanced NSCLCs with EGFR-activating mutations, exon 19 deletions or L858R. However, over 6-12 months of treatment, most tumours acquire resistance to EGFR-TKIs. Furthermore, it was discovered that the secondary T790M mutation occurs in 50% of EGFR-mutated patients with TKI resistance (Oxnard et al, 2011; Ohashi et al, 2012). Both EGFR T790M and PIK3CA E545K are considered as acquired resistance mutations to TKI treatment (Engelman et al, 2006; Engelman and Janne, 2008), and are considered as biomarkers for poor clinical prognosis. Among our 160 cases of multiple mutations, an EGFR-activating mutation plus a T790M point mutation was accounted for in 32 cases (20%), and 24 cases (15%) also included E545K. Twelve of them (12, T790M and 0, E545K) might be the results of TKI therapy. However, it was very interesting to find that there were 44 cases (20, T790M and 24, E545K) where patients had never received any TKI therapy but already harboured T790M or E545K secondary mutations. This may explain why some NSCLCs who harbour EGFR-activating mutations still fail the TKI therapy (Kobavashi et al, 2005). The same double mutations have been previously described in two patients who showed very poor responses to TKI treatment (Toyooka et al, 2005). Moreover, data have shown that continued activation of PI3K signalling by the PIK3CA oncogenic mutant, p110a E545K, is sufficient to abrogate gefitinib-induced apoptosis (Subramanian et al, 2010). Together, our data confirmed the coexistence of the EGFR-activating mutation of exon 19 deletions or L858R, and the resistant point mutation of T790M or E545K before the TKI treatment. This finding provides evidence that double mutations with a second resistant mutation are important factors conferring resistance to TKI therapy in NSCLC carrying EGFR-sensitive mutations, thus lending insight to further considerations for designing clinical trials, or drugs, for more effective strategies against specific subtypes of NSCLC with both EGFR-TKIs and anti-T790M therapies. In the future, a more sensitive technology

should be able to identify whether these mutations are in the same cellule or not.

Together, our data present a clear panoramagram of mutation frequencies of *EGFR*, *KRAS*, *BRAF* and *PIK3CA* from 5125 NSCLC cases and their associations with gender, smoking history and histology. For the first time, 153 double and seven triple mutation cases were identified in NSCLC. These data will lead further investigations to explore the associations between multiple mutations and clinical outcomes. Finally, we also reported here, for the first time, the detection of *KRAS* mutations in children with NSCLC.

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CONFLICT OF INTEREST

The authors declare no conflict of interest.

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