

Comparison of clinical outcomes in decompression and fusion versus decompression only in patients with ossification of the posterior longitudinal ligament: a meta-analysis

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OBJECTIVE Ossification of the posterior longitudinal ligament (OPLL) is a pathological calcification or ossification of the PLL, predominantly occurring in the cervical spine. Although surgery is often necessary for patients with symptomatic neurological deterioration, there remains controversy with regard to the optimal surgical treatment. In this systematic review and meta-analysis, the authors identified differences in complications and outcomes after anterior or posterior decompression and fusion versus after decompression alone for the treatment of cervical myelopathy due to OPLL.

METHODS A MEDLINE, SCOPUS, and Web of Science search was performed for studies reporting complications and outcomes after decompression and fusion or after decompression alone for patients with OPLL. A meta-analysis was performed to calculate effect summary mean values, 95% CIs, Q statistics, and I² values. Forest plots were constructed for each analysis group.

RESULTS Of the 2630 retrieved articles, 32 met the inclusion criteria. There was no statistically significant difference in the incidence of excellent and good outcomes and of fair and poor outcomes between the decompression and fusion and the decompression-only cohorts. However, the decompression and fusion cohort had a statistically significantly higher recovery rate (63.2% vs 53.9%; $p < 0.0001$), a higher final Japanese Orthopaedic Association score (14.0 vs 13.5; $p < 0.0001$), and a lower incidence of OPLL progression ($< 1\%$ vs 6.3%; $p < 0.0001$) compared with the decompression-only cohort. There was no statistically significant difference in the incidence of complications between the 2 cohorts.

CONCLUSIONS This study represents the only comprehensive review of outcomes and complications after decompression and fusion or after decompression alone for OPLL across a heterogeneous group of surgeons and patients. Based on these results, decompression and fusion is a superior surgical technique compared with posterior decompression alone in patients with OPLL. These results indicate that surgical decompression and fusion lead to a faster recovery, improved postoperative neurological functioning, and a lower incidence of OPLL progression compared with posterior decompression only. Furthermore, decompression and fusion did not lead to a greater incidence of complications compared with posterior decompression only.

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KEY WORDS fusion; decompression; cervical myelopathy; OPLL progression; outcomes; ossification of the posterior longitudinal ligament; complications

OSSIFICATION of the posterior longitudinal ligament (OPLL) is a pathological calcification or ossification of the PLL that plays a major role in preventing hyperflexion. This calcification can narrow the spinal canal, leading to spinal cord compression and neurological

complications.³⁵ OPLL was first described in the Japanese and East Asian populations, where it is a common cause of cervical myelopathy.⁵⁴ In Japan, the incidence of OPLL has been estimated at 1.9%–4.3% in patients with cervical spine disorders.³² In other Asian countries, that inci-

ABBREVIATIONS ADF = anterior decompression and fusion; JOA = Japanese Orthopaedic Association; MCID = minimal clinically important difference; OPLL = ossification of the posterior longitudinal ligament; PDF = posterior decompression and fusion; PRO = patient-reported outcome.

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dence is as high as 3.0%.³³ Recent research, however, has shown that OPLL has an incidence of 0.1%–1.7% among North Americans and Europeans with cervical spine disorders.^{13,37} The increasing awareness of OPLL requires surgeons to be familiar with all viable treatment options.

Although surgery is often necessary for patients with symptomatic neurological deterioration caused by OPLL, there remains controversy with regard to the optimal surgical treatment. Surgical decompression and stabilization via cervical fusion is widely accepted as the optimal treatment for patients with cervical radiculopathy or myelopathy caused by OPLL.^{21,34} Although anterior decompression and fusion (ADF) is associated with better maintenance of cervical lordosis,^{38,48} it is also associated with higher rates of dysphagia and dural tears.³⁹ It is also a more technically difficult procedure than a decompression alone. A major disadvantage of posterior decompression without fusion is an inability to correct cervical kyphosis.¹⁹ Both approaches, however, can lead to progression of OPLL postoperatively.^{17,24} Some surgeons hypothesize that decompression and fusion may slow the progression of OPLL, but there is limited evidence to support this hypothesis.⁴⁵

Comparison of the rate of progression of an OPLL mass and patient outcomes after ADF or posterior decompression and fusion (PDF) versus decompression without fusion (laminectomy and laminoplasty) is necessary for surgeons to provide the best care possible for their patients. To date, several studies have compared outcomes of ADF or PDF versus decompression alone in patients with OPLL and associated cervical myelopathy, with a variety of outcomes measures and results.^{6,7,14,16,20,25,32,44,48,59} In the current study, we provide a systematic review of the literature and a meta-analysis of patient outcomes following either ADF or PDF surgery or posterior decompression alone (inclusive of laminectomy and laminoplasty) for OPLL causing cervical myelopathy, to better define outcomes after each procedure. In doing so, we hope to better educate patients and surgeons and to help guide the surgical decision-making process.

Methods

Study Search

A MEDLINE, SCOPUS, and Web of Science database search was performed with the following search algorithm: ossification of posterior longitudinal ligament OR OPLL AND (cervical AND (spine OR surgery OR myelopathy OR fusion)). The search returned 2630 citations. The search period ended November 20, 2015.

Inclusion and Exclusion Criteria

Clinical studies focusing on outcomes of cervical spine decompression and fusion or decompression alone for patients with OPLL causing cervical myelopathy were included in this study. Studies with procedures crossing the cervicothoracic spine were excluded to minimize the potentially confounding effects of the complex biomechanics of this region. Case studies and review articles along with animal, *in vitro*, biomechanical, and non-English studies were also excluded. Due to the limited amount of published data, both retrospective and prospective studies were included.

Studies in the “decompression and fusion” category contained patients who underwent ADF or PDF. Studies in the “decompression only” category contained patients who underwent laminoplasty or laminectomy without fusion.

Data Collection

The initial 2630 citations were reviewed. After 1151 duplicates were removed, the titles and abstracts of 1479 citations were screened. At this stage, studies that did not mention OPLL, surgical procedures, patient outcomes, or postoperative complications; that did not contain Japanese Orthopaedic Association (JOA) scoring; or that did not fulfill the inclusion criteria were excluded. Based on these criteria, 1378 citations were excluded. Full texts of the remaining 101 articles were assessed for inclusion eligibility.

Full-text assessment resulted in 32 eligible articles included in the final analysis (Fig. 1).⁴¹ One reviewer (S.K.M.) independently conducted data extraction from the 32 included articles. Bias risk assessment was not performed in this review because most studies were retrospective in design, thereby expressing strong inherent bias. From the eligible articles, the following information was obtained: publication year, study design, sample size, patient age, OPLL occupying ratio percentage (defined as the percentage of the spinal canal that is occupied by the OPLL mass), preoperative JOA score, postoperative JOA score, mean follow-up time (in years), recovery rate percentage, complication rate, and surgical reoperation rate (Tables 1 and 2). Studies that used the Hirabayashi method to define postoperative patient outcome as excellent, good, fair, and poor were used for the meta-analysis. These outcomes were based on the recovery rate (%) and were calculated as follows:²⁵ $(\text{Postoperative JOA score} - \text{Preoperative JOA score}) / (17 - \text{Preoperative JOA score}) \times 100$.

The highest attainable JOA score is 17. A recovery rate > 75% is considered an excellent outcome, a recovery rate of 50%–75% is considered a good outcome, a recovery rate of 25%–49% is considered a fair outcome, and a recovery rate < 25% is considered a poor outcome.²¹

Statistical Analysis

A meta-analysis of the 32 included studies was performed. A random-effects model with inverse variance weighting was used to analyze the data. All calculations for the meta-analysis, as well as any construction of forest plots, were accomplished using a previously published spreadsheet by Neyeloff et al.⁴² The principal summary measure consisted of the effect summary mean and the 95% CIs. Due to the lack of control groups in the 32 included studies, there was no calculation of relative risk ratios. The 95% CIs and forest plots were the primary tools for comparisons among studies.

Meta-analysis calculations and constructed forest plots were completed in 6 different categories for the included studies. These categories consisted of number of excellent and good outcomes, number of fair and poor outcomes, and complications in decompression and fusion and in decompression-only operations. To allow for the inclusion of studies that did not contain any complications, a value of 0.1 event per study was used for calculations. To assess

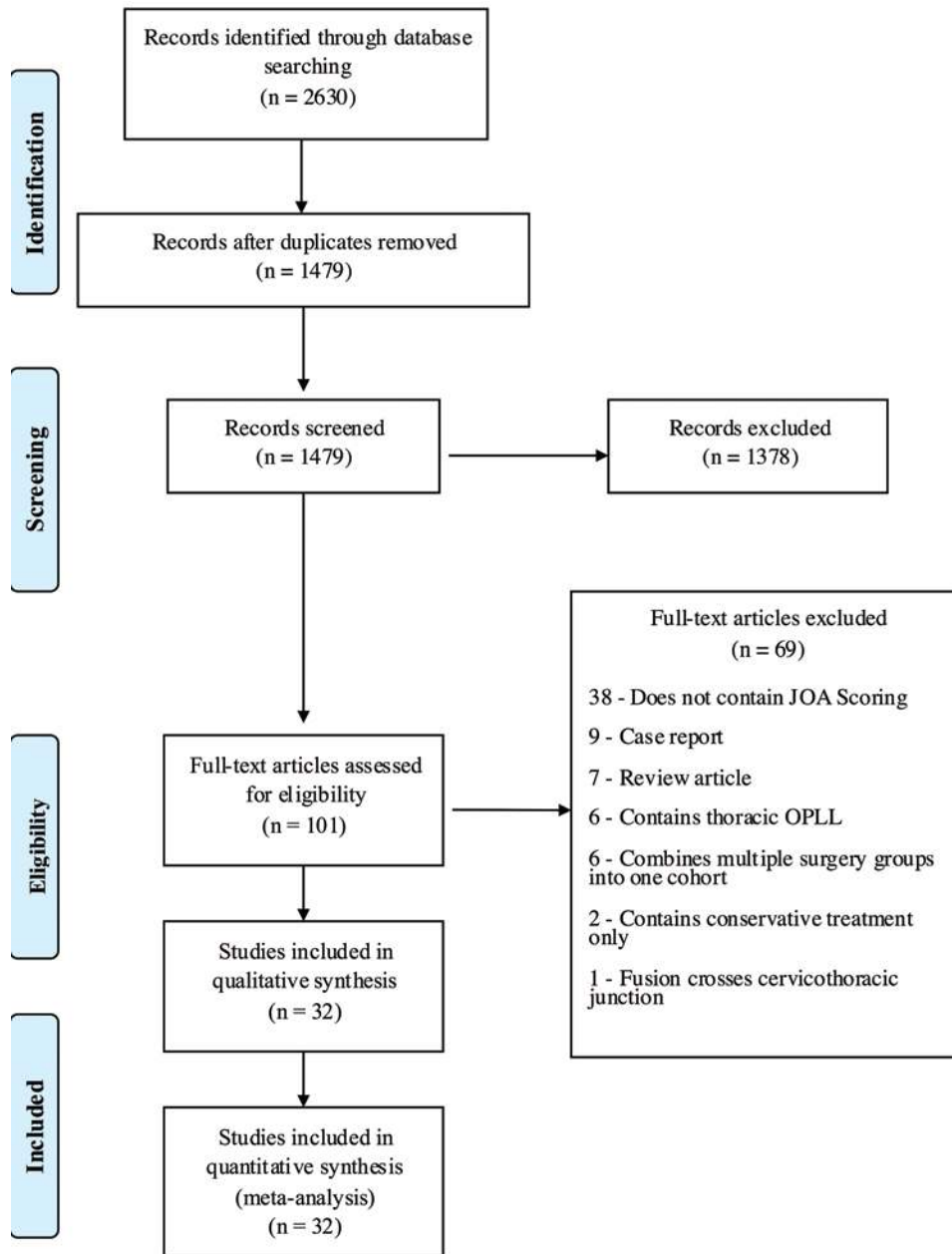


FIG. 1. The Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) flow diagram for selection of studies based on inclusion criteria during systematic review.

heterogeneity between individual studies, a Q and an I^2 value were calculated within the 2 meta-analysis groups. The I^2 calculates the distribution across effect sizes and represents the percentage of observed interstudy variance that is a result of true differences in effect sizes.¹² According to DeLong et al., an I^2 value $< 25\%$ has low heterogeneity, an I^2 value of $25\%–75\%$ has moderate heterogeneity, and an I^2 value $> 75\%$ has severe heterogeneity.¹²

Results

Study Characteristics

Of the 32 studies included in this review, 3 were pro-

spective cohort studies, 1 was a prospective comparative clinical study, 1 was a retrospective clinical study, 1 was a case series, and 26 were retrospective cohort studies. The year of publication ranged from 1994 to 2015. The study sizes for patients who received ADF or PDF ranged from 12 to 133, whereas the study sizes for patients who received decompression only ranged from 15 to 82. There were 10 studies that contained both decompression and fusion and decompression-only patient populations.^{2,6,7,14,16,20,23,25,48,59} Three studies in the fusion category contained patient populations that underwent either ADF or PDF.^{6,7,31} The total sample size for patients who received ADF or PDF or who received decompression only was 1222 and 745,

TABLE 1. Characteristics of 24 included studies that contained patients who underwent decompression and fusion

Authors & Year	Op Type	Study Type	Sample Size	Mean Age, Yrs
Masaki et al., 2007	ADF	Prospective	19	51.8
Fujimori et al., 2014	ADF	Retrospective	12	55.6
Sakai et al., 2012	ADF	Prospective comparative	20	59.5
Iwasaki et al., 2007	ADF	Retrospective	27	58.0
Kim et al., 2015	ADF	Retrospective case study	71	57.3
Chen et al., 2009 ⁴	ADF	Prospective	19	57.2
Lei et al., 2014	ADF	Retrospective	22	58.0
Wang et al., 2012	ADF	Case series	29	59.3
Mochizuki et al., 2009	ADF	Retrospective	20	59.4
Qizhi et al., 2012	ADF	Retrospective	23	58.4
Chen et al., 2014	ADF	Retrospective	133	56.8
Lin et al., 2012	ADF	Retrospective	26	54.7
Lin et al., 2012	PDF	Retrospective	30	56.2
Chen et al., 2009 ⁵	PDF	Retrospective	83	56.4
Chen et al., 2011	ADF	Retrospective	22	57.2
Chen et al., 2011	PDF	Retrospective	28	55.3
Kim et al., 2009	ADF	Retrospective	17	57.5
Yuan et al., 2015	PDF	Prospective	18	62.0
Chen et al., 2012	ADF	Retrospective	91	48.7
Chen et al., 2012	PDF	Retrospective	32	52.6
Katsumi et al., 2015	PDF	Retrospective	19	61.0
Yamaura et al., 1999	ADF	Retrospective	107	57.0
Matsuoka et al., 2001	ADF	Retrospective	63	57.0
Goto & Kita, 1995	ADF	Retrospective	50	54.4
Odate et al., 2012	ADF	Retrospective	68	58.0
Baba et al., 1995	ADF	Retrospective	88	47.0
Baba et al., 1994	ADF	Retrospective	85	49.0
Total			1222	55.0

respectively. Postoperative outcomes for patients who underwent decompression and fusion were identified in 12 studies^{4-6,14,16,20,25,31,32,36,46,56} and in 7 studies for patients who underwent posterior decompression only.^{2,6,10,14,20,25,32} Two of the studies in the fusion category contained both ADF and PDF patient groups.

Excellent and Good Outcomes in Patients Who Underwent Decompression and Fusion Versus Posterior Decompression Only

For the decompression and fusion population, the overall prevalence of excellent and good outcomes was 71.1% (95% CI 63.9%–78.2%). An analysis of the studies indicated no heterogeneity, with an I² value of 0% (Fig. 2). For posterior decompression only, the overall prevalence of excellent and good outcomes was 54.0% (95% CI 38.6%–69.3%). An analysis of the studies indicated that a moderate heterogeneity existed, with an I² value of 66% (Fig. 3). Given the overlapping 95% CIs, there was no statistically

TABLE 2. Characteristics of 14 included studies that contained patients who underwent decompression only

Authors & Year	Op Type	Study Type	Sample Size	Mean Age, Yrs
Masaki et al., 2007	LP	Retrospective	40	62.6
Fujimori et al., 2014	LP	Retrospective	15	58.7
Sakai et al., 2012	LP	Prospective comparative	22	58.4
Iwasaki et al., 2007	LP	Retrospective	66	57.0
Kim et al., 2015	LP	Retrospective	64	56.4
Chen et al., 2011	LP	Retrospective	25	54.2
Yuan et al., 2015	LP	Prospective	20	59.0
Chen et al., 2012	LP	Retrospective	41	46.3
Katsumi et al., 2015	LP	Retrospective	22	59.0
Ogawa et al., 2004	LP	Retrospective	72	57.9
Baba et al., 1995	LP	Retrospective	47	56.0
Yang et al., 2007	LP	Retrospective	27	61.0
Kawaguchi et al., 2001	LP	Retrospective	45	55.2
Goto & Kita, 1995	LP	Retrospective	65	58.2
Cho et al., 2008	LA	Retrospective	14	57.0
Lee et al., 2016	LA	Retrospective	34	57.8
Kato et al., 1998	LA	Retrospective	44	57.0
Zhao et al., 2012	LA	Retrospective	82	57.6
Total			745	57.0

LA = laminectomy; LP = laminoplasty.

significant difference of excellent and good outcomes between the 2 cohorts.

Fair and Poor Outcomes in Patients Who Underwent Decompression and Fusion Versus Posterior Decompression Only

For the decompression and fusion population, the overall prevalence of fair and poor outcomes was 24.8% (95% CI 18.3%–31.3%). An analysis of the studies indicated a moderate heterogeneity, with an I² value of 59% (Fig. 4). For posterior decompression only, the overall prevalence of fair and poor outcomes was 37.8% (95% CI 27.3%–48.2%). An analysis of the studies indicated a moderate heterogeneity, with an I² value of 45% (Fig. 5). There was no statistically significant difference of fair and poor outcomes between cohorts.

Postoperative Neurological Outcomes in Patients Who Underwent Decompression and Fusion Versus Posterior Decompression Only

The JOA score was used to determine pre- and postoperative neurological outcomes in patients who underwent decompression and fusion or posterior decompression only. These scores were present in each of the 32 included studies. The fusion patient population demonstrated a preoperative JOA score similar to the decompression patient population (9.4 vs 9.2, respectively; p = 0.07). However, the fusion cohort demonstrated a statistically significantly higher final JOA score (14.0 vs 13.5; p < 0.0001) and re-

Study	Surgery Type	E+G Outcome Rate (95% CI)
Masaki et al., 2007	ADF	89.5% (46.9% - 132.0%)
Fujimori et al., 2014	ADF	50% (10% - 90%)
Iwasaki et al., 2007	ADF	55.6% (27.4% - 83.7%)
Kim et al., 2015	ADF	81.7% (60.7% - 102.7%)
Chen et al., 2009 ⁴	ADF	84.2% (42.9% - 125.5%)
Qizhi et al., 2012	ADF	91.3% (52.3% - 130.4%)
Lin et al., 2012	ADF	65.4% (34.3% - 96.5%)
Lin et al., 2012	PDF	60% (32.3% - 87.7%)
Chen et al., 2011	ADF	86.4% (47.5% - 125.2%)
Chen et al., 2011	PDF	53.6% (26.5% - 80.7%)
Yamaura et al., 1999	ADF	84.1% (66.7% - 101.5%)
Matsuoka et al., 2001	ADF	65.1% (45.2% - 85.0%)
Goto et al., 1995	ADF	62% (40.2% - 83.8%)
Chen et al., 2009 ⁵	PDF	71.1% (52.9% - 89.2%)
Effect Summary		71.1% (63.9% - 78.2%)

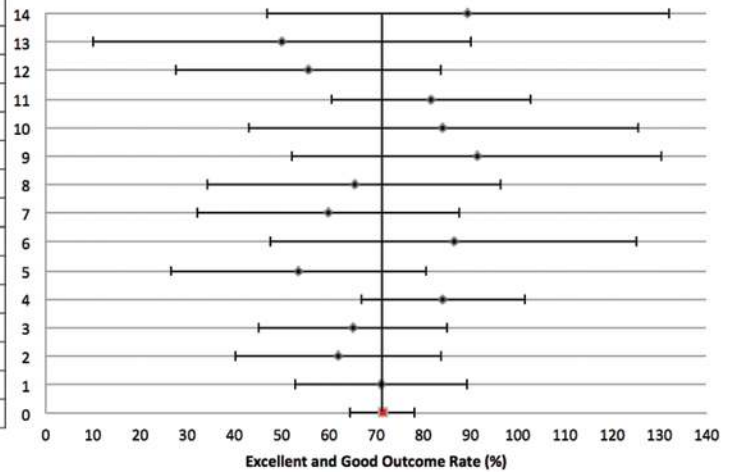


FIG. 2. Excellent and good (E + G) outcomes, 95% CIs, and forest plot for meta-analysis of fusion studies in systematic review.

Study	Surgery Type	E+G Outcome Rate (95% CI)
Masaki et al., 2007	Laminoplasty	67.5% (42.0% - 93.0%)
Fujimori et al., 2014	Laminoplasty	26.7% (5.3% - 52.8%)
Iwasaki et al., 2007	Laminoplasty	65.2% (45.7% - 84.6%)
Kim et al., 2015	Laminoplasty	68.8% (48.4% - 89.1%)
Chen et al., 2011	Laminoplasty	28% (7.3% - 48.7%)
Baba et al., 1995	Laminoplasty	74.5% (49.8% - 99.1%)
Cho et al., 2008	Laminectomy	42.9% (8.6% - 77.2%)
Effect Summary		54.0% (38.6% - 69.3%)

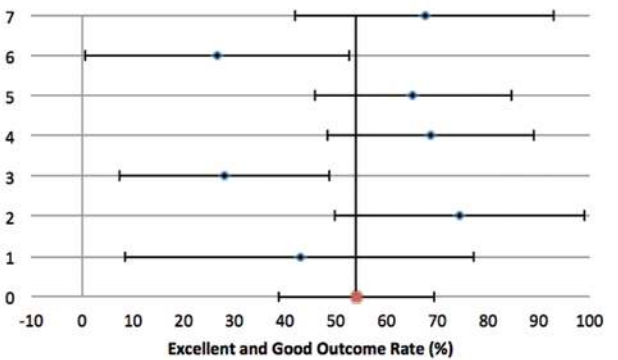


FIG. 3. Excellent and good outcomes, 95% CIs, and forest plot for meta-analysis of posterior decompression studies in systematic review.

Study	Surgery Type	F+P Outcome Rate (95% CI)
Masaki et al., 2007	ADF	10.5% (-4.1% - 25.1%)
Fujimori et al., 2014	ADF	50.0% (10.0% - 90.0%)
Iwasaki et al., 2007	ADF	44.4% (19.3% - 69.6%)
Kim et al., 2015	ADF	18.3% (8.4% - 28.3%)
Chen et al., 2009 ⁴	ADF	15.8% (-2.1% - 33.7%)
Qizhi et al., 2012	ADF	8.7% (-3.4% - 20.7%)
Lin et al., 2012	ADF	34.6% (12.0% - 57.2%)
Lin et al., 2012	PDF	40.0% (17.4% - 62.6%)
Chen et al., 2011	ADF	13.6% (-1.8% - 29.1%)
Chen et al., 2011	PDF	46.4% (21.2% - 71.7%)
Yamaura et al., 1999	ADF	15.9% (8.3% - 23.4%)
Matsuoka et al., 2001	ADF	34.9% (20.3% - 49.5%)
Goto et al., 1995	ADF	38.0% (20.9% - 55.1%)
Chen et al., 2009 ⁵	PDF	28.9% (17.3% - 40.5%)
Effect Summary		24.8% (18.3% - 31.3%)

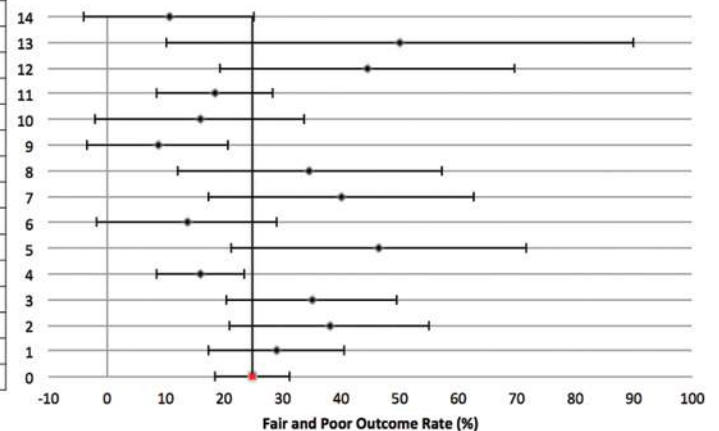


FIG. 4. Fair and poor (F + P) outcomes, 95% CIs, and forest plot for meta-analysis of fusion studies in systematic review.

Study	Surgery Type	F+P Outcome Rate (95% CI)
Masaki et al., 2007	Laminoplasty	32.5% (14.8% - 50.2%)
Fujimori et al., 2014	Laminoplasty	73.3% (30.0% - 116.7%)
Iwasaki et al., 2007	Laminoplasty	34.8% (20.6% - 49.1%)
Kim et al., 2015	Laminoplasty	31.3% (17.6% - 44.9%)
Chen et al., 2011	Laminoplasty	72% (38.7% - 105.3%)
Baba et al., 1995	Laminoplasty	25.5% (11.1% - 40.0%)
Cho et al., 2008	Laminectomy	57.1% (17.5% - 96.7%)
Effect Summary		37.8% (27.3% - 48.2%)

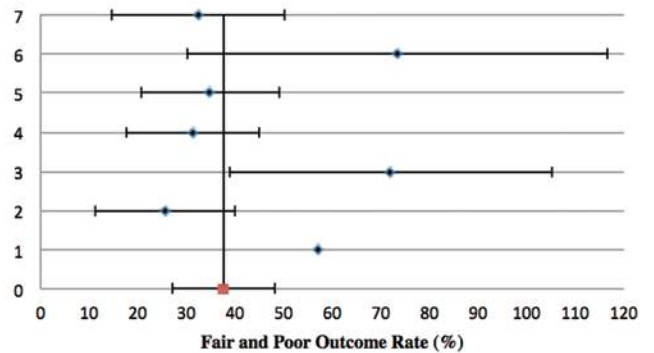


FIG. 5. Fair and poor outcomes, 95% CIs, and forest plot for meta-analysis of posterior decompression studies in systematic review.

covery rate (63.2% vs 53.9%; $p < 0.0001$) compared with the posterior decompression cohort (Tables 3 and 4).

Complications and Revision Operation Rates in Patients Who Underwent Decompression and Fusion Versus Posterior Decompression Only

Any complications and/or revision operations that were documented for each of the 32 included studies were reviewed and analyzed. Among the 1222 patients who received decompression and fusion surgery, there were a total of 217 complications and 41 unique revision surgeries. The overall prevalence of complications was 14.6% (95% CI 10.7%–18.4%) (Fig. 6), and the overall prevalence of revision operations was 0.59% (95% CI 0.06%–1.1%). The 3 most common complications were C-5 palsy (55 of 217; 25.3%), dural tears (50 of 217; 23.0%), and hoarseness/dysphagia (40 of 217; 18.4%). The 3 most common causes for revision surgeries were inadequate decompression (10 of 41; 24.4%), epidural hematoma (8 of 41; 19.5%), and CSF leak/dural defect (8 of 41; 19.5%) (Table 5). An analysis of the studies indicated a high heterogeneity, with an I^2 value of 88%. Chen et al. reported the greatest number of complications (in 45 of 133 [33.8%] patients), and Kim et al. reported the greatest number of revision operations (in 9 of 71 [12.7%] patients).^{8,25}

In the decompression-only population of 745 patients, there were a total of 108 complications and 4 unique revision surgeries. The incidence of complications was 11.8% (95% CI 7.6%–15.9%) (Fig. 7), and the incidence of revision operations was 0.24% (95% CI 0.06%–0.43%). The 3 most common complications were neurological deterioration (defined as a decrease in JOA score of > 2 points) (42 of 108 [38.9%]); arm and axial pain (36 of 108 [33.3%]); and C-5 palsy (17 of 108 [15.7%]). Revision operations were required for 3 cases of epidural hematoma and 1 case of OPLL progression (Tables 6 and 7). An analysis of the studies indicated a high heterogeneity, with an I^2 value of 83%. Kawaguchi et al. reported the greatest number of complications (in 20 of 45 [44.4%] patients), and Fujimori et al. reported the greatest number of revision operations (in 2 of 15 [13.3%] patients).^{14,24}

Due to the overlapping CIs for the incidence of complications in the decompression and fusion and the decom-

pression-only patient populations, there is no statistically significant difference in the incidence of complications between these 2 populations. There was, however, a statistically significant difference ($p < 0.05$) when comparing the incidence of certain complications between the decompression and fusion and the posterior decompression-only cohorts. These complications included the following: dural tears/CSF leak (23.0% in decompression and fusion vs 1.9% in decompression only, $p < 0.0001$); C-5 palsy (25.3% in decompression and fusion vs 15.7% in decompression only, $p = 0.01$); neurological deterioration (15.2% in decompression and fusion vs 38.9% in decompression only, $p < 0.01$); arm/axial pain (4.6% in decompression and fusion vs 33.3% in decompression only, $p < 0.0001$); and hoarseness/dysphagia (18.4% in decompression and fusion vs 0% in decompression only, $p < 0.0001$) (Table 7). Finally, due to overlapping CIs for the incidence of revision operations in the decompression and fusion and the decompression-only patient populations, there is no statistically significant difference in the incidence of revision operations between these 2 populations.

Progression of OPLL

Five studies recorded the progression of the OPLL mass in both the decompression and fusion and the decompression-only populations.^{24,31,36,44,48} There were a total of 5 cases of OPLL progression among a total of 1222 patients (0.41%) in the decompression and fusion population and a total of 48 cases of OPLL progression among a total of 745 patients (6.4%) in the posterior decompression-only population.

Discussion

Decompression with fusion and decompression without fusion are both common treatment options for cervical myelopathy caused by OPLL. To our knowledge, this study is the only comprehensive literature review and meta-analysis of patient outcomes after decompression and fusion or posterior decompression only for OPLL. The purpose of this study was to determine whether the type of surgical treatment affects patient outcomes, to better determine the most appropriate treatment method for patients with

TABLE 3. Outcome measures of 24 included studies that contained patients who underwent decompression and fusion

Authors & Year	Op Type	Occupying Ratio, %	Initial JOA Score	Final JOA Score	Mean Follow-Up, Yrs	Recovery Rate, %
Masaki et al., 2007	ADF	56.0	8.3	14.2	1.0	68.4
Fujimori et al., 2014	ADF	67.5	9.5	13.3	9.9	52.5
Sakai et al., 2012	ADF	43.4	11.4	15.1	5.0	71.4
Iwasaki et al., 2007	ADF	56.6	9.6	13.1	6.0	49.0
Kim et al., 2015	ADF	56.2	12.0	15.6	4.0	72.6
Chen et al., 2009 ⁴	ADF	65.4	9.3	14.2	1.5	63.2
Lei et al., 2014	ADF	62.0	8.8	14.0	2.1	63.5
Wang et al., 2012	ADF	67.3	8.3	13.9	2.6	64.0
Mochizuki et al., 2009	ADF	40.0	10.2	15.1	3.6	74.6
Qizhi et al., 2012	ADF	NA	8.2	13.8	1.7	64.5
Chen et al., 2014	ADF	48.4	9.6	13.7	5.0	64.1
Lin et al., 2012	ADF	54.2	9.3	14.2	3.0	58.6
Lin et al., 2012	PDF	44.2	9.1	13.7	3.0	54.8
Chen et al., 2009 ⁵	PDF	43.5	9.2	14.2	4.8	62.4
Chen et al., 2011	ADF	55.4	9.3	14.2	4.0	63.2
Chen et al., 2011	PDF	58.2	8.7	12.4	4.0	43.5
Kim et al., 2009	ADF	NA	11.9	15.3	2.0	71.7
Yuan et al., 2015	PDF	NA	10.6	13.4	1.0	50.8
Chen et al., 2012	ADF	43.6	9.8	14.7	5.0	68.0
Chen et al., 2012	PDF	47.1	9.1	13.0	5.0	50.8
Katsumi et al., 2015	PDF	51.5	10.8	13.3	4.3	41.6
Yamaura et al., 1999	ADF	NA	8.0	14.2	3.0	71.0
Matsuoka et al., 2001	ADF	54.4	8.3	13.5	13.0	59.3
Goto & Kita, 1995	ADF	45.9	7.8	12.8	8.7	53.9
Odate et al., 2012	ADF	43.2	12.9	15.1	2.5	63.0
Baba et al., 1995	ADF	NA	9.4	14.1	8.5	65.7
Baba et al., 1994	ADF	NA	7.0	13.5	8.3	66.2
Average		50.2	9.4	14.0	5.2	63.2

NA = not applicable (in studies that did not report occupying ratio [%]).

OPLL. By analyzing patient outcomes based on the surgical approach, JOA scoring, recovery rates, complication rates, revision surgery rates, and OPLL progression rates, we were able to demonstrate clinically important differences among decompression and fusion versus decompression-only surgical procedures.

Recovery Rates in Decompression and Fusion Versus Posterior Decompression Only

The JOA questionnaire is often used to grade the severity of cervical myelopathy. The JOA scoring is based on upper- and lower-extremity motor and sensory deficits. A higher JOA score indicates fewer neurological deficits. Because JOA scoring relies primarily on patient-reported outcomes (PROs), it can be difficult to determine whether a change in the numerical JOA score correlates with a significant improvement in clinical outcome.

Minimal clinically important difference (MCID) scores are a means to determine whether PROs are of clinical relevance. The MCID for a given intervention is defined as

the smallest improvement in a PRO necessary to achieve a patient-perceived improvement in clinical outcome.¹¹ Although the MCID of JOA scoring has not been definitely established, Furlan et al. argue that an improvement of 2 points is clinically significant.¹⁵ Considering this threshold as representative of an MCID, we found a clinically significant postoperative improvement in both the decompression with fusion and decompression-only populations.

However, whereas the preoperative JOA score between the 2 patient populations was the same, we found a statistically significantly higher final JOA score in the decompression and fusion population compared with the posterior decompression-only population. Postoperative kyphotic progression and OPLL mass size are probably 2 important contributors to differences in postoperative JOA scores in the fusion and decompression populations.⁴⁸ Posterior decompression is associated with postoperative loss of cervical lordosis, increasing the chances of long-term neurological deterioration.^{14,49} This deterioration occurs because patients with OPLL who undergo posterior

TABLE 4. Outcome measures of 14 included studies that contained patients who underwent decompression only

Authors & Year	Op Type	Occupying Ratio, %	Initial JOA Score	Final JOA Score	Mean Follow-Up, Yrs	Recovery Rate, %
Masaki et al., 2007	LP	55.9	8.6	13.0	1.0	52.5
Fujimori et al., 2014	LP	66.0	9.1	11.7	10.2	30.1
Sakai et al., 2012	LP	46.9	10.9	14.0	5.0	55.3
Iwasaki et al., 2007	LP	44.4	9.2	14.1	10.2	58.0
Kim et al., 2015	LP	55.1	12.0	15.0	4.0	52.0
Chen et al., 2011	LP	54.3	8.5	10.9	4.0	25.1
Yuan et al., 2015	LP	NA	10.6	13.4	1.0	43.7
Chen et al., 2012	LP	41.2	10.2	14.6	5.0	65.2
Katsumi et al., 2015	LP	45.7	10.5	13.1	4.3	36.1
Ogawa et al., 2004	LP	45.7	9.2	14.2	9.5	63.1
Baba et al., 1995	LP	NA	8.4	13.1	7.3	54.6
Yang et al., 2007	LP	NA	7.5	13.2	3.2	60.1
Kawaguchi et al., 2001	LP	NA	8.7	14.2	13.1	63.3
Goto & Kita, 1995	LP	NA	7.6	12.6	7.0	52.8
Cho et al., 2008	LA	NA	11.9	13.9	3.4	43.5
Lee et al., 2016	LA	NA	10.7	14.3	4.8	56.3
Kato et al., 1998	LA	54.8	7.6	10.3	14.1	32.8
Zhao et al., 2012	LA	NA	8.4	13.9	3.5	64.0
Average		49.8	9.2	13.5	6.6	53.9

decompression alone have a significant posterior shifting of the spinal cord and possible tethering of the spinal cord to the OPLL mass.⁵

Yamazaki et al. reported that cervical lordosis < 10° or an OPLL mass that is thicker than 7 mm is associated with an increased risk for spinal cord contact with the ossified mass and development of neurological symptoms.⁵⁷ Furthermore, laminoplasty, specifically, has been correlated

with progressive kyphosis and subsequent neurological deterioration at long-term follow-up, probably accounting for our findings of an increased recovery rate in the decompression and fusion population.^{28,51} Although outcomes after laminoplasty for a large OPLL mass are poor,³² previous studies have shown that higher preoperative lordosis is associated with better outcomes after laminoplasty in patients with a large OPLL mass.¹⁴

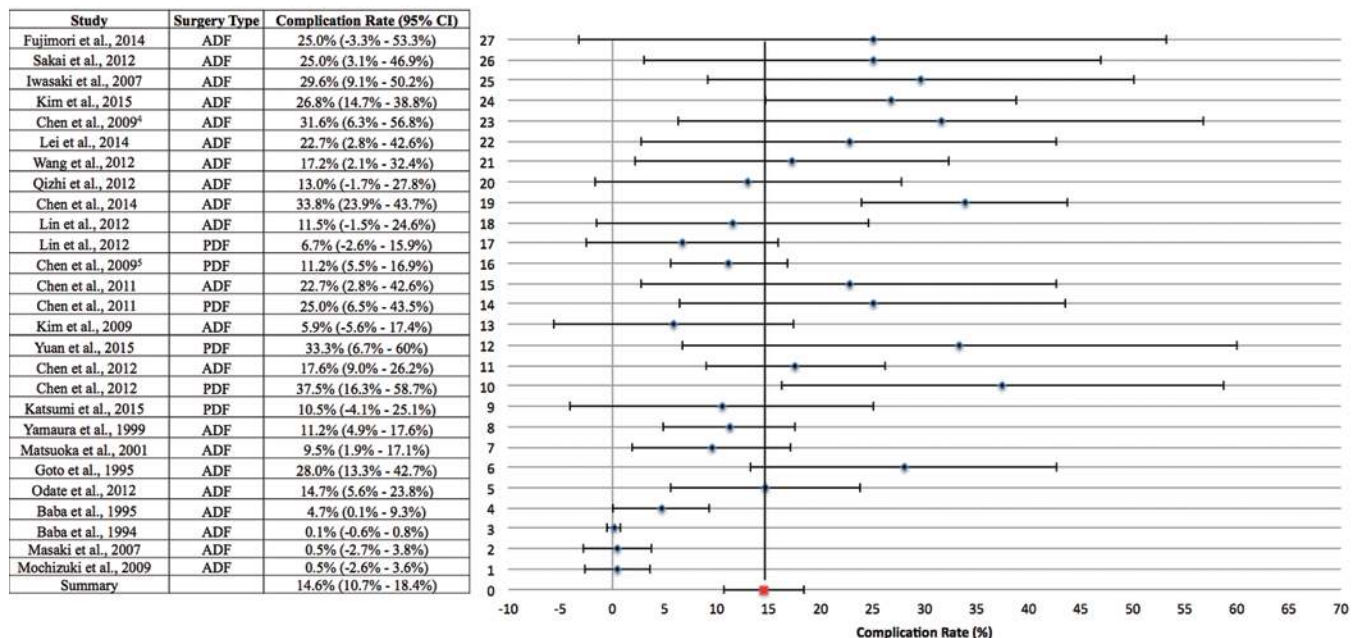


FIG. 6. Complication rate, 95% CIs, and forest plot for meta-analysis of fusion studies in systematic review.

TABLE 5. Complication and revision rates in decompression and fusion patient populations

Authors & Year	Op Type	Mean Follow-Up, Yrs	No. of Complications (%)	No. of Revisions (%)
Masaki et al., 2007	ADF	1.0	0	0
Fujimori et al., 2014	ADF	9.9	3 (25)	4 (33)
Sakai et al., 2012	ADF	5.0	5 (20)	3 (15)
Iwasaki et al., 2007	ADF	6.0	8 (29)	7 (26)
Kim et al., 2015	ADF	4.0	19 (27)	9 (13)
Chen et al., 2009 ⁴	ADF	1.5	6 (32)	1 (5)
Lei et al., 2014	ADF	2.1	8 (36)	1 (5)
Wang et al., 2012	ADF	2.6	5 (17)	1 (3)
Mochizuki et al., 2009	ADF	3.6	0	0
Qizhi et al., 2012	ADF	1.7	3 (13)	1 (4)
Chen et al., 2014	ADF	5.0	45 (34)	2 (1)
Lin et al., 2012	ADF	3.0	2 (8)	0
Lin et al., 2012	PDF	3.0	3 (10)	1 (3)
Chen et al., 2009 ⁵	PDF	4.8	15 (11)	3 (2)
Chen et al., 2011	ADF	4.0	5 (22)	0
Chen et al., 2011	PDF	4.0	7 (25)	0
Kim et al., 2009	ADF	2.0	1 (6)	1 (6)
Yuan et al., 2015	PDF	1.0	6 (33)	0
Chen et al., 2012	ADF	5.0	16 (18)	0
Chen et al., 2012	PDF	5.0	12 (38)	0
Katsumi et al., 2015	PDF	4.3	2 (11)	0
Yamaura et al., 1999	ADF	3.0	12 (11)	0
Matsuoka et al., 2001	ADF	13.0	6 (10)	5 (8)
Goto & Kita, 1995	ADF	8.7	14 (28)	0
Odate et al., 2012	ADF	2.5	10 (15)	2 (3)
Baba et al., 1995	ADF	8.5	0	0
Baba et al., 1994	ADF	8.3	4 (5)	0
Total		5.2	217	41

Study	Surgery Type	Complication Rate (95% CI)
Masaki et al., 2007	Laminoplasty	2.5% (-2.4% - 7.4%)
Fujimori et al., 2014	Laminoplasty	20% (-2.6% - 42.6%)
Sakai et al., 2012	Laminoplasty	22.7% (2.8% - 42.6%)
Iwasaki et al., 2007	Laminoplasty	4.5% (-0.6% - 9.7%)
Goto et al., 1995	Laminoplasty	29.2% (16.1% - 42.4%)
Chen et al., 2011	Laminoplasty	32% (9.8% - 54.2%)
Yuan et al., 2015	Laminoplasty	20% (0.4% - 39.6%)
Chen et al., 2012	Laminoplasty	17.1% (4.4% - 29.7%)
Katsumi et al., 2015	Laminoplasty	13.6% (-1.8% - 29.1%)
Ogawa et al., 2004	Laminoplasty	22.2% (11.3% - 33.1%)
Baba et al., 1995	Laminoplasty	6.4% (-0.8% - 13.6%)
Yang et al., 2007	Laminoplasty	3.7% (-3.6% - 11.0%)
Kawaguchi et al., 2001	Laminoplasty	44.4% (25.0% - 63.9%)
Cho et al., 2008	Laminectomy	28.6% (0.6% - 56.6%)
Lee et al., 2016	Laminectomy	11.8% (0.2% - 23.3%)
Kato et al., 1998	Laminectomy	11.4% (1.4% - 21.3%)
Kim et al., 2015	Laminoplasty	0.2% (-0.8% - 1.12%)
Effect Summary		11.8% (7.6% - 15.9%)

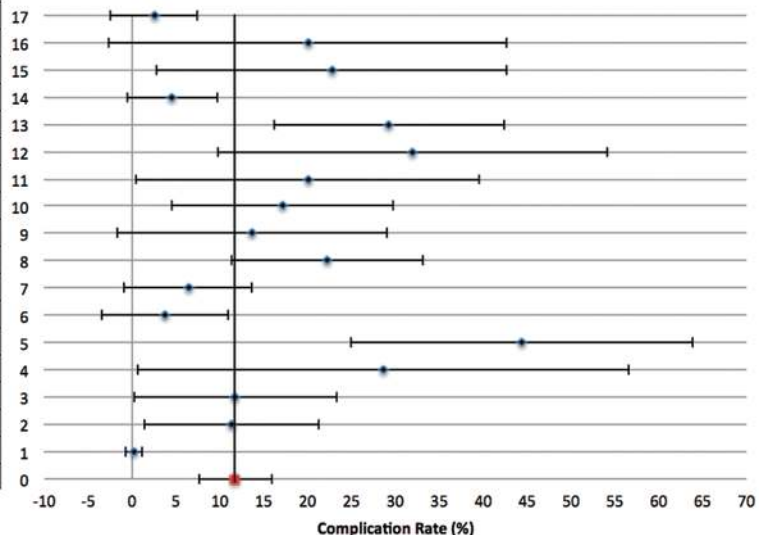


FIG. 7. Complication rate, 95% CIs, and forest plot for meta-analysis of posterior decompression studies in systematic review.

TABLE 6. Complication and revision rates in decompression-only patient population

Authors & Year	Op Type	Mean Follow-Up, Yrs	No. of Complications (%)	No. of Revisions (%)
Masaki et al., 2007	LP	1.0	1 (2.5)	0
Fujimori et al., 2014	LP	10.2	3 (20)	2 (13)
Sakai et al., 2012	LP	5.0	5 (23)	0
Iwasaki et al., 2007	LP	10.2	3 (5)	1 (2)
Kim et al., 2015	LP	4.0	0	NA
Chen et al., 2011	LP	4.0	8 (32)	1 (4)
Yuan et al., 2015	LP	1.0	4 (20)	0
Chen et al., 2012	LP	5.0	7 (17)	0
Katsumi et al., 2015	LP	4.3	3 (14)	0
Ogawa et al., 2004	LP	9.5	16 (22)	0
Baba et al., 1995	LP	7.3	3 (6)	0
Yang et al., 2007	LP	3.2	1 (4)	0
Kawaguchi et al., 2001	LP	13.1	20 (44)	0
Goto & Kita, 1995	LP	7.0	19 (29)	0
Cho et al., 2008	LA	3.4	4 (29)	0
Lee et al., 2016	LA	4.8	4 (12)	0
Kato et al., 1998	LA	14.1	5 (11)	0
Zhao et al., 2012	LA	3.5	2 (2)	0
Total		6.6	108	4

Complications and Revision Surgeries in Patients Who Underwent Decompression and Fusion Versus Posterior Decompression Only

Many studies have observed postsurgical complications after either decompression and fusion or posterior decompression-only operations in the cervical spine.^{5,8,10,14,25,27,35,44,46,48,50,52} Some of the most commonly reported complications after cervical decompression and fusion surgery include CSF leaks and pseudarthrosis, whereas posterior decompression is more commonly associated with neurological deterioration, persistent neuropathic pain in the extremities, and progression of kyphotic change.^{6,14}

Previous studies have demonstrated an increased incidence of complications after decompression and fusion compared with decompression only. In a multicenter retrospective study by Kimura et al. of 150 patients who underwent ADF for OPLL, upper- and lower-extremity motor deterioration occurred in 13.3% and 2% of patients, respectively.²⁷ In contrast, in a study by Seichi et al. that reported outcomes of 581 patients with OPLL who underwent laminoplasty, postoperative upper- and lower-extremity motor deterioration were reported in only 4% and 3.1% of patients, respectively.⁵⁰

In the current study, we found no statistically significant difference in the incidence of total complications in the decompression and fusion and the decompression-only populations. There was, however, a statistically significant difference in the incidence of specific complications in each population. Decompression and fusion surgery was associated with a higher incidence of postoperative CSF leak, whereas neurological deterioration, and neuropathic arm and axial pain were more common in the decompression-only population.

The increased likelihood of CSF leak in our meta-anal-

ysis is probably due to the propensity toward anterior approaches in the fusion population, in which direct removal of the tethered OPLL mass disrupts the underlying dura mater and thereby causes the CSF leak. In the decompression-only population, the progression of kyphotic deformity and the tethering of nerve roots due to incomplete decompression of the spinal cord are the major causes of neurological deterioration. Furthermore, arm and axial neuropathic pain is suspected to occur due to disruption of posterior neck tissue.³

An important limitation in our analysis of complications is that we are unable to distinguish the severity of

TABLE 7. Distribution of complications in decompression and fusion and in decompression-only patient populations

Complication	Decompression & Fusion, No. (%)	Decompression Only, No. (%)	p Value
Dural tear/CSF leak	50 (23.0)	2 (1.9)	<0.0001*
C-5 palsy	55 (25.3)	17 (15.7)	0.01*
Pseudarthrosis	5 (2.3)	0	0.2
Hematoma	7 (3.2)	5 (4.6)	0.8
Bone graft dislocation	4 (1.8)	0	0.3
Neurological deterioration	33 (15.2)	42 (38.9)	<0.01*
Arm/axial pain	10 (4.6)	36 (33.3)	<0.0001*
Hoarseness/dysphagia	40 (18.4)	0	<0.0001*
Other	13 (6.2)	6 (5.6)	0.6
Total	217	108	0.06

* Indicates a statistically significant difference ($p < 0.05$).

specific postoperative complications. For example, although the incidence of dysphagia was statistically significantly different between the 2 patient populations, the consequences of this complication may have ranged from minor difficulty in swallowing to requiring tube feeding. It is also worth noting that certain complications, such as C-5 palsy, have a more significant impact on quality of life than others.

Postoperative Progression of an Ossified Mass in Patients Who Underwent Decompression and Fusion Versus Posterior Decompression Only

Previous studies suggest that decompression without fusion not only fails to decrease the rate of progression of OPLL, but may also lead to an acceleration of progression. Hori et al. retrospectively studied 55 patients with an average 10-year follow-up who underwent laminoplasty for OPLL. The authors found that OPLL progressed postoperatively in 71% of patients.¹⁸ Similarly, a study by Chiba et al. that retrospectively evaluated 131 patients who underwent a decompression-only procedure for OPLL reported progression of OPLL in 56.5% of patients after only 2 years.⁹

Other studies have found decompression-only operations to cause higher rates of OPLL progression compared with conservative treatment. Takatsu et al. studied the progression of OPLL over a 3-year follow-up in 44 patients who received posterior decompression only or conservative treatment. The authors found that the progression of OPLL was increased in the surgical group compared with the conservative group ($p < 0.01$).⁵³ Given these findings in the literature, Sugrue et al. recommend against performing posterior decompression in the form of laminectomy or laminoplasty due to the concern of OPLL progression.⁵² In addition, Onari et al. argued that decompression and fusion may slow the rate of progression when compared with decompression alone.⁴⁵

Several studies in the literature support this position. Katsumi et al. retrospectively compared OPLL progression in 19 patients who received PDF and in 22 patients who received laminoplasty. The authors found a statistically significantly slower rate of progression of OPLL in the PDF population compared with the laminoplasty population. The annual rate of increase was $2.0\% \pm 1.7\%$ in the PDF group compared with $7.5\% \pm 5.6\%$ in the decompression-only group ($p < 0.0001$).²³

Similarly, Lee et al. reviewed 57 patients who underwent laminectomy, laminoplasty, or PDF. The authors found a decreased rate of progression in the PDF population compared with laminectomy and/or laminoplasty populations ($p < 0.05$).²⁹ Our findings support this hypothesis. We found the incidence of OPLL progression to be statistically significantly lower in the decompression and fusion population compared with the decompression-only population ($p < 0.0001$).

These findings may be explained by accelerated ectopic ossification of the PLL in patients who do not receive fusion. According to Wolff's law, bone remodeling occurs in areas of increased biomechanical stress.⁴⁷ In fusions, the rigidity of the construct may slow the progression of OPLL due to a lack of biomechanical segmental shear-

ing forces. In contrast, decompression without fusion allows for increased vertebral movement, thereby causing increased biomechanical stress and reactive bone formation. Future studies are warranted to further analyze the mechanism of OPLL progression in patients who receive decompression and fusion or decompression alone.

Limitations of the Study

As with any study, the current work has limitations. One limitation of this meta-analysis is that the majority of included studies do not stratify their postoperative outcomes on a yearly basis. Instead they used mean follow-up times, with ranges that varied. The variance between individual studies ranged from a follow-up time of 1 year to > 13 years. Patients with a longer follow-up may be more likely to demonstrate progression of OPLL and the neurological deterioration associated with that progression.

Furthermore, the majority of the studies included in this review are retrospective in design. Retrospective studies are associated with inherent bias. Unfortunately, performing randomized clinical trials in this patient population would be challenging due to the discrepant opinions regarding the optimal surgical approach for different patient presentations. Only patients who were deemed suitable candidates for either decompression and fusion or decompression only could be compared in randomized clinical trials.

Finally, although indications for anterior or posterior decompression in patients with OPLL overlap substantially, certain clinical scenarios favor 1 approach over the other. However, due to the limited number of studies that focus on PDF, we were unable to directly compare the progression of OPLL in patients who underwent PDF versus posterior decompression alone. As a result, future studies are necessary to more definitively illustrate the benefits of decompression and fusion over decompression alone.

Conclusions

Both ADF or PDF surgery and decompression without fusion are common surgical strategies for treating cervical myelopathy due to OPLL. The aim of this study was to better define clinical outcomes related to each procedure in patients with OPLL. Our results suggest that surgical decompression with fusion is associated with a faster recovery, improved neurological function, and a lower incidence of OPLL progression compared with decompression alone. Furthermore, decompression and fusion surgery had a similar complication rate when compared with posterior decompression only. Given these findings, decompression and fusion seems to be a superior surgical technique compared with posterior decompression alone in patients with OPLL. Future prospective studies are warranted to better elucidate the benefits of cervical decompression and fusion versus decompression only in patients with OPLL.

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Disclosures

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Author Contributions

Conception and design: Steinmetz, Alentado, Mroz, Benzel. Acquisition of data: Mehdi. Analysis and interpretation of data: Mehdi. Drafting the article: Mehdi. Critically revising the article: all authors. Reviewed submitted version of manuscript: all authors. Statistical analysis: Mehdi. Study supervision: Steinmetz, Alentado, Benzel.

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