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¹ Coherent phonons and the interplay between charge density wave and Mott phases in 1T-TaSe₂

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1T-TaSe₂ is host to coexisting strongly-correlated phases including charge density waves (CDWs) and an unusual Mott transition at low temperature. Here, we investigate coherent phonon oscillations in 1T-TaSe₂ using a combination of time- and angle-resolved photoemission spectroscopy (TR-ARPES) and time-resolved reflectivity (TRR). Perturbation by a femtosecond laser pulse triggers a modulation of the valence band binding energy at the $\bar{\Gamma}$ -point, related to the Mott gap, that is consistent with the in-plane CDW amplitude mode frequency. By contrast, TRR measurements show a modulation of the differential reflectivity comprised of multiple frequencies belonging to the distorted CDW lattice modes. Comparison of the temperature dependence of coherent and spontaneous phonons across the CDW transition shows that the amplitude mode intensity is more easily suppressed during perturbation of the CDW state by the optical excitation compared to other modes. Our results clearly identify the relationship of the in-plane CDW amplitude mode with the Mott phase in 1T-TaSe₂ and highlight the importance of lattice degrees of freedom.

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I. INTRODUCTION

Understanding the delicate interplay between co-12 13 operating or competing phases of matter in quantum materials is an ongoing goal of fundamental research [1– 14 3]. Driving these systems out of equilibrium using an 15 intense femtosecond laser pulse offers the possibility to 16 transiently suppress forms of electronic and lattice or-17 der and monitor their recovery in real time [4]. The 18 characteristic dynamics of these processes allows a clas-19 sification of materials in the time-domain [5], and has 20 been used to disentangle the underlying mechanisms of 21 complex phases found in cuprate superconductors [6] and 22 exciton-lattice driven CDW systems [7], or to unlock nor-23 mally hidden states of matter [8]. 24

An ideal platform to investigate these phenomena are the trigonal (1T) tantalum-based transition metal dichalcogenides (TMDs), MX₂ (M = Ta, X = S/Se) which are host to a whole range of strongly-correlated behaviour including charge density waves (CDWs) [9], the Mott physics [10], possible quantum spin liquid states [11], and superconductivity [12, 13].

Tantalum disulphide $(1T\text{-}TaS_2)$ has been studied extensively using time-resolved techniques [14–20], motivated mostly by its rich phase diagram. It exhibits multiple CDW transitions including an incommensurate (550 K), nearly-commensurate (350 K), and commensurate (180 K) phase [9] that occurs concomitantly with a metal-insulator transition, commonly associated with a Mott phase [21]. However, an alternative explanation has

⁴⁰ recently been proposed based on the three-dimensional ⁴¹ stacking order of the CDW and hybridization of atomic ⁴² orbitals [22–24]. Thus, important questions surrounding ⁴³ the nature of the metal-insulator transition and its rela-⁴⁴ tionship with the CDW remain.

Tantalum diselenide (1*T*-TaSe₂) has received compar-46 atively far less attention, although it was recently sug-47 gested to be the more suitable compound to investi-48 gate the relationship between the CDW and Mott phases 49 because of the well-separated transition temperatures, 50 larger electronic gap, and reduced complexity due to the 51 absence of the nearly-commensurate phase (NCCDW) 52 [19].

⁵³ 1*T*-TaSe₂ undergoes a first-order transition from an ⁵⁴ incommensurate (ICCDW) to commensurate (CCDW) ⁵⁵ charge density wave at $T_{\rm CDW} = 473$ K [25]. It is accom-⁵⁶ panied by an in-plane $\sqrt{13}a_0 \times \sqrt{13}a_0$ periodic lattice ⁵⁷ distortion (PLD) which is rotated by ~ 13° with respect ⁵⁸ to the original unit cell, and forms a 13-atom superlattice ⁵⁹ comprised of Ta clusters in the so-called "star-of-David" ⁶⁰ configuration [9].

In addition to the well known CDW, a Mott transition occurs at ~ 260 K evidenced by the opening of a gap, $\Delta_{\text{Mott}} \approx 250$ meV below the Fermi level, E_{F} observed by ARPES [10] and STM [26]. The CDW has been suggested to be a precursor to the Mott phase, since it modifies the band structure resulting in a narrow halffilled band at E_{F} . As the temperature is reduced, the increasing CDW amplitude causes a narrowing of the band width (W), related to the in-plane electron hopping between the adjacent star-of-David clusters in the CDW 1 lattice [27, 28]. Electrons will become localized when the 2 band width (W) decreases below a critical value and the Mott criterion, $U/W \geq 1$ is reached, where U is the on-

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74 site electron-electron Coulomb repulsion. The result of this localization is a transition to an insulating state and 75 formation of a gap, Δ_{Mott} [10, 26]. 76

Time- and angle-resolved photoemission spectroscopy 77 TR-ARPES) is a powerful tool which allows direct visu-78 alization of the electronic band structure following per-79 turbation with a laser pulse. In strongly-correlated sys-80 tems, it can be used to monitor the collapse and recovery 81 of electronic order in real-time by probing energy gaps, Δ 82 related to the order parameter [5–7]. Recent TR-ARPES 83 studies of 1*T*-TaSe₂ using high-harmonics ($h\nu \approx 22 \text{ eV}$) 84 have focused predominantly on gap suppression dynamics 85 on short timescales [19] or the room temperature (CDW) 86 phase only [29], and thus the Mott phase dynamics re-87 main relatively unexplored. 88

Here, we report on electron and phonon dynamics of 89 1T-TaSe₂ at low temperature (77 K) where the CDW 90 ⁹¹ and Mott phases co-exist. Using TR-ARPES with $h\nu =$ ⁹² 6 eV photon energy, we track the temporal evolution of ⁹³ the valence band binding energy related to Δ_{Mott} at the ⁹⁴ $\overline{\Gamma}$ -point over several picoseconds. We find that it exhibits strong, long-lasting oscillations with a single frequency 95 related to the in-plane CDW amplitude mode (~ 2.2 96 THz at 77 K). Using complementary time-resolved re-97 flectivity (TRR) measurements, we instead find multiple 98 phonon frequencies related to the PLD ($\sim 1.8, 2.2$ and 99 2.9 THz). Therefore, aided by the momentum-selectivity ¹⁰¹ of TR-ARPES, our results reveal that the gap, Δ_{Mott} is ¹⁰² linked preferentially to the amplitude mode of the CDW. ¹⁰³ By investigating the temperature-dependence of coher-¹⁰⁴ ent phonons, we find that the amplitude mode deviates ¹⁰⁵ significantly from first-order behaviour, whilst the other ¹⁰⁶ modes are robust up to T_{CDW} , highlighting further the ¹²⁹ Supplemental Material (Ref. [32]). nature of this mode and its importance to electronic or-107 108 der in this material.

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METHODS II.

110 111 ¹¹² fs) probe pulses generated by a series of nonlinear optical ¹³⁵ tred around the M-points on the BZ boundary are the 113 114 115 116 $_{117}$ fs and 45 meV, respectively. TRR was performed us- $_{140}$ linked by the wavevector, \mathbf{q}_{CDW} in the $\overline{\text{K}}$ - $\overline{\text{M}}$ - $\overline{\text{K}}$ direction ¹¹⁸ ing a setup based on a Ti:sapphire laser (Coherent Li- ¹⁴¹ where the Fermi surface could be prone to nesting [9, 19], 119 120 121 122 $_{123}$ ing chirped mirrors. Steady-state ARPES measurements $_{146}$ the band edge is found significantly below $E_{\rm F}$ as a result 124 125 $_{126}$ resolution at 40 K. Further experimental details relat- $_{149}$ broad Ta-5d states, and steeply dispersing Se-4p states, $_{127}$ ing to crystal growth methods, electrical transport mea- $_{150}$ which are known to extend to $E_{\rm F}$ at room temperature



FIG. 1. Electronic order in 1T-TaSe₂. (a) Full-wavevector ARPES ($h\nu = 21.2 \text{ eV}$) image of the electronic structure, $E - E_{\rm F} = -0.5$ eV at 40 K with projected high-symmetry points of the hexagonal Brillouin zone (BZ) labelled. The red arrow is the expected CDW vector, \mathbf{q}_{CDW} . (b) Band dispersions through the BZ. The vertical arrows highlight the lowering of occupied states due to the CDW (red) and Mott (blue) transitions. (c) TR-ARPES ($h\nu = 6 \text{ eV}$) map at 77 K. (d) Comparison of EDCs extracted from the $\overline{\Gamma}$ -point $(k_{\parallel}=0)$ at 300 K and 77 K. The arrow shows the band edge shift as a result of the Mott transition.

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RESULTS AND DISCUSSION III.

First we discuss the electronic structure of 1T-131 ¹³² TaSe₂ and the effects of CDW and Mott transitions. TR-ARPES experiments were performed using visible $_{133}$ Fig. 1(a) shows a full-wavevector ARPES image at E - $(\sim 1.8 \text{ eV}, 30 \text{ fs})$ pump and deep-ultraviolet ($\sim 6.0 \text{ eV}, 80_{134} E_F = -0.5 \text{ eV}$ where all the main features are visible. Cenprocesses from the output of an Yb-based laser (Pharos, 136 elliptical Ta-5d electron pockets, and at the BZ centre Light Conversion) operating at 80 kHz repetition rate, 137 ($\overline{\Gamma}$ -point) is the Se-4p pocket, in agreement with previas described in Ref. [30]. The overall time- and energy- ¹³⁸ ous ARPES measurements [33, 34]. The CDW involves resolution of this configuration were approximately 80 139 finite portions of the Ta-5d electron pockets which are bra) which drives two non-collinear parametric amplifiers 142 although the importance of such electronic instabilities is (NOPAs) serving as pump and probe beams [31]. The 143 still debated [33, 35]. Indeed, there is a clear loss of intenamplified pulses are characterized by a broad spectrum 144 sity on the parallel arms of these pockets in Fig. 1(a), and of (1.8 - 2.4) eV and compressed to ≤ 20 fs duration us- 145 dispersion along the $\overline{K}-\overline{M}-\overline{K}$ direction in Fig. 1(b) shows were performed at the Bristol NanoESCA facility using 147 of the CDW gap, $\Delta_{\rm CDW}$. Dispersion along the $\overline{\rm M}$ - $\overline{\Gamma}$ di-He-I α radiation ($h\nu = 21.2$ eV), with ~50 meV energy ¹⁴⁸ rection in Fig. 1(b) shows a valence band comprised of $_{128}$ surements, and Raman spectroscopy are provided in the $_{151}$ [10]. At 40 K, all bands near $E_{\rm F}$ have been lowered by



FIG. 2. Valence band dynamics in the CDW-Mott phase. (a) TR-ARPES spectra at the $\overline{\Gamma}$ -point (77 K) using 1.10 mJ $\rm cm^{-2}$ pump fluence. (b) Normalized valence band intensity, extracted from the maximum near $E - E_{\rm F} \approx -0.35$ eV. (c) Valence band shift extracted from a constant intensity contour in panel (a), indicated by the blue dashed line.

153 [32].154

155 156 157 $_{158}$ $\overline{\Gamma}$ - \overline{M} direction based on low energy electron diffraction $_{216}$ to the magnitude of the in-plane PLD, controls the U/W160 161 by ~ 260 meV between 300 K and 77 K, which is in very $_{221}$ manifests in the magnitude of Δ_{Mott} . 163 close agreement with previous reports of the Mott tran- $_{222}$ 164 165 Fig. 1(b). 166

167 168 169 170 However, our resistance measurements show metallic be-171 172 173 174 175 176 6 eV, the inelastic mean free path for electrons is ex-177 178 179 180 181 ers. 182

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184 coexisting CDW-Mott phase measured by TR-ARPES. Fig. 2(a) shows the valence band at the $\overline{\Gamma}$ -point after 185 perturbation by the pump pulse. At t = 0, the optical 186 excitation results in an instantaneous loss of valence band 187 intensity as highlighted in Fig. 2(b), and recovery occurs within ~ 2 ps which is similar to the reported dynamics of 189 1T-TaS₂ in the Mott phase [14]. As is clearly evident by 190 ¹⁹¹ the persisting gap, Δ_{Mott} in Fig. 2(a), we do not observe a $_{192}$ collapse of the Mott phase and we also note that 1.10 mJ $_{193}$ cm⁻² pump fluence is not sufficient to melt the CDW [38]. ¹⁹⁴ Hence, we confirm that the TR-ARPES experiments were performed in the coexisting CDW-Mott phase. 195

Fig. 2(c) shows the temporal evolution of the valence band edge position. Most noticeably, the pump triggers strong coherent oscillations which are weakly damped. 198 199 TR-ARPES data in Fig. 3(a) shows a maximum initial $_{200}$ oscillation of approximately ± 20 meV around the equilibrium position with a large amplitude that persists at 201 6 ps. Fitting the data with a damped periodic function 202 $E(t) = A \exp\left(-t/\tau_d\right) \sin\left(2\pi\omega t + \phi\right)$ yields a frequency, 203 $_{\rm 204}~\omega$ \approx (2.19 \pm 0.01) THz, which closely matches the in- $_{\rm 205}$ tense 72.4 cm $^{-1}$ (2.17 THz) $A_{\rm 1g}$ mode measured by Ra-²⁰⁶ man spectroscopy at 77 K [32]. The damping time was 207 found to be $\tau_d \approx (6.3 \pm 1.0)$ ps. Such long-lived os-²⁰⁸ cillations have also been observed in the Mott phase of $_{209}$ 1T-TaS₂ [14, 15] and were assigned to the CDW ampli- ~ 250 meV due to a gap, Δ_{Mott} which extends across all 210 tude mode, related to the in-plane breathing mode of the k-space, and thus the entire Fermi surface is removed 211 stars-of-David [39]. The result presented here shows a ²¹² direct modulation of the binding energy of the valence Shown in Fig. 1(c) are TR-ARPES spectra of 1T- 213 band edge, related to Δ_{Mott} , by the CDW amplitude TaSe₂ at 77 K near the $\overline{\Gamma}$ -point before pump arrival (- 214 mode in 1*T*-TaSe₂). This is consistent with the CDW 300 fs). The dispersion is approximately along the $\overline{\text{M}}$ - 215 precursor scenario whereby the CDW amplitude, related (LEED) [32]. Fig. 1(c) clearly shows the band edge is sit- $_{217}$ criterion by the degree of electron hopping between the uated below $E_{\rm F}$ due to the opening of a gap. A compari- ²¹⁸ adjacent stars-of-David [19, 21, 26–28]. By triggering son of the energy distribution curves (EDCs) in Fig. 1(d) ²¹⁹ coherent oscillations of the CDW amplitude (breathing) extracted at $\overline{\Gamma}$ ($k_{||} = 0$) shows a lowering of the band edge 220 mode, we induce a modulation in the U/W ratio which

To investigate the origin of the coherent phonon ossition in 1T-TaSe₂ [10] and the ARPES measurements in $\frac{1}{223}$ cillations further, we now compare our TR-ARPES re-224 sults with TRR measurements. Fig. 3(b) shows the We note that such a substantial modification of the $_{225}$ temporal evolution of the differential reflectivity, $\Delta R/R$ Fermi surface, as seen in Fig 1(c) and (d), typically indi- $_{226}$ of 1T-TaSe₂ at 77 K. The $\Delta R/R$ signal is dominated cates the development of an insulating state, which would 227 by strong oscillations that are weakly damped and last be expected to be observed by electrical transport [36]. 228 up to 20 ps. Interestingly, the oscillations in TRR are ²²⁹ comprised of multiple frequencies, in stark contrast to haviour to 4 K [32]. These contrasting results between 230 the single-frequency valence band modulation observed surface probe (ARPES) and bulk probe (transport) have 231 by TR-ARPES. This is confirmed by the fast Fourier previously provided support for the hypothesis that the 232 transform (FFT) of the oscillatory components shown Mott transition in 1T-TaSe₂ is only a surface effect [10]. 233 in Fig. 3(c). The FFT of the valence band dynamics However, we emphasize that for TR-ARPES at $h\nu = _{234}$ shows a single frequency at ~ 2.2 THz, whereas FFT of ²³⁵ the TRR signal shows multiple frequencies with greatest pected to be of the order \sim 10 nm [37] and hence the $_{236}$ amplitude at \sim 1.8, 2.2 and 2.9 THz, and closely rephotoemission signal may represent several layers of $1T_{237}$ sembles the Raman spectrum presented in Fig. 3(c) and TaSe₂ given the *c*-axis length of 0.63 nm [25]. Thus, the $_{238}$ reported previously [40–43]. We note that the ~ 1.8 THz Mott phase may extend over more than the surface lay- 239 mode cannot be seen in the Raman data as it falls below ²⁴⁰ the cut-off of the spectrometer laser filter. In addition, We now focus on the valence band dynamics in the $_{241}$ we note that the 2.2 THz mode appears broader in the



FIG. 3. Coherent phonon oscillations in the CDW-Mott phase. (a) Oscillatory component of the valence band shift measured by TR-ARPES (1.16 mJ cm⁻²). (b) Transient reflectivity signal measured by TRR (0.11 mJ cm⁻²), where $\Delta R/R$ is the absolute value of the differential reflectivity. The selected data is for 1.84 eV probe photon energy. (c) Normalized fast Fourier transform (FFT) amplitude of the TR-ARPES and TRR oscillatory components, together with a Raman spectrum for comparison.

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FFT of the TR-ARPES data because of the shorter sam- 279 shows that all modes remain clearly visible until there 243 $_{244}$ and TRR experiments utilize comparable pump photon $_{281}$ $T_{\rm CDW}$. Specifically, we find that all modes merge into 245 246 247 248 249 250 251 252 253 to that particular mode. 254

255 256 257 258 259 260 261 262 263 264 265 266 267 268 mined previously [see Fig. 3(c)], although they are found 306 tion at $T_{\rm CDW}$. 269 at slightly lower frequencies of ~ 2.0 and 2.7 THz be-270 cause of the higher sample temperature [32]. Fig. 4(a) 271 shows that as the temperature increases in the range 272 (295 - 410) K, the intensity of the ~ 2.0 THz amplitude mode decreases sharply until it becomes absent for T > 450 K using 0.11 mJ cm⁻² fluence. Instead, the 308 275 $_{277}$ first-order ICCDW-CCDW phase transition at $T_{\rm CDW} = _{310}$ TaSe₂ using complementary TR-ARPES and TRR tech-

pling interval of the oscillations. Since both TR-ARPES 280 is a sudden change in the spectra when heating above energies and pulse durations, it is conceivable that mul- 282 a broad background (see Ref. [32]), similar to previous tiple modes are triggered in both cases and relate to the $_{283}$ reports [40-43]. The stark difference in the tempera-Raman-active Γ -point phonons of the PLD. The energy- ²⁸⁴ ture dependence of the mode intensities measured by the momentum selectivity of TR-ARPES directly probes the 285 two experimental techniques is highlighted by comparing local electronic structure of the valence band at $\overline{\Gamma}$ and 286 Figs. 4(c) and (d) which show the integrated peak areas the interactions there. Hence, the observed modulation 287 in TRR and Raman spectroscopy, respectively. The exof the valence band binding energy (Δ_{Mott}) with a single 288 pected first-order nature of the CDW transition is clear frequency belonging to the ~ 2.2 THz CDW amplitude 289 in Fig. 4(d) whereby there is a steep onset at $T_{\rm CDW}$ folmode, shows that the Mott phase is preferentially linked 290 lowed by linear temperature dependence, and both the $_{291} \sim 2.0$ and 2.7 THz modes exhibit identical behaviour. In-Having established the coherent phonon oscillations of $_{292}$ stead, Fig. 4(c) shows a dramatic suppression of the ~ 2.0 the CDW lattice and the single mode which is linked to ²⁹³ THz amplitude mode intensity and a deviation from firstthe Mott phase, we finally focus on the temperature de- 294 order behaviour in TRR, suggestive of a transient phopendence of these modes, and their behaviour across the $_{295}$ to induced melting of the CDW amplitude. The ~ 2.7 CDW transition at $T_{\rm CDW}$. For this, we compare the re- ²⁹⁶ THz mode however, which is a phonon of the PLD [41], sponse of the coherent phonons to optical excitation by $_{297}$ appears to remain robust up to $T_{\rm CDW}$. A complete loss TRR, and the spontaneous phonons of the PLD in quasi- 298 of intensity of the CDW amplitude mode suggests that it equilibrium by Raman spectroscopy. Shown in Fig. 4(a) 299 has become strongly damped, whereby its lifetime is less is a FFT analysis of the $\Delta R/R$ signal for various sample 300 than the period of oscillation (≈ 0.5 ps). Such increased temperatures in the range (295 - 478) K which are com- 301 damping could be due to a reduced commensurability bepared to Raman spectra in Fig. 4(b). Similar to the TRR 302 tween the CDW and the underlying lattice which results data at 77 K, multiple frequency components are found 303 in a faster dephasing of the oscillations [15], providing at 295 K as shown in Fig. 4(a). The peaks in FFT am- 304 evidence for a suppression of the commensurate state by plitude belong to the two highest intensity modes deter- 305 the optical excitation before the ICCDW-CCDW transi-

CONCLUSION IV.

In summary, an investigation of electron and phonon ~ 2.7 THz mode remains present until heating above the $_{309}$ dynamics in the coexisting CDW-Mott phase of 1T-278 473 K. By comparison, the Raman data in Fig. 4(b) 311 niques clearly shows that the Mott phase is preferentially



FIG. 4. Temperature dependence of coherent and spontaneous phonons in the CDW phase. (a) Fast Fourier transform (FFT) of the transient reflectivity, $\Delta R/R$ signal measured by TRR $(0.11 \text{ mJ cm}^{-2})$ at sample temperatures as indicated. The selected data is for 655 nm probe wavelength. (b) Raman spectra measured over a similar temperature range as panel (a) for comparison, after subtraction of a fit to the incoheroffset for clarity. Panels (c) and (d) show the temperature dependence of the integrated peak area for the 2.0 amplitude (Amp.) and 2.7 THz modes in the TRR-FFT and Raman spectra respectively.

³¹² linked to the in-plane CDW amplitude, since it controls 313 the degree of electron localization between adjacent star-314 of-David configurations. Our results highlight the role of $_{\rm 315}$ the CDW and lattice degrees of freedom in stabilizing the $_{316}$ Mott phase of 1T-TaSe₂ and further the understanding 317 of the interplay between these coexisting phases.

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