

## Colloquium: The physics of Maxwell’s demon and information

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Maxwell’s demon was born in 1867 and still thrives in modern physics. He plays important roles in clarifying the connections between two theories: thermodynamics and information. Here the history of the demon and a variety of interesting consequences of the second law of thermodynamics are presented, mainly in quantum mechanics, but also in the theory of gravity. Also highlighted are some of the recent work that explores the role of information, illuminated by Maxwell’s demon, in the arena of quantum-information theory.

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### I. INTRODUCTION

The main focus of this paper is the second law of thermodynamics in terms of information. There is a long history concerning the idea of information in physics, especially in thermodynamics, because of the significant resemblance between the information theoretic *Shannon entropy* and the thermodynamic *Boltzmann entropy*, despite the different underlying motivations and origins of the two theories [see, for example, [Leff and Rex \(2003\)](#), and references therein]. A definitive discovery in this context is Landauer’s *erasure principle*, which clearly asserts the relationship between information and physics. As we show below, having been extended to the quantum regime by [Lubkin \(1987\)](#), it has proven useful in understanding the constraints on various information processing tasks from a physical point of view.

Here we review the background on the correspondence between information and physics, in particular from the point of view of thermodynamics. Starting with the classic paradox of Maxwell’s demon, we discuss the erasure principle from the perspectives that will be of interest to us in later sections. Then we review a variety of consequences of the second law, mainly in quantum mechanics, and also briefly in the theory of gravity. These are thought provoking because the second law of thermodynamics is a sort of meta-rule, which holds re-

regardless of the specific dynamics of the system we look at. Besides, the fundamental postulates of quantum mechanics, as well as general relativity, do not presume the laws of thermodynamics in the first place. After reviewing these classic works and appreciating how powerful and how universal the second law is, we discuss some of the recent progress concerning the intriguing interplay between information and thermodynamics from the viewpoint of quantum-information theory.

## II. MAXWELL'S DEMON

### A. The paradox

A character who has played an important role in the history of physics, particularly in thermodynamics and information, is Maxwell's demon. It was first introduced by Maxwell in 1871 (Maxwell, 1911) to discuss the "limitations of the second law of thermodynamics," which is also the title of a section in his book. The second law (in Clausius's version) states (Pippard, 1957): "It is impossible to devise an engine which, working in a cycle, shall produce no effect other than the transfer of heat from a colder to a hotter body." Maxwell devised his demon in a thought experiment to demonstrate that the second law is only a statistical principle that holds *almost* all the time, and not an absolute law set in stone.

The demon is usually described as an imaginary tiny being that operates a tiny door on a partition which separates a box into two parts of equal volumes, the left and the right. The box contains a gas which is initially in thermal equilibrium, i.e., its temperature  $T$  is uniform over the whole volume of the box. Let  $\langle v \rangle_T$  denote the average speed of molecules that form the gas. The demon observes the molecules in the left side of the box, and if he sees one approaching the door with a speed less than  $\langle v \rangle_T$ , then he opens the door and lets the molecule go into the right side of the box. He also observes the molecules in the right, and if he sees one approaching with a speed greater than  $\langle v \rangle_T$ , then he opens the door to let it move into the left side of the box.

Once he has induced a small difference in temperatures between the right and the left, his action continues to transfer heat from the colder part (right) to the hotter part (left) without exerting any work, thus he is breaking Clausius's form of the second law. This type of demon is referred to as the *temperature demon*.

There is another type of Maxwell's demon, who is "less intelligent" than the temperature demon. Such a demon merely allows all molecules moving in one direction to go through, while stopping all those moving the other way to produce a difference in pressure. This *pressure demon* runs a cycle by making the gas interact with a heat bath at a constant temperature after generating a pressure inequality. The sole net effect of this cycle is the conversion of heat transferred from the heat bath to work. This is also a plain violation of the second law, which rules out perpetuum mobile (in Kelvin's form): "It is impossible to devise an engine which, working in a cycle, shall produce no effect other than the extraction

of heat from a reservoir and the performance of an equal amount of mechanical work."

The second law can also be phrased as "in any cyclic process the total entropy of the physical systems involved in the process will either increase or remain the same." Entropy is, in thermodynamics, a state variable  $S$  whose change is defined as  $dS = \delta Q/T$  for a reversible process at temperature  $T$ , where  $\delta Q$  is the heat absorbed. Thus, irrespective of the type of demon, temperature or pressure, what he attempts to do is to decrease the entropy of the whole system for the cyclic process.

Historically, a number of physical mechanisms that might emulate the demon without any intelligent beings have also been proposed. One notable example should be the trap-door model by Smoluchowski (v. Smoluchowski, 1912). Instead of an intelligent demon operating the door, he considered a door that is attached to the partition by a spring so that it only opens to one side, the left, say. Then fast moving molecules in the right side can go into the left side by pushing the door, but slow ones are simply reflected as the door is shut tightly enough for them and no molecules can go into the right from the left. After a while, the temperature (as well as the density) of the left side should become higher and the right side lower. Useful work would be extracted from this spontaneously generated temperature difference. Smoluchowski pointed out that what prevents the trap-door mechanism from achieving the demonic work are thermal fluctuations, i.e., Brownian motion, of the door. The door might be kicked sometimes to let a fast molecule in; however, the thermal fluctuations will lift the door up and let molecules go back to the right side, resulting in no net temperature difference. This scenario was numerically analyzed in detail by Skordos and Zurek (1992) to confirm the above reasoning. While there have been many other similar mechanisms proposed, a more sophisticated model was discussed by Feynman as the ratchet-and-pawl machine (Feynman *et al.*, 1965), which, again, does not work as a perpetual engine due to the thermal fluctuations.

The demon puzzle, which had been a cardinal question in the theory of thermodynamics, is now why a demon can never operate beyond the apparently fundamental limits imposed by the second law, no matter how intelligent he is and no matter what type (temperature or pressure) he is. An ingenious idea by Szilard treated the demon's intelligence as *information* and linked it with physics.

### B. Szilard's engine

In 1929, the Hungarian scientist Leo Szilard presented a classical (nonquantum) analysis of Maxwell's demon (pressure demon), formulating an idealized heat engine with one-molecule gas (Szilard, 1929). Szilard's work was epoch making in the sense that he explicitly pointed out, for the first time, the significance of information in physics.

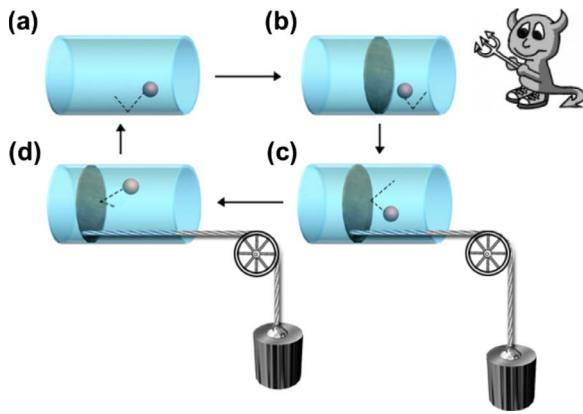


FIG. 1. (Color online) Schematic diagram of Szilard's heat engine. A chamber of volume  $V$  contains a one-molecule gas, which can be found in either the right or the left part of the box. (a) Initially, the position of the molecule is unknown. (b) Maxwell's demon inserts a partition at the center and observes the molecule to determine whether it is in the right- or the left-hand side of the partition. He records this information in his memory. (c) Depending on the outcome of the measurement (which is recorded in his memory), the demon connects a load to the partition. If the molecule is in the right part as shown, he connects the load to the right-hand side of the partition. (d) The isothermal expansion of the gas does work upon the load, whose amount is  $kT \ln 2$  which we call 1 bit. Adapted from Fig. 4 in Plenio and Vitelli, 2001.

The process employed by Szilard's engine is schematically depicted in Fig. 1. A chamber of volume  $V$  contains a gas, which consists of a single molecule [Fig. 1(a)]. As a first step of the process, a thin, massless, adiabatic partition is inserted into the chamber quickly to divide it into two parts of equal volumes. The demon measures the position of the molecule, either in the right or in the left side of the partition [Fig. 1(b)]. The demon records this result of the measurement for the next step. Then, he connects a load of a certain mass to the partition on the side where the molecule is supposed to be in, according to his recorded result of the previous measurement [Fig. 1(c)]. Keeping the chamber at a constant temperature  $T$  by a heat bath, the demon can let the gas do some work  $W$  by quasistatic isothermal expansion (the partition now works as a piston). The gas returns to its initial state, where it now occupies the whole volume  $V$ , when the partition reaches the end of the chamber. During the expansion, heat  $Q$  is extracted from the heat bath and thus  $W=Q$  as it is an isothermal process. Hence Szilard's engine completes a cycle after extracting heat  $Q$  and converting it to an equal amount of mechanical work.

As the gas is expanded isothermally,<sup>1</sup> the amount of extracted work  $W$  is  $kT \int_{V/2}^V V^{-1} dV = kT \ln 2$ . An immediate question here might be if it is appropriate to assume the one-molecule gas as a normal ideal gas in discussing

thermodynamic and statistical properties. To fill this conceptual gap, we consider an ensemble of one-molecule gases. Then, by taking averages over the ensemble, we calculate various quantities as if it is an ideal gas with a large number of molecules. In a sense, this can be seen as the origin of the intersection between thermodynamics and information theory: looking at the (binary) position of the molecule leads to its "dual" interpretations, i.e., in terms of thermodynamics and information theory.

Naturally, the factor  $kT \ln 2$  appears often in the following discussions on thermodynamic work, so we take it as a unit and call it "1 bit" when there is no risk of confusion.<sup>2</sup> This will be especially useful when we coordinate discussions of the information theoretic "bit" with the thermodynamic work.

The demon apparently violates the second law. As a result of the perfect conversion of heat  $Q$  into work  $W$ , the entropy of the heat bath has been reduced by  $Q/T = W/T = k \ln 2$ . According to the second law, there must be an entropy increase of at least the same amount somewhere to compensate this apparent decrease. Szilard attributed the source of the entropy increase to measurement. He wrote "The amount of entropy generated by the measurement may, of course, always be greater than this fundamental amount, but not smaller" (Szilard, 1929). He referred to  $k \ln 2$  of entropy as a fundamental amount well before Shannon founded information theory in 1948 (Shannon, 1948; Shannon and Weaver, 1949). Although he regarded the demon's memory as an important element in analyzing his one-molecule engine, Szilard did not reveal the specific role of the memory in terms of the second law. Nevertheless, his work is very important, as it was the first to identify the explicit connection between information and physics.

### C. Temporary solutions to the paradox

As Szilard did, many generations believed for decades that the paradox of Maxwell's demon could be solved by attributing the entropy increase to measurement. Noteworthy examples include those by Brillouin (1951) and Gabor (1961). They considered light to measure the speed of the molecules and (mistakenly) assumed this to be the most general measurement setting. Inspired by the work of Demers (1944), who recognized in the 1940s that a high temperature lamp is necessary to illuminate the molecules so that the scattered light can be easily distinguished from blackbody radiation, Brillouin showed that information acquisition via light signals is necessarily accompanied by an entropy increase, which is sufficient to save the second law (Brillouin, 1951). Interestingly, in his speculation, Brillouin linked the thermodynamic and the information entropies directly. Information entropy is a key function in the mathematical

<sup>1</sup>The load should be varied continuously to match the pressure so that the expansion is a quasistatic and reversible process, and this enables the pressure to be  $p=kT/V$ .

<sup>2</sup>By including the temperature  $T$ , we hereafter use the same unit bit for both entropy and thermodynamical work.

theory of information, which was founded by Shannon only a few years before Brillouin's work, and although its logical origin is very different from thermodynamics, Brillouin dealt with two entropies on the same footing by putting them in the same equation to link the gain of information with the decrease of physical entropy. This led to the idea of *negentropy*, which is a quantity that behaves oppositely to the entropy<sup>3</sup> (Schrödinger, 1944; Brillouin, 2004). The negentropy is usually defined as the difference between the maximum possible entropy of a system under a given condition and the entropy it actually has, i.e.,  $N := S_{\max} - S$ .

Brillouin distinguished two kinds of information, *free* and *bound*. Free information  $I_f$  is an abstract and mathematical quantity, but not physical. Bound information  $I_b$  is the amount of information that can be acquired by measurement on a given physical system. Thus, roughly speaking, free information is equivalent to (abstract) knowledge in our mind and bound information corresponds to the information we can get about a physical system, which encodes the information to be sent or stored. Bound information is then subject to environmental perturbations during the transmission. When the information carrier is processed at the end of the channel, it is transformed into free information. In Brillouin's hypothesis, the gain in bound information by measurement is linked to changes in entropy in the physical system as

$$\begin{aligned} \Delta I_b &= I_b^{\text{post-meas}} - I_b^{\text{pre-meas}} \\ &= k(\ln P_{\text{pre-meas}} - \ln P_{\text{post-meas}}) \\ &= S_{\text{pre-meas}} - S_{\text{post-meas}} > 0, \end{aligned} \quad (1)$$

where  $P_{\text{pre-meas}}$  and  $P_{\text{post-meas}}$  denote the numbers of possible states of the physical system before and after the measurement, and similarly  $S_{\text{pre-meas}}$  and  $S_{\text{post-meas}}$  are the entropies of the system.<sup>4</sup> The conversion coefficient between physical entropy and bound information is chosen to be Boltzmann's constant to make the two quantities comparable in the same units. Equation (1) means that gaining bound information decreases the physical entropy. This corresponds to the process (a) to (b) in Fig. 1.

As bound information is treated with the physical entropy of the system on the same basis, the second law needs to be expressed with bound information as well as the physical entropy. If no information on the physical system is available initially, that is, if  $I_b^{\text{initial}}=0$ , the final entropy of the system after obtaining (bound) information  $I_b$  is  $S_f = S_i - I_b$ . The second law of thermodynamics says that in an isolated system the physical entropy does

not decrease:<sup>5</sup>  $\Delta S_f \geq 0$ . Using the change in negentropy  $\Delta N := -\Delta S$ , the second law may now be written as

$$\Delta S_f = \Delta(S_i - I_b) = \Delta S_i - \Delta I_b = -\Delta N_i - \Delta I_b \geq 0,$$

which means

$$\Delta(N_i + I_b) \leq 0. \quad (2)$$

Naturally, if there is no change in the information available to us, that is,  $\Delta I_b=0$ , Eq. (2) is nothing but the standard inequality for entropy,  $\Delta S \geq 0$ . However, in Eq. (2), information is treated as part of the total entropy, and it states that the quantity (negentropy + information) never increases. This is a new interpretation of the second law of thermodynamics, implied by Brillouin's hypothesis.

Following Brillouin's hypothesis, Lindblad compared the entropy decrease in the system with the information gain an observer can acquire (Lindblad, 1974). He analyzed measurements of thermodynamic quantities in a fluctuating system and showed that the information gain by the observer is greater than or equal to the entropy reduction in the system. Hence the total entropy never decreases, as expected.

Brillouin's idea of dealing with information and physical entropy on an equal basis has been widely accepted. All discussions below about the physical treatment of information processing tacitly assume this interpretation, which presupposes the *duality* of entropy, i.e., both information theoretic and thermodynamic aspects.

### III. EXORCISM OF MAXWELL'S DEMON: ERASURE OF CLASSICAL INFORMATION ENCODED IN CLASSICAL STATES

Although the exorcism of Maxwell's demon by attributing an entropy increase to the acquisition of information had been widely accepted by physicists for more than a decade, the demon turned out to have survived until Landauer and Bennett put an end to the demon's life by reconsidering the role of "memory," which Szilard barely overlooked. Landauer examined the process of erasure of information, introducing a new concept of "logical irreversibility" (Landauer, 1961).

Indeed, Penrose independently discovered essentially the same result about information erasure as Landauer. Penrose argued, in his book published in 1970, *Foundations of Statistical Mechanics*, that the paradox of Maxwell's demon could be solved by considering the entropy increase due to memory erasure. This was even earlier than Bennett's 1982 analysis of the demon; however, it was left virtually unnoticed by physicists. Penrose's treatment was rather abstract and it did not go as far as

<sup>3</sup>The idea of *negative entropy* itself was introduced by Schrödinger to discuss living systems that keep throwing entropy away to the environment. It was renamed as negentropy by Brillouin, who associated it with information.

<sup>4</sup>Equal probabilities for  $P_{\text{pre-meas}}$  (or  $P_{\text{post-meas}}$ ) possible states are assumed.

<sup>5</sup>Although, at first sight, taking  $\Delta S := S_f - S_i$  seems more natural to express the second law, the subscripts only represent the state either before or after a measurement that provides us with information on the system (bound information), not a physical time evolution. Therefore the change in entropy due to physical evolution should be written as  $\Delta S_f$ .



Bennett's work, which investigated the possibility of measurement with arbitrarily little entropy increase. Here we focus on the viewpoint by Landauer and Bennett.

Since information processing must be carried out by a certain physical system, there should be a one-to-one correspondence between logical and physical states. Logical states may be described as an abstract set of variables on which some information processing can be performed. Then, a reversible logical process, which means an injective (one-to-one) mapping for logical states, corresponds to a reversible physical process. By implicitly assuming a correspondence between logical and physical entropies, as Brillouin proposed, this implies that a reversible logical process can be realized physically by an isentropic process, i.e., an entropy-preserving process.

However, a logically irreversible process is noninjective, i.e., many-to-one mapping. Such a process does not have a unique inverse, as there may be many possible original states for a single resulting state. The key here is that memory erasure is a logically irreversible process because many possible states of memory should be set to a single fixed state after an erasing procedure. It is impossible to determine the state prior to erasure without the aid of further information, such as the particular task of a computer program or knowledge about the states of other memory registers that are correlated to the memory in question. This certain fixed state after erasure is analogous to a "white" or "blank" sheet of paper, on which no information is recorded. After erasing stored information, the state of memory should be in one specific state, in order not to carry any information (by definition of erasure). We refer to the specific state after erasure as a *standard state*.

In terms of physical states, a logically irreversible process reduces the degrees of freedom of the system, which implies a decrease in entropy. In order for this process to be physically legitimate, the energy must be dissipated into the environment. Landauer then perceived that logical irreversibility must involve dissipation, hence erasing information in memory entails entropy increase (in the environment). This point will be the final sword to exorcize Maxwell's demon and is referred to as Landauer's *erasure principle*.

Another important observation regarding the physics of information was given by Bennett (1982). He illustrated that measurement can be carried out reversibly, i.e., without any change in entropy, provided the measuring apparatus is initially in a standard state, so that recording information in the memory does not involve the erasure of information previously stored in the same memory. The reason for this is that measurement can be regarded as a process that correlates the memory with the system (in other words, a process that copies the memory state to another system in a standard state), which can be achieved reversibly, at least in principle.

Bennett exemplified the reversible correlating process by a one-bit memory consisting of an ellipsoidal piece of ferromagnetic material. The ferromagnetic piece is small

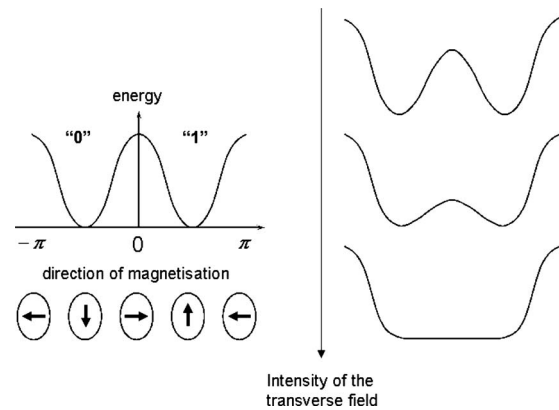


FIG. 2. Potential energy for a binary memory whose state is represented by the direction of the magnetization. When there is no external transverse magnetic field the memory is stable in one of the potential wells, which correspond to "0" and "1" of recorded information. The transverse field lowers the height of the barrier at the center. At a certain point, the profile of the potential becomes bathtublike in shape with a flat bottom, where the direction of the magnetization is sensitive to the longitudinal field component. Adapted from Bennett, 1982.

enough so that it consists of only a single domain of magnetization. The direction of the magnetization represents the state of the memory. Suppose that there is a double well potential with respect to the direction of the magnetization in the absence of an external field: Parallel and antiparallel to the major axis of the ellipsoid are the most stable directions. The central peak between the two wells is considerably higher than  $kT$  in the absence of an external magnetic field, so that thermal fluctuations do not allow the state to climb over the peak. Figure 2 shows a sketch of the potential as illustrated by Bennett (1982).

Two minima of the potential represent the state of memory, either "0" or "1," and the blank memory is assumed to be in one standard state, e.g., 0, before information is copied onto it from another memory. We consider the process that correlates the state of a blank memory  $B$  with that of a memory  $A$ , which is the subject of measurement. This can be achieved by manipulating the shape of the potential for the blank memory as follows. By applying a transverse external magnetic field the peak of the central barrier becomes lower. At a certain intensity of the field, there will be only a single bathtublike flat bottom, i.e., the state of  $B$  becomes very sensitive to a weak longitudinal component of the field. The memory  $A$  is located so that its magnetization can cause a faint longitudinal field at the position of the memory  $B$ . Then because of  $B$ 's sensitivity to such a field, the state of  $A$  can be copied to the memory  $B$  with arbitrarily small (but nonzero) energy consumption. Removing the transverse field completes the correlating process. The crux of the physics here is that this process can be reversed using the perturbation from another reference memory, which is in the standard state.

Now we focus on the erasure of information. Since measurement can be done virtually without energy con-

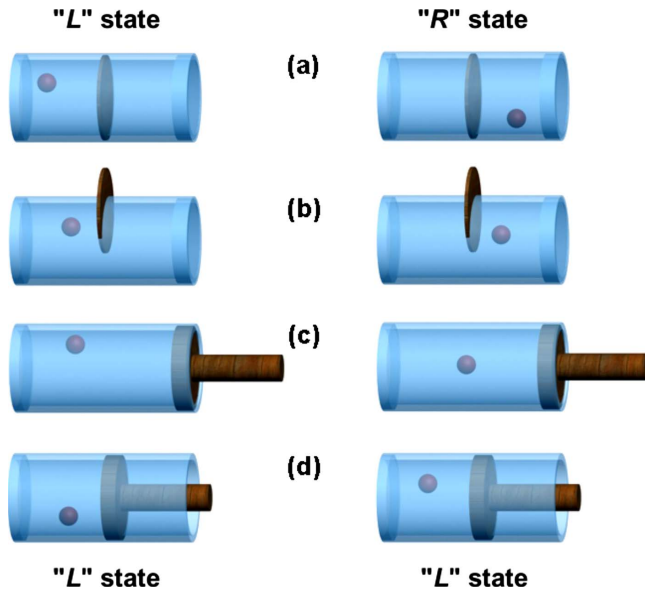


FIG. 3. (Color online) Thermodynamic process to erase information. Binary information is stored in a vessel as the position of the molecule, either  $L$  or  $R$ . A common procedure for both initial states, i.e., removing the partition and halving the whole volume by an isothermal compression towards the standard state  $L$ , completes the erasure. Adapted from Fig. 3 in [Plenio and Vitelli, 2001](#).

sumption, it is the dissipation due to the erasure process that compensates the entropy decrease induced by Maxwell's demon in Szilard's model. The physical system for the demon's memory can be modeled as a one-molecule gas in a chamber of volume  $V$ , which is divided into two parts, the left  $L$  and the right  $R$ , by a partition. The demon memorizes the measurement result by setting the position of the molecule in this box. If the molecule in Szilard's engine may be found in the left and right sides with equal probability, i.e.,  $1/2$ , then the minimum amount of work that needs to be invested and dissipated into the environment is  $kT \ln 2$ .

The actual process is as follows. The molecule is in either  $L$  or  $R$ , depending on the information it stores [Fig. 3(a)]. To erase the stored information, first, we remove the partition dividing the vessel at the center [Fig. 3(b)]. Second, insert a piston at the right end [Fig. 3(c)], when the standard memory state is  $L$ , and push it towards the left isothermally at temperature  $T$  until the compressed volume becomes  $V/2$  [Fig. 3(d)]. The resulting state is  $L$  for both initial states and the information is erased. It is worth noting that the erasing process should not depend on the initial state of the memory. The  $R$  state in Fig. 3(a) may be transferred to the  $L$  state by moving the region of volume  $V/2$  to the left. However, in this case, the operator of the piston needs to observe the position of the molecule and this action requires another memory. Thus the erasure process should be independent of the initial memory state. The work invested to compress the volume from  $V$  to  $V/2$  is  $W_{\text{erasure}} = kT \ln 2$  and this is dissipated as heat into the environment, increasing its entropy by  $k \ln 2$ , as Landauer ar-

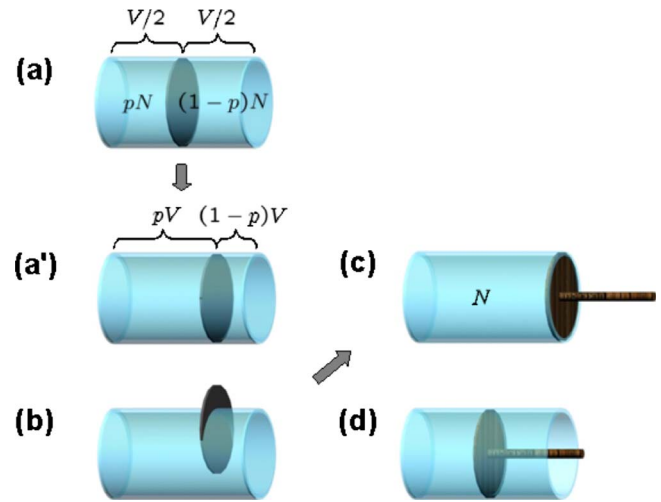


FIG. 4. (Color online) Erasure process for an unbalanced probability distribution. The only difference from the case of balanced distribution (Fig. 3) is the expansion from (a) to (a'), which gives us  $H(p)$  bits of work.

gued. As there is no wasted work (in the sense that all invested work is converted into heat to increase the entropy of the environment),  $kT \ln 2$  is the minimum amount of work to be consumed for erasure.

If there is a biased tendency in the frequency of appearance of a particular memory state, say  $L$ , how much would the erasure work be? The answer is simple: the erasure work is proportional to the amount of information stored, thus  $W_{\text{erasure}} = kT \ln 2H(p)$ , where  $p$  is the probability for the molecule to be in the  $L$  state and

$$H(p) = -p \log p - (1-p) \log(1-p) \quad (3)$$

is the (binary) Shannon entropy. Throughout this paper,  $\log$  denotes logarithms of base 2. The reason can be explained by a process depicted in Fig. 4. The unbalanced tendency between  $L$  and  $R$  is expressed by the numbers of molecules in the  $L$  and  $R$  regions. As we consider only an ideal gas (with no interactions between molecules), this scenario does not change the discussion at all if we average the erasure work at the end. Since removing the partition at the beginning allows the gas an undesired irreversible adiabatic expansion or compression, we first let the gases in both parts expand or contract isothermally by making the partition movable without friction [Fig. 4(a) to 4(a')]. During this process, the gases exert work towards the outside. Letting  $p_L$ ,  $p_R$ , and  $V_L$  denote the pressure in the left region, that in the right region, and the volume of the region on the left of the partition, respectively, we can write the work done by gases as

$$\begin{aligned} W' &= \int_{V/2}^{pV} (p_L - p_R) dV_L \\ &= NkT \int_{V/2}^{pV} \left( \frac{p}{V_L} - \frac{1-p}{V-V_L} \right) dV_L \\ &= NkT [\ln 2 + p \ln p + (1-p) \ln(1-p)] \end{aligned}$$

$$= NkT \ln 2[1 - H(p)]. \quad (4)$$

Since the pressures in the left and the right are equal, this is the same situation as in Fig. 3(a). Hence at least  $NkT \ln 2$  of work needs to be consumed to set the memory to the standard state [Figs. 4(c) and 4(d)]. As a whole, we invested  $W_{\text{erasure}} = kT \ln 2 - W' = kT \ln 2H(p)$  of work per molecule.

Maxwell's demon is now exorcized. The entropy decrease, or the equivalent work the demon could give us, should be completely consumed to make his memory state come back to its initial state. The state of the whole system, consisting of the heat engine and the demon, is restored after completing a thermodynamic cycle, without violating the second law.

#### IV. OTHER "DERIVATIONS" OF THE ERASURE ENTROPY

Although we have focused on the one-molecule gas model so far, Landauer's erasure principle holds regardless of specific physical models. In order to see its generality with some concrete examples, we now review work by Shizume (1995) and Piechocinska (2000).

Shizume used a model of memory whose state was represented by a particle having Brownian motion in a time-dependent double well potential. Assuming the random force  $F_R(t)$  to be white and Gaussian satisfying  $\langle F_R(t_1)F_R(t_2) \rangle = 2m\gamma T\delta(t_1 - t_2)$ , the motion of the distribution function  $f(x, u, t)$  of the particle in the position ( $x$ ) and velocity ( $u$ ) space can be described by the Fokker-Planck equation. Shizume then compared  $\dot{Q}$  and  $TdS/dt$ , i.e., the ensemble average of the energy given to the particle from the environment per unit time, and the change in the entropy of the whole system per unit time multiplied by the temperature. The entropy  $S$  is the Shannon entropy of continuous distribution, defined by

$$S := -k \int_{-\infty}^{\infty} dx du f(x, u, t) \ln f(x, u, t). \quad (5)$$

With the help of the Fokker-Planck equation concerning  $f(x, u, t)$ , one arrives at

$$\dot{Q} \leq T \frac{dS}{dt}, \quad (6)$$

from which we obtain the lower bound of the energy dissipated into the environment between times  $t_i$  and  $t_f$  as

$$\Delta Q_{\text{out}}(t_i, t_f) = \int_{t_i}^{t_f} (-\dot{Q}) dt \geq T[S(t_i) - S(t_f)]. \quad (7)$$

Equation (7) gives us the lower bound of the heat generation due to the process that erases  $H(p)$  bits of information. As we expect, the lower bound is equal to  $kT \ln 2H(p)$ . Clearly, this derivation does not use the second law.

It is clear in Shizume's derivation that the entropy increase due to the erasure is independent of the second

law. Hence it is immune to a common criticism against the erasure principle that it is trivially the same as the second law because the second law is used in its derivation. However, the above description assumes only a specific physical model, and thus a more general model might be desirable. This was done by Piechocinska, who analyzed the information erasure in a quantum setting as well as in classical settings (Piechocinska, 2000).

The key idea in her results is to make use of a quantity  $\Gamma$ , which was introduced by Jarzynski in the context of nonequilibrium thermodynamic processes (Jarzynski, 1999). In a classical setting,  $\Gamma$  is defined by

$$\Gamma(\xi^0, \xi^\tau) = -\ln[\rho_f(x^\tau, p^\tau)] + \ln[\rho_i(x^0, p^0)] + \beta \Delta E(x_T^0, \vec{p}_T^0, \vec{x}_T^\tau, \vec{p}_T^\tau), \quad (8)$$

where  $\xi = (x, p, \vec{x}_T, \vec{p}_T)$  is a set of positions and momenta of the degrees of freedom that describe the (memory) system and the heat bath ( $T$ ), respectively. The superscripts 0 and  $\tau$  are the initial and final times of the erasure process.  $\rho_i$  and  $\rho_f$  are the distribution functions of the particle representing the "bit" in a double well potential, and are assumed to be in the canonical distribution: erasing information is expressed by the form of  $\rho_f$  so that it takes nonzero values only in one of the two regions, i.e., either of those for 0 and 1, which corresponds to the  $L$  state in Fig. 3.  $\Delta E$  is the change in the internal energy of the heat bath and  $\beta = (kT)^{-1}$ .

The entropy increase due to erasure can be obtained by first calculating the statistical average over all possible trajectories  $\zeta$ . We then have  $\langle e^{-\Gamma} \rangle = 1$ , which in turn implies  $-\langle \Gamma \rangle \leq 0$  by the convexity of the exponential function. Substituting the expressions for  $\rho_i$  and  $\rho_f$  (the canonical distributions) into  $\Gamma$  leads to an inequality

$$\ln 2 \leq \beta \langle \Delta E \rangle. \quad (9)$$

As  $\Delta E$  is the change in the internal energy of the heat bath, it includes the heat dissipated into the bath as well. Thus the conservation of energy can be written as  $W = \Delta E + \Delta E_{\text{system}}$ , where  $W$  is the work done on the system and the heat bath, and  $\Delta E_{\text{system}}$  is the change in the internal energy of the system. Due to the symmetry of  $\rho_i$  and  $\rho_f$ ,  $\Delta E_{\text{system}}$  vanishes when averaged over, therefore we now have

$$kT \ln 2 \leq \langle W \rangle, \quad (10)$$

which is equivalent to Landauer's erasure principle.

Piechocinska applied a similar argument to the quantum case, i.e., the erasure of classical information stored in quantum states. The state of the bit can be reset after some interaction with the heat bath, which is initially in thermal equilibrium. By assuming that the bath decoheres into one of its energy eigenstates due to the interaction with an external environment, which may be much larger than the bath, we can deal with the heat dissipation (into the bath) quantitatively. Then the minimum work consumption can be found to be  $kT \ln 2$  after computing a quantity corresponding to  $\Gamma$  in Eq. (8). A



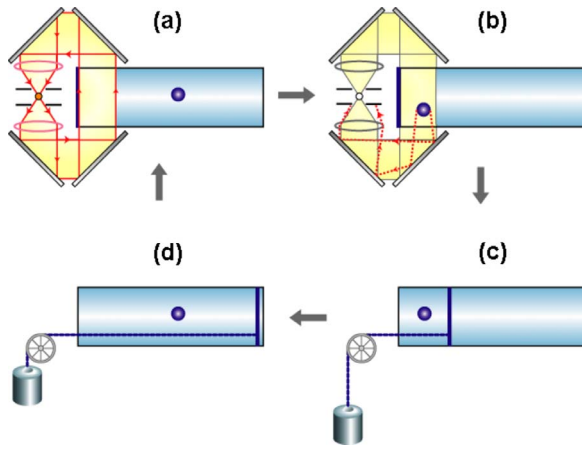


FIG. 5. (Color online) The one-molecule heat engine considered by Gabor to show that the light needs to behave like a wave. When the molecule comes into the illuminated region, a piston is automatically inserted and the “gas” expands isothermally to extract work from the heat bath. This process could in principle be repeated infinitely, converting an infinite amount of heat into mechanical work, if the light had only a particle-like nature. Adapted from Fig. 7 in [Gabor, 1961](#).

related, but more general, argument in a similar spirit has been also presented by [Kawai \*et al.\* \(2007\)](#).

## V. SOME IMPLICATIONS OF THE SECOND LAW

### A. Wave nature of light from the second law

We make a detour to another interesting and well-elaborated implication of the second law, which was argued by Gabor. He studied Brillouin’s analysis of Maxwell’s demon about detecting a molecule by light signals further ([Gabor, 1961](#)). Gabor considered a one-molecule heat engine, a part of which is illuminated by an incandescent light to detect the molecule wandering into this region (Fig. 5). The detection of the molecule can be done by photosensitive elements that are placed around the light path, so that any scattered weak light will hit one (or more) of them. As soon as the molecule is found by detecting the scattered light, a piston is inserted at the edge of the illuminated region. Then by isothermal expansion the gas exerts mechanical work. The same process is repeated when the molecule wanders into the illuminated region again. This is a perpetuum mobile of the second kind, as it continues to convert heat from a heat bath to mechanical work. Gabor found that the second law is vulnerable if the light intensity can be concentrated in a well-defined region and made arbitrarily large compared with the background blackbody radiation. He then deduced that this is impossible because light behaves both as waves and as a flux of particles. In other words, according to Gabor’s argument, the second law implies the wave nature of light. This is an interesting implication of the second law in its own right, as there seems to be no direct link between thermodynamics and the nature of light.

However, we now know that this interpretation is wrong. Even if the light behaves like particles, Gabor’s engine does not violate the second law. The solution to this apparent paradox is also the erasure principle.

By detecting the molecule and extracting work subsequently, the whole system stores  $H(p)$  bits of information, where  $p$  is the probability of finding the molecule in the illuminated region. We assume for simplicity that the sampling frequency is low enough, compared with the time duration necessary for the molecule to travel through the illuminated region.<sup>6</sup> Then  $p$  can also be interpreted as the ratio between the volume of the illuminated region and the whole volume of the chamber. This information concerns the occurrence of the work extraction and is stored in the mechanism that resets the position of the piston after the extraction. The piston forgets the previous action, but the resetting mechanism does not. Thus the whole process is not totally cyclic, though it should be so to work as a perpetuum mobile. Because Gabor’s engine is activated with probability  $p$ , the engine stores  $H(p)$  bits on average. While  $kT \ln 2H(p)$  bits of work are needed to erase this information to make the whole process cyclic, we gain only  $-kTp \ln p$  of work, which is smaller than the erasure work, from this process, hence there is no violation of the second law.

### B. Gibbs paradox and quantum superposition principle

Suppose a gas chamber of volume  $V$  that is divided into two half regions by a removable partition. Each half region is filled with a dilute ideal gas at the same pressure  $P$ . We now consider the entropy increase that occurs when we let gases expand into the whole volume  $V$  by removing the partition. If the gas in one region (e.g., the left side) is different from the gas in the other (the right side), then the entropy increase due to the mixing is  $kN \ln 2$ , where  $N$  is the total number of molecules in the chamber. On the other hand, if the gases in two regions are identical, no thermodynamic change occurs and thus the entropy is kept constant. This discontinuous gap of the entropy increase with respect to the similarity of two gases is called the “Gibbs paradox.” Landé dealt with this problem and “derived” the wave nature of the physical state as well as the superposition principle of quantum mechanics ([Landé, 1952](#)). This is not only an interesting work in the sense that it attempted to link thermodynamics and quantum mechanics, but is useful to introduce the idea of semipermeable membranes that we use as a tool in later sections. Although there are a number of papers on the Gibbs paradox other than Landé’s, discussing these here is out of the scope of this Colloquium. Interested readers may refer to, for example, [Lyuboshitz and Podgoretskii \(1970\)](#);

<sup>6</sup>Otherwise, the molecule can always be detected near the edge of the illuminated region. This region can then be made as thin as the size of the molecule to maximize the work-extracting efficiency.



Jaynes (1992); Levitin (1993); Allahverdyan and Nieuwenhuizen (2006).

For convenience of the following discussion, we use the extractable work, i.e., the Helmholtz free energy, as it is equal to the entropy change (times temperature) in isothermal processes. The semipermeable membranes we introduce here are a sort of filter that distinguishes the property of gases, i.e., the nature of molecules, and lets one (or more) particular property of gas go through it. In other words, a semipermeable membrane is transparent to one type of gas, but totally opaque to other types of gases: Each membrane can thus be characterized with the property of the gas it lets go through. These are essentially the same as what von Neumann considered in his discussion to define the entropy of a quantum state, or *von Neumann entropy*,<sup>7</sup> and they were scrutinized by Peres and were shown to be legitimate quantum mechanically (Peres, 1990, 1993). In Landé's argument, however, quantum mechanics is not assumed from the outset.

Landé postulated the continuity of the entropy change in reality. To bridge the gap between the “same” and “different” gases, he introduced a fractional likeness, which is quantified as  $q(A_i, B_j)$ , between two states  $A_i$  and  $B_j$ . Here  $A$  (or  $B$ ) represents a certain “property” or “observable” and the indices are values of  $A$  ( $B$ ) with which we can distinguish them completely. For simplicity, we assume all observables can take only discrete values when measured. Two states are completely different when  $q=0$  and they are identical when  $q=1$ , and different values of the same property are perfectly distinguishable by some physical means, thus  $q(A_i, A_j) = \delta_{ij}$ . Now suppose that a semipermeable membrane that is opaque to  $A_i$  but transparent to  $A_j$  ( $j \neq i$ ), to which we refer to as the membrane  $M_{A_i}$ , is placed in a gas whose property is  $B_k$ . Then the membrane will reflect a fraction  $q = q(A_i, B_k)$  of the gas and pass the remaining fraction  $1 - q$  as a result of the fractional likeness between  $A_i$  and  $B_k$ . Another consequence of the membrane is that the molecules that are reflected by  $M_{A_i}$  need to change their property from  $B_k$  to  $A_i$  and similarly the other molecules become  $A_j$  with probability  $q(A_j, B_k)$ ,<sup>8</sup> in order not to change the state of molecules by a subsequent application of another  $M_{A_i}$ . In what follows, we identify the

<sup>7</sup>von Neumann defined the entropy  $S$  of a quantum state  $\rho$  by a simple thermodynamic consideration (von Neumann, 1955). Suppose a vessel is filled with an ideal gas, every molecule of which is in the state  $\rho$ . One now decomposes the gas into the set of gas components, each of which is in a pure state  $|\psi_i\rangle$ , with the semipermeable membranes. The entropy  $S(\rho)$  is defined (up to a constant factor) as the minimal thermodynamic entropy increase in the environment that is necessary to transform the initial state to the final state, where every molecule is in the same pure state and is distributed uniformly over the whole vessel. The zero entropy for any pure state is postulated.

<sup>8</sup>This means that the membrane  $M_{A_i}$  performs a measurement about the property  $A$  just before the molecule hits it and the molecule's postmeasurement property will become  $A_j$  with probability  $q(A_j, B_k)$ .

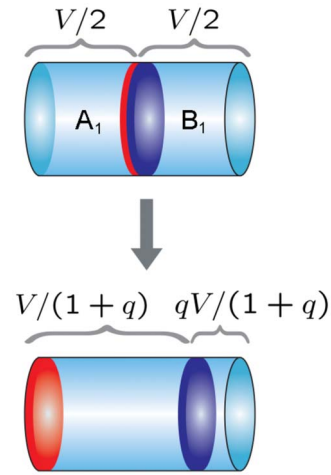


FIG. 6. (Color online) Possible configuration to confirm the continuity of the extractable work. The left- (right-) hand side of a chamber is filled with an  $A_1$  ( $B_1$ ) gas. Two membranes that distinguish  $A_1$  and  $A_2$  are used to extract work. The membrane on the left lets the  $A_1$  gas pass through it freely, but reflects the  $A_2$  gas completely. The other membrane works in the opposite manner. Since the  $B_1$  gas changes its state into  $A_1$  with probability  $q$  when measured by the membrane, the right membrane does not reach the right end of the chamber by a (quasi-)isothermal expansion.

term “property” with “state,” although it still does not necessarily mean a quantum state.

This solution to the Gibbs paradox—the introduction of fractional likeness between states—leads, according to Landé, to the wave-function-like description of state. A rough sketch of his idea is as follows. First, we write down the transition probabilities between different states in a matrix form

$$\begin{bmatrix} q(A_1, B_1) & q(A_1, B_2) & \cdots \\ q(A_2, B_1) & q(A_2, B_2) & \cdots \\ \dots & \dots & \dots \end{bmatrix}. \quad (11)$$

Naturally, the sum of each row or column is always unity because a state must take one of the possible values in any measured property. Similar matrices should be obtained for  $q(B, C)$ ,  $q(A, C)$ , etc., and we expect a mathematical relation between these matrices, for instance, such as  $q(A_i, C_k) = \sum_j q(A_i, B_j) q(B_j, C_k)$ . A consistent mathematical expression can be obtained by considering a matrix  $\psi(A, B)$  whose  $(i, j)$ th elements are given as  $\sqrt{q(A_i, B_j)} e^{i\varphi}$  with arbitrary phase  $\varphi$ , i.e., a matrix whose rows and columns can be regarded as a vector of unit norm, thus  $\psi$  for different pairs of properties are connected with an orthogonal (unitary) transformation. The arbitrariness for the phase is restricted by the condition  $\psi(A_i, A_j) = \sum_k \psi(A_i, B_k) \psi(B_k, A_j) = \delta_{ij}$ . Identifying  $\psi(A_i, B_j) = \sqrt{q} e^{i\varphi}$  with a complex probability amplitude for the transition from  $B_j$  to  $A_i$  induced by the membranes, we see a superposition rule  $\psi(A_i, C_k) = \sum_j \psi(A_i, B_j) \psi(B_j, C_k)$ . Then Landé claims that “the introduction of complex probability amplitudes  $\psi$  subject

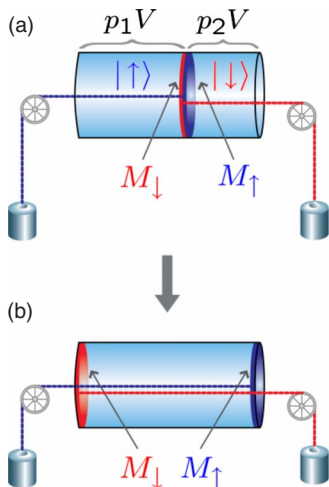


FIG. 7. (Color online) The work-extracting process with semipermeable membranes. In the initial state (a), the vessel is divided into two parts by an impenetrable opaque partition. The left side of the vessel, whose volume is  $p_1V$ , is occupied by the  $|\uparrow\rangle$  gas, and the right side is filled with  $|\downarrow\rangle$  gas. By replacing the partition with two semipermeable membranes  $M_\uparrow$  and  $M_\downarrow$  we can extract  $H(p_1) = -p_1 \log p_1 - p_2 \log p_2$  bits of work by isothermal expansion. The membranes reach the end of the vessel in the final state (b).

to the superposition rule is inseparably linked to the admission of fractional likenesses  $q$ .”

To confirm the continuity of the extractable work (or the entropy increase) due to the mixing of two gases, we look at a chamber, a half of which is filled with dilute gas  $A_1$  and the other half with  $B_1$  as in Fig. 6. The number of gas molecules is  $N/2$  each. We also assume that both  $A$  and  $B$  are a two-valued property. If we use two membranes that distinguish the state  $A_1$  and  $A_2$ , the work by gases will be smaller than  $NkT \ln 2$  because a fraction of  $B_1$  becomes  $A_1$  with a certain probability  $q$ . The work done by the gases is given as  $W = (NkT/2)[2 \ln 2 + q \ln q - (1+q) \ln(1+q)]$  and  $W$  decreases smoothly from  $NkT \ln 2$  (when  $q=0$ , for perfectly distinct gases) to 0 (when  $q=1$ , identical gases), therefore no discontinuous entropy change. Note that this choice of membranes is not optimal to maximize the amount of extractable work and we look at this process in more detail in Sec. VII.B.

### C. Quantum state discrimination and the second law

As seen in the previous section, in his attempt to solve the Gibbs paradox Landé deduced that a thermodynamic speculation in the form of the continuity principle could lead to the partial likeness (or distinguishability) of states as a result of the wave nature of particles. On the other hand, starting from the distinguishability issue of quantum states, Peres showed that if it was possible to distinguish nonorthogonal quantum states perfectly then the second law of thermodynamics would necessarily be violated (Peres, 1990, 1993).

As a background, we consider an elementary work-extraction process using a collection of pure orthogonal

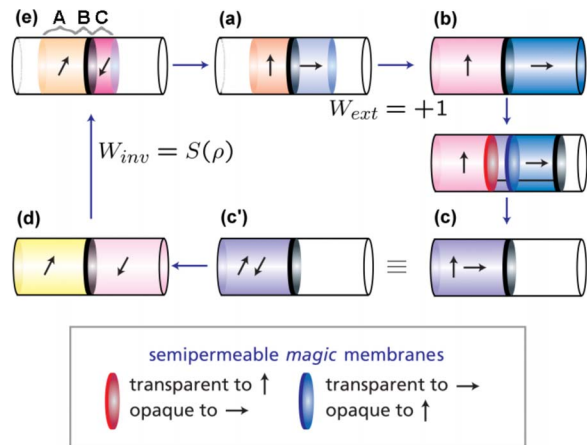


FIG. 8. (Color online) Thermodynamic cycle given by Peres to show that distinguishing nonorthogonal quantum states leads to a violation of the second law. The arrows indicate the directions of spin in the Bloch sphere. The use of hypothetical semipermeable membranes, which distinguish nonorthogonal states  $|\uparrow\rangle$  and  $|\rightarrow\rangle$  perfectly, in the step from (b) to (c) is the key to violate the second law. Adapted from Fig. 9.2 in Peres, 1993.

states. As shown in Fig. 7, a chamber of volume  $V$  is partitioned by a wall into two parts, one of which has a volume  $p_1V$  and the other has  $p_2V=1$ . The vessel is filled with a gas of molecules whose (quantum) internal degree of freedom is represented by a spin. Here it suffices to consider a gas of spin-1/2 molecules, e.g., a gas with spin up, i.e.,  $|\uparrow\rangle$ , in the left region and a spin down gas,  $|\downarrow\rangle$ , in the right.

Now we reintroduce semipermeable membranes  $M_\uparrow$  and  $M_\downarrow$  that distinguish the two orthogonal spins  $|\uparrow\rangle$  and  $|\downarrow\rangle$ . These are essentially the same as seen in Sec. VB to consider the “fractional likeness” of states. The membrane  $M_\uparrow$  is completely transparent to the  $|\downarrow\rangle$  gas and completely opaque to the  $|\uparrow\rangle$  gas. The other membrane  $M_\downarrow$  has the opposite property.

Suppose that the partition separating two gases is replaced by the membranes, so that  $M_\uparrow$  and  $M_\downarrow$  face  $|\uparrow\rangle$  and  $|\downarrow\rangle$ , respectively, as in Fig. 7. Then, as in Sec. VB, gases give us some work, expanding isothermally by contact with a heat bath of temperature  $T$ . The total work extractable can then be computed as  $W = -p_1 \log p_1 - p_2 \log p_2 = H(p_i)$ , where  $H(p_i) = -\sum_i p_i \log p_i$  is the Shannon entropy of a probability distribution  $\{p_i\}$ .

We now look at Peres’s process. The physical system considered is almost the same as the one in Fig. 7, however, we now have two nonorthogonal states. Although gases consist of photons of different polarizations in the original example by Peres, we consider spin-1/2 molecules to avoid the argument of the particle nature of light. The volume of the chamber here is  $2V$ , and in the initial state the gas of volume  $V$  is divided into two equal volumes  $V/2$  and separated by an impenetrable wall [see Fig. 8(a)]. The gas molecules in the left side have a spin up  $|\uparrow\rangle$ , and those in the right side have a spin  $|\rightarrow\rangle = (|\uparrow\rangle + |\downarrow\rangle)/\sqrt{2}$ . Both parts have the same number of molecules,  $N/2$ , thus the same pressure. The first step is

to let gases expand isothermally at temperature  $T$  so that the entire chamber will now be occupied by them [Fig. 8(b)]. During this expansion, gases exert 1 bit of work ( $=NkT \ln 2$ ) towards the outside, absorbing the same amount of heat from the heat bath.

In the second step, we introduce fictitious “magic” membranes that can distinguish nonorthogonal states. We replace the partition at the center with these membranes and insert an impenetrable piston at the right end of the vessel. The membrane  $M'_+$ , which is transparent to the  $|\rightarrow\rangle$  gas but opaque to  $|\uparrow\rangle$  gas, is fixed at the center, while the other one  $M'_-$ , which has the opposite property to  $M'_+$ , can move in the area on the left. Then the piston is inserted at the right end and  $M'_-$  is pushed towards the left at the same speed so that the volume and the pressure of the  $|\rightarrow\rangle$  gas in between the piston and the membrane  $M'_-$  will be kept constant [from Figs. 8(b) and 8(c)]. Because of the property of the membranes, this step can be achieved without friction or resistance, thus it needs no work consumption or heat transfer.

The gas in the vessel in Fig. 8(c) is a mixture of two spin states. The density matrix for this mixture is

$$\rho = \frac{1}{2}|\uparrow\rangle\langle\uparrow| + \frac{1}{2}|\rightarrow\rangle\langle\rightarrow| = \frac{1}{4} \begin{pmatrix} 3 & 1 \\ 1 & 1 \end{pmatrix} \quad (12)$$

in the  $\{|\uparrow\rangle, |\downarrow\rangle\}$  basis. The eigenvalues of  $\rho$  are  $(1 + \sqrt{2}/2)/2 = 0.854$  and  $(1 - \sqrt{2}/2)/2 = 0.146$  with corresponding eigenvectors  $|\nearrow\rangle = \cos \frac{\pi}{8}|0\rangle + \sin \frac{\pi}{8}|1\rangle$  and  $|\swarrow\rangle = \cos(-\frac{3\pi}{8})|0\rangle + \sin(-\frac{3\pi}{8})|1\rangle$ , respectively.

Now we replace the magic membranes by ordinary ones, which discriminate two orthogonal states  $|\nearrow\rangle$  and  $|\swarrow\rangle$ . The reverse process of (b)  $\rightarrow$  (c) with these ordinary membranes separates  $|\nearrow\rangle$  and  $|\swarrow\rangle$  to reach the state (d). Then, after replacing the semipermeable membranes by an impenetrable wall, we compress the gases on the left and right parts isothermally until the total volume and the pressure of the gases become equal to the initial ones, i.e., those in state (a). This compression requires a work investment of  $-(0.854 \log 0.854 + 0.146 \log 0.146) = 0.600$  bit, which is dissipated into the heat bath. In order to return to the initial state (a) from (e), we rotate the direction of spins so that the left half of the gas becomes  $|\uparrow\rangle$  and the right half becomes  $|\rightarrow\rangle$ . More specifically, we insert an opaque wall to the vessel to halve the volume  $V$  occupied by gases [the border between regions labeled A and B in Fig. 8(e)]. Rotations  $|\nearrow\rangle \rightarrow |\uparrow\rangle$  in the region A,  $|\swarrow\rangle \rightarrow |\rightarrow\rangle$  in B, and  $|\swarrow\rangle \rightarrow |\rightarrow\rangle$  in C, and a trivial spatial shift restore the initial state (a). As rotations here are unitary transformations, thus an isentropic process, any energy that has to be supplied can be reversibly recaptured. Alternatively, we can put the system in an environment such that these spin pure states are degenerate energy eigenstates. Hence we do not have to consider the work expenditure in principle when the process is isentropic.

Throughout the process depicted above, and in Fig. 8, the net work gained is  $1 - 0.600 = 0.400$  bits. Therefore

Peres's process can complete a cycle that can withdraw heat from a heat bath and convert it into mechanical work without leaving any other effect in the environment. This implies that the second law sets a barrier to quantum state discrimination.

#### D. Linearity in quantum dynamics

Peres also showed that the second law should be violated if we admit nonlinear (time) evolution of the quantum states (Peres, 1989). His proof is concise and is summarized below.

Let the state  $\rho$  be a mixture of two pure states  $\rho = p|\phi\rangle\langle\phi| + (1-p)|\psi\rangle\langle\psi|$ , with  $0 < p < 1$ . By rewriting one of the state vectors, say  $|\psi\rangle$ , as  $|\psi\rangle = \sqrt{f}|\phi\rangle + \sqrt{1-f}|\phi^\perp\rangle$ , where  $|\phi^\perp\rangle$  is a vector orthogonal to  $|\phi\rangle$  and  $f = |\langle\phi|\psi\rangle|^2$ ,  $\rho$  can be written in a matrix form (in the two-dimensional subspace that supports  $\rho$ ) as

$$\rho = \begin{pmatrix} p + f(1-p) & \sqrt{f(1-f)}(1-p) \\ \sqrt{f(1-f)}(1-p) & (1-p)(1-f) \end{pmatrix}. \quad (13)$$

The von Neumann entropy can be computed as  $S(\rho) = -\lambda_+ \log \lambda_+ - \lambda_- \log \lambda_-$ , where  $\lambda_\pm$  are the eigenvalues of  $\rho$ , i.e.,

$$\lambda_\pm = \frac{1}{2} \pm \left[ \frac{1}{4} - p(1-p)(1-f) \right]^{1/2}. \quad (14)$$

We can see  $dS/df < 0$  for all  $p$ . Therefore in order not to make the entropy decrease in time, the change of  $f$  must be nonpositive:  $|\langle\phi(t)|\psi(t)\rangle|^2 \leq |\langle\phi(0)|\psi(0)\rangle|^2$ .

Now let  $\{|\phi_k\rangle\}$  be a complete orthogonal set spanning the whole Hilbert space. Then, for any pure state  $|\psi\rangle$ ,  $\sum_k |\langle\phi_k|\psi\rangle|^2 = 1$ . Thus if there is some  $m$  for which  $|\langle\phi_m(t)|\psi(t)\rangle|^2 < |\langle\phi_m(0)|\psi(0)\rangle|^2$ , there must be some  $n$  for which  $|\langle\phi_n(t)|\psi(t)\rangle|^2 > |\langle\phi_n(0)|\psi(0)\rangle|^2$ , which means that the entropy of a mixture of  $|\phi_n\rangle\langle\phi_n|$  and  $|\psi\rangle\langle\psi|$  will decrease in a closed system. Hence  $f = |\langle\phi|\psi\rangle|^2$  needs to be constant for any  $|\phi\rangle$  and  $|\psi\rangle$  to comply with the second law. There are still two possibilities for the time evolution of states  $|\psi(0)\rangle \rightarrow |\psi(t)\rangle$  to keep  $f$  constant, namely, unitary and antiunitary evolutions, according to Wigner's theorem (Wigner, 1959). Nevertheless, the latter possibility can be excluded due to the continuity requirement. Therefore the evolution of quantum states is unitary, which is linear.

#### E. Second law and general relativity

The second law of thermodynamics gives an interesting implication not only in quantum mechanics, but also in the theory of gravity, through the impossibility of the second kind of perpetual mobile. This is illustrated by Bondi's thought experiment. Although an assumption in the idea presented below seems quite infeasible, we consider it because it is a nice heuristic introduction to discuss “real” physics later. Imagine a vertically placed conveyor belt that has a number of single-atom holders on it as in Fig. 9. We assume that the atoms on the left side are in an excited state and those on the right side are in



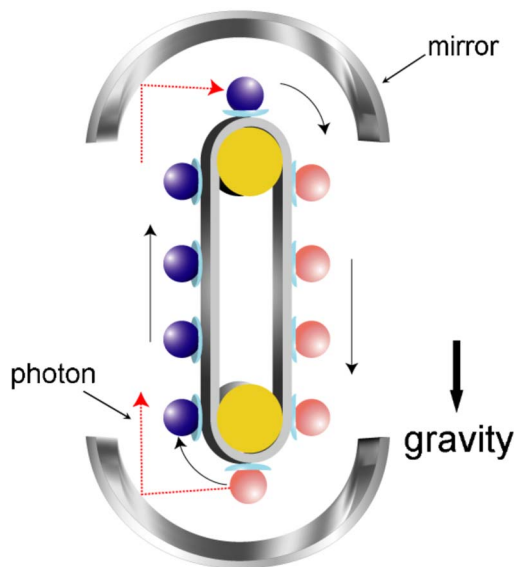


FIG. 9. (Color online) Bondi's thought experiment for a perpetual mobile. Excited atoms (on the right side and at the bottom of the belt) emit a photon at the bottom of the belt to lower its energy level. The emitted photon is reflected by the curved mirrors placed so that it will be absorbed by an atom in the lower energy level at the top of the belt.

a lower energy state. When an excited atom reaches the bottom of the belt, it emits a photon, lowering its energy level, and the emitted photon will be reflected by the curved mirrors to be directed to the atom at the top of the belt. Then this atom at the top will be excited, absorbing the photon.

Since energy is equivalent to mass, according to special relativity, the atoms on the right side are always heavier than those on the left as far as the emission and absorption of photon work as described above. That is, the gravitational force will keep the belt rotating forever. In this scenario, however, there is another assumption, which seems implausible, that atoms emit (absorb) photons only at the bottom (top) of the belt. Such an assumption makes this device unlikely to work. Nevertheless, Bondi's perpetual mobile is not compatible with the physical laws for the following reason.

What prevents this machine from perpetual motion is actually the distorted space time, i.e., a change of the metric, which is seen as gravity by us. The theory of general relativity says that the space is more stretched if one goes farther from the "horizon": the length of the geodesic line is longer near the horizon for a given length in the normal sense, which is defined as the circumference of the sphere around the massive object divided by  $2\pi$ . Because light travels along a geodesic, stationary observers see that the wavelength becomes longer when light leaves away from the object: the light becomes *redshifted*.

An experiment to confirm this redshift was carried out by [Pound and Rebka \(1960\)](#). They made use of the Mössbauer effect of nuclear resonance, which can be used to detect extremely small changes in frequency.

Their results demonstrated that the photons do change the frequency by a few parts of  $10^{15}$  when they travel for 22.5 m vertically, which agreed with Einstein's prediction with a high accuracy [only 1% error in the end ([Pound and Snider, 1964](#))]. The existence of the gravitational redshift directly rules out Bondi's perpetual mobile.

#### F. Einstein equation from thermodynamics

Einstein's equation, which describes the effect of energy-mass on the geometrical structure of the four-dimensional space time, can be derived from a fundamental thermodynamic relation. In thermodynamics, knowing the entropy of a system as a function of energy and volume is enough to get the equation of state from the fundamental relation  $\delta Q = TdS$ . Jacobson tried to obtain the field equation as an equation of state, starting from thermodynamic properties of black holes ([Jacobson, 1995](#)). It had been known by then that there was a strong analogy between the laws of black hole mechanics and thermodynamics ([Bardeen et al., 1973](#)). That is, the horizon area of a black hole does not decrease with time, just as the entropy in thermodynamics. Bekenstein then argued that the black hole entropy should be proportional to its horizon area after introducing the entropy as a measure of information about the black hole interior which is inaccessible to an exterior observer ([Bekenstein, 1973, 1974](#)).

Bekenstein's idea suggests that it is natural to regard the (causal) horizon as a diathermic wall that prevents an observer from obtaining information about the other side of it. On the other hand, a uniformly accelerated observer sees a blackbody radiation of temperature  $T$  from vacuum (the Unruh effect) ([Davies, 1975](#); [Unruh, 1976](#)). The origin of the Unruh effect lies in the quantum fluctuation of the vacuum, which is also the origin of the entropy of the horizon, i.e., the correlation between both sides of the horizon. Thus, in order to start from the above relation  $\delta Q = TdS$ , Jacobson associated  $\delta Q$  and  $T$  with the energy flow across the causal horizon and the Unruh temperature seen by the observer inside the horizon, respectively. Then, Einstein's field equation can be obtained by expressing the energy flow in terms of the energy-momentum tensor  $T_{\mu\nu}$  and the (horizon) area variation in terms of the "expansion" of the horizon generators. Another essential element in the field equation, the Ricci tensor  $R_{\mu\nu}$ , appears in the form of the expansion through the Raychaudhuri equation [see, for example, [Poisson \(2004\)](#)], which describes the rate of the volume (area) change of an object in a Riemannian manifold. The resulting equation is thus (by setting  $c=1$ )

$$R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} + \Lambda g_{\mu\nu} = \frac{2\pi k}{\hbar \eta} T_{\mu\nu}, \quad (15)$$

where  $\eta$  is the proportionality constant between the entropy and horizon area, viz.  $dS = \eta dA$ , and  $R$  and  $\Lambda$  are the scalar curvature and the cosmological constant. Comparing with the standard expression of the equa-

tion, in which the coefficient for  $T_{\mu\nu}$  is  $8\pi G$ , we identify  $\eta$  to be  $k/4\hbar G = k/4l_P^2$  with the Planck length  $l_P$ , which agrees with the derivation of  $\eta$  in Hawking (1974).

Einstein's field equation can indeed be seen as a thermodynamic equation of state. An important assumption for the above derivation is, however, the existence of a local equilibrium condition, for which the relation  $\delta Q = TdS$  is valid. This means that it would not be appropriate to quantize the field equation as it is not appropriate to quantize the wave equation for sound propagation in air. Further, Jacobson speculated that the Einstein equation might not describe the gravitational field with sufficiently high frequency or large amplitude disturbances because the local equilibrium conditions would break down in such situations as in the case of sound waves.

Bekenstein's conjecture about the black hole entropy is now widely accepted as a real physical property, particularly after the discovery of the Hawking radiation (Hawking, 1974) that showed that black holes do radiate particles in a thermal distribution at finite temperature. The thermodynamics of black holes is still an extensive and active field, whose problems include the "black hole information paradox." Covering these topics in detail goes beyond the scope of this Colloquium, thus we list a few references (Preskill, 1992; Jacobson, 1996; Wald, 2001; Bousso, 2002; Hawking, 2005; Page, 2005).

It is now clear that this example illustrates a close connection between information, thermodynamics, and the general relativity, which might look unrelated to each other at first sight. This strongly resuggests the duality of entropy, which we have mentioned at the end of Sec. II.C, and the universality of the thermodynamic relations in generic physics. We attempt to explore this duality in the paradigm of quantum-information theory later on in Sec. VII.B.

## VI. ERASURE OF CLASSICAL INFORMATION ENCODED IN QUANTUM STATES

In classical-information theory, an alphabet  $i \in \{1, \dots, n\}$ , which appears with probability  $p_i$  in a message<sup>9</sup> generated by a source, is represented by one of the  $n$  different classical states. On the other hand, in quantum-information theory (Nielsen and Chuang, 2000), information is encoded in quantum states, so that each alphabet  $i$  is represented by one of the  $n$  different quantum states whose density matrices are denoted by  $\rho_i$ . We refer to each state carrying an alphabet as a message state. We also call a set of quantum states used in a message, in which the state  $\rho_i$  appears with probability  $p_i$ , an ensemble of quantum states  $\{p_i, \rho_i\}, i \in \{1, \dots, n\}$ .

A way to erase classical information encoded in quantum states was first considered by Lubkin (1987), who

introduced erasure by thermal randomization, and by Vedral (2000, 2002) in a more general setting. Thermal randomization makes use of the randomness of states in a heat bath that is in thermal equilibrium. If we put a message state in contact with a heat bath at temperature  $T$ , the state will approach thermal equilibrium with the heat bath. More precisely, a message state  $\rho_i$  changes gradually after colliding (interacting) with the heat bath and sufficiently many collisions make the state become indistinguishable with that of the heat bath. We assume that the bath's state as a whole will not change much since its size is very large. Due to the uncertainty stemming from thermal fluctuations, we irreversibly lose the information that was carried by the state  $\rho_i$ .

Because of the generic nature of this erasure process by thermalization, entropy of the whole system, consisting of the message state and the heat bath, necessarily increases. How much would this increase be? We first simplify the discussion by considering that each message state is a pure state as in Fig. 10 (Vedral, 2000; Plenio and Vitelli, 2001). Before erasing, the whole message is an ensemble  $\{p_i, |\phi_i\rangle\}$ , thus its average state is described by a density operator  $\rho = \sum_i p_i |\phi_i\rangle\langle\phi_i|$ . The thermalization process brings all states  $|\phi_i\rangle$  to the same state  $\omega$ , which is in thermal equilibrium at temperature  $T$ . The density matrix  $\omega$  is given by

$$\omega = \frac{e^{-\beta H}}{Z} = \sum_j q_j |e_j\rangle\langle e_j|, \quad (16)$$

where  $H = \sum_i e_i |e_i\rangle\langle e_i|$  is the Hamiltonian of the message state with energy eigenstates  $|e_i\rangle$ ,  $Z = \text{Tr}(e^{-\beta H})$  is the partition function, and  $\beta = (kT)^{-1}$ .

The total entropy change  $\Delta S_{\text{erasure}}$  is the sum of the entropy change of the message system and that of the heat bath:  $\Delta S_{\text{erasure}} = \Delta S_{\text{sys}} + \Delta S_{\text{bath}}$ . Since the message state before the erasure is pure and its state after the erasure is the same as the heat bath, the minimum entropy change in the message state is given by<sup>10</sup>

$$\Delta S_{\text{sys}} = k \ln 2S(\omega), \quad (17)$$

where  $S(\omega) = -\text{Tr}(\omega \log \omega)$  is the von Neumann entropy of the state  $\omega$ . von Neumann introduced this entropy by contemplating the disorder of quantum states so that it

<sup>10</sup>One may be tempted to use the averaged message state  $\rho$  as the preerasure state. However, this is not the right way of viewing it. Before the erasing procedure, the encoder, who prepared the state, or the memory itself still knows which of  $\{|\psi_i\rangle\}$  it is in. Information erasure is a process that destroys correlations between the memory and the encoder or the system accessing it by transforming the state to a standard state (i.e.,  $\omega \simeq |e_0\rangle\langle e_0|$  in Lubkin's erasure), irrespective of the initial state. In other words, there must be a perfect correlation or knowledge before the erasure, which will be lost afterwards. Averaging over an ensemble means that even the encoder already lost information about their preparation. Hence, in this case, the entropy of the preerasure state should be taken as 0. Considering the classical counterpart [Fig. 3(a)] may be useful to understand this reasoning.

<sup>9</sup>A message can be any set of alphabets. It refers to a word or letter sent from sender to receiver, information which is stored in memory, etc. We assume that the information source generates independent and identically distributed variables or alphabets according to the probability distribution  $\{p_i\}$ .

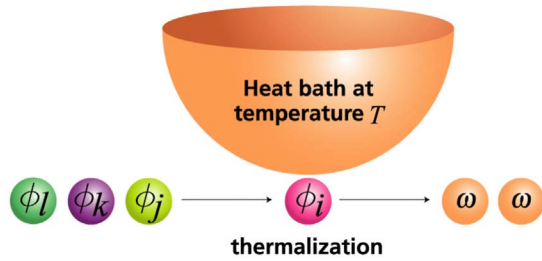


FIG. 10. (Color online) The erasure of classical information carried by quantum states. Each message state interacts with a heat bath at temperature  $T$  and reaches thermal equilibrium. The information originally encoded in a state is lost and all states end up in  $\omega$ , which is the thermal state at the temperature  $T$ .

has the same meaning as the entropy in (phenomenological) thermodynamics in a setting where gases of molecules with quantum properties were considered (von Neumann, 1955). The factor  $k \ln 2$  is just a conversion factor to make it consistent with the previous discussion of Landauer's principle.

The entropy change in the heat bath is equal to the average heat transfer from the bath to the message system divided by the temperature:  $\Delta S_{\text{bath}} = \Delta Q_{\text{bath}} / T$ . The heat change in the heat bath is the same as that in the system with an opposite sign, i.e.,  $\Delta Q_{\text{bath}} = -\Delta Q_{\text{sys}}$ . The heat transfer can be done quasistatically so that the mechanical work required for the state change is arbitrarily close to 0. Therefore, due to the energy conservation,  $\Delta Q_{\text{sys}}$  must be equal to the change of internal energy of the message system  $\Delta U_{\text{sys}}$ , which can be computed as the change of average values of the Hamiltonian  $H$  before and after the erasure process. Hence

$$\begin{aligned} \Delta S_{\text{bath}} &= -\frac{\Delta Q_{\text{sys}}}{T} = -\frac{\Delta U_{\text{sys}}}{T} \\ &= -\frac{\text{Tr}(\omega H) - \text{Tr}(\rho H)}{T} \\ &= -\frac{\text{Tr}[(\omega - \rho)H]}{T}. \end{aligned} \quad (18)$$

By using Eq. (16), the Hamiltonian  $H$  can be expressed in terms of the partition function  $Z$  as  $-kT \ln(Z\omega)$ . Now we have

$$\begin{aligned} \Delta S_{\text{bath}} &= k \text{Tr}[(\omega - \rho) \ln(Z\omega)] \\ &= k \text{Tr}[(\omega - \rho) \ln \omega] \\ &= -k \ln 2 [S(\omega) + \text{Tr}(\rho \log \omega)]. \end{aligned} \quad (19)$$

Combining Eqs. (17) and (19) gives the total entropy change after the erasure:

$$\Delta S_{\text{erasure}} = \Delta S_{\text{sys}} + \Delta S_{\text{bath}} = -\text{Tr}(\rho \log \omega), \quad (20)$$

where the unimportant conversion factor  $k \ln 2$  is set to be unity as a unit of entropy. The minimum of the entropy change  $\Delta S_{\text{erasure}}$  can be obtained as

$$\Delta S_{\text{erasure}} = -\text{Tr}(\rho \log \omega) \geq S(\rho). \quad (21)$$

The inequality follows from the property of the quantum relative entropy,  $S(\rho \parallel \omega) := -S(\rho) - \text{Tr}(\rho \log \omega) \geq 0$ . This minimum can be achieved by choosing the temperature of the heat bath and the set  $\{p_i, |\phi_i\rangle\}$  such that  $\rho = \sum p_i |\phi_i\rangle \langle \phi_i|$  is the same as the thermal equilibrium state  $\omega$ . Consequently, the minimum entropy increase required for the erasure of classical information encoded in quantum states is given by the von Neumann entropy  $S(\rho)$ , where  $\rho$  is the average state of the system, instead of the Shannon entropy  $H(p)$  in the case of erasing information in classical states.

## VII. THERMODYNAMIC DERIVATION OF THE HOLEVO BOUND

### A. From the erasure principle

Landauer's erasure principle, together with its Lubkin's version for quantum states, is simple in form; however, it implies some significant results in the theory of quantum information. For example, it can be used to derive the efficiency of the compression of data carried by quantum states (Plenio and Vitelli, 2001) and also the upper bound on the efficiency of the entanglement distillation process (Vedral and Plenio, 1998; Vedral, 2000). Here we look at the derivation of the Holevo bound from Landauer's principle, which was first discussed by (Plenio, 1999; Plenio and Vitelli, 2001), as we next examine the same problem from a different perspective.

To give a precise form of the Holevo bound we consider two parties Alice and Bob. Suppose Alice has a classical information source preparing symbols  $i = 1, \dots, n$  with probabilities  $p_1, \dots, p_n$ . The aim for Bob is to determine the actual preparation  $i$  as best as he can. To achieve this goal, Alice prepares a state  $\rho_i$  with probability  $p_i$  and gives the state to Bob, who makes a general quantum measurement [positive operator valued measure (POVM)] with elements  $E_j = E_1, \dots, E_m$ ,  $\sum_{j=1}^m E_j = \mathbf{I}$ , on that state. On the basis of the measurement result he makes the best guess of Alice's preparation. The Holevo bound (Holevo, 1973) is an upper bound on the accessible information, i.e.,

$$I(A:B) \leq S(\rho) - \sum_i p_i S(\rho_i), \quad (22)$$

where  $I(A:B)$  is the mutual information between the set of Alice's preparations  $i$  and Bob's measurement outcomes  $j$ , and  $\rho = \sum_i p_i \rho_i$ . The equality is achieved when all density matrices commute, namely  $[\rho_i, \rho_j] = 0$ .

We first consider a simple case in which all  $\rho_i$  are pure:  $\rho_i = |\psi_i\rangle \langle \psi_i|$ . The average state will be  $\rho = \sum_i p_i |\psi_i\rangle \langle \psi_i|$ . Then the Shannon entropy of the message is always greater than or equal to the von Neumann entropy of the encoded quantum state [Theorem 11.10 in Nielsen and Chuang (2000)], that is,  $H(p_i) \geq S(\rho)$ , with equality if and only if  $\langle \psi_i | \psi_j \rangle = \delta_{ij}$  for all  $i$  and  $j$ .



How much information can Bob retrieve from the state  $\rho$ ? The above analysis of erasure of information in quantum states tells us that we have to invest at least  $S(\rho)$  bits of entropy to destroy all available information. This implies that the amount of information that Bob can access is bounded by  $S(\rho)$ , because if he could obtain  $S(\rho) + \varepsilon$  bits of information then the minimum entropy increase by the erasure should be at least  $S(\rho) + \varepsilon$ , by Landauer's principle. In other words, one cannot obtain more information than could be erasable.

Therefore the accessible information  $I(A:B)$  is smaller than or equal to the minimum entropy increase by the erasure and it is this relation that corresponds to the inequality (22). If Alice encodes her information  $i$  into a pure state  $\rho_i$ , the relation reads

$$I(A:B) \leq S(\rho), \quad (23)$$

which is the same inequality as Eq. (22) because  $S(\rho_i) = 0$  for all pure states  $\rho_i$ .

If Alice uses mixed states  $\rho_i$  to encode  $i$ , Eq. (21) needs to be modified. Instead of Eq. (17), the entropy increase for each state is now<sup>11</sup>  $\Delta S_{\text{sys}}^i = S(\omega) - S(\rho_i)$  after contact with a heat bath whose state is given by Eq. (16). The average entropy change of the heat bath is the same as Eq. (19):  $\Delta S_{\text{bath}} = -S(\omega) - \rho \log \omega$ . The total entropy change by the thermalization will be

$$\begin{aligned} \Delta S_{\text{erasure}} &= \sum_j p_j \Delta S_{\text{sys}}^j + \Delta S_{\text{bath}} \\ &= \sum_j p_j [S(\omega) - S(\rho_j)] - S(\omega) - \text{Tr}(\rho \log \omega) \\ &= -\sum_j p_j S(\rho_j) - \text{Tr}(\rho \log \omega) \\ &\geq S(\rho) - \sum_j p_j S(\rho_j), \end{aligned} \quad (24)$$

which, together with the above argument, implies the Holevo bound in the form of Eq. (22). This analysis of erasure of information encoded with mixed states is more straightforward and less ambiguous than that by Plenio (1999); Plenio and Vitelli (2001).

The above analysis thus justifies the Holevo bound. However, it does not give the precise condition for the equality in Eq. (22), which is  $[\rho_i, \rho_j] = 0$ . The condition we can derive here is that all density matrices  $\{\rho_i\}$  support orthogonal subspaces, i.e.,  $\text{Tr}(\rho_i \rho_j) = 0$ . This is more restrictive than the commutativity of density matrices mentioned above. Next we show if the second law implies the Holevo bound more directly.

## B. From the second law

Since the work-information *duality* in the erasure principle supports Brillouin's hypothesis, shown in Sec. II.C, on the equivalence between information theoretic

and thermodynamic entropies, it might be natural to expect that the second law may put a certain bound on the quality of information or the performance of information processing. In this section, we derive the general bound on storage of quantum information, the Holevo bound (Holevo, 1973) derived from the second law of thermodynamics. As the second law is the most fundamental physical law that governs the behavior of entropy, this problem is interesting in terms of the spirit of the "physics of information" and deserves to be investigated in its own right.

In order to see the genuine thermodynamic bound, we need to minimize the axiomatic assumptions that stem from quantum mechanics. Assumptions we make here are (a) entropy: the von Neumann entropy is equivalent to the thermodynamic entropy, (b) statics and measurement: a physical state is described by a "density" matrix, and the state after a measurement is a new state that corresponds to the outcome ("projection postulate"), and (c) dynamics: there exist isentropic transformations.

Employing the density matrix-based description means that we presume the existence of superpositions of states. Allowing superpositions might sound rather abrupt; however, we assume that we are taking a similar stand as Gabor's picture on the possibility of superpositions purely from thermodynamic considerations. Thus this could be stated the other way around: assuming the superpositions of states, we describe a state by a density matrix, which can be defined as a convex sum of outer products of normalized "state vectors." The nonzero components of state vectors represent superposed state elements. Probability distributions in classical phase space can also be described consistently: all diagonal elements of a classical density matrix are real, representing probabilities, and all off-diagonal elements are zero. When a measurement is performed, one of the diagonal elements becomes 1, replacing all others with 0. We use an arrow to denote a state vector, such as  $\vec{\psi}$ , to make it clear that we do not use the full machinery of the Hilbert space (such as the notion of inner product) and we never use the Born trace rule for calculating probabilities.

Consider a chamber of volume  $V$ , which is divided into two regions of volumes  $p_1 V$  and  $p_2 V$ , respectively. The left-side region ( $L$ ) is filled with  $p_1 N$  molecules in state  $\vec{\psi}_1$ , and  $p_2 N$  molecules in state  $\vec{\psi}_2$  are located in the right-side region ( $R$ ). The two states  $\vec{\psi}_1$  and  $\vec{\psi}_2$  can be thought of as a representation of an internal degree of freedom. Generalizations to arbitrary numbers of general (mixed) states and general measurements are straightforward.

We can now have a thermodynamic loop formed by two different paths between the above initial thermodynamic state to the final state (Fig. 11). In the final state, both constituents  $\vec{\psi}_1$  and  $\vec{\psi}_2$  are distributed uniformly over the whole volume. Hence each molecule in the final state can be described by  $\rho = \sum_i p_i \vec{\psi}_i \vec{\psi}_i^\dagger$ , regardless of the position in the chamber. One of the paths converts heat

<sup>11</sup>The conversion factor  $k \ln 2$  is set to be equal to unity again as a unit of entropy.

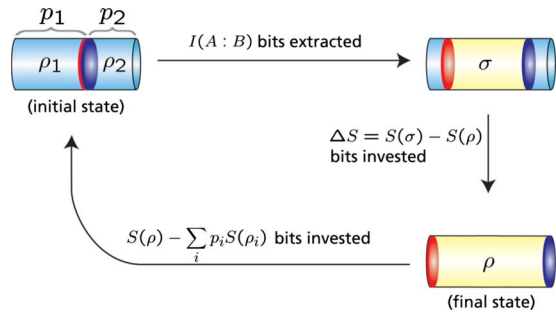


FIG. 11. (Color online) The thermodynamic cycle, which we use to discuss the second law. The cycle proceeds from the initial state to the final state  $\rho$  via the post-work-extraction state  $\sigma$ , and returns to the initial state with a reversible process.

into work, while the other path, consisting of a quasi-static reversible process and isentropic transformations, requires some work consumption.

In the work-extracting process, we make use of two semipermeable membranes  $M_1$  and  $M_2$  which separate two perfectly distinguishable (orthogonal) states  $\vec{e}_1$  and  $\vec{e}_2$  ( $=\vec{e}_1^\perp$ ). The membrane  $M_i$  ( $i=1,2$ ) acts as a completely opaque wall to molecules in  $\vec{e}_i$ , but it is transparent to molecules in  $\vec{e}_{j \neq i}$ . Thus, for example, a state  $\vec{\psi}_i$  is reflected by  $M_1$  to become  $\vec{e}_1$  with (conditional) probability  $p(e_1|\psi_i)$  and goes through with probability  $p(e_2|\psi_i)$ , being projected onto  $\vec{e}_2$ . This corresponds to the quantum (projective) measurement on molecules in the basis  $\{\vec{e}_1, \vec{e}_2\}$ , however, we do not compute these probabilities specifically as stated above.

By replacing the impenetrable partition with the two membranes, we can convert heat from the heat bath into mechanical work  $W_{\text{ext}}$ , which can be as large as the accessible information  $I(A:B)$ , i.e., the amount of information Bob can obtain about Alice's preparation by measurement in the basis  $\{e_1, e_2\}$ .<sup>12</sup> The transformation from the post-work-extraction state, which we  $\sigma$  hereafter, to the final state  $\rho$  can be done by a process shown in Fig. 12 and the minimum work needed is given by  $\Delta S = S(\sigma) - S(\rho)$ .

Another path, which is reversible, from the initial state to the final state is as follows. Let  $\{\vec{\phi}_1, \vec{\phi}_2\}$  be an orthonormal basis which diagonalizes the density matrix  $\rho$ , such that

$$\rho = \sum_i p_i \vec{\psi}_i \vec{\psi}_i^\dagger = \sum_k \lambda_k \vec{\phi}_k \vec{\phi}_k^\dagger, \quad (25)$$

where  $\lambda_k$  are eigenvalues of  $\rho$ . We can extract  $S(\rho)$  bits of work by first transforming  $\{\vec{\psi}_1, \vec{\psi}_2\}$  to  $\{\vec{\phi}_1, \vec{\phi}_2\}$  unitarily,

<sup>12</sup>The justification of this equivalence described in Fig. 1 of Maruyama, Brukner, and Vedral (2005) has an error in identifying entropy changes in the process. Nevertheless, this equivalence between  $W_{\text{ext}}$  and  $I(A:B)$  can be seen correct by a bit of straightforward calculations, using the state equation for an ideal gas.

and second using a new set of semipermeable membranes that perfectly distinguish  $\vec{\phi}_1$  and  $\vec{\phi}_2$ .

If the initial state is a combination of mixed states with corresponding weights given by  $\{p_i, \rho_i\}$ , the extractable work during the transformation to  $\rho = \sum_i p_i \rho_i$  becomes  $S(\rho) - \sum_i p_i S(\rho_i)$ . This can be seen by considering a process

$$\{p_i, \rho_i\} \xrightarrow{\text{(i)}} \{p_i \mu_j^i, \vec{\omega}_j^i\} \xrightarrow{\text{(ii)}} \{\lambda_k, \vec{\phi}_k\} \xrightarrow{\text{(iii)}} \rho,$$

where  $\{\mu_j^i, \vec{\omega}_j^i\}$  and  $\{\lambda_k, \vec{\phi}_k\}$  are the sets of eigenvalues and eigenvectors of  $\rho_i$  and  $\rho$ , respectively (Maruyama, Brukner, and Vedral, 2005).

The function of the semipermeable membrane can alternatively be understood as a Maxwell's demon who controls small doors on a partition depending on the result of his measurement of each molecule. Then do we need to consume some work to reset his memory? Unlike the previous discussions (such as that of Szilard's engine), it turns out that the demon's memory can be erased isentropically due to the remaining (perfect) correlation between the state of each molecule and his memory registers. This can be sketched as follows. Once the demon observes a molecule, a correlation between the state of the molecule and his memory is created. Since he can in principle keep track of all molecules, a perfect correlation between the state of the  $n$ th molecule and that of the  $n$ th register of the demon's memory will be maintained. Then a controlled-NOT-like isentropic operation between the molecules and the corresponding memory registers (with molecules as a control *bit*) can reset the demon's memory to a standard initial state without consuming work.

The second law states (in Kelvin's form) that the *net* work extractable from a heat bath cannot be positive after completing a cycle, i.e.,  $W_{\text{ext}} - W_{\text{inv}} \leq 0$ . For the cycle described in Fig. 11, it can be expressed as

$$I(A:B) \leq S(\rho) - \sum_i p_i S(\rho_i) + \Delta S, \quad (26)$$

where  $\Delta S = S(\sigma) - S(\rho)$ . As  $\sigma$  is identical to the resulting state of a projective measurement on  $\rho$  in the basis  $\{\vec{e}_1, \vec{e}_2\}$ ,  $\sigma = \sum_j P_j \rho P_j$  with  $P_j = \vec{e}_j \vec{e}_j^\dagger$  and consequently  $\Delta S$  is always non-negative [see von Neumann (1955)]. The inequality (26) holds even if the measurement by membranes was a generalized (POVM) measurement (Maruyama, Brukner, and Vedral, 2005).

The form of Eq. (26) is identical with that of Eq. (22) for the Holevo bound, except for an extra non-negative term  $\Delta S$ . This illustrates that there is a difference between the bound imposed by quantum mechanics (the Holevo bound) and the one imposed by the second law of thermodynamics. Namely, there is a region in which we could violate quantum mechanics while complying with the thermodynamical law. In the classical limit, the measurement is performed in the joint eigenbasis of mutually commuting  $\rho_i$ 's, consequently  $\Delta S = 0$ , and, in addi-

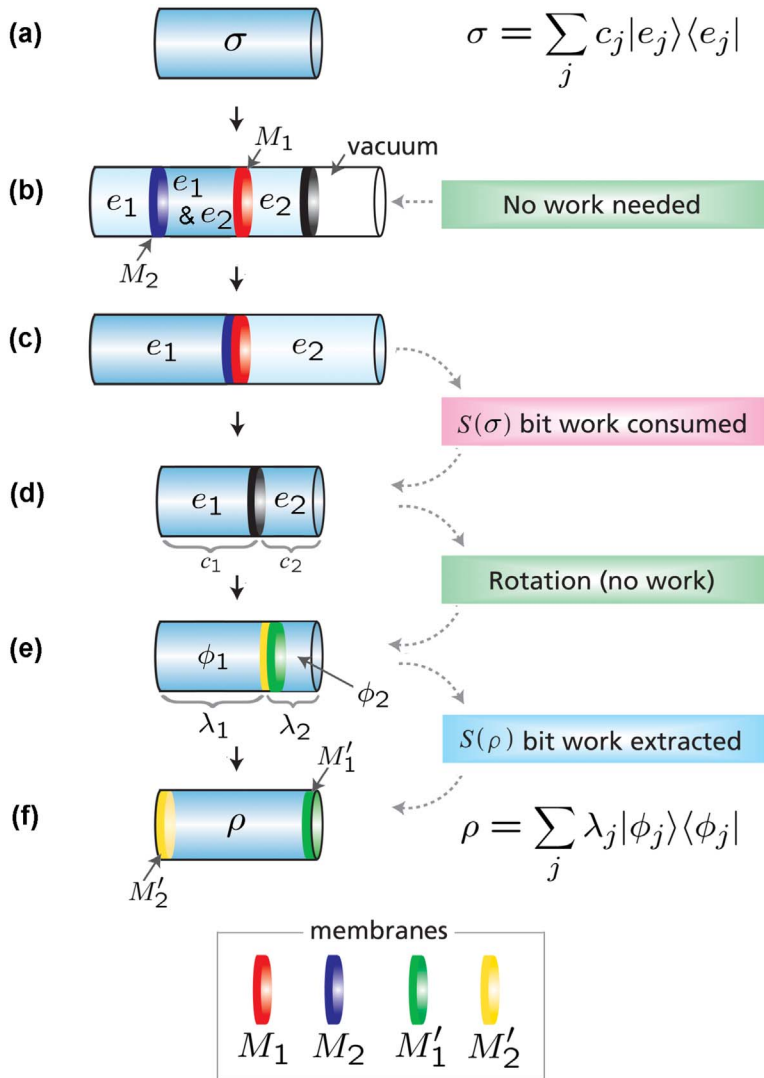


FIG. 12. (Color online) The thermodynamic process to transform the intermediate state  $\sigma$  into the final state  $\rho$ . First, after attaching an empty vessel of the same volume to that containing the gas  $\sigma$ , the membranes  $M_j$  are used to separate two orthogonal states  $\vec{e}_1$  and  $\vec{e}_2$  [(a) to (c)]. As the distance between the movable opaque wall and the membrane  $M_2$  is kept constant, this process entails no work consumption or extraction. As  $\sigma = \sum c_j \vec{e}_j \vec{e}_j^\dagger$ , compressing each  $\vec{e}_j$  gas into the volume of  $c_j V$  as in (d) makes the pressures of gases equal and this compression requires  $S(\sigma) = -\sum c_j \log_2 c_j$  bits of work. Second, quantum states of gases are isentropically transformed, thus without consuming work, so that the resulting state (e) will have  $\lambda_j N$  molecules in  $\vec{\phi}_j$ , where  $\rho = \sum \lambda_j \vec{\phi}_j \vec{\phi}_j^\dagger$  is the eigendecomposition of  $\rho$ . To reach (f),  $S(\rho)$  bits of work can be extracted by using membranes that distinguish  $\vec{\phi}_j$ . As a result, the work needed for the transformation  $\sigma \rightarrow \rho$  is  $S(\sigma) - S(\rho)$  bits.

tion, the Holevo bound is saturated:  $I(A:B) = S(\rho) - \sum p_i S(\rho_i)$ . Thus the classical limit and the thermodynamic treatment give the same bound.

The same saturation occurs when an appropriate collective measurement is performed on blocks of  $m$  molecules, each of which is taken from an ensemble  $\{p_i, \rho_i\}$ . When  $m$  tends to infinity  $2^{m[S(\rho) - \sum p_i S(\rho_i)]}$  typical sequences (the sequences in which  $\rho_i$  appears about  $p_i m$  times) become mutually orthogonal and can be distinguished by “square-root” or “pretty good” measurements (Hausladen *et al.*, 1996; Holevo, 1998). This situation is thus essentially classical, hence  $\Delta S \rightarrow 0$  and the Holevo bound will be saturated.

### VIII. ENTANGLEMENT DETECTION BY MAXWELL'S DEMON(S)

Now we move on to see how to deal with entanglement from the point of view of Maxwell's demon. The reason we pick up the topic of entanglement in particular is that it is not only crucially important in quantum-information theoretic tasks, such as quantum cryptography (Bennett and Brassard, 1984; Ekert, 1991), dense

coding (Bennett and Weisner, 1992), quantum teleportation (Bennett *et al.*, 1993), and quantum computation (Shor, 1994; Grover, 1996), but it is also directly linked to the foundations of quantum mechanics. However, the theory of entanglement is too broad and deep to explore comprehensively in this paper. In addition, the most of it appears irrelevant or at least unclear in the context of Maxwell's demon at any rate. Therefore we focus on recent works that have discussed the “quantumness” of correlation and/or the problem of entanglement detection, which is one of the most important topics in its own right.

When discussing entanglement in this paper we are primarily interested in bipartite entanglement, unless otherwise stated. Formally, entanglement is defined as a form of quantum correlation that is not present in any *separable* states. Let  $\mathcal{H}^A$  and  $\mathcal{H}^B$  be the Hilbert spaces for two spatially separated (noninteracting) subsystems  $A$  and  $B$ , which are typically referred to as Alice and Bob, and  $\mathcal{H}^{AB} = \mathcal{H}^A \otimes \mathcal{H}^B$  the whole (joint) Hilbert space of the two. We also let  $S(\mathcal{H})$  denote the *state space*, which is a set of density operators acting on  $\mathcal{H}$ .

A state of a bipartite system is said to be separable or



classically correlated (Werner, 1989) if its density operator can be written as a convex sum of products of density operators

$$\rho = \sum_{i=1}^n p_i \rho_i^A \otimes \rho_i^B, \quad (27)$$

where all  $p_i$  are non-negative and  $\sum_{i=1}^n p_i = 1$ . Any state that cannot be written in the form of Eq. (27) is called *entangled*. We let  $\mathcal{S}_{\text{sep}}$  denote the subspace that contains all separable states.

It is natural to ask whether or not a given state  $\rho \in \mathcal{S}(\mathcal{H}^{AB})$  is separable, considering the importance of entanglement in many quantum-information theoretic tasks.<sup>13</sup> Quite a few *separability criteria*, i.e., a condition that is satisfied by all separable states, but not necessarily by entangled states, have been proposed so far to answer this simple question. Separability criteria are typically expressed in terms of an operator or a function, such as an entanglement witness (Terhal, 2000) or the correlation function in Bell's inequality (Bell, 1964). Despite the simplicity of the question, it is generally very hard to find good separability criteria. By a *good* separability criterion, we mean an efficient separability criterion that singles out as many entangled states as possible. The hardness of the problem is primarily related to the convexity of the separable subspace, which is formed by all separable states: Because of the bulgy "surface" of the separable subspace, there does not exist any operator or function that is linear with respect to the matrix elements of the density operator, e.g., eigenvalues of  $\rho$ , and distinguishes separable and entangled states perfectly.

Another simple question is about the *amount* of entanglement a pair (or a set) of quantum objects contains. The amount plays a major role when it comes to the characterization or manipulation of entanglement. Since entanglement can be regarded as a valuable resource in quantum-information processing, the quantification of entanglement is a problem of great interest and importance. Despite its profoundness, we will not go into details on the quantification issue here: Instead, we refer interested readers to Vedral *et al.* (1997); Schumacher and Westmorel (2000); Horodecki (2001); Horodecki and Horodecki (2001); Plenio and Virmani (2005); Horodecki *et al.* (2007). Also Vedral (2002) contains not only a review on entanglement measures, but also some discussions on quantum-information processing from the thermodynamic point of view.

<sup>13</sup>Looking at entanglement as a resource of quantum-information processing naturally suggests the way to quantify entanglement in terms of its usefulness for such tasks. This leads to the idea of *distillable entanglement*, i.e., the average number of maximally entangled pairs that can be distilled from a given pair using only local operations and classical communication (Bennett *et al.*, 1996; Rains, 1999).

## A. Work deficit

In this section, we review the concept of *work deficit*, which was introduced by Oppenheim *et al.* (Oppenheim *et al.*, 2002). An apparent goal of this work was to quantify entanglement via a thermodynamic quantity; this idea shed new light on the quantumness of correlations by taking a thermodynamic approach.

As emphasized, information is always stored in a physical system with physical states that are distinguishable by measurement so that stored information can be extracted. No generality is lost when we think of a gas in a chamber, such as the one considered by Szilard, as a general information-storage apparatus. Even if we had a different type of physical system for information storage, the information can be perfectly transferred for free to the memory of the type of Szilard's engine, if the initial state of Szilard's engine is provided in a standard state. Since the measurement can be done with negligible energy consumption (as shown in Sec. III), the information transfer can be completed by converting the state from the initial standard state to the state corresponding to the stored (measured) information; the final conversion requires no energy.

Now that we have identified a memory with the one molecule gas of Szilard's engine we present a general statement: from an ensemble of memories, each of which stores the value of an  $n$ -bit random variable  $X$ , one can extract mechanical work whose average amount per single memory register is (by taking units such that  $k \ln 2 = 1$ )

$$W_C = n - H(X), \quad (28)$$

where  $H(X)$  is the Shannon entropy of  $X$ . The extractable work is the work done by the gas for memory, thus it is nothing but Eq. (4) when  $n=1$ . Equation (28) can be easily understood in the following way. Suppose there are  $N$  memory registers. If we measure all  $N$  registers, the remaining uncertainty in the memory is zero; we can obtain  $Nn$  bits of work. Nevertheless, we still keep the information due to the measurement on memory and this needs to be erased to discuss solely the amount of extractable work. The minimum energy consumption to erase the information is, according to the erasure principle, equal to  $NH(X)$  bits. Thus the maximum total amount of extractable work is given by  $N[n - H(X)]$  bits. Alternatively, one can use the first law of thermodynamics to arrive at the same expression as Eq. (28). The work done by the gas in an isothermal process is equal to the entropy change multiplied by the temperature.

The same argument is applicable to work extraction from quantum bits (qubits). Let  $\rho$  be the density operator describing the state in a given ensemble. Qubits after (noncollective) measurements are in a known pure state, which is essentially a classical system in terms of information. Thus the information stored in this set of pure states can be copied to the Szilard-type memory and each register can give us 1 bit of work. Then, after erasing the information acquired by the measurement, the

net maximum amount of work we get becomes  $1 - S(\rho)$  bits of work.

The work deficit is a difference between the globally and the locally extractable work within the framework of local operations and classical communication, i.e., local operations and classical communication, when  $\rho$  is a system with spatially separated subsystems. Suppose that we have an  $n$  qubit state  $\rho_{AB}$ , which is shared by Alice and Bob, then the optimal work extractable is

$$W_{\text{global}} = n - S(\rho^{AB}), \quad (29)$$

if one can access the entire system globally. On the other hand, we let  $W_{\text{local}}$  be the largest amount of work that Alice and Bob can locally extract from the same system under local operations and classical communication. The deficit  $\Delta$  is defined as

$$\Delta = W_{\text{global}} - W_{\text{local}}. \quad (30)$$

In order to grasp this picture, we compute the deficits for a classically correlated state

$$\rho_{\text{cl}}^{AB} = \frac{1}{2}(|00\rangle\langle 00| + |11\rangle\langle 11|) \quad (31)$$

and a maximally entangled state

$$|\Phi^{AB}\rangle = \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle). \quad (32)$$

The globally extractable work  $W_{\text{global}}^{\text{cl}}$  from  $\rho_{\text{cl}}^{AB}$  is simply 1 bit. The locally extractable work  $W_{\text{local}}^{\text{cl}}$  turns out to be also 1 bit. The protocol is as follows. Alice can measure her bit in the  $\{|0\rangle, |1\rangle\}$  basis and send the result to Bob, who can obtain 1 bit of work from his bit. Although Alice can extract 1 bit of work from her own bit, using her measurement result, she needs to consume this energy to erase the information stored in the memory, which was used to communicate with Bob. Thus the deficit for the state  $\rho_{\text{cl}}^{AB}$  is  $\Delta_{\text{cl}} = 1 - 1 = 0$ . The locally extractable work is the same, i.e., 1 bit, even if the state is maximally entangled as in Eq. (32). However, as this state is pure globally, we have  $W_{\text{global}} = 2 - S(|\Phi^{AB}\rangle\langle\Phi^{AB}|) = 2$  bits, therefore  $\Delta_{\text{ent}} = 2 - 1 = 1$ .

These two simple examples suggest that the ‘‘strength’’ of correlation could be reflected in the deficit, though the deficit might not be necessarily the amount of entanglement. In fact, [Oppenheim et al. \(2002\)](#) proposed later in a more detailed paper ([Horodecki et al., 2005](#)) that the (quantum) deficit can be interpreted as the amount of quantumness of correlations, not entanglement.

It has been shown by [Oppenheim et al. \(2002\)](#) that the deficit is bounded from below as  $\Delta \geq \max\{S(\rho^A), S(\rho^B)\} - S(\rho)$  (under an assumption about the classicality of the communication channel), where  $\rho^A$  and  $\rho^B$  are the reduced density operators, i.e.,  $\rho^A = \text{Tr}_B \rho$  and  $\rho^B = \text{Tr}_A \rho$ . The bound (or the upper bound for  $W_{\text{local}}$ ) can be achieved when the state is pure and it turns out to be equal to the entanglement measure for pure states. This is simply because a pure state can be written as  $|\psi\rangle$

$= \sum_i \alpha_i |e_i\rangle |f_i\rangle$  in the Schmidt decomposition and then  $\Delta = S(\rho^A) = E(|\psi\rangle)$ , where  $\rho^A = \text{Tr}_B |\psi\rangle\langle\psi|$  and  $E(\cdot)$  is the entanglement measure for pure states.

A similar approach has been taken in an attempt to quantify the amount of entanglement by [Groisman et al. \(2005\)](#). There the (asymptotically) minimal amount of noise added to the system to erase the correlation was examined: roughly speaking, it can be characterized by the number of allowed operations from which we choose randomly to make the given state separable. In the discussion above on deficit, the correlation is converted into work and the purity of the system is destroyed. Instead, noise is added actively here, and the information about the chosen operations is erased in the end, dissipating entropy into the environment.

## B. Quantum discord

A similar approach to measuring the quantumness of correlations has been taken by [Zurek \(2003\)](#) by using the concept of ‘‘quantum discord’’ ([Zurek, 2000](#)) and a Maxwell’s demon. Recall the definition of the mutual information between two systems,  $A$  and  $B$ , in classical-information theory:

$$I(A:B) = H(A) + H(B) - H(A,B). \quad (33)$$

To clarify the quantumness later, we substitute the definition of the joint entropy  $H(A,B) = H(A) + H(B|A) = H(B) + H(A|B)$  into Eq. (33) to define the *locally mutual information* as

$$\begin{aligned} J_B(A:B_{\{|B_k\rangle\}}) &= H(A) + H(B) - H_B(A, B_{\{|B_k\rangle\}}) \\ &= H(A) + H(B) - [H(B) + H(A|B)]_{\{|B_k\rangle\}}, \end{aligned} \quad (34)$$

where the subscripts  $B$  and  $\{|B_k\rangle\}$  are used to stress that we are accessing the system  $B$  locally by using the basis  $\{|B_k\rangle\}$ . Now the discord is defined as

$$\begin{aligned} \delta(A|B_{\{|B_k\rangle\}}) &= I(A:B) - J_B(A:B_{\{|B_k\rangle\}}) \\ &= H_B(A, B_{\{|B_k\rangle\}}) - H(A,B). \end{aligned} \quad (35)$$

Here the (basis-independent) joint entropy  $H(A,B)$  is given by the von Neumann entropy of the whole state  $\rho^{AB}$ , i.e.,  $H(A,B) = S(\rho^{AB}) = -\text{Tr} \rho^{AB} \log_2 \rho^{AB}$ .

The discord defined here is the work deficit  $\Delta$  in Eq. (30) when the measurement is done in the basis  $\{|B_k\rangle\}$  only on the subsystem  $B$  after one-way communication (from  $A$  to  $B$ ). Zurek described this scenario as the comparison of work-extraction efficiency by classical and quantum Maxwell’s demons: a classical demon is local, while a quantum demon can perform measurements on the whole system in a global basis in the combined Hilbert space. The difference in efficiency of work extraction is equal to the discord  $\delta(A|B_{\{|B_k\rangle\}})$  if the classical demon employs  $\{|B_k\rangle\}$  as his measurement basis. Thus the least discord over all (local) measurement bases, i.e.,  $\delta(A|B) = \min_{\{|B_k\rangle\}} \delta(A|B_{\{|B_k\rangle\}})$ , coincides with the work deficit  $\Delta$  when only one-way communication is allowed.

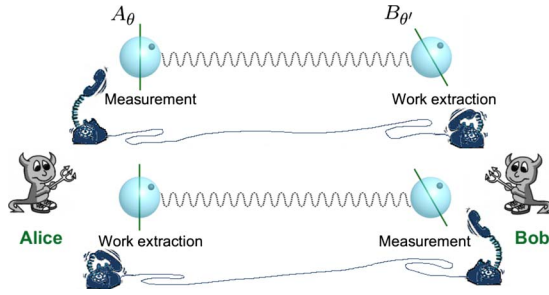


FIG. 13. (Color online) Schematic of the protocol to extract work from correlated pairs. Two pairs represent an ensemble for which Alice and Bob use  $A_\theta$  and  $B_{\theta'}$  for their measurement and work extraction. For a half of this ensemble, Alice measures her state with  $A_\theta$  and Bob extracts work from his side along the direction of  $\theta'$ , according to Alice's measurement results. For the other half, they exchange their roles.

Obviously, more communication only helps to increase the classically extractable work, so  $\delta \geq \Delta$  is a general upper bound on  $\Delta$ .

### C. Thermodynamic separability criterion

The degree of correlation, particularly the difference between classical and quantum ones, can also be characterized by discussing the locally extractable work from a heat bath via a given state, without comparing it with globally extractable work. This is possible despite the fact that the *optimal* locally extractable work from a pair is the same for both types of correlation, as shown in Sec. VIII.A: the difference can manifest if we do not optimize the work in a single setting for extracting. Thus the inequality obtained here works as an entanglement witness (Terhal, 2000) with locally observable thermodynamic quantities.

Suppose that two parties (or demons) Alice and Bob choose their measurement basis as  $A_\theta = \{P_\theta, P_\theta^\perp\}$  and  $B_{\theta'} = \{P_{\theta'}, P_{\theta'}^\perp\}$ , respectively, where  $\theta(\theta')$  represents the direction of the basis. Alice performs her measurement with  $A_\theta$  on her qubits and sends all results to Bob. Then Bob can extract  $1 - H(B_{\theta'}|A_\theta)$  bits of work per pair on his side after compressing the information of his measurement outcomes, where  $H(X|Y)$  is the Shannon entropy of  $X$ , conditional on the knowledge of  $Y$ . Only when the shared system is in a maximally entangled state, such as  $|\Phi^+\rangle = (|00\rangle + |11\rangle)/\sqrt{2}$ ,  $H(A_\theta|B_\theta)$  can vanish for all  $\theta$ . That is, we can extract more work from entangled pairs than from classically correlated pairs.

We choose more measurement bases in order to minimize the dependence of the work on the particular choice of bases. Alice and Bob first divide their shared ensemble into groups of two pairs to make the process symmetric with respect to each of them. For each group, they both choose a projection operator randomly and independently out of a set,  $\{A_1, \dots, A_n\}$  for Alice and  $\{B_1, \dots, B_n\}$  for Bob, just before their measurement. Then, Alice measures one of the two qubits in a group with the projector she chose and informs Bob of the

outcome as well as her basis choice. Bob performs the same on his qubit of the other pair in the group. As a result of collective manipulations on the set of those groups for which they chose  $A_i$  and  $B_j$ , they can extract a maximum of  $2 - H(A_i|B_j) - H(B_j|A_i)$  bits of work per two pairs (see Fig. 13).

Next, we add up all the work that can be obtained by continuously varying the basis over a great circle on the Bloch sphere, i.e., the circle of maximum possible size on a sphere. This is similar in approach to the chained Bell's inequalities discussed by Braunstein and Caves (1990). The circle should be chosen to maximize the sum. Thus the quantity we consider is

$$\Xi(\rho) := \frac{1}{2\pi} \int_0^{2\pi} \xi_\rho[A(\theta), B(\theta')] d\theta, \quad (36)$$

where  $\xi_\rho[A(\theta), B(\theta')] = 2 - H[A(\theta)|B(\theta')] - H[B(\theta')|A(\theta)]$  is the extractable work from two copies of  $\rho$  in the asymptotic limit when Alice and Bob choose  $A(\theta)$  and  $B(\theta')$ , and  $\theta$  is the angle representing a point on the great circle. Then, we can show that  $\Xi(\rho)$  can be used as a separability criterion: an inequality

$$\Xi(\rho) \leq \Xi(|00\rangle) \quad (37)$$

is a necessary condition for a two-dimensional bipartite state  $\rho$  to be separable, that is,  $\rho = \sum_i p_i \rho_i^A \otimes \rho_i^B$ . The state  $|00\rangle$  in the right-hand side of Eq. (37) can be any pure product state  $|\psi\psi'\rangle$ . We obtained the value of  $\Xi(|00\rangle)$  numerically as 0.8854 bit. We refer to this inequality (37) as a ‘‘thermodynamic separability criterion.’’ The proof of this proposition is based on the concavity of the entropy (Maruyama, Morikoshi, and Vedral, 2005).

The integral in Eq. (36) can be performed over the whole Bloch sphere, instead of the great circle, to get another separability criterion. Let  $\Xi_{\text{BS}}$  denote the new integral, then, Eq. (37) becomes  $\Xi_{\text{BS}}(\rho) \leq \Xi_{\text{BS}}(|00\rangle)$ , where  $\Xi_{\text{BS}}(|00\rangle)$  can be found numerically as 0.5573. The proposition above about the separability holds for  $\Xi_{\text{BS}}(\rho)$  as well. We now compute the value of  $\Xi_{\text{BS}}(\rho_W)$ , where

$$\rho_W = p|\Psi^-\rangle\langle\Psi^-| + \frac{1-p}{4}I \quad (38)$$

is the Werner state (Werner, 1989), to see the extent to which the inequality can be satisfied when we vary  $p$ . It is known that the Bell-Cluser-Horne-Shimony-Holt (Bell-CHSH) inequalities (Cluser *et al.*, 1969) are violated by  $\rho_W$  when  $p > 1/\sqrt{2} = 0.7071$ . On the other hand,  $\rho_W$  is inseparable if and only if  $p > 1/3$ , according to the Peres-Horodecki criterion (Horodecki *et al.*, 1996; Peres, 1996). A bit of algebraic calculation leads to  $\Xi_{\text{BS}}(\rho_W) = (1-p)\log_2(1-p) + (1+p)\log_2(1+p)$  and this is greater than  $\Xi_{\text{BS}}(|00\rangle)$  when  $p > 0.6006$ . Therefore the inequality for  $\Xi_{\text{BS}}$  is stronger than the Bell-CHSH inequalities when detecting inseparability of the Werner states. This difference, we suspect, is due to the nonlinearity of the witness function, which is  $\Xi$  in this case. Related analyses have been presented by Giovannetti (2004); Gühne



and Lewenstein (2004a, 2004b) from the point of view of the entropic uncertainty relations.

## IX. PHYSICAL IMPLEMENTATIONS OF THE DEMON

Apart from our own interests, there are of course a myriad of other interesting works on Maxwell's demon in the quantum regime, particularly on the physical implementations of the work-extracting engine under control of the demon. We review some of them in this section.

Lloyd (1997) proposed an experimental realization of Maxwell's demon using nuclear magnetic resonance (NMR) techniques. A spin-1/2 particle prepared in a standard state, e.g.,  $|\downarrow\rangle$ , works as the demon (memory) to store the information in a given state, which is also a spin-1/2 particle. Extracting work is done by applying a  $\pi$  pulse (to flip it in the  $\{|\uparrow\rangle, |\downarrow\rangle\}$  basis) at the spin's precession frequency  $\omega = 2\mu B/\hbar$ , where  $\mu$  is the magnetic moment of the spin and  $B$  is the external magnetic field: a photon of energy  $\hbar\omega$  will be emitted to the field when the spin flips from the higher energy state  $|\uparrow\rangle$  to the lower energy state  $|\downarrow\rangle$ . If each of two spins uses a heat bath at different temperatures, then we can consider a cycle that performs work in analogy with the Carnot cycle. The quantumness comes into the discussion of the inefficiency of the cycle, compared with the ideal Carnot case, which is due to the entropy increase by (quantum) projective measurements. That is, more entropy increase is needed to erase the original information stored in the demon's memory.

A practical realization of Lloyd's analysis was proposed by Quan, Wang, *et al.* (2006), in which superconducting qubits (You and Nori, 2005), instead of spin-1/2 particles, are used to manipulate information or energy transfer. The necessity of two heat baths at different temperatures is represented by a temperature gradient between two qubits and the sequence of actual operations is presented there.

The idea of directly using energy stored in two-level atoms was presented by Scully and co-workers (Scully, 2001; Rostovtsev *et al.*, 2005; Sariyami *et al.*, 2005; Scully *et al.*, 2005). In their scenario, two-level atoms are first randomized in a hohlraum, which is a hollow cavity that thermalizes the energy levels of incoming atoms, and next they are separated by a Stern-Gerlach-type apparatus into two spatial paths. Useful energy could be extracted from atoms in the excited state, and then atoms in the two paths are combined. At the final stage the which-path information is erased isothermally, dissipating heat (entropy) into the environment, to recycle atoms for another cycle.

In summary, these physical cycles involve a process that merges two different physical paths representing two logical states. The merger of two paths corresponds to the logically irreversible process discussed in Sec. III, as also emphasized by Bennett (2003), and thus leads to an entropy increase in the outside world of the information carrier.

Scully (2003) further proposed another type of heat

engine, which has important quantum aspects. Instead of the two-level atoms in the above example, three-level atoms are now used to provide useful work (energy) to the radiation field in a cavity. The atom has one excited level  $|a\rangle$  and two nearly degenerate ground levels  $|b\rangle$  and  $|c\rangle$ . The atoms are initially prepared to have some small population in the level  $|a\rangle$  and a coherent superposition between  $|b\rangle$  and  $|c\rangle$ , that is, its density operator is given by  $\rho_0 = p_a|a\rangle\langle a| + (1-p_a)|g\rangle\langle g|$ , where  $|g\rangle = c_b|b\rangle + c_c|c\rangle$  ( $|c_b|^2 + |c_c|^2 = 1$ ). The amplitudes  $c_b$  and  $c_c$  as well as the cavity frequency are tuned so that the probability of transition from  $|g\rangle$  to  $|a\rangle$  vanishes.<sup>14</sup>

An interesting consequence of Scully's idea is that quantum coherence, in  $|g\rangle$ , could be useful to enhance the efficiency of the thermodynamic cycle even beyond the Carnot efficiency. This is because it could be possible to extract work, in the form of photons, from a single heat bath. Such a scenario of extracting work from a single heat bath is indeed reminiscent of Szilard's demon-assisted one-molecule engine in Sec. II.B. In Scully's engine, quantum coherence plays the role of the demon. Because of the suppressed absorption of photons by the atoms, cold atoms absorb less than they would in the absence of coherence, while hot atoms do emit photons. Hence there is a *sorting action*, which could be seen as a demon's maneuver. As in Szilard's case, entropy needs to be dissipated when resetting the state of the demon. Including the entropy cost for initializing the atoms in the total entropy bill ensures the validity of the second law. A more detailed physical implementation was studied by Quan, Zhang, and Su (2006).

Another noteworthy example, also proposed by Scully, might be the quantum heat engine that makes use of the difference in energy gaps of a three-level atom (Scully, 2002; Rostovtsev *et al.*, 2003). By combining maser and laser cavities to control the population of each level, it could be possible to devise a Carnot-type or Otto-type heat engine and calculate the upper bounds on their efficiency.

Kieu proposed an idea of a related, but different, type of engine that consists of a two-level potential well (Kieu, 2004). Work-extracting cycles can be done by manipulating the parameters for the potential, such as its width and depth. Then the relationships between the temperatures of the heat baths, the change in energy levels, and the extractable work are analyzed, confirming the validity of the second law in the quantum regime. This type of idea was considerably extended to more general cases and scrutinized in terms of quantum Carnot and Otto engines by Quan *et al.* (2005, 2007). Quan *et al.* (2007) also provided a succinct and pedagogical presentation of quantum heat engines.

When it comes to the demon in the quantum world, there is also an analysis on Landauer's erasure principle

<sup>14</sup>Such a coherent trapping in  $|g\rangle$  occurs due to the destructive quantum interference between two transitions, namely,  $|b\rangle \rightarrow |a\rangle$  and  $|c\rangle \rightarrow |a\rangle$ . See Chap. 7 of Scully and Zubairy (1997).

in the quantum regime. Allahverdyan and Nieuwenhuizen (2001) discussed the validity of the principle when entanglement is taken into account due to the interaction between the memory system and the environment. However, they identified the Clausius inequality and the erasure principle directly, and showed that the Clausius inequality could be violated because of entanglement. This seems to be incompatible with the results by Shizume (1995); Piechocinska (2000), where the Clausius inequality was not used to derive the bound.

## X. CONCLUDING REMARKS

Since his birth in the late 19th century, Maxwell's demon has surely been enjoying watching scientists struggling with his paradox. Nevertheless, he has led us to a new paradigm over the past century, i.e., the interplay of physics and information theory.

To conclude this paper, we restate that realizing the irreplaceable reciprocity between physics and information has given rise to a number of implications in the foundations of not only quantum mechanics, but also gravity. This may be suggesting that information would help us merge quantum mechanics and gravity since Maxwell's demon is playing his game at the very core of both theories. Moreover, the interplay has been a powerful driving force in the development of quantum-information science.

We probably had better prepare for more "demonic" intellectual challenges, as more revolutionary paradigm shifts might be expected to come in any fields of natural sciences. Therefore it should be still too early to presume the demise of the demon with plenty of mysteries in nature lying in front of us.

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