# Common Modulus Attacks on Small Private Exponent RSA and Some Fast Variants (in Practice) 

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#### Abstract

In this work we re-examine two common modulus attacks on RSA. First, we show that Guo's continued fraction attack works much better in practice than previously expected. Given three instances of RSA with a common modulus $N$ and private exponents each smaller than $N^{0.33}$ the attack can factor the modulus about $93 \%$ of the time in practice. The success rate of the attack can be increased up to almost $100 \%$ by including a relatively small exhaustive search. Next, we consider Howgrave-Graham and Seifert's lattice-based attack and show that a second necessary condition for the attack exists that limits the bounds (beyond the original bounds) once $n \geq 7$ instances of RSA are used. In particular, by construction, the attack can only succeed when the private exponents are each smaller than $N^{0.5-\epsilon}$, given sufficiently many instances, instead of the original bound of $N^{1-\epsilon}$. In addition, we also consider the effectiveness of the attacks when mounted against multi-prime RSA and Tagaki's variant of RSA. For multi-prime RSA, we show three (or more) instances with a common modulus and private exponents smaller than $N^{1 / 3-\epsilon}$ is unsafe. For Takagi's variant, we show that three or more instances with a common modulus $N=p^{r} q$ is unsafe when all the private exponents are smaller than $N^{2 /(3(r+1))-\epsilon}$. The results, for both variants, is obtained using Guo's method and are successful almost always with the inclusion of a small exhaustive search. When only two instances are available, Howgrave-Graham and Seifert's attack can be mounted on multi-prime RSA when the private exponents are smaller than $N^{(3+r) / 7 r-\epsilon}$ when there are $r$ primes in the modulus.


Keywords: RSA, common modulus attack, multi-prime RSA, Takagi's variant, small exponent RSA.

## 1 Introduction

The RSA cryptosystem [16] is the most widely known and widely used public-key cryptosystem. It is well known, however, that RSA is insecure when the private exponent is too small. Wiener's continued fraction attack [20] can be used to efficiently factor the modulus when the private exponent is smaller then $N^{1 / 4-\epsilon}$, where $N$ is the RSA modulus, and Boneh and Durfee's latticebased attack [2] shows that private exponents up to $N^{0.2929-\epsilon}$ should be considered unsafe also. The latter result is an asymptotic bound, but experiments have broken instances of RSA with private exponents up to about $N^{0.28}$ and recent work [17] shows that private exponents up to $N^{0.3}$ are vulnerable (for 1024-bit $N$ ) given some exhaustive search.

The bounds on the private exponent can be increased considerably when there are two or more instances RSA, having the same modulus, with small private exponents. An unpublished attack by Guo (described in [8]) can be used to factor the (common) modulus when three instances are given and the private exponents are each smaller then $N^{1 / 3-\epsilon}$, with some non-negligible probability. A stronger attack, by Howgrave-Graham and Seifert [8], can be used given two or more instances

[^0]of RSA with a common modulus. For example, the attack works for private exponents up to $N^{0.357-\epsilon}$, given only two instances and for private exponents up to $N^{0.4-\epsilon}$ given three instances. The attack is a heuristic lattice-based attack (relying on an assumption about the lattices used) but works well in practice.

In this work we re-examine both Guo's and Howgrave-Graham and Seifert's common modulus attacks. Our original intent was simply to extend the attacks to multi-prime RSA and Takagi's scheme, however, in doing so, we also made some interesting observations about the original attacks on RSA. First, we observe that Guo's attack is expected to be much more successful than originally suggested. This follows by using all of the information available and including some small exhaustive searches. In practice, we observe that the attack works much better than originally expected. Next, we observe that there is a secondary necessary condition (on the bounds for the private exponent) for Howgrave-Graham and Seifert's attack that were missed in [8]. When there are at least seven instances of RSA with a common modulus, we note that the bounds suggested in [8] are overly optimistic (the bounds for six or less remain the same). Finally, and achieving our original goal, we show that multi-prime RSA and Takagi's scheme are vulnerable to common modulus attacks as well. Somewhat surprising, we find that Guo's attack works much better for multi-prime RSA (given three or more instances) than Howgrave-Graham and Seifert's attack which is opposite to the case of RSA. In addition, the strength of the attack on multi-prime RSA (i.e., the bounds on the private exponent) are the same as that for RSA. This is in contrast to all known attacks on multi-prime RSA (except for factoring). Also, we find that only Guo's attack can be used to attack Takagi's scheme. The strength of the attack (in theory and practice) depend on the structure of the modulus and decrease with increasing multiplicity of the prime $p$.

Related Work: This work is directly based on Guo's continued fraction attack and HowgraveGraham and Seifert's lattice based attack on common modulus RSA as (each) described in [8]. Common modulus attacks have not been, to our knowledge, considered in the context of variants of RSA before.

There are some earlier common modulus attacks on RSA by Simmons [18] and DeLaurentis (5), but these attacks apply to the so-called common modulus protocol. In this early protocol many users share the same modulus and each user is not supposed to know the factorization. Since any user with a valid private exponent can compute the factorization of the modulus, however, this protocol is completely insecure.

Contributions: The contributions of our work, in brief, are as follows.

1. We show that Guo's continued fraction attack is much more effective in practice than previously though.
2. We show that Guo's attack can be mounted on multi-prime (with the same strength as for RSA) and on Takagi's scheme with reduced bounds (depending on the form of the modulus).
3. We show that a second necessary condition for Howgrave-Graham and Seifert's lattice-based attack exists, which limits the strength of the attack for $n \geq 7$ instances of RSA to private exponents smaller then $N^{1 / 2}$.
4. We show that Howgrave-Graham and Seifert's attack can be mounted on multi-prime RSA (but not not Takagi's scheme), but that once there are three instances of multi-prime RSA, Guo's attack is stronger.

Outline: The rest of the paper is as follows. In Section 2, we review the RSA cryptosystem and as well as the two fast variants we consider (multi-prime and Takagi's scheme). The tools needed for the attacks (continued fractions and lattices) are briefly reviewed in Section 3. We use Wiener's attack as an example to illustrate both techniques. In Section 4, we review Guo's continued fraction attack and present experimental data to show the effectiveness of the attack. In addition, we mount the attack on multi-prime RSA and Takagi's scheme. In Section 5, we review Howgrave-Graham and Seifert's lattice-based attack. We show that a secondary necessary condition for the attack exists that limits the effectiveness of the attack for $n \geq 7$ instances of RSA. We also show the effectiveness of the attack when mounted on multi-prime RSA. Finally, we conclude with Section 6.

## 2 RSA and Some Fast Variants

The RSA cryptosystsm [16] is the most widely known and most widely used public key cryptosystem in the world. Let $N=p q$ be the product of two large (distinct) primes and let $e$ and $d$ be inverses modulo $\lambda(N)=\operatorname{lcm}(p-1, q-1)$. Thus, $e$ and $d$ satisfy the RSA key equation

$$
e d=1+k \lambda(N)
$$

where $k$ is some positive integer. The value $N$ is called the RSA modulus (or modulus for short), $e$ is the public (encrypting) exponent and $d$ is the private (decrypting) exponent. The public key is given by $(e, N)$ and the private key is given by $(d, p, q)$. The exponents can actually be defined as inverses modulo any multiple of $\lambda(N)$. In fact, $\phi(N)=(p-1)(q-1)=\operatorname{gcd}(p-1, q-1) \lambda(N)$ is the value used in the original presentation of RSA [16] and is often used in the presentation of many attacks. The reason that $\phi(N)$ is desirable, from the point of view of an attacker, is that

$$
\phi(N)=(p-1)(q-1)=N-p-q+1
$$

can be approximated as $N$ minus a small correction term $(s=p+q-1)$. When the primes are balanced, that is $1 / 2<p / q<2$, we then have

$$
|s|=|N-\phi(N)|=|p+q-1|<3 N^{1 / 2}
$$

and so $N$ is a good approximation of $\phi(N)$. We will only consider RSA with balanced primes.
Given a plaintext message $m \in \mathbb{Z}_{N}$, the ciphertext is computed as $c=m^{e} \bmod N$ and plaintext is recovered since $c^{d} \bmod N=m^{e d} \bmod N=m$. Thus encryption is simply a modular exponentiation of the plaintext with exponent $e$ and decryption is a modular exponentiation of the ciphertext with exponent $d$. We will refer to decryption in this manner as standard decryption. To speed up decryption, however, we can first compute partial decryptions modulo $p$ and modulo $q$ and then combine these with the Chinese remainder theorem to recover the plaintext (see [15]). In particular, letting $d_{p}=d \bmod p-1$ and $d_{q}=d \bmod q-1$, we can first compute $m_{p}=c^{d_{p}} \bmod p$ and $m_{q}=c^{d_{q}} \bmod q$ and then combine $m_{p}$ and $m_{q}$, using the Chinese remainder theorem, to recover the plaintext $m$. We will refer to this type of decryption as CRT-decryption and the exponents $d_{p}$ and $d_{q}$ as CRT-exponents.

Using simple quadratic complexity modular arithmetic and the square-and-multiply method for modular exponentiation, the expected number of binary operations for standard decryption is expected to be $T_{R S A}=\frac{3}{2} \log _{2}(d) \log _{2}^{2}(N)$. Here, we also assume that the binary representation of
$d$ has roughly an equal number of ones and zeros. When the private exponent is smaller than each of the primes (roughly $N^{1 / 2}$ ), it follows that $d_{p}=d_{q}=d$, and the expected number of binary operations for CRT-decryption, is reduced to

$$
T_{C R T}=2 \frac{3}{2} \log _{2}(d) \log _{2}^{2}(p)=2 \frac{3}{2} \log _{2}(d) \frac{1}{4} \log _{2}^{2}(N)=\frac{1}{2} T_{R S A},
$$

where the time for exponentiations dominate the time and we ignore the cost for the initial reductions and final combining stages. The runtime can be reduced by another factor of two if we assume parallel computations. Thus, in theory, using CRT-decryption gives a decrease in decryption time by a factor of four.

In a typical instance of RSA with a small exponent the public exponent is expected to be roughly the same size as $\lambda(N)$. For randomly chosen balanced primes, it is expected that $\lambda(N) \approx$ $\phi(N)$ and so $e \approx N$. We will assume that all public exponents for RSA (with small private exponent) satisfies this approximation.

The strongest known small private exponent attack on (single instance) RSA is Boneh and Durfee's lattice-based attack [2]. The attack shows that private exponents smaller than $N^{0.2929-\epsilon}$ should be considered insecure. In practice, this bound can be increased with an additional exhaustive search. It was shown by Sarkar, Maitra and Sarkar [17], for example, that private exponents up to $N^{0.3}$ can feasibly be recovered.

### 2.1 Multi-prime RSA

Multi-prime RSA is a variant of RSA in which the modulus is the product of three or more (distinct) primes. When the modulus is the product of $r$ primes, $N=p_{1} \cdots p_{r}$, we call the system $r$-prime RSA. The public and private exponents are defined as inverses modulo $\phi(N)=$ $\left(p_{1}-1\right) \cdots\left(p_{r}-1\right)$. Encryption and standard decryption are exactly the same as with RSA (modular exponentiation with exponent $e$ for encryption and $d$ for decryption). CRT-decryption is a simple generalization of RSA. We compute the partial decryption modulo each of the $r$ primes and then combine to recover the plaintext using the Chinese remainder theorem. For a fixed modulus size (bitlength), larger $r$ implies smaller primes since each prime is roughly $N^{1 / r}$ when the primes are balanced. For private exponents smaller than each of the primes the expected number of binary operations for CRT-decryption is given by

$$
T_{C R T}^{r-p r i m e}=r \frac{3}{2} \log _{2}(d) \log _{2}^{2}\left(p_{i}\right)=r \frac{3}{2} \log _{2}(d) \frac{1}{r^{2}} \log _{2}^{2}(N)=\frac{1}{r} T_{R S A} .
$$

Here the runtime can be reduced by another factor of $r$ if we assume parallel computations. Thus, in theory, CRT-decryption with $r$-prime RSA should give a decrease in decryption time by a factor of $r^{2}$ compared to standard decryption (using the same assumptions as above for RSA). Of course, using too many primes in the modulus makes the elliptic curve method for factoring more efficient so a trade-off must be made. Balancing the expected complexity of factoring the modulus with the number field sieve and the elliptic curve method, the suggested maximum number of primes for several common modulus bitlengths are given in the following.

| Modulus size (bits) | 1024 | 2048 | 4096 | 8192 |
| :---: | :---: | :---: | :---: | :---: |
| Maximum number of primes | 3 | 3 | 4 | 5 |

As soon as more than this number of primes are in the modulus, the elliptic curve method is expected to factor the modulus faster than the number field sieve. For more details, see Lenstra [11].

When all the primes in the modulus are pairwise balanced, which we will assume is always true, it can be shown (see [7) that

$$
|s|=|N-\phi(N)|=\left|\sum_{i} \frac{N}{p_{i}}-\sum_{i \neq j} \frac{N}{p_{i} p_{j}}+\cdots+(-1)^{r}\right|<(2 r-1) N^{1-1 / r} .
$$

This value will be needed when extending Howgrave-Graham and Seifert't attack to multi-prime RSA.

In a typical instance of $r$-prime RSA with a small exponent the public exponent is expected to be roughly the same size as $\phi(N)$. Again, since $\phi(N) \approx N$, we will use the approximation that $e \approx N$ for all public exponents for $r$-prime RSA (with small private exponent).

The strongest known small private exponent attack on (single instance) $r$-prime RSA is, again, Boneh and Durfee's lattice-based attack (as applied to multi-prime RSA). The extension of the attack to multi-prime RSA, by Ciet et al. 3], shows that private exponents smaller than $N^{1-\sqrt{1-1 / r}}$ should be considered insecure. For example, private exponents smaller than about $N^{0.1835}$ should be considered unsafe for 3 -prime RSA, while private exponents smaller than about $N^{0.134}$ should be considered unsafe for $r$-prime RSA. This trend, that attacks become weaker with increasing number of primes in the modulus, is common to all known attacks on multi-prime RSA other than the factoring the modulus with the elliptic curve method (see Hinek [6] for more details about attacks on multi-prime RSA).

### 2.2 Takagi's Scheme

Takagi's scheme [19] is another variant of RSA in which decryption costs are reduced. In this scheme, however, decryption is different from RSA (and even standard decryption does not apply). Here, the modulus has the form $N=p^{t} q$, for some positive integer $t>1$, and the public and private exponents are defined modulo $\lambda^{\prime}(N)=\operatorname{lcm}(p-1, q-1)$. Notice that $\lambda^{\prime}(N)$ is not a multiple of $\lambda(N)=p^{t-1} \operatorname{lcm}(p-1, q-1)$. Encryption is the same as for RSA ( $c=m^{e} \bmod$ $N)$. For decryption, however, we first compute $m_{p}=c^{d_{p}} \bmod p$. Using Hensel lifting, we then lift $m_{p}$ (which is a partial decryption modulo $p$ ) to a partial solution modulo $p^{t}$. This is then combined with $m_{q}=c^{d_{q}} \bmod q$ with the Chinese remainder theorem to recover the plaintext $m$. See Takagi [19] for full details.

The complexity of the Hensel lifting is dominated by the modular exponentiations, so (just considering the exponentiations), the expected number of binary operations is

$$
T_{\text {Takagi }}=2 \frac{3}{2} \log _{2}(d) \log _{2}^{2}(p)=2 \frac{3}{2} \log _{2}(d) \frac{1}{(t+1)^{2}} \log _{2}^{2}(N)=\frac{2}{(t+1)^{2}} T_{R S A} .
$$

Thus, when computing sequentially, decryption is faster than multi-prime RSA. In practice, Takagi has observed that decryption time for $k=3$ with a 1024 -modulus is about $42 \%$ faster than 3 -prime RSA with a 1024 -bit modulus. Just as with multi-prime RSA, the size of $k$ must be balanced so that the modulus is not easily factored. The suggested maximum size of $k$ is given by the suggested maximum size of $r$ for multi-prime RSA letting $t+1=r$. If we assume parallel computations the decryption time will be essentially the same (when matching $r=t+1$ ).

In a typical instance of Takagi's scheme with a small private exponent the public exponent is expected to be roughly the same size as $\lambda^{\prime}(N)=\operatorname{lcm}(p-1, q-1)$. For randomly generated balanced primes, it is expected that $\operatorname{lcm}(p-1, q-1) \approx p q \approx N^{2 /(t+1)}$. We will use this approximation for all instances of Takagi's scheme with small private exponents.

The strongest known small private exponent attack on (single instance) $r$-prime RSA is, yet again, a generalization of Boneh and Durfee's lattice-based attack. The generalization, due to Itoh, Kunihiro and Kurosawa [9, shows that private exponents smaller than $N^{(2-\sqrt{2}) /(t+1)}$ should be considered insecure. For example, private exponents smaller than about $N^{0.1953}$ should be considered unsafe for moduli $N=p^{2} q$, while private exponents smaller than about $N^{0.1464}$ should be considered unsafe for moduli $N=p^{3} q$.

## 3 Continued Fractions, Lattices and Wiener's Attack

In this section we review some of the mathematical results needed for the attacks. We assume the reader already has some familiarity with the topics and only review the needed results. To illustrate each topic (continued fractions and lattices) we briefly outline Wiener's small private exponent attack as implemented with each topic.

### 3.1 Continued Fractions

We need only one main result from the theory of continued fractions (for more general information see Olds [14]). The result is restated in the following theorem.

Theorem 1 (Continued-Fractions). Let $a, b, c$ and $d$ be integers satisfying

$$
\begin{equation*}
\left|\frac{a}{b}-\frac{c}{d}\right|<\frac{1}{2 d^{2}} \tag{1}
\end{equation*}
$$

where $a / b$ and $c / d$ are in lowest terms (i.e., $\operatorname{gcd}(a, b)=\operatorname{gcd}(c, d)=1$ ). Then $c / d$ is one of the convergents in the continued fraction expansion of $a / b$. Further, the continued fraction expansion of $a / b$ is finite with the total number of convergents being polynomial in $\log (b)$.

Using this result, we review Wiener's small private exponent attack on RSA [20] (using Boneh's [1] approach). In order to simplify the presentation we will assume that the public and private exponents are defined modulo $\phi(N)$ instead of modulo $\lambda(N)$ as in Wiener's original work. Let $(e, N)$ be an instance of RSA with balanced primes and let $d<\frac{1}{6} N^{1 / 4}$ be its corresponding private exponent. We start by substituting $\phi(N)=N-p-q+1$ into the key equation giving

$$
\begin{equation*}
e d=1+k(N-p-q+1) \tag{2}
\end{equation*}
$$

and then dividing both sides by $d N$ (and rearranging) to yield

$$
\begin{equation*}
\frac{e}{N}-\frac{k}{d}=\frac{1}{d N}-\frac{k(p+q-1)}{d N} \tag{3}
\end{equation*}
$$

Since $|k|<|d|<\frac{1}{6} N^{1 / 4}$, and $|p+q-1|<3 N^{1 / 2}$, notice that

$$
\left|\frac{e}{N}-\frac{k}{d}\right|=\left|\frac{1}{d N}-\frac{k(p+q-1)}{d N}\right|=\left|\frac{k(p+q-1)}{d N}\right|<\frac{1}{2 d^{2}} .
$$

Therefore, from Theorem 1, it follows that $c=k / d$ is one of the convergents in the continued fraction expansion of $e / N$. Finding this convergent exposes $\phi(N)$ since $1 / k<1$ and

$$
\left\lfloor\frac{e}{c}\right\rfloor=\left\lfloor\frac{e d}{k}\right\rfloor=\left\lfloor\frac{1}{k}+\phi(N)\right\rfloor=\phi(N) .
$$

Once $\phi(N)=(p-1)(q-1)$ is known the modulus is easily factored by solving the system $\phi(N)=(p-1)(q-1)$ and $N=p q$. Since we don't know the correct convergent, we can simply try each one (computing a candidate for $\phi(N)$ ) until the modulus is factored. Since the number of convergents is polynomial in $\log _{2}(N)$ and all computations can be done in time polynomial in $\log _{2}(N)$, it follows that when the private exponent is smaller than $\frac{1}{6} N^{1 / 4}$ the modulus can be factored in time polynomial in $\log _{2}(N)$.

Since the attack is guaranteed to work when $d<\frac{1}{6} N^{1 / 4}$, we know that the correct convergent in continued fraction expansion of $e / N$, call it $c$, should satisfy $|e / n-c|<1 /\left(2 d^{2}\right)<18 N^{-1 / 2}$. Therefore, only the convergents satisfying this bound (or close to it) need be tested. This allows us to quickly eliminate many candidates.

### 3.2 Lattices

A lattice $\mathcal{L}$ is a discrete additive subgroup of $\mathbb{R}^{n}$. Given $m \leq n$ linearly independent vectors $b_{1}, \ldots, b_{m} \in \mathbb{R}^{n}$, the set $\mathcal{L}=\left\{\sum_{i=1}^{m} \alpha_{i} b_{i} \mid \alpha_{i} \in \mathbb{Z}\right\}$ is a lattice. The $b_{i}$ are called basis vectors and the lattice $\mathcal{L}$ is the basis generated by the basis vectors. Thus, $\mathcal{L}$ is the set of all integer linear combinations of the basis vectors. In addition, the volume of a lattice $\operatorname{vol}(\mathcal{L})$ is the volume of the $m$-dimensional parallelepiped spanned by the $b_{i}$. The volume of a lattice is basis invariant (that is, it is a constant of the lattice). When $m=n$, we can compute the volume as $\operatorname{vol}(\mathcal{L})=|\operatorname{det}(\mathcal{B})|$, where $\mathcal{B}$ is the matrix whose rows are the basis vectors.

The main result that we need for lattices gives a bound on the size of smallest vectors in a lattice (a non-zero smallest vector must exists since lattices are discrete). The result, due to Minkowski, is given in the following theorem. We use $\|x\|$ to denote the usual Euclidean norm of the vector $x$.

Theorem 2 (Minkowski). Let $\mathcal{L}$ be an $n$-dimensional lattice with volume vol( $\mathcal{L}$ ). A smallest vector $v$ in $\mathcal{L}$ satisfies

$$
\begin{equation*}
\|v\| \leq \sqrt{n} \cdot \operatorname{vol}(\mathcal{L})^{1 / n} . \tag{4}
\end{equation*}
$$

Using Theorem 2, we have a necessary condition for any vector $x$ to be a smallest vector in a lattice. Notice that if $x$ is a smallest vector in $\mathcal{L}$ then so is $-x$. To simplify the discussion, if $\pm x$ are the only two smallest vectors in $\mathcal{L}$ we will simply say that $x$ is the smallest vector in $\mathcal{L}$.

We now briefly describe Wiener's attack as a heuristic lattice-based attack. Again, let ( $e, N$ ) be a valid public key with corresponding private exponent $d=N^{\delta}$. Letting $s=N-\phi(N)$, we begin by writing the key equation $e d=1+k(N-s)$ and the trivial equation $N^{0.5}=N^{0.5}$ as the vector-matrix equation

$$
(k, d)\left[\begin{array}{cc}
N^{0.5}-N \\
0 & e
\end{array}\right]=\left(k N^{0.5}, 1-k s\right) .
$$

Therefore, $v=\left(k N^{0.5}, 1-k s\right)$ is an integer linear combination of the rows of the matrix $\mathcal{B}$. Letting $\mathcal{L}$ be the lattice generated by the rows of $\mathcal{B}$ we then know that $v$ is a vector in the lattice. Since the volume of the lattice is $\operatorname{vol}(\mathcal{L})=|\operatorname{det}(\mathcal{B})|=e N^{0.5} \approx N^{3 / 2}$, we know from Minkowski's theorem that a smallest vector in $\mathcal{L}$ must be bound by $\sqrt{2} \operatorname{vol}(\mathcal{L})^{1 / 2} \approx \sqrt{2} N^{3 / 4}$. Therefore, if the vector $v=\left(k N^{\delta}, 1-k s\right)$, which has size $\|v\| \approx N^{\delta+1 / 2}$, is a smallest vector in $\mathcal{L}$, it follows that $\delta+1 / 2<3 / 4$ or more simply

$$
\delta<\frac{1}{4}-\epsilon,
$$

where $\epsilon>0$ has been added to correct for small constants (that do not depend on $N$ ) that were ignored (for $\operatorname{vol}(\mathcal{L})$ and $\|v\|)$. Thus, when $d<N^{1 / 4}$, the vector $v$ may be a smallest vector. If $v$ is the smallest vector, then finding $v$ will allow us to factor the modulus. Since $x \mathcal{B}=v$, we can solve for $x$ which reveals $d$ and $k$. Thus, we can compute $\phi(N)=(e d-1) / k$ and factor the modulus.

In order for this attack to succeed, we rely on the following assumption.
Assumption 3 (Small Vectors) For the lattices used here, a vector $v \in \mathcal{L}$ that satisfies Minkowski's bound (from Theorem (2) is likely a smallest vector in $\mathcal{L}$.

If this assumption holds for the given lattice, we can then use the above method to factor the modulus. Even if the assumption only holds a non-negligible fraction of the time, the attack is still a success.

### 3.3 Breaking RSA

We will consider an instance of RSA to be broken when the factorization of the modulus is known. There are several ways in which this can be accomplished.

First, given a multiple of $\lambda(N)$ (or $\phi(N)$ ) the factorization can be computed, in probabilistic polynomial time using the results of Miller [13]. Miller's result is much more general. It also applies to a multiple of $\phi(N)$ for multi-prime RSA and for a multiple of $\operatorname{lcm}(p-1, q-1)$ for Takagi's scheme. If the exact value of $(p-1)(q-1)$ is known for RSA or for Takagi's variant we can deterministically factor the modulus since $L=(p-1)(q-1)$ and $N=p^{k} q(k=1$ for RSA) give a system of two equations with two unknowns which we can easily solve.

Next, given the private exponent $d$ of an instance of RSA we can factor the modulus since $e d-1=k \lambda(N)$ reveals a multiple of $\lambda(N)$. Similarly, this also holds for multi-prime RSA and for Takagi's scheme (since with $d$ known we can compute $e d-1$ which is a multiple of $1 \mathrm{~cm}(p-1, q-1)$ ). For RSA and Takagi's scheme, there are also deterministic methods that can factor the modulus given the public key and the private exponent. See [12] for RSA and [10] for Takagi's scheme.

Finally, given the constant $k$ in the RSA key equation we can also factor the modulus (assuming that the public exponent is roughly the same size as the modulus). Assuming that we know $g=\operatorname{gcd}(p-1, q-1)$ or more simply assuming that the exponents are defined modulo $\phi(N)$, we can compute $s=N-\phi(N)=p+q-1$ as from only $e, N$ and $k$. Notice that reducing the key equation ed $=1+k(N-s)$ modulo the public exponent $e$ yields

$$
0 \equiv 1+k(N-s) \quad(\bmod e),
$$

where $s$ is the only unknown. Rearranging, we can compute $s$ since

$$
s \equiv N+k^{-1} \quad(\bmod e),
$$

where the inverse is well defined (as $k$ and $e$ must be relatively prime). Since $e \approx N \gg s$, the value $\left(N+k^{-1}\right) \bmod e$ yields $s$. With $s$ known, we also know $\phi(N)=N-s$ and can easily factor the modulus. This also holds for multi-prime RSA but not for Takagi's scheme.

## 4 Guo's Common Modulus Attack

In [8], Howgrave-Graham and Seifert describe an unpublished attack by Guq ${ }^{1}$ on common modulus RSA with small private exponents. Consider two instances of RSA with a common modulus $N$ with key equations

$$
\begin{aligned}
& e_{1} d_{1}=1+k_{1} \lambda(N) \\
& e_{2} d_{2}=1+k_{2} \lambda(N) .
\end{aligned}
$$

Guo's main observation is that these equations can combined to remove $\lambda(N)$. Indeed, multiplying the first equation by $k_{2}$, the second equation by $k_{1}$ and taking the difference yields

$$
\begin{equation*}
k_{2} e_{1} d_{1}-k_{1} e_{2} d_{2}=k_{2}-k_{1}, \tag{5}
\end{equation*}
$$

where all the unknowns are relatively small (when the private exponent is small). With this equation as a starting point, the attack then proceeds in a similar way as Wiener's continued fraction attack. Notice that dividing both sides of this equation by $e_{2} k_{2} d_{1}$ yields

$$
\begin{equation*}
\frac{e_{1}}{e_{2}}-\frac{k_{1} d_{2}}{k_{2} d_{1}}=\frac{k_{2}-k_{1}}{e_{2} k_{2} d_{1}}, \tag{6}
\end{equation*}
$$

which, combined with Theorem 1, suggests that $k_{1} d_{2} / k_{2} d_{1}$, in lowest terms, can be obtained from the continued fraction expansion of $e_{1} / e_{2}$ when the right-hand side $\left(k_{2}-k_{1}\right) /\left(e_{2} k_{2} d_{1}\right)$ is small enough. In fact, a sufficient condition for Theorem 1 to apply is given by

$$
\begin{equation*}
\left|\frac{k_{2}-k_{1}}{e_{2} k_{2} k d_{1}}\right|<\frac{1}{2\left(k_{2} d_{1}\right)^{2}}, \tag{7}
\end{equation*}
$$

or more simply

$$
\begin{equation*}
d_{1}<\frac{e_{2}}{2 k_{2}\left|k_{2}-k_{1}\right|} . \tag{8}
\end{equation*}
$$

Defining $\delta$ and $\alpha$ so that $d_{1}, d_{2}<N^{\delta}$
When both private keys are bounded by $N^{\delta}$ (and hence the constants $k_{i}$ are also bounded by $N^{\delta}$ ) and the public keys are roughly the same size as the modulus, this condition is further simplified as

$$
\delta<\frac{1}{3}-\epsilon,
$$

for some small real $\epsilon>0$ that does not depend on $N$. Computing $k_{1} d_{2} / k_{2} d_{1}$, in lowest terms, however, does not break either instance of RSA. Two problems are

[^1]- Any common factors of $k_{1} d_{2}$ and $k_{2} d_{1}$ will be removed from the numerator and denominator of convergent $k_{1} d_{2} / k_{2} d_{1}$.
- Knowing $k_{1} d_{2}$ or $k_{2} d_{1}$ (or both) does not seem to help in factoring the modulus (or determining $d_{1}$ or $d_{2}$ ) without factoring $k_{1} d_{2}$ or $k_{2} d_{1}$.

As discussed in [8], Guo considers these two problems and offers the following solutions.

- The first problem is avoided by simply assuming that there are no common factors in the numerator and denominator of the correct convergent (i.e., $\left.\operatorname{gcd}\left(k_{1} d_{2}, k_{2} d_{1}\right)=1\right)$. For randomly chosen numbers, it is estimated that this will happen with probability $6 / \pi^{2} \simeq 0.61$.
- For the second problem two solutions are given. Assuming that the above condition for common factors holds (i.e., $\operatorname{gcd}\left(k_{1} d_{2}, k_{2} d_{1}\right)=1$ )
- One could try to factor $k_{1} d_{2}$ to determine $d_{2}$ (or factor $k_{2} d_{1}$ to obtain $d_{1}$ ). With the bound $\delta<1 / 3-\epsilon$, the number $k_{1} d_{2}$ is no larger than $N^{2 / 3}$ and is not expected to be of a difficult factorizaton shape.
- As a second solution, and the one we will focus on, one can assume that a third instance of RSA with a small private exponent and the same modulus is available. Here, the continued fraction technique is repeated with a different pair of RSA instances. Assuming that all the $k_{i}$ and $d_{i}$ are pairwise relatively prime, one can determine $k_{1} d_{2}$ from the continued fraction expansion of $e_{1} / e_{2}$ and $k_{3} d_{2}$ from the continued fraction expansion of $e_{2} / e_{3}$, for example, which can then be used to compute

$$
\operatorname{gcd}\left(k_{1} d_{2}, k_{3} d_{2}\right)=d_{2} \operatorname{gcd}\left(k_{1}, k_{3}\right)=d_{2}
$$

With $d_{2}$ known, the modulus can be factored (see [4]). This method is expected to recover $d_{2}$ with probability about $\left(6 / \pi^{2}\right)^{3} \simeq 0.22$, under the assumption that the $k_{i}$ and $d_{i}$ behave as random numbers.

Once $d_{2}$ is known, we can factor the modulus since we know a multiple of $\phi(N)$. That is, $e_{2} d_{2}-1=k_{2} \phi(N)$ is known. Thus, given three instances of RSA with a common modulus and private exponents each smaller than $N^{1 / 3-\epsilon}$, it is expected that Guo's attack will be successful with probability approximately 0.22 . A sufficient condition for the attack to succeed is that the pairs $\left(k_{1} d_{2}, k_{2} d_{1}\right),\left(k_{2} d_{3}, k_{3} d_{2}\right)$ and $\left(k_{1}, k_{3}\right)$ are each relatively prime (and assuming all quantities are random integers this is expected to happen with probability about 0.22 ).

### 4.1 Guo's Attack in Practice

The success rate of Guo's attack, as described above, is actually much higher than about 0.22 in practice. Looking at the attack more carefully, we derive a new sufficient condition for the attack to succeed. To this end, we consider the values used in the attack. From the continued fraction expansion of $e_{1} / e_{2}$ we recover the convergent $c_{12}=k_{1} d_{2} / k_{2} d_{1}$ and from the continued fraction expansion of $e_{2} / e_{3}$ we recover the convergent $c_{23}=k_{2} d_{3} / k_{3} d_{2}$. In trying to isolate the private exponent $d_{2}$, we use the numerator of $c_{12}$ and the denominator of $c_{23}$, which are given by

$$
\begin{aligned}
\operatorname{numer}\left(c_{12}\right) & =\frac{k_{1} d_{2}}{\operatorname{gcd}\left(k_{1} d_{2}, k_{2} d_{1}\right)}=\frac{k_{1} d_{2}}{\operatorname{gcd}\left(k_{1}, k_{2}\right) \operatorname{gcd}\left(d_{1}, d_{2}\right)}=\frac{k_{1}}{\operatorname{gcd}\left(k_{1}, k_{2}\right)} \frac{d_{2}}{\operatorname{gcd}\left(d_{1}, d_{2}\right)} \\
\operatorname{denom}\left(c_{23}\right) & =\frac{k_{3} d_{2}}{\operatorname{gcd}\left(k_{2} d_{3}, k_{3} d_{2}\right)}=\frac{k_{3} d_{2}}{\operatorname{gcd}\left(k_{2}, k_{3}\right) \operatorname{gcd}\left(d_{2}, d_{3}\right)}=\frac{k_{3}}{\operatorname{gcd}\left(k_{2}, k_{3}\right)} \frac{d_{2}}{\operatorname{gcd}\left(d_{2}, d_{3}\right)},
\end{aligned}
$$

where the gcds in the denominators split because $\operatorname{gcd}\left(k_{i}, d_{i}\right)=1$ for each $i=1,2,3$. The candidate for $d_{2}$ is then computed as

$$
\begin{aligned}
\operatorname{gcd}\left(\operatorname{numer}\left(c_{12}\right), \operatorname{denom}\left(c_{23}\right)\right) & =\operatorname{gcd}\left(\frac{k_{1}}{\operatorname{gcd}\left(k_{1}, k_{2}\right)} \frac{d_{2}}{\operatorname{gcd}\left(d_{1}, d_{2}\right)}, \frac{k_{3}}{\operatorname{gcd}\left(k_{2}, k_{3}\right)} \frac{d_{2}}{\operatorname{gcd}\left(d_{2}, d_{3}\right)}\right) \\
& =\underbrace{\frac{d_{2}}{\operatorname{lcm}\left(\operatorname{gcd}\left(d_{1}, d_{2}\right), \operatorname{gcd}\left(d_{2}, d_{3}\right)\right)}}_{d_{2} / d_{2}^{\prime}} \underbrace{\operatorname{gcd}\left(\frac{k_{1} d_{12}}{\operatorname{gcd}\left(k_{1}, k_{2}\right)}, \frac{k_{3} d_{23}}{\operatorname{gcd}\left(k_{2}, k_{3}\right)}\right)}_{k_{13}^{\prime}}, \\
& =d_{2} \frac{k_{13}^{\prime}}{d_{2}^{\prime}},
\end{aligned}
$$

where $d_{12}$ and $d_{23}$ are the remaining parts after removing $d_{2} / d_{2}^{\prime}$ and $d_{2}^{\prime}, k_{13}^{\prime}$ are integers. The attack is then successful (i.e., the computation reveals $d_{2}$ ) whenever $d_{2}^{\prime}=k_{13}^{\prime}=1$. Thus, a new sufficient condition is given by

$$
\begin{align*}
d_{2}^{\prime} & =\operatorname{lcm}\left(\operatorname{gcd}\left(d_{1}, d_{2}\right), \operatorname{gcd}\left(d_{2}, d_{3}\right)\right)=1 \\
k_{13}^{\prime} & =\operatorname{gcd}\left(\frac{k_{1} d_{12}}{\operatorname{gcd}\left(k_{1}, k_{2}\right)}, \frac{k_{3} d_{23}}{\operatorname{gcd}\left(k_{2}, k_{3}\right)}\right)=1 . \tag{9}
\end{align*}
$$

In practice, we find that this condition is satisfied about $63 \%$ of the time. In particular, running 260,000 experiments ( 10,000 trials for 26 different values of $0.25 \leq \delta \leq 0.5$ ), we find that this condition is met (and the attack succeeds) with probability $0.629 \pm 0.004$ (where the 0.004 is one standard deviation) for 1024 -bit moduli. We also note that the sufficient condition above can be replaced by $d_{2}^{\prime}=k_{13}^{\prime}$ which is theoretically stronger but in practice made no difference (as we did not observe any instances when $d_{2}^{\prime}=k_{13}^{\prime}>1$ ).

Guo's attack, as described above, however, does not use all the information available to it. We can improve the likelihood of success in two ways. First, notice that computing the continued fraction expansion of $e_{1} / e_{2}, e_{2} / e_{3}$ and $e_{3} / e_{1}$, we can obtain the convergents $c_{12}=k_{1} d_{2} / k_{2} d_{1}, c_{23}=$ $k_{2} d_{3} / k_{3} d_{2}$ and $c_{31}=k_{3} d_{1} / k_{1} d_{3}$. Using all possible combinations of numerators and denominators, each from a different convergent, we can compute candidates for each of $d_{1}, d_{2}, d_{3}, k_{1}, k_{2}$ and $k_{3}$. For example, a candidate for $d_{2}$ was computed (as shown above) using numer $\left(c_{12}\right)$ and denom $\left(c_{23}\right)$, and

$$
\operatorname{gcd}\left(\operatorname{denom}\left(c_{12}\right), \operatorname{numer}\left(c_{23}\right)\right)=\operatorname{gcd}\left(\frac{k_{2} d_{1}}{\operatorname{gcd}\left(k_{1} d_{2}, k_{2} d_{1}\right)}, \frac{k_{2} d_{3}}{\operatorname{gcd}\left(k_{2} d_{3}, k_{3} d_{2}\right)}\right),
$$

gives a candidate for $k_{2}$. In fact, for each $(i, j, k)$ that is a permutation of $(1,2,3)$, it is easily shown that $\operatorname{gcd}\left(\operatorname{numer}\left(c_{i j}\right), \operatorname{denom}\left(c_{j k}\right)\right)$ gives a candidate for $d_{j}$ and $\operatorname{gcd}\left(\operatorname{denom}\left(c_{i j}\right), \operatorname{numer}\left(c_{j k}\right)\right)$ gives a candidate for $k_{j}$. In each case the candidate will be a rational multiple of the correct value (just as in the $d_{2}$ example) with a similar sufficient condition as (9). If any of the candidates are equal to the correct value, then the modulus can be factored. Given any $d_{i}$ the modulus can be factored since a multiple of $\phi(N)$ is then known. Given any $k_{i}$, the modulus can be factored if we assume the public exponents are full sized. Thus, trying each of the six candidates will further increase the likelihood that the attack will succeed. In practice, we observe that the attack is successful about $93 \%$ of the time when the private exponents are smaller than $N^{0.33}$. The attack continues to work with decreasing likelihood for larger private exponent sizes until $\delta$ is slightly larger than $1 / 3$.

In addition to computing the six candidates instead of only one, we can also exploit the form the candidates. Letting $\hat{d}_{i}$ and $\hat{k}_{i}$ be the candidates for $d_{i}$ and $k_{i}$, and using the example for $\hat{d}_{2}$ from above as a guide for notation, notice that each candidate can be written as

$$
\hat{d}_{i}=d_{i} \frac{k_{j k}^{\prime}}{d_{i}^{\prime}} \quad \text { or } \quad \hat{k}_{i}=k_{i} \frac{d_{j k}^{\prime}}{k_{i}^{\prime}}
$$

for each $(i, j, k)$ that is a permutation of $(1,2,3)$. In practice all of the primed values (which are integers) are expected to be small. If none of the candidates are correct, we can perform a small exhaustive search to determine the primed values and hence reveal one of the correct $d_{i}$ or $k_{i}$. If we assume that the primed values are each bound by $2^{\ell}$, for some positive integer $\ell$, then a search space of $2^{2 \ell}$ must be explored for each candidate.

We illustrate the effectiveness of Guo's attack, with the modifications mentioned above, in Table 1 . For various sizes of private exponents $(\delta)$, we show the frequency of success of Guo's attack for RSA with 1024, 2048 and 4096-bit modulus sizes. For each $\delta$, we ran 10, 000 experiments when the modulus was 1024 and 2048 bits and 1, 000 experiments for 4096 bits. Three random instances of RSA with a common modulus and private exponents each of size $\delta$ were generated for each experiment. The data shows the frequency that the attack was successful when only one candidate $\left(d_{2}\right)$ is computed, when all six candidates $\left(d_{i}, k_{i}\right)$ are computed and when a small exhaustive search is allowed for each of the six candidates (denoted by "Guess"). Instead of actually performing the exhaustive search, we considered the sizes of the numerator and denominator (in lowest terms) of $d_{i}^{\prime} / d_{i}$ and $k_{i}^{\prime} / k_{i}$. If both the numerator and denominator, for any one of the candidates, were no larger than $2^{10}$, we considered the attack a success. In all the experiments we ran a search for $2^{10}$ was sufficient. As can be seen, the attack works quite well in practice. For private exponents smaller than $N^{0.33}$, the attack (with modest exhaustive search) almost worked in our experiments. The success rate quickly deteriorates as it approaches or just exceeds the $1 / 3$ bound.

Notice that in the above discussion we did not consider the problem of actually finding the correct convergent. In fact, in all of the experiments for the data collected in Table 1, we identified the correct convergent using the associated private information ( $d_{i}$ and $k_{i}$ ) to save time. In practice, however, we will only be given the public keys. Nonetheless, we can still narrow the search of potentially correct convergents to a small number by finding a good starting point (good starting convergent). When looking for the correct convergent of $e_{1} / e_{2}$, for example, from the description of Guo's attack, we know from Theorem 1 that the correct convergent $c$ will most likely satisfy

$$
\left|\frac{e_{1}}{e_{2}}-c\right|<\frac{1}{2\left(k_{2} d_{1}\right)^{2}}
$$

Since the theorem is a sufficient condition, we cannot rule out that the correct convergent might not satisfy the bound. Since the theoretical bound for the attack is $\delta<1 / 3-\epsilon$ and each $k_{i}<d_{i}$, we then also expect that $\left|e_{1} / e_{2}-c\right|<1 / B$, where $B=2\left(N^{1 / 3} N^{1 / 3}\right)^{2}=2 N^{4 / 3}$. Thus, when computing the convergents, the first convergent that is no farther than $1 / B$ away from $e_{i} / e_{2}$ would be a good first candidate to try. In practice, we find that the convergent immediately preceding this convergent is actually a better candidate and we will refer to this candidate as the good starting convergent.

In Table 2, we show that, in practice, using this good starting convergent works quite well. For each value of $\delta$ we show $D_{\text {ave }}, D_{\max }$ and $|C|$, where $D_{\text {ave }}$ is the average distance from the

|  | 1024 -bit $N$ |  |  | 2048 -bit $N$ |  |  | 4096 -bit $N$ |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\delta$ | $d_{2}$ | $d_{i}, k_{i}$ | Guess | $d_{2}$ | $d_{i}, k_{i}$ | Guess | $d_{2}$ | $d_{i}, k_{i}$ | Guess |
| 0.25000 | 0.6338 | 0.9356 | 1.0000 | 0.6217 | 0.9342 | 1.0000 | 0.6100 | 0.9300 | 1.0000 |
| 0.26000 | 0.6241 | 0.9326 | 1.0000 | 0.6317 | 0.9345 | 1.0000 | 0.6100 | 0.9310 | 1.0000 |
| 0.27000 | 0.6263 | 0.9357 | 1.0000 | 0.6347 | 0.9339 | 1.0000 | 0.6320 | 0.9330 | 1.0000 |
| 0.28000 | 0.6343 | 0.9394 | 1.0000 | 0.6261 | 0.9343 | 1.0000 | 0.6340 | 0.9330 | 1.0000 |
| 0.29000 | 0.6283 | 0.9363 | 1.0000 | 0.6281 | 0.9320 | 1.0000 | 0.6660 | 0.9490 | 1.0000 |
| 0.30000 | 0.6274 | 0.9356 | 1.0000 | 0.6289 | 0.9398 | 1.0000 | 0.6270 | 0.9290 | 1.0000 |
| 0.31000 | 0.6314 | 0.9386 | 1.0000 | 0.6199 | 0.9355 | 1.0000 | 0.6310 | 0.9390 | 1.0000 |
| 0.32000 | 0.6284 | 0.9339 | 1.0000 | 0.6278 | 0.9380 | 1.0000 | 0.6500 | 0.9420 | 1.0000 |
| 0.32500 | 0.6273 | 0.9351 | 1.0000 | 0.6361 | 0.9385 | 1.0000 | 0.6280 | 0.9450 | 1.0000 |
| 0.33000 | 0.6247 | 0.9371 | 1.0000 | 0.6336 | 0.9361 | 1.0000 | 0.6390 | 0.9300 | 1.0000 |
| 0.33100 | 0.6327 | 0.9364 | 1.0000 | 0.6274 | 0.9361 | 1.0000 | 0.6140 | 0.9310 | 1.0000 |
| 0.33200 | 0.6294 | 0.9381 | 1.0000 | 0.6256 | 0.9367 | 1.0000 | 0.6440 | 0.9410 | 1.0000 |
| 0.33300 | 0.5444 | 0.8240 | 0.8837 | 0.5386 | 0.8257 | 0.8841 | 0.5140 | 0.7670 | 0.8340 |
| 0.33330 | 0.3404 | 0.5328 | 0.5779 | 0.2139 | 0.3480 | 0.3831 | 0.0820 | 0.1570 | 0.1790 |
| $\mathbf{0 . 3 3 3 3}$ | $\mathbf{0 . 3 2 5 0}$ | $\mathbf{0 . 5 0 7 6}$ | $\mathbf{0 . 5 5 3 5}$ | $\mathbf{0 . 1 8 7 8}$ | $\mathbf{0 . 3 1 1 7}$ | $\mathbf{0 . 3 4 3 5}$ | $\mathbf{0 . 0 7 8 0}$ | $\mathbf{0 . 1 4 2 0}$ | $\mathbf{0 . 1 5 3 0}$ |
| 0.33400 | 0.0839 | 0.1460 | 0.1666 | 0.0129 | 0.0244 | 0.0299 | 0.0000 | 0.0000 | 0.0000 |
| 0.33500 | 0.0095 | 0.0210 | 0.0289 | 0.0003 | 0.0004 | 0.0006 |  |  |  |
| 0.33600 | 0.0011 | 0.0029 | 0.0050 | 0.0000 | 0.0000 | 0.0000 |  |  |  |
| 0.33700 | 0.0002 | 0.0003 | 0.0006 |  |  |  |  |  |  |
| 0.33800 | 0.0000 | 0.0002 | 0.0002 |  |  |  |  |  |  |
| 0.33900 | 0.0000 | 0.0000 | 0.0000 |  |  |  |  |  |  |

Table 1. Guo's Attack : Empirical Success

|  | 1024 -bit $N$ |  |  | 2048 -bit $N$ |  |  | 4096 -bit $N$ |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\delta$ | $D_{\text {ave }}$ | $D_{\max }$ | $\|C\|$ | $D_{\text {ave }}$ | $D_{\max }$ | $\|C\|$ | $D_{\text {ave }}$ | $D_{\max }\|C\|$ |  |
| 0.25000 | 0.000 | 0 | 440 | 0.000 | 0 | 902 | 0.000 | 0 | 1820 |
| 0.26000 | 0.000 | 0 | 459 | 0.000 | 0 | 966 | 0.000 | 0 | 1875 |
| 0.27000 | 0.000 | 0 | 486 | 0.000 | 0 | 975 | 0.000 | 0 | 1903 |
| 0.28000 | 0.000 | 0 | 507 | 0.000 | 0 | 997 | 0.000 | 0 | 1991 |
| 0.29000 | 0.000 | 0 | 493 | 0.000 | 0 | 1046 | 0.000 | 0 | 2075 |
| 0.30000 | 0.000 | 0 | 531 | 0.000 | 0 | 1077 | 0.000 | 0 | 2140 |
| 0.31000 | 0.000 | 0 | 538 | 0.000 | 0 | 1116 | 0.000 | 0 | 2265 |
| 0.32000 | 0.000 | 1 | 585 | 0.000 | 0 | 1138 | 0.000 | 0 | 2308 |
| 0.32500 | 0.003 | 6 | 593 | 0.000 | 0 | 1154 | 0.000 | 0 | 2282 |
| 0.33000 | 0.097 | 11 | 584 | 0.011 | 6 | 1197 | 0.000 | 0 | 2427 |
| 0.33100 | 0.177 | 10 | 585 | 0.043 | 8 | 1166 | 0.001 | 2 | 2364 |
| 0.33200 | 0.334 | 9 | 591 | 0.171 | 9 | 1199 | 0.033 | 4 | 2388 |
| 0.33300 | 0.453 | 12 | 587 | 0.422 | 10 | 1206 | 0.339 | 6 | 2420 |
| 0.33330 | 0.199 | 10 | 599 | 0.092 | 11 | 1193 | 0.021 | 7 | 2402 |
| 0.33333 | 0.187 | 8 | 592 | 0.076 | 8 | 1177 | 0.016 | 7 | 2387 |
| 0.33400 | 0.022 | 7 | 598 | 0.001 | 7 | 1190 |  |  |  |
| 0.33500 | 0.001 | 4 | 602 | 0.000 | 3 | 1217 |  |  |  |
| 0.33600 | 0.000 | 5 | 591 |  |  |  |  |  |  |
| 0.33700 | 0.000 | 4 | 609 |  |  |  |  |  |  |
| 0.33800 | 0.000 | 5 | 594 |  |  |  |  |  |  |

Table 2. Guo's Attack : Finding the Correct Convergent
good starting convergent to the correct convergent taken over all successful trials ( $D_{\text {ave }}=0$ meaning that the good starting convergent is the correct convergent), $D_{\text {max }}$ is the maximum distance over all successful trials and $|C|$ is the (rounded) average number of total convergents for
each continued fraction expansion. As can be seen, the good starting point is always correct for $\delta \leq 0.31$ and this improves with increasing modulus size. For larger private exponent sizes some exhaustive search may be necessary. However, since the average distance is always less than 1.0 it is expected that only two convergents need to be tested for each continued fraction expansion.

### 4.2 Multi-prime RSA

In the description of Guo's attack (and it's modifications) above, notice that once the equation

$$
k_{2} e_{1} d_{1}-k_{1} e_{2} d_{2}=k_{2}-k_{1},
$$

is obtained there is no way of knowing if the equation was derived using two key equations for RSA or using two key equations for multi-prime RSA. Thus, the same attack and the same results hold for multi-prime RSA also. That is, we expect that the attack will be successful (with some non-negligible probability) when all three instances of multi-prime RSA have private exponents $d_{i}<N^{\delta}$ when

$$
\delta<\frac{1}{3}-\epsilon
$$

where $\epsilon>0$ is a small constant that is independet of $N$. Here, we again assume that each public exponent is full sized.

In practice, we have observed that the attack is actually slightly more successful for multiprime RSA compared to RSA. In particular, the success rate for one candidate is about 0.65 and for any candidate is about 0.95 (compared to about 0.63 and 0.93 for RSA). We illustrate the effectiveness of the attack for small values of $r$ and common modulus sizes in Table 3, Just as with the experiments for RSA, we average the succes rates over 10,000 trials for each private exponent size for the 1024- and 2048 -bit modulus sizes and over 1,000 trials when the bitlength of the modulus is 4096 .

Similar to the RSA, the good starting convergent is, in practice, on average at most one convergent away from the correct convergent. For private exponents smaller than $N^{0.325}$ it is always the correct convergent. Since the data is very similar to that of RSA we omit the data here.

This attack on multi-prime RSA is actually quite remarkable since it is the first attack (other than factoring) that does not decrease with increasing number of primes in the modulus. This follows because the attack does not use the relation $|s|=|N-\phi(N)|<(2 r-1) N^{1-1 / r}$ which is used in all the other attacks. Since the size of $s$ increases with increasing $r$ the other attacks become weaker.

### 4.3 Takagi's Variant

For Takagi's variant, just as with multi-prime RSA, notice that Guo's attack is the same as for RSA. The only difference is that even when the public exponents are full sized they are much smaller than the modulus $N$ which is the case for RSA and multi-prime RSA. In particular, since the key equation is given by

$$
e d=1+k \lambda^{\prime}(N)=1+k \operatorname{lcm}(p-1, q-1)
$$

|  | $\begin{gathered} 1024 \text {-bit } N \\ r=3 \end{gathered}$ |  |  | $\begin{gathered} 2048 \text {-bit } N \\ r=3 \end{gathered}$ |  |  | $\begin{gathered} 4096 \text {-bit } N \\ r=4 \end{gathered}$ |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\delta$ | $d_{2}$ | $d_{i}, k_{i}$ | Guess | $d_{2}$ | $d_{i}, k_{i}$ | Guess | $d_{2}$ | $d_{i}, k_{i}$ | Guess |
| 0.25000 | 0.6527 | 0.9424 | 1.0000 | 0.6463 | 0.9473 | 1.0000 | 0.6370 | 0.9500 | 1.0000 |
| 0.26000 | 0.6518 | 0.9489 | 1.0000 | 0.6528 | 0.9504 | 1.0000 | 0.6630 | 0.9620 | 1.0000 |
| 0.27000 | 0.6531 | 0.9503 | 1.0000 | 0.6547 | 0.9519 | 1.0000 | 0.6440 | 0.9560 | 1.0000 |
| 0.28000 | 0.6535 | 0.9503 | 1.0000 | 0.6475 | 0.9470 | 1.0000 | 0.6730 | 0.9570 | 1.0000 |
| 0.29000 | 0.6519 | 0.9492 | 1.0000 | 0.6576 | 0.9453 | 1.0000 | 0.6400 | 0.9490 | 1.0000 |
| 0.30000 | 0.6554 | 0.9490 | 1.0000 | 0.6553 | 0.9464 | 1.0000 | 0.6800 | 0.9510 | 1.0000 |
| 0.31000 | 0.6556 | 0.9503 | 1.0000 | 0.6561 | 0.9470 | 1.0000 | 0.6520 | 0.9660 | 1.0000 |
| 0.32000 | 0.6505 | 0.9478 | 1.0000 | 0.6456 | 0.9481 | 1.0000 | 0.6610 | 0.9500 | 1.0000 |
| 0.32500 | 0.6575 | 0.9494 | 1.0000 | 0.6516 | 0.9489 | 1.0000 | 0.6550 | 0.9490 | 1.0000 |
| 0.33000 | 0.6485 | 0.9459 | 1.0000 | 0.6578 | 0.9524 | 1.0000 | 0.6810 | 0.9580 | 1.0000 |
| 0.33100 | 0.6466 | 0.9489 | 1.0000 | 0.6563 | 0.9485 | 1.0000 | 0.6770 | 0.9530 | 1.0000 |
| 0.33200 | 0.6469 | 0.9505 | 1.0000 | 0.6578 | 0.9469 | 1.0000 | 0.6800 | 0.9590 | 1.0000 |
| 0.33300 | 0.5564 | 0.8326 | 0.8785 | 0.5654 | 0.8282 | 0.8773 | 0.5300 | 0.7750 | 0.8320 |
| 0.33330 | 0.3496 | 0.5372 | 0.5731 | 0.2332 | 0.3614 | 0.3890 | 0.0890 | 0.1700 | 0.1820 |
| 0.33333 | 0.3337 | 0.5138 | 0.5459 | 0.1987 | 0.3182 | 0.3455 | 0.0770 | 0.1190 | 0.1280 |
| 0.33400 | 0.0854 | 0.1396 | 0.1585 | 0.0105 | 0.0230 | 0.0294 | 0.0000 | 0.0000 | 0.0000 |
| 0.33500 | 0.0094 | 0.0181 | 0.0229 | 0.0001 | 0.0001 | 0.0003 |  |  |  |
| 0.33600 | 0.0011 | 0.0025 | 0.0034 | 0.0000 | 0.0000 | 0.0000 |  |  |  |
| 0.33700 | 0.0002 | 0.0002 | 0.0004 |  |  |  |  |  |  |
| 0.33800 | 0.0000 | 0.0000 | 0.0000 |  |  |  |  |  |  |

Table 3. Guo's Attack on Multi-prime RSA: Empirical Success Rate
it is expected, with high probability, that the public exponent will be roughly the same size as $\operatorname{lcm}(p-1, q-1)$ (when the private exponent is small). For randomly generated primes it is further expected that $\operatorname{lcm}(p-1, q-1)$ will be close to $(p-1)(q-1)$ and so a full sized public exponent will have size $N^{2 /(t+1)}$ when the modulus is given by $N=p^{t} q$. Now, from the derivation of Guo's attack earlier, recall that the sufficient condition for the convergents of $e_{1} / e_{2}$ was given by

$$
d_{1}<\frac{e_{2}}{2_{2}\left|k_{2}-k_{1}\right|} .
$$

Since $k_{1}, k_{2}, d_{1}<N^{\delta}$ and $e \approx N^{2 /(t+1)}$, this can be simplified to

$$
\delta<\frac{\alpha}{3}-\epsilon=\frac{2}{3(t+1)}-\epsilon
$$

for some small $\epsilon>0$ that does not depend on $N$. Again, we use $k_{i}<d_{i}$. Thus, the attack is expected to become weaker with larger multiplicity of the prime $p$.

Also, when mounting Guo's attack on Takagi's variant we do not look for candidates for the $k_{i}$ (constants in the key equations) since we do not have a method for factoring the modulus given a $k_{i}$. Thus, we only try to compute candidates for the three private exponents.

In practice, just as with RSA and multi-prime RSA, the attack works well up to the theoretical bound. We illustrate the effectiveness of the attack for small values of $t$ and common modulus sizes in Tables 4 and 5. In particular, Table 4 shows the success rate for different sized moduli with $N=p^{2} q$. For moduli of this form, the bound in Guo's attack is $\delta<2 / 9 \approx 0.2222$. For the 1024 - and 2048 -bit modulus sizes we averaged the data over 10,000 trials. For the 4096 -bit modulus size, we used 1,000 trials. As can be seen, the attack is works quite well for private exponents approaching this bound.

In Table 5, we show the success rates when mounting the attack on Takagi's scheme with 4096-bit moduli of the form $N=p^{3} q$. For moduli of this form, Guo's bound is $\delta<1 / 6 \approx 0.1667$. Again, the data illustrates that the attacks works quite well up to private exponents approaching the theoretical bound. The data shown is averaged over 1,000 trials for each private exponent size. In addition to the success rates Here, we also include the data showing that the good starting convergent is indeed a good starting convergent (just as with RSA and multi-prime RSA).

|  | 1024 -bit $N=p^{2} q$ |  | 2048 -bit $N=p^{2} q$ |  |  | 4096 -bit $N=p^{2} q$ |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\delta$ | $d_{2}$ | $d_{i}$ | Guess | $d_{2}$ | $d_{i}$ | Guess | $d_{2}$ | $d_{i}$ | Guess |
| 0.15000 | 0.6193 | 0.9190 | 1.0000 | 0.6289 | 0.9207 | 1.0000 | 0.6400 | 0.9240 | 1.0000 |
| 0.16000 | 0.6252 | 0.9176 | 1.0000 | 0.6221 | 0.9218 | 1.0000 | 0.6150 | 0.9210 | 1.0000 |
| 0.17000 | 0.6307 | 0.9218 | 1.0000 | 0.6339 | 0.9239 | 1.0000 | 0.6130 | 0.9020 | 1.0000 |
| 0.18000 | 0.6287 | 0.9185 | 1.0000 | 0.6322 | 0.9238 | 1.0000 | 0.6160 | 0.9280 | 1.0000 |
| 0.19000 | 0.6322 | 0.9257 | 1.0000 | 0.6221 | 0.9266 | 1.0000 | 0.6480 | 0.9160 | 1.0000 |
| 0.20000 | 0.6312 | 0.9243 | 1.0000 | 0.6241 | 0.9234 | 1.0000 | 0.6080 | 0.8980 | 1.0000 |
| 0.21000 | 0.6384 | 0.9206 | 1.0000 | 0.6281 | 0.9181 | 1.0000 | 0.6350 | 0.9330 | 1.0000 |
| 0.21500 | 0.6205 | 0.9200 | 1.0000 | 0.6312 | 0.9242 | 1.0000 | 0.6350 | 0.9110 | 1.0000 |
| 0.22000 | 0.6356 | 0.9228 | 1.0000 | 0.6318 | 0.9234 | 1.0000 | 0.6280 | 0.9110 | 1.0000 |
| 0.22100 | 0.6336 | 0.9222 | 1.0000 | 0.6262 | 0.9209 | 1.0000 | 0.6340 | 0.9350 | 1.0000 |
| 0.22200 | 0.4737 | 0.7101 | 0.7803 | 0.4206 | 0.6470 | 0.7121 | 0.3420 | 0.5180 | 0.5730 |
| 0.22220 | 0.3276 | 0.5066 | 0.5638 | 0.2098 | 0.3289 | 0.3785 | 0.0760 | 0.1440 | 0.1700 |
| $\mathbf{0 . 2 2 2 2 2}$ | $\mathbf{0 . 3 1 7 2}$ | $\mathbf{0 . 4 8 4 7}$ | $\mathbf{0 . 5 4 1 6}$ | $\mathbf{0 . 1 9 0 2}$ | $\mathbf{0 . 3 1 2 1}$ | $\mathbf{0 . 3 5 7 7}$ | $\mathbf{0 . 0 7 5 0}$ | $\mathbf{0 . 1 2 4 0}$ | $\mathbf{0 . 1 5 1 0}$ |
| 0.22300 | 0.0667 | 0.1082 | 0.1387 | 0.0059 | 0.0108 | 0.0186 | 0.0000 | 0.0000 | 0.0000 |
| 0.22400 | 0.0065 | 0.0120 | 0.0194 | 0.0001 | 0.0002 | 0.0005 |  |  |  |
| 0.22500 | 0.0013 | 0.0023 | 0.0040 | 0.0000 | 0.0000 | 0.0000 |  |  |  |
| 0.22600 | 0.0000 | 0.0001 | 0.0004 |  |  |  |  |  |  |
| 0.22700 | 0.0001 | 0.0001 | 0.0001 |  |  |  |  |  |  |
| 0.22800 | 0.0000 | 0.0000 | 0.0000 |  |  |  |  |  |  |

Table 4. Guo's Attack on Takagi's Scheme: Empirical Success Rate for $N=p^{2} q$

|  | 4096 -bit $N=p^{3} q$ |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\delta$ | $d_{2}$ | $d_{i}$ | Guess | $D_{\text {ave }}$ | $D_{\max }$ | $\|C\|$ |
| 0.100 | 0.618 | 0.919 | 1.000 | 0 | 0 | 729 |
| 0.110 | 0.616 | 0.929 | 1.000 | 0 | 0 | 793 |
| 0.120 | 0.637 | 0.925 | 1.000 | 0 | 0 | 869 |
| 0.130 | 0.648 | 0.916 | 1.000 | 0 | 0 | 923 |
| 0.140 | 0.620 | 0.898 | 1.000 | 0 | 0 | 1012 |
| 0.150 | 0.624 | 0.922 | 1.000 | 0 | 0 | 1060 |
| 0.160 | 0.632 | 0.916 | 1.000 | 0 | 0 | 1159 |
| 0.161 | 0.623 | 0.915 | 1.000 | 0 | 0 | 1166 |
| 0.162 | 0.633 | 0.918 | 1.000 | 0 | 0 | 1151 |
| 0.163 | 0.616 | 0.919 | 1.000 | 0 | 0 | 1161 |
| 0.164 | 0.623 | 0.916 | 1.000 | 0 | 1 | 1182 |
| 0.165 | 0.655 | 0.927 | 1.000 | 0.02 | 5 | 1186 |
| $\mathbf{0 . 1 6 6}$ | $\mathbf{0 . 6 7 8}$ | $\mathbf{0 . 9 2 3}$ | $\mathbf{1 . 0 0 0}$ | $\mathbf{0 . 2 2}$ | $\mathbf{1 0}$ | $\mathbf{1 1 9 5}$ |
| 0.167 | 0.006 | 0.010 | 0.015 | 0.87 | 3 | 1179 |
| 0.168 | 0.000 | 0.000 | 0.000 |  |  |  |

Table 5. Guo's Attack on Takagi's Scheme: Empirical Success Rate for $N=p^{3} q$

## 5 Howgrave-Graham and Seifert's Attack

Howgrave-Graham and Seifert's small private exponent attack on common modulus RSA [8] improves upon Guo's attack in several ways. In particular, the attack can be mounted with only two instances of RSA (although it gets stronger with more), the problems associated with relatively prime quantities are not a concern and, most importantly, the attack (even with only two instances) is much stronger.

Even though the attack is a heuristic attack it has been shown to work well in practice when the number of instances of RSA and the modulus sizes are relatively small (see [8). Given $n \leq 6$ instances of RSA with a common modulus, each having private exponent smaller than $N^{\delta_{n}}$, the attack can factor the modulus when $\delta_{n}$ is smaller than given in Table 6 .

| $n$ | 1 | 2 | 3 | 4 | 5 | 6 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\delta_{n}$ | 0.250 | 0.357 | 0.400 | 0.441 | 0.468 | 0.493 |

Table 6. Common Modulus Attack with Small Private Exponent

When there is only one instance of RSA $(n=1)$ the attack is simply Wiener's attack when mounted as a heuristic latticed-based attack (as described earlier). With only two instances the attack is already much stronger than Guo's attack and with six instances the attack is expected to factor the modulus when the private exponents are approaching $N^{1 / 2}$.

When there are seven or more instances of RSA, however, we note that the bounds suggested in [8] are too optimistic. We (will) argue that the bound is $N^{1 / 2}$ for any number of instances beyond six. We will discuss this in more detail later, but now we show how the attack is mounted for two and three instances to give a flavor of the general approach. Since we also want to mount the attack on multi-prime RSA, we will re-derive the attack (for $n=2,3$ ) for multi-prime RSA. The attack is identical to Howgrave-Graham and Seifert's attack except that the bound for $s=N=\phi(N)$ is left as a function of the number of primes $r$,

Following Howgrave-Graham and Seifert, we let $W_{i}$ denote the key equation

$$
W_{i}: e_{i} d_{i}-k_{i} N=1-k_{i} s,
$$

which is the basis for Wiener's attack, and let $G_{i, j}$ denote the equation

$$
G_{i, j}: k_{i} d_{j} e_{j}-k_{j} d_{i} e_{i}=k_{i}-k_{j},
$$

which is the basis for Guo's attack. Recall that for multi-prime RSA, the quantity $s=N-\phi(N)$ satisfies $|s|<(2 r-1) N^{1-1 / r} \approx N^{1-1 / r}$ when there are $r$ primes in the modulus. This inequality also holds for $n=2$ (RSA).

First consider two instances of multi-prime RSA. Let $\left(e_{1}, N\right)$ and $\left(e_{2}, N\right)$ be two valid multiprime RSA public keys (with $e_{1} \neq e_{2}$ ), each having their private exponent smaller than $N^{\delta_{2}}$. Thus, the constants in the key equations satisfy $k_{1}, k_{2}<N_{2}^{\delta}$. Using the equations $k_{2} W_{1}, G_{1,2}$, $W_{1} W_{2}$ and the trivial equation $I_{2}: k_{1} k_{2}=k_{1} k_{2}$, we construct a lattice with a known small vector.

In particular, notice that the equations

$$
\begin{aligned}
I_{2} & : k_{1} k_{2}=k_{1} k_{2} \\
k_{2} W_{1} & : k_{2} d_{1} e_{1}-k_{2} k_{1} N=k_{2}\left(1-k_{1} s\right) \\
G_{1,2} & : k_{1} d_{2} e_{2}-k_{2} d_{1} e_{1}=k_{1}-k_{2} \\
W_{1} W_{2} & : d_{1} d_{2} e_{1} e_{2}-d_{1} k_{2} e_{1} N-d_{2} k_{1} e_{2} N+k_{1} k_{2} N^{2}=\left(1-k_{1} s\right)\left(1-k_{2} s\right),
\end{aligned}
$$

can be written as the vector-matrix equation $x_{2} \mathcal{B}_{2}=v_{2}$, where

$$
\begin{aligned}
x_{2} & =\left(k_{1} k_{2}, k_{2} d_{1}, k_{1} d_{2}, d_{1} d_{2}\right) \\
\mathcal{B}_{2} & =\left[\begin{array}{rrr}
1-N & N^{2} \\
e_{1} & -e_{1} & -e_{1} N \\
& e_{2} & -e_{2} N \\
& e_{1} e_{1}
\end{array}\right] \\
v_{2} & =\left(k_{1} k_{2}, k_{2}\left(1-k_{1} s\right), k_{1}-k_{2},\left(1-k_{1} s\right)\left(1-k_{2} s\right)\right) .
\end{aligned}
$$

The vector $v_{2}$ is an integer linear combination of the rows in $\mathcal{B}_{2}$, and is therefore a vector in the lattice $\mathcal{L}_{2}$ generated by the rows in $\mathcal{B}_{2}$. If the vector $v_{2}$ is a smallest vector in $\mathcal{L}_{2}$ then recovering $v_{2}$ will allow us to factor the modulus. Indeed, given $\mathcal{B}_{2}$ and $v_{2}$, we can compute $x_{2}$ whose first two components $k_{1} k_{2}$ and $d_{1} k_{2}$ yield $k_{1} / d_{1}$. Just as in Wiener's attack, this allows us to compute

$$
\phi(N)=\frac{e_{1} d_{1}-1}{k_{1}}=\left\lfloor e_{1}\left(\frac{d_{1}}{k_{1}}\right)\right\rfloor,
$$

which then allows us to factor the modulus (deterministically for RSA and probabilistically for $r>2$ ). Since the components of $v_{2}$ are not balanced, we can modify the equation by multiplying it by the diagonal matrix $\mathcal{D}_{2}=\operatorname{diag}\left(N^{2(1-1 / r)}, N^{1-1 / r}, N^{\delta_{2}+2(1-1 / r)}, 1\right)$, and considering the new vector-matrix equation $x_{2} \mathcal{B}_{2}^{\prime}=v_{2}^{\prime}$, where

$$
\begin{aligned}
& x_{2}=\left(k_{1} k_{2}, k_{2} d_{1}, k_{1} d_{2}, d_{1} d_{2}\right) \\
& \mathcal{B}_{2}^{\prime}=\mathcal{B}_{2} \mathcal{D}_{2}=\left[\begin{array}{rrr}
N^{2(1-1 / r)}-N^{2-1 / r} & 0 & N^{2} \\
e_{1} N^{1-1 / r} & -e_{1} N^{\delta_{2}+2(1-1 / r)} & -e_{1} N \\
& e_{2} N^{\delta_{2}+2(1-1 / r)} & -e_{2} N \\
e_{1} e_{1}
\end{array}\right] \\
& v_{2}^{\prime}=v_{2} \mathcal{D}_{2}=\left(k_{1} k_{2} N, k_{2}\left(1-k_{1} s\right) N^{1 / 2},\left(k_{1}-k_{2}\right) N^{1+\delta_{2}},\left(1-k_{1} s\right)\left(1-k_{2} s\right)\right) .
\end{aligned}
$$

Notice that the vector $v_{2}^{\prime}$ is vector in the lattice $\mathcal{L}_{2}^{\prime}$ generated by the rows of $\mathcal{B}_{2}^{\prime}$ and that the components of $v_{2}$ are balanced (up to multiplicative constants that do not depend on $N$ ). Now, the target vector has size

$$
\left\|v_{2}^{\prime}\right\| \approx N^{2 \delta_{2}+2(1-1 / r)}
$$

and the lattice $\mathcal{L}_{2}^{\prime}$ has volume

$$
\operatorname{vol}\left(\mathcal{L}_{2}^{\prime}\right)=\left|\operatorname{det}\left(\mathcal{B}_{2}^{\prime}\right)\right|=e_{1}^{2} e_{2}^{2} N^{\delta_{2}+5-5 / r} \approx N^{\delta_{2}+9-5 / r},
$$

when the public exponents are full sized. From Theorem 2 (Minkowski), we know that a shortest vector in $\mathcal{L}_{2}^{\prime}$ will have norm at most $2 \operatorname{vol}\left(\mathcal{L}_{2}^{\prime}\right)^{1 / 4}$. Therefore, a necessary condition for $v_{2}^{\prime}$ to be a shortest vector in $\mathcal{L}_{2}^{\prime}$ is given by $\left\|v_{2}\right\| \leq 2 \operatorname{vol}\left(\mathcal{L}_{2}^{\prime}\right)^{1 / 4}$, or, looking at the exponents of $N$

$$
2 \delta_{2}+2(1-1 / r) \leq \frac{1}{4}\left(\delta_{2}+9-5 / r\right)
$$

where we have ignored all constants not depending on $N$. This is further simplified as

$$
\delta_{2}<\frac{3+r}{7 r}-\epsilon,
$$

where $\epsilon>0$ is added to account for the ignored constants. Letting $r=2$, we recover HowgraveGraham and Seifert's original result. If both private exponents satisfy this bound and if $v_{2}^{\prime}$ is a smallest vector in $\mathcal{L}_{2}^{\prime}$ then we can factor the modulus. Thus, if Assumption 3 holds we can factor the modulus. Again, solving for the vector $x_{2}$ reveals $k_{2} / d_{2}$ which can be used to compute $\phi(N)$.

Now consider three instances of multi-prime RSA with a common modulus. Let $\left(e_{1}, N\right)$, $\left(e_{2}, N\right),\left(e_{3}, N\right)$ be three valid multi-prime RSA public keys (with $\left.e_{i} \neq e_{j}\right)$, each having their private exponent smaller than $N^{\delta_{3}}$. In this case, a lattice is constructed with the eight equations: $k_{1} k_{2} k_{3}=k_{1} k_{2} k_{3}, k_{2} k_{3} W_{1}, k_{3} G_{1,2}, k_{3} W_{1} W_{2}, k_{2} G_{1,3}, W_{1} G_{2,3}, W_{2} G_{1,3}$, and $W_{1} W_{2} W_{3}$. In particular, these equations can be written as the vector-matrix equation $x_{3} \mathcal{B}_{3}=v_{3}$, where

$$
\begin{aligned}
& x_{3}=\left(k_{1} k_{2} k_{3}, d_{1} k_{2} k_{3}, k_{1} d_{2} k_{3}, d_{1} d_{2} k_{3}, k_{1} k_{2} d_{3}, d_{1} k_{2} d_{3}, k_{1} d_{2} d_{3}, d_{1} d_{2} d_{3}\right) \\
& \mathcal{B}_{3}=\left[\begin{array}{ccccccc}
1-N & 0 & N^{2} & 0 & 0 & 0 & -N^{3} \\
e_{1} & -e_{1} & -e_{1} N & -e_{1} & 0 & e_{1} N & e_{1} N^{2} \\
& e_{2} & -e_{2} N & 0 & e_{2} N & 0 & e_{2} N^{2} \\
& & e_{1} e_{2} & 0 & -e_{1} e_{2} & -e_{1} e_{2} & -e_{1} e_{2} N \\
& & & e_{3} & -e_{3} N & -e_{e} N & e_{e} N^{2} \\
& & & & e_{1} e_{3} & 0 & -e_{1} e_{3} N \\
& & & & & & e_{2} e_{3} \\
& & & e_{2} e_{3} N \\
& & & & & & \\
& & & e_{1} e_{2} e_{3}
\end{array}\right] \\
& v_{3}=\left(k_{1} k_{2} k_{3}, k_{2} k_{3}\left(1-k_{1} s\right), k_{3}\left(k_{1}-k_{2}\right), k_{3}\left(1-k_{1} s\right)\left(1-k_{2} s\right),\right. \\
& \left.k_{2}\left(k_{1}-k_{3}\right),\left(1-k_{1} s\right)\left(k_{2}-k_{3}\right),\left(1-k_{2} s\right)\left(k_{1}-k_{3}\right), \prod_{i=1 . .3}\left(1-k_{i} s\right)\right) .
\end{aligned}
$$

As in the $n=2$ case, the components of $v_{3}$ are not balanced. Multiplying the equation by the diagonal matrix
$\mathcal{D}_{3}=\operatorname{diag}\left(N^{3(1-1 / r)}, N^{2(1-1 / r)}, N^{\delta_{2}+3(1-1 / r)}, N^{1-1 / r}, N^{\delta_{3}+3(1-1 / r)}, N^{\delta_{3}+2(1-1 / r)}, N^{\delta_{3}+2(1-1 / r)}, 1\right)$,
we obtain a new vector-matrix equation $x_{3} \mathcal{B}_{3}^{\prime}=x_{3} \mathcal{B}_{3} \mathcal{D}_{3}=v_{3} \mathcal{D}_{3}=v_{3}^{\prime}$. Here the new target vector $v_{3}^{\prime}$ is a vector in the lattice $\mathcal{L}_{3}^{\prime}$ (generated by the rows in $\mathcal{B}_{3}^{\prime}$ ) and has balanced components. The new target vector has size

$$
\left\|v_{3}^{\prime}\right\| \approx N^{3\left(\delta_{3}+1-1 / r\right)}
$$

and the new lattice has volume

$$
\operatorname{vol}\left(\mathcal{L}_{3}^{\prime}\right)=\left|\operatorname{det}\left(\mathcal{B}_{3}^{\prime}\right)\right|=e_{1}^{4} e_{2}^{4} e_{3}^{4} N^{4 \delta_{3}+16(1-1 / r)} \approx N^{4 \delta+28-16 / r}
$$

From Theorem 2, we then know that $\left\|v_{3}^{\prime}\right\| \leq \sqrt{8} \operatorname{vol}\left(\mathcal{L}_{3}^{\prime}\right)^{1 / 8}$ in order for $v_{3}^{\prime}$ to be a smallest vector in $\mathcal{L}_{3}^{\prime}$. Ignoring constants that do not depend on $N$, this is satisfied when

$$
\left.3 \delta_{3}+3-3 / r \leq \frac{1}{8}\left(4 \delta_{3}+28-16 / r\right)\right)
$$

or more simply

$$
\delta_{3}<\frac{2+r}{5 r}-\epsilon,
$$

where $\epsilon>0$ has been added to account for the ignored constants. If Assumption 3 holds and $v_{3}^{\prime}$ is a smallest vector in $\mathcal{L}_{3}^{\prime}$, then computing $v_{3}^{\prime}$ allows us to factor the modulus. Just as with $n=2$, we can recover the vector $x_{3}$ whose first two components $k_{1} k_{2} k_{3}$ and $d_{1} k_{2} k_{3}$ allow us to compute $k_{1} / d_{1}$, and hence $\phi(N)$.

In the general case, when there are $n$ instances of multi-prime RSA with a common modulus, a vector-matrix equation $x_{n} \mathcal{B}_{n}=v_{n}$ is constructed with $2^{n}$ equations. The first equation is the trivial equation $k_{1} \cdots k_{n}=k_{1} \cdots k_{n}$, and the remaining equations come from (combinations of) the $W_{i}$ and $G_{i, j}$ equations with the final equation being $W_{1} \cdots W_{n}$. The remaining equations used need to be chosen so that the matrix $\mathcal{B}_{n}$ is triangular and so that the volume of the matrix is maximized (see [8] for more detail on this equation determination). The last component of $v_{n}$ (coming from the equation $W_{1} \cdots W_{n}$ ) will dominate the components of $v_{n}$ with size $N^{n\left(\delta_{n}+1-1 / r\right)}$. Multiplying the vector-matrix equation by an appropriate diagonal matrix we construct a new vector-matrix equation $x_{n} \mathcal{B}_{n}^{\prime}=v_{n}^{\prime}$, where the new target vector has balanced components. Just as in the $n=2,3$ cases, the diagonal matrix will leave the last row of $\mathcal{B}_{n}$ unchanged (and so the final row of the new basis matrix will still correspond to $W_{1} \cdots W_{n}$ ). Using Theorem 2, a necessary condition for $\delta_{n}$ can be determined so that $v_{3}^{\prime}$ is a smallest vector in $\mathcal{L}_{n}^{\prime}$ (the lattice generated by the rows of $\mathcal{B}_{n}^{\prime}$ ). Following the general bounds determination from Howgrave-Graham and Seifert, it can be shown that if $\delta_{n}$ satisfies

$$
\begin{equation*}
\delta_{n}<\frac{n 2^{n}-n 2^{n+1}\left(1-\frac{1}{r}\right)+\left((2 n+1) 2^{n}-(2 n+1)\binom{n}{n / 2}\right)\left(1-\frac{1}{r}\right)}{n 2^{n+1}-(n+1) 2^{n}+(2 n+1)\binom{n}{n / 2}} \tag{10}
\end{equation*}
$$

when $n$ is odd or

$$
\begin{equation*}
\delta_{n}<\frac{n 2^{n}-n 2^{n+1}\left(1-\frac{1}{r}\right)+\left((2 n+1) 2^{n}-4 n\binom{n-1}{(n-1) / 2}\right)\left(1-\frac{1}{r}\right)}{n 2^{n+1}-(n+1) 2^{n}+4 n\binom{n-1}{(n-1) / 2}} \tag{11}
\end{equation*}
$$

when $n$ is even, then the target vector $v_{n}^{\prime}$ will satisfy Minkowski's bound (Theorem 2) for the lattice $\mathcal{L}_{n}^{\prime}$. Letting $r=2$ recovers Howgrave-Graham and Seifert's bounds. However, the bounds are not a sufficient condition for $v_{n}^{\prime}$ to be a smallest vector. In fact, based on the structure of the basis matrix $\mathcal{B}_{n}^{\prime}$, we can construct another necessary condition that requires $\delta_{n}$ to be much smaller for some $n$. Consider the description of the construction of the basis matrix $\mathcal{B}_{n}^{\prime}$ given above. The last column will always correspond to the equation $W_{1} \cdots W_{n}$ and the matrix will be triangular. Thus, the final row will always be $\left(0, \ldots, 0, e_{1} \cdots e_{n}\right)$, which has size about $N^{n}$ for full sized public exponents. Since the lattice $\mathcal{L}_{m}^{\prime}$ is generated by the rows in $\mathcal{B}_{n}^{\prime}$, we know that this vector is also in the lattice. Thus, if the target vector $v_{n}^{\prime}$ is to be a smallest vector in the lattice is
must be smaller than this vector. Since $v_{n}^{\prime}$ has size $N^{n\left(\delta_{n}+1-1 / r\right)}$, it follows that another necessary condition (for $v_{n}^{\prime}$ to be a smallest vector) is given by

$$
\begin{equation*}
\delta_{n}<\frac{1}{r} . \tag{12}
\end{equation*}
$$

Therefore, the size of the private exponents must satisfy all of (10), (11) and (12) if it is to be a smallest vector. When this holds, and when $v_{n}^{\prime}$ is a smallest vector, then finding $v_{n}^{\prime}$ allows us to factor the modulus in the same way as illustrated in the $n=2,3$ cases. In particular, the components of the vector $x_{n}$ will have the form $\left(h_{1}, \ldots, h_{n}\right)$ where $h_{i} \in\left\{k_{i}, d_{i}\right\}$. Since all $2^{n}$ possible combinations will be present, we know that $k_{1} \cdots k_{n}$ and $d_{1} k_{2} \cdots k_{n}$ will be present (and defined by the structure of $\left.\mathcal{B}_{n}\right)$. Thus, the value $k_{1} / d_{1}$ can be found and used to compute $\phi(N)$ as described above.

For RSA $(r=2)$, notice that $(12)$ implies that Howgrave-Graham and Seifert's attack cannot break instances of RSA with private exponents greater than $N^{1 / 2}$ (regardless of the number of instances present). Since the bounds given by (10) and (11) exceed $N^{1 / 2}$ once $n \geq 7$, the bounds originally suggested by Howgrave-Graham and Seifert are overly optimistic in this range. Thus, for any $n \geq 7$, we should have $\delta_{n}<1 / 2-\epsilon$ as the bound. The bounds for $n \leq 6$ remain as originally stated. In fact, the experiments in [8] verified the practical effectiveness of the attacks for $2 \leq n \leq 5$. Unfortunately, since the lattice dimension is exponential in $n$, mounting the attack for $n \geq 6$ becomes computationally expensive (and hence was not done) and so the $N^{1 / 2}$ ceiling was not observed (experimentally) by Howgrave-Graham and Seifert or here.

For multi-prime RSA ( $n>2$ ), the bound from (12) dominates the attack for almost all parameter choices except $r=3$ with two instances, which has a bound $\delta_{2}<6 / 21 \approx 0.286<1 / 3$, $r=4$ with two instances, where the bounds match at $1 / 4$, and $r=3$ with three instances where the bounds match at $1 / 3$.

We did not extend Howgrave-Graham and Seifert's attack to Takagi's variant. Our attempts only led to non-attacks (i.e., the bounds on $\delta$ are always negative). For simplicity, let the exponents be defined $(p-1)(q-1)$ instead of modulo $\lambda^{\prime}(N)=\operatorname{lcm}(p-1, q-0)$. The obvious attempt is to multiply the key equation by $p^{t-1}$ to obtain an equation

$$
e d p^{t-1}=p^{t-1}+k \phi(N)=p^{t-1}+k(N-s),
$$

where $s \approx N^{t /(t+1)}$. Using this for the $W_{i}$ equations, we can follow the derivation (for $n=2,3$ as above for example). Working through the details, the attack fails because each public exponent is of size (roughly) $N^{2 /(t+1)}$, which reduces the volume of the basis matrix considerably. Matching the size of the target vector to the volume of the lattice (by Minkowski's theorem) we find that $\delta<0$ is a necessary condition for the target vector to be a smallest vector in the lattice.

### 5.1 Practical Effectiveness

Howgrave-Graham and Seifert's attack, while only a heuristic, works extremely well when mounted against RSA in practice. Some experimental results, showing the success rate for several values of $n$ are given in [8]. For the two and three instances cases, we illustrate the effectiveness of the attack against RSA and multi-prime RSA for $r=2$ and $r=3$ in Table 7. All of the data represent the success rate of the attack averaged over 100 trials. The theoretical bound is listed in the final row (along with an indication if the attack can achieve this bound in practice).

| $\begin{gathered} 1024 \text {-bit } N \\ r=2 \end{gathered}$ |  | $\begin{gathered} 1024 \text {-bit } N \\ r=3 \end{gathered}$ |  | $\begin{gathered} 2048 \text {-bit } N \\ r=4 \end{gathered}$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $\delta$ | Success | $\delta$ | Success | ס | Success |
| 0.350 | 100 | 0.242 | 100 | 0.180 | 100 |
| 0.351 | 100 | 0.243 | 100 | 0.181 | 100 |
| 0.352 | 100 | 0.244 | 100 | 0.182 | 100 |
| 0.353 | 100 | 0.245 | 100 | 0.183 | 100 |
| 0.354 | 100 | 0.246 | 100 | 0.184 | 100 |
| 0.355 | 97 | 0.247 | 88 | 0.185 | 100 |
| 0.356 | 75 | 0.248 | 52 | 0.186 | 77 |
| 0.357 | 6 | 0.249 | 4 | 0.187 | 2 |
| 0.358 | 0 | 0.250 | 0 | 0.188 | 0 |
| 0.357 | $\checkmark$ | 0.286 | $x$ | 0.250 | $x$ |

(a) Two Instances $n=2$

| $\begin{gathered} 1024 \text {-bit } N \\ r=2 \end{gathered}$ |  | $\begin{gathered} 1024 \text {-bit } N \\ r=3 \end{gathered}$ |  | $\begin{gathered} 2048 \text {-bit } N \\ r=4 \end{gathered}$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $\delta$ | Success | $\delta$ | Success | $\delta$ | Success |
| 0.393 | 1.00 | 0.270 | 1.00 | 0.180 | 1.00 |
| 0.394 | 1.00 | 0.271 | 1.00 | 0.185 | 1.00 |
| 0.395 | 1.00 | 0.272 | 1.00 | 0.190 | 1.00 |
| 0.396 | 1.00 | 0.273 | 1.00 | 0.195 | 1.00 |
| 0.397 | 1.00 | 0.274 | 1.00 | 0.200 | 1.00 |
| 0.398 | 1.00 | 0.275 | 1.00 | 0.205 | 1.00 |
| 0.399 | 0.74 | 0.276 | 0.71 | 0.206 | 1.00 |
| 0.400 | 0.03 | 0.277 | 0.05 | 0.207 | 0.93 |
| 0.410 | 0.00 | 0.278 | 0.00 | 0.208 | 0.00 |
| 0.400 | $\checkmark$ | 0.333 | $x$ | 0.250 | $x$ |

(b) Three Instances $n=3$

Table 7. Howgrave-Graham and Seifert's Attack: Empirical Success Rates

From the data in the table, it is clear that the attack works quite well against RSA $(r=2)$, which was already shown in [8. The attack succeeds almost always as the size of the private exponents approach the theoretic bound at which point the success rate quickly deteriorates to zero. The attack is successful (albeit with small probability) right up to the theoretical bound. When the attack is mounted against multi-prime RSA, however, the experimental limits of the attack do not reach the theoretical limits and this discrepancy seems to grow with increasing number of primes in the modulus (based on the small sample set of $r=2,3,4$ only). Given two instances of multi-prime RSA, the attack is still a great improvement over single instance small private attacks (e.g., Boneh and Durfee's attack) though. As soon as three instances are known, however, Guo's attack is stronger. For $r=3$, the bounds are actually the same, but Guo's attack is successful right up to the $N^{1 / 3}$ bound, whereas Howgrave-Graham and Seifert's attack (experimentally) works for private exponents smaller than $N^{0.278}$. For larger values of $r$, the theoretical bound $(\delta<1 / r)$ is always smaller than Guo's bound $N^{1 / 3}$. Thus, when there are at least three instances available, Guo's is stronger in practice.

## 6 Conclusions

In this work, we re-examined Guo's continued fraction and Howgrave-Graham and Seifert's latticebased attacks on small private exponent RSA with a common modulus. We have shown that Guo's attack is actually quite effective in practice when a modest exhaustive search is allowed ( $2^{20}$ bits in total). We have also shown that the theoretical bounds of Howgrave-Graham and Seifert's attack is $N^{1 / 2}$ once there are seven or more instances of RSA. This corrects the original bounds proposed in the attack. The bounds for $n \leq 6$ instances remains the same as originally given.

The correction to the bound in Howgrave-Graham's bound arises from the details of the basis construction as given in [8]. In particular, the equation $W_{1} \cdots W_{n}$ leads to the second necessary condition $\delta<1 / 2$. Removing this equation (and possibly others) may still lead to an attack for private exponents greater than the $N^{1 / 2}$ bound. We are currently investigating this.

In addition, we have also mounted the attacks on two fast variants of RSA: multi-prime RSA and Takagi's variant. For multi-prime RSA, we find that in practice, Guo's attack is the stronger of the two attacks as soon as three instances are available. For Takagi's scheme, only Guo's attack
can be applied. Thus, there is no attack on Takagi's scheme when only two instances are available. It is an open question if such an attack exists.

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