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25 **Introduction**

26 The construction industry has observed an increasing shift toward sustainability in recent years.
27 Many companies are proactively using or are required by their clients to use more
28 environmentally friendly (or so-called “green”) building materials and/or processes to reduce the
29 environmental effects from construction activities. Environmentally friendly concrete is defined
30 as concrete produced using alternative and/or recycled waste materials that can lower the overall
31 environmental impacts of concrete during its life cycle. This type of concrete increasingly
32 becomes a common element that helps the construction industry achieve long-term sustainability,
33 although the impact of these alternative or recycled waste materials on various concrete
34 properties has not been fully understood.

35 Using alternative materials in concrete may positively or negatively impact its properties
36 (Khalaf and Devenny 2004; Yang et al. 2005; Berry et al. 2011). Research is thus needed to
37 thoroughly understand the potential influence from these materials. Since the compressive
38 strength is one of the most important concrete properties, many experiments have been
39 conducted to study the compressive strength of environmentally friendly concrete (Yang et al.
40 2005; Etxeberria et al. 2007; Kevern et al. 2011). Despite some progress, the available data for
41 such concrete is far from adequate due to the emergence of so many alternative or recycled waste
42 materials and the complexity of concrete mixture design. Not only is more research needed to
43 advance the understanding of environmentally friendly concrete properties, but practical tools for
44 designing these types of concrete are necessary for wide implementation.

45 Differing from the traditional experimental method, some researchers proposed
46 mathematical or statistical models to predict the compressive strength of concrete given its
47 mixture or based on fresh concrete properties (Atici 2011). Statistical modeling has its

48 limitations in estimating the underlying relationships between the inputs and outputs of
49 forecasting models in more complicated cases (Zhang 1998). As a result, recent studies have
50 shown an increasing trend toward the application of machine learning techniques in predicting
51 concrete compressive strength (Topçu and Saridemir, 2007; Saridemir et al. 2009; Atici 2011;
52 Aiyer et al. 2014; Akande et al. 2014; Omran et al. 2014). The results from these studies
53 demonstrate a great potential of this approach, which warrants further investigation.

54 The research presented in this paper compared the use of seven individual machine
55 learning models, including M5Prime (M5P), REPTree, M5Rules, decision stump, multilayer
56 perceptron, SMO regression (SMOreg), and Gaussian processes, in predicting the compressive
57 strength of environmentally friendly concrete. It also tested two commonly used ensemble
58 methods (additive regression and bagging) by adopting each of the seven individual models as
59 the base classifier to explore the possibility of improving prediction accuracy. The ultimate goal
60 was to promote the use of data mining techniques for determining the compressive strength or
61 other properties of new types of concrete while reducing the need for extensive experiments.
62 This shift will not only save time and money for the industry, but also facilitate the use of new
63 materials. The unique set of seven data mining models was selected for exploring the prediction
64 performance of four regression tree models against other three more advanced models. This also
65 seemed to be the first time that Gaussian processes regression was examined for predicting
66 concrete strength. This research used four performance measures, namely correlation coefficient
67 (R), coefficient of determination (R^2), root mean squared error (RMSE), and mean absolute error
68 (MAE), to assess prediction accuracy of generated models. R^2 was used to compare models
69 examined in this research and previous studies.

70 This paper first introduces the unique type of environmentally friendly concrete studied in

71 this research and then reviews previous research efforts in modeling and predicting compressive
72 strength of concrete. A brief description of all the data mining models examined in this research
73 is presented. After describing the research methodology and experimental settings, this paper
74 presents the results and analysis as well as the findings of this research.

75 **Literature Review**

76 *Environmentally Friendly Concrete*

77 Conventional concrete is made from four main ingredients: water, cement, fine aggregate (sand),
78 and coarse aggregate. With the wide use of concrete as a building material, its negative
79 environmental impacts are significant. Specifically, the cement industry produces up to 5% of
80 global man-made CO₂ emissions (WBCSD 2009) and accounts for approximately 12–15% of
81 total industrial energy use in various countries (Madloul 2013). The concept of producing more
82 environmentally friendly concrete emerged as a response to reducing the emissions and other
83 environmental impacts from concrete production. For this purpose or as a result of a demand for
84 specific properties needed for concrete applications, alternative materials (particularly
85 supplementary cementitious materials [SCMs] and alternative aggregates) are added or used to
86 replace certain amounts of the traditional ingredients.

87 For environmentally friendly concrete, the commonly used alternative materials are those
88 that contain recycled contents, are locally available with low transportation costs, have reduced
89 greenhouse gas emissions in their production, reserve natural resources, or improve concrete
90 performance during its life cycle. Some of the frequently studied alternative aggregates include
91 recycled concrete aggregate (Etxeberria et al. 2007; Limbachiya et al. 2012), building rubbles
92 (Khalaf and Devenny 2004), fiber scrap aggregate (Shahria Alamet al. 2013), recycled glass
93 aggregate (Berry et al., 2011), etc. Fly ash (FA) class C and F (Basri et al. 1999; Kevern et al.

94 2011), furnace slag (Lubeck et al. 2012), and silica fume (Limbachiya et al. 2012), are examples
95 of materials that have been examined as SCMs.

96 For this research, Portland limestone cement (PLC), Haydite® lightweight aggregate
97 (LWA), and FA Class F were selected as alternatives to the traditional ingredients. This was
98 based on the literature review and the results of a survey that was performed by the research
99 team to identify industry interests in using environmentally friendly concrete and ingredients (Jin
100 2013). A brief review of these alternative materials can be found in Omran et al. (2014).

101 *Related Work in Modeling and Predicting Concrete Properties*

102 The experimental determination of the compressive strength of concrete, especially for concrete
103 containing alternative materials, is known to be time consuming and costly. On the other hand,
104 using simple linear regression models for prediction has limited accuracy and flexibility (Yeh
105 1998; Deepa et al. 2010). As a result, recent years have seen an increasing interest in using more
106 advanced data mining techniques for predicting concrete properties.

107 Artificial neural network (ANN) has been used to predict fresh and hardened properties of
108 high performance concrete (Khan et al. 2013) and LWA concrete (Alshihri et al. 2009; Abdeen
109 and Hodhod 2010). The results of these studies have generally confirmed ANN to be a powerful
110 method for such applications. Another widely used data mining method, Support Vector
111 Machines (SVM), has also been used to predict properties of hardened concrete, such as
112 compressive strength, tensile strength, and elastic modulus (Gupta 2007; Yan et al. 2013; Yazdi
113 et al. 2013; Aiyer et al. 2014; Akande et al. 2014). In other attempts, both ANN and SVM have
114 been applied in conjunction with fuzzy logic to improve the accuracy and reliability of prediction
115 (Nataraja et al. 2006; Saridemir et al. 2009; Cheng et al. 2012). In addition, some other
116 prediction models, e.g., ensembles of decision trees in Erdal et al. (2013), were examined for

117 predicting the compressive strength of different types of concrete. While these studies have led
118 to more accurate predictions compared to traditional regression techniques, more reliable,
119 applicable, and practicable models are yet to be discovered (Chou et al. 2011).

120 A comparison between multivariable regression analysis and ANN made by Atici (2011)
121 identified the effectiveness of these methods for predicting the strength of mineral admixture
122 concrete. With the increasing use of advanced data mining techniques in concrete property
123 prediction, a few other comparison studies were conducted to evaluate the performance of
124 multiple data mining models, mostly focused on the compressive strength prediction of high
125 performance concrete. For example, Deepa et al. (2010) examined ANN, linear regression, and
126 M5P tree model for their accuracy and time performance. Similarly, Chou et al. (2011) evaluated
127 ANN, SVM, multiple regression, multiple additive regression trees, and bagging regression trees.
128 So far, very few studies have compared multiple data mining methods in predicting the
129 compressive strength of environmentally friendly concrete. This paper aims to fill this gap and
130 provide a more accurate and reliable tool to predict the compressive strength of a unique type of
131 environmentally friendly concrete made with PLC, Haydite LWA, and FA.

132 **Predictive Data Mining Techniques Examined in This Research**

133 The research was performed in two steps: 1) Examining the prediction accuracy of seven
134 individual data mining models, including the four common regression tree models (M5P,
135 REPTree, M5-Rules, and decision stump) and three more advanced predictive models
136 (multilayer perceptron, SMOreg, and Gaussian processes regression), and 2) Examining the
137 prediction accuracy of two commonly used ensemble methods (additive regression and bagging),
138 in which each of the aforementioned models was used as base classifier to evaluate the effects of
139 boosting. Kotsiantis et al. (2006) defined three mechanisms for the ensemble of regression

140 models: 1) *using a single machine learning model with different subsets of training data*, 2)
141 *using a single learning method with different training parameters*, and 3) *using different machine*
142 *learning methods*. The second step of this research adopted the first two mechanisms by using a
143 single machine learning model as base classifier for the ensemble models. Studying multiple
144 classifiers for the ensemble models can be a subject for future research. A brief review of these
145 data mining models and selected parameters is presented below.

146 ***Regression Tree Models***

147 Regression tree models have long been used in data mining as a supervised learning technique,
148 and have been widely applied to numeric prediction. Compared to some of the state-of-the-art
149 models, regression tree models may have lower prediction accuracy, but usually perform faster
150 and are easier to interpret. This research examined four commonly used regression tree models
151 as described below.

152 **M5P** is a reconstruction of the M5 algorithm introduced by Quinlan (1992) for generating
153 a tree of regression models from empirical data (Wang and Witten 1997). In a M5P model, at
154 each branch the tree stores a linear regression model that predicts the class values of the portion
155 of dataset that reaches the leaf. The dataset splits into different portions according to certain
156 attributes of the data. Standard deviation (SD) is usually used as a criterion that determines
157 which attribute is the best for splitting the dataset at each node. The attribute to be chosen is the
158 one that has the maximum expectation to reduce error, see Eq. (1):

$$EX_{error} = SD(T) - \sum \frac{|T_i|}{|T|} \times SD(T_i) \quad (1)$$

159 where T_i denotes the subset of cases that have the i th outcome of the potential test. The process
160 stops when a very small change happens in class values or only a few instances remain. The tree
161 will then be pruned back and a smoothing process will be performed in the end to compensate
162

163 sharp discontinuities between adjacent linear models (Quinlan 1992).

164 **REPTree (Reduced Error Pruning Tree)** is a fast decision tree learner that builds a
165 decision/regression tree by using information gain or variance as decision features for splitting
166 the data at the nodes. Then the generated regression tree is pruned back using the reduced-error
167 with back over-fitting technique (Witten and Frank 2005). In the context of decision trees, the
168 term “information gain” is usually equivalent to expectation value of the Kullback–Leibler
169 divergence of a conditional probability distribution (Garcia et al. 2002). For numeric attributes,
170 REPTree sorts the values once at the start of the run, and then uses the sorted list to calculate the
171 right splits in each tree node.

172 **M5-Rules** is an algorithm that uses divide-and-conquer to generate decision lists (ordered
173 sets of if-then rule) for regression problems. Holmes et al. (1999) used decision lists to make a
174 more compact and understandable model tree compared to previous models. Decision lists can
175 work with both continuous and nominal variables. M5-Rules uses the M5 algorithm to build a
176 model tree, makes a rule from the best leaf, and then works on other instances that are left in the
177 dataset according to the generated rule.

178 **Decision Stump** is a machine learning model that only consists of one-level decision tree.
179 It has one internal node (called root node), which is immediately connected to nodes in branches
180 (referred to as terminal nodes). Decision stump makes a prediction based on the value of just a
181 single input attribute. It performs regression based on the mean squared error where each root
182 node represents an attribute in an instance to be evaluated, and each branch represents a value
183 that the node can take (Iba and Langley 1992). Decision stump is usually used as a component
184 of a boosting algorithm to improve its prediction accuracy.

185 ***Multilayer Perceptron (ANN)***

186 ANN is a computational system consisting of simple, highly interconnected processing elements
187 (nodes or neurons) that work together to solve specific problems (Caudill 1987). It is an
188 algorithm inspired by research in biological nervous systems to generate a simplified model of
189 how the brain works (Rumelhart et al. 1994). The first neural network was proposed by
190 McCulloch and Pitts (1943), and since then many other models have been introduced. The basic
191 structure of a multilayer perceptron ANN model is shown in Fig. 1 below.

192 ANN models usually consist of an input layer, one or more hidden layers, and an output
193 layer. Each of these layers can have different number of nodes. Each node under the hidden
194 layer(s) will receive one or more inputs. The inputs will be multiplied by their weights, and
195 summed together and with the bias (threshold). The weighting and bias values will be initially
196 chosen as random numbers and will then be adjusted according to the results of the training
197 process (Atici 2011). The output of each node will be generated based on the significance of the
198 summation value and by the means of a predefined specific activation function, e.g., unipolar
199 sigmoid function, bipolar sigmoid function, hyperbolic tangent function, etc. (Bishop 2006).

200 *SMOreg-based SVM*

201 SVM is a supervised learning model developed by Cortes and Vapnik (1995). It has been
202 intensively used in many data mining problems for both classification and regression purposes.
203 In an SVM algorithm, the training set is first mapped to an n-dimensional feature space by using
204 a nonlinear kernel mapping procedure. Then a hyperplane, a subspace that is one dimension less
205 than its surrounding space, will be identified in this feature space according to the projected
206 dataset. The aim is to find the optimal hyperplane that separates the data points in the classes,
207 while simultaneously maximizing the margin (i.e., the distance between the hyperplane and the
208 closest points of the training set) for linearly separable patterns (Leskovec et al. 2014). The

209 hyperplane $f(x, w)$ is represented by a linear function in the feature space:

$$f(x, w) = \sum_{j=1}^m w_j g_j(x) + b \quad (2)$$

210 where $g_j(x), j=1, \dots, m$ denotes a set of nonlinear transformations, and b is the “bias” term. For

211 SVM regression purposes, Cortes and Vapnik (1995) suggested to use a so called \mathcal{E} , the

212 insensitive loss function that penalizes error only if it is greater than \mathcal{E} (Shevade et al. 2000). So

213 the $|\xi|_{\mathcal{E}}$ is represented as:

$$|\xi|_{\mathcal{E}} = \begin{cases} 0 & \text{if } |\xi| \leq \mathcal{E} \\ |\xi| - \mathcal{E} & \text{otherwise} \end{cases} \quad (3)$$

214

215 Using (non-negative) slack variables ξ_i and ξ_i^* , the final optimization problem to be solved

216 can be formulated as:

$$\text{Minimize } \frac{1}{2} \|w\|^2 + C \sum_{i=1}^l (\xi_i + \xi_i^*) \quad (4)$$

217 Subjected to:

$$\begin{cases} y_i - f(x_i, w) \leq \mathcal{E} - \xi_i^* \\ f(x_i, w) - y_i \leq \mathcal{E} - \xi_i \\ \xi_i, \xi_i^* \geq 0, i = 1, \dots, n \end{cases} \quad (5)$$

218

219 SVM regression finds the linear regression in the high-dimension feature space using \mathcal{E} while

220 reducing the model complexity by minimizing $\|w\|^2$.

221 Sequential minimal optimization (SMO), an algorithm introduced by Platt (1998), is used

222 to solve the very large quadratic programming (QP) optimization problems in SVM through

223 breaking them into a series of smallest possible QP problems. In this way problems can be

224 solved analytically, eliminating the need for numerical optimization algorithms (Platt 1998).

225 ***Gaussian Processes***

226 Gaussian process is a powerful non-linear prediction tool, which can be used for Bayesian

227 regression as well as in learning process of both supervised and unsupervised learning
228 frameworks (Bishop 2006). It is a non-parametric stochastic process that generalizes the
229 Gaussian probability distribution. A Gaussian process sometimes is described as a distribution
230 over functions ($P(f)$), where f is a function that projects input space (vector \mathbf{x}) to feature space
231 (vector \mathbf{r}) and for any finite subset of X the marginal distribution over that subset $P(f)$ has a
232 Gaussian distribution. The f could be an infinite-dimensional quantity. As a result, Gaussian
233 process extends multivariate Gaussian distributions to infinite dimensionality (Rasmussen and
234 Williams 2006). Same as Gaussian distribution that can be specified by a mean vector $\boldsymbol{\mu}$ and
235 covariance matrix Σ , a Gaussian process can be defined by a mean function $M(x)$ and
236 covariance function $k(x, x')$ expressed as $f(x) \sim GP(M(x), k(x, x'))$. One of the advantages of
237 a Gaussian process model is that its formulation is probabilistic. This is especially useful for
238 probabilistic prediction and “gives the ability to infer model parameters such as those that control
239 the kernel shape and the noise level” (Chu and Ghahramani 2006).

240 **Ensemble Methods Used in This Research**

241 According to Rokach (2010), the idea of ensemble learning models started with Tukey (1977) at
242 late 1970s by simply combining two linear regression models using residual of the first model
243 for the second modeling process. This effort was then followed by many other attempts, such as
244 partitioning the input space and using two or more classifiers (Dasarathy and Sheela 1979) or
245 using the AdaBoost algorithm (Freund and Schapire 1996). The purpose for ensemble modeling
246 is to achieve better prediction performance by combining multiple learning algorithms.

247 ***Additive Regression (Gradient Boosting)***

248 Regression trees are well known for many advantages such as flexibility of input variables (e.g.,
249 numeric, ordinal, binary, and categorical variables) and immunity to the effects of extreme

250 outliers. However, these methods usually suffer from the lack of accuracy. Gradient boosting,
251 first introduced by Friedman (2001), is an additive regression tree model that can overcome this
252 drawback through the application of a boosting technique (Friedman and Meulman 2003).
253 Additive models are nonparametric regression methods, which assume that each input feature
254 has a separate contribution to the final prediction and these input features can be added up to
255 generate the regression model for prediction (Friedman and Stuetzle 1981). According to
256 Friedman and Meulman (2003), boosting a tree-based model can significantly increase its
257 prediction accuracy. Additive regression is a metadata learner that improves the performance of
258 weak prediction models (e.g., regression tree models) by applying the stochastic gradient
259 boosting technique. The technique mainly involves fitting sequence of models: The first model in
260 the sequence is trained based on the original dataset, and each of the next models will be trained
261 on a new dataset containing the residual errors remained from fitting the previous model
262 (Friedman, 2001).

263 ***Bagging***

264 Bagging is short for Bootstrap Aggregating. Breiman (1994) defines bagging as a way to
265 generate multiple versions of a predictor, through which a more robust predictor can be
266 generated. It is an ensemble meta-algorithm that improves the accuracy and stability of the
267 prediction. The algorithm is based on generating bootstrap replications of dataset and using these
268 different versions of dataset as new training sets to generate multiple models. The final
269 prediction is achieved through combining the outcomes of these models (i.e., averaging the
270 results for the regression problem and using plurality voting for the classification problem).
271 Previous studies have shown that bagging can significantly improve the results of unstable
272 models (e.g., models sensitive to small changes in the training dataset), models with high

273 dimensional dataset problems, and classification and regression tree models (Breiman 1994;
274 Buhlmann and Yu 2002).

275 **Methodology and Experimental Settings**

276 *Concrete Experimental Design and Data Collection*

277 In this study, 36 different batches of concrete were designed and prepared. Each batch contained
278 different replacement percentages of fly ash Class F (0%, 20%, 30% or 40%) and Haydite LWA
279 (0%, 33%, 67% or 100%) besides the use of either Portland cement (PC) Type I/II or PLC Type
280 GUL. In this way, the effects of alternative materials on the compressive strength of concrete can
281 be examined more accurately. The fly ash Class F replaced part of PC or PLC by different
282 percentages of weight and Haydite LWA substituted pea gravel by different percentages of
283 volume. Their numerical values were used as inputs for the tested models. In addition to the
284 above three variables, the actual water content, the amounts of sand, pea gravel, and Micro Air®,
285 as well as the concrete curing age were selected as the other influential variables for the models.
286 Table 1 shows the range, mean, and SD of those variables in this experimental study.

287 All the concrete mixed in the experiment was assumed to be air-entrained (considered to
288 be used outdoors in cold climate) by adding Micro Air, an air entraining agent, to the mixtures.
289 The intended slump was 12.70 - 15.24 cm and the air content was 6-7%. Concrete was mixed in
290 a laboratory mixer and the whole processes of making, pouring and curing concrete were
291 performed based on ASTM C 31/C 31 M – 06 guideline. Three 10.16 cm by 20.32 cm cylinders
292 from each batch of concrete mixture were tested in each of four different curing ages of 3, 7, 28
293 and 90 days for compressive strength. The average test result of each three cylinders formed a
294 data point in the database. All the details for the experiments can be found in Jin (2013).

295 *Parameter Setting of Data Mining Models*

296 In this study, the Weka workbench toolbox (Waikato 2015) was used to generate the examined
297 machine learning models for predicting the compressive strength of the environmentally friendly
298 concrete. Since one of the original goals for experimental testing was to compare the
299 compressive strength of PC and PLC concrete, this research performed a simple paired T-Test on
300 the PC and PLC concrete datasets, which confirmed a statistical difference between these two
301 groups. To evaluate the potential impact of the statistically different datasets on the prediction
302 accuracy of data mining models, this research took the following three-step approach: The first
303 was to test the selected data mining models based on the PC or PLC dataset only. In such cases,
304 seven variables were used to generate the models. The second step was to examine the selected
305 models based on the whole dataset including all PC and PLC concrete samples. In the modeling
306 process, eight variables including a new binary variable “cement type” were used. Thirdly, the
307 prediction performance of data mining models based on different datasets was compared to learn
308 whether simpler models with seven variables and individual datasets will lead to better
309 prediction accuracy, or the prediction accuracy can be improved by a larger sample size though
310 additional variable(s) may be needed, leading to more complex models.

311 An example of ANN model with eight input variables including “cement type” is shown in
312 Fig. 2. The symbol “8-3-1” means that there are eight nodes in the input layer, three nodes in the
313 hidden layer, and only one node at the output of the network.

314 Many input parameters need to be set up for most data mining algorithms. The setting of
315 input parameters could affect the accuracy and/or reliability of generated models. In this research,
316 a comprehensive sensitive analysis was carefully conducted on each model to identify the
317 parameter values/options that could lead to the highest prediction accuracy among all the
318 examined model settings, while avoiding over-fitting issues. The important parameters that were

319 tested in this sensitivity analysis are presented in the Analytical Results and Discussion section.

320 *Performance Measures*

321 The models were trained with different parameters and/or variables. Their prediction accuracy
322 was evaluated and compared based on four frequently used performance measurements in
323 previous studies: R, R², RMSE, and MAE. R, RMSE, and MAE are formulated as:

$$R = \frac{\sum_{i=1}^n (P_i - \mu_P)(A_i - \mu_A)}{\sqrt{\sum_{i=1}^n (P_i - \mu_P)^2 \sum_{i=1}^n (A_i - \mu_A)^2}} \quad (6)$$

$$RMSE = \sqrt{\frac{\sum_{i=1}^n (P_i - A_i)^2}{n}} \quad (7)$$

$$MAE = \frac{\sum_{i=1}^n |P_i - A_i|}{n} \quad (8)$$

324 where A_i and P_i represent the actual and predicted compressive strength of concrete samples
325 related to data point *i*, respectively, *n* is the total number of data points in the validation set(s), μ_A
326 is the mean value of observations, and μ_P is the mean value of predictions.

327 A 10-fold cross-validation was used in this study to minimize the bias associated with the
328 random sampling of the training and holdout data samples in regular validation methods. The
329 cross-validation is a technique that evaluates the expected accuracy and validity of a predictive
330 model by dividing a dataset into different subsets and evaluating the accuracy of the model for
331 each of those subsets. In general, a k-fold cross-validation includes the following steps:

- 332 • Splitting the dataset into K subsets of equal size (K folds)
- 333 • In each run, training the model on all the subsets except one
- 334 • Evaluating the prediction accuracy by using the left out subset to test the trained model
- 335 • Repeating steps 2 and 3 for K times and each time leaving a different fold for testing
- 336 • Calculating the final performance measurements by averaging the performance

337 measurements from each of the K runs.

338 This would improve the generalization and reliability of the performance measurements obtained
339 for models under testing.

340 **Analytical Results and Discussion**

341 *Characteristics of Concrete Datasets Used in This Research*

342 The datasets used in this research, i.e., the measured compressive strength of PC and PLC
343 concrete samples, are illustrated in Fig. 3. It can be noted that the dramatic changes in
344 compressive strength values shown in the figure were caused by different curing ages of the
345 tested samples (3, 7, 28, and 90 days). The variation of compressive strength values obtained at
346 the same curing ages was caused by different concrete mix designs.

347 Table 2 shows the results of the paired T-Test, which suggest that a statistically significant
348 difference exists between the average compressive strength of PC- and PLC-based concrete. In
349 other words, it shows that with 95% confidence, the average compressive strength of PLC
350 concrete samples is 2.76 to 4.36 MPa higher than that of PC concrete samples.

351 *Comparison Results for the Data Mining Models Tested*

352 In the following, comparison results for the data mining models tested in this research are
353 presented. Due to its poor prediction accuracy (e.g., R values at 0.5226, 0.6001 and 0.6208 for
354 the PLC, PC and combined datasets, respectively), the decision stump model is excluded from
355 most of the tables and figures presented below. The exception is for the presentation of results
356 related to ensemble models. This is because this study found that when decision stump was used
357 as the base classifier for the ensemble models, the prediction accuracy was acceptable.

358 Fig. 4 shows the highest R achieved by each of the eight data mining models. It was found
359 that the prediction accuracy increased in five of the tested models when combining the two

360 datasets (PC and PLC) and using the cement type as an additional binary input. Exceptions are
361 the three regression tree models (i.e., M5P, REPTree and M5-Rules), in which the accuracy of
362 prediction based on the PC concrete dataset was slightly better than the combined dataset.

363 The measured performance of prediction models in terms of RMSE and MAE is presented
364 in Tables 3 and 4. The bolded value in each row represents the highest prediction accuracy
365 achieved in this study when different datasets were used for testing individual and ensemble
366 models. It seems that according to both criteria (MAE and RMSE), additive regression based on
367 the Gaussian processes classifier obtained the highest prediction accuracy for comprehensive
368 strength of PLC samples while the individual Gaussian processes regression model achieved the
369 highest prediction accuracy for both the PC and combination datasets.

370 The information presented above shows that the listed models all had acceptable prediction
371 performance. Further, the Gaussian processes regression model achieved the best prediction
372 accuracy based on all the three performance measures while REPTree had the lowest. Table 5
373 below lists the important parameters and associated values/options used for these models to
374 achieve their highest prediction accuracy. In particular, the option of “polykernel” was selected
375 for all of the four models that need a kernel as their covariance matrix. These include additive
376 regression, bagging, Gaussian processes, and SMOreg. From this point forward, the analysis and
377 results are solely presented for the combined (PC & PLC) dataset, which was proven to have
378 improved the prediction accuracy for most models tested in this study.

379 Fig. 5 illustrates the relationship between the predicted and actual compressive strength of
380 the studied concrete samples for each of the eight predictive models. All the plots show fairly
381 linear relationships between predicted and actual values. Apparently, the Gaussian processes
382 regression model is the best representative of actual experimental data with the highest R^2 at

383 0.9842.

384 Fig. 6 displays the distribution of residuals and percentage error for the tested models. It is
385 observed that in all these plots when the actual compressive strength of concrete samples
386 increased, residuals became larger but the associated percentage errors decreased. Similar to the
387 early findings, Gaussian processes regression, bagging, and additive regressions are the models
388 with prediction results being the closest to the actual experimental values.

389 Table 6 compares R values achieved by the seven individual data mining models as well as
390 two ensemble methods with each of individual data mining models used as base classifier. The
391 comparison results show that both the additive regression and bagging algorithms using
392 regression tree models as base classifier achieved better prediction accuracy than individual
393 regression tree models. On the other hand, when SMOreg, Gaussian processes, and multilayer
394 perceptron were used as base classifier, mixed results were generated. Similar to the early
395 conclusion from the individual model comparison, the highest accuracy of prediction for additive
396 regression and bagging was all achieved when the Gaussian processes was used as their base
397 classification model. This finding is particularly important since Gaussian processes regression
398 has rarely been applied in existing research to predict concrete properties.

399 Table 7 lists the average time spent for building each of the tested models. These times
400 were associated with the parameter settings for these models to achieve the highest prediction
401 accuracy in the sensitivity analysis. Due to the use of 10-fold cross validation, the training time
402 for each of these models was much longer than the time used to build the model. Although many
403 variables could affect the length of the training time, the total time was mostly proportional to
404 the time used to build the model. The results indicate that even though the three more advanced
405 predictive models achieved higher prediction accuracy in general they are far more time-

406 consuming compared to individual regression tree models as well as ensemble models with
407 regression tree as base classifier. The individual Gaussian processes model was somewhat an
408 exception with relatively fast building and training time.

409 *Comparison with Previous Work*

410 Table 8 provides a brief comparison of the highest prediction performance achieved in this study
411 and some of the primary previous works that used data mining models to predict the compressive
412 strength of concrete. The comparison of R^2 values obtained by different studies shows that eight
413 of the data mining models examined in this research offered fairly high prediction accuracy with
414 R^2 ranging from 0.9217 to 0.9842. Moreover, compared with the same types of models
415 examined in previous research, i.e., M5P, SVM, bagging, and additive regression, this study
416 achieved relatively better prediction performance. It is worth noting that this research applied the
417 cross validation method for evaluating the accuracy of predictions, which was not the case in
418 most of previous studies listed in Table 9 except for Chou et al. (2011) and Deepa et al. (2010).
419 Compared to the traditional validation method, cross validation usually lowers the R^2 values of
420 tested models, but improves the generalization and reliability of the assessment.

421 According to Table 8, the Gaussian processes regression model provided the highest
422 prediction accuracy ($R^2 = 0.9837$) among all the data mining models compared, while having a
423 relatively fast modeling speed. Based on the extent of literature review performed by the authors,
424 this research seemed to be the first work that examined Gaussian processes regression for
425 predicting concrete properties, suggesting a great need for future research. Further, in most cases,
426 ANN led to higher prediction accuracy than traditional modeling approaches such as linear
427 regression or regression tree models.

428 In this research, the additive regression model would rank first in prediction accuracy when

429 without the presence of Gaussian processes regression, which is consistent with the results from
430 Chou et al. (2011). However, Chou et al. used decision stump as base classifier; this research
431 found that additive regression based on decision stump had the lowest accuracy and the other six
432 tested base classifiers could improve the prediction performance of additive regression. Also, in
433 Chou et al. (2011), the prediction performance of bagging with the base fast decision tree learner
434 was not as good as the ANN model. In contrast, this study found that bagging could provide
435 better prediction accuracy than the ANN model when using the advanced methods (i.e., Gaussian
436 processes regression and multilayer perceptron) as base classifiers.

437 **Conclusions**

438 This research aimed to evaluate the potential of using data mining techniques for predicting the
439 compressive strength of environmentally friendly concrete containing fly ash, Haydite LWA,
440 and/or PLC. In particular, four common regression tree models (M5P, REPTree, M5-Rules, and
441 decision stump) and three more advanced predictive models (ANN based on multilayer
442 perceptron, SMOReg-based SVM regression, and Gaussian processes regression) were generated
443 and tested individually. Then they were used as base classifiers in two ensemble models
444 (additive regression and bagging) to evaluate the effects of boosting.

445 The obtained analytical results suggest that all of the tested models, except for decision
446 stump, can provide acceptable prediction accuracy with R^2 ranging from 0.9217 (for REPTree) to
447 0.9842 (for Gaussian processes regression). The Gaussian processes regression model showed
448 the best prediction accuracy as an individual data mining model. Also, when used as base
449 classifier, it helped the two ensemble models achieve the best prediction performance. This
450 observation is important since the Gaussian processes regression model is rarely investigated in
451 previous works in this field.

452 The results of this research also indicate that in most cases, except for MSP, REPTree, and
453 M5-Rules, training the models with the combined dataset containing PC and PLC concrete
454 samples provided better prediction accuracy than using only the PC or PLC dataset. Furthermore,
455 although the prediction accuracy of the three advanced data mining models was higher than that
456 of the four regression tree models, the time required for building and training the models was
457 significantly longer. This should be considered a factor in choosing an appropriate data mining
458 model in practice. Particularly, when dealing with a very large dataset, using an ensemble
459 method with a regression tree base classifier seems to be a more practical alternative. With the
460 demonstrated potential of using data mining models to predict concrete comprehensive strength,
461 future research can adopt this approach to study other properties of concrete such as tensile
462 strength, durability, or concrete slump.

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608 **Table List**

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625 **Table 1.** Parameters and Values for Concrete Mix Design (Per Cubic Meter of Concrete)

Parameter	Min.	Max.	Mean	SD
Age (day)	3	90	35.12	35.37
Water (kg)	210.61	210.61	210.61	0
PC or PLC (kg)	226.63	528.02	346.18	102.07
Fly ash (kg)	0	211.21	79.80	72.37
Sand (kg)	741.60	901.78	768.29	59.91
Pea gravel (kg)	0	750.49	483.40	229.54
Haydite (kg)	0	368.42	131.13	113.03
Micro Air (ml)	112.17	135.38	123.78	11.64

626

627 **Table 2.** Paired T-Test of the Means for PC and PLC Concrete

Statistical item	Compressive strength for PLC concrete (MPa)	Compressive strength for PC concrete (MPa)
Mean	37.109125	33.54779
Variance	227.65862	195.3950
Observations	72	72
Hypothesized mean difference	0	
t stat	8.8572	
p(T<=t) one-tail	2.16E-13	
t critical one-tail	1.6665	
p(T<=t) two-tail	4.31E-13	
t critical two-tail	1.9939	

628

629 **Table 3.** The Lowest MAE Calculated for Each of the Models based on Different Datasets

Method	Additive Regression	Bagging	M5P	REPTree	M5- Rules	SMOreg	Multilayer Perceptron	Gaussian Processes
PLC	1.52	2.1038	3.4854	4.9203	3.9587	2.4839	1.946	1.6343
PC	1.8992	1.9536	2.4113	3.0505	2.3633	2.36	2.1796	1.8784
PLC & PC	1.3976	1.5662	2.4536	3.3953	2.4793	2.072	1.9625	1.3756

630

631 **Table 4.** The Lowest RMSE Calculated for Each of the Models based on Different Datasets

Method	Additive regression	Bagging	M5P	REPTree	M5-Rules	SMOreg	Multilayer perceptron	Gaussian processes
PLC	2.0309	2.6724	4.7615	6.2041	5.2028	3.3491	3.1178	2.2236
PC	2.4223	2.4563	2.9852	3.8477	2.9705	2.9571	2.9439	2.4154
PLC & PC	1.8624	1.9902	3.3367	4.1663	3.3169	2.6104	2.5473	1.837

632

633 **Table 5.** Important Parameters and Associated Values/Options for Achieving the Highest
 634 Accuracy of the Tested Models
 635

Data mining model	The highest R	Name of parameter/option	Associated value/option
Additive regression	0.9918	Base classifier	Gaussian process
		Number (no.) of iteration	10
		Shrinkage rate	1
		Level of Gaussian noise	0.002
		Kernel of the choice	polykernel
Bagging	0.9907	Exponent value	3
		Base classifier	Gaussian process
		No. of iteration	80
		Bagging size percentage	100
		Level of Gaussian noise	0.007
M5P	0.9735	Kernel of the choice	polykernel
		Exponent value	3
		Min. no. of instances	5
M5-Rules	0.9738	Min. no. of instances	4
REPTree	0.9601	Min. total weight of instances	1
		Min. proportion of the variance	0.0001
SMOreg	0.9839	Kernel of the choice	polykernel
		Exponent value	3
Multilayer perceptron	0.9849	Node No. for first hidden layer	15
		Node No. for second hidden layer	8
		Learning Rate	0.1
		Momentum	0.25
		Training time	10000
		Validation threshold	20
Gaussian processes regression	0.9921	Kernel of the choice	polykernel
		Exponent value	3
		Level of Gaussian noise	0.0005

636

637 **Table 6.** R Values for Ensemble Models Using Different Classifiers

Method	REPTree	M5-Rules	M5P	Decision stump	SMOreg	Gaussian processes	Multilayer perceptron
Individual model	0.9601	0.9738	0.9735	0.6208	0.9839	0.9921	0.985
Additive regression	0.9822	0.9778	0.9917	0.9712	0.9845	0.9918	0.9793
Bagging	0.9701	0.9765	0.9786	0.9421	0.9823	0.9907	0.9899

638 Note: The bold numbers indicate the best performance result for each dataset.

639 **Table 7.** Time (in Second) for Building Each Model

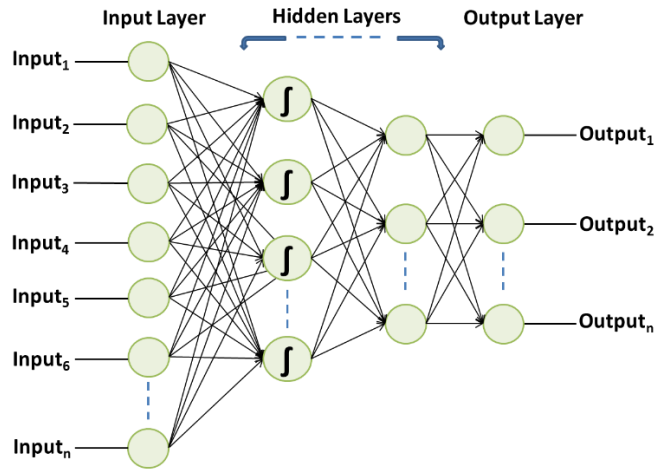
Method	REPTree	M5Rules	M5P	Decision stump	SMOreg	Gaussian processes	Multilayer perceptron
Individual model	0.02	0.14	0.05	0	10.19	0.33	42.46
Additive regression	0.03	0.42	1.92	0.17	43.82	3.26	167.36
Bagging	0.28	1.09	3.71	0.03	127.02	27.89	419.42

640

641 **Table 8.** Comparison of Prediction Accuracy with Previous Works

Previous work	Sample size	Technique	R ²
Yeh 1998	727	ANN	0.914 (avg.) ^a
		Linear regression	0.574 (avg.) ^a
Gupta et al. 2006	864	Neural-fuzzy inference system	0.76
Fazel Zarandi et al. 2008	458	Fuzzy polynomial neural networks	0.8209
Yeh and Lien 2009	1196	Genetic operation trees	0.8669
		ANN	0.9338
Chou et al. 2011	1030	ANN	0.9091
		Multiple regression	0.6112
		SVM	0.8858
		Multiple Additive Regression Trees (MART)	0.9108
		Bagging Regression Trees (BRT)	0.8904
Deepa et al. 2010	300	Multilayer perceptron (ANN)	0.625
		Linear regression	0.491
		M5P model tree	0.787
Atici 2011	135	ANN	0.9801
		Multiple regression	0.899
Erdal et al. 2013	1030	ANN	0.9088
		Bagged ANN	0.9278
		Gradient Boosted ANN	0.9270
		Wavelet Bagged ANN	0.9397
		Wavelet Gradient Boosted ANN	0.9528
This paper	144	M5P model tree	0.9476
		M5-Rules	0.9482
		REPTree	0.9217
		Multilayer perceptron (ANN)	0.970
		SMOreg (SVM)	0.968
		Gaussian processes regression	0.9843
		Additive regression	0.9837
Bagging	0.9816		

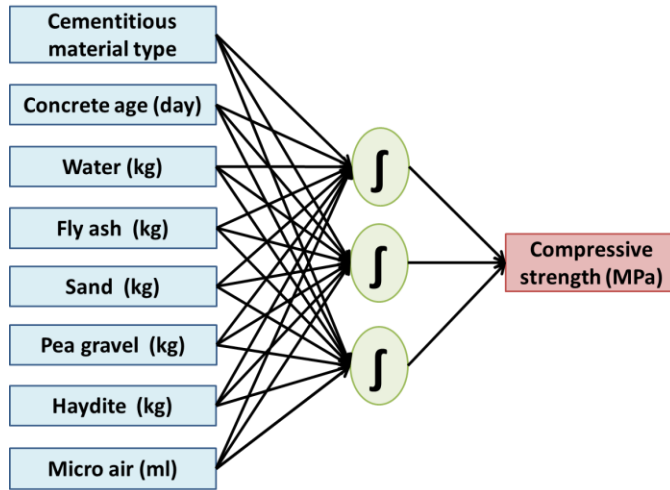
642 ^aIn Yeh (1998), the database was divided into four different sets. Each time one set was used for testing and the
643 other three sets were used for training. The listed R² value is the average for the four testing datasets.



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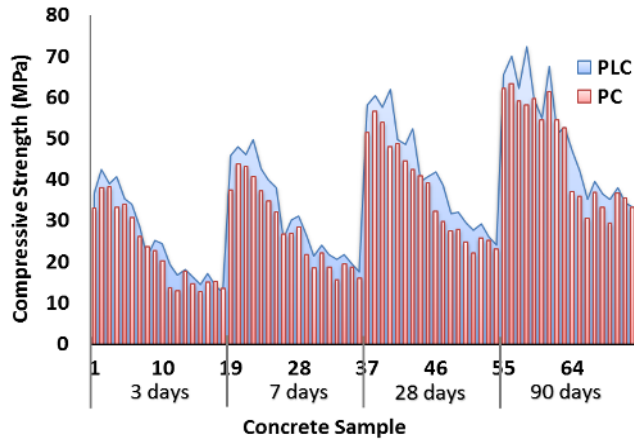
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Fig. 1. Structure of ANN models



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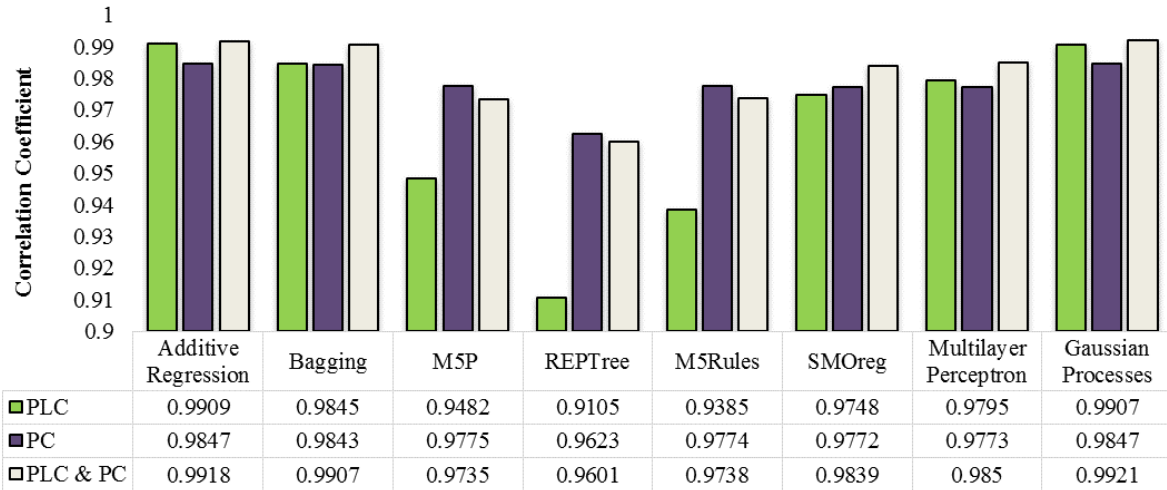
Fig. 2. The example ANN model (8-3-1)



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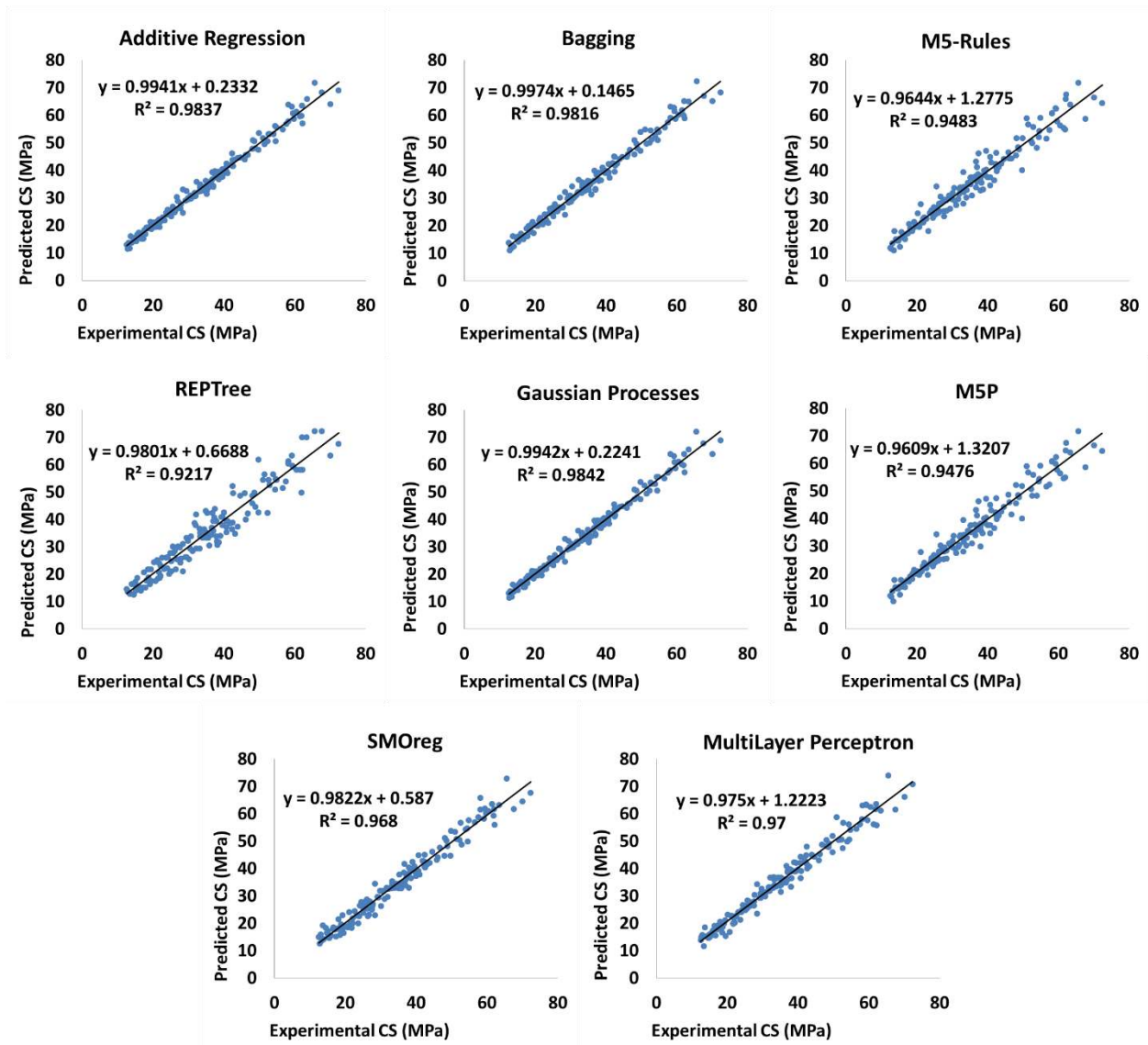
Fig. 3. Experimental results for compressive strength of PC and PLC concrete



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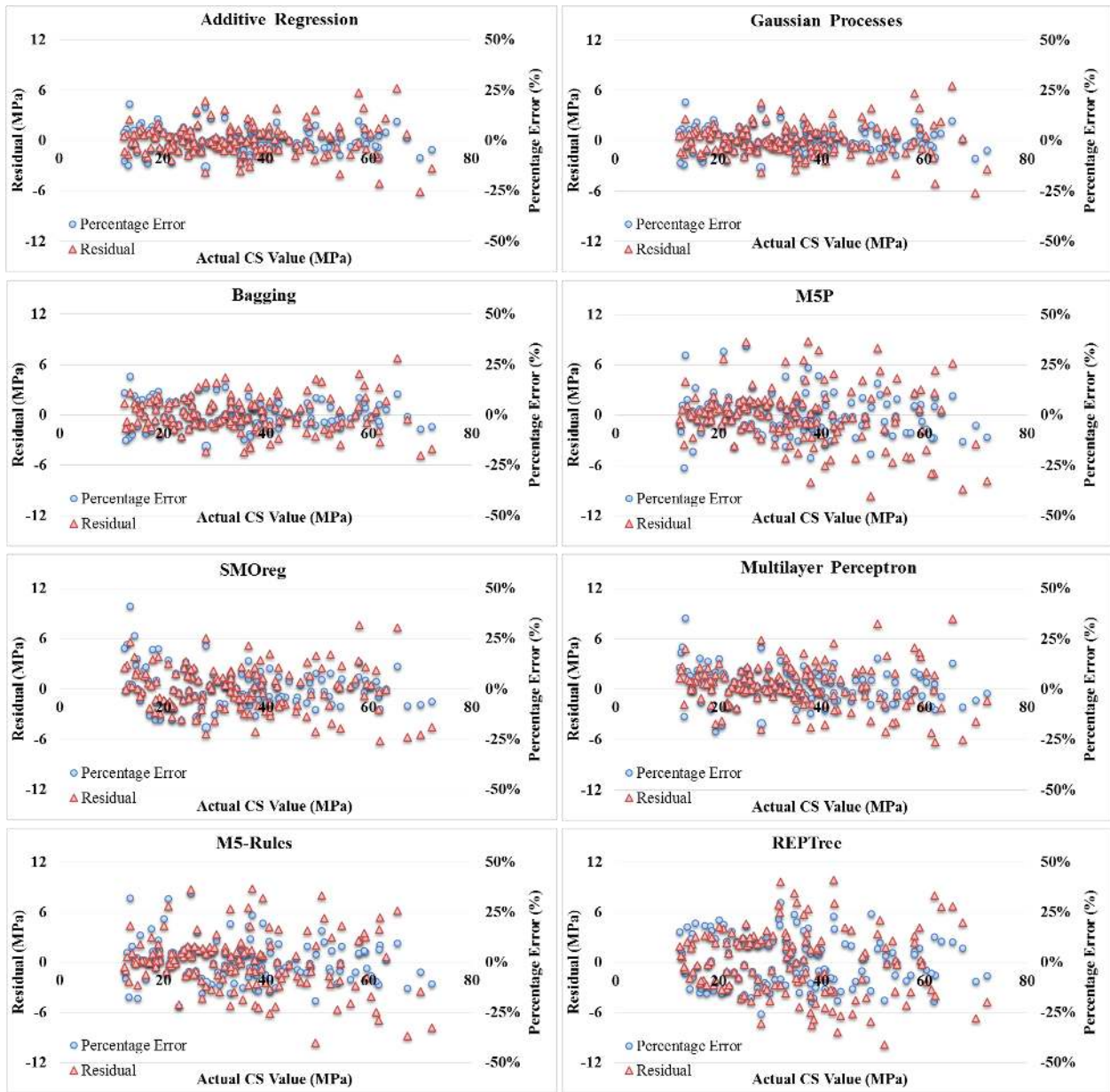
Fig. 4. The highest R value for each of the models based on different datasets



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Fig. 5. Predicted vs. actual compressive strength (abbreviated as CS in the figure)



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656

Fig. 6. Residuals and percentage errors vs. actual compressive strength values

ASCE Worksheet for Sizing Technical Papers & Notes*****Please complete and save this form then email it with each manuscript submission.*******Note: The worksheet is designed to automatically calculate the total number of printed pages when published in ASCE two-column format.**

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The maximum length of a technical paper is 10,000 words and word-equivalents or 8 printed pages. A technical note should not exceed 3,500 words and word-equivalents in length or 4 printed pages. Approximate the length by using the form below to calculate the total number of words in the text and adding it to the total number of word-equivalents of the figures and tables to obtain a grand total of words for the paper/note to fit ASCE format. Overlength papers must be approved by the editor; however, valuable overlength contributions are not intended to be discouraged by this procedure.

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A. Fill in the four numbers (highlighted in green) in the column to the right to obtain the total length of text.

NOTE: Equations take up a lot of space. Most computer programs don't count the amount of space around display equations. Plan on counting 3 lines of text for every simple equation (single line) and 5 lines for every complicated equation (numerator and denominator).

Estimating Length of Text	
Count # of words in 3 lines of text:	38
Divided by 3	3
Average # of words per line	13
Count # of text lines per page	23
# of words per page	291.33
Count # of pages (don't add references & abstract)	19.5
Title & Abstract	500
Total # refs	63
	1527
Length of Text is	7708
	581
	8289
	7

subtotal
plus headings
TOTAL words
printed pages

2. Estimating Length of Tables

A. First count the longest line in each column across adding two characters between each column and one character between each word to obtain total characters.

1-column table = up to 60 characters wide

2-column table = 61 to 120 characters wide

B. Then count the number of text lines (include footnote & titles)

1-column table = up to 60 characters wide by:
17 lines (or less) = 158 word equiv.
up to 34 lines = 315 word equiv.
up to 51 lines = 473 word equiv.
up to 68 text lines = 630 word equiv.

2-column table = 61 to 120 characters wide by:
17 lines (or less) = 315 word equiv.
up to 34 lines = 630 word equiv.
up to 51 lines = 945 word equiv.
up to 68 text lines = 1260 word equiv.

C. Total Characters wide by Total Text lines = word equiv. as shown in the table above. **Add word equivalents** for each table in the column labeled "Word Equivalents."

3. Estimating Length of Figures

A. First reduce the figures to final size for publication.

Figure type size can't be smaller than 6 point (2mm).

B. Use ruler and measure figure to fit 1 or 2 column wide format.

1-column fig. = up to 3.5 in.(88.9mm)

2-col. fig. = 3.5 to 7 in.(88.9 to 177.8 mm) wide

C. Then use a ruler to check the height of each figure (including title & caption).

1-column fig. = up to 3.5 in.(88.9mm) wide by:
up to 2.5 in.(63.5mm) high = 158 word equiv.
up to 5 in.(127mm) high = 315 word equiv.
up to 7 in.(177.8mm) high = 473 word equiv.
up to 9 in.(228.6mm) high = 630 word equiv.

2-column fig. = 3.5 to 7 in.(88.9 to 177.8 mm) wide by:
up to 2.5 in.(63.5mm) high = 315 word equiv.
up to 5 in.(127mm) high = 630 word equiv.
up to 7 in.(177.8mm) high = 945 word equiv.
up to 9 in.(228.6mm) high = 1260 word equiv.

D. Total Characters wide by Total Text lines = word equiv. as shown in the table above. **Add word equivalents** for each table in the column labeled "Word Equivalents."

Total Tables/Figures:	4887
Total Words of Text:	8289

(word equivalents)

Total words and word equivalents:	13176
printed pages:	11

Estimating Length of Tables & Figures:			
Tables	Word Equivalents	Figures	Word Equivalents
Table 1	158	Figure 1	158
2	158	2	158
3	158	3	158
4	158	4	315
5	630	5	945
6	158	6	945
7	158	7	
8	630	8	
9		9	
10	0	10	
11	0	11	
12	0	12	
13	0	13	
14	0	14	
15	0	15	
		16	
		17	
		18	
		19	
		20 and 21	

Please double-up tables/figures if additional space is needed (ex. 20+21).