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# COHESIVENESS IN PROMISE PROBLEMS\*

# ULRIKE BRANDT<sup>1</sup> AND HERMANN K.-G. WALTER<sup>1</sup>

Abstract. Promise problems have been introduced in 1985 by S. Even e.a. as a generalization of decision problems. Using a very general approach we study solvability and unsolvability conditions for promise problems of set and language families. We show, that cores of unsolvability are completely determined by partitions of cohesive sets. We prove the existence of cores in unsolvable promise problems assuming certain closure properties for the given set family. Connections to immune sets and complexity cores are presented. Furthermore, results about cohesiveness with respect to the language families from the Chomsky hierarchy are given.

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# 1. Introduction

In 1985 Even, Selman and Yacobi [4] introduced the concept of promise problems as a generalization of decision problems. A promise problem consists of a pair of disjoint sets A and B with  $A, B \subseteq S$  and a given set family  $\mathcal{F} \subseteq \mathbf{2}^S$ , where S is some basic (usually infinite) set. (A, B) is solvable for  $\mathcal{F}$  if a  $Q \subseteq S$  exists with  $Q \in \mathcal{F}$  and  $Q^{\mathbf{c}} \in \mathcal{F}$  and  $A \subseteq Q$  and  $B \subseteq Q^{\mathbf{c}}$ , where  $Q^{\mathbf{c}}$  is the complement of Q in S. In the case  $B = A^{\mathbf{c}}$  (A, B) is a decision problem. In applications  $S = X^*$ , where X is a finite nonempty alphabet and  $\mathcal{F} = \mathcal{L}$  is a language family or a complexity class  $\mathcal{F} = \mathcal{C}$ . From an algorithmic point of view considering a promise problem (A, B) an algorithm may only produce a Yes-answer for all instances  $x \in A$  and a No-answer for all  $x \in B$ , while no decisive answer is expected for  $x \notin A \cup B$ . Solvability of promise problems can be linked to the existence of approximation or "special case" algorithms (see [3]). Thus with respect to complexity of algorithms a more refined

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 $<sup>^1\,</sup>$  Fachbereich Informatik, Technische Universität Darmstadt, Germany. hermann-walter@gmx.de

look than for decision problems is possible. Promise problems have been considered for various fields of algorithmic computations. Especially, some decision problems which are difficult to solve allow efficient algorithm once they are weakened to a promise problem. The reader can find an overview in [5]. Looking at the theory of recursive functions [8], the separation principle is a precursor of the concept of promise problems. Furthermore, we can use the notion of cohesive sets, also known in the theory of recursive functions, by an appropriate generalization. This turns out to be the characterizing indicator for (un-)solvability of promise problems. It was well-known, that only set-theoretic arguments can be used in dealing with these concepts. We mention especially the theorem of Dekker-Myhill [8] which asserts the existence of cohesive sets under very weak conditions. Our main results are a theorem about the existence of unsolvability cores for an unsolvable promise problem (A, B) and the characterization of unsolvability cores via cohesiveness of  $A \cup B$ . The latter enables us, to study the influence of closure operations on the unsolvability of promise problems. Though the existence of cohesive sets is guaranteed under very mild conditions, it is quite difficult, to exhibit cohesive languages with nice properties. We determine cohesive sets and noncohesive sets for language families from the Chomsky hierarchy and for families given by number theoretic properties. Especially, we prove a structure result for alphabets X with two or more letters. For some special cases we can at least assert the existence of recursive cohesive languages. Using results from [2], the connection to complexity cores gives a similar result for recursive language families and complexity classes. We assume the reader to be familiar with the theory of recursive functions and sets (see [3, 8, 9]) and standard theory of formal languages (see [6, 7]).

Our study of promise-problems was proposed to us by Ziegler who raised the question answered in Theorem 6.14.

# 2. Set – and language families – basic notations and results

In the following a basic set S is given and we assume for set families  $\mathcal{F} \subseteq \mathbf{2}^S$ . Moreover, sets  $A, A', B, B', C, \ldots, Q, \ldots$  are always subsets of S and singletons  $\{s\}$  are identified with s. We mainly deal with denumerable set families  $\mathcal{F}$ ; i.e. a function  $\mathbf{e}_{\mathcal{F}} : \mathbb{N}_0 \to \mathbf{2}^S$  with  $\mathbf{e}_{\mathcal{F}}(\mathbb{N}_0) = \mathcal{F}$  exists (enumeration of  $\mathcal{F}$ ). Consider the boolean operations union, intersection and complementation in connection with set families  $\mathcal{F}$ . The boolean operations can be lifted to binary operations between set families  $\mathcal{F}_1$  and  $\mathcal{F}_2$  and unary operations for  $\mathcal{F}$ . Define  $\mathcal{F}_1 \oplus \mathcal{F}_2 = \{A \cup B | A \in \mathcal{F}_1 \text{ and } B \in \mathcal{F}_2\}$ ,  $\mathcal{F}_1 \odot \mathcal{F}_2 = \{A \cap B | A \in \mathcal{F}_1 \text{ and } B \in \mathcal{F}_2\}$  and the closure operations

$$\mathcal{F}^{\mathbf{u}} = \{A_1 \cup \ldots \cup A_n | n \geq 1, A_i \in \mathcal{F} \text{ for } 1 \leq i \leq n\} (union),$$

$$\mathcal{F}^{\mathbf{s}} = \{A_1 \cap \ldots \cap A_n | n \geq 1, A_i \in \mathcal{F} \text{ for } 1 \leq i \leq n\} (intersection),$$

$$\mathcal{F}^{\mathbf{co}} = \{A^{\mathbf{c}} | A \in \mathcal{F}\}, \ \mathcal{F}^{\mathbf{cc}} = \mathcal{F} \cup \mathcal{F}^{\mathbf{co}}, (complementation) \text{ and }$$

$$\mathcal{F}^{\mathbf{b}} = ((\mathcal{F}^{\mathbf{cc}})^{\mathbf{s}})^{\mathbf{u}} (boolean \ closure).$$

Moreover, we will frequently use  $\mathcal{F}^{dc} = \mathcal{F} \cap \mathcal{F}^{co}$ .

Note, that  $(\mathcal{F}^{\mathbf{u}})^{\mathbf{s}} = (\mathcal{F}^{\mathbf{s}})^{\mathbf{u}}(distributivity)$ ,  $(\mathcal{F}^{\mathbf{co}})^{\mathbf{u}} = (\mathcal{F}^{\mathbf{s}})^{\mathbf{co}}(deMorgan)$ ,  $(\mathcal{F}^{\mathbf{cc}})^{\mathbf{dc}} = \mathcal{F}^{\mathbf{cc}}$  and  $(\mathcal{F}^{\mathbf{co}})^{\mathbf{co}} = \mathcal{F}$ . There are numerous (mostly trivial) relations between these operations, for example.

# **Proposition 2.1.** Let $\mathcal{F}_1, \mathcal{F}_2 \subseteq 2^S$ .

- (1)  $\mathcal{F}_1 \oplus \mathcal{F}_2 \subseteq \mathcal{F}_1 \Rightarrow \mathcal{F}_1 \oplus \mathcal{F}_2^u \subseteq \mathcal{F}_1 \text{ and } \mathcal{F}_1^u \oplus \mathcal{F}_2 \subseteq \mathcal{F}_1^u$ .
- $(2) \mathcal{F}_1 \odot \mathcal{F}_2^{co} \subseteq \mathcal{F}_1 \Rightarrow \mathcal{F}_1 \odot (\mathcal{F}_2^{co})^u \subseteq \mathcal{F}_1^u \text{ and } \mathcal{F}_1^u \odot \mathcal{F}_2^{co} \subseteq \mathcal{F}_1^u.$

In the following we frequently use the combined operation of variation of  $\mathcal{F}$  by  $\mathcal{V}$  defined by  $\mathcal{F} \pm \mathcal{V} = \mathcal{F} \oplus \mathcal{V} \cup \mathcal{F} \odot \mathcal{V}^{co}$ .

**Proposition 2.2.** Let  $\mathcal{F}, \mathcal{V} \subseteq 2^S$  with  $\mathcal{V} \neq \emptyset$  and  $\mathcal{F} \pm \mathcal{V} \subseteq \mathcal{F}$ .

- $(1) \ \emptyset, S \in \mathcal{F} \Leftrightarrow \mathcal{V}^{cc} \subseteq \mathcal{F}.$
- (2)  $\mathcal{F}^{cc} \pm \mathcal{V} \subseteq \mathcal{F}^{cc}, \mathcal{F}^{u} \pm \mathcal{V} \subseteq \mathcal{F}^{u}, \mathcal{F}^{s} \pm \mathcal{V} \subseteq \mathcal{F}^{s} \text{ and } \mathcal{F}^{b} \pm \mathcal{V} \subseteq \mathcal{F}^{b}.$
- (3)  $\mathcal{F} \pm \mathcal{V}^u \subset \mathcal{F}$

In the case  $\mathcal{V} = \mathbf{fin}(S) = \{A \subseteq S | A \text{ finite}\}\$ , the condition  $\mathcal{F} \pm \mathbf{fin}(S) \subseteq \mathcal{F}$  is just the closure under finite variation. Note that,  $\mathbf{fin}(S)^{\mathbf{cc}} = (\mathbf{fin}(S)^{\mathbf{cc}})^{\mathbf{b}}$  and  $\mathcal{F} \odot \mathbf{fin}(S) \subseteq \mathbf{fin}(S)$ . By Proposition 2.2(2)  $\mathcal{F}^{\mathbf{cc}}$ ,  $\mathcal{F}^{\mathbf{u}}$ ,  $\mathcal{F}^{\mathbf{s}}$ ,  $\mathcal{F}^{\mathbf{b}}$  are closed under finite variation, if  $\mathcal{F}$  is closed under finite variation.

Consider the case  $S = X^*$ , where X is a nonempty, finite alphabet and  $X^*$  is the free monoid over X. As usual  $L \subseteq X^*$  is called a language and  $\mathcal{L} \subseteq \mathbf{Z}^{X^*}$  a language family. The elements of  $X^*$  are the words  $w = x_1 \dots x_n (x_i \in X \text{ for } 1 \leq i \leq n)$  and the empty word  $\mathbf{1}$ . The length of w is |w| = n and  $|\mathbf{1}| = 0$ . Concatenation "wv" of words is the monoid operation with identity  $\mathbf{1}$ . The operation can be lifted to  $\mathbf{2}^{X^*}$ . For  $L_{1,2}$  the complex product is defined by  $L_1L_2 = \{w_1w_2 | w_1 \in L_1, w_2 \in L_2\}$ .  $L^*$  is the generated submonoid.

On  $X^*$  we can define various (partial) orderings. The following two ones are of interest to us. Define for  $v, w \in X^*$  the prefix-ordering by  $w \leq v(\mathbf{pref}) \Leftrightarrow v \in wX^*$ . Given a bijection  $ord: X \to [0 \dots b-1](b=\#(X))$  we can define also a well-ordering  $\mathbf{lex}_{ord}$  by  $w \leq v(\mathbf{lex}_{ord})$  if and only if |w| < |v| or  $\forall u \in X^*, x, y \in X: ux \leq w(\mathbf{pref})$  and  $uy \leq v(\mathbf{pref}) \Rightarrow ord(x) \leq ord(y)$ . Since  $\mathbf{lex}_{ord}$  is a well-ordering, we can define a successor function  $succ_{ord}$  for  $w \in X^*$  by  $succ_{ord}(w) = min\{v \in X^* | w \neq v \text{ and } w \leq v(\mathbf{lex}_{ord})\}$ , where the minimum is taken with respect to  $\mathbf{lex}_{ord}$ . Then  $char^*(i) = succ_{ord}^i(1)(i \geq 0)$ defines a bijection  $char^*: \mathbb{N}_0 \to X^*$ .

The language families from the Chomsky hierarchy are  $\mathcal{L}_{\mathbf{r.e.}}(X)$  (recursively enumerable languages),  $\mathcal{L}_{\mathbf{cs}}(X)$  (contextsensitive languages),  $\mathcal{L}_{\mathbf{cf}}(X)$  (contextfree languages) and  $\mathcal{L}_{\mathbf{reg}}(X)$  (regular languages). All these families are closed under variation by  $\mathcal{L}_{\mathbf{reg}}(X)$ . By encoding the generating grammars we find special enumerations  $\mathbf{e_{r.e.}}, \mathbf{e_{cs}}, \mathbf{e_{cf}}$  and  $\mathbf{e_{reg}}$  of the corresponding language family. With these enumerations we can study decision problems and constructions for the descriptional devices (grammars). Look for example at the word-problem for  $\mathcal{L}_{\mathbf{cs}}(X)$ . Using  $0, 1 \in \mathbb{N}_0$  as truth values, define the predicate

 $\operatorname{word}_{\operatorname{cs}}(i,j) = \operatorname{``char''}(i) \in \operatorname{e}_{\operatorname{cs}}(j)" \ (i,j \geq 0).$  Then  $\operatorname{word}_{\operatorname{cs}} \in \operatorname{rec}_2$ , where  $\operatorname{rec}_n(n \geq 0)$  is the set of  $\operatorname{n-ary}$  recursive functions. In the case of  $\operatorname{complexity}$  classes  $\mathcal C$  we can find enumerations  $\operatorname{e}_{\mathcal C}$ , such that  $\operatorname{word}_{\mathcal C}(i,j) = \operatorname{``char''}(i) \in \operatorname{e}_{\mathcal C}(j)" \ (i,j \geq 0)$  is recursive. Here we have to use as descriptional devices Turing machines with reasonable resource bounds  $(\operatorname{time-/space-constructibility} \ (\operatorname{see} [3]))$ . More general, let  $\operatorname{word}_{\operatorname{e}}(i,j) = \operatorname{``char''}(i) \in \operatorname{e}(j)" \ (i,j \geq 0)$  for any  $\operatorname{e}: \mathbb N_0 \to 2^{X^*}$ . We call  $\operatorname{e} \operatorname{WP-recursive}$  if and only if  $\operatorname{word}_{\operatorname{e}} \in \operatorname{rec}_2$ . A language family  $\mathcal L$  is  $\operatorname{WP-recursive}$ , if a WP-recursive enumeration  $\operatorname{e}$  of  $\mathcal L$  exists. In this case  $\mathcal L \subset \mathcal L_{\operatorname{rec}}(X) = \mathcal L_{\operatorname{r.e.}}(X)^{\operatorname{dc}}$  (recursive languages). Note, that for WP-recursive families a uniform solution for the word-problem exists. Complexity classes are WP-recursive and closed under variation by  $\mathcal L_{\operatorname{reg}}(X)$ .

Considering  $\mathcal{L}_{reg}(X)$  and  $\mathcal{L}_{cf}(X)$  we obtain by the classical decidability results, that the predicates  $empty_{cf}(i) = \text{``e}_{cf}(i) = \emptyset$ " and  $finite_{cf}(i) = \text{``e}_{cf}(i) \in fin(X^*)$ "  $(i \geq 0)$  are recursive. Moreover,  $f_{sect} \in rec_2$  (intersection with regular sets) and  $f_{comp} \in rec_1$  (complementation of regular sets) exist with  $\mathbf{e}_{cf}(i) \cap \mathbf{e}_{reg}(j) = \mathbf{e}_{cf}(f_{sect}(i,j))$  and  $\mathbf{e}_{reg}(i)^{\mathbf{c}} = \mathbf{e}_{reg}(f_{comp}(i))(i,j \geq 0)$ . Using all these functions, we find  $incl(i,j) = \text{``e}_{cf}(i) \subseteq \mathbf{e}_{reg}(j)$ "  $= empty_{cf}(f_{sect}(i,f_{comp}(j)))(i,j \geq 0)$ , hence  $incl \in rec_2$ .

In the following, at various points we are faced with marking languages at the left, *i.e.* we have to consider the *left translation* "wL".

**Proposition 2.3.** For all languages L,  $L_{1,2}$  and  $w \in X^*$ :

(1) 
$$w(L_1 \cup L_2) = wL_1 \cup wL_2$$
 and  $w(L_1 \cap L_2) = wL_1 \cap wL_2$ ,

(2) 
$$wL^{c} = (wL)^{c} \cap wX^{*}$$
 and  $(wL)^{c} = wL^{c} \cup (wX^{*})^{c}$ .

For a language family  $\mathcal{L}$  define  $\mathcal{L}^{ltr} = \{wL | w \in X^*, L \in \mathcal{L}\}$  (left translation).  $\mathcal{L}^{ltr}$  is another closure operation and  $\mathcal{L} = \mathcal{L}^{ltr}$  if and only if  $xL \in \mathcal{L}$  for any  $x \in X$ . Moreover, a companion to Proposition 2.2(1) (with  $\mathcal{V} = fin(X^*)$ ) holds.

**Proposition 2.4.** Let  $\mathcal{L} = \mathcal{L}^u = \mathcal{L}^{ltr}$ . Then  $fin(X^*)^{cc} \subseteq \mathcal{L}$  if and only if  $\emptyset$ ,  $1, X^* \in \mathcal{L}$ .

Proof. Let  $\emptyset$ ,  $1, X^* \in \mathcal{L}$ . Since  $w = w\mathbf{1}$  and  $\mathcal{L} = \mathcal{L}^{\mathbf{ltr}}$ , singletons are in  $\mathcal{L}$ . But then  $\mathbf{fin}(X^*) \subseteq \mathcal{L}$ , because  $\mathcal{L} = \mathcal{L}^{\mathbf{u}}$ . Let  $X^k = \{w \in X^* | | w| = k\}(k \geq 0)$ . Then  $X^k$  is finite. Hence  $X^k X^*$  is the finite union of sets  $wX^*$ , i.e.  $X^k X^* \in \mathcal{L}^{\mathbf{u}} = \mathcal{L}$ . Let  $L \in \mathbf{fin}(X^*)$  and  $k > \mathbf{max}\{|w||w \in L\}$ , then  $L^{\mathbf{c}} = ((X^k X^*)^{\mathbf{c}} \setminus L) \cup X^k X^*$ . Note that  $((X^k X^*)^{\mathbf{c}} \setminus L)$  is finite and therefore an element of  $\mathcal{L}$  as shown before. In total  $L^c \in \mathbf{fin}(X^*) \oplus \mathcal{L} \subseteq \mathcal{L}^{\mathbf{u}} = \mathcal{L}$ , i.e.  $\mathbf{fin}(X^*)^{\mathbf{co}} \subseteq \mathcal{L}$ .

In connection with boolean operations we get

Lemma 2.5. If  $\mathcal{L} = \mathcal{L}^{ltr}$ , then

(1) 
$$(\mathcal{L}^u)^{ltr} = \mathcal{L}^u$$
 and  $(\mathcal{L}^s)^{ltr} = \mathcal{L}^s$ .

(2) 
$$(\mathcal{L}^{cc})^{ltr} = \mathcal{L}^{cc}$$
, if additionally  $\mathcal{L} \pm \mathcal{L}_{reg}(X) \subseteq \mathcal{L}$ .

Proof.

- (1) By Proposition 2.3(1):  $(\mathcal{L}^{\mathbf{u}})^{\mathbf{ltr}} \subseteq (\mathcal{L}^{\mathbf{ltr}})^{\mathbf{u}} = \mathcal{L}^{\mathbf{u}}$ . Analogously,  $(\mathcal{L}^{\mathbf{s}})^{\mathbf{ltr}} \subseteq \mathcal{L}^{\mathbf{s}}$ .
- (2) Since  $wX^* \in \mathcal{L}_{reg}(X)$  for all  $w \in X^*$ , we get by our assumption, Proposition 2.3(2) and Proposition 2.2(2)  $(\mathcal{L}^{co})^{ltr} \subseteq (\mathcal{L}^{ltr})^{co} \odot \mathcal{L}_{reg}(X) \subseteq (\mathcal{L}^{ltr})^{cc} \pm \mathcal{L}_{reg}(X) = \mathcal{L}^{cc} \pm \mathcal{L}_{reg}(X) \subseteq \mathcal{L}^{cc}$ . This shows  $(\mathcal{L}^{cc})^{ltr} \subseteq \mathcal{L}^{cc}$ .

Next we look at the inverse of left translations (removing left markers).

**Definition 2.6.**  $\mathcal{L}$  is *ltr-cancellative* if and only if for all  $L \subseteq X^*$  and  $w \in X^*$ :  $wL \in \mathcal{L} \Rightarrow L \in \mathcal{L}$ .

**Proposition 2.7.** If  $\mathcal{L}$  is ltr-cancellative, then

- (1)  $\mathcal{L}^u$  is ltr-cancellative
- (2)  $\mathcal{L}^{co}$  is ltr-cancellative, if additionally  $\mathcal{L} \pm \mathcal{L}_{reg}(X) \subseteq \mathcal{L}$ .

Proof. (1) Let  $wL = L_1 \cup \ldots \cup L_n$  with  $L_i \in \mathcal{L}$  for  $1 \leq i \leq n$ . Then each  $L_i \subseteq wX^*$ , i.e.  $L_i = wL'_i$ . Since  $\mathcal{L}$  is ltr-cancellative,  $L'_i \in \mathcal{L}$ . But then  $L = L'_1 \cup \ldots \cup L'_n \in \mathcal{L}^{\mathbf{u}}$ . (2) If  $wL \in \mathcal{L}^{\mathbf{co}}$ , then  $(wL)^{\mathbf{c}} \in \mathcal{L}$ . Since  $(wL)^{\mathbf{c}} = wL^{\mathbf{c}} \cup (wX^*)^{\mathbf{c}}$  by Proposition 2.3(2) and  $\mathcal{L} \pm \mathcal{L}_{reg}(X) \subseteq \mathcal{L}$ , we get  $wL^{\mathbf{c}} \in \mathcal{L}$  and therefore  $L^{\mathbf{c}} \in \mathcal{L}$ . Hence  $L \in \mathcal{L}^{\mathbf{co}}$ , i.e.  $\mathcal{L}^{\mathbf{co}}$  is ltr-cancellative.

All families from the Chomsky hierarchy and all complexity classes are ltrcancellative and closed under left translation.

#### 3. Cohesiveness

**Definition 3.1.** A is  $\mathcal{F}$ -cohesive  $(A \in cohesive(\mathcal{F}))$  if and only if  $A \notin fin(S)$  and for any  $B \in \mathcal{F}^{dc}$ :  $(A \cap B \notin fin(S)) \Rightarrow A \cap B^{c} \in fin(S)$ .

**Remark 3.2.** The definition of cohesiveness given in Section 12.3 of [8] is equivalent to  $\mathcal{L}_{\mathbf{r.e.}}(X)^{\mathbf{cc}}$ -cohesiveness.

Directly from the definition we get

#### Proposition 3.3.

- (1)  $cohesive(\mathcal{F}) = cohesive(\mathcal{F}^{co}) = cohesive(\mathcal{F}^{dc})$
- (2)  $\mathcal{F}_1 \subseteq \mathcal{F}_2 \Rightarrow cohesive(\mathcal{F}_2) \subseteq cohesive(\mathcal{F}_1)$ .

Next we study the influence of closure operations on cohesiveness. Especially, we discuss boolean operations and closure under finite variation and left translation.

Lemma 3.4. If  $\mathcal{F} = \mathcal{F}^{cc}$ , then  $cohesive(\mathcal{F}) = cohesive(\mathcal{F}^b)$ .

Proof. By our assumption  $\mathcal{F}^{\mathbf{b}} = (\mathcal{F}^{\mathbf{s}})^{\mathbf{u}}$ . Consider  $A \in \mathbf{cohesive}(\mathcal{F})$  and  $B \in \mathcal{F}^{\mathbf{b}}$  with  $A \cap B \notin \mathbf{fin}(S)$ . Then  $B = B_1 \cup \ldots \cup B_n$  with  $B_i \in \mathcal{F}^{\mathbf{s}}$  for  $1 \leq i \leq n$ . Now,  $A \cap (B_1 \cup \ldots \cup B_n) = (A \cap B_1) \cup \ldots \cup (A \cap B_n) \notin \mathbf{fin}(S)$ . But then  $C = B_j$  exists with  $A \cap C \notin \mathbf{fin}(S)$ . Since  $C \subseteq B$ , we know that  $A \cap B^{\mathbf{c}} \subseteq A \cap C^{\mathbf{c}}$ . Hence, if  $A \cap C^{\mathbf{c}} \in \mathbf{fin}(S)$ , then  $A \cap B^{\mathbf{c}} \in \mathbf{fin}(S)$ .

Since  $C \in \mathcal{F}^{\mathbf{s}}$ ,  $C = C_1 \cap \ldots \cap C_m$  with  $C_i \in \mathcal{F}$  for  $1 \leq i \leq m$ . Furthermore,  $A \cap C \notin \mathbf{fin}(S)$ , so that for every i,  $A \cap C_i \notin \mathbf{fin}(S)$ . But then, by the cohesiveness of A,  $A \cap C_i^{\mathbf{c}} \in \mathbf{fin}(S)$  for  $1 \leq i \leq m$  and therefore  $A \cap C^{\mathbf{c}} = A \cap (C_1 \cap \ldots \cap C_m)^{\mathbf{c}} = A \cap (C_1^{\mathbf{c}} \cup \ldots \cup C_m^{\mathbf{c}}) = (A \cap C_1^{\mathbf{c}}) \cup \ldots \cup (A \cap C_n^{\mathbf{c}}) \in \mathbf{fin}(S)$ .

**Proposition 3.5.** cohesive  $(\mathcal{F})$  is closed under finite variation.

Proof. Consider  $A \in \mathbf{cohesive}(\mathcal{F})$ ,  $C \in \mathbf{fin}(S)$  and some  $B \in \mathcal{F}$ . Assume that  $(A \cup C) \cap B = (A \cap B) \cup (C \cap B) \notin \mathbf{fin}(S)$ . Since  $C \cap B \in \mathbf{fin}(S)$ ,  $A \cap B \notin \mathbf{fin}(S)$  and therefore  $A \cap B^{\mathbf{c}} \in \mathbf{fin}(S)$  due to the cohesiveness of A. Since  $C \cap B^{\mathbf{c}} \in \mathbf{fin}(S)$  as well,  $(A \cup C) \cap B^{\mathbf{c}} = (A \cap B^{\mathbf{c}}) \cup (C \cap B^{\mathbf{c}}) \in \mathbf{fin}(S)$ .

In the second step, assume that  $(A \cap C^{\mathbf{c}}) \cap B = (A \cap B) \cap C^{\mathbf{c}} \notin \mathbf{fin}(S)$ . Then  $A \cap B \notin \mathbf{fin}(S)$ , i.e.  $A \cap B^{\mathbf{c}} \in \mathbf{fin}(S)$ , because A is  $\mathcal{F}$ -cohesive. But then  $(A \cap C^{\mathbf{c}}) \cap B^{\mathbf{c}} = (A \cap B^{\mathbf{c}}) \cap C^{\mathbf{c}} \in \mathbf{fin}(S)$ .

For  $S = X^*$  and left translation we can show

**Lemma 3.6.** If  $\mathcal{L}$  is ltr-cancellative,  $\mathcal{L} = \mathcal{L}^{ltr}$  and  $\mathcal{L} \pm \mathcal{L}_{reg}(X) \subseteq \mathcal{L}$ , then for any  $L \in \mathcal{L}, w \in X^*$ :  $L \in cohesive(\mathcal{L})$  if and only if  $wL \in cohesive(\mathcal{L})$ .

Proof. Suppose  $L \in cohesive(\mathcal{L})$ , i.e.  $L \cap B \notin fin(S)$  implies  $L \cap B^{\mathbf{c}} \in fin(S)$  for any  $B \in \mathcal{L}$ . Consider  $w \in X^*$  and  $B \in \mathcal{L}^{\mathbf{dc}}$  with  $wL \cap B \notin fin(S)$ . Then we have to show, that  $wL \cap B^{\mathbf{c}} \in fin(S)$ . Clearly,  $wL \cap B = w(L \cap A)$  for A with  $wA = B \cap wX^*$ . Thus,  $A \in \mathcal{L}$ , since  $\mathcal{L}$  is ltr-cancellative and closed under finite variation by regular sets. By the same arguments we get  $A^{\mathbf{c}} \in \mathcal{L}$ , too: To see this, observe that  $wA^{\mathbf{c}} = (wA)^{\mathbf{c}} \cap (wX^*)$  by Proposition 1.3(2) and therefore  $wA^{\mathbf{c}} = (B \cap wX^*)^{\mathbf{c}} \cap wX^* = (B^{\mathbf{c}} \cup (wX^*)^{\mathbf{c}}) \cap wX^* = B^{\mathbf{c}} \cap wX^*$ .

Now,  $wL \cap B \notin fin(S)$  implies  $L \cap A \notin fin(S)$ . Hence,  $L \cap A^{\mathbf{c}} \in fin(S)$  by the cohesiveness of L. Since by Proposition 2.3(1),  $w(L \cap A^{\mathbf{c}}) = wL \cap wA^{\mathbf{c}} = wL \cap (B^{\mathbf{c}} \cap wX^*) = wL \cap B^{\mathbf{c}}, wL \cap B^{\mathbf{c}}$  must be finite, too.

Conversely, suppose  $wL \in \mathbf{cohesive}(\mathcal{L})$  for some  $w \in X^*$ . Fix  $B \in \mathcal{L}^{\mathbf{dc}}$  with  $L \cap B \notin \mathbf{fin}(S)$ . We show, that  $L \cap B^{\mathbf{c}}$  is finite. Observe, that  $wB \in \mathcal{L}^{\mathbf{dc}}$  by the closure properties of  $\mathcal{L}$ . Now,  $L \cap B \notin \mathbf{fin}(X^*)$  implies  $wL \cap wB^{\mathbf{c}} \notin \mathbf{fin}(X^*)$  and therefore  $wL \cap (wB)^{\mathbf{c}} \in \mathbf{fin}(X^*)$ , because wL is  $\mathcal{L}$ -cohesive. But  $wL \cap (wB)^{\mathbf{c}} = wL \cap wB^{\mathbf{c}} = w(L \cap B^{\mathbf{c}})$ , i.e.  $L \cap B^{\mathbf{c}} \in \mathbf{fin}(X^*)$ .

The existence of cohesive sets for denumerable set families is guaranteed by a result of Dekker and Myhill (*cf.* Thm. VI in Sect. 12.3 of [8]).

**Theorem 3.7** (Dekker and Myhill). Let  $\mathcal{F}$  be a denumerable set family. Then for any  $A \notin fin(S)$  there is a subset B of A with  $B \in cohesive(\mathcal{F})$ .

The following fact is obvious:

**Proposition 3.8.** If  $B \subseteq A, B \notin fin(S)$  and  $A \in cohesive(\mathcal{F})$  then  $B \in cohesive(\mathcal{F})$ .

A natural generalization of Theorem VII(ii) in Section 12.3 of [8] is

**Lemma 3.9.** If  $A, B \in \mathbf{cohesive}(\mathcal{F})$  and  $A \cap B \notin \mathbf{fin}(S)$  then  $A \cup B \in \mathbf{cohesive}(\mathcal{F})$ .

**Remark 3.10.** Note that the condition " $A \cap B \notin fin(S)$ " in Lemma 3.9 is necessary. To see this, consider  $X = \{a, b\}$  and  $\mathcal{L}$  satisfying the condition of Lemma 3.6. If  $L \in cohesive(\mathcal{L})$ , then  $aL, bL \in cohesive(\mathcal{L})$ . But  $(aL \cup bL) \cap aX^* = aL \notin fin(X^*)$  and  $bL \subseteq (aL \cup bL) \cap (aX^*)^c \notin fin(X^*)$ . Hence,  $aL \cup bL \notin cohesive(\mathcal{L})$ .

Cohesiveness is a stronger condition than immunity for sets in connection with set families. For a set family  $\mathcal{F}$  a set A is defined to be  $\mathcal{F}$ -immune if it is infinite and has no infinite subset in  $\mathcal{F}$ , *i.e.* if  $A^{\mathbf{c}} \cap B \neq \emptyset$  for any  $B \in \mathcal{F} \setminus \mathbf{fin}(S)$  (cf. e.g. [3,8]). Let  $\mathbf{immune}(\mathcal{F})$  denote the family of all  $\mathcal{F}$ -immune sets. Clearly, infinite subsets of  $\mathcal{F}$ -immune sets are  $\mathcal{F}$ -immune and  $\mathbf{immune}(\mathcal{F}_2) \subseteq \mathbf{immune}(\mathcal{F}_1)$ , if  $\mathcal{F}_1 \subseteq \mathcal{F}_2$ .

**Proposition 3.11.** *If*  $\mathcal{F}$  *is closed under finite variation and*  $A \in cohesive(\mathcal{F}) \setminus \mathcal{F}$  *then*  $A \in immune(\mathcal{F})$ .

*Proof.* Suppose  $B \in \mathcal{F} \setminus \mathbf{fin}(S)$  exists with  $A^{\mathbf{c}} \cap B = \emptyset$ . Then  $A \cap B = B \notin \mathbf{fin}(S)$  and therefore  $A \cap B^{\mathbf{c}} \in \mathbf{fin}(S)$ , because A is  $\mathcal{F}$ -cohesive. Since  $\mathcal{F}$  is closed under finite variation  $A = B \cup (A \cap B^{\mathbf{c}}) \in \mathcal{F}$  – a contradiction.

Remark 3.12. Theorem V in Section 12.3 of [8] shows, that any  $L \in cohesive(\mathcal{L}_{r.e.}(X)^{cc})$  is not only immune but hyperimmune and even hyperhyperimmune.

**Example 3.13.** Let  $X = \{a, b\}$ . Then  $A = \{a^n b^n | n > 0\}$  is  $\mathcal{L}_{reg}(X)$ -immune (use the pumping lemma for  $\mathcal{L}_{reg}(X)$ ), but not  $\mathcal{L}_{reg}(X)$ -cohesive (consider *e.g.*  $R = (a^2)^*(b^2)^*$ ).

**Example 3.14.**  $\mathcal{L}$ -cohesive languages need not necessarily be outside of  $\mathcal{L}$ :

- (1) Any  $A \notin fin(S)$  is  $fin(S)^{cc}$ -cohesive, for example  $X^* (\in fin(X^*)^{cc})$ .
- (2) By a theorem of Friedberg  $L \in \mathcal{L}_{\mathbf{r.e.}}(X)^{\mathbf{co}}$  exists with  $L \in \mathbf{cohesive}(\mathcal{L}_{\mathbf{r.e.}}(X)^{\mathbf{cc}})$  (see Thm. XI in Sect. 12.4 of [8] for details).

# 4. Cohesiveness of languages

We derive special results for cohesiveness with respect to language families, especially for the families from the Chomsky hierarchy and complexity classes. For all these families  $\mathcal{L}$  any  $\mathcal{L}$ -cohesive language has a specific structural property. This property is connected to infinite words. Infinite words can be defined using **pref**-isotone and length-preserving functions.

**Definition 4.1.**  $f: \mathbb{N}_0 \to X^*$  is sequential if and only if for any  $n \ge 0$ : |f(n)| = n and  $f(n) \le f(n+1)$ (**pref**).

**Lemma 4.2.** If #(X) > 1 and  $L \in cohesive(\mathcal{L}_{reg}(X))$ , then a sequential  $f_L : \mathbb{N}_0 \to X^*$  exists with  $L \setminus f_L(n)X^* \in fin(X^*)$  for any  $n \ge 0$ .

*Proof.* The key to the proof is the following

**Assertion.** If  $L \in cohesive(\mathcal{L}_{reg}(X))$ , then for all  $u, v \in X^*$  with  $|u| = |v| : L \cap uX^*, L \cap vX^* \notin fin(X^*)$  implies u = v.

Suppose the contrary, i.e.  $u, v \in X^*$  exist with  $|u| = |v|, L \cap uX^*, L \cap vX^* \notin fin(X^*)$  and  $u \neq v$ . Then  $uX^* \cap vX^* = \emptyset$ . Hence,  $vX^* \cap L \subseteq (uX^*)^{\mathbf{c}} \cap L$  and therefore  $(uX^*)^{\mathbf{c}} \cap L \notin fin(X^*)$ . Thus,  $L \notin cohesive(\mathcal{L}_{reg}(X))$  – a contradiction. Since  $L \notin fin(X^*)$ , we can find to any  $n \geq 0$  some  $w \in X^*$  with |w| = n and  $L \cap wX^* \notin fin(X^*)$ . Define  $f_L(n) = w$ . By the assertion  $f_L$  is uniquely determined. Furthermore, if  $u \leq w(\mathbf{pref})$ , then  $L \cap wX^* \subseteq L \cap uX^*$ . Hence,  $L \cap uX^* \notin fin(X^*)$  and by the assertion  $f_L(|u|) = u$ . That is,  $f_L$  is sequential. Moreover, since  $L \in cohesive(\mathcal{L}_{reg}(X))$ ,  $L \cap (f_L(n)X^*)^{\mathbf{c}} \in fin(X^*)$  for all  $n \geq 0$ .

From the assertion in this proof we get additionally the following

Corollary 4.3. If #(X) > 1 and  $L \in cohesive(\mathcal{L}_{reg}(X))$ , then for any  $L' \subseteq L$  with  $L' \notin fin(X^*) : f_L = f_{L'}$ .

*Proof.* Suppose  $n \geq 0$  exists with  $f_L(n) \neq f_{L'}(n)$ . We know by Lemma 4.2 and Proposition 3.8 that  $L' \cap f_{L'}(n)X^*, L \cap f_L(n)X^* \notin fin(X^*)$ . Furthermore,  $L' \cap f_{L'}(n)X^* \subseteq L \cap f_{L'}(n)X^*$ . Hence,  $L \cap f_{L'}(n)X^*$  is infinite. This is a contradiction to the above assertion.

Next, we focus our attention to  $\mathcal{L}_{reg}(X)$ -cohesiveness in connection with  $\mathcal{L}_{cf}(X)$  and  $\mathcal{L}_{cs}(X)$ . To  $L \subseteq X^*$  we associate the *length-language*  $|L| = \lambda_X(L)$ , where  $\lambda_X(w) = a^{|w|}(w \in X^*)$ . Define  $\mathcal{L}_{lreg}(X) = \{L \subseteq X^* | |L| \in \mathcal{L}_{reg}(a)\}$ . Note that  $\{a^nb^na^n|n \geq 0\} \in \mathcal{L}_{lreg}(\{a,b\})$ .

Lemma 4.4. If  $L \in \mathcal{L}_{lreg}(X)$ , then  $L \notin cohesive(\mathcal{L}_{reg}(X))$ .

Proof.  $|L| \in \mathcal{L}_{reg}(a)$  and  $|L| \notin fin(a^*)$ , since  $L \notin fin(X^*)$ . By the pumping lemma for  $\mathcal{L}_{reg}(a)$   $\alpha > 0$  and  $\beta \geq 0$  exist with  $(a^{\alpha})^*a^{\beta} \subseteq |L|$ . Consider  $R = (a^{2\alpha})^*a^{\beta} \in \mathcal{L}_{reg}(a) \setminus fin(a^*)$ . Then  $R \subseteq |L|$  and  $R^{\mathbf{c}} \cap |L| \notin fin(a^*)$ . But  $\lambda_X^{-1}(R)$ ,  $\lambda_X^{-1}(R^{\mathbf{c}}) \in \mathcal{L}_{reg}(X)$ ,  $L \cap \lambda_X^{-1}(R)$ ,  $L \cap \lambda_X^{-1}(R^{\mathbf{c}}) \notin fin(X^*)$  and  $\lambda_X^{-1}(R^{\mathbf{c}}) \subseteq \lambda_X^{-1}(R)^{\mathbf{c}}$ .

Since  $\mathcal{L}_{reg}(X) \subseteq \mathcal{L}_{cf}(X) \subseteq \mathcal{L}_{lreg}(X)$ , we get immediately

Lemma 4.5. If  $L \in cohesive(\mathcal{L}_{cf}(X))$ , then  $L \notin \mathcal{L}_{cf}(X)$ .

Inspecting the "construction" from the proof of the Dekker–Myhill-theorem (Thm. VI in Sect. 12.3 of [8]) yields

**Theorem 4.6.** For any  $L \in \mathcal{L}_{cf}(X) \setminus fin(X^*)$  a recursive language  $L' \subseteq L$  exists with  $L' \in cohesive(\mathcal{L}_{reg}(X))$ .

*Proof.* We refine the proof of the Myhill–Dekker-theorem. Define inductively

$$L_0 = L$$
,  
 $L_{n+1} = \mathbf{if} \ L_n \cap \mathbf{e_{reg}}(n) \notin fin(X^*) \ \mathbf{then} \ L_n \cap \mathbf{e_{reg}}(n) \ \mathbf{else} \ L_n \cap \mathbf{e_{reg}}(n)^{\mathbf{c}} \ \mathbf{fi}$   
 $(n \ge 0)$ .

Then for  $n \geq 0$   $L_{n+1} \subseteq L_n$  and  $L_n \in \mathcal{L}_{\mathbf{cf}}(X)$ . Moreover,  $L_n \notin fin(X^*)$  and  $L_n \notin cohesive(\mathcal{L}_{\mathbf{reg}}(X))$  by Lemma 4.5. Now, a function g exists with  $L_{g(n+1)} \subset L_{g(n)} \subseteq L_n$ . Define the function h by  $h(n) = min\{m|char^*(m) \in L_{g(n)} \setminus L_{g(n+1)}\}$   $(n \geq 0)$ . Let  $L'' = char^*(h(\mathbb{N}_0))$ . The above mentioned proof of Theorem VI in Section 12.3 of [8] asserts  $L'' \in cohesive(\mathcal{L}_{\mathbf{reg}}(X))$ .

It remains to prove, that any step in this construction is computable. Let  $\mathbf{e}_{\mathbf{cf}}(i_0) = L$ . Define

$$\begin{split} f(0) &= i_0, \\ f(n+1) &= \mathbf{if} \ \textit{finite}_{\mathbf{cf}}(f_{\text{sect}}(f(n), n)) = 0 \ \mathbf{then} \ f_{\text{sect}}(f(n), n) \\ &= \mathbf{else} \ f_{\text{sect}}(f(n), f_{\text{comp}}(n)) \ \mathbf{fi} \ (n \geq 0). \end{split}$$

Since  $finite_{\mathbf{cf}}$  is recursive,  $f \in rec_1$  and  $\mathbf{e_{cf}}(f(n)) = L_n (n \geq 0)$ . Next, consider the predicate d defined by d(n,m) = "(m > n) and  $(L_n = L_m)"$ . Then d(n,m) = "(m > n) and  $(\forall 1 \leq i \leq m - n : L_{n+i} = L_m)" = "(m > n)$  and  $(\forall 0 \leq i \leq m - n : (L_{n+i} \subseteq \mathbf{e_{reg}}(n+i))$  or  $(L_{n+i} \subseteq \mathbf{e_{reg}}(n+i))^{\mathbf{c}})$ ". Using incl and  $f_{\text{comp}}$  we get  $d \in rec_2$ . By this the function  $g'(n) = min\{m|(m > n) \text{ and } d(f(n), m) = 0\}$  is recursive, as well. Now, with the help of f, g' the function g from above can be defined by g(0) = f(0), g(n) = f(g'(n-1))(n > 0), i.e.  $g \in rec_1$ , since  $f, g' \in rec_1$ . Since  $w(m,n) = "char^*(m) \in L_{g(n)} \setminus L_{g(n+1)}" = word_{\mathbf{cs}}(m,f(g(n)))$  is a recursive function,  $h(n) = min\{m|char^*(m) \in L_{g(n)} \setminus L_{g(n+1)}\} \in rec_1$  and we can conclude that  $L'' = char^*(h(\mathbb{N}_0)) \in \mathcal{L}_{\mathbf{r.e.}}(X)$ . But then an infinite  $L' \subseteq L''$  exists with  $L' \in \mathcal{L}_{\mathbf{rec}}(X)$ . Since  $L'' \in cohesive(\mathcal{L}_{\mathbf{reg}}(X))$ ,  $L' \in cohesive(\mathcal{L}_{\mathbf{reg}}(X))$ , too.

Dealing with  $\mathcal{L}_{cs}(X)$  we can use number-theoretic considerations. In the case  $X = \{a\}$  we know  $\mathcal{L}_{reg}(X) = \mathcal{L}_{cf}(X)$  and can use the pumping lemma for regular sets.

**Lemma 4.7** (number-problems). Let  $X = \{a\}$ .

- (1)  $L_{\exp} = \{a^{2^n} | n > 0\} \notin \mathbf{cohesive}(\mathcal{L}_{reg}(X)) \text{ and } L_{\exp} \in \mathcal{L}_{cs}(X).$
- (2)  $L_{\text{fac}} = \{a^{n!} | n > 0\} \in \operatorname{cohesive}(\mathcal{L}_{\operatorname{reg}}(X)) \text{ and } L_{\text{fac}} \in \mathcal{L}_{\operatorname{cs}}(X).$

Proof.

(1) Clearly,  $2^{2k} \bmod 3 = (3+1)^k \bmod 3 = 1$  Hence  $2^{2k+1} \bmod 3 = 2(2^{2k} \bmod 3) \bmod 3 = 2$ . By this  $\{a^{2^{2k}} | k \geq 0\} \subseteq a(a^3)^* = R$ , while  $\{a^{2^{2k+1}} | k \geq 0\} \subseteq R^{\mathbf{c}}$ . In total  $L_{\exp} \notin \mathbf{cohesive}(\mathcal{L}_{\operatorname{reg}}(X))$ , because  $L_{\exp} \cap R$ ,  $L_{\exp} \cap R^{\mathbf{c}} \notin \mathbf{fin}(a^*)$ .

(2) Consider  $R \in \mathcal{L}_{reg}(X)$  with  $L_{fac} \cap R \notin fin(a^*)$ . Using the pumping lemma for  $\mathcal{L}_{reg}(X)$   $\alpha > 0$  and  $\beta$  exist with  $L_{fac} \cap a^{\beta}(a^{\alpha})^* \notin fin(a^*)$  and  $a^{\beta}(a^{\alpha})^* \subseteq R$ . Due to the infinity of the intersection we can find some  $y \geq \max(\alpha, \beta)$ , such that  $\alpha x + \beta = y!$  for some  $x \in \mathbb{N}_0$ . Since  $y \geq \alpha$ ,  $\alpha$  divides y!. Hence,  $\beta$  is an integer multiple of  $\alpha$ , too and we get  $\alpha x + \beta = \alpha(x + \beta') = y!$  for some  $\beta' \leq y$ . But then for any  $y' \geq y$  a z exists with  $\alpha(z + \beta') = y'!$ , namely  $z = (x + \beta') \frac{y'!}{y!} - \beta'$ , and we get in total  $L_{fac} \cap a^{\beta}(a^{\alpha})^* = L_{fac} \setminus C$  for some finite set C and therefore  $L_{fac} \cap R^{\mathbf{c}} \in fin(a^*)$ .

A result similar to Lemma 4.5 is

Proposition 4.8. If  $L \in cohesive(\mathcal{L}_{rec}(X))$ , then  $L \notin \mathcal{L}_{r.e.}(X)$ .

Proof. Consider  $L \in cohesive(\mathcal{L}_{rec}(X))$  and suppose that  $L \in \mathcal{L}_{r.e.}(X)$ . Since L is infinite,  $L' \in \mathcal{L}_{rec}(X) \setminus fin(X^*)$  exists with  $L' \subseteq L$ . Clearly,  $L = L_1 \cup L_2$  with  $L_{1,2} \in \mathcal{L}_{rec}(X) \setminus fin(X^*)$  and  $L_1 \cap L_2 = \emptyset$ . But then  $L \cap L_1 = L_1 \notin fin(X^*)$  and  $L \cap L_2 \subseteq L \cap L_1^c \notin fin(X^*)$  – a contradiction.

# 5. Solvability of promise problems

Remember that given a set family  $\mathcal{F}(A,B)$  is a promise problem, if  $A \cap B = \emptyset$ . With  $\mathcal{F}$  we associate the set of promise problems, which are solvable with respect to  $\mathcal{F}$ , *i.e.* we consider  $promise(\mathcal{F}) = \{(A,B)|A \cap B = \emptyset \text{ and } \exists Q \in \mathcal{F}^{dc} : A \subseteq Q \text{ and } B \subseteq Q^c\}$ . We collect some elementary facts about  $promise(\mathcal{F})$ , which follow more or less directly by the definition, especially by using the laws of De Morgan and distributivity.

#### Proposition 5.1.

- (1)  $(A, B) \in promise(\mathcal{F}) \Leftrightarrow (B, A) \in promise(\mathcal{F}).$
- (2)  $B' \subseteq B$  and  $(A, B) \in promise(\mathcal{F}) \Rightarrow (A, B') \in promise(\mathcal{F})$ .
- (3)  $A \in \mathcal{F}^{dc}$  and  $A \cap B = \emptyset \Rightarrow (A, B) \in promise(\mathcal{F})$ .
- (4)  $A \in \mathcal{F}^{dc} \Leftrightarrow (A, A^c) \in promise(\mathcal{F}).$
- (5)  $\mathcal{F}' \subseteq \mathcal{F} \Rightarrow promise(\mathcal{F}') \subseteq promise(\mathcal{F}).$
- (6)  $promise(\mathcal{F}) = promise(\mathcal{F}^{co}) = promise(\mathcal{F}^{dc}).$
- (7) If  $\mathcal{F} = (\mathcal{F}^u)^s$  then  $(A, B) \in promise(\mathcal{F})$  and  $((A, B') \in promise(\mathcal{F}) \Rightarrow (A, B \cup B') \in promise(\mathcal{F})).$
- (8) If  $\mathcal{F} \pm \mathcal{V} \subseteq \mathcal{F}$  then  $\forall C \in \mathcal{V}$ :  $(A, B) \in promise(\mathcal{F}) \Rightarrow (A \cup C, B \cap C^c) \in promise(\mathcal{F}).$

**Example 5.2.** Consider  $X = \{a, b\}$  and the languages  $A = \{a^n b^n | n > 0\}$  and  $B = \{a^n b^m | n, m > 0 \text{ and } n \neq m\}$ . Then  $A, B, A^c \in \mathcal{L}_{\mathbf{cf}}(X)$  and  $B \subseteq A^c$ , hence  $(A, B) \in \mathbf{promise}(\mathcal{L}_{\mathbf{cf}}(X))$ . We show, that  $(A, B) \notin \mathbf{promise}(\mathcal{L}_{\mathbf{reg}}(X))$ . Suppose the contrary, *i.e.* a  $Q \in \mathcal{L}_{\mathbf{reg}}(X)$  exists with  $A \subseteq Q$  and  $B \subseteq Q^c$ . Consider a word  $w_0 = a^n b^n$ , where n is sufficiently large. By the pumping lemma for regular sets  $u, v, w \in X^*, w \neq 1$  exist with  $w_0 = uwv, |uw| \leq n$  and  $uw^k v \in Q$  for all  $k \geq 0$ .

But then  $uw = a^i$  for some  $1 \le i \le n$  and therefore  $uw^2v = a^{n+|w|}b^n \in B \cap Q$ . In total,  $B \cap Q \ne \emptyset$  and we get a contradiction.

The following criterion asserts for a promise problem (A,B) the existence of a nontrivial solvable subproblem.

**Lemma 5.3.** Let  $V \subseteq \mathcal{F}$  and  $\mathcal{F} \pm V \subseteq \mathcal{F}$ . Then for all  $A, B \in \mathcal{F} \setminus fin(S)$  with  $A \notin cohesive(V)$  a  $Q \in \mathcal{V}^{dc}$  exists, such that  $A \cap Q, B \cap Q^c \in \mathcal{F} \setminus fin(S)$  and  $(A \cap Q, B \cap Q^c) \in promise(V)$ .

Proof. Let A, B be given according to the assumption. Since  $A \notin cohesive(\mathcal{V}), Q \in \mathcal{V}^{dc}$  exists with  $A \cap Q, A \cap Q^{c} \notin fin(S)$ . But then  $B \cap Q$  or  $B \cap Q^{c}$  must be infinite, because otherwise  $B = (B \cap Q) \cup (B \cap Q^{c}) \in fin(S)$ . If  $B \cap Q^{c} \notin fin(S)$ ,  $A \cap Q, B \cap Q^{c} \in \mathcal{F} \setminus fin(S)$  and  $(A \cap Q, B \cap Q^{c}) \in promise(\mathcal{V})$ . If  $B \cap Q \notin fin(S)$ ,  $A \cap Q^{c}, B \cap Q \in \mathcal{F} \setminus fin(S)$  and  $(A \cap Q^{c}, B \cap Q) \in promise(\mathcal{V})$ .

It is interesting to look at  $\mathcal{L}_{\mathbf{r.e.}}(X)$ . Consider a promise problem (A, B) with  $A \in \mathcal{L}_{\mathbf{r.e.}}(X) \setminus fin(X^*)$ . Then  $C \subseteq A$  exists with  $C \in \mathcal{L}_{\mathbf{rec}}(X) \setminus fin(X^*)$ . Hence,  $(C, B) \in promise(\mathcal{L}_{\mathbf{rec}}(X)) = promise(\mathcal{L}_{\mathbf{r.e.}}(X))$ , since  $B \subseteq A^{\mathbf{c}} \subseteq C^{\mathbf{c}}$ . In contrast to this fact, there exists a promise problem (A, B) with  $A, B \in \mathcal{L}_{\mathbf{r.e.}}(X)$  and  $(A, B) \notin promise(\mathcal{L}_{\mathbf{r.e.}}(X))$  (cf. exercise 5-34. in [8]). But if  $A, B \in \mathcal{L}_{\mathbf{r.e.}}(X)^{\mathbf{co}}$ , then  $(A, B) \in promise(\mathcal{L}_{\mathbf{r.e.}}(X)^{\mathbf{co}})$  (cf. exercise 5-33. in [8]).

We conclude this section looking at left translations.

**Lemma 5.4.** Let  $\mathcal{L}$  be ltr-cancellative,  $\mathcal{L} = \mathcal{L}^{ltr}$  and  $\mathcal{L} \pm \mathcal{L}_{reg}(X) \subseteq \mathcal{L}$ . Then for all  $A, B \subseteq X^*, w \in X^*$ :  $(A, B) \in promise(\mathcal{L}) \Leftrightarrow (wA, wB) \in promise(\mathcal{L})$ .

*Proof.* Suppose  $(A, B) \in promise(\mathcal{L})$ . Then  $Q \in \mathcal{L}^{dc}$  exists with  $A \subseteq Q$  and  $B \subseteq Q^{\mathbf{c}}$ . But then  $wA \subseteq wQ$  and  $wB \subseteq wQ^{\mathbf{c}} \subseteq (wQ)^{\mathbf{c}} = wQ^{\mathbf{c}} \cup (wX^*)^{\mathbf{c}}$ . Since  $\mathcal{L} = \mathcal{L}^{\mathbf{ltr}}$  and  $\mathcal{L} \pm \mathcal{L}_{\mathbf{reg}}(X) \subseteq \mathcal{L}$ , we get  $wQ, (wQ)^{\mathbf{c}} \in \mathcal{L}$ .

Conversely, suppose  $(wA, wB) \in promise(\mathcal{L})$ . Then we find a  $Q \in \mathcal{L}^{dc}$  with  $wA \subseteq Q$  and  $wB \subseteq Q^{\mathbf{c}}$ . But then  $wA \subseteq Q \cap wX^* = wQ'$  and  $wB \subseteq Q^{\mathbf{c}} \cap wX^* = wQ''$ . Since  $wQ' \cup wQ'' = (Q \cap wX^*) \cup (Q^{\mathbf{c}} \cap wX^*) = wX^*$  and  $wQ' \cap wQ'' = \emptyset$ ,  $Q'' = Q'^{\mathbf{c}}$ . Hence,  $A \subseteq Q'$  and  $B \subseteq Q'^{\mathbf{c}}$ . Again by the closure properties of  $\mathcal{L}$  we get  $Q', Q'^{\mathbf{c}} \in \mathcal{L}$ .

# 6. Unsolvability of promise problems and cohesiveness

The structure of promise problems is closely related to cohesiveness, more precisely if cohesiveness can be connected to a promise problem (A, B), then it is not solvable.

**Theorem 6.1.** If  $\mathcal{F}$  is closed under finite variation and  $A \cap B = \emptyset$ , then the following statements are equivalent:

- (1)  $A, B \notin fin(S)$  and  $A \cup B \in cohesive(\mathcal{F})$ .
- (2)  $A, B \in \mathbf{cohesive}(\mathcal{F}) \ and \ (A, B) \notin \mathbf{promise}(\mathcal{F}).$

Proof.

- (1)  $\Rightarrow$  (2). Let  $A, B \notin fin(S)$  and  $A \cup B \in cohesive(\mathcal{F})$ , then by Proposition 3.8  $A, B \in cohesive(\mathcal{F})$ . Suppose to the contrary that  $(A, B) \in promise(\mathcal{F})$ . Then  $Q \in \mathcal{F}^{dc}$  exists with  $A \subseteq Q$  and  $B \subseteq Q^{c}$ . But then  $A \subseteq (A \cup B) \cap Q \notin fin(S)$  and  $B \subseteq (A \cup B) \cap Q^{c} \notin fin(S)$ . This contradicts  $A \cup B \in cohesive(\mathcal{F})$ .
- (2)  $\Rightarrow$  (1). Let  $A, B \in cohesive(\mathcal{F})$  and  $(A, B) \notin promise(\mathcal{F})$ . Suppose that  $A \cup B \notin cohesive(\mathcal{F})$ , i.e. a  $Q \in \mathcal{F}^{dc}$  exists with  $(A \cup B) \cap Q$ ,  $(A \cup B) \cap Q^{c} \notin fin(S)$ . Let  $A_1 = A \cap Q$ ,  $B_1 = B \cap Q$ ,  $A_2 = A \cap Q^{c}$  and  $B_2 = B \cap Q^{c}$ . Then we get the following two cases:
- Case 1.  $A_{1,2} \notin fin(S)$  or  $B_{1,2} \notin fin(S)$ . Then  $A = A_1 \cup A_2 \notin cohesive(\mathcal{F})$  or  $B = B_1 \cup B_2 \notin cohesive(\mathcal{F})$  a contradiction.
- Case 2.  $A_1, B_2 \notin fin(S)$  and  $A_2, B_1 \in fin(S)$  or  $A_2, B_1 \notin fin(S)$  and  $A_1, B_2 \in fin(S)$ . Since  $(A_1, B_2), (A_2, B_1) \in promise(\mathcal{F})$ , we can apply Proposition 5.1(8) for  $\mathcal{V} = fin(S)$  and obtain  $(A, B) \in promise(\mathcal{F})$  a contradiction, again.

We can now characterize those  $A, B \in cohesive(\mathcal{F})$  with  $A \cup B \in cohesive(\mathcal{F})$ .

**Theorem 6.2.** If  $\mathcal{F}$  is closed under finite variation and  $A, B \in cohesive(\mathcal{F})$ , then the following statements are equivalent:

- (1)  $A \cup B \in \mathbf{cohesive}(\mathcal{F})$
- (2)  $(A \setminus B, B) \notin promise(\mathcal{F}) \text{ or } A \cap B \notin fin(S).$

Proof.

- (1)  $\Rightarrow$  (2) Let  $A \cup B \in cohesive(\mathcal{F})$  and suppose  $A \cap B \in fin(S)$ . Then  $A \setminus B, B \notin fin(S)$  and  $A \setminus B, B \in cohesive(\mathcal{F})$  by Proposition 5.1(8). Clearly,  $A \cup B = (A \setminus B) \cup B$  and  $A \setminus B \cap B = \emptyset$ . Hence by Theorem 6.1  $(A \setminus B, B) \notin promise(\mathcal{F})$ .
- (2)  $\Rightarrow$  (1) Conversely, we have to consider two cases. First suppose that  $A \cap B \in fin(S)$  and  $(A \setminus B, B) \notin promise(\mathcal{F})$ . By assumption and Proposition 3.8  $A \setminus B, B \in cohesive(\mathcal{F})$ . Hence,  $A \cup B = A \setminus B \cup B \in cohesive(\mathcal{F})$  by Theorem 6.1. If  $A \cap B \notin fin(S)$ , then by Lemma 3.9  $A \cup B \in cohesive(\mathcal{F})$ .

Theorem 6.1 deals essentially with "unsolvability cores" of promise problems for  $\mathcal{F}$ . This leads to the following definition:

**Definition 6.3.** (A, B) is a *core* of  $\mathcal{F}((A, B) \in \mathbf{core}(\mathcal{F}))$  if and only if  $A, B \notin \mathbf{fin}(S), A \cap B = \emptyset$  and for all  $A' \subseteq A, B' \subseteq B, A', B' \notin \mathbf{fin}(S) : (A', B') \notin \mathbf{promise}(\mathcal{F})$ .

#### Proposition 6.4.

- (1)  $core(\mathcal{F}) = core(\mathcal{F}^{co}) = core(\mathcal{F}^{dc})$
- (2)  $(A, B) \in \operatorname{core}(\mathcal{F}) \Leftrightarrow (B, A) \in \operatorname{core}(\mathcal{F}).$
- (3)  $(A, B) \in \mathbf{core}(\mathcal{F}), A' \subseteq A, B' \subseteq B \text{ and } A', B' \notin \mathbf{fin}(S)$  $\Rightarrow (A', B') \in \mathbf{core}(\mathcal{F}).$

Now, we want to show, that the condition " $A \cup B$  is  $\mathcal{F}$ -cohesive" characterizes completely the cores of  $\mathcal{F}$ . The following lemma offers a property of cores, which is similar to the definition of cohesive sets (Def. 3.1).

**Lemma 6.5.** If  $A, B \notin fin(S)$  and  $A \cap B = \emptyset$  then the following statements are equivalent:

- (1)  $(A, B) \in \mathbf{core}(\mathcal{F})$
- (2)  $\forall Q \in \mathcal{F}^{dc} : (A \cap Q \notin fin(S) \Leftrightarrow B \cap Q^c \in fin(S))$

Proof.

- (1)  $\Rightarrow$  (2). Let  $(A, B) \in core(\mathcal{F})$ . Consider  $Q \in \mathcal{F}^{dc}$  with  $A' = A \cap Q \notin fin(S)$ . Suppose to the contrary that  $B' = B \cap Q^{c} \notin fin(S)$ . Then  $(A', B') \in promise(\mathcal{F})$ , since  $A' \subseteq Q$  and  $B' \subseteq Q^{c}$ . This contradicts  $(A, B) \in core(\mathcal{F})$ . Conversely, let  $B \cap Q^{c} \in fin(S)$  and suppose  $A \cap Q \in fin(S)$ . Then  $A' = A \cap Q^{c}$  and  $B' = B \cap Q$  are infinite. Furthermore  $A' \subseteq Q^{c}$  and  $B' \subseteq Q$ . Hence,  $(A', B') \in promise(\mathcal{F})$  and we get again a contradiction.
- (2)  $\Rightarrow$  (1). Let the equivalence be valid for any  $Q \in \mathcal{F}^{dc}$ . Suppose to the contrary that  $(A, B) \notin core(\mathcal{F})$ . Then  $A' \subseteq A, B' \subseteq B$  exist with  $A', B' \notin fin(S)$  and  $(A', B') \in promise(\mathcal{F})$ . Hence, we can find  $Q \in \mathcal{F}^{dc}$  with  $A' \subseteq Q, B' \subseteq Q^{c}$ . But then  $A' \subseteq A \cap Q$  and  $B' \subseteq B \cap Q^{c}$ , i.e.  $A \cap Q, B \cap Q^{c} \notin fin(S)$  a contradiction to the equivalence.

**Remark 6.6.** By Proposition 6.4(1) Lemma 6.5(2) is equivalent to:  $\forall Q \in \mathcal{F}^{dc}$ :  $(B \cap Q \notin fin(S) \Leftrightarrow A \cap Q^c \in fin(S))$ .

**Theorem 6.7.** If  $\mathcal{F}$  is closed under finite variation,  $A \cap B = \emptyset$  and  $A, B \notin fin(S)$ , then the following statements are equivalent:

- (1)  $(A,B) \in \mathbf{core}(\mathcal{F})$
- (2)  $A \cup B \in \boldsymbol{cohesive}(\mathcal{F})$

Proof.

(1)  $\Rightarrow$  (2). Let  $(A, B) \in core(\mathcal{F})$ . Consider  $Q \in \mathcal{F}^{dc}$  with  $(A \cup B) \cap Q \notin fin(S)$ . Then  $A \cap Q \notin fin(S)$  or  $B \cap Q \notin fin(S)$ . If  $A \cap Q$  is finite,  $B \cap Q$  must be infinite. But then  $A \cap Q^{\mathbf{c}} \in fin(S)$  by Lemma 6.5 and therefore  $A \in fin(S)$ , which contradicts the assumption  $A \notin fin(S)$ . Hence,  $A \cap Q$  must be infinite. By the same reason  $B \cap Q$  must be infinite, too. But then  $B \cap Q^{\mathbf{c}}$ ,  $A \cap Q^{\mathbf{c}} \in fin(S)$  by Lemma 6.5 and therefore  $(A \cup B) \cap Q^{\mathbf{c}} \in fin(S)$ . In total  $A \cup B \in cohesive(\mathcal{F})$ .

(2)  $\Rightarrow$  (1). Suppose that  $A \cup B \in cohesive(\mathcal{F})$ . Let  $A' \subseteq A, B' \subseteq B$  such that  $A', B' \notin fin(S)$ . Then  $A' \cap B' = \emptyset$  and  $A' \cup B' \subseteq A \cup B$ , i.e.  $A' \cup B' \in cohesive(\mathcal{F})$ . But then  $(A', B') \notin promise(\mathcal{F})$  by Theorem 6.1 and therefore  $(A, B) \in core(\mathcal{F})$ .

Corollary 6.8. If  $\mathcal{F}$  is closed under finite variation,  $A, B, C \notin fin(S), B \subseteq C$  and  $A \cap C = \emptyset$ , then  $((A, B) \in core(\mathcal{F}))$  and  $C \in cohesive(\mathcal{F}))$  implies  $(A, C) \in core(\mathcal{F})$ .

#### Proof.

Let A, B, C be given according to the assumption. Consider  $(A, B) \in \mathbf{core}(\mathcal{F})$  and  $C \in \mathbf{cohesive}(\mathcal{F})$ . Then  $A \cup B \in \mathbf{cohesive}(\mathcal{F})$  by Theorem 6.7. Since  $(A \cup B) \cap C = B \notin \mathbf{fin}(S)$ , we get  $(A \cup B) \cup C = A \cup C \in \mathbf{cohesive}(\mathcal{F})$  by Lemma 3.9. In conclusion  $(A, C) \in \mathbf{core}(\mathcal{F})$  by Theorem 6.7.

Moreover, we obtain the transitivity of cores.

**Corollary 6.9.** If  $\mathcal{F}$  is closed under finite variation and  $A \cap B = A \cap C = B \cap C = \emptyset$ , then  $((A, B) \in \mathbf{core}(\mathcal{F}))$  and  $(B, C) \in \mathbf{core}(\mathcal{F})$  implies  $(A, C) \in \mathbf{core}(\mathcal{F})$ .

# Proof.

Let A, B, C be given according to the assumption. Let  $(A, B) \in \mathbf{core}(\mathcal{F})$  and  $(B, C) \in \mathbf{core}(\mathcal{F})$ . Then  $B \cup C \in \mathbf{cohesive}(\mathcal{F})$  by Theorem 6.7. Hence  $C \in \mathbf{cohesive}(\mathcal{F})$  by Proposition 3.8. But now,  $(A, B \cup C) \in \mathbf{core}(\mathcal{F})$  by Corollary 6.8 and therefore  $(A, C) \in \mathbf{core}(\mathcal{F})$  by Proposition 6.4(2).

Combining Theorem 6.7 with Lemma 3.4 we get

Corollary 6.10. If  $\mathcal{F}$  is closed under finite variation and  $\mathcal{F} = \mathcal{F}^{cc}$ , then  $core(\mathcal{F}) = core(\mathcal{F}^b)$ .

In contrast to the transitivity of cores, the property not belonging to  $promise(\mathcal{F})$  is transitive only with an additional condition.

**Lemma 6.11.** Let  $\mathcal{F}$  be closed under finite variation and  $A \cap B = A \cap C = B \cap C = \emptyset$ . If  $B \in \mathbf{cohesive}(\mathcal{F})$ , then  $(A, B) \notin \mathbf{promise}(\mathcal{F})$  and  $(B, C) \notin \mathbf{promise}(\mathcal{F})$  implies  $(A, C) \notin \mathbf{promise}(\mathcal{F})$ .

# Proof.

Suppose that  $(A, B) \notin promise(\mathcal{F}), (B, C) \notin promise(\mathcal{F})$  and  $(A, C) \in promise(\mathcal{F})$ . Let  $Q \in \mathcal{F}^{dc}$  with  $A \subseteq Q$  and  $C \subseteq Q^c$ . Since  $B \in cohesive(\mathcal{F}), B \cap Q$  or  $B \cap Q^c$  has to be finite. By symmetry we can assume, that  $D = B \cap Q \in fin(S)$ . But then,  $A \cup D \subseteq Q$  and  $C \cup (B \cap D^c) \subseteq Q^c$ , i.e.  $(A \cup D, C \cup (B \cap D^c)) \in promise(\mathcal{F})$ . Thus,  $(A \cup D, B \cap D^c) \in promise(\mathcal{F})$ , too and we can apply Proposition 5.1(8) and conclude, that  $(A, B) \in promise(\mathcal{F})$  – a contradiction.

As shown in [1] by a marking technique this kind of transitivity is not valid in the general case. The same technique is used in

**Example 6.12.** Let  $X = \{a, b\}$ . Consider a language family  $\mathcal{L}$  satisfying the condition of Lemma 5.4. Choose A with  $A, A^{\mathbf{c}} \notin \mathcal{L}$ . Then  $(A, A^{\mathbf{c}}) \notin promise(\mathcal{L})$  and by Lemma 5.4  $(xA, xA^{\mathbf{c}}) \notin promise(\mathcal{L})$  for any  $x \in X$ . Hence  $(aA, aA^{\mathbf{c}} \cup bA^{\mathbf{c}}), (aA^{\mathbf{c}} \cup bA^{\mathbf{c}}, bA) \notin promise(\mathcal{L})$ . But  $(aA, bA) \in promise(\mathcal{L})$ .

Next we want to show, that under some closure condition for  $\mathcal{F}$  any  $(A, B) \notin promise(\mathcal{F})$  contains a core of  $\mathcal{F}$ . We shall use a construction similar to the construction in the proof of the Dekker–Myhill theorem. For this purpose the following lemma is crucial.

**Lemma 6.13.** If  $\mathcal{F}^u = \mathcal{F} = \mathcal{F}^s$  and  $(A, B) \notin promise(\mathcal{F})$ , then for all  $Q \in \mathcal{F}^{dc}$ :  $(A \cap Q, B \cap Q) \notin promise(\mathcal{F})$  or  $(A \cap Q^c, B \cap Q^c) \notin promise(\mathcal{F})$ .

Proof.

Suppose to the contrary a  $Q \in \mathcal{F}^{dc}$  exists, such that  $(A \cap Q, B \cap Q) \in promise(\mathcal{F})$  and  $(A \cap Q^{\mathbf{c}}, B \cap Q^{\mathbf{c}}) \in promise(\mathcal{F})$ . Then we can find  $Q_{1,2} \in \mathcal{F}^{dc}$  with  $A \cap Q \subseteq Q_1, B \cap Q \subseteq Q_1^{\mathbf{c}}$  and  $A \cap Q^{\mathbf{c}} \subseteq Q_2, B \cap Q^{\mathbf{c}} \subseteq Q_2^{\mathbf{c}}$ . Now,  $A = (A \cap Q) \cup (A \cap Q^{\mathbf{c}}) \subseteq (Q_1 \cap Q) \cup (Q_2 \cap Q^{\mathbf{c}})$  and  $B = (B \cap Q) \cup (B \cap Q^{\mathbf{c}}) \subseteq (Q_1^{\mathbf{c}} \cap Q) \cup (Q_2^{\mathbf{c}} \cap Q^{\mathbf{c}})$ . Let  $Q_A = (Q_1 \cap Q) \cup (Q_2 \cap Q^{\mathbf{c}})$  and  $Q_B = (Q_1^{\mathbf{c}} \cap Q) \cup (Q_2^{\mathbf{c}} \cap Q^{\mathbf{c}})$ . Then  $Q_A \cup Q_B = Q \cup Q^{\mathbf{c}} \subseteq S$  and  $Q_A \cap Q_B = \emptyset$ . Hence,  $Q_B = Q_A^{\mathbf{c}}$ . Since  $A \subseteq Q_A, B \subseteq Q_B = Q_A^{\mathbf{c}}$  and  $Q_A, Q_B \in \mathcal{F}$  we get by the closure properties of  $\mathcal{F}$  in total  $(A, B) \in promise(\mathcal{F})$  – a contradiction.

**Theorem 6.14.** If  $\mathcal{F}$  is denumerable, closed under finite variation and  $\mathcal{F}^u = \mathcal{F} = \mathcal{F}^s$ , then for all (A, B) with  $A \cap B = \emptyset$  and  $(A, B) \notin promise(\mathcal{F})$ , there exist  $A' \subset A, B' \subset B$ , such that  $(A', B') \in core(\mathcal{F})$ .

Proof.

Let  $\mathbf{e}_{\mathcal{F}} \colon \mathbb{N}_0 \to \mathbf{2}^S$  with  $\mathbf{e}_{\mathcal{F}}(\mathbb{N}_0) = \mathcal{F}$  and  $(A, B) \notin promise(\mathcal{F})$ . Then we construct the following sequence of pairs  $(A_n, B_n)$  for  $n \geq 0$  inductively by

$$(A_0, B_0) = (A, B)$$

$$(A_{n+1}, B_{n+1}) = \mathbf{if} \ (A_n \cap \mathbf{e}_{\mathcal{F}}(n), B_n \cap \mathbf{e}_{\mathcal{F}}(n)) \notin \mathbf{promise}(\mathcal{F})$$

$$\mathbf{then} \ (A_n \cap \mathbf{e}_{\mathcal{F}}(n), B_n \cap \mathbf{e}_{\mathcal{F}}(n))$$

$$\mathbf{else} \ (A_n \cap \mathbf{e}_{\mathcal{F}}(n)^{\mathbf{c}}, B_n \cap \mathbf{e}_{\mathcal{F}}(n)^{\mathbf{c}}) \ \mathbf{fi}.$$

Assertion 1.  $\forall n \geq 0$ :  $A_{n+1} \subseteq A_n, B_{n+1} \subseteq B_n$  and  $(A_n, B_n) \notin promise(\mathcal{F})$ . Clearly,  $A_{n+1} \subseteq A_n$  and  $B_{n+1} \subseteq B_n$  for  $n \geq 0$  follows directly from the definition. The second part of the assertion is proven by induction on n. If n = 0 then  $(A_0, B_0) = (A, B) \notin promise(\mathcal{F})$  by assumption. Consider  $(A_{n+1}, B_{n+1})$ . If  $(A_n \cap \mathbf{e}_{\mathcal{F}}(n), B_n \cap \mathbf{e}_{\mathcal{F}}(n)) \notin promise(\mathcal{F})$ , nothing is to prove. Suppose  $(A_n \cap \mathbf{e}_{\mathcal{F}}(n), B_n \cap \mathbf{e}_{\mathcal{F}}(n)) \in promise(\mathcal{F})$ . Since  $\mathcal{F}^{\mathbf{u}} = \mathcal{F} = \mathcal{F}^{\mathbf{s}}$ , we get by Lemma 5.12  $(A_{n+1}, B_{n+1}) = (A_n \cap \mathbf{e}_{\mathcal{F}}(n)^{\mathbf{c}}, B_n \cap \mathbf{e}_{\mathcal{F}}(n)^{\mathbf{c}}) \notin promise(\mathcal{F})$ . **Assertion 2.**  $\forall n \geq 0 \ \exists k \geq n : \ A_k \subset A_n \ \text{and} \ B_k \subset B_n.$ 

Assume  $n \geq 0$  exists with  $A_j = A_n$  for all  $j \geq n$ . Since  $A_n \notin fin(S), x, y \in A_n$  exist with  $x \neq y$ . Since  $\mathcal{F}$  is closed under finite variation,  $x \in \mathbf{e}_{\mathcal{F}}(m)$  and  $y \in \mathbf{e}_{\mathcal{F}}(m)^{\mathbf{c}}$  for some m. Furthermore  $m \geq n$ , otherwise x and y can not be both in  $A_n$ . Hence, by construction either  $x \notin A_{m+1}$  or  $y \notin A_{m+1}$ , i.e.  $A_{m+1} \neq A_n$ , while on the other side by our assumption  $A_{m+1} = A_m = A_n$  – a contradiction. Analogously,  $m' \geq n$  exists with  $B_{m'} \subset B_n$ . Choosing k = max(m, m') we get the result by ass.1.

On the basis of ass.2 a function  $g: \mathbb{N}_0 \to \mathbb{N}_0$  exists with  $A_{g(i+1)} \subset A_{g(i)} \subset A_i$  and  $B_{g(i+1)} \subset B_{g(i)} \subset B_i$  for any  $i \geq 0$ . But then two sequences  $a_i$  and  $b_i$  exist with  $a_i \in A_{g(i)} \setminus A_{g(i+1)}$  and  $b_i \in B_{g(i)} \setminus B_{g(i+1)} (i \geq 0)$  and the property:  $0 \leq i < j \Rightarrow a_i \neq a_j$  and  $b_i \neq b_j$ . Hence, the two sets  $A' = \{a_i | i \geq 0\}$  and  $B' = \{b_i | i \geq 0\}$  are both infinte. Furthermore,  $A' \cap B' = \emptyset$ , since  $A' \subseteq A, B' \subseteq B$  and  $A \cap B = \emptyset$ .

Now, we can show that  $A' \cup B' \in \mathbf{cohesive}(\mathcal{F})$ . Then  $A' \cup B' \in \mathbf{core}(\mathcal{F})$  follows directly by Theorem 6.7 completing the proof of the theorem.

Consider  $Q \in \mathcal{F}^{dc}$ , *i.e.*  $Q = \mathbf{e}_{\mathcal{F}}(m)$  for some  $m \geq 0$ . Then  $(A_{m+1}, B_{m+1}) = (A_m \cap \mathbf{e}_{\mathcal{F}}(m), B_m \cap \mathbf{e}_{\mathcal{F}}(m))$  or  $(A_{m+1}, B_{m+1}) = (A_m \cap \mathbf{e}_{\mathcal{F}}(m)^{\mathbf{c}}, B_m \cap \mathbf{e}_{\mathcal{F}}(m)^{\mathbf{c}})$ . Hence, by definition of q:

- (1)  $A_{g(m+1)} \cup B_{g(m+1)} \subset A_{m+1} \cup B_{m+1} \subset \mathbf{e}_{\mathcal{F}}(m)$  or
- (2)  $A_{g(m+1)} \cup B_{g(m+1)} \subset A_{m+1} \cup B_{m+1} \subset \mathbf{e}_{\mathcal{F}}(m)^{\mathbf{c}}$ .

Consider the first case. Observe that  $A' \cap A_{g(k)} = \{a_i | i \geq k\}$  and  $B' \cap B_{g(k)} = \{b_i | i \geq k\}$ , *i.e.* almost all  $a_i$  belong to  $A' \cap A_{g(k)}$  and almost all  $b_i$  belong to  $B' \cap B_{g(k)}$  for any  $k \geq 0$ . Noticing  $A' \cap B = \emptyset = A \cap B'$ , we get

$$(A' \cup B') \cap Q = (A' \cup B') \cap \mathbf{e}_{\mathcal{F}}(m)$$

$$= (A' \cup B') \cap (A_{g(m+1)} \cup B_{g(m+1)})$$

$$= (A' \cap A_{g(m+1)}) \cup (B' \cap B_{g(m+1)})$$

$$= (A' \cap B') \setminus C$$

for some finite set C, i.e.  $(A' \cup B') \cap Q^{\mathbf{c}} \in \mathbf{fin}(S)$ . Completely analogously, we find in the second case  $(A' \cup B') \cap Q \in \mathbf{fin}(S)$ . Hence,  $A' \cup B' \in \mathbf{cohesive}(\mathcal{F})$ .

**Corollary 6.15.** If  $\mathcal{F}$  is denumerable, closed under finite variation and  $\mathcal{F} = \mathcal{F}^{cc}$ , then for all  $(A, B) \notin promise(\mathcal{F})$ , there exist  $A' \subseteq A, B' \subseteq B$  with  $(A', B') \in core(\mathcal{F})$ .

Proof.

Since  $core(\mathcal{F}) = core(\mathcal{F}^{\mathbf{b}})$  by Corollary 6.10, the conditions of Theorem 6.14 are met for  $\mathcal{F}^{\mathbf{b}}$ . Hence, we find  $A' \subseteq A, B' \subseteq B$  with  $(A', B') \in core(\mathcal{F}^{\mathbf{b}}) = core(\mathcal{F})$ .

The following example shows, that the closure conditions of Theorem 6.14 respectively Corollary 6.15 are necessary. If we look for example at the family of

contextfree languages which is closed neither under intersection nor under complement, we find unsolvable promise problems without cores.

**Example 6.16.** Consider  $X = \{a, b, c\}$ . For  $x \in X$  and  $w \in X^*$  let  $|w|_x$  denote the number of occurences of x in w. Then define for  $x, y \in X$  with  $x \neq y$ :  $L_{x,y} = \{w \in X^* | |w|_x \neq |w|_y\}$ .  $L_{x,y} \in \mathcal{L}_{\mathbf{cf}}(X)$ , moreover,  $L_{x,y}$  is a deterministic contextfree language, hence  $L^{\mathbf{c}}_{x,y} \in \mathcal{L}_{\mathbf{cf}}(X)$ . Consider  $A = L_{a,b} \cup L_{b,c} \cup L_{c,a}$  and  $B = A^{\mathbf{c}} = \{w \in X^* | |w|_a = |w|_b = |w|_c\} = L^{\mathbf{c}}_{a,b} \cap L^{\mathbf{c}}_{b,c} \cap L^{\mathbf{c}}_{c,a}$ . Then  $A \in \mathcal{L}_{\mathbf{cf}}(X)$ ,  $B \notin \mathcal{L}_{cf}(X)$ ,  $B \notin \mathcal{L}_{\mathbf{cf}}(X)$  and  $B \in \mathcal{L}_{\mathbf{cf}}(X)^{\mathbf{s}}$ . This shows  $(A, B) \notin promise(\mathcal{L}_{\mathbf{cf}}(X))$ ,  $(A, B) \in promise(\mathcal{L}_{\mathbf{cf}}(X))^{\mathbf{s}}$ .

Now, suppose  $A', B' \notin fin(S)$  exist with  $A' \subseteq A, B' \subseteq B$  and  $(A', B') \in core(\mathcal{L}_{\mathbf{cf}}(X))$ . Since A' is infinite,  $A' \cap L_{x,y}$  is infinite for at least one of the pairs (x,y). Assume without loss of generality x=a and y=b. But then,  $(A' \cap L_{a,b}, B') \in core(\mathcal{L}_{\mathbf{cf}}(X))$  and therefore  $(A' \cap L_{a,b}, B') \notin promise(\mathcal{L}_{\mathbf{cf}}(X))$ . On the other side,  $A' \cap L_{a,b} \subseteq L_{a,b}$  and  $B' \subseteq B \subseteq L^{\mathbf{c}}_{a,b}$ , i.e.  $(A' \cap L_{a,b}, B') \in promise(\mathcal{L}_{\mathbf{cf}}(X))$  and we get a contradiction.

We get one further corollary of Theorem 6.14. For  $\mathcal{F}$  and  $A \notin fin(S)$  define  $core(A,\mathcal{F}) = \{B | B \notin fin(S), A \cap B = \emptyset \text{ and } \forall B' \subseteq B, B' \notin fin(S) : (A, B') \notin promise(\mathcal{F})\}.$ 

Corollary 6.17. If  $\mathcal{F}$  is denumerable, closed under finite variation and  $\mathcal{F}^u = \mathcal{F} = \mathcal{F}^s$ , then for all  $A, A^c \notin \mathcal{F} \cup fin(S)^{cc}$ :  $core(A, \mathcal{F}) \neq \emptyset$ .

Proof.

By Proposition 5.1(4)  $(A, A^{\mathbf{c}}) \notin promise(\mathcal{F})$ . By Theorem 6.14  $A' \subseteq A, B' \subseteq A^{\mathbf{c}}$  exist with  $(A', B') \in core(\mathcal{F})$ . Then for any  $B'' \subseteq B$  with  $B'' \notin fin(S), (A', B'') \notin promise(\mathcal{F})$  and therefore  $(A, B'') \notin promise(\mathcal{F})$ .

#### 7. Complexity cores

We have seen that under the conditions of Corollary 6.17  $core(A, \mathcal{F}) \neq \emptyset$ , provided there exists a B with  $(A, B) \notin promise(\mathcal{F})$ . We can improve the result under the same assumption by connecting the elements of  $core(A, \mathcal{F})$  to the hard cores (of complexity classes) introduced in a general form by Book–Du [2]. For  $\mathcal{F}$  and A define  $\mathcal{F}(A) = \{Q \in \mathcal{F} \mid Q \subseteq A\}$ .

**Definition 7.1** (see [2]). B is an  $\mathcal{F}$ -hardcore of A if and only if  $B \notin fin(S)$  and for all  $C \in \mathcal{F}(A)$ :  $B \cap C \in fin(S)$ . If additionally  $B \subseteq A$ , then B is a proper  $\mathcal{F}$ -hardcore of A.

**Lemma 7.2.** If  $\mathcal{F}$  is closed under finite variation with  $\mathcal{F} = \mathcal{F}^{cc}$  and  $A \cap B = \emptyset$ , then

- (1)  $(A, B) \notin promise(\mathcal{F}) \Leftrightarrow B^c \in immune(\mathcal{F}(A^c)^{co}).$
- (2)  $B \in \mathbf{core}(A, \mathcal{F}) \Leftrightarrow B$  is a proper  $\mathcal{F}$ -hardcore of  $A^c$ .

*Proof.* We make use of the (trivial) Assertion.  $A \subseteq Q$  and  $Q \in \mathcal{F} \Leftrightarrow Q \in \mathcal{F}(A^{\mathbf{c}})^{\mathbf{co}}$ .

- (1) Suppose  $(A, B) \notin promise(\mathcal{F})$  and  $B^{\mathbf{c}} \notin immune(\mathcal{F}(A^{\mathbf{c}})^{\mathbf{co}})$ . Then  $Q \in \mathcal{F}(A^{\mathbf{c}})^{\mathbf{co}}$  exists with  $Q \notin fin(S)$  and  $Q \subseteq B^{\mathbf{c}}$ . But then  $B \subseteq Q^{\mathbf{c}}, A \subseteq Q$  and  $Q \in \mathcal{F}^{\mathbf{dc}}$  a contradiction. Conversely, if  $(A, B) \in promise(\mathcal{F})$ , a  $Q \in \mathcal{F}^{\mathbf{dc}}$  exists with  $A \subseteq Q, B \subseteq Q^{\mathbf{c}}$ . But then,  $Q \in \mathcal{F}(A^{\mathbf{c}})^{\mathbf{co}}$  and  $Q \subseteq B^{\mathbf{c}}$ . Since  $A \notin fin(S), Q \notin fin(S)$ , i.e.  $B^{\mathbf{c}} \notin immune(\mathcal{F}(A^{\mathbf{c}})^{\mathbf{co}})$ .
- (2) Consider  $B \in \operatorname{core}(A, \mathcal{F})$ . Then by definition  $B \notin \operatorname{fin}(S)$  and  $B \subseteq A^{\mathbf{c}}$ . Now, suppose that B is not a proper  $\mathcal{F}$ -hardcore of  $A^{\mathbf{c}}$ . Then  $Q \in \mathcal{F}(A^{\mathbf{c}})$  exists with  $B \cap Q \notin \operatorname{fin}(S)$ . Clearly,  $B \cap Q \subseteq Q \subseteq A^{\mathbf{c}}$ . Moreover,  $A \subseteq Q^{\mathbf{c}}$  and  $Q \in \mathcal{F} = \mathcal{F}^{\operatorname{dc}}$ . Hence  $(A, B \cap Q) \in \operatorname{promise}(\mathcal{F})$ , a contradiction to  $B \in \operatorname{core}(A, \mathcal{F})$ . Conversely, consider a proper  $\mathcal{F}$ -hardcore B of  $A^{\mathbf{c}}$  and suppose, that  $B \notin \operatorname{core}(A, \mathcal{F})$ , i.e.  $(A, B') \in \operatorname{promise}(\mathcal{F})$  for some  $B' \subseteq B$  with  $B' \notin \operatorname{fin}(S)$ . Then  $Q \in \mathcal{F}^{\operatorname{dc}}$  exists with  $A \subseteq Q$  and  $B \subseteq Q^{\mathbf{c}}$ . Since  $B' \subseteq B \cap Q^{\mathbf{c}} \notin \operatorname{fin}(S)$  and  $B \cap Q^{\mathbf{c}} \subseteq A^{\mathbf{c}}$ , B is not a proper  $\mathcal{F}$ -hardcore of  $A^{\mathbf{c}}$  and we get a contradiction.

In [2] Book and Du characterize hard cores in the following way:

**Theorem 7.3** ([2]). Let  $\mathcal{F}$  be a denumerable set family and  $A \notin fin(S)$ . Then a proper  $\mathcal{F}$ -hardcore B of A exists if and only if  $A \notin \mathcal{F}^u \oplus fin(S)$ .

Furthermore they achieved the existence of recursive hard cores under the following conditions:

**Theorem 7.4** ([2]). If  $\mathcal{L}$  is WP-recursive, closed under finite variation and  $\mathcal{L} = \mathcal{L}^u$ , then for any  $A \in \mathcal{L}_{rec}(X) \setminus \mathcal{L}$ , a proper  $\mathcal{L}$ -hardcore  $B \in \mathcal{L}_{rec}(X)$  of A exists.

Combining Lemma 7.2 with Theorem 7.4 we obtain

**Theorem 7.5.** If  $\mathcal{L}$  is WP-recursive and  $\mathcal{L} = \mathcal{L}^b$ , then for any  $A \in \mathcal{L}_{rec}(X) \setminus \mathcal{L}$ :  $core(A, \mathcal{F}) \cap \mathcal{L}_{rec}(X) \neq \emptyset$ .

#### 8. Concluding remarks

It is natural, to consider n-dimensional promise problems  $(A_1, \ldots, A_n)$  with  $A_i \cap A_j = \emptyset$  and  $A_i \subseteq S$  for  $1 \le i \ne j \le n$ . For a set family  $\mathcal{F}$  the promise problem is solvable if a partition  $(Q_1, \ldots, Q_n)$  of S exists with  $A_i \subseteq Q_i, Q_i \in \mathcal{F}(1 \le i \le n)$ . For n > 2 cores of unsolvability can be characterized by cohesiveness of  $A_1 \cup \ldots \cup A_n$ , too. But for n = 3 unsolvable promise problems exist, which have no cores of unsolvability ([1]).

We can strengthen Lemma 4.2 about the structure of cohesive sets using a much smaller family than  $\mathcal{L}_{reg}(X)$ . Let  $\mathcal{L}_{ltr}(X) = \{w_1 L_1 \cup \ldots \cup w_k L_k | k > 0, w_i \in \mathcal{L}_{ltr}(X) \}$ 

 $X^*, L_i \in \operatorname{fin}(X^*)^{\operatorname{cc}}$  for  $1 \leq i \leq k$  =  $((\operatorname{fin}(X^*)^{\operatorname{cc}})^{\operatorname{ltr}})^{\operatorname{u}}$ . Then this lemma holds for  $\mathcal{L}_{\operatorname{ltr}}(X)$ , too. Hence, Lemma 4.2 is true not only for language families  $\mathcal{L}$  containing  $\mathcal{L}_{\operatorname{reg}}(X)$ , but also for all  $\mathcal{L}$  with  $\mathcal{L}_{\operatorname{ltr}}(X) \subseteq \mathcal{L} \subseteq \mathcal{L}_{\operatorname{reg}}(X)$ . Moreover, the variation condition " $\mathcal{L} \pm \mathcal{L}_{\operatorname{reg}}(X) \subseteq \mathcal{L}$ " can be replaced by " $\mathcal{L} \pm \mathcal{L}_{\operatorname{ltr}}(X) \subseteq \mathcal{L}$ " in all results and examples involving the handling of left markers.

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