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# Compact ergodic groups of automorphisms

by

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<u>Abstract</u>. It is shown that if G is a compact ergodic group of \*-automorphisms on a unital C\*-algebra A then the unique G-invariant state is a trace. Hence if A is a von Neumann algebra then it is finite.

### Compact ergodic groups of automorphisms

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R. Høegh-Krohn, M.B. Landstad, and E. Størmer

1. Introduction. Let A be a unital C\*-algebra, G a compact group and  $\alpha$  a strongly continuous representation of G as an ergodic group of \*-automorphisms of A, i.e.  $\alpha_{\sigma}(x) = x$  for all  $g \in G$  implies x is a scalar operator. It was shown in [9] that if G is abelian and A a von Neumann algebra then A is automatically finite and the (necessarily unique) G-invariant state is a trace. Since then it has been an open problem whether the same is true without the assumption that G be abelian, see the introduction to [6]. In the present paper we solve this problem to the affirmative by showing that if G acts ergodically on the unital C\*-algebra A, then the G-invariant state is a trace. In the course of the proof of the theorem it will be shown that if D is an irreducible representation of G and A(D) the corresponding spectral subspace in A, see below, then the multiplicity of D in A(D) is not greater than the dimension of D. A consequence of this is that if G is second countable acting on a C\*-algebra then the action is cyclic if and only if it is ergodic.

The problem solved in this paper immediately raises the problem of classification of compact ergodic actions on  $C^*$ - or von Neumann algebras. If G is abelian this has been done completely in [1] and [6], and we can from those examples find nonabelian finite extensions of abelian ergodic actions on the hyperfinite  $II_1$ -factor. Another construction is to let for each positive integer i, G; be an ergodic compact group of automorphisms on the complex  $n_i \times n_i$ matrices, and then let the product group  $G = \prod_{i=1}^{m} G_i$  act on the infinite tensor product of the matrix algebras in the obvious way. Then the GNS-representation due to the trace gives rise to an ergodic action of G on the hyperfinite factor. This is as far as we can go at present and we leave two basic problems open: (1) If a compact group acts ergodically on a  $I_1$ -factor M, is M hyperfinite? (2) Find an example of a simple compact group acting ergodically on a  $I_1$ -factor.

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2. Compact ergodic groups. Let A be a unital C\*-algebra, G a compact group, and suppose  $\alpha$  is a strongly continuous representation of G as \*-automorphisms of A, so  $g \neq \alpha_g(x)$  is norm continuous for all  $x \in A$ . We assume the action is ergodic on A, i.e.  $\alpha_g(x) = x$  for all  $g \in G$  only if x is a scalar operator. Then for each  $x \in A$ ,  $\int \alpha_g(x) dg$  is a scalar operator  $\omega(x)1$ , where dg is the normalized Haar measure on G.  $\omega$  so defined is the unique G-invariant state on A.

If f  $\in$  L<sup>1</sup>(G) we denote by  $\alpha(f)$  the operator on A defined by

$$\alpha(f)(x) = \int f(g) \alpha_g(x) dg.$$

Let D be an irreducible unitary representation of G and  $\chi_D$  its normalized character  $\chi_D(g) = \dim D \operatorname{Tr}(D_g^{-1})$ , where Tr is the usual trace on the Hilbert space of dimension dim D. Then  $\alpha(\chi_D)$  is a

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projection of A onto a norm closed subspace A(D) of A called the <u>spectral subspace of</u> D in A, see [3]. By [11, §4.4.2] A(D) is the set of  $x \in A$  such that the linear span of  $\alpha_g(x)$ ,  $g \in G$ , is finite dimensional and splits into a direct sum of irreducible components all unitarily equivalent to D.

<u>Proposition 2.1</u>. Let A be a unital C\*-algebra, G a compact group and  $\alpha$  a strongly continuous representation of G as an ergodic group of \*-automorphisms of A. Let D be an irreducible unitary representation of G, A(D) the spectral subspace of D in A and m(D) the multiplicity of D in A(D). Then we have (i) m(D)  $\leq$  d.

(ii) dim  $A(D) < d^2$ .

<u>Proof</u>. If E is an irreducible unitary representation of G either  $\alpha$  has no subrepresentation equivalent to E or there is an irreducible subspace  $V_E$  of A such that  $\alpha | V_E$  is equivalent to E. Then  $V_E \subset A(E)$ , as follows from the characterization of A(E) given above. Let D be as in the proposition. We may assume  $V_D \neq 0$ .

Consider A as imbedded in the Hilbert space obtained in the GNS-representation due to the invariant state  $\omega$ . Thus  $(a,b) = \omega(b^*a)$  is the inner product on A. Let d = dim D. Then we can choose  $a_1, \ldots, a_d$  in  $V_D$  so they form an orthonormal basis for  $V_D$ . Then the map  $P_D$  defined by

$$P_{D}(a) = \sum_{i=1}^{d} (a,a_{i})a_{i}$$

is a projection of A onto  $V_D$ , and since  $\omega$  is G-invariant  $\alpha_g(P_D(a)) = P_D(\alpha_g(a))$  for all  $a \in A$ . Thus the subspace

 $(\iota - P_D)(A(D))$  of A,  $\iota$  denoting the identity map, is a closed G-invariant subspace of A orthogonal to  $V_D$ . If  $(\iota - P_D)(A(D)) \neq 0$ it contains an irreducible subspace  $V_E$  [7], and E is unitarily equivalent to D. Considering  $P_D + P_E$  we have found a norm continuous projection onto  $V_D + V_E$ , and we can do this for any finite set of irreducible representations  $D_i$  equivalent to D, such that the spaces  $V_D$ ; are pairwise mutually orthogonal.

We fix now a finite set J of unitarily equivalent irreducible representations  $D_1, \ldots, D_N$  such that their irreducible subspaces  $V_{D_k}$  of A(D) are nonzero and pairwise mutually orthogonal. We shall show  $N \leq d$ , which will prove the proposition.

Choose  $a_{ik} \in V_{D_k}$ , i = 1, ..., d, so that they form an orthonormal basis for  $V_{D_k}$ , and such that they have the same action under G, i.e. there is an irreducible unitary representation  $g \neq (u_{rs}(g))$  of G into the complex  $d \times d$  matrices  $M_d$  satisfying

(2.1) 
$$\alpha_{g}(a_{ik}) = \sum_{j=1}^{d} u_{ij}(g)a_{jk}, k \in J.$$

For each pair  $j,k \in J$  we have

$$\alpha_{g}(\sum_{i=1}^{d} ij^{*}a_{ik}) = \sum_{i}^{\alpha_{g}(a_{ij})*\alpha_{g}(a_{ik})}$$
$$= \sum_{i,r,s} \overline{u_{ir}(g)} a_{rj}^{*}u_{is}(g)a_{sk}$$
$$= \sum_{r} a_{rj}^{*}a_{rk} \cdot$$

Since G is ergodic  $\sum_{i=1}^{\infty} a_{i}^{*}a_{ik}$  is a scalar operator, the scalar being found by the computation

$$\omega(\sum_{i} a_{ij}^{*}a_{ik}) = \sum_{i} (a_{ik}, a_{ij}) = \sum_{i} \delta_{jk} = \delta_{jk} d.$$

Thus we have shown

(2.2) 
$$\sum_{i=1}^{d} a_{ij}^* a_{ik} = \delta_{jk} d1, \quad j,k \in J.$$

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Similarly we can find complex numbers c<sub>ik</sub> such that

(2.3) 
$$\sum_{i=1}^{d} a_{ij} a_{ik}^* = c_{jk} d1, j, k \in J.$$

The N×N matrix  $(c_{jk})$  is clearly self-adjoint, so we can find a unitary N×N matrix  $(\alpha_{rs})$  such that

$$\sum_{\substack{\lambda \\ j,m=1}}^{N} \alpha_{kl} c_{lm} \overline{\alpha_{jm}} = \delta_{jk} \lambda_{j}, \quad j,k \in J$$

with  $\lambda_j \in \mathbb{R}$ . Let  $a'_{ij} = \sum_{k=1}^{N} \alpha_{jk} a_{ik}$ . Then  $a'_{ij} \in \sum_{k=1}^{N} V_{D_k}$ , and they form an orthonormal basis for  $\sum_{k=1}^{N} V_{D_k}$ . Note that k=1

$$x_g(a_{ij}') = \sum_{r=1}^{d} u_{ir}(g)a_{rj}'$$

as is easily computed, hence we may replace  $a_{ij}$  by  $a'_{ij}$ , i=1,...,d,  $j \in J$ , and still have that (2.1) is satisfied. We shall therefore do this and thus assume (2.1), (2.2), and the diagonal form of (2.3)

(2.4) 
$$\sum_{i=1}^{d} a_{ik}^{*} = \delta_{ik} \lambda_{j} d^{1}, \quad j,k \in J,$$
$$i=1$$

where  $\lambda_j \in \mathbb{R}$ . From (2.4) it is clear that  $\lambda_j > 0$ . Denote by e the d×d matrix operator

$$e = \{ \sum_{k=1}^{N} a_{jk}^{*} \} \in A \otimes M_{d}, \quad i,j \in \{1,\ldots,d\}.$$

Clearly e is self-adjoint, and by (2.2) it satisfies

$$e^{2} = \{ \sum_{k,l=1}^{N} \sum_{s=1}^{d} a_{ik} a_{sk}^{*} a_{sl} a_{jl}^{*} \} = \{ \sum_{k=1}^{N} a_{ik} a_{jk}^{*} d \} = de$$

Hence e = dp with p a projection, in particular  $0 \le e \le d1$ . Let  $\tau$  denote the normalized trace on  $M_d$ . Then  $\omega \otimes \tau$  is a state on  $A \otimes M_d$ , so by (2.4) we have

(2.5) 
$$d \ge \omega \otimes \tau(e) = d^{-1} \sum_{k=1}^{N} \omega(\sum_{i=1}^{d} a_{ik} a_{ik}^{*}) = \sum_{k=1}^{N} \lambda_{k}$$

We next assert that

(2.6)  $\omega(a_{ik} a_{jl}^*) = \delta_{ij} \delta_{kl} \lambda_k$ ,  $i, j \in \{1, \dots, d\}, k, l \in J$ .

Indeed, fix k,  $l \in J$ , and let  $\beta_{ij} = \omega(a_{ik} a_{jl}^*)$ . Then  $(\beta_{ij})$  is a d×d matrix which by (2.1) satisfies

$$\sum_{s=1}^{u} u_{is}(g) \beta_{sj} = \omega(\sum_{s=1}^{u} u_{is}(g) a_{sk} a_{j1}^{*})$$
$$= \omega(\alpha_g(a_{ik}) a_{j1}^{*})$$
$$= \omega(a_{ik} \alpha_{g-1}(a_{j1})^{*})$$
$$= \sum_{s=1}^{n} \beta_{is} u_{sj}(g).$$

Therefore the matrix  $(\beta_{ij})$  commutes with  $(u_{is}(g))$  for all  $g \in G$ . Since the representation  $g \neq (u_{is}(g))$  is irreducible  $(\beta_{ij})$  is a scalar operator, so (2.6) follows from (2.4).

Now consider the conjugate representation  $\overline{D}$  to D. Since a  $\in A(E)$  if and only if  $\alpha(\chi_E)(a) = a$  for E an irreducible representation, it is immediate from the definition of  $\alpha(\chi_E)$  that a  $\in A(D)$  if and only if  $a^* \in A(\overline{D})$ . Thus by (2.6) if  $b_{ij} = \lambda_j^{-\frac{1}{2}}a_{ij}^*$ then  $\{b_{ij} : i = 1, ..., d, j \in J\}$  form an orthonormal set in  $A(\overline{D})$ for which (2.1) is replaced by

$$\alpha_{g}(b_{ik}) = \sum_{j=1}^{d} \overline{u_{ij}(g)} b_{jk}.$$

Since  $g \neq (\overline{u_{ij}(g)})$  is irreducible the space spanned by  $\{b_{ik} : i = 1, ..., d\}$  is irreducible in  $A(\overline{D})$  for each  $k \in J$ . Thus our previous discussion for D and the  $a_{ij}$  is valid for  $\overline{D}$  and the  $b_{ij}$ . We have in particular by the equations (2.2) - (2.5)

(2.7) 
$$\sum_{i=1}^{d} b_{ij} b_{ik}^* = \delta_{jk} \mu_j d 1, \quad j,k \in J,$$

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where  $\mu_j > 0$  and  $\sum_{j=1}^{N} \mu_j \le d$ . Computing we find by (2.2)  $\omega(\sum_{i=1}^{d} b_{ij} b_{ij}^*) = \lambda_j^{-1} \omega(\Sigma a_{ij}^* a_{ij}) = \lambda_j^{-1} d,$ 

so that  $\mu_i = \lambda_i^{-1}$  and therefore

(2.8) 
$$\sum_{j=1}^{N} \lambda_j^{-1} \leq d.$$

Since  $x+x^{-1} \ge 2$  whenever x > 0 we have by (2.5) and (2.8) that  $2N \le \sum_{j=1}^{N} (\lambda_j + \lambda_j^{-1}) \le 2d$ , so that  $N \le d$ , as we wanted to show. Q.E.D.

Let A, G, and  $\alpha$  be as in Proposition 2.1. Representing A in the GNS-representation defined by the G-invariant state  $\omega$  we may assume  $\omega(a) = (a\xi_0, \xi_0)$  for some cyclic vector  $\xi_0$  for A in the Hilbert space. Furthermore there is a continuous unitary representation  $g \rightarrow u_g$  of G on H such that  $\alpha_g(a) = u_g a u_g^{-1}$  and  $u_g \xi_0 = \xi_0$  for all  $g \in G$ ,  $a \in A$ . Since  $\omega$  is the unique G-invariant state on A ,  $\omega$  is the unique normal G-invariant state on the weak closure A of A, hence by [5], G is ergodic on A as well as A. Since the support projection for  $\omega$  is a G-invariant projection in A , it is 1, hence  $\omega$  is faithful on A , and  $\xi_0$  is a separating vector for A<sup>-</sup>. Let  $\Delta$  denote the modular operator for  $\xi_0$  with respect to A<sup>-</sup>, and J the corresponding conjugation, so  $a^{*}\xi_{0} = J\Delta^{\frac{1}{2}}a\xi_{0}$  for all  $a \in A^{-}$ , see [10]. By [8]  $u_g \Delta = \Delta u_g$  and  $J u_g = u_g J$ ,  $g \in G$ , hence in particular the finite dimensional subspace A(D) $\xi_0$  is invariant under the action of  $\Delta^{\frac{1}{2}}$ , so under  $\Delta$ , recall  $A(D)\xi_0 = \{\int \chi_D(g)u_g \text{ adg } \xi_0 : a \in A\}$ . By equation (2.6) we have with N = m(D), so  $\sum_{k=1}^{N} V_{D_k} = A(D)$ ,

 $(\Delta a_{ij}\xi_0, a_{kl}\xi_0) = (a_{kl}\xi_0, a_{ij}\xi_0) = \delta_{ik}\delta_{jl}\lambda_j = \lambda_j(a_{ij}\xi_0, a_{kl}\xi_0).$ 

Hence  $a_{ij}\xi_0$  is an eigenvector for  $\Delta$  with eigenvalue  $\lambda_j$ . Hence we have from (2.5) and (2.8)

<u>Corollary 2.2</u>. Let A, G,  $\alpha$ , D be as in Proposition 2.1. Let  $\xi_0$ be the cyclic vector in the GNS-representation defined by the Ginvariant state  $\omega$ . Then  $\xi_0$  is separating for A<sup>-</sup>, and if  $\Delta$ is its modular operator then  $\Delta$  leaves the finite dimensional vector space  $A(D)\xi_0$  invariant. If  $\lambda$  is an eigenvalue for  $\Delta|A(D)\xi_0$ then both  $\lambda \leq \dim D$  and  $\lambda^{-1} \leq \dim D$ .

We shall also need the probably well known observation

Lemma 2.3. Let M be a von Neumann algebra and G an ergodic group of \*-automorphisms of M. Suppose V is a nonzero globally Ginvariant linear subspace of M. If  $x \in M$ , denote by r(x) and s(x) respectively the range and support projections of x. Then we have

$$v r(x) = v s(x) = 1$$
.  
xEV xEV

<u>Proof</u>. If  $\alpha$  is a \*-automorphism of M then  $\alpha$  is ultraweakly continuous, so by the construction of r(x) by spectral theory on the positive operator  $xx^*$ , we see that  $\alpha(r(x)) = r(\alpha(x))$  for  $x \in M$ . Thus  $\bigvee r(x)$  and  $\bigvee s(x)$  are nonzero G-invariant projec $x \in V$  tions in M, hence are equal to 1 by ergodcity. Q.E.D. <u>3. Tensor representations</u>. In this section we shall apply Herman Weyl's classical theory for representations of groups, to obtain estimates for the dimensions of irreducible subspaces of powers of G-invariant subspaces of an ergodic group G.

If V is a finite dimensional complex vector space we denote by V<sup>(m)</sup> the tensor product V $\otimes \cdots \otimes V$  (m times). If  $\pi$  is a representation of a group G on V,  $\pi$  has a corresponding representation  $\pi^{m}$  of G on V<sup>(m)</sup> defined by  $\pi^{m}(g) = \pi(g) \otimes \cdots \otimes \pi(g)$ .

Lemma 3.1. Let V be a finite dimensional complex vector space with dim V = n. Consider Gl(n,C) as acting on V and consider the corresponding representation of Gl(n,C) on  $V^{(m)} = V \otimes \cdots \otimes V$ . Then any irreducible subspace U of  $V^{(m)}$  satisfies

$$\dim U \leq (1+m)^{\frac{n(n-1)}{2}}.$$

<u>Proof</u>. Let  $\pi$  denote the representation of Gl(n,C) on V. By [2, p. 192] we can decompose the representation  $\pi^{m}$  of Gl(n,C) on V<sup>(m)</sup> into irreducible components as follows:

$$V^{(m)} = \sum_{\lambda_1 + \cdots + \lambda_n = m} l_{\lambda} D_{\lambda}$$

where  $\lambda = (\lambda_1, \dots, \lambda_n)$ ,  $\lambda_i$  is a nonnegative integer for each  $i \in \{1, \dots, n\}$ ,

$$l_{\lambda} = \frac{m!}{\pi h_{ij}}, \quad h_{ij} = 1 + \lambda_i + \overline{\lambda}_j - (i+j),$$

and  $\bar{\lambda}_{j}$  is the number of boxes in the j<sup>th</sup> column in the Young tableau corresponding to  $\lambda$  [2, p. 192, eq.(23)].  $l_{\lambda} D_{\lambda}$  means that the irreducible representation  $D_{\lambda}$  is repeated  $l_{\lambda}$  times, and  $D_{\lambda}$ is the irreducible representation of Gl(n,C) with highest weight  $\lambda = (\lambda_{1}, \dots, \lambda_{n})$ . Set now  $l_j = \lambda_j + n-j$  and  $l_j^{\circ} = n-j$ . Then the Weyl formula, see [2, p. 283, eq. (32)] gives that

$$\dim D_{\lambda} = \frac{\prod_{i < j} (l_{i} - l_{j})}{\prod_{i < j} (l_{i} - l_{j})}.$$

Hence

$$\dim D_{\lambda} = \prod_{\substack{1 \le i < j \le n}} (1 + \frac{\lambda_i - \lambda_j}{i - j}) \le (1 + m)^{\frac{n(n-1)}{2}}$$

Q.E.D.

<u>Proposition 3.2</u>. Let G be a group of \*-automorphisms on a C\*algebra A, and suppose V is a finite dimensional linear subspace of A which is globally invariant under G. Let dim V = n, and let for  $m \in IN$ ,  $V^{m}$  denote the linear subspace of A generated by products of m elements in V. Then  $V^{m}$  is again globally invariand under G, and for each subspace  $U \subset V^{m}$  globally invariant and irreducible under the action of G we have

$$\dim U \leq (1+m)^{\frac{n(n-1)}{2}}$$

<u>Proof</u>. Let  $\pi$  be the representation of G on V and  $\pi^{m}$  the corresponding representation on V<sup>(m)</sup>. Let  $j_{m}$  be the m-linear map of V<sup>(m)</sup> onto V<sup>m</sup> given by

$$j_m(x_1 \otimes \cdots \otimes x_m) = x_1 \cdots x_m$$
.

Then  $j_m$  intertwines the representation  $\pi^m$  and the action of G on  $V^m$ , i.e.

$$j_m \circ \pi^m(g) = \pi(g) \circ j_m, g \in G.$$

Therefore  $j_m$  takes invariant subspaces of  $V^{(m)}$  onto invariant

subspaces of  $V^m$ . Since the dimension of the image of a subspace is not greater than the dimension of the subspace, it suffices to show that for any invariant subspace U of  $V^{(m)}$  irreducible under the action of  $\pi^m(G)$  we have dimU  $\leq (1+m)^{\frac{n(n-1)}{2}}$ .

Denote by  $\iota$  the representation of  $Gl(n, \mathfrak{C})$  on V, and  $\iota^m$  the corresponding representation on  $V^{(m)}$ . Then  $\pi^m(G) \subset \iota^m(Gl(n, \mathfrak{C}))$ . By Lemma 3.1 any irreducible invariant subspace for  $\iota^m(Gl(n, \mathfrak{C}))$  has dimension at most  $(1+m)^{\frac{n(n-1)}{2}}$ . Hence any subgroup and especially  $\pi^m(G)$  also has the property that any irreducible invariant subspace has dimension at most  $(1+m)^{\frac{n(n-1)}{2}}$ . Thus dimU  $\leq (1+m)^{\frac{n(n-1)}{2}}$ . Q.E.D.

### 4. The main results.

<u>Theorem 4.1</u>. Let A be a unital C\*-algebra, G a compact group, and  $\alpha$  a strongly continuous representation of G as an ergodic group of \*-automorphisms of A. Then the unique G-invariant state on A is a trace.

<u>Proof</u>. Since G is compact A is generated by the spectral subspaces A(D), as D runs through the irreducible unitary representations of G [7]. Thus it suffices to show that each A(D) is

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contained in the centralizer of the invariant state, or equivalently by Corollary 2.2 and [10], to show that all the eigenvalues of  $\Delta$ restricted to  $A(D)\xi_0$  are equal to 1,  $\xi_0$  being the G-invariant separating and cyclic vector in the GNS-representation due to the invariant state. Suppose  $\lambda$  is one of them. By Corollary 2.2 we may assume  $\lambda > 1$ . Let V be a G-invariant subspace of A(D) such that  $\Delta a \xi_0 = \lambda a \xi_0$  for all  $a \in V$  and such that V is irreducible under the action of G. This is possible since  $\Delta u_{\sigma} = u_{\sigma} \Delta v_{\sigma}$ for all  $g \in G$ . For each  $m \in IN$ , if  $V^m$  is the space generated by products of m elements in V , for each a  $\varepsilon \ V^{m}$  ,  $a\xi_{0}$  is an eigenvector for  $\Delta$  with eigenvalue  $\lambda^m$ , as is easily seen since  $y \rightarrow a^{it} y a^{-it}$  is an automorphism of the weak closure of A. Since G is ergodic an easy induction argument based on Lemma 2.3 shows that  $V^m \neq 0$ , and by Proposition 3.2 each subspace U of  $V^m$ which is globally invariant and irreducible under the action of G has dimension dimU  $\leq (1+m)^{\frac{n(n-1)}{2}}$ , where  $n = \dim V$ . By Corollary 2.2  $\lambda^{m} \leq \dim U$ , hence  $\lambda^{m} \leq (1+m)^{\frac{n(n-1)}{2}}$ . Thus

$$0 \leq \log \lambda \leq \frac{n(n-1)}{2m} \log (1+m) ,$$

which is arbitrarily small for large m, so that  $\log \lambda = 0$ , and  $\lambda = 1$ . Since  $\lambda$  was an arbitrary eigenvalue for  $\Delta$  restricted to an arbitrary subspace  $A(D)\xi_0$  with D an irreducible representation of G,  $\Delta = 1$ , and  $\xi_0$  is a trace vector for A. Q.E.D.

If M is a von Neumann algebra, G a topological group and  $\alpha$  a representation if G as \*-automorphisms of M, we say  $\alpha$  is continuous if  $g \Rightarrow \rho(\alpha_g(x))$  is continuous on G for each  $\rho \in M_*$ ,  $x \in M$ . <u>Corollary 4.2</u>. Let M be a von Neumann algebra and G a compact group. If there is a continuous representation of G as an ergodic group of \*-automorphisms on M then M is finite.

<u>Proof</u>. It is well known that the set A of  $x \in M$  such that the function  $g + \alpha_g(x)$  is norm continuous on G is a C\*-algebra globally invariant under G and weakly dense in M. Let  $\omega$  be a normal G-invariant state on M. Then  $\omega | A$  is G-invariant, hence is a trace by Theorem 4.1. By density of A in M,  $\omega$  is a trace on M. Since by ergodicity  $\omega$  is faithful, M is finite. Q.E.D.

The next result is a generalization of Corollary 4.2 and shows that compact automorphism groups in general have very large fixed point algebras.

<u>Corollary 4.3</u>. Let M be a von Neumann algebra of type III, G a compact group, and  $\alpha$  a continuous representation of G as \*-auto-morphisms of M. Then the fixed point algebra M<sup>G</sup> of G in M contains no minimal projections.

<u>Proof</u>.  $M^G = \{x \in M : \alpha_g(x) = x, g \in G\}$ . Suppose to the contrary that e is a nonzero minimal projection in  $M^G$ . Then G acts ergodically on the reduced algebra  $M_e$  by  $\alpha_g(exe) = e\alpha_g(x)e$ . By Corollary 4.2  $M_e$  is finite contradicting the fact that it is of type III since M is. Q.E.D.

Let A be a C\*-algebra, G a group, and  $\alpha$  a representation of G as \*-automorphisms of A. Suppose  $\omega$  is a G-invariant state. We say  $\alpha$  is cyclic with respect to  $\omega$  if there is  $x \in A$  such that  $\omega(y\alpha_g(x)) = 0$  for all  $g \in G$  implies y = 0. We shall see below that if G is compact and  $\alpha$  is a continuous representation of G as an ergodic group, then cyclicity of G means that the orbit of  $x\xi_0$  under G in the GNS-representation due to the unique G-invariant trace, is dense in the Hilbert space.

Lemma 4.4. Let A be a unital C\*-algebra, G a compact group and  $\alpha$  a strongly continuous representation of G as \*-automorphisms of A. Suppose  $\omega$  is a G-invariant state such that  $\alpha$ is cyclic with respect to  $\omega$ . Then  $\alpha$  is an ergodic representation, and  $\omega$  is the unique G-invariant state.

<u>Proof</u>. Let  $A^G$  denote the fixed point algebra of G in A. Since G is compact the adjoint of the map

$$y \rightarrow \int_{G} \alpha_{g}(y) dg$$

of A onto  $A^{G}$  defines an affine isomorphism between the G-invariant states of A and the state space of  $A^{G}$ . Suppose there is  $x \in A$  such that  $\omega(y\alpha_{g}(x)) = 0$  for all  $g \in G$  implies y = 0. Then if  $y \in A^{G}$  we have  $\omega(y\alpha_{g}(x)) = \omega(\alpha_{g}^{-1}(y)x) = \omega(yx)$ , so the functional  $y \neq \omega(yx)$  is injective on  $A^{G}$ . But this is only possible if  $A^{G}$  is the scalars.

The next theorem is a direct analogue for representations of compact groups as \*-automorphisms on C\*-algebras, of a result of Greenleaf and Moskowitz on unitary representations on Hilbert space [4]. <u>Theorem 4.5</u>. Let A be a unital C\*-algebra and G a second countable compact group. Suppose  $\alpha$  is a strongly continuous representation of G as \*-automorphisms of A. Then  $\alpha$  is an ergodic representation if and only if  $\alpha$  is cyclic with respect to some G-invariant state.

Proof. By Lemma 4.4 we only have to show that if  $\alpha$  is ergodic and  $\omega$  is the unique G-invariant state, then  $\alpha$  is cyclic with respect to  $\omega$ . By Proposition 2.1 if D is an irreducible representation of G then its multiplicity in the spectral subspace A(D) of A is not greater than dimD. Thus there is  $x_n \in A(D)$  of norm one such that the linear span of  $\alpha_{\sigma}(x_{D})$ , g  $\in$  G, equals A(D). Indeed, in the notation of the proof of Proposition 2.1 we may choose  $x_D = c \sum_{i=1}^{m(D)} a_{ii}$  for a suitable scalar c > 0. Since G is second countable and compact its dual G is countable, hence there is a countable number of spectral subspaces A(D). Number them by A(D<sub>k</sub>), k  $\in \mathbb{N}$ . For each k choose  $x_{D_k} \in A(D_k)$  of norm one as above, and let  $x = \sum_{k=1}^{\infty} 2^{-k} x_{D_k}$  (if  $\hat{G}$  is finite let the sum be finite). Then  $||x|| \le 1$  and  $x \in A$ . We show that the linear span of the orbit of  $x\xi_0$ ,  $\xi_0$  being the G-invariant separating and cyclic vector in the GNS-representation due to  $\omega$ , is dense in the underlying Hilbert space H, hence in particular that a is cyclic with respect to  $\omega$  .

Let  $\xi \in H$  satisfy  $(\xi, \alpha_g(x)\xi_0) = 0$  for all  $g \in G$ . Let u denote the unitary representation of G on H such that  $u_g a u_g^{-1} = \alpha_g(a)$  and  $u_g \xi_0 = \xi_0$  for all  $g \in G$ ,  $a \in A$ . Let D be an irreducible representation of G and  $\chi_D$  the corresponding normalized character. Then  $u(\chi_D) = \int \chi_D(g) u_g dg$  is the orthogonal projection of H onto the subspace  $A(D)\xi_0$ . Let  $D = D_k$  be one

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of the irreducible representations described above. Then  $\alpha(\chi_D)(x) = 2^{-k} x_D^{-k}$  Let  $h \in G$ , then  $\alpha(\chi_D)(\alpha_h(x))\xi_0 \in A(D)\xi_0$ , hence we have

$$(u(\chi_{D})\xi, u_{h} x_{D}\xi_{0}) = (\xi, u(\chi_{D})u_{h} x_{D}\xi_{0})$$
$$= 2^{k}(\xi, \alpha(\chi_{D})\alpha_{h}(x)\xi_{0})$$
$$= 2^{k}\int\chi_{D}(g)(\xi, \alpha_{gh}(x)\xi_{0})dg$$
$$= 0$$

by assumption on  $\xi$ . Since  $\operatorname{span}\{u_h \times_D \xi_0 : h \in G\} = A(D)\xi_0$ ,  $u(\chi_D)\xi = 0$  for each  $D = D_k$ . Since the subspaces  $A(D_k)\xi_0$  are mutually orthogonal and span H,  $\xi = \sum_{k=1}^{\infty} u(\chi_D_k)\xi = 0$ . Q.E.D.

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