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## Colloidal and chemical stabilities of iron oxide nanoparticles in aqueous solutions: the interplay of structural, chemical and environmental drivers

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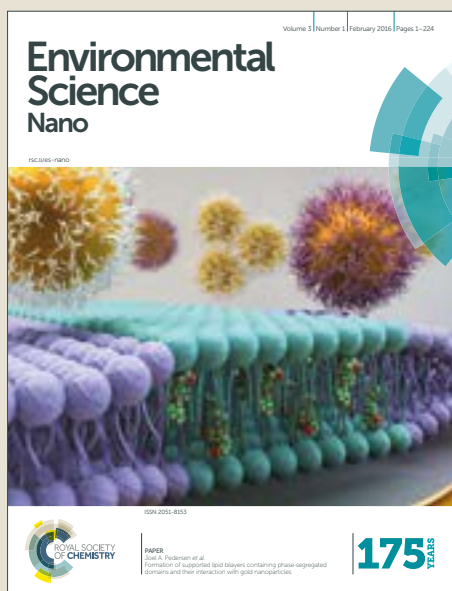
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# Environmental Science Nano

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## Environmental significance statement

Amongst engineered nanoparticles, iron oxide nanoparticles (IONPs) appear particularly relevant toward the environment. Indeed, considering their potential uses in environmental fields (water and soil depollution, agrochemistry, etc.), it becomes critical to determine their behavior and fate in the environment. Their stability and reactivity are dependent upon the environmental parameters, and both intrinsic and surficial properties of IONPs. This work focused on the aggregation behavior of IONPs (especially magnetite, maghemite, hematite), their chemical transformation (oxidation of magnetite) and the impact of a surface coating that mimic natural interactions possibly occurring in the environment. Understanding these interactions and transformations will thus bring key insights regarding the mobility, bioavailability and chemical reactivity of IONPs in prevailing environmental conditions.

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# Environmental Science: Nano

## ARTICLE

### Colloidal and chemical stabilities of iron oxide nanoparticles in aqueous solutions: the interplay of structural, chemical and environmental drivers

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Nanoparticle (NP) stability in aqueous environments is dependent upon many parameters including environmental conditions, NP concentrations as well as NP intrinsic characteristics. In this study, the effects of pH and surface modifications on the colloidal and chemical stabilities of nanosized magnetite (Fe<sub>3</sub>O<sub>4</sub>), maghemite (γ-Fe<sub>2</sub>O<sub>3</sub>) and hematite (α-Fe<sub>2</sub>O<sub>3</sub>) are investigated. Because changes in surface charge affect the size distribution of NP, pH plays a key role in driving the colloidal stability. More NP aggregation is observed at pH values close to the pH of zero point of charge (pHzpc). Coating of magnetite with humic acid (HA) and phosphatidylcholine (PC) affects the electrostatic interactions and then the colloidal behavior of NP. The rapid transformation of magnetite into maghemite through air oxidation results in significant modification of both surface charge and specific surface area of NP. Because the maghemite almost exclusively formed μm-scale aggregates, the colloidal stability of magnetite is expected to be hindered in oxic environments. For hematite, the particle size distribution data emphasize the influence of both pH and intrinsic surface properties in colloidal stability. These findings may have strong implications for an accurate prediction of the transformation and mobility of Fe-nanoparticles under environmentally relevant conditions and thus their fate in nature.

## 1 Introduction

2 Understanding the prevailing processes controlling chemical  
3 exchanges at soil/water interfaces is an environmental priority with  
4 regards to their impacts on both ecosystems and soil services, as  
5 well as water resources. Iron (Fe) oxides are ubiquitous minerals  
6 constituent of soils, sediments, aquifers and geological materials<sup>1,2</sup>.  
7 Their origin is also anthropogenic as they are increasingly used in  
8 various fields such as for industrial, medical and environmental  
9 purposes<sup>3-6</sup>. Iron oxides nanoparticles (NP) respective stability,  
10 specific surface area, porosity, dissolution rate as well as  
11 transformation kinetics are controlled by their mineral structure<sup>7</sup>.  
12 and determine their fate and behaviour in the environment<sup>7</sup>.  
13 Amongst them, iron oxide nanoparticles, which also occur as  
14 ubiquitous phases in both soils and waters, play a critical role in the  
15 chemical dynamics resulting from environmental condition changes  
16 since nano minerals are highly reactive<sup>8,9</sup>. In the natural conditions,  
17 only a small fraction of engineered iron oxide NP persists in its  
18 original form<sup>10</sup>. Biotransformation, oxidation/reduction, dissolution,  
19 precipitation, sorption and photochemical transformation may  
20 occur amongst other biogeochemical driven processes<sup>11,12</sup>. In turn,  
21 this transformation can affect the aggregation, mobility,

25 biointeractions, uptake and fate of iron oxide NP in the  
26 environment as well as their impacts on the living environment<sup>13</sup>.

27 Engineered iron oxide NP will become new tools for the treatment  
28 of contaminated waters and soils<sup>14,15</sup>. As compared to conventional  
29 macroscale materials, nanomaterials exhibit a high surface-to-  
30 volume ratio and high reactivity. Thus, their colloidal size may give  
31 them high mobility in porous media and their properties allow them  
32 to be particularly suitable for the treatment of contaminated soils  
33 or aquifers. Iron oxide NP are involved in (i) the dechlorination of  
34 organic solvents (CCl<sub>4</sub>, C<sub>2</sub>Cl<sub>4</sub>), (ii) the detoxification of pesticides  
35 (such as Lindane, DDT), (iii) the transformation of fertilizers (NO<sub>3</sub>),  
36 and (iv) the immobilization of metals and metalloids (As, Pb, Cr,  
37 etc.)<sup>16-18</sup>. If remediation projects using iron oxide NP are currently  
38 focused on local-scale pollution, a scale change is initiated to act  
39 against diffuse pollution. Moreover, their use in nano-  
40 agrochemistry could be developed in the years ahead and would  
41 represent an intentional diffuse source of iron oxide NP within the  
42 environment<sup>19</sup>. A widespread use of iron oxide NP for *in situ*  
43 remediation or in agriculture also raises the question of their  
44 environmental compatibility.

45 Amongst engineered iron oxide NP of environmental concern,  
46 magnetite (Fe<sub>3</sub>O<sub>4</sub>), maghemite (γ-Fe<sub>2</sub>O<sub>3</sub>) and hematite (α-Fe<sub>2</sub>O<sub>3</sub>) are  
47 popular engineered iron oxide NP because of their biocompatibility  
48 and safety towards living organisms under certain conditions<sup>20-22</sup>. In  
49 particular, magnetite has shown an outstanding applicability in  
50 many research areas (e.g., semiconductor, magnetic resonance  
51 imaging, pigment, biomedicine, drug delivery, heterogeneous

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52 catalysis, and environmental remediation)<sup>23, 24, 25</sup> due to its unique<sup>105</sup> In the environment, soils and soil solutions are prior interfaces  
53 magnetic properties and easy manipulation for control of<sup>106</sup> for iron oxide NP interactions<sup>46</sup> occurring at mineral surfaces and in  
54 morphologies, particle size, and Fe<sup>II</sup>/Fe<sup>III</sup> stoichiometry<sup>26, 27</sup>.<sup>107</sup> water. In addition to particle interactions, NP are prone to various  
55 However, determination of magnetite compositions which is highly<sup>108</sup> chemical and physical modifications which foster the complexity of  
56 sensitive to the preparation conditions is not a trivial task,<sup>109</sup> the reactions and likely affect both NP stability and reactivity.  
57 particularly for nanoscale particles with a higher surface-to-volume<sup>110</sup> Electrostatic, steric or combined electrosteric forces can result from  
58 ratio. Magnetite compositions range, without modification of the<sup>111</sup> these interactions and promote repulsive forces whereas Van der  
59 crystal structure, from that of stoichiometric Fe<sub>3</sub>O<sub>4</sub>, with 8 Fe<sup>3+</sup> ions<sup>112</sup> Waals and magnetic dipolar interactions foster attraction between  
60 in tetrahedral and 8 Fe<sup>2+</sup> + 8 Fe<sup>3+</sup> ions in octahedral sites, to that of<sup>113</sup> particles that likely aggregate. The intrinsic physicochemical  
61 maghemite γ-Fe<sup>III</sup> O<sub>3</sub> (considered as an extreme example of a non-<sup>114</sup> properties of iron oxide NP (size, shape, surface area, chemistry,  
62 stoichiometric magnetite) with only Fe<sup>3+</sup> ions in both tetrahedral<sup>115</sup> superparamagnetism, etc.), their ensuing high reactivity, together  
63 and octahedral sites. As compared to these iron oxides, hematite<sup>116</sup> with the surrounding solution composition (dissolved species, pH,  
64 has only one type of cation, Fe<sup>3+</sup> in its structure<sup>28, 29</sup>.<sup>117</sup> ionic strength, nanoparticle concentration), are the main drivers of

65 In aqueous solution, iron oxides (IO) are amphoteric solids that<sup>118</sup> iron oxide NP chemical and colloidal stability in aqueous media<sup>47, 48</sup>.  
66 acquire a surface charge in the protonation and deprotonation<sup>119</sup> Considering the geochemical, biological and technological  
67 reactions of Fe-OH surface sites. The ensuing electrostatic, steric or<sup>120</sup> significance of iron oxide NP<sup>49</sup>, understanding the mechanisms of  
68 combined stabilization layers that develop at the surface of the<sup>121</sup> their stability may turn out to be relevant to unravel the fate and  
69 particles are significant in driving iron oxide NP colloidal stability<sup>30</sup>.<sup>122</sup> behaviour of iron oxide NP in the environment as well as their  
70 The interfacial equilibrium at the surface of iron oxide NP is thus<sup>123</sup> impacts on living organisms. Therefore, the aim of this work is to  
71 affected by the adsorption and desorption of the various<sup>124</sup> investigate the dual colloidal and chemical stability of magnetite NP  
72 constituents of the soil and soil solution in addition to the solution<sup>125</sup> in order to (i) understand the effects of their intrinsic properties  
73 pH<sup>31, 32</sup>. The surface charge of iron oxide NP is also dependent upon<sup>126</sup> (size, morphology, surface chemistry) and those of the  
74 their own structural organization such as isomorphic substitutions,<sup>127</sup> environmental drivers (especially the effect of pH) on their  
75 which give iron oxide NP permanent charges.<sup>128</sup> aggregation state, (ii) assess the oxidation kinetics of magnetite, (iii)

76 As part of the Natural Organic Matter (NOM) occurring within<sup>129</sup> investigate the link between colloidal and chemical stability of NP,  
77 soils and waters, macromolecular polyfunctional humic acid (HA),<sup>130</sup> as well as (iv) identify the resulting environmental impacts.  
78 which displays a particular affinity for metal ions and their various<sup>131</sup> Hematite has also been studied to better understand the impact of  
79 acidic functional groups (carboxylic, phenolic, carbonyl), favours the<sup>132</sup> intrinsic structural properties on iron oxide NP colloidal stability as  
80 formation of surface complexes on the Fe-OH sites of iron oxides<sup>133</sup> the structure of hematite differs from both magnetite and  
81 via chemisorption<sup>33</sup>. As a consequence, interactions between iron<sup>134</sup> maghemite. Indeed hematite NP size and morphology as well as  
82 oxide NP and HA should be further investigated. Several studies<sup>135</sup> internal crystal structure, generally confer a higher stability and  
83 have shown that, in aqueous solution, the HA coating on iron oxide<sup>136</sup> crystallinity to hematite. Last, the method we chose to study the  
84 NP likely imparts a negative charge to the nanoparticle surfaces,<sup>137</sup> iron oxide NP aggregation state allowed us to study their size  
85 increasing their surface potential and the ensuing propensity to<sup>138</sup> distribution over a wide range of sizes (that spread from one tenth  
86 stabilize iron oxide NP against aggregation<sup>34-36</sup>. Nevertheless, Hadju<sup>139</sup> of a nm to μm-scale aggregates) which allowed us to determine NP  
87 et al.<sup>37</sup> demonstrated that coating magnetite with HA could either<sup>140</sup> behavior in a context comparable to those observed in natural  
88 foster or hinder Fe<sub>3</sub>O<sub>4</sub>-NP colloidal stability depending on the<sup>141</sup> waters.

89 amount of HA present at the mineral surfaces. Other natural  
90 constituents such as amphiphilic phospholipids (integral major

91 component of cell membranes) likely affect iron oxide NP stability.<sup>142</sup> **Experimental**

92 Using phospholipids as a coating on pyrite surfaces, Hao et al.<sup>38</sup> **Iron oxide nanoparticles and coated magnetite NP**

93 demonstrated that surface-bound lipids inhibit the iron core from<sup>144</sup> **Synthesis of bare iron oxide NP.** Magnetite (Fe<sub>3</sub>O<sub>4</sub>) was  
94 oxidizing, even in the presence of bacteria, by forming a<sup>145</sup> prepared by the co-precipitation of iron salts according to the  
95 hydrophobic pocket<sup>38, 39</sup>. In addition, several authors have shown<sup>146</sup> modified literature procedure<sup>50, 51</sup>. In brief, FeCl<sub>2</sub>·4H<sub>2</sub>O (1.988 g)  
96 that a phospholipid coating likely decreases nanoparticle size<sup>147</sup> and FeCl<sub>3</sub>·6H<sub>2</sub>O (5.406 g) were dissolved in 5 mL HCl (2 M) and 20  
97 dispersion and favours narrow-sized nanoparticles<sup>40, 41</sup>.<sup>148</sup> mL H<sub>2</sub>O, respectively, and then mixed with magnetic stirring to  
98 Furthermore, the instability of magnetite in air causes NP to<sup>149</sup> obtain an iron solution with a molar ratio of Fe(II)/Fe(III) = 0.5.  
99 undergo a partial oxidation to maghemite (γ-Fe<sub>2</sub>O<sub>3</sub>), ending up with<sup>150</sup> Magnetite NP were then precipitated by the dropwise addition of  
100 a core-shell structure in which the thickness of the oxidized layer is<sup>151</sup> the iron salt solution into a 0.7 M NaOH-NaNO<sub>3</sub> base solution (250  
101 a function of the particle size<sup>42, 43</sup>. This phase transformation<sup>152</sup> mL). The black precipitate was left to settle in anaerobic conditions  
102 directly affects the surficial properties and internal characteristics<sup>153</sup> for a few hours to get rid of the supernatant. Three washings were  
103 of NP, which are deeply involved in driving iron oxide chemical<sup>154</sup> then conducted in anaerobic conditions with deoxygenated  
104 stability and reactivity<sup>44, 45</sup>.<sup>155</sup> deionized water and 5 10<sup>-3</sup> M NaCl solution.

156 Maghemite ( $\gamma$ - $\text{Fe}_2\text{O}_3$ ) was synthesized following the method of 209 re-solubilized in 0.37 M  $\text{HNO}_3$  after complete evaporation before  
157 Anna et al.<sup>52</sup>, through the oxidation of the previously synthesized 210 measurement. Major- and trace-element concentrations were  
158 magnetite by adding 5 wt%  $\text{NaOCl}$  aqueous solution (16 mL) and 211 determined by ICP-MS (Agilent 7700x), using rhenium and rhodium  
159 sonicating for 2 hours. The solid product was magnetically 212 as the internal standard. The international geostandard SLRS-5 was  
160 separated and washed with water. 213 used to check the validity and reproducibility of the results<sup>53</sup>.

161 Hematite ( $\alpha$ - $\text{Fe}_2\text{O}_3$ ) was synthesized by the forced hydrolysis of acid 214

162 Fe(III) salt solutions at 98°C from 0.02 M  $\text{Fe}(\text{NO}_3)_3$  following the 215 **Characterization**

163 protocol described in Schwertmann & Cornell (2003)<sup>1</sup>. 216

**Size, morphology and surface properties.** The morphology and

164 **Coatings with humic acid and phosphatidylcholines.**  $\text{Fe}_3\text{O}_4$ -NP 217 individual particle size of  $\text{Fe}_3\text{O}_4$ , HA- $\text{Fe}_3\text{O}_4$ , PC- $\text{Fe}_3\text{O}_4$ ,  $\gamma$ - $\text{Fe}_2\text{O}_3$  and  $\alpha$ -  
165 were coated with HA (Elliott Soil Humic Acid Standard IV) and PC (1, 218  $\text{Fe}_2\text{O}_3$  were determined using High Resolution Transmission  
166 2-bis (10, 12-tricosadiynoyl)-sn-glycero-3-phosphocholine - CAS 219 Electron Microscopy (HR-TEM) with a JEOL2100F (voltage 200 kV).  
167 Registry Number: 76078-28-9) to model a natural surface 220 Specimens were prepared by drop-casting diluted Fe-NP  
168 modification. The coating was carried out by physical interactions 221 suspensions on 300 mesh Au-grids supported with carbon film.

169 between the dissolved organic species (HA and PC) and a 222 The specific surface area of the iron oxides was determined by  
170 concentrated fraction of the  $\text{Fe}_3\text{O}_4$ -solution. Humic acid (0.2 g) was 223 multipoint  $\text{N}_2$ -BET (Brunauer Emmett Teller) analysis using a  
171 dissolved in 10 mL  $\text{NaOH}$  (1 M) and the obtained HA-solution was 224 Coulter (SA 3100) surface area analyzer.

172 completed to 56 mL with deionized water. A similar PC-solution was 225 The pH of zero point of charge was determined by potentiometric  
173 obtained by dissolution in deionized water and ultrasonication. 226 titrations, which were conducted with 1 and 2 g  $\text{L}^{-1}$  of solid at three  
174 Forty-four mL of a 6.0 g  $\text{L}^{-1}$   $\text{Fe}_3\text{O}_4$ -NP solution was then added to 227 ionic strengths ( $10^{-2}$ ,  $5 \cdot 10^{-2}$ , and  $10^{-1}$  M of  $\text{NaCl}$ ). The suspension  
175 each organic stock solution and each 100 mL of solution was shaken 228 was purged with nitrogen gas to remove  $\text{CO}_2$  in a double-walled  
176 for 48 hours. At the end of the interaction, five washings were 229 Pyrex cell, which was kept at constant temperature by circulating  
177 performed to remove the uncoated materials present in the 230 water from thermostat. Titrations were conducted from 4 to 10 by  
178 supernatant after centrifugation. 231 addition of titrant solutions ( $\text{HCl}$  or  $\text{NaOH}$ ).

179 **Nanoparticle mass concentration measurement.** The 232 Synthesized NP were characterized using X-ray powder diffraction  
180 concentration of the iron oxide NP solution was inferred from the 233 (XRD). XRD data were collected with a Johanson monochromator  
181 ICP-MS measurements of iron on triplicate samples for the iron 234 using  $\text{CuK}_\alpha$  radiation ( $\lambda = 1.5406 \text{ \AA}$ ). The X-ray diffractograms were  
182 oxide NP solutions and then recalculated regarding the total 235 found to be identical with the expected oxides.

183 theoretical iron content of the minerals. Each NP sample was 236 Laser particle size analyses allowed the characterization of the size  
184 digested with sub-boiled nitric acid (14.6 M  $\text{HNO}_3$ ) at 85°C, and then 237 distribution of magnetite, maghemite, hematite and HA- and PC-

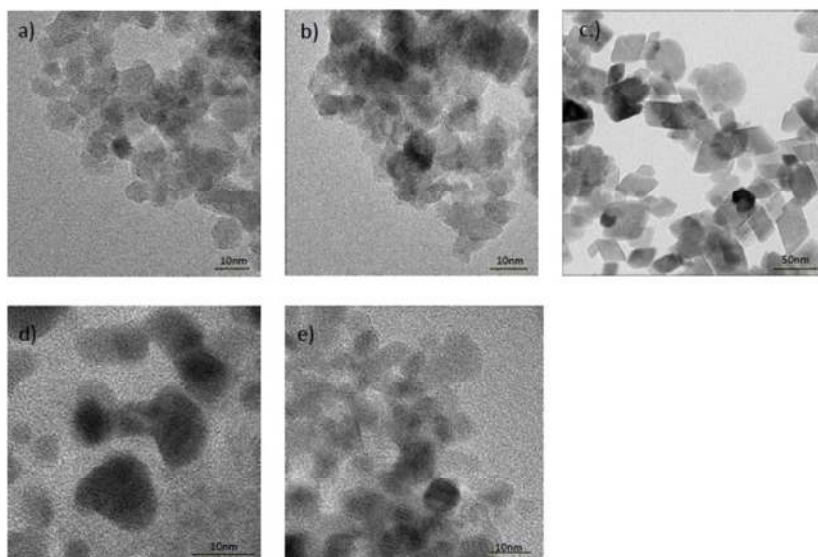


Fig. 1: High Resolution TEM (HRTEM) images of a)  $\text{Fe}_3\text{O}_4$ , b)  $\gamma$ - $\text{Fe}_2\text{O}_3$ , c)  $\alpha$ - $\text{Fe}_2\text{O}_3$ , d) HA- $\text{Fe}_3\text{O}_4$  and e) PC- $\text{Fe}_3\text{O}_4$

## ARTICLE

## Journal Name

NP	TEM (nm)	BET (m <sup>2</sup> g <sup>-1</sup> )	pHzpc
Magnetite	7 ± 2	115	5.8
Maghemite	6 ± 2	131	7-8.4
Hematite	28 ± 5 39 ± 5	49	8.2

**Table 1:** Physicochemical properties of a) Fe<sub>3</sub>O<sub>4</sub>, b) γ-Fe<sub>2</sub>O<sub>3</sub> and c) α-Fe<sub>2</sub>O<sub>3</sub> obtained from TEM, BET analyses and potentiometric titration.

coated magnetite to study the colloidal stability and, conversely, their aggregation behaviour. Assuming measurements on spherical particles, the technique provided sizes amongst the 100 size classes detected, which spread from 0.04 μm to 2500 μm. Analyses were performed by the laser diffraction technique on a Cilas1180 analyzer. Measurements were taken on each bare iron oxide (Fe<sub>3</sub>O<sub>4</sub>, γ-Fe<sub>2</sub>O<sub>3</sub> and α-Fe<sub>2</sub>O<sub>3</sub>) and coated magnetite (HA-Fe<sub>3</sub>O<sub>4</sub> and PC-Fe<sub>3</sub>O<sub>4</sub>) at five different pH values (pH = 3, 4, 5, 6, 7.5). Particle size measurements were performed by adjusting obscuration values allowing for an optimal analysis. Then, the pH was equilibrated with HCl and NaOH solutions (0.1 M and 0.1 M to 1.0 M, respectively) until the target pH was reached.

**ATR-FTIR.** Attenuated total reflectance-Fourier transform infrared (ATR-FTIR) spectra were recorded in the 780 - 1800 cm<sup>-1</sup> region on an IS50 Nicolet spectrometer equipped with a KBr beam splitter and a liquid Nitrogen cooled MCT (Mercury Cadmium Telluride) detector. A nine-reflection diamond ATR accessory (Durasamp/IR™, SensIR Technologies) was used for acquiring spectra of wet samples. The resolution of the single beam spectra was 4 cm<sup>-1</sup>. Prior to ATR-FTIR analyzes, tubes containing coated NP were centrifuged at 4110 RCF for 30 min. Wet mineral pastes were directly and uniformly applied to the diamond ATR crystal then covered with a lid of a flow-through cell to prevent the evaporation of water. ATR-FTIR spectra were then recorded immediately.

To monitor potential dissolution of NP, aliquots were collected at each pH value, centrifuged and the supernatants were filtered using 5 KDa ultrafiltration cells (from Sartorius) in order to stop NP on the filter. ICP-MS was then performed to measure total iron concentration.

**Oxidation kinetics.** A NP suspension (2.5 g L<sup>-1</sup>), prepared in anoxic conditions, was placed outside the glove box under air ambient environment to monitor the oxidation. The total Fe(II)/Fe(III) ratio in suspension was then analyzed versus time over nine days. For each sampling, two aliquots were placed in an anaerobic chamber: the first aliquot contained bulk solution and the second was filtered (0.2 μm, Whatman). NP suspension was dissolved during 12h using 0.6 N HCl while filtered NP were directly dissolved. Dissolved Fe(II) and Fe(III) concentrations were then determined using the phenanthroline method<sup>54, 55</sup> and the bulk Fe(II) content turned out to be very close to that determined by acid digestion on the filtered solid. The amount of magnetite bound-Fe(II) ([Fe(II)]<sub>bound</sub> = [Fe(II)]<sub>tot</sub> - [Fe(II)]<sub>aq</sub>) was used to calculate the effective Fe(II)/Fe(III) ratio (denoted as (Fe(II)/Fe(III))<sub>bound</sub>) in magnetite which was shown to vary with pH, as reported

in Cheng et al.<sup>56</sup>. [Fe(II)]<sub>tot</sub> is the total concentration of Fe(II) in the suspension (solid + solution) and [Fe(II)]<sub>aq</sub> is the dissolved concentration of Fe(II), measured after filtration (0.2 μm, Whatman) of the magnetite suspension.

## Results and discussion

### Iron oxide NP physicochemical properties.

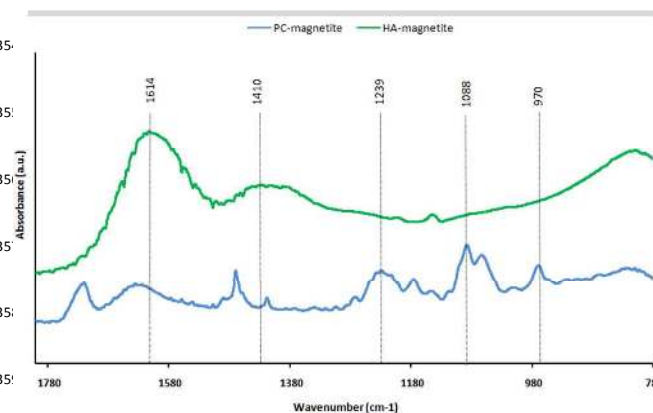
HR-TEM analyses provided images to assess the individual sizes and shapes of the nanoparticles (Fig. 1). Magnetite and maghemite oxides both displayed rounded shapes and similar sizes with average diameters of 7 ± 2 nm and 6 ± 2 nm (Table 1), suggesting that the oxidation of magnetite to maghemite did not impact the particle size. Accordingly, BET surface area for maghemite (131 m<sup>2</sup>g<sup>-1</sup>) is relatively close to that of magnetite (115 m<sup>2</sup>g<sup>-1</sup>) (Table 1). Hematite displayed different morphologies with rhombohedral shapes measuring 28 nm-wide and 39 nm-long on average, with a surface area of 49 m<sup>2</sup>g<sup>-1</sup> (Table 1). No significant changes were reported for neither HA- nor PC-coated magnetite from TEM images, suggesting that the coating process did not modify the morphology of magnetite. Although the surface area was not determined for coated materials, no significant modification is expected, according to previous findings<sup>57</sup>.

### ATR-FTIR spectroscopy

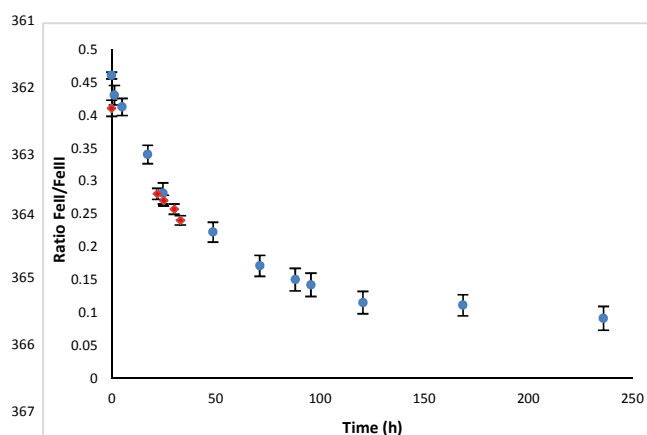
The IR spectrum recorded for of Fe<sub>3</sub>O<sub>4</sub>, HA-Fe<sub>3</sub>O<sub>4</sub> and PC-Fe<sub>3</sub>O<sub>4</sub> showed successful coating of HA and PC on magnetite surfaces (Fig. 2). In the spectra of HA-Fe<sub>3</sub>O<sub>4</sub>, the presence of an acid carboxylic group was proven by the vibrational bands at 1410 cm<sup>-1</sup> and 1614 cm<sup>-1</sup>. In the spectra of PC-Fe<sub>3</sub>O<sub>4</sub>, the absorption bands at 1239 cm<sup>-1</sup>, 1088 cm<sup>-1</sup> and 970 cm<sup>-1</sup> were assigned to the presence of the PO<sub>4</sub><sup>3-</sup> group, suggesting that PC was coated on the magnetite surface<sup>61, 62</sup>.

### Oxidation kinetics

The variation of total Fe(II)/Fe(III) ratio versus time for magnetite suspension exposed to air is characterized by a two-steps' behavior. Over the four first days (0-96 h), a sharp decrease in total



**Fig 2:** ATR-FTIR spectra of HA-magnetite and PC-magnetite in the range 780-1800 cm<sup>-1</sup> obtained from 0.5 g L<sup>-1</sup> NP suspensions at pH = 6.



**Fig 3:** Evolution of the total Fe(II)/Fe(III) ratio (blue circles) and bound Fe(II)/Fe(III) ratio (red diamond) as a function of time. The reaction was performed with  $2.5 \text{ g L}^{-1}$  magnetite NP at pH = 7.5 in oxic conditions.

Fe(II)/Fe(III) ratio was observed (from 0.46 to 0.09). During this first stage, the bound Fe(II)/Fe(III) ratio was also decreased for magnetite as represented by red symbols in Figure 3. Then, the total Fe(II)/Fe(III) ratio slightly decreased beyond 5 days to reach 0.09 at the end of the experiment (236 h). As expected from the magnetite solubility at pH = 7.5<sup>1</sup>, very little difference was observed between the total and bound Fe(II)/Fe(III) ratios.

### Aggregation state

To assess the effect of pH and the effects of coating, laser diffraction analyses were performed on each iron oxide ( $\text{Fe}_3\text{O}_4$ , HA- $\text{Fe}_3\text{O}_4$ , PC- $\text{Fe}_3\text{O}_4$ ,  $\gamma\text{-Fe}_2\text{O}_3$  and  $\alpha\text{-Fe}_2\text{O}_3$ ) at five different pH values. For all experiments, the aggregation state was associated with the size distribution of the NP, which was described by considering the evolution of the sizes (based on number analyses) with the pH, occurrence rate and the polydispersity of the sizes (PDI, size range, mode and mean) of each iron oxide NP.

**Effects of pH.** The impact of pH on particle size distribution provides valuable information that could be used to distinguish the colloidal stability from the aggregation state of the various NP (Table 2). The different pH-dependent configurations highlighted the role of pHzpc in controlling NP aggregation through electrostatic interactions (Fig. 4). The effect of pH was studied by considering the evolution of the size distributions into four size classes (Fig. 4). The fractions were defined with regards to the most common sizes (representability) and depending on the way they vary with pH. However, it should be noted that fractions are not fully comparable to one another, as they were not spread out equally (i.e. fraction  $>0.4 \mu\text{m}$  ( $\mu\text{m}$ -scaled size class) as compared to 40-100 nm fraction (nm-scaled sizes)). The full-size distribution diagrams obtained from laser particle analyses as well as the precise occurrence rates (detectors) are provided in the Electronic Supporting Information (ESI).

It is worth noting that the total dissolved iron content was only detected in the magnetite suspension at pH = 3 and pH = 4 (90 and 23  $\mu\text{mol L}^{-1}$  respectively). These values correspond to 1.4 % and 0.4 %, respectively, of the total iron amount in NP suspension. For HA- and PC-magnetite samples, no dissolved iron was detected regardless of the investigated pH.

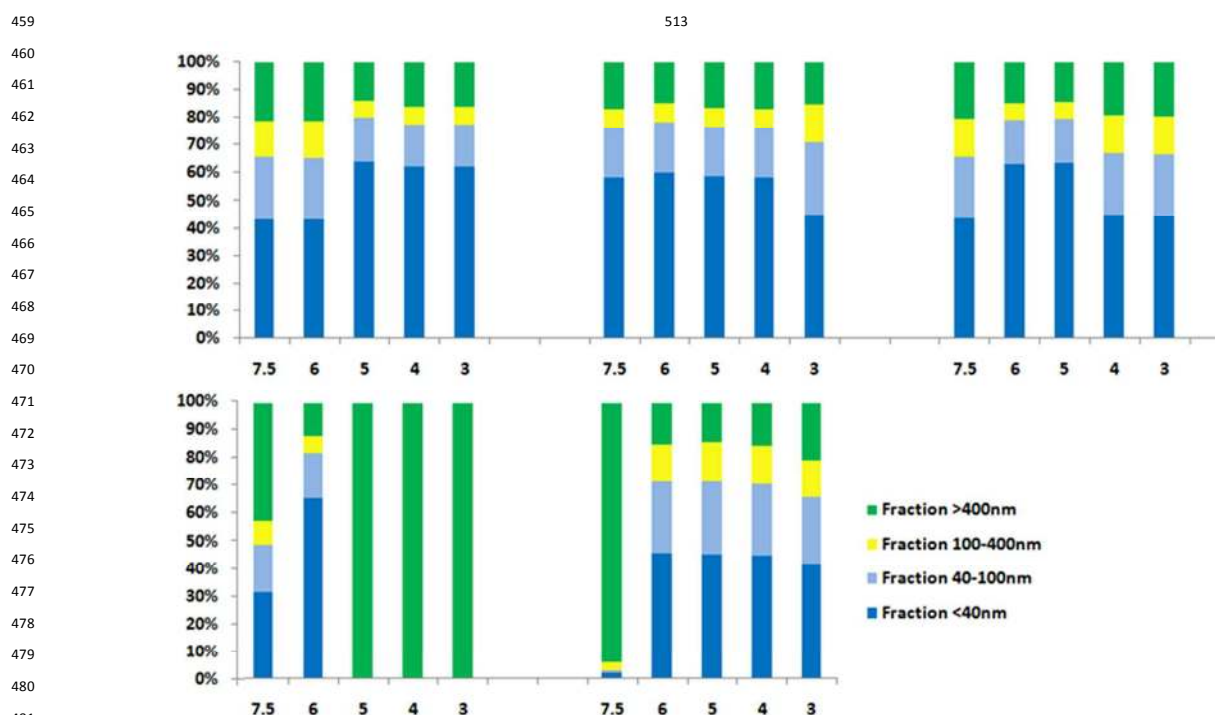
**Magnetite.** The NP size distribution displayed two configurations: at pH = 5, 4 and 3, magnetite NP showed colloidal stability as compared to their size distribution at pH = 6 and 7.5. Magnetite size distribution at acidic pH was characterized by a high proportion of small NP ( $\leq 0.04 \mu\text{m}$ ), and the occurrence of few dispersed  $\mu\text{m}$ -scaled aggregates (with sizes ranging between 1.6  $\mu\text{m}$  and 32  $\mu\text{m}$ ). Such distribution allowed a large amount of NP not to sediment, as defined by Buffle et al.<sup>63</sup>. When the pH increased to 6 and 7.5, the number of coarser 100-400 nm and 40-100 nm-sized aggregates increased as the proportion of small aggregates decreased. This is consistent with the measured pHzpc = 5.8 (in accordance with previously published values<sup>4</sup>), since aggregation is commonly favoured at pH close to the pHzpc. Therefore, particles exhibiting negative charges likely formed coarser aggregates when the pH approached the pHzpc because of favorable electrostatic interactions<sup>64</sup>.

pH	Magnetite		HA-Magnetite		PC-Magnetite			Maghemite			Hematite	
	6	5	4	3	7.5	5	4	7.5	6	5	7.5	5
Range ( $\mu\text{m}$ )	0.04 - 0.4; 1.6 - 32	0.04 - 0.4; 1.6 - 32	0.04 - 18	0.04 - 0.4; 1.1 - 19	0.04 - 0.4; 1.2 - 45	0.04 - 0.4; 1.1 - 40	0.04 - 0.4; 1.6 - 56	0.04 - 0.4; 1.6 - 28	0.04 - 0.4; 1.6 - 28	0.5 - 0.7; 1.1 - 28	0.04 - 6	0.04 - 0.4; 1.1 - 12
Mode ( $\mu\text{m}$ )	0.04	0.04	0.04	0.04	0.04	0.04	0.04	0.04	0.04	8	1.4	0.04
Mean ( $\mu\text{m}$ )	1.3	0.9	0.4	0.6	1.1	0.8	1.3	4.1	1.1	6.8	1.3	0.5
Median ( $\mu\text{m}$ )	0.07	0.04	0.04	0.07	0.07	0.04	0.07	0.2	0.04	7	1.2	0.04
PDI	1.38	2.74	13	5	1.9	3.4	1.3	0.56	2.06	0.72	4.8	7.6

**Table 2:** Size distribution characteristics of each NP at some typical pH (aggregation state versus colloidal stability). The table shows the size-range, mode, mean size, median value and the PDI (polydispersity index) of magnetite, HA-coated magnetite, PC-coated magnetite, maghemite and hematite. High PDI have values up to 1.0 and very high PDI are up to 2.0.

458





**Fig 4:** Evolution of four typical size-class (proportion in %) with pH for a) magnetite, b) HA-magnetite, c) PC-magnetite, d) maghemite and e) hematite. Below the histograms, the table displayed the percentages of each fraction for typical pH (3, 4, 5, 6 and 7.5).

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485 **HA-Magnetite.** HA-magnetite nanoparticles displayed both a <sup>539</sup>Maghemite. The size distribution displayed three configurations as  
486 continuous size distribution (pH = 4 to pH = 7.5) and a dual size <sup>540</sup>distinct fractions whose proportions were strongly controlled by the  
487 distribution (pH = 3). In both cases, fraction below 0.04  $\mu\text{m}$  was <sup>541</sup>pH. At a weak basic pH (pH = 7.5), the distribution was highly  
488 more important but their proportion decreased as the pH <sup>542</sup>polydispersed and made up of coarse aggregates (>0.4  $\mu\text{m}$ ), which  
489 decreased from pH = 4 to 3. This decrease was accompanied by a <sup>543</sup>spread over a large size range. Maghemite NP displayed colloidal  
490 slight increase in 40–100 nm-sized fraction and an increase in the <sup>544</sup>stability at pH = 6 with a size distribution similar to that of  
491 proportion of coarser intermediate 100–400 nm NP size-class, which <sup>545</sup>magnetite. However, when the pH decreased to a more acidic pH  
492 appeared to be more dependent upon pH than other fractions. The <sup>546</sup>(pH = 5, 4 and 3), small-sized NP and NP aggregates disappeared to  
493 occurrence rate of the coarse aggregate fraction (>0.4  $\mu\text{m}$  and >1.1 <sup>547</sup>form much coarser aggregates with almost exclusively  $\mu\text{m}$ -scale  
494  $\mu\text{m}$ ) remained constant regardless of the pH, hence a lower pH <sup>548</sup>sizes (>1  $\mu\text{m}$ ). Thus, the maghemite size distribution would be in  
495 implied a moderated aggregation with small ( $\leq 0.04$   $\mu\text{m}$ ) particles <sup>549</sup>good agreement with a high pH  $\text{pH}_{\text{zpc}}$  close to 7.5<sup>67,68</sup>, as it became  
496 aggregating in only slightly coarser (0.05–0.4  $\mu\text{m}$ ) aggregates. Thus <sup>550</sup>coarser than at pH = 6, but the high aggregation observed from pH  
497 in HA-coated iron oxide NP, HA appeared to be an effective organic <sup>551</sup>= 3 to 5 suggested the possible implication of parameters other  
498 stabilizer towards iron oxide NP as previously demonstrated<sup>65,66</sup>. <sup>552</sup>than electrostatic interactions to drive maghemite colloidal  
499 **PC-Magnetite.** PC-magnetite nanoparticles highlighted two size <sup>553</sup>stability.  
500 distribution depending on the pH. At pH = 6 and 5, the size <sup>554</sup>Hematite. The hematite size distribution showed two pH-  
501 distribution was similar to that of bare magnetite at acidic pH (pH = <sup>555</sup>dependent size distribution patterns. At pH = 7.5, the sizes were  
502 3 and pH = 4) where the colloidal stability displayed its maximum. <sup>556</sup>continuously distributed with a unique narrow size range composed  
503 At pH = 7.5, 4 and 3,  $\text{Fe}_3\text{O}_4$ -PC showed a similar size distribution to <sup>557</sup>of coarse homogeneous sizes displaying high polydispersity  
504 bare magnetite at pH >6, when it aggregated. When the pH <sup>558</sup>(multimodal distribution centered on 1.4  $\mu\text{m}$ ). When the pH  
505 decreased to pH = 4 and pH = 3, small aggregates ( $\leq 0.04$   $\mu\text{m}$ ) were <sup>559</sup>decreased to pH = 6 and lower, the pH drastically modified the  
506 still the most common but in a lower proportion which was <sup>560</sup>hematite size distribution to a more stable aggregation state<sup>69</sup>  
507 compensated by a higher amount of the three other coarser size <sup>561</sup>characterized by a monomodal distribution (0.04  $\mu\text{m}$ ) and higher  
508 fractions (in order: 40–100 nm; >1.6  $\mu\text{m}$  and 100–400 nm). Although <sup>562</sup>abundance of finer particles (<0.4  $\mu\text{m}$ ). In accordance with the  
509 100–400nm-sized aggregates were still the least common, this <sup>563</sup>hematite  $\text{pH}_{\text{zpc}}$  at pH = 8.2, hematite NP tended to aggregate when  
510 fraction was the most sensitive to pH as its proportion more than <sup>564</sup>the pH reached pH = 7.5.

511 doubled from pH 6 and 5 to pH 4 and 3.

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567 that HA have been successfully coated onto magnetite NP, displaying coarse aggregates with homogeneous sizes suggests that  
568 According to HR-TEM images, HA coating on magnetite did not other mechanisms are involved at basic pH. Further investigations  
569 affect the original morphology and size of the precursor NP. As the are thus needed to fully assess iron oxide NP colloidal stability<sup>74-76</sup>.  
570 surface modification with HA provided primarily acidic functional **Environmental perspectives.** Aggregation and stabilization have  
571 groups, the HA-coated magnetite pHzpc likely decreased to a lower significant effects on the mobility, reactivity and environmental fate  
572 pH (pHzpc = 2.3). Accordingly, magnetite-HA promoted colloidal of NP. Once released in surface waters, unstable particles tend to  
573 stability from pH = 7.5 to pH = 4 because of repulsive electrostatic agglomerate, sediment or flocculate or they preferentially adsorb  
574 interactions. The aggregation observed at pH = 3 - close to the new onto bigger particles or surfaces<sup>77</sup>. As a result, aggregated NP that  
575 pHzpc - would then be explained by the lack of negative surface form larger colloids with a possible smaller surface area are less  
576 sites to compensate for the protonation induced by the H<sup>+</sup> easy to transport and do not get through the soil as easily as smaller  
577 concentration increase in the aqueous solution. Both HA and bare particles<sup>78</sup>. As aggregated NP become less mobile, they may  
578 magnetite evidenced that the proportion of their size- fractions was become more ineffective in acting as nutrient carriers<sup>79</sup>. It is also  
579 strongly controlled by the pH, which induced either colloidal more difficult for these colloids to be taken up by plants and living  
580 stability or aggregation. Nevertheless, HA coating promoted organisms as they are less soluble than their smaller counterparts<sup>80</sup>.  
581 smaller-sized aggregates as well as a narrower size distribution and The dissolution of NP induces the mobilization of ions which are  
582 more homogeneous stable sizes (especially for coarser particles likely complexed with molecules that foster their absorption by  
583 (>0.4  $\mu\text{m}$ ) compared to bare magnetite (Table 2). This enhanced plants and animals. In addition, depending on their concentration  
584 stabilization may stem from a HA steric barrier that helped maintain and speciation as well as on the physiology of the organism that  
585 a space between the particles to prevent the aggregation of NP<sup>70,71</sup>. absorbs it, metal atoms or ions can be either positive nutrients or  
586 Similar to the HA coating, the PC surface modification did not affect toxic elements. On the other hand, aggregation may directly affect  
587 the size and morphology of magnetite. Magnetite coated with PC NP toxicity towards living organisms since smaller NP have been  
588 displayed a different colloidal behaviour as compared to bare shown to penetrate the cell walls of certain species more easily<sup>81, 82</sup>.  
589 magnetite. As PC imparted both negative and positive surface Considering the pH effect, the physicochemical properties of the  
590 groups on the surfaces of the NP (glycerophosphate group, soil solution have to be considered in order to accurately assess the  
591 trimethylethanolammonium group), and because of the complex fate of NP in the environment. NP intrinsic properties, such as their  
592 and heterogeneous surface structures that are generated by surface chemistry, are also of prime importance since they are  
593 adsorbed PC<sup>72</sup>, PC-coated nanoparticles likely displayed variable involved in both electrostatic and steric interactions, which may  
594 colloidal behaviours. As compared to magnetite, PC-NP showed impact their dispersion, bioavailability and biocompatibility<sup>83</sup>. In  
595 similar size proportions and repartition although the magnetite-PC natural waters and surficial aerobic environments, NP likely  
596 coarse fraction displayed a few large aggregates (hence its wider undergo many surficial modifications and become embedded in  
597 size range, see Table 2) regardless of the pH. PC-NP were thus matrixes or functionalized with specific molecules<sup>84</sup>. As a result,  
598 probably able to form highly contrasted structures (according to these attached molecules can both passivate and/or confer their  
599 their size) suggesting that PC-coated magnetite did not entirely own properties to mineral surfaces; e.g. polyanionic HA coating on  
600 depend on electrostatic interactions but also on steric forces<sup>72</sup>. magnetite, resulting in a lower pHzpc and enhanced colloidal  
601 **Effects of iron oxide intrinsic physicochemical properties.** The stability. In addition, HA coating - as well as PC covering - might  
602 oxidation of magnetite ( $\text{Fe}_3\text{O}_4$ ) to maghemite ( $\gamma\text{-Fe}_2\text{O}_3$ ) did not passivate iron oxide NP surfaces to yield a steric barrier that  
603 change morphology and particle size. Maghemite still displayed a prevents Fe leakage from mineral surfaces and the ageing of the  
604 higher surface area ( $131 \text{ m}^2 \text{ g}^{-1}$ ) than magnetite ( $115 \text{ m}^2 \text{ g}^{-1}$ ) and magnetic core by oxidation. HA, which are ubiquitous in most  
605 oxidized NP very likely yielded intrinsic compositional differences. aquatic systems, display a specific affinity for iron oxide NP as well  
606 These modifications probably resulted in a higher surface potential as trace elements and therefore HA-iron oxide NP complexed  
607 thereby explaining the variable size distributions observed as the structures may also enhance ETM adsorption to NP. However,  
608 pH changed. Maghemite NP aggregation was favoured at acidic pH although natural coatings demonstrated improved iron oxide NP  
609 (equal or below 5), which would be in good agreement with their stability and reactivity, their effects depend upon the amount and  
610 high surface potential. Indeed, the tendency to form large chemical composition of the substances<sup>4</sup>.  
611 aggregates at these pH values likely resulted from a high energetic The rapid oxidation of magnetite into maghemite is important since  
612 barrier that had to be overcome at the mineral surfaces<sup>73</sup>. Indeed, iron plays an active redox catalytic role in many energy transfer and  
613 increasing NP surface to volume ratio leads to a higher surface electron transfer processes<sup>85-87</sup>. The iron oxide redox state also  
614 energy which induces aggregation between particles. As hematite plays a key role in specific anaerobic environments where Fe(II) and  
615 displayed a much lower surface area, the higher colloidal stability Fe(III) are used as energetic catalysts for bacteria<sup>88, 89</sup>. Finally, the  
616 observed over a wide pH range may result from a lower energetic transformation of magnetite into maghemite is also relevant  
617 barrier at the surface of the particles in accordance with NP having considering its adsorption capacity towards environmental  
618 a relatively lower surface potential. Hematite stability would thus compounds<sup>90</sup>. Several questions are raised from these  
619 be related, in part, to its smaller surface area and surface potential. environmental considerations, especially, what are the impacts of  
620 However, the typical aggregation behaviour of hematite NP these modifications regarding iron oxide NP reactivity?

## ARTICLE

## Journal Name

675 **Conclusions**

676 The pH appears to be a key driver in controlling iron oxide NP  
677 colloidal behaviour as resulting from protonation and  
678 deprotonation reactions of surface hydroxyl-groups. Surface  
679 modifications also implied considerable effects on iron oxide NP  
680 colloidal stability as they promoted electrostatic interactions. In  
681 contrast to PC, HA was shown to be particularly efficient in  
682 enhancing magnetite colloidal stability. Magnetite seems to be  
683 highly unstable in aerobic conditions. The oxidation of magnetite  
684 into maghemite modified its surface chemistry and surface area,  
685 and these modifications resulted in higher aggregation at most pH  
686 values. Compared to maghemite, hematite appeared to be less  
687 sensitive to pH and displayed a lower surface area and surface  
688 potential. Both hematite and maghemite displayed high  
689 aggregation size distributions at different pH values.

690 Considering the widespread use of iron oxide NP and their  
691 subsequent release in the environment, their fate and behaviour in  
692 soils and natural waters raise many environmental questions not  
693 only in terms of their possible impacts on living organisms but also  
694 for their mobility and fate in ecosystems. Therefore, more attention  
695 should be paid for the understanding of colloidal and chemical  
696 stabilities of iron oxide NP as it is likely involved in many major  
697 interactions within the environment.

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