

## Combining Image Reconstruction and Image Analysis with an Application to Two-Dimensional Tomography\*

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**Abstract.** The tasks of image reconstruction from measured data and the analysis of the resulting images are more or less strictly separated. One group of scientists computes by applying reconstruction algorithms to the images; the other then operates on these images to enhance the analysis. First attempts at combining image reconstruction and image analysis, in a nonsystematic way, are known as Lambda tomography or Tikhonov–Phillips methods with  $\ell_1$ -norms or with level-set methods. The aim of this paper is to provide a general tool to combine these two steps; i.e., even in the reconstruction step the future image analysis step is taken into account, leading to a new reconstruction kernel. Here we concentrate on linear methods. As a practical example we consider the image reconstruction problem in computerized tomography followed by an edge detection. We calculate a new reconstruction kernel and present results from simulations.

**Key words.** image analysis, image reconstruction, approximate inverse

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**1. Introduction.** In order to extract information from a given image, analysis tools are used. In a first step one applies operators on that image; then the searched-for information is found by operating on these enhanced versions of the original picture. Images typically are two-dimensional arrays of numbers. Of course, three-dimensional arrays for volume data or even time-dependent data, which may amount to four-dimensional data, are conceivable. Prominent analysis tools are edge detection methods where first partial derivatives of smoothed versions of the image are computed, followed by recognition methods. A typical example is the Canny edge detector; see [4]. Other operations can be found, e.g., in [6, 11]. Here we restrict our discussion, as mentioned above, to linear operators. In denoising one can think of solving the heat equation with homogeneous boundary conditions and the original image as initial condition, at the final time  $T$  the image is considered to be denoised.

We have to mention, of course, that nonlinear methods also play an essential role. But this does not—at least at the moment—fit into our framework.

Attempts to combine reconstruction and analysis are known, but are not systematically pursued. As an example we mention the  $\Lambda$  computerized tomography, in which local inversion formulas produce images where the singular support is preserved; this means that those images have jumps wherever the original image has them (see, e.g., [10, 13, 21]). The use of Tikhonov–Phillips regularization with  $\ell_1$ -norms results in smooth images; see, e.g., [5]. Level-set methods

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in combination with tomography data lead to the determination of the boundary of the object, at least if the object is relatively smooth with jumps along smooth curves; see, e.g., [29]. Another possibility is the direct calculation of wavelet coefficients of the searched-for solution; see [22] and, for an application to tomography, [3, 14]. These coefficients may be used in classification algorithms or in local reconstructions; see [26]. Here, as a mollifier (see next section), we use the scaling function and the wavelets.

In order to make the statements more precise we consider the following example. In computerized tomography the images are produced by applying reconstruction algorithms to the measured data. In this way one calculates images which are smoothed versions of the original density distributions. The result can be presented as

$$f_\gamma = f * e_\gamma =: E_\gamma f,$$

where  $f$  is the original object and  $e_\gamma$  is a mollifier depending on the reconstruction method. In the image analysis part, for example, in the above-mentioned edge detection methods, one then computes derivatives of smoothed versions of this image. Typically one calculates in a first step

$$\begin{aligned} f_{\gamma\beta k} &= \frac{\partial}{\partial x_k} (G_\beta * f_\gamma) = \frac{\partial}{\partial x_k} (W_\beta f_\gamma) \\ &= \frac{\partial}{\partial x_k} (W_\beta E_\gamma f), \end{aligned}$$

where  $G_\beta$  represents a mollifier, for example, a Gaussian kernel, and where the two parameters  $\beta$  and  $\gamma$  are chosen independently. The aim of this paper is to provide a method which allows for directly computing in one step the smoothed version of the derivative. To this end in section 2 we generalize the concept of approximate inverse as introduced in [20]. We precompute independently of the data  $g$  a reconstruction kernel  $\psi_\gamma$  by solving an auxiliary problem  $A^* \psi_\gamma = e_\gamma$ . Then the solution is calculated as  $g * \psi_\gamma$ . A further advantage is that invariances of the operator combined with suitable mollifiers lead to very efficient reconstruction methods.

In section 2 we present some basic facts about linear ill-posed problems, and in section 3 we introduce the approximate inverse for combining the two steps of regularization and analyzing. In section 4 we study the regularization properties of the new method. Section 5 is devoted to the efficient calculation of the result using invariances of the included operators. Finally in the last section we present results for the case of tomography in combination with edge detection, and we derive a new filter and present results from simulations, showing that the results obtained in this way are better than with the classical approach of separately performing reconstruction and differentiation. In addition the computing is much quicker.

**2. Linear ill-posed problems.** We consider a continuous mapping  $A$  between the Hilbert spaces  $X$  and  $Y$ . The problem  $(A, X, Y)$  is called well-posed if  $Af = g$  has a unique solution that depends continuously on the data. If one of those conditions is not fulfilled, the problem is called ill-posed. It is important to include the spaces in this definition; then by changing the spaces we may get well-posed problems. The reason for choosing the given spaces is that, on one hand, the data, including the noise, are mostly not smooth enough to choose a smaller

space  $Y$ . On the other hand, by selecting a larger space  $X$  we may change the concept of solution, including, for example, distributions.

Many integral equations of the first kind lead to compact operators between  $X$  and  $Y$ , which means that, if the operator does not have finite rank, the range of  $Y$ , denoted by  $\mathcal{R}(A)$ , is not closed, and hence the inverse is not continuous. In order to define solutions for these in the classical sense not necessarily solvable problems, we introduce the pseudoinverse with domain of definition  $\mathcal{D}(A^\dagger) = \mathcal{R}(A) \oplus \mathcal{N}(A^*) \subset \overline{\mathcal{R}(A)} \oplus \mathcal{N}(A^*) = Y$  mapping  $g \in \mathcal{D}(A^\dagger)$  to the uniquely determined  $f \in \mathcal{N}(A)^\perp \subset X$ , which solves

$$(2.1) \quad Af = P_{\overline{\mathcal{R}(A)}}g,$$

where  $P_{\overline{\mathcal{R}(A)}}$  is the orthogonal projection onto the closure of the range of  $A$ . Hence the null-space of the thus defined  $A^\dagger$  is  $\mathcal{N}(A^\dagger) = \mathcal{N}(A^*)$ .

To measure the degree of ill-posedness, which is important in selecting the appropriate regularization, in principle two concepts are used. One is based on the decay of the singular values of the compact operator  $A$ ; see [17]. Another possibility is to consider the smoothing properties of  $A$  as introduced by Natterer (see [24]) if the spaces are based on  $L_2$ -spaces. We say that  $A$  smoothes  $\alpha$  steps in a Sobolev scale if

$$(2.2) \quad c_1 \|f\|_{H^{-\alpha}} \leq \|Af\|_{L_2} \leq c_2 \|f\|_{H^{-\alpha}}$$

or

$$(2.3) \quad c_1 \|f\|_{L_2} \leq \|Af\|_{H^\alpha} \leq c_2 \|f\|_{L_2},$$

where the Sobolev norms for functions in  $\mathbb{R}^N$  are defined as

$$(2.4) \quad \|f\|_{H^\alpha}^2 = \int_{\mathbb{R}^N} (1 + |\xi|^2)^\alpha |\hat{f}(\xi)|^2 d\xi$$

with the Fourier transform

$$(2.5) \quad \hat{f}(\xi) = (2\pi)^{-N/2} \int_{\mathbb{R}^N} f(x) \exp(-i\xi^\top x) dx.$$

In the case of Fourier integral operators this definition coincides with the fact that the singular values  $\sigma_n$  of  $A$  as mapping between  $L_2$ -spaces decay like  $O(n^{-\alpha})$ . We say that the problem  $(A, L_2, L_2)$  is ill-posed of order  $\alpha$ .

In this paper we use the smoothing properties in Sobolev scales to measure the degree of ill-posedness for spaces  $X = L_2(U)$  and  $Y = L_2(V)$  for suitable domains  $U$  and  $V$ .

The theory of regularization is concerned with the definition of solutions for arbitrary data in  $Y$  with the additional aspect of balancing the influence of the unavoidable data error against the best possible resolution in the reconstruction. This is achieved by constructing operators  $T_\gamma : Y \rightarrow X$  with the property that

$$\lim_{\varepsilon \rightarrow 0} T_{\gamma(\varepsilon, g^\varepsilon)} g^\varepsilon = A^\dagger g$$

when  $g \in \mathcal{D}(A^\dagger)$  and the erroneous data  $g^\varepsilon$  go to  $g$  for  $\varepsilon \rightarrow 0$ .

It is shown in [19] that many of the well-known regularization methods, including the truncated singular value decomposition, the Tikhonov–Phillips method, and iterative methods such as Landweber and CG, as well as their approximate inverses, are of the form

$$T_\gamma = M_\gamma \tilde{A}^\dagger$$

with a smoothing operator  $M_\gamma$  and a suitable continuation of  $A^\dagger$  to all of  $Y$ . Another possibility is to first smooth the data and then to invert.

In order to extend the operator  $A^\dagger$  to all of  $Y = \overline{\mathcal{R}(A)} \oplus \mathcal{N}(A^*)$ , we define on  $\mathcal{N}(A^*)$

$$\tilde{A}^\dagger g = A^\dagger g = 0, \quad g \in \mathcal{N}(A^*).$$

The right-hand side of condition (2.3) says that  $A$  maps  $L_2(U)$  continuously to  $H^\alpha(V) \subset L_2(V)$  for  $\alpha > 0$ ; hence  $\mathcal{R}(A) \subset H^\alpha(V) \subset L_2(V)$ . The left-hand side of condition (2.2) says that  $A$  is continuously invertible from  $\mathcal{N}(A)^\perp \subset L_2(V)$  to  $H^{-\alpha}(U)$ , where the norm of the inverse is bounded by  $c_1^{-1}$ . Hence we define  $\tilde{A}^\dagger$  on  $\overline{\mathcal{R}(A)}$  as this inverse, observing that on  $\mathcal{R}(A)$  this coincides with the definition of  $A^\dagger$  due to (2.1), and get the following theorem.

**Theorem 2.1.** *The continuation of the pseudoinverse  $A^\dagger$  to all of  $Y = L_2(V)$  is a mapping with  $\tilde{A}^\dagger : L_2(V) \rightarrow H^{-\alpha}(U)$  with  $\|\tilde{A}^\dagger\| \leq c_1^{-1}$ .*

*Proof.* We decompose  $g \in L_2(V)$  into  $g = g_1 + g_0$  with  $g_1 \in \overline{\mathcal{R}(A)}$  and  $g_0 \in \mathcal{N}(A^*)$ ; then due to the above construction and (2.2) we get

$$\|\tilde{A}^\dagger g\|_{H^{-\alpha}} \leq c_1^{-1} \|g_1\|_{L_2} \leq c_1^{-1} \|g\|_{L_2},$$

which completes the proof. ■

If we use differential operators in the image analysis step, then, with the same arguments as above, we consider these operators  $L$  as mapping

$$L : \mathcal{D}(L) \subset X \rightarrow X$$

in order to not change the space where the solution or the approximate solution is presented. Obviously, differential operators are unbounded mappings when considered as

$$L : \mathcal{D}(L) \subset L_2(U) \rightarrow L_2(U).$$

In order to measure the degree of making the functions less smooth, we assume for a  $t > 0$  that

$$(2.6) \quad \|Lf\|_{H^{s-t}} \leq c_{L,s} \|f\|_{H^s};$$

i.e.,  $L$  is a differential operator or a pseudodifferential operator of order  $t$ . The problem of determining  $Lf$  from  $Af = g$  is then ill-posed of order  $\alpha + t$ , which means that the ill-posedness is enhanced. When first dealing with ill-posed problems one might think that, by choosing the right spaces, the problem becomes well-posed, but this is impossible, as the above discussion shows.

**3. Approximate inverse for combining reconstruction and analysis.** The motivation for the approximate inverse where the problem  $Af = g$  is stably solved by

$$T_\gamma g = \langle g, \psi_\gamma \rangle$$

with a reconstruction kernel fulfilling  $A^*\psi_\gamma = e_\gamma$  with a prescribed mollifier  $e_\gamma$  is at least twofold. First, the calculation of those functionals of the solution may be stably achieved, in contrast to the calculation of the solution itself. This was already observed with the Backus–Gilbert method [1]. The method is too time-consuming to evaluate the solution at all points. See also Eckhardt [8] for the calculation of the derivative of the solution. In connection with tomography it was observed by Grünbaum [7] that the filtered backprojection leads to a mollified version of the searched-for solution. For an early application of the calculation of functionals of the solution to partial differential equations, see [15] and the recent paper by Ovall [27].

The second reason for the introduction of the approximate inverse was to derive fast inversion formulas for the case when the same problem has to be solved repeatedly with different right-hand sides, as is the case for such measuring devices as X-ray scanners. Essential for the method to be fast is the selection of the mollifier  $e_\gamma$  according to the invariances of the operator  $A$ , as was observed in [17], where applications to some nonlinear problems are also treated. The essential difference from the mollification method of Murio (see, e.g., [23]) is that  $f * e_\gamma$  does not replace  $f$  in the equation  $Af = g$ , where in that way the kernel of the integral operator is smoothed, which even amplifies the ill-posedness of the problem.

In this section we generalize the method of the approximate inverse as analyzed in [18]. Let  $A : X \rightarrow Y$  be a linear operator between the Hilbert spaces  $X$  and  $Y$ , and let  $L : X \rightarrow Z$  be a linear, possibly unbounded, operator between the Hilbert spaces  $X$  and  $Z$ . Typical examples that we have in mind are differential operators where  $Z = X$  and  $L : X \rightarrow X$  is unbounded, or  $Z = \ell_2$  when we compute the wavelet coefficients of the solution, or  $Z = \mathbb{R}^N$  when we compute  $N$  of those coefficients. In the last two cases the operator  $L$  is bounded.

In the reconstruction part we have to solve

$$(3.1) \quad Af = g$$

and then we apply the operation  $L$  on the so computed solution  $f$  for the image analysis.

Now we adapt the concept of approximate inverse, first introduced in [20]. In [8, 18] even derivatives of the solution of  $Af = g$  are directly calculated. We now compute instead of  $Lf$  an approximation

$$(Lf)_\gamma = \langle Lf, e_\gamma \rangle$$

with a prescribed mollifier  $e_\gamma(x, \cdot) \in X$ . The value  $x$  depends on the application. In the situation  $X = L_2(U)$  and  $L : X \rightarrow X$ ,  $x \in U$  is the reconstruction point where  $Lf$  is evaluated. In the wavelet application  $x$  is the index of the scaling function or the wavelet coefficient. We formulate in the following theorem the principle of the reconstruction method, and the technical details, as conditions on the mollifier, are treated in the next section.

**Theorem 3.1.** *Let  $e_\gamma(x, \cdot) \in X$  be a suitably chosen mollifier, and let  $\psi_\gamma(x, \cdot) \in Y$  be the solution of the auxiliary problem*

$$(3.2) \quad A^*\psi_\gamma(x, \cdot) = L^*e_\gamma(x, \cdot).$$

Then the smoothed version of the image analysis operation is directly computed from the given data  $g$  as

$$(3.3) \quad (Lf)_\gamma(x) = \langle g, \psi_\gamma(x, \cdot) \rangle.$$

*Proof.* We write the smoothed version of the image analysis part as

$$(Lf)_\gamma(x) = \langle Lf, e_\gamma(x, \cdot) \rangle.$$

Now we use the adjoint operator of  $L$  and the auxiliary problem to continue:

$$\begin{aligned} (Lf)_\gamma(x) &= \langle f, L^*e_\gamma(x, \cdot) \rangle \\ &= \langle f, A^*\psi_\gamma(x, \cdot) \rangle \\ &= \langle g, \psi_\gamma(x, \cdot) \rangle, \end{aligned}$$

where in the last step we have used the original equation  $Af = g$ . ■

We remark that if the auxiliary problem is not solvable, i.e., if  $L^*e_\gamma$  is not in  $\mathcal{R}(A^*) \subset \mathcal{N}(A)^\perp$ , then we solve the normal equation  $AA^*\psi_\gamma = AL^*e_\gamma$ , which still leads to a regularized pseudosolution of the problem of finding  $Lf$ .

**Definition 3.2.** The operator  $S_\gamma : Y \rightarrow Z$  defined as

$$(3.4) \quad S_\gamma g = \langle g, \psi_\gamma(x, \cdot) \rangle$$

is called the approximate inverse of  $A$  to compute an approximation of  $Lf$ , and  $\psi_\gamma$  is called the reconstruction kernel.

**4. Regularization method.** In this section we study the smoothness conditions necessary to guarantee a suitable solution to the whole problem generalizing the results of [19, 12] in the framework of ill-posed problems [9, 17]. Let  $A$  be a linear operator between the Hilbert spaces  $X$  and  $Y$ , and let  $L$  be a linear operator between the Hilbert spaces  $X$  and  $Z$ .

**Definition 4.1.** A regularization of  $A_L^\dagger := LA^\dagger$  for finding the enhanced solution  $Lf \in Z$  of  $Af = g$  and the application of the image analysis operator  $L$  is a family of operators

$$\{T_\gamma\}_{\gamma>0}, \quad T_\gamma : Y \rightarrow Z$$

with a mapping  $\gamma : \mathbb{R}^+ \times Y \rightarrow \mathbb{R}^+$  such that for all  $g \in \mathcal{D}(A_L^\dagger)$  and for all  $g^\epsilon \in Y$  with  $\|g - g^\epsilon\| \leq \epsilon$  the equality

$$\lim_{\epsilon \rightarrow 0, g^\epsilon \rightarrow g} T_{\gamma(\epsilon, g^\epsilon)} g^\epsilon = LA^\dagger g$$

holds.

If the operator  $L$  is bounded, then clearly any regularization  $T_\gamma$  for  $A^\dagger$  leads with  $LT_\gamma$  to a regularization of  $LA^\dagger$ . Hence we discuss in the following unbounded operators and use the notation introduced in section 2. The problem  $(A, L_2(U), L_2(V))$  is ill-posed of order  $\alpha$ , and  $L$  is a pseudodifferential operator of order  $t$ . In this setting the pseudoinverse  $\tilde{A}^\dagger$  maps  $L_2(V)$  to the space  $H^{-\alpha}(U)$  and then  $L$  to  $H^{-(\alpha+t)}(U)$ . This space is too large; hence we need some smoothing operator to come back to  $L_2(U)$  from this large space  $H^{-(\alpha+t)}(U)$ . In the rest of this section the spaces are considered as spaces over  $U$ ; hence we omit  $U$ .

**Theorem 4.2.** Let  $M_\gamma : H^{-(\alpha+t)} \rightarrow L_2$  be a family of linear continuous operators such that

- (i)  $\|M_\gamma f\|_{L_2} \leq c(\gamma)\|f\|_{H^{-(\alpha+t)}}$  for all  $f \in \mathcal{N}(A)^\perp$ ,
- (ii)  $\lim_{\gamma \rightarrow 0} \|M_\gamma Lf - Lf\| = 0$  for all  $f \in \mathcal{N}(A)^\perp$ .

Then  $T_\gamma = M_\gamma L\tilde{A}^\dagger$  is a regularization of  $A_L^\dagger$  for finding  $Lf$  if we chose  $\gamma$  in such a way that  $c(\gamma)\varepsilon \rightarrow 0$  for  $\varepsilon \rightarrow 0$ .

*Proof.* Let  $g \in \mathcal{D}(A^\dagger)$  and  $g^\varepsilon \in L_2$  such that  $\|g^\varepsilon - g\|_{L_2} \leq \varepsilon$ ; then we get with  $A^\dagger g = \tilde{A}^\dagger g$  for all  $g \in \mathcal{D}(A^\dagger)$  that

$$\begin{aligned} \|T_\gamma g^\varepsilon - A_L^\dagger g\| &\leq \|T_\gamma(g^\varepsilon - g)\| + \|T_\gamma g - A_L^\dagger g\| \\ &= \|M_\gamma L\tilde{A}^\dagger(g^\varepsilon - g)\| + \|T_\gamma g - \tilde{A}_L^\dagger g\| \\ &\leq c(\gamma)\|L\tilde{A}^\dagger(g^\varepsilon - g)\|_{H^{-(\alpha+t)}} + \|M_\gamma L\tilde{A}^\dagger g - L\tilde{A}^\dagger g\| \\ &\leq c(\gamma)c_{L,-\alpha}c_1^{-1}\varepsilon + \|M_\gamma L\tilde{A}^\dagger g - L\tilde{A}^\dagger g\| \\ &\xrightarrow{\varepsilon \rightarrow 0} 0 \end{aligned}$$

for  $\varepsilon \rightarrow 0$  and  $\gamma$  such that  $c(\gamma)\varepsilon \rightarrow 0$ . ■

We now look for conditions for  $e_\gamma$  from section 3 in order to guarantee that the method presented there is a regularization. The function  $e_\gamma(x, y)$  is defined for  $x, y \in U$ . If we consider a mollifier  $e_\gamma$  of convolution type in  $\mathbb{R}^N$ , then we can derive the following result. We denote the Fourier transform of  $e_\gamma$  by  $\hat{e}_\gamma$ .

**Theorem 4.3.** Let  $e_\gamma(x, y)$  be of convolution type, i.e.,  $e_\gamma(x, y) = e_\gamma(x - y)$ , and let

- (i)  $(2\pi)^{N/2} \sup_{\xi} \{(1 + |\xi|^2)^{(\alpha+t)/2} |\hat{e}_\gamma(\xi)|\} \leq c(\gamma)$ ,
- (ii)  $\sup_{\xi \in \mathbb{R}^N} \left( |(2\pi)^{N/2} \hat{e}_\gamma(\xi) - 1| \right) \xrightarrow{\gamma \rightarrow 0} 0$ .

Then  $T_\gamma g(x) = \langle e_\gamma(x, \cdot), L\tilde{A}^\dagger g \rangle$  is a regularization of  $A_L^\dagger$  for finding  $Lf$ .

*Proof.* We check the conditions of Theorem 4.2 as follows:

$$\begin{aligned} \|M_\gamma f\|^2 &= \|\mathcal{F}(M_\gamma f)\|^2 \\ &= (2\pi)^N \int_{\mathbb{R}^N} |\hat{e}_\gamma(\xi) \hat{f}(\xi)|^2 d\xi \\ &= (2\pi)^N \int_{\mathbb{R}^N} (1 + |\xi|^2)^{-(\alpha+t)} (1 + |\xi|^2)^{(\alpha+t)} |\hat{e}_\gamma(\xi)|^2 |\hat{f}(\xi)|^2 d\xi \\ &\leq (2\pi)^N \underbrace{\sup_{\xi} \{(1 + |\xi|^2)^{(\alpha+t)/2} |\hat{e}_\gamma(\xi)|\}^2}_{c(\gamma)^2} \|f\|_{H^{-(\alpha+t)}}^2, \end{aligned}$$

which proves part (i) in Theorem 4.2, and

$$\begin{aligned} \|M_\gamma Lf - Lf\|^2 &= \|\mathcal{F}(M_\gamma Lf - Lf)\|^2 = \int_{\mathbb{R}^N} |(2\pi)^{N/2} \hat{e}_\gamma(\xi) - 1|^2 |\widehat{Lf}(\xi)|^2 d\xi \\ &\leq \sup_{\xi \in \mathbb{R}^N} \left( |(2\pi)^{N/2} \hat{e}_\gamma(\xi) - 1| \right) \|Lf\|_{L_2}^2 \\ &\rightarrow 0, \end{aligned}$$



which proves part (ii) in Theorem 4.2. ■

*Example.* Let  $N = 2$ , and let  $e_\gamma$  be such that

$$\hat{e}_\gamma(\xi) = (2\pi)^{-1} \text{sinc} \frac{\gamma|\xi|\pi}{2} \chi_{[-1/\gamma, 1/\gamma]}(|\xi|)$$

with  $\text{sinc } x = \sin x/x$ . Checking the conditions of Theorem 4.3, we obtain

$$\begin{aligned} \text{(i)} \quad & (2\pi)^{1/2} \sup_{\xi} \{(1 + |\xi|^2)^{(\alpha+t)/2} |\hat{e}_\gamma(|\xi|)\} = \left(1 + \left|\frac{1}{\gamma}\right|^2\right)^{(\alpha+t)/2} = c(\gamma), \\ \text{(ii)} \quad & \sup_{\xi} |2\pi \hat{e}_\gamma(\xi) - 1| \rightarrow 0 \text{ for } \gamma \rightarrow 0, \end{aligned}$$

where we have used that  $|\text{sinc}(x)| \leq 1$  and  $\lim_{\gamma \rightarrow 0} \text{sinc}(\gamma x) = \text{sinc}(0) = 1$ . We also note that  $\sup_{\xi} |\hat{e}(\xi)| \leq (2\pi)^{-1}$ . Hence  $E_\gamma \hat{A}_L^\dagger$  is a regularization of  $A_L^\dagger$ .

**5. Invariances.** The computational efficiency of the approximate inverse depends heavily on the use of invariances. We mention again the reconstruction problem in tomography. If we choose for each reconstruction point  $x$  a special mollifier, namely,  $e_\gamma(x, \cdot)$ , then the reconstruction kernel also depends on  $x$ , and the number of values to store is then the number of reconstruction points times the number of data. If we use invariances, for example, translation and rotational invariances of the Radon transform, and we use these invariances to produce the mollifier, we can reduce this number of values to compute and store to just the number of views per direction. The mathematical basis for this can be found in [18]. Here we derive the corresponding result for the combination of reconstruction and image analysis.

**Theorem 5.1.** *Let  $A : X \rightarrow Y$  and  $L : X \rightarrow Z$  be the two operators as above. Let*

$$\begin{aligned} T_1 &: Z \rightarrow Z, \\ T_2 &: X \rightarrow X, \\ T_3 &: Y \rightarrow Y \end{aligned}$$

*be linear operators with*

$$(5.1) \quad L^* T_1 = T_2 L^*,$$

$$(5.2) \quad T_2 A^* = A^* T_3,$$

*and let  $\Psi_\gamma$  be the solution of the following auxiliary problem for a general mollifier  $E_\gamma \in \mathcal{D}(L^*)$ :*

$$(5.3) \quad A^* \Psi_\gamma = L^* E_\gamma.$$

*Then the solution for the special mollifier*

$$(5.4) \quad e_\gamma = T_1 E_\gamma$$

*is*

$$(5.5) \quad \psi_\gamma = T_3 \Psi_\gamma.$$



*Proof.* We start with the right-hand side of the auxiliary problem and use the above relations to get

$$L^*e_\gamma = L^*T_1E_\gamma = T_2L^*E_\gamma = T_2A^*\Psi_\gamma = A^*T_3\Psi_\gamma;$$

hence  $T_3\Psi_\gamma$  solves the auxiliary problem. ■

As a consequence we observe that the solution for a special mollifier fulfilling the condition  $e_\gamma = T_1E_\gamma$  can be found as

$$\langle f, e_\gamma \rangle = \langle g, T_3\Psi_\gamma \rangle.$$

If, for example, the operators  $A$  and  $L$  are of convolution type and if we also choose the mollifier  $e_\gamma$  to be of convolution type, then the mappings  $T_k$  are all of translation type, which means that the final reconstruction formula also is of convolution type.

**6. Tomography and edge detector.** The mathematical model of computerized tomography in two dimensions, for the parallel geometry, is the Radon transform; see, e.g., [25]. It is defined as

$$\mathbf{R}f(\theta, s) = \int_{\mathbb{R}^2} f(x)\delta(s - x^\top\theta)dx,$$

where  $\theta \in S^1$  is a unit vector and  $s \in \mathbb{R}$ .

We consider  $\mathbf{R}$  as a mapping

$$\mathbf{R} : L_2(\Omega) \rightarrow L_2(S^1 \times \mathbb{R}),$$

where  $\Omega$  is a bounded domain in  $\mathbb{R}^2$ . In the notation of section 2 we have  $A = \mathbf{R}$ ,  $U = \Omega$ , and  $V = S^1 \times \mathbb{R}$ . The Radon transform has a trivial null-space. The relations (2.2) and (2.3) hold with  $\alpha = 1/2$ ; see [25].

In the following we summarize a few results. The central slice theorem, or projection theorem, is nothing but the formal application of the adjoint operator for fixed direction  $\theta$  on  $\exp(is\sigma)$ :

$$(6.1) \quad \widehat{\mathbf{R}f}(\theta, \sigma) = (2\pi)^{1/2}\hat{f}(\sigma\theta).$$

The Radon transform of a derivative is

$$(6.2) \quad \mathbf{R}\frac{\partial}{\partial x_k}f(\theta, s) = \theta_k\frac{\partial}{\partial s}\mathbf{R}f(\theta, s);$$

see, e.g., [25] and generalizations for higher derivatives. The inversion formula for the two-dimensional Radon transform is

$$(6.3) \quad \mathbf{R}^{-1} = \frac{1}{4\pi}\mathbf{R}^*\mathbf{I}^{-1},$$

where  $\mathbf{R}^*$  is the adjoint operator from  $L_2$  to  $L_2$  known as backprojection

$$\mathbf{R}^*g(x) = \int_{S^1} g(\theta, x^\top\theta)d\theta$$

and the Riesz potential  $\mathbf{I}^{-1}$  is defined with the Fourier transform

$$\widehat{\mathbf{I}^{-1}g}(\theta, \sigma) = |\sigma|\hat{g}(\theta, \sigma),$$

where the Fourier transform acts on the second variable.

The following invariances are well established for the Radon transform. Consider for  $x \in \mathbb{R}^2$  the shift operators  $T_2^x f(y) = f(y - x)$  and  $T_3^{x^\top \theta} g(\theta, s) = g(\theta, s - x^\top \theta)$ ; then

$$(6.4) \quad \mathbf{R}T_2^x = T_3^{x^\top \theta} \mathbf{R}.$$

Another couple of intertwining operators is found by rotation. Let  $\Theta$  be a unitary  $2 \times 2$  matrix and  $D_2^\Theta f(y) = f(\Theta y)$ . Then

$$(6.5) \quad \mathbf{R}D_2^\Theta = D_3^\Theta \mathbf{R},$$

where  $D_3^\Theta g(\theta, s) = g(\Theta \theta, s)$ . With the  $(T\mathbf{R})^* = \mathbf{R}^*T^*$  we get the relations used in Theorem 5.1. These two invariances lead, for a mollifier of convolution type and independent of the directions, i.e.,  $e_\gamma(x, y) = E_\gamma(\|x - y\|)$ , to a reconstruction kernel for determining  $f$  of convolution type, independent of the direction, namely,  $\psi_\gamma(x; \theta, s) = \Psi_\gamma(s - x^\top \theta)$ .

For the edge detectors we use differential operators  $\mathbf{L}_k = \frac{\partial}{\partial x_k}$ . These operators are considered as

$$\mathbf{L}_k : \mathcal{D}(\mathbf{L}_k) \subset L_2(\Omega) \rightarrow L_2(\Omega);$$

hence the scalar products used in the following are  $L_2$  scalar products. They fulfill condition (2.6) with

$$t = 1.$$

We recapitulate the facts necessary to apply the results from the last section. The Radon transform fulfills conditions (2.2) and (2.3) with  $\alpha = 1/2$ . The range of  $\mathbf{R}$  is described by consistency conditions, known as Helgason–Ludwig–Gelfand conditions; for references see, e.g., [25, 28]. The operators  $\mathbf{L}_k$  fulfill (2.6) with  $t = 1$ , which means that the domain of  $\mathbf{R}_k^\dagger$  consists of those functions in  $\mathcal{D}(\mathbf{R}^\dagger)$  such that  $\mathbf{R}^\dagger g \in H^1(\Omega)$ ; hence  $\mathbf{L}_k \mathbf{R}^\dagger g \in L_2(\Omega)$ . The whole problem of determining  $\mathbf{L}_k f$  from  $\mathbf{R}f = g$  is thus ill-posed of order

$$(6.6) \quad \alpha + t = 3/2.$$

We observe that  $\mathbf{L}_k$  also intertwines with the shift operators considered above. Hence, Theorem 5.1 tells us immediately that if we choose a mollifier of convolution type, then the reconstruction also is of filtered backprojection type as is the case for the standard reconstruction algorithm for determining  $f$ . In order to find the dependency of the reconstruction kernel with respect to the direction, we make use of the relation of the Radon transform and the differential operators given in (6.2).

**Theorem 6.1.** *Let the mollifier  $e_\gamma(x, \cdot)$  be given as*

$$(6.7) \quad e_\gamma(x, y) = E_\gamma(\|x - y\|).$$

*Then the reconstruction kernel for finding  $\mathbf{L}_k f$ , where  $\mathbf{L}_k = \frac{\partial}{\partial x_k}$ , is  $\psi_\gamma(x, \cdot)$  with*

$$(6.8) \quad \psi_{\gamma k}(x; \theta, s) = \theta_k \Psi_\gamma(s - x^\top \theta),$$

where  $\theta_k$  is the  $k$ th component of  $\theta$  and where  $\Psi_\gamma(s)$  is determined as

$$(6.9) \quad \Psi_\gamma = -\frac{1}{4\pi} \frac{\partial}{\partial s} I^{-1} \mathbf{R} E_\gamma.$$

If, in addition,  $\hat{E}_\gamma$  fulfills the conditions of Theorem 4.3, then  $S_\gamma g := \langle g, \psi_{\gamma k} \rangle$  is a regularization of  $\mathbf{R}_{\mathbf{L}_k}^\dagger$ .

*Proof.* We start with the auxiliary problem and use the inversion formula for  $\mathbf{R}$ :

$$\begin{aligned} \mathbf{R}^* \psi_\gamma &= \mathbf{L}_k^* e_\gamma \\ &= \mathbf{R}^{-1} \mathbf{R} \mathbf{L}_k^* e_\gamma \\ &= \frac{1}{4\pi} \mathbf{R}^* \mathbf{I}^{-1} \mathbf{R} \mathbf{L}_k^* e_\gamma; \end{aligned}$$

hence we get

$$\psi_\gamma = \frac{1}{4\pi} \mathbf{I}^{-1} \mathbf{R} \mathbf{L}_k^* e_\gamma.$$

The relation (5.2) between Radon transform and differential operators together with  $L_k^* = -L_k$  results in

$$\psi_\gamma = -\frac{1}{4\pi} \theta_k \mathbf{I}^{-1} \frac{\partial}{\partial s} \mathbf{R} e_\gamma.$$

Using Fourier transforms we see that  $I^{-1}$  and  $\frac{\partial}{\partial s}$  commute; hence

$$\psi_\gamma = -\frac{1}{4\pi} \theta_k \frac{\partial}{\partial s} \mathbf{I}^{-1} \mathbf{R} e_\gamma.$$

Now  $e_\gamma$  is independent of a direction, as are  $\mathbf{R} e_\gamma$  and the derivatives. Combining this with the conclusions of Theorem 5.1, we prove the above statement. ■

In the following we present a special mollifier for verifying the theoretical results of the preceding chapters. The cut-off frequency is denoted as  $b$  and is related to the  $\gamma$  used before by

$$(6.10) \quad b = 1/\gamma.$$

In a first step we choose the mollifier for the reconstruction part. Because of its advantageous properties we select the mollifier stemming from the Shepp–Logan kernel,

$$(6.11) \quad \hat{e}_b^1(\xi) = (2\pi)^{-1} \operatorname{sinc} \frac{\|\xi\| \pi}{2b} \chi_{[-b, b]}(\|\xi\|).$$

For the differentiation part we choose with a possibly different parameter  $\beta$  (cf. [16])

$$(6.12) \quad \hat{e}_\beta^2(\xi) = (2\pi)^{-1} \operatorname{sinc} \frac{\|\xi\| \pi}{\beta}$$

leading to a combined kernel of the form

$$(6.13) \quad E_{b\beta} = e_b^1 * e_\beta^2$$

with

$$(6.14) \quad \widehat{E_{b\beta}}(\xi) = (2\pi)^{-1} \operatorname{sinc} \frac{\|\xi\| \pi}{2b} \operatorname{sinc} \frac{\|\xi\| \pi}{\beta} \chi_{[-b,b]}(\|\xi\|).$$

**Theorem 6.2.** *The mollifier  $E_{b\beta}$  given in (6.13) is of convolution type and radially symmetric. It fulfills with  $\gamma = 1/b$  (see (6.10)) and  $\beta = \tau b$*

- (i)  $(2\pi) \sup_{\xi} \{(1 + |\xi|^2)^{3/4} |\widehat{E_{b\beta}}(\xi)|\} \leq c(\gamma) = (1 + \gamma^{-2})^{3/4},$
- (ii)  $\sup_{\xi \in \mathbb{R}^2} (|(2\pi)^{N/2} \widehat{E_{b\beta}}(\xi) - 1|) \xrightarrow{\gamma \rightarrow 0} 0.$

Hence,  $T_{b\beta}g = \langle g, \psi_{b\beta} \rangle$  with  $\psi_{b\beta}$  determined according to Theorem 6.1 is a regularization for determining  $\mathbf{L}_k f$ , using all the invariances presented in this section.

*Proof.* Compared to the example at the end of section 4, the Fourier transform of the mollifier  $E_{b\beta}$  used here has an additional factor  $\operatorname{sinc}(\pi\|\xi\|/\beta)$  which is also bounded by 1; hence estimate (i) follows. Due to the fact that the parameter  $\beta$  is tied to  $b$  and hence also tends to  $\infty$  for  $\gamma \rightarrow 0$ , this sinc-factor also tends to 1, which proves condition (ii). ■

In order to compute the reconstruction kernel for determining  $L_k f$ , we start by computing the Radon transform of  $E_{b\beta}$ , where we use the fact that the Radon transform of a convolution is the convolution of the Radon transforms

$$\mathbf{R}E_{b\beta} = \mathbf{R}e_b^1 * \mathbf{R}e_\beta^2.$$

Next we use the convolution theorem for Fourier transforms and the projection theorem for the Radon transform to get

$$\begin{aligned} (\mathbf{R}E_{b\beta})^\wedge(\sigma) &= (2\pi)^{1/2} (\mathbf{R}e_b^1)^\wedge(\sigma) (\mathbf{R}e_\beta^2)^\wedge(\sigma) \\ &= (2\pi)^{3/2} \widehat{e_b^1}(\sigma\theta) \widehat{e_\beta^2}(\sigma\theta), \end{aligned}$$

where we use the fact that  $E_{b\beta}(x)$  depends only on the length of  $x$ ; hence its Radon transform is independent of  $\theta$ , and in the last step we can use any  $\theta$ . In the following we write, for the sake of simplicity,  $e_c(\sigma)$  instead of  $e_c(\sigma\theta)$ . Now we apply differentiation and the Riesz potential:

$$\begin{aligned} -\frac{1}{4\pi} \left( \frac{\partial}{\partial s} \mathbf{I}^{-1} \mathbf{R}E_{b\beta} \right)^\wedge(\sigma) &= -\frac{1}{4\pi} (2\pi)^{3/2} \iota \sigma |\sigma| \widehat{e_\beta^2}(\sigma) \widehat{e_b^1}(\sigma) \\ &= (2\pi)^{1/2} \left( -\iota \sigma (2\pi)^{1/2} \widehat{e_\beta^2}(\sigma) \right) \left( \frac{1}{2} (2\pi)^{-1/2} |\sigma| \widehat{e_b^1}(\sigma) \right) \\ &= (2\pi)^{1/2} (\widehat{\psi_\beta^2}(\sigma)) (\widehat{\psi_b^1}(\sigma)) \\ &= (\psi_\beta^2 * \psi_b^1)^\wedge(\sigma). \end{aligned}$$

The kernel  $\psi_b^1$  is with the above choice of  $e_b^1$  the Shepp–Logan kernel (see [25, p. 111])

$$\widehat{\psi_b^1}(\sigma) = \frac{1}{8\pi^2} (2\pi)^{1/2} |\sigma| \operatorname{sinc} \frac{\|\xi\| \pi}{2b} \chi_{[-b,b]}(\|\xi\|)$$

with

$$(6.15) \quad \psi_b^1(s) = \frac{b^2 \frac{\pi}{2} - bs \sin(bs)}{2\pi^3 \frac{\pi^2}{2} - (bs)^2}.$$

For the kernel  $\psi_\beta^2$  we observe that

$$\begin{aligned} \hat{\psi}_\beta^2(\sigma) &= -i\sigma(2\pi)^{-1/2} \operatorname{sinc} \left( \frac{\sigma\pi}{\beta} \right) \\ &= -i\sigma(2\pi)^{-1/2} \frac{\sin(\sigma\pi/\beta)}{\sigma\pi/\beta} \\ &= -i \frac{\beta}{\pi} (2\pi)^{-1/2} \frac{1}{2i} \left( \exp \left( \frac{i\sigma\pi}{\beta} \right) - \exp \left( \frac{-i\sigma\pi}{\beta} \right) \right) \\ &= -\frac{\beta}{2\pi} (\hat{\delta}_{-\pi/\beta} - \hat{\delta}_{\pi/\beta})(\sigma), \end{aligned}$$

where we used in the last step that

$$\hat{\delta}_s(\sigma) = (2\pi)^{-1/2} \exp(-i\sigma s).$$

For the final result of  $\psi_{b\beta}$  we then get

$$\begin{aligned} \psi_{b\beta}(s) &= \psi_\beta^2 * \psi_b^1(s) \\ &= \frac{\beta}{2\pi} \left( \psi_b^1 \left( s + \frac{\pi}{\beta} \right) - \psi_b^1 \left( s - \frac{\pi}{\beta} \right) \right). \end{aligned}$$

Now we choose  $b = \beta$ , which means  $\tau = 1$  in the last theorem, and, as usual (see again [25]), we put  $b = \pi/h$  and  $s_\ell = \ell h$  and get the new filter

$$(6.16) \quad \psi_{\pi/h}(s_\ell) = \frac{1}{\pi^2 h^3} \frac{8\ell}{(3 + 4\ell^2)^2 - 64\ell^2}, \quad \ell \in Z.$$

To present the algorithm we assume that the data  $\mathbf{R}f(\theta, s)$  are given for  $s_k = kh$ ,  $k = -q, \dots, q$ ,  $h = 1/q$ , and  $\theta_j = (\cos \varphi_j, \sin \varphi_j)^\top$  with  $\varphi_j = \pi(j-1)/p$ ,  $j = 1, \dots, p$ .

We choose

$$(6.17) \quad b = \frac{\pi}{h},$$

leading to the filter  $\psi_\gamma$  from above.

*Step 1.* For  $j = 1, \dots, p$ , evaluate the discrete convolutions

$$(6.18) \quad v_{m,j} = h \sum_{\ell=-q}^q \psi_b(s_j - s_\ell) \mathbf{R}f(\theta_m, s_\ell), \quad j = -q, \dots, q.$$

*Step 2.* To get the partial derivatives with respect to  $x_k$ ,  $k = 1, 2$ , calculate

$$(6.19) \quad v_{m,j}^k = \theta_{m,k} v_{m,j}.$$

*Step 3.* For each reconstruction point  $x$  compute the discrete backprojection

$$(6.20) \quad \left( \frac{\partial}{\partial x_k} f \right)_b(x) = \frac{2\pi}{p} \sum_{m=1}^p \left( (1-\eta)v_{m\ell}^k + \eta v_{m,\ell+1}^k \right),$$

where, for each  $m$  and  $x$ ,  $\ell$  and  $\eta$  are determined by

$$s = \theta^\top x, \quad \ell \leq s/h < \ell + 1, \quad \eta = s/h - \ell.$$

When comparing to the standard reconstruction (see, e.g., [25]), we observe that the filter changes and, in addition, we have Step 2, the multiplication with  $\cos \varphi_m$  or  $\sin \varphi_m$ , respectively. The filter is the same for both derivatives.

Here we optimize the choice of the different filters and the selection of the parameters. In order to test the algorithm we choose the well-known Shepp–Logan phantom, where we use the densities originally given by Shepp–Logan; i.e., the skull has the value 2, and the brain has the value 1 (in contrast to the work of many authors, where these values are lowered by 1 leading to a brain consisting of air, as in the outside of the skull). The objects inside the brain differ by 1% up to 3% from the surrounding tissue.

The number of data is  $p = 720$  and  $q \approx p/\pi$ , namely,  $q = 326$  leading to 653 rays per view. The reconstruction is computed on a  $1025 \times 1025$  grid.

Figures 1 and 2 show the result of the above-mentioned algorithm with exact data. We observe that even the height of the jumps is correctly computed within the numerical approximation of the derivatives.

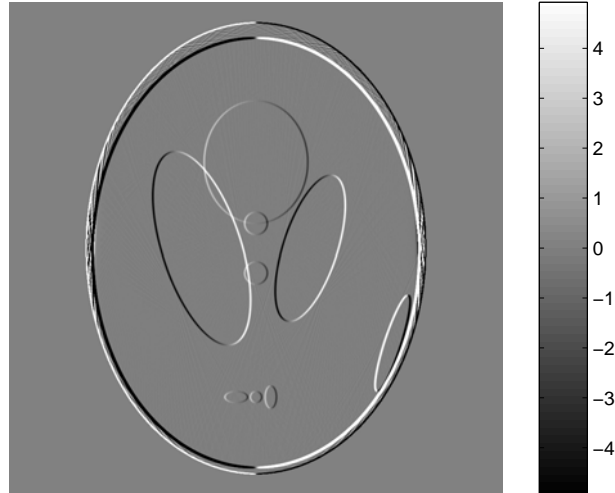
Then we add to the data 5% noise. Figure 3 shows the density reconstruction and Figure 4 the application of the smoothed derivative in the  $x_1$ -direction. Figures 5 and 6 show the result of the above algorithm; the contours of the object are clearly visible, which is even the case for the objects differing by only 1% relative to the surrounding tissue. This is not the case for the classical approach in Figure 4 and also not the case for the application of  $\Lambda$  tomography, where the second derivative of the data is computed and backprojected; see Figure 7.

The artifacts outside the object can easily be removed by implementing the support theorem for the Radon transform stating that the object vanishes on lines parallel to  $\theta$  not meeting the support of the data; see [2].

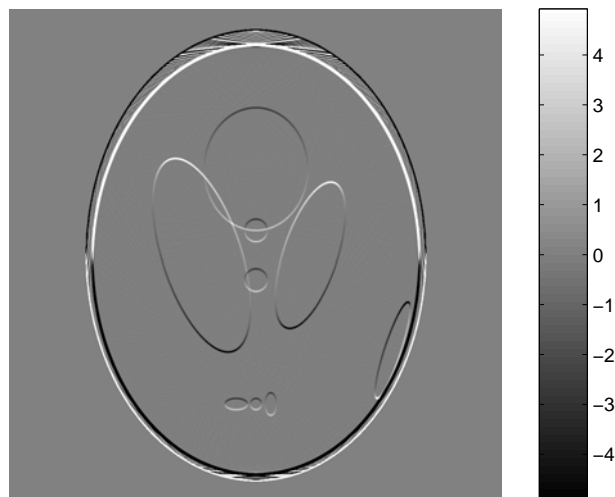
Figure 8 shows that if we do not follow the theoretically motivated strategy of parameter selection as above we get much worse reconstructions. If we choose a smaller  $\gamma$ , then we lose resolution. As a consequence we note that it pays to combine the two steps of image reconstruction and image analysis wherever possible.

Finally, Figures 9 and 10 show  $|\mathbf{L}_1 f| + |\mathbf{L}_2 f|$ , where the colortable is changed such that the highest values are black, and the same window is used for both images. Figure 9 is produced with the method presented here, and Figure 10 with first reconstructing  $f$  and then performing the differentiation on the smoothed reconstructions as in Figure 4.

Where the computation time is concerned, this approach differs only by the filter selection from the standard filtered backprojection, so it is as fast as this, and the additional computing time for the differentiation of the reconstruction is not needed. When taking into account that the backprojection step in the calculation is due to the determination of which detector

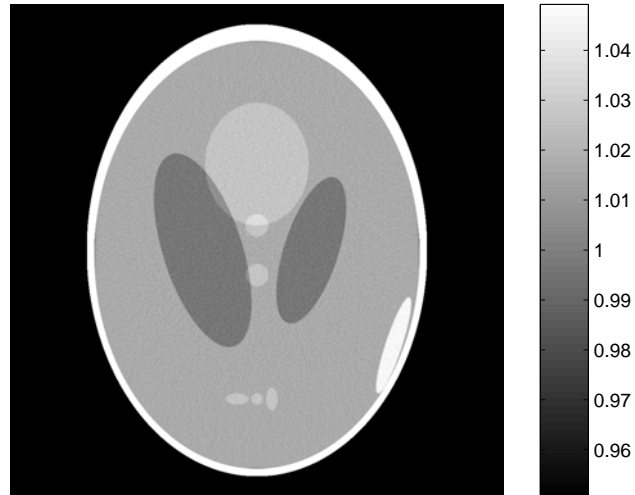


**Figure 1.** Application of the above algorithm for the derivative in the  $x_1$ -direction with exact data.

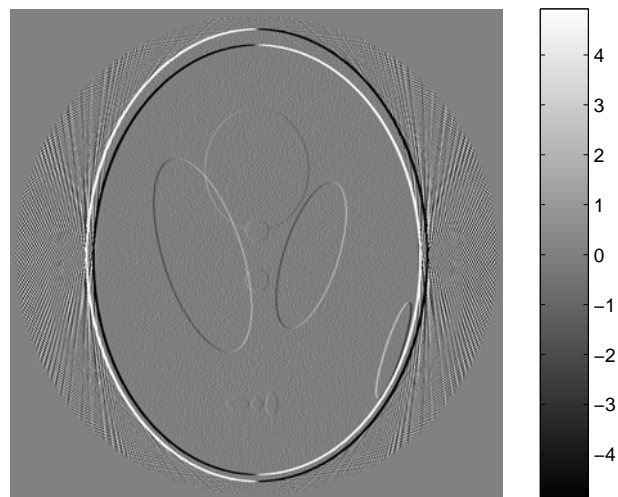


**Figure 2.** Application of the above algorithm for the derivative in the  $x_2$ -direction with exact data.

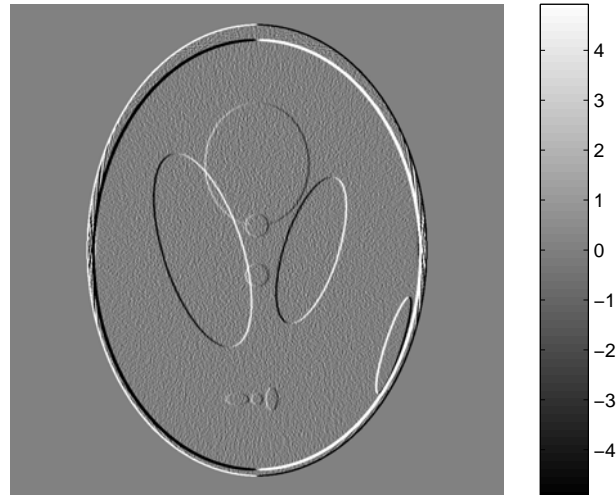




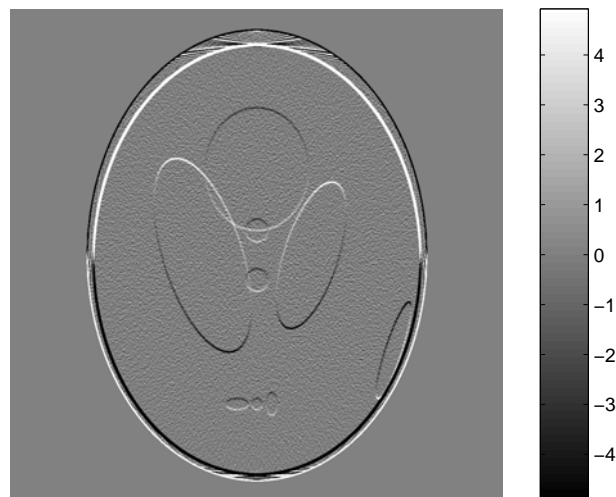
**Figure 3.** *Classical reconstruction of noisy data.*



**Figure 4.** *Smoothed derivative in the  $x_1$ -direction of the image in Figure 3.*



**Figure 5.** Application of the above algorithm for the direct computation of the derivative in the  $x_1$ -direction.



**Figure 6.** Application of the above algorithm for the direct computation of the derivative in the  $x_2$ -direction.

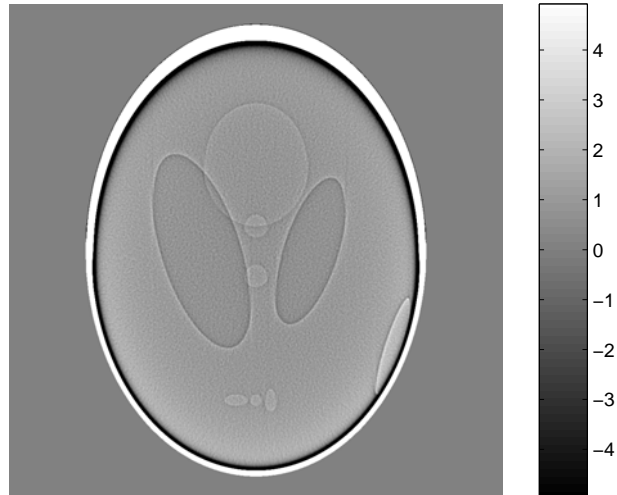


Figure 7.  $\Lambda$  tomography [10].

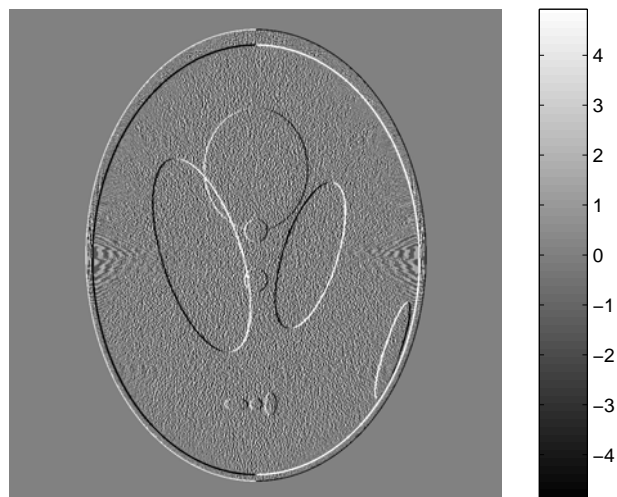
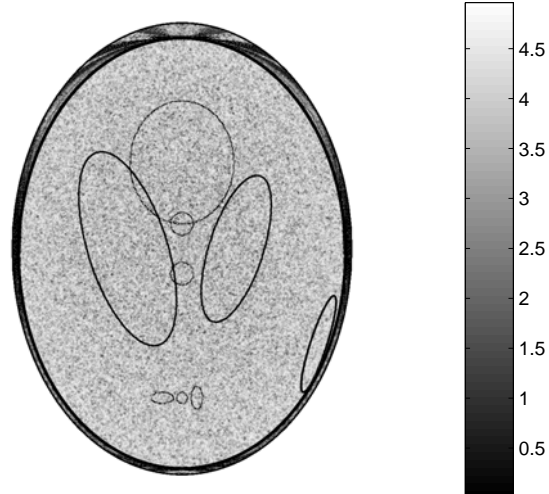
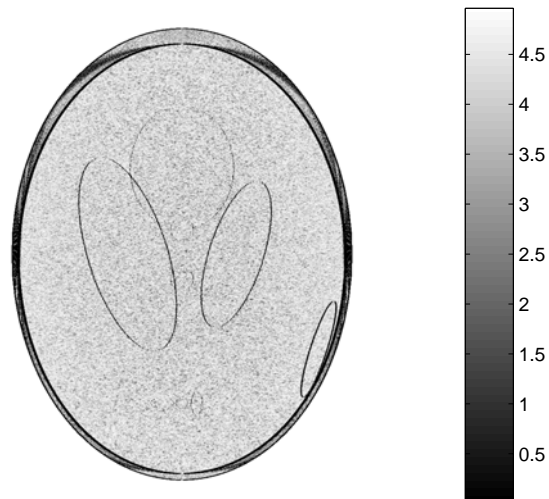


Figure 8. Reconstruction of the same data set with  $\beta = b$  replaced by  $\beta = 2b$  in the second filter.



**Figure 9.** Display of  $|L_1f| + |L_2f|$  with the method presented here.



**Figure 10.** Display of  $|L_1f| + |L_2f|$  when first the function is reconstructed and then the derivatives are computed from the smoothed image; compare to Figure 4.

position is to be used for a combination of direction and reconstruction points, even the calculation of three images, namely, the density itself and the two derivatives, is almost as fast as the reconstruction of the image itself if it is performed in one program.

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