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Comparative Performance of Two Whole Genome Capture Methodologies on Ancient DNA Illumina Libraries — Source link

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37 Abstract

38

39 1. The application of whole genome capture (WGC) methods to ancient DNA (aDNA)
40 promises to increase the efficiency of ancient genome sequencing.
41 2. We compared the performance of two recently developed WGC methods in
42 enriching human aDNA within Illumina libraries built using both double-stranded
43 (DSL) and single-stranded (SSL) build protocols. Although both methods effectively
44 enriched aDNA, one consistently produced marginally better results, giving us the
45 opportunity to further explore the parameters influencing WGC experiments.
46 3. Our results suggest that bait length has an important influence on library
47 enrichment. Moreover, we show that WGC biases against the shorter molecules that
48 are enriched in SSL preparation protocols. Therefore application of WGC to such
49 samples is not recommended without future optimization. Lastly, we document the
50 effect of WGC on other features including clonality, GC composition and repetitive
51 DNA content of captured libraries.
52 4. Our findings provide insights for researchers planning to perform WGC on aDNA,
53 and suggest future tests and optimization to improve WGC efficiency.

54

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55 INTRODUCTION

56

57 The introduction of next-generation sequencing (NGS) marked a dramatic turning
58 point in aDNA investigation, enabling the study of genome scale datasets (e.g. Green
59 *et al.* 2010, Meyer *et al.* 2012, Orlando *et al.* 2013, Rasmussen *et al.* 2014).
60 Nevertheless, the DNA quality within most archaeological samples continues to
61 hamper the field's development. Specifically, the fragmented and damaged nature of
62 aDNA molecules, coupled with high levels of exogenous contaminant DNA, have
63 required investment of significant resources in order to enable generation of
64 meaningful levels of sequence data (Knapp & Hofreiter 2014). In response to this
65 challenge, several key methodological improvements have been developed. These
66 include optimization of DNA extraction and library preparation protocols to better
67 retain and incorporate damaged endogenous DNA (e.g. Dabney *et al*. 2013,
68 Gansauge & Meyer 2013, respectively), and the introduction of large-scale
69 hybridization-capture based targeted enrichment techniques optimized for aDNA
70 (e.g. Briggs *et al*., 2009, Burbano *et al.,* 2010, Maricic *et al.* 2010, Schuenemann *et al*,
71 2011, Ávila-Arcos *et al,* 2011, Fu *et al.* 2013).

72 Until recently, targeted enrichment approaches were limited to relatively
73 small fractions of predefined regions of an already characterized genome, (e.g.
74 Maricic *et al.* 2010, Schuenemann *et al.* 2013, Fu *et al.* 2013). However, a method
75 introduced by Carpenter *et al.* (2013), termed Whole-genome In-Solution Capture
76 (WISC), showed considerable genome-wide enrichment of human aDNA sequencing
77 libraries with very low initial concentrations of endogenous DNA. In parallel, a

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78 commercial method, MYbaits-WGE (MYbaits Whole Genome Enrichment, henceforth
79 referred to as "MYbaits"), was developed by the company MYcroarray (Ann Arbor,
80 MI, USA) following a similar methodological principle (Gnirke *et al.* 2009) but with
81 some subtle differences in the protocol (for an application of the method see Enk *et*
82 *al.,* 2014). In broad terms, the approach involves the construction of an RNA 'bait'
83 library by transcribing modern genomic DNA that has been fragmented and ligated
84 to T7 RNA polymerase promoters. The synthesis of RNA from the T7-ligated modern
85 reference genomic DNA is carried out using biotinylated UTPs, and the RNA
86 products are then hybridized in solution to aDNA libraries. The hybridized
87 fragments can then be retrieved using streptavidin-coated magnetic beads, while
88 the unbound molecules are washed away. Captured library molecules can
89 subsequently be amplified and sequenced (Carpenter *et al.* 2013, Enk *et al.* 2014).
90 Although the fundamental molecular principle of both methods is similar, it
91 is unclear if they performed equally well, and as such, whether there is a user
92 benefit in employing one over the other. To explore this issue, and to better
93 understand the parameters affecting the success of capture experiments, we
94 enriched and sequenced a series of ancient human DNA libraries using both WISC
95 and MYbaits. Using the data, we describe the effect that different bait lengths and
96 hybridization times have on the resulting fold enrichment, when applying each
97 protocol to aDNA libraries with variable levels of initial endogenous DNA content.
98 To a lesser extent we also explore the potential role of differences in hybridization
99 times. Furthermore, we investigate the performance of both whole genome capture
100 (WGC) methods on single-stranded libraries (SSL), which have been previously

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101 shown to contain higher levels of endogenous DNA than standard double-stranded
102 libraries (DSL) (Meyer *et al.,* 2012, Gansauge & Meyer, 2013). We examined the
103 effect on fold enrichment of applying WGC methods to this particular type of
104 libraries.
105
106 MATERIALS AND METHODS
107
108 **DNA extraction and aDNA library preparation**
109 We generated sequence data from DNA extracted from eight archeological human
110 skeletal samples originating from a range of different archaeological contexts and
111 environmental conditions, dated to between 300 and 2500 years BP (Table 1). We
112 deliberately chose samples from different contexts and with variable amounts of
113 endogenous DNA as determined through shotgun sequencing (0.2-8.0%) to assess
114 the performance of the two different WGC methods on samples of different quality.
115 All DNA extraction and library preparation steps were performed in dedicated clean
116 laboratories at the Centre for GeoGenetics in Copenhagen, Denmark, to prevent
117 contamination with modern DNA. Before extraction, the tooth samples were cleaned
118 with 10% bleach solution and then UV-irradiated for 2 min on each side to cross-
119 link potentially contaminant DNA to the surface. Part of the tooth root was then
120 excised and the inside of the tooth was drilled to produce approximately 200 mg of
121 powder. The powder was digested in 5 ml of an EDTA-based digestion buffer
122 containing 0.25 mg/mL Proteinase K. DNA was then isolated using a silica-based

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123 extraction method (Rohland and Hofreiter 2007). Samples were eluted in 60 µl TET
124 buffer.
125
126 Following extraction, the DNA was divided into two aliquots of 30 µl. Each of these
127 were built into Illumina libraries, using a double- and single stranded protocol,
128 respectively. The single-stranded libraries were built following a previously
129 published protocol (Gansauge & Meyer, 2013) but without first removing
130 deoxyuracils. The double-stranded libraries were prepared using a blunt-end
131 library preparation kit from NEB (E6070) and blunt-end modified Illumina adapters
132 (Meyer and Kircher, 2010). The libraries were prepared according to the
133 manufacturer's instructions, although with minor modifications as outlined below.
134 The initial nebulization step was skipped because of the fragmented nature of aDNA.
135 End-repair was performed in 50 µl reactions with 30 µl of DNA extract. The end-
136 repair cocktail was incubated for 20 min at 12°C and 15 min at 37°C and purified
137 using Qiagen MinElute silica spin columns following manufacturer's instructions
138 and eluted in 30 µl. After end-repair, Illumina-specific adapters (Meyer and Kircher,
139 2010) were ligated to the end-repaired DNA in 50 µl reactions. The reaction was
140 incubated for 15 min at 20°C and purified using Qiagen QiaQuick columns before
141 being eluted in 30 µl EB. The adapter fill-in reaction was performed in a final volume
142 of 50 µl and incubated for 20 min at 37°C followed by 20 min at 80°C to inactivate
143 the *Bst* enzyme. Libraries were then amplified and indexed in a 50 µl PCR reaction,
144 using 15 µl of library template, 25 µl of a 2x KAPA U+ master mix, 5,5 µl H2O, 1,5 µl
145 DMSO, 1 µl BSA (20 mg/ml), and 1 µl each of a forward and reverse indexing primer

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146 (10 μM). Thermocycling conditions were 5 min at 98°C, followed by 10-12 cycles of
147 15 sec at 98°C, 20 sec at 60°C, and 20 sec at 72°C, and a final 1 min elongation step
148 at 72°C. The amplified libraries were then purified using Agencourt AMPure XP
149 beads and eluted in 30 μl EB. Between 2-6 μl of the indexed DNA libraries were then
150 quantified on an Agilent Bioanalyzer, pooled in equimolar amounts, and sequenced
151 together with other samples on a HiSeq 2000 lane.
152
153 **Whole Genome Capture**
154 The remaining fraction of the libraries was subdivided, and re-amplified for 8-12
155 cycles using primers IS5 and IS6 (from Meyer & Kircher, 2010) and the same PCR
156 conditions as above to obtain a minimum of 100 ng of library template. The libraries
157 were then captured using the two different WGC methods. WISC, which makes use
158 of homemade RNA probes, was carried out as described in Carpenter *et al.* (2013),
159 with a bait-library hybridization time of 66 hours. For the MYbaits capture, a human
160 whole genome enrichment kit MYbaits-HuWGE (MYcroarray, Ann Arbor), which
161 relies on pre-made RNA probes and adapter blockers built using proprietary
162 protocols, was used following manufacturer's instructions with 24 hours for bait-
163 library hybridization. Captured libraries were re-amplified with IS5 and IS6 for 10-
164 20 cycles (depending on library and capture method, see Table 2 for total number of
165 PCR cycles per sample) and using the same PCR conditions as above. Subsequently,
166 the libraries were purified, quantified and pooled as described above, and submitted
167 for sequencing on the HiSeq 2000.
168

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169 **Fastq filtering and mapping**

170 Libraries were sequenced on an Illumina HiSeq2000 in 100-cycle single-end runs.
171 The base calls were performed using the Illumina software CASAVA 1.8.2 and the
172 output fastq files were processed with the following software and scripts. First,
173 reads were filtered with AdapterRemoval (Lindgreen 2012) by trimming adapter
174 sequences as well as N's and low quality stretches and discarding fragments shorter
175 than 30 bp. Reads passing filters were then mapped using BWA Version: 0.7.5a-r405
176 (Li & Durbin 2009) to the hg18 reference genome, setting the minimum mapping
177 quality to 25. Next, Samtools rmdup (Li *et al.* 2009) was used to remove PCR clones
178 while reads mapping to more than one unique region in the genome were also
179 excluded by controlling for the XT, XA and X1 tags in the bam alignment.
180 Fragmentation and damage patterns were calculated and plotted using
181 MapDamage2 (Ginolhac *et al.* 2011, Jonsson *el at.* 2013).
182

183 **Contamination estimates**

184 To estimate the contamination fraction for each experiment, we mapped the data to
185 the whole nuclear genome (hg18) as well as to a consensus mitochondria (mt)
186 sequence that was generated separately for each sample [STM1, STM2, STM3
187 (Schroeder et al, unpublished data)]. We only retained reads that mapped to the
188 consensus mt with a mapQ> 30, and excluded reads with potential alternative
189 mapping coordinates to the nuclear genome by controlling for XT, XA and X0 tags in
190 the bamfile. This has the effect of reducing the number of reads that map both to the
191 mitochondrial genome and to nuclear copies of mitochondrial genes (numts). We

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192 then used a method detailed in the Supplemental Information section 5 of (Fu *et al.*
193 2013) that generates a moment-based estimate of the error rate and a Bayesian-
194 based estimate of the posterior probability of the contamination fraction. We ran
195 three chains of 50,000 iterations for the Monte Carlo Markov Chain and discarded
196 the first 10,000, as was done in (Fu *et al.* 2013). We assessed convergence of the
197 chain by visualizing the potential scale reduction factor (PSRF) and verifying that
198 the median of PSRF is below 1.01 for all cases (Gelman & Rubin 1992, Plummer *et al.*
199 2006).
200
201 **Length distribution, repeat and GC content analysis**
202 Bam files were intersected with the hg18 UCSC 50mer mapability tracks
203 (downloaded from
204 ftp://hgdownload.cse.ucsc.edu/gbdb/hg18/bbi/crgMapabilityAlign50mer.bw)
205 using bedtools intersect (version 2.15.0, https://github.com/arq5x/bedtools) and
206 requiring at least 50% of the read overlapping with a region with a mapability value
207 of 0.5 or less. Unix scripts were used to calculate the GC content fractions and length
208 distribution. Plots were generated using Rstudio (http://www.rstudio.org/).
209
210 RESULTS
211
212 Shotgun sequencing of eight ancient human DNA libraries revealed pre-capture
213 endogenous content ranging between 0.2% and 8% (Table 1). Prior to sequencing,
214 human genomic DNA was enriched for two of the libraries using the MYbaits

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215 protocol and for six of the libraries using both the WISC and MYbaits protocols.
216 Additionally, for three of the samples (samples STM1-3), SSL were built from the
217 same DNA extract used to build the DSL. These three SSL were shotgun sequenced
218 and also subjected to both capture schemes and sequenced on the HiSeq 2000
219 (Table 1). Sequenced reads that mapped to the human genome reference showed
220 the damage and fragmentation patterns characteristic of aDNA (Briggs *et al.* 2007)
221 and were consistent with the type of library build method used to generate them
222 (Supplementary figures S1-4).
223

224 **Enrichment rates on DSL**

225 To evaluate the performance of capture experiments, we computed the percentage
226 of reads in each of the sequenced libraries that matched the human genome
227 reference sequence, as well as the percentage of non-clonal, uniquely mapped reads
228 that matched the reference. To estimate the fold enrichment for each sample, we
229 compared the unique endogenous fraction of the captured libraries with that of the
230 shotgun libraries (Ávila-Arcos *et al.*, 2011).

231 Regardless of the capture method, an increase of the endogenous content
232 was consistently observed in all captured DSL libraries when compared to their pre-
233 capture counterpart (Table 3). Informative rates of enrichment ranged between 1.9
234 and 8.6 fold. Although the sequences generated from the WISC libraries initially
235 exhibited a higher fraction of human DNA, this derived from higher levels of clonal
236 reads (Table 2), and the actual informative (unique) rate of enrichment was
237 consistently higher for MYbaits experiments. Fold enrichment values ranged

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238 between 2.9 and 8.6 (mean 5.2) for MYbaits libraries and between 1.9 and 5.6
239 (mean 3.6) for WISC (Table 3), consistent with reported values (2 to 13 fold) in
240 Carpenter *et al.* (2013).
241 In contrast to previous observations (Carpenter *et al.* 2013; Enk *et al*. 2013)
242 that lower initial endogenous DNA concentrations result in higher enrichment, we
243 did not observe a clear dependence of enrichment rates on initial endogenous
244 values. For example, among the pre-capture libraries, unique endogenous content
245 values ranged between 0.2% and 8.0 %, and for these extreme values the fold
246 enrichment was very similar (4.1 fold and 3.7 fold, respectively, Table 3). This
247 discrepancy could also be attributed to the small size of our dataset.
248

249 **Bait length influences the read length distribution of captured reads**

250 The endogenous DNA sequences generated from captured libraries were
251 consistently longer than those in shotgun libraries (Fig. 1a and 1b and
252 Supplementary Figs. S5-6), in agreement with observations in previous WGC studies
253 (Carpenter *et al.* 2014; Enk *et al.* 2014). Furthermore, libraries captured with WISC
254 showed stronger bias against short fragments, and consequently a higher
255 proportion of longer reads, than those enriched with MYbaits. To investigate if any
256 physical properties of the baits could explain this discrepancy, we plotted the
257 distribution of both bait libraries (see Materials and Methods) and observed the
258 distribution of WISC probes was wider than MYbaits, with a higher fraction of the
259 former exceeding 500 bp (Fig. 1c), whereas MYbaits had a narrower distribution
260 between roughly 100bp and 500bp.

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261

262 **Effect of hybridization times**

263 Although one might intuitively expect a correlation between incubation time and
264 hybridization success, we observed no such behavior. The time of hybridization in
265 WISC experiments was 66 hours, versus 24 hours for MYbaits. Our results suggest
266 that the increased time utilized in WISC did not have a clear positive effect in terms
267 of informative enrichment; however, we did not directly compare hybridization
268 times between experiments performed with the same protocol (i.e., WISC for 24 hrs.
269 vs. 66 hrs.).

270

271 **Clonality**

272 The effect of capture on levels of sequence clonality was consistent with previous
273 reports (Ávila-Arcos *et al.*, 2011). Firstly, sequence clonality (measured as the
274 fraction of the total mapped reads that are clonal duplicates) in captured libraries
275 was consistently higher than in pre-capture libraries. Secondly, the lower the
276 endogenous content in the shotgun library, the higher the clonality in the post-
277 capture ones. Furthermore, our results showed a logarithmic decrease of clonality
278 with initial endogenous content (Table 3, Supplementary Fig. S7). In order to
279 achieve the amounts of DNA required for WGC (both protocols requiring the same
280 initial 100-500 ng), all libraries required two rounds of amplification prior to
281 capture, and a further round of amplification post capture (see Materials and
282 Methods). In fact, the amount of library DNA used as input for WISC was lower than

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283 that used for MYbaits (Table 2), which made necessary additional PCR cycles for the
284 former in order to reach optimal concentrations for sequencing. As a result,
285 WISC libraries consistently exhibited higher levels of clonal reads than MYbaits
286 (Table 2).
287

288 **Whole Genome Capture on SSL**

289 An unexpected observation was that, despite the SSL having higher endogenous
290 DNA contents prior to capture than the DSL, both capture methods performed
291 poorly on the SSL, with endogenous contents increasing only marginally and even
292 decreasing relative to pre-capture values (Table 2). This poor performance of both
293 WGC methods on SSL can be explained by the fact that the biggest gain in SSL is due
294 to the recovery of short DNA fragments, which are then lost when WGC is performed
295 with the parameters assayed herein. Further optimization of these parameters (e.g.
296 hybridization temperature), could in principle overcome this limitation (see
297 Discussion).
298

299 **Contamination**

300 An important consideration when working with human aDNA is to control for
301 potential modern human contamination. Reads derived from such contaminating
302 DNA would intuitively be expected to have a longer average lengths than aDNA
303 reads; hence, we investigated whether the fact that capture biased toward longer
304 DNA molecules, resulted in higher contamination values in the captured libraries.
305 We estimated the mitochondria (mt) contamination values for the three samples for

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306 which both DSL and SSL were subjected to WGC (see Material and Methods). We
307 observed less mt contamination in the post capture libraries, suggesting the longer
308 reads for those samples do not derive from a contaminant source. Although our
309 sample size is small, it is an encouraging result for the use of capture methods in
310 aDNA (Supplementary Table 1).
311

312 **GC content in WGC libraries**

313 Previous capture studies have reported differences in GC content between pre- and
314 post-capture libraries (Gnirke *et al.* 2009, Carpenter *et al.* 2013). We explored this
315 factor in our dataset by measuring the GC fraction in pre- and post-capture reads
316 and studying their distribution per experiment. We did not observe a specific
317 pattern of increase or decrease of average GC contents in post-capture libraries, but
318 instead a narrowing of the GC distribution in DSL libraries (Fig. 2a and
319 Supplementary Table 2). Average GC content ranged between 42-49% in pre-
320 capture DSL and between 44-46% and 43-45% in post-capture WISC and MYbaits
321 libraries, respectively (Supplementary Table 2). Interestingly we noticed that the
322 average GC of pre-capture SSL (35-38%) was lower that the than DSL, and that the
323 SSL GC distributions were shifted downwards, as shown in the histograms in Fig. 2c.
324 Remarkably, the GC content increased again when SSL were captured (Figs. 2b-c and
325 Supplementary Table 2). Post-capture SSL mean GC contents ranged between 42-
326 45% and 40-42% for WISC and MYbaits, respectively. We hypothesize that this bias
327 towards specific GC values in post-capture libraries, relates to the particular
328 selection of the hybridization temperatures and times in capture experiments.

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329

330 **Repeat enrichment in WGC libraries**

331 Despite the inclusion of blocking agents (human Cot-1 and salmon sperm DNA) in
332 both WGC protocols to "mask" repetitive elements in the library and avoid bait
333 hybridization to these, a higher proportion of reads in post-capture libraries
334 mapped to repetitive regions in the genome (Fig. 3). In particular, we observed that
335 libraries captured with WISC displayed a higher fraction of repeats. To investigate if
336 this was tied to the biased selection of longer reads, we computed and plotted the
337 length distribution of reads within and outside repeats for each type of experiment
338 (Supplementary table 3). These distributions confirmed that reads within repeats
339 are on average longer in the pre-capture libraries, which probably drives these to
340 preferential capture over the non-repeat ones, as evidenced by an also longer
341 average length of reads within repeats in both post-capture library types
342 (Supplementary Table 3).

343

344 DISCUSSION

345

346 By comparing the performance of WISC and MYbaits in enriching for endogenous
347 human DNA in ancient DNA extracts, we have been able to pinpoint potential factors
348 influencing the dynamics of WGC experiments. The assessment of the subtle
349 differences between both approaches to in-WGC enables us to draw insights on two
350 variables that may the affect capture efficiency – bait length distribution and
351 hybridization time. Our data furthermore provides insights into the effect of

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352 blocking agents, and first insights into the performance of whole-genome
353 enrichment methods on SSL.
354
355 Although the experimental design and parameters used in this study seem to
356 suggest an apparent benefit of one of the methods over the other, we strongly
357 caution that batch effects could be playing an important role under these settings,
358 hence discourage such interpretation from our results. Likewise, it is worth
359 considering that even though there is a certain convenience in using a pre-made kit
360 (MYbaits), our observations point to specific factors that can be optimized in the in-
361 house method (WISC) namely bait length distribution and hybridization
362 parameters. Knowing the relevance of such parameters in WGC, gives users the
363 flexibility of customizing their capture experiment to match the particularities of
364 each aDNA library (see below).
365

366 **Role of bait length distribution on the efficiency of WGC**

367 Bait length distributions (Fig. 1c) differ mainly in that WISC shows a wider range
368 and longer bait lengths. This in principle could account for the marked retrieval of
369 longer reads in the WISC compared with the MYbaits experiments (Figs. 1a-b).
370 Following this rationale, the higher success of the latter could be explained by its
371 ability to better access a fraction of the sequencing library, specifically that with the
372 smaller fragments, while this fraction remains inaccessible due to the higher
373 concentration of longer baits used in WISC. An important consequence of this
374 feature was the poor and even unsuccessful outcome of capturing SSL, which

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375 include a higher fraction of short fragments, with either method. At the same time,
376 this limitation reveals an important area for future development in the context of
377 WGC experiments.
378
379 Although there was a small, yet consistent, benefit in the MYbaits over the WISC, it
380 would be rash to conclude that the MYbaits method always outperforms WISC.
381 These results were generated using a single batch of WISC bait versus a single batch
382 of MYbaits. Given that (i) bait lengths will likely vary between batches as a result of
383 initial template DNA fragmentation, and (ii) our hypothesis that bait length may
384 play a key role in retaining shorter DNA fragments, we believe it is more than likely
385 our results simply reflect the fact that in these batches tested the WISC bait were
386 slightly longer than the MYbaits. Future studies that examine the role of bait length
387 in capture success will be needed to further examine this hypothesis.
388

389 **Preferential retrieval of repeats in WGC experiments**

390 Despite the inclusion of blocking agents, both capture methods resulted in a higher
391 proportion of reads mapping to repetitive regions in post-capture compared to the
392 pre-capture libraries. This enrichment of repeats in capture experiments has also
393 been observed previously in WGC experiments, despite the inclusion of organism-
394 specific Cot-1 DNA in the capture reactions (Carpenter *et al.* 2013 and Enk *et al.*
395 2014). Some of the possible explanations, as described by Enk *et al.* (2014), include
396 more rapid association rates for repetitive sequences and jumping PCR in post-
397 capture amplification. Furthermore, it has been observed that, against intuition, in

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398 some cases Cot-1 can enhance non-specific hybridization and hybridization to
399 conserved repetitive elements (Newkirk *et al.* 2005). Also, batch-to-batch
400 differences in the ability of commercial Cot-1 preparations to reduce hybridization
401 to repetitive sequences have been reported (Carter *et al.* 2002). Our analyses show
402 that another element to consider is the length of repetitive fragments, which we
403 found to be longer than non-repetitive fragments and probably preferentially
404 captured. In summary, considerations in this regard, along with further tests on the
405 amount of this type of blockers, are needed in order to increase our understanding
406 of their effects and to improve the capture efficiency.
407
408 **Cost-benefit of WGC experiments**
409 We have demonstrated that WGC, at least for the two currently available methods, is
410 an effective way to enrich endogenous content in aDNA sequencing libraries.
411 However, it is important to consider that despite the success of its application, WGC
412 would only represent a practical and cost-effective advantage for whole genome
413 sequencing from ancient samples when applied on libraries with endogenous
414 contents above 1%. Assuming an average read length of 60 bp, approximately 200
415 lanes worth of sequencing on the HiSeq2000 would be required to reach 1x of the
416 human genome from a library with 1% endogenous (assuming high complexity in
417 the library); however, only 23 would be needed if the sample is enriched to 8.6 fold,
418 which is the maximum enrichment value observed in this study. Although the cost of
419 sequencing 23 lanes is still very high, depending on the research question, less than
420 1x genome coverage could be enough to provide valuable information about the

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421 sample (e.g. Skoglund *et al*. 2012, Sánchez-Quinto *et al.*, 2012, Carpenter *et al.* 2013)
422 Therefore, samples with less than 1% endogenous could in principle also be
423 considered for WGC when the research question does not require information from
424 the complete genome. Ultimately, optimization of capture enrichment protocols
425 might enhance the efficiency and lower costs enough to make these samples with
426 very little endogenous DNA accessible to genomic scale investigations.
427
428 With these observations in hand, we believe that an *a priori* knowledge of the
429 endogenous content of a sample is crucial before deciding the enrichment strategy,
430 or if it is even worth the investment of a WGC reaction. An initial shotgun screening
431 can provide this information. Even with as few as 1 million reads (1/250 of a
432 HiSeq2000 lane), characteristics of the library such as complexity, read length
433 distribution and endogenous content can be retrieved and inform the selection of
434 the strategy to follow, whether it is WGC (for reads with ~1% endogenous, or even
435 less when just a fraction of the genome is informative, and a higher proportion of
436 long reads) or SSL (for very fragmented libraries). In fact, both strategies can be
437 complementary and applied in parallel (but not consecutively) to cover a wider
438 spectrum of read lengths. Additional optimization of WGC bait lengths and
439 hybridization parameters might eventually overcome this limitation and make
440 accessible the shorter fragments to WGC approaches.
441
442 In summary, we believe that our observations, though based on a small dataset and
443 more descriptive than exhaustive, can be of value for researchers planning

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444 enrichment experiments. As new methods in aDNA are being developed at an
445 unprecedented pace, being able to discern the best approach for a given sample is of
446 the utmost relevance in order to take advantage of the methods and avoid wasting
447 resources and precious sample material. New extraction methods, library
448 preparation, sequencing and enrichment protocols are in their infancy in aDNA but
449 promise to unlock fascinating information from ancient samples at an unparalleled
450 scale. Consequently, it is important to have standards and guidelines to choose the
451 best approach for any given project.
452

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628 CONFLICT OF INTEREST

629

630 The authors declare no conflict of interest.

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636 TABLES

637

638 Table 1

639 Description of samples and WGC scheme used for each. Provenance and
640 approximate age of the samples are shown, along with the pre-capture endogenous
641 content of the DSL libraries built from them. The type of library (DSL: Double-
642 stranded library; SSL: Single-stranded library) built and the whole genome capture
643 method(s) used are depicted in the last two columns.

Sample	Region	Age (years BP)	% Endogenous	DSL-Capture?	SSL-Capture?
CDM25	Caribbean	400	1.8%	WISC + MYbaits	No
CDM95	Caribbean	400	3.1%	MYbaits only	No
ETR2	Europe	2500	0.3%	WISC + MYbaits	No
ETR5	Europe	2500	0.9%	MYbaits only	No
ETR9	Europe	2500	0.2%	WISC + MYbaits	No
STM1	Caribbean	300	2.7%	WISC + MYbaits	WISC + MYbaits
STM2	Caribbean	300	0.3%	WISC + MYbaits	WISC + MYbaits
STM3	Caribbean	300	8.0%	WISC + MYbaits	WISC + MYbaits

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646 Table 2

647 Lower pre-capture endogenous content results in higher clonality (measured as the
648 fraction of the total mapped reads that are clonal (PCR) duplicates) in post-capture
649 libraries. The total number of cycles includes two pre-capture and one post-capture
650 amplification rounds. Higher clonality in WISC experiments is likely caused by the
651 higher number of total PCR cycles used in these experiments, which in turn is due to
652 less DNA used as input of the capture experiment. This correlation follows a
653 logarithmic curve as illustrated in Supplementary Fig. S7.

		Clonality (%)		Library DNA input (ng)		Total number of PCR cycles	
Sample	% Endogenous	MYbaits	WISC	Mybaits*	WISC **	MYbaits	WISC
CDM25	0.61%	37.98	82.76	520.2	226.8	30	42
CDM95	0.40%	81.43	-	460.8	-	30	-
ETR2	0.27%	56.56	91.73	539.1	213.3	32	42
ETR5	0.33%	24.26	-	408	-	40	-
ETR9	0.15%	62.46	93.33	420.3	175.5	32	42
STM1	2.71%	19.44	44.01	481.5	156.6	36	44
STM2	0.33%	44.71	82	396	175.5	34	44
STM3	8.04%	12.86	36.84	445.5	135	32	44
STM1-ssl	5.69%	29.95	89.34	485.4	83.7	30	38
STM2-ssl	1.67%	64.31	98.79	417.6	129.6	32	38
STM3-ssl	18.04%	12.42	45.76	392.4	194.4	30	38

*DNA in 4μL
**DNA in 27μL

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656 Table 3

657 Fold enrichment of WGC by method and type of captured library. Numbers
658 represent the unique informative (non-clonal) enrichment of post-capture libraries
659 when compared to their pre-capture counterpart.

	DSL			SSL			
Sample	% End[a]	WISC	MYbaits	% End[b]	shotgun SSL[c]	WISC[c]	MYbaits[c]
CDM25	0.6%	3.04	4.83	-	-	-	-
CDM95	0.4%	-	5.906	-	-	-	-
ETR2	0.3%	2.70	5.10	-	-	-	-
ETR5	0.3%	-	2.90	-	-	-	-
ETR9	0.2%	1.93	4.13	-	-	-	-
STM1	2.7%	5.53	5.96	5.7%	2.10	0.42	2.22
STM2	0.3%	5.66	8.62	1.67%	5.14	0.12	1.52
STM3	8.0%	2.74	3.73	18.07%	2.24	1.15	1.68

[a]Endogenous DNA content of pre-capture DSL
[b]Endogenous DNA content of pre-capture SSL
[c] Enrichment values are in relation to pre-capture DSL

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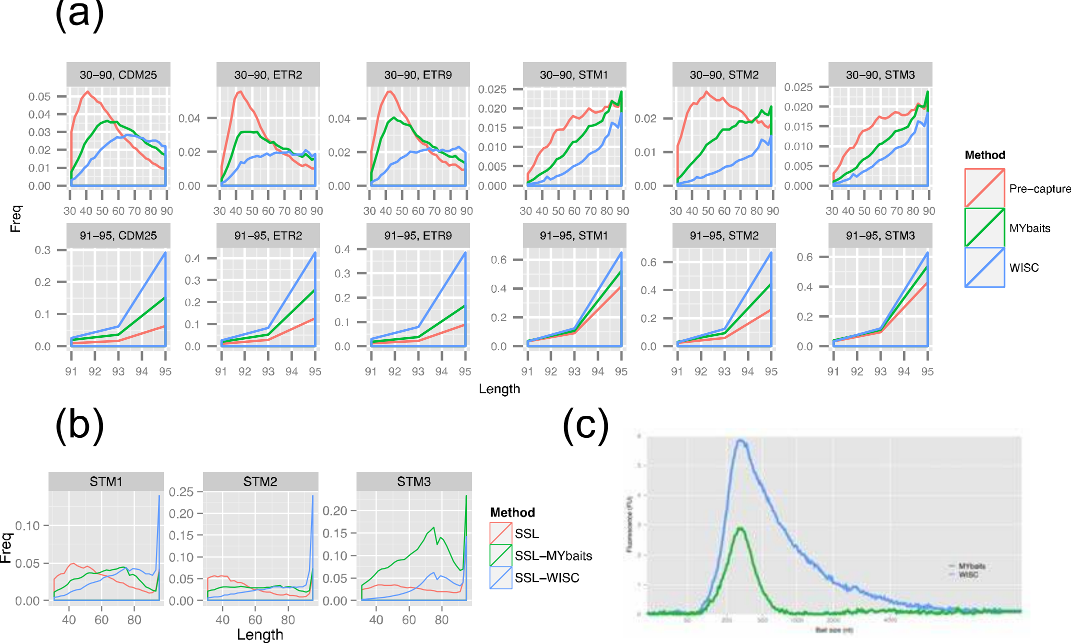
663 FIGURES



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665 Fig. 1 WGC preferentially retrieves longer fragments in sequencing libraries. The
666 read length distribution of pre-capture and post-capture libraries is shown for (a)
667 double-stranded libraries (DSL) and (b) single-stranded-libraries. In (a) the x axis is
668 split in <90 bp and >90 bp to adjust the scale and better illustrate the higher
669 concentration of short reads in the pre-capture libraries (pink line) and the bias
670 observed against these in capture experiments (green and blue lines) where longer
671 fragments are preferentially retrieved. (b) Illustrates that the relative gain of
672 shorter fragments obtained by building a SSL, is lost by capturing these types of
673 libraries. The plot shown in (c) depicts the bioanalyzer profile of the bait libraries
674 revealing that for WISC a wider tail is observed for longer baits, which might explain
675 the stronger bias in favor of longer fragments by this particular method.

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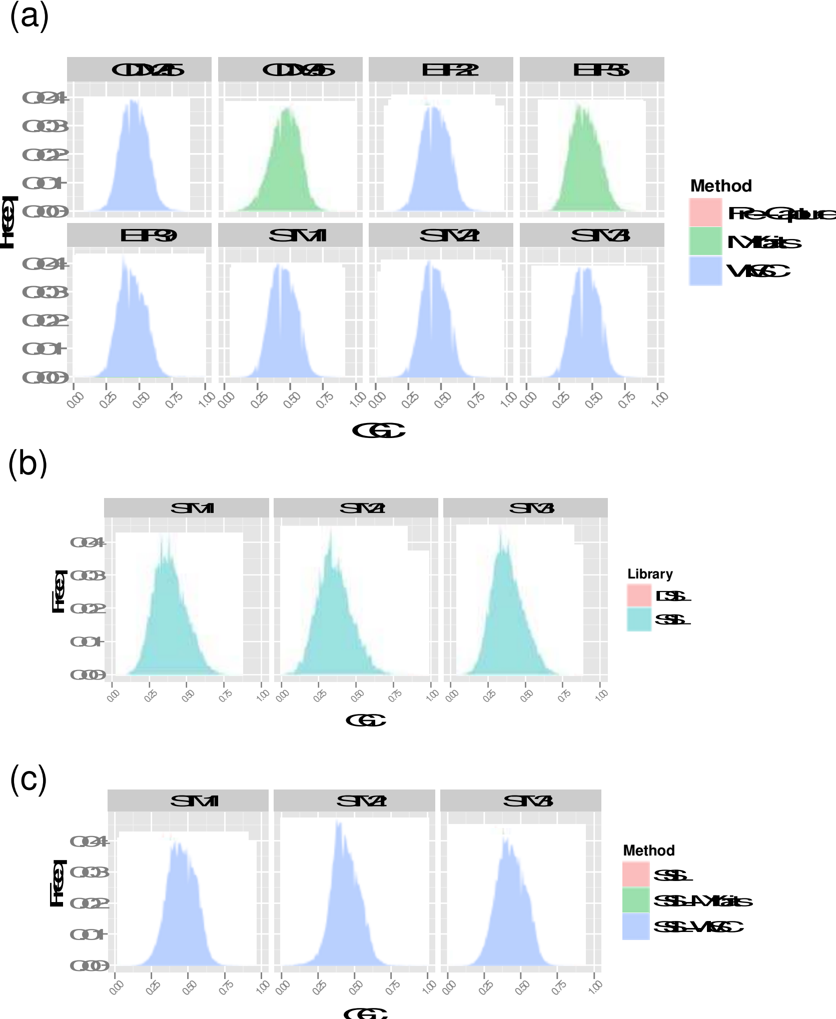

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678 Fig. 2 GC content distribution of WGC libraries is narrower than pre-capture ones.

679 GC distributions in DSL libraries (a) show a subtle narrowing in the post-capture

680 experiments (See Supplementary table 2 for exact ranges and summary statistics).

681 Whereas the GC distribution in pre-capture SSL libraries displays a shift toward

682 lower GC values when compared to pre-capture DSL (b), these values increase in

683 post-capture SSL (c).

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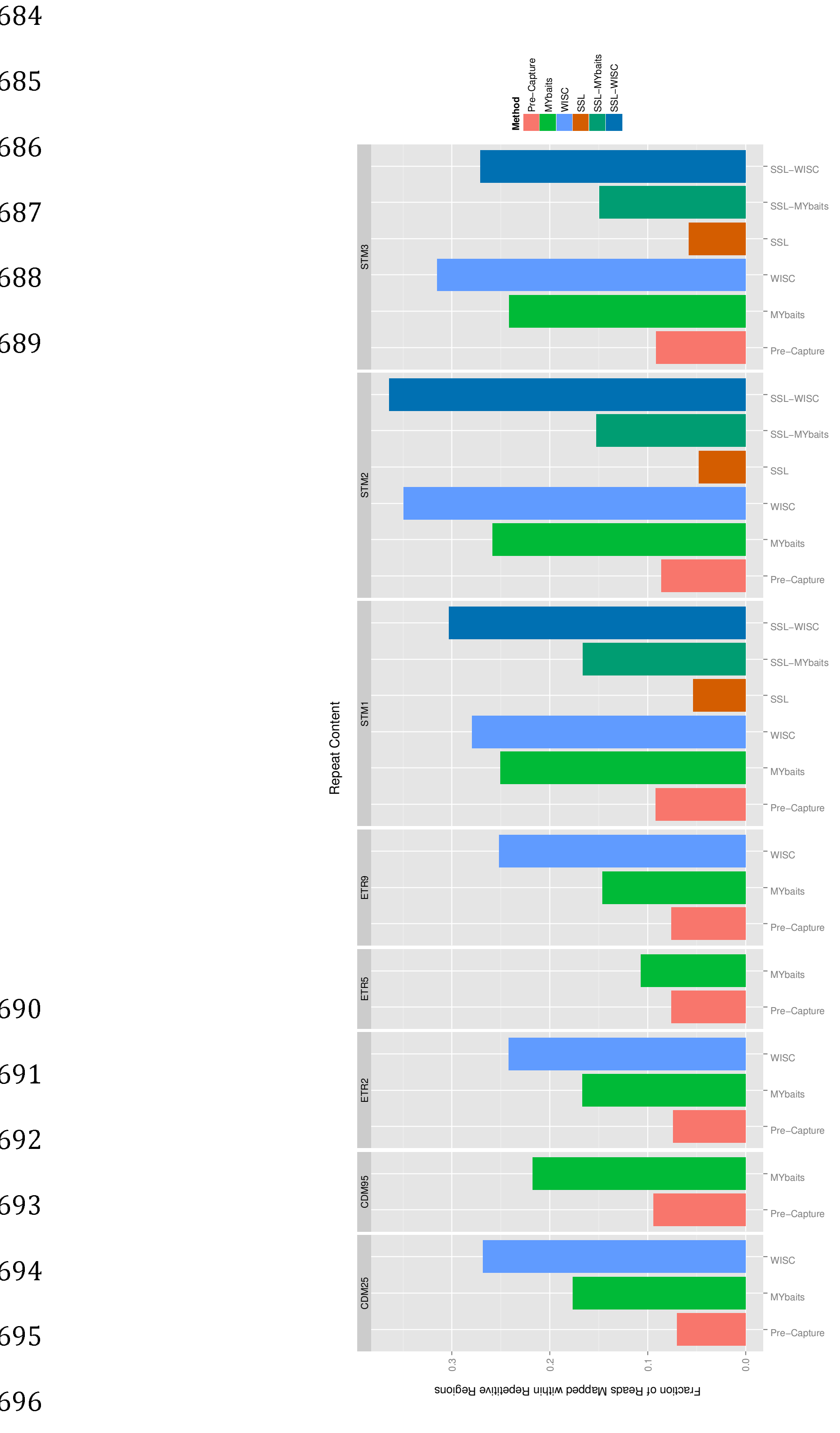

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706 Fig. 3 Fraction of reads mapping to repeated regions in the human genome (see
707 Materials and Methods) in pre- and post-capture libraries. Post-capture libraries
708 display a higher fraction of reads within repeats than pre-capture. This pattern is
709 likely tied to the preferential capture of longer reads, which are also enriched in
710 repeats in pre-captured libraries (Supplementary Table 3)
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