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3 **Comparison of vulnerability to catastrophic wind between *Abies***
4 **plantation forests and natural mixed forests in northern Japan**

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The risk of extreme events due to weather and climate change, such as winds

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of unprecedented magnitude, is predicted to increase throughout this

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century. Artificial ecosystems, such as coniferous plantation forests, can

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suffer irreversible deterioration due to even a slight change in environmental

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conditions. However, few studies have examined the effects of converting

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natural forests to plantations on their vulnerability to catastrophic winds. By

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modelling the 2004 windthrow event of Typhoon Songda in northern Japan

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using the random forest machine learning method, we answered two

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questions: do *Abies* plantation forests and natural mixed forests differ in their

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vulnerability to strong winds and how do winds, topography, and forest

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structure affect their vulnerability. Our results show that *Abies* plantation

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forests are more vulnerable to catastrophic wind than natural mixed forests

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under most conditions. However, the windthrow process was common to

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both types of forests, and the behaviour of wind inside the forests may

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determine the windthrow probability. Future management options for

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adapting to climate change were proposed based on these findings, including

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modifications of plantation forest structure to reduce windthrow risk and

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reconversion of plantations to natural forests.

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Key words: artificial plantation forests, wind disturbance, risk management,

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stand structure, susceptibility to winds

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41 **Introduction**

42 The risk of disasters caused by extreme weather and climate events is increasing. The
43 Intergovernmental Panel on Climate Change (IPCC) projected that the risk of extreme events, such as
44 intense heat, heavy rain, typhoons, and drought, will increase on an unprecedented scale throughout
45 this century, although variations are observed in the predicted intensity and certainty depending on
46 the region (IPCC, 2013).

47 Wind disturbance is a major natural event that is essential to sustaining the integrity of temperate
48 forest ecosystems (Nakashizuka, 1989; Schelhaas *et al.*, 2003; Yamamoto, 1989). For example, various
49 sizes of windthrow patches serve as available locations for the recruitment of new seedlings (Ulanova,
50 2000) and diversification of the age structure and species composition of forests (Mitchell, 2013).
51 However, catastrophic disturbances that occur at a scale and severity beyond the ability of the forest
52 to recover will degrade forest ecosystems and in turn reduce resilience against subsequent disturbance
53 events (Munang *et al.*, 2013). Furthermore, simplified artificial ecosystems are often more vulnerable
54 than natural ecosystems and thus may suffer from substantial deterioration due to small changes in
55 environmental conditions or mild disturbances (Elmqvist *et al.*, 2003; Timpane-Padgham *et al.*, 2017).
56 A plantation forest is an example of an artificial ecosystem that is commonly converted from a primary
57 or natural forest (Brockerhoff *et al.*, 2008). Globally, the area of plantations created by seeding and
58 planting has increased by approximately 5 million ha annually from 2005 to 2010 (FAO, 2010). Thus,
59 globally, forest ecosystems are likely to become more vulnerable to storm damage.

60 Several studies suggest that the conversion to plantations (Schelhaas *et al.*, 2003) and silvicultural
61 interventions (Albrecht *et al.*, 2012) have contributed to the spread of windthrow on a regional scale.
62 Reported factors that regulate the vulnerability of forests to strong winds are generally wind

63 characteristics (Nakajima *et al.*, 2009), topography (Kramer *et al.*, 2001), and forest structure (Jalkanen
64 and Mattila, 2000; Mitchell *et al.*, 2001). However, few studies have compared the vulnerability of
65 plantation forests relative to that of natural forests. In addition, the mechanisms by which the above
66 factors (i.e., wind, topography, and forest structure) affect vulnerability to catastrophic winds in both
67 types of forests remain unclear.

68 The windthrow disturbances that occur in plantation forests result in broken and uprooted trees and
69 cause direct economic loss for forest managers (Nieuwenhuis and Fitzpatrick, 2002). They are also
70 known to have many socio-economic impacts through the collapse of timber prices due to the massive
71 influx of windthrown timber to the market (Gardiner *et al.*, 2010). If we understand the impact of
72 conversion to plantations and the process of windthrow under current climate, we will be able to
73 contribute to efficient forest management in the future under altered climate conditions.

74 In this research, we addressed the following two questions by modelling the 2004 windthrow event of
75 Typhoon Songda in northern Japan in *Abies* plantation forests and natural mixed forests: 1) do *Abies*
76 plantation forests and natural mixed forests have different vulnerabilities to catastrophic wind? and 2)
77 how do winds, topography, and forest structure affect the vulnerability to storms of *Abies* plantation
78 forests and natural mixed forests?

79 Based on our interpretation of the results, we propose several management options to minimize
80 catastrophic damage to existing and future plantation forests under altered climate conditions.

81 **Materials and methods**

82 *Study area*

83 On September 8, 2004, the 18th typhoon of the year (Typhoon Songda) hit Hokkaido in northern
84 Japan (annual mean temperature of 8.9°C and annual mean precipitation of 1,107 mm in Sapporo,
85 the prefectural capital), and it disturbed 36,956 ha of forested area (Forest Research Institute in

86 Hokkaido, 2004). We chose 8 study sites affected by the typhoon, including 4 plantation sites and 4
87 natural forest sites (Figure 1, Table 1). These sites were 450 ha or more of plantation or natural
88 forest, and the expectation was that each forest type would show a unique windthrow pattern. The
89 species planted in the plantation sites was *Abies sachalinensis* (F. Schmidt) Mast., which is the major
90 species for silviculture in Hokkaido. In the natural forest sites, the dominant species were *A.*
91 *sachalinensis*, *Tilia japonica* (Miq.) Simonk., and *Quercus crispula* Blume, which are typical species in
92 natural mixed forests in Hokkaido. We targeted forest compartments with steep slopes of more than
93 15° on average to analyse the effect of exposure to wind in mountainous regions. Our intention was
94 to analyse the windthrow mechanisms in mountainous regions with hilltops and valleys; therefore,
95 our study sites covered entire slope angles.

96 *Identification of windthrow patches*

97 Windthrow patches were identified by comparing aerial photos before (1998-2004) and after (2004-
98 2009) Typhoon Songda using stereoscopy. We also used urgent survey data collected by Hokkaido
99 Prefecture in the aftermath of the Songda typhoon to accurately identify the damaged area. We
100 defined windthrow patches as grid cells of 25 m × 25 m with > 80 % canopy loss. Easy Stereo View
101 (PHOTEC Co., Ltd.) was used for stereoscopy, and QGIS2.8.4 (QGIS Development Team, 2015) and
102 ArcMap10.0 (Esri) were used to create shapefiles of windthrow patches.

103 *Preparing the dataset*

104 Six meteorological, topographical, and forest structural variables, i.e., maximum wind speed (m s^{-1}),
105 topographic exposure index (TOPEX, Miller et al. 1987), slope angle ($^{\circ}$), tree density ($n \text{ ha}^{-1}$), broad-
106 leaved tree density ($n \text{ ha}^{-1}$), and stand height (m), were selected and calculated (Table 2, Figure 2) to
107 be tested for a relationship to wind disturbance. These are crucial factors identified by previous studies

108 (Nakajima *et al.*, 2009; Kramer *et al.*, 2001; Mitchell *et al.*, 2001) focused on windthrow risk
109 assessments.

110 The meteorological simulations for Typhoon Songda were conducted by Ito *et al.* (2016) with the use
111 of a regional meteorological model, the Weather Research and Forecasting (WRF) model (Skamarock
112 *et al.* 2008), which was dynamically downscaled for the three two-way nested domains that covered
113 the Japanese islands and surrounding areas in 9-km grid intervals, the Japanese main islands in 3-km
114 grid intervals, and Hokkaido in 1-km grid intervals. Typhoon Songda is considered as a worst-case
115 scenario for wind disasters in Hokkaido (Takemi *et al.*, 2016). In the present study, the WRF model was
116 used to simulate local-scale strong winds due to Typhoon Songda by further downscaling from a 1-km
117 grid domain to local-scale domains in 200-m grid intervals to focus on the current study areas. We
118 applied the two-way nesting technique between the parent (1 km) and child (200 m) domains; hence,
119 simulations were conducted for the four domains from the 9-km grid domain down to the 200-m grid
120 domain. Then, the maximum wind speeds from 0300 UTC on 7 September to 0000 UTC on 9 September
121 were obtained from the time series of the surface wind speeds recorded for each grid cell in the
122 simulation domains.

123 The TOPEX and slope angle were calculated using a digital elevation model with 10-m resolution
124 (Geospatial Information Authority of Japan) by QGIS 2.8.4 (QGIS Development Team, 2015) and GRASS
125 6.4 (GRASS Development Team, 2012). The distance-limited TOPEX is the sum of the elevation angles
126 (above the horizon) or depression angles (below the horizon) at specified intervals on straight lines of
127 length that radiate out from a certain point in 8 directions. A positive TOPEX value indicates a sheltered
128 topography, a value of 0 indicates a flat plain, and a negative value indicates an exposed topography.
129 In our study, we set the straight line as 2000 m and the interval as 100 m based on Lanquaye-Opoku
130 and Mitchell (2005) and Mitchell *et al.* (2001).

131 Data that were first recorded in 2003, the density of all trees, the density of broad-leaved trees only,
132 and stand height given per forest compartment, i.e., management unit, were obtained from a forest
133 inventory, which has been updated annually since by the Hokkaido Forest Management Bureau. For
134 the sites without data, these variables were estimated using the field survey data by the Forest Science
135 Centre for Northern Biosphere in Hokkaido University on representative samples of forest identified
136 by aerial photographs. Forests identified in the aerial photographs were classified into six categories
137 using e-Cognition software (Trimble Inc.): dense, middle, and sparse coniferous forest and dense,
138 middle, and sparse mixed forest. Data from a standard quadrat from any forest category were
139 universally applied to other areas in the same category.

140 Polygons of windthrow areas and forest structures (density of all/broad-leaved trees and stand
141 heights), grid cells of topographic data (TOPEX and slope angle) and maximum wind speeds were
142 divided into 25 m × 25 m cells (Figure 2).

143 Our datasets contained a total of 227,316 grid cells (43,409 in plantation sites + 183,907 in natural
144 mixed forest sites) measuring 25 m × 25 m. In the *Abies* plantation sites, 1,948 cells were defined as
145 “windthrow”, and these were equivalent to 4.49 % of the total *Abies* plantation cells. In the natural
146 mixed forest sites, 1,640 cells were defined as “windthrow”, and they accounted for 0.89 % of the total
147 natural mixed forest cells (Table 2).

148 *Statistical analysis*

149 *Modelling approaches for assessing windthrow risk*

150 Various models accounting for windthrow risk have been developed to facilitate forest management.
151 The approaches are roughly divided into two categories: mechanistic modelling and empirical
152 modelling. Recent progress in the development of mechanistic modelling has primarily occurred in
153 Europe and North America (e.g., DuPont *et al.*, 2015; Gardiner *et al.*, 2008). The advantages of

154 mechanistic modelling include being able to perform universal evaluations without information on real
155 wind-damaged forests because such modelling is based on physical processes (Kamimura et al., 2015;
156 Mitchell and Ruel, 2015). Conversely, some disadvantages of mechanistic modelling have also been
157 noted. For example, it requires information on the material strength of each species obtained by
158 destructive testing and wind condition information based on high-resolution simulations. Therefore,
159 difficulties are observed when targeting forests located in complex topographies, where local
160 simulations of wind conditions are difficult and natural mixed forests present diverse structures and
161 various tree species (DuPont et al., 2015).

162 On the other hand, empirical modelling, which has been widely used for the assessment of windthrow
163 risk, is a suitable approach to examining the relative effects of various factors on windthrow
164 (Bonnesoeur *et al.*, 2013; Kamimura et al., 2015). One of the major empirical models, logistic regression
165 (e.g., Albrecht et al., 2012; Hanewinkel *et al.*, 2014; Valinger and Fridman, 1997, 2011), has been
166 commonly used because it is effective in analysing the factors that influence wind damage, and this
167 modelling process can be performed without choosing a target scale, from a single tree level to a
168 regional level. The weakness of the logistic regression model is, however, that its ability to predict wind
169 damage decreases when there is a complicated nonlinear pattern between the variables. The random
170 forest (RF) (Breiman, 2001) machine learning method is a powerful tool for variable selection, and it is
171 particularly suited to handling prediction problems that include nonlinear relationships between
172 predictor and response variables and complex interactions between variables (Sandri and Zuccolotto,
173 2006; Strobl *et al.*, 2007). RF combines many classification trees to produce more accurate
174 classifications. The by-products of the RF calculations include measures of variable importance and
175 similarity among data points that may be used for clustering, multidimensional scaling, graphical
176 representation, and missing value imputation (Cutler *et al.*, 2007). This method permits the
177 development of a flexible model with high-dimensional interactions among explanatory variables,
178 nonlinear responses and high prediction performance without overfitting. Ecological applications of RF

179 have shown its effectiveness on habitat analysis (Garzón *et al.*, 2006; Prasad *et al.*, 2006) and
180 windthrow risk assessment (Seidl *et al.*, 2011).

181 We used empirical modelling to pursue our objectives, i.e., identifying the factors that cause wind
182 damage in natural mixed forests with various tree species and in *Abies* plantations in complex
183 topographies where precise wind conditions are hard to simulate. Then, we selected RF to model the
184 windthrow probability based on our dataset, which includes many variables with possibly complex
185 nonlinear relationships.

186 *Windthrow modelling by RF and model validation*

187 We generated a subsample to avoid overfitting the model to large forest compartments by applying
188 the RF method to model windthrow occurrence. First, we removed forest compartments with less than
189 30 grid cells. Next, we generated a subsample from the data and maintained a virtually identical
190 windthrow ratio (number of windthrow cells / total number of cells) in each forest compartment.

191 The subsequent windthrow model used the resultant subsample ($n = 46,950$ grid cells). The forest type
192 (plantation or natural) and study sites (as a nominal variable, $n = 8$) were incorporated into the model
193 along with six continuous variables (maximum wind speed, TOPEX, slope angle, density of all trees,
194 density of broad-leaved trees, and tree height). The plot matrix of the explanatory variables area is
195 shown in Figure S1. As hyperparameters (i.e., parameters of model construction) of RF, *ntree* (the
196 number of decision trees to grow) was set to 500 and *mtry* (the number of variables randomly sampled
197 as candidates at each split) was set to 3. The variable importance was evaluated as the mean decrease
198 in accuracy after permutations of each variable. The variables with higher “mean decrease in accuracy”
199 values are more important for the classification by RF. When implementing RF models and calculating
200 the importance of explanatory variables, variable selection is biased in favour of explanatory variables,
201 with more potential cutpoints (Strobl *et al.*, 2009). To avoid this variable selection bias, the *cforest*

202 function in the *party* package (Hothorn et al., 2006; Strobl *et al.*, 2008; Strobl et al., 2007) of R was
203 used in the RF model. We also represented partial dependence plots (Friedman 2001) for six
204 continuous variables that showed the dependence of the probability of occurrence on one predictor
205 variable after averaging out the effects of the other predictor variables in the model. We depicted
206 them for plantation and natural mixed forest separately as the calculated result of the 2-way marginal
207 effect of windthrow prediction by RF.

208 A 10-fold cross-validation was conducted, and several model performance indices were calculated by
209 the R *cv.models* package (Oguro 2016). A threshold value of windthrow occurrence was determined
210 with the *coords* function in the R *pROC* package (Robin *et al.*, 2011). This threshold is based on Youden's
211 J statistics (sensitivity + specificity - 1; Youden, 1950) and divides windthrow occurrence by non-
212 occurrence. The performance indices were accuracy, sensitivity, specificity, positive predictive value,
213 negative predictive value, Kappa, mean squared sensitivity error, informedness (as Youden's J
214 statistics; Powers, 2011), the Matthews correlation coefficient (MCC; Matthews, 1975), and AUC (area
215 under the curve) of the receiver operating characteristic (ROC; Swets, 1973). True positive represents
216 a case where both the actual and predicted values are positive. False positive represents a case where
217 the actual value is negative, but the prediction is positive. False negative represents a case where the
218 actual value is positive but the prediction is negative. True negative represents a case where both the
219 actual and predicted values are negative. These performance indices were then compared to indices
220 from previous studies.

221 The analyses were conducted with R version 3.4.1 (R Core Team, 2017).

222 **Results**

223 *Modelling and validation of windthrow probability*

224 Most of the model performance indices (accuracy = 0.88, sensitivity = 0.84, specificity = 0.88, positive

225 predictive value = 0.11, negative predictive value = 0.997, Kappa = 0.17, informedness = 0.72, MCC =
226 0.28, and AUC = 0.93) were reasonably high compared with that of previous studies (Table S1).

227 *Prediction of windthrow probability*

228 Figure 3 shows the importance of the predictor variables from RF classifications used for
229 predicting windthrow. Conspicuously significant variables related to windthrow were the
230 study site and stand height, followed by the maximum wind speed, tree density, and forest
231 type. The influence of slope angle, broad-leaved tree density, and TOPEX were smaller than
232 that of other factors.

233 Figure 4 (a)-(f) shows the partial dependence plots for continuous predictor variables for RF
234 predictions of the windthrow occurrence in plantations and natural mixed forests. In most of
235 the domain, the windthrow probability of plantations was higher than that of natural mixed
236 forests at the same value of each explanatory variable. In plantations, the windthrow
237 probability monotonically increased with increasing maximum wind speed and tree density
238 but monotonically decreased with increasing TOPEX, slope angle, and broad-leaved tree
239 density. Stand height showed a high probability of windthrow in the range from 8 m to 18 m.
240 The behaviours of partial plots in the plantations for most variables except wind speed and
241 broad-leaved tree density were nearly consistent with that of the natural mixed forests.

242 **Discussion**

243 *Abies* plantations showed consistently higher windthrow ratios than natural mixed forests
244 under all conditions (Figure 4), which confirms that *Abies* plantations are more vulnerable to
245 catastrophic winds than natural mixed forests. However, the effects of most factors on

246 windthrow were not different between the *Abies* plantations and natural mixed forests,
247 indicating that these factors influence the risk of wind damage similarly in both types of forest
248 (Figure 4).

249 The stand height and density of all trees, which are components of the forest structure, were
250 major influential factors for wind damage along with maximum wind speed (Figure 3),
251 suggesting that the windthrow probability is highly dependent on the behaviour of wind inside
252 the forests. In general, the greatest differences in forest structure between plantations and
253 natural forests are the age and size distribution of trees and the presence of previous gaps
254 created in the canopy cover. After reviewing 119 reports on wind damage, Everham and
255 Brokaw (1996) noted that even-aged stands generally had greater damage than uneven-aged
256 stands and uneven-aged stands were often older, composed of species mixes, and often of
257 natural rather than planted origin (Mitchell, 2013). The vulnerability of plantations to
258 catastrophic winds appeared to be due to their even-aged size structure (Everham and Brokaw,
259 1996) according to the authors' insights. Based on empirical data from silvicultural
260 experiments, Pukkala et al. (2016) analysed the probability of wind damage to the inner
261 portions of stands that had experienced several storm events. They suggested that stand
262 structures with a range of tree sizes can decrease the probability of windthrow because they
263 decrease wind speed in the inner parts of stands. Previous gaps created by thinning also affect
264 damage susceptibility. Gardiner's experiments (1997) on the effects of different thinning
265 patterns on the subsequent stability of trees showed that the risk of destabilization increases
266 significantly with gap size because the loading on the exposed trees is increased with gap size.

267 Accordingly, plantations with even-sized structures and thinning gaps enable strong winds to
268 enter and pass through the forests, which might easily cause swaying and overturning of trees
269 (Schütz *et al.*, 2006). Our data on the behaviour of windthrow probability in relation to stand
270 height and tree density also support this finding. *Abies* plantations in the range from ca. 8 to
271 18 m stand height or higher densities (> 1,200/ha), which are at high risk of windthrow (Figure
272 4 (d) (f)), generally comprise a single canopy and are at stand ages that experience occasional
273 thinning operations (Abe, 1989). The even-sized structure of *Abies* plantations with thinning
274 gaps might allow strong winds to penetrate the forest without losing speed, therefore leading
275 to high windthrow probability.

276 The slope angle and TOPEX, which are topographic factors, had limited effects on wind
277 damage in our study (Figure 3), although previous studies have shown how wind direction and
278 topography interact to determine fine-scale variability in the location of damage (Foster and
279 Boose, 1992; Mitchell, 2013). When the valley line and wind direction are parallel, the wind
280 converges along the terrain and damage occurs along the valley floor (Ruel *et al.*, 1998). When
281 the wind direction is perpendicular to the valley line, the windthrow occurs on the ridge since
282 valley floors are sheltered (Everham and Brokaw, 1996). A higher probability of windthrow in
283 locations with a gentle slope angle and exposed topography (Figure 4 (b) (c)) mean that the
284 forests on the ridges were highly disturbed in our case. Therefore, if plantations on ridges have
285 the highest risk of windthrow, it may be possible to reduce risk by selecting mountain hillsides
286 for planting.

287 A possible explanation for the study site being the most influential factor on windthrow is that
288 the wind direction, soil type, and disturbance history are unique to each site. Another possible

289 reason is the biased distribution of the natural mixed forest study sites towards the west
290 (Figure 1), which was inevitable because natural forests that meet the study conditions are
291 primarily distributed in the western part of Hokkaido and are not uniformly distributed.
292 Additional efforts to mitigate the effect of the biased distribution of study sites, such as
293 targeting other typhoon events that took different paths or further developing the analysis
294 method, will be necessary for more universal modelling in all regions.

295 *Implications for management*

296 The importance of stand structure in windthrow vulnerability demonstrates the importance
297 of appropriate forest management even in mountainous areas. We might decrease the risk of
298 windthrow by refraining from generating large gaps, performing thinning and increasing the
299 structural complexity of plantations. Technical developments making those management
300 options possible are needed. Given the situation in Japan, where forestry labour is declining
301 and plantation forests are difficult to manage (Kawasaki, 2016), reconversion of plantations to
302 a more natural forest structure is an option for forest management. The plantations in
303 locations with high windthrow risk should be prioritized in the future for natural forest
304 restoration from the viewpoint of efficient forest management because the risk of extreme
305 typhoons is expected to increase throughout this century (Yoshida et al, 2017). Our model is
306 based on the effects of only one typhoon in a relatively small area, thus limiting its applicability
307 to other situations. The relationships between windthrow occurrences and their explanatory
308 variables are complex and differ in response to numerous factors, including typhoon tracks,
309 wind direction against slopes, and forest types. Therefore, additional case studies should be

310 performed to better understand the trends in climate-change effects on windthrow risk in
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324 **Conflict of interest statement**

325 No conflicts of interest are declared.

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478 **Table and figure captions**

479 **Figure 1** Typhoon track (left) and study site locations (right). Hokkaido is the area enclosed by
480 a dotted line, which includes plantation forest sites (□) and natural forest sites (■).

481 **Figure 2** Preparing the dataset.

482 **Figure 3** Variable importance plots for predictor variables from random forest (RF)
483 classifications for predicting windthrow. Abbreviations: Forest type, artificial plantation or
484 natural forest.

485 **Figure 4** Partial dependence plots for selected predictor variables for random forest (RF)
486 predictions of the windthrow occurrence. (a) Maximum wind speed (m s^{-1}), (b) TOPEX, (c) slope
487 angle ($^{\circ}$), (d) tree density ($n \text{ ha}^{-1}$), (e) broad-leaved tree density ($n \text{ ha}^{-1}$), and (f) stand height
488 (m). Each plot is drawn only in a range or ranges of the subsample used for modelling.

489 **Table 1** Annual mean temperature, precipitation, and soil type in each site (statistics from
490 1988 to 2010).

491 **Table 2** Properties of the study sites.

492 **Supplementary Table**

493 **Table S1** Model performance indices of the present study and previous studies. No.0 is the
494 reference case that all samples are correctly estimated by a model.

495 **Supplementary Figure**

496 **Figure S1** Plot matrix of the eight explanatory variables. The figure was created with the
497 *ggpairs* function of *ggplot2* package (Wickham, 2009) in R. Colours (magenta or cyan)
498 represent the forest types (a natural forest or an artificial plantation). Numbers in the right
499 triangular matrix represent the Pearson's correlation coefficient. The density plot (for a
500 numerical variable) or ratio (for a categorical variable) of each variable is shown on the
501 diagonal. Either a histogram or a scatterplot and linear regression line are shown below the
502 diagonal for each variable pair. Abbreviations: w_max, maximum wind speed (m s^{-1}); topex,
503 TOPEX; slope, slope angle ($^{\circ}$); density, tree density ($n \text{ ha}^{-1}$); bl_dens, broad-leaved tree density
504 ($n \text{ ha}^{-1}$); height, stand height (m); Forest_type, artificial plantation or natural forest; region,
505 study sites.

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507 **Table 1** Annual mean temperature, precipitation, and soil type in each site (statistics from
 508 1988 to 2010).

Forest type	Study site		Annual mean temperature (° C)	Annual mean precipitation (mm)	Soil type
Plantation forests	P1	Ohmu	5.7	865	brown forest soil
	P2	Bifuka	5.5	1,143	
	P3	Niseko	7.6	1,203	brown forest soil/andosol
	P4	Hakodate	8.4	1,448	
Natural forests	N1	Nakagawa	5.5	1,225	brown forest soil
	N2	Abashiri	4.8	702	
	N3	Tsubetsu	5.9	790	andosol
	N4	Tokachi	3.7	1,315	

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512 **Table 2** Properties of the study sites.

Study site	Total number of grid cells	Percentage of grid cells of windthrow (%)	WIND			TOPEX			Slope			Density			BL_Density			Height		
			mean	min	max	mean	min	max	mean	min	max	mean	min	max	mean	min	max	mean	min	max
P1	9,058	2.8	28	14	44	64	-18	179	19	2	48	538	0	2240	46	0	700	11	4	22
P2	13,635	1.0	25	16	44	43	-29	140	17	1	46	531	60	2100	108	0	1000	11	4	21
P3	9,742	10.4	34	22	48	75	-5	174	21	3	48	559	100	2450	69	0	850	10	3	21
P4	7,218	5.5	36	22	53	79	-16	214	23	2	56	887	110	2880	10	0	600	10	4	21
N1	42,059	0.1	31	17	51	65	-47	203	21	0	54	244	0	323	0	0	1	20	0	22
N2	11,171	0.4	36	17	66	78	-69	276	22	1	58	1256	0	1732	675	0	1011	21	0	23
N3	67,953	1.0	23	10	43	63	-47	227	20	0	57	629	0	1800	300	0	1000	16	14	18
N4	39,667	2.1	29	11	67	89	-72	208	23	0	60	1681	0	6600	862	0	3800	13	0	17

WIND, maximum wind speed (m s^{-1}); TOPEX, topographic exposure index; Slope, slope angle ($^{\circ}$); Density, tree density ($n \text{ ha}^{-1}$); BL_density, broad-leaved tree density ($n \text{ ha}^{-1}$); Height, stand height (m)

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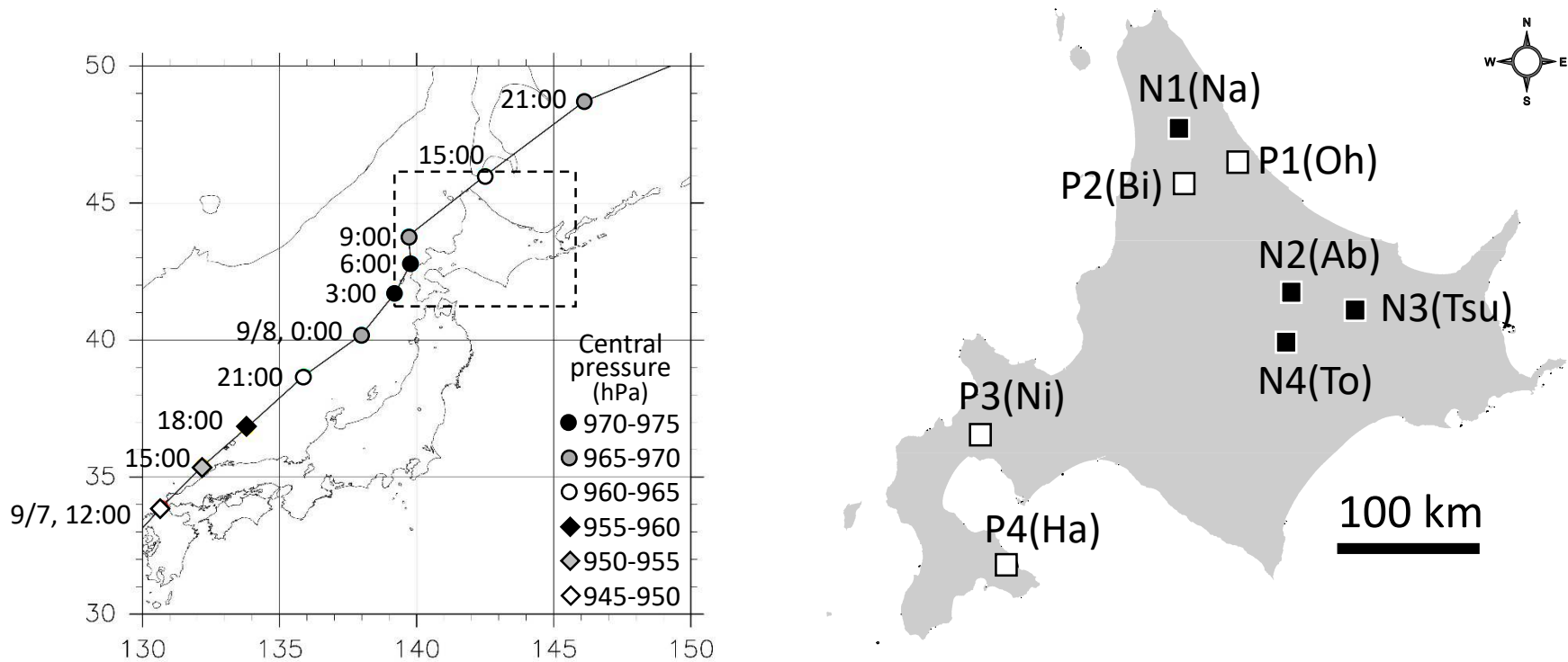


Fig. 1 – Typhoon track (left) and study site locations (right). Hokkaido is the area enclosed by a dotted line, which includes plantation forest sites (□) and natural forest sites (■).

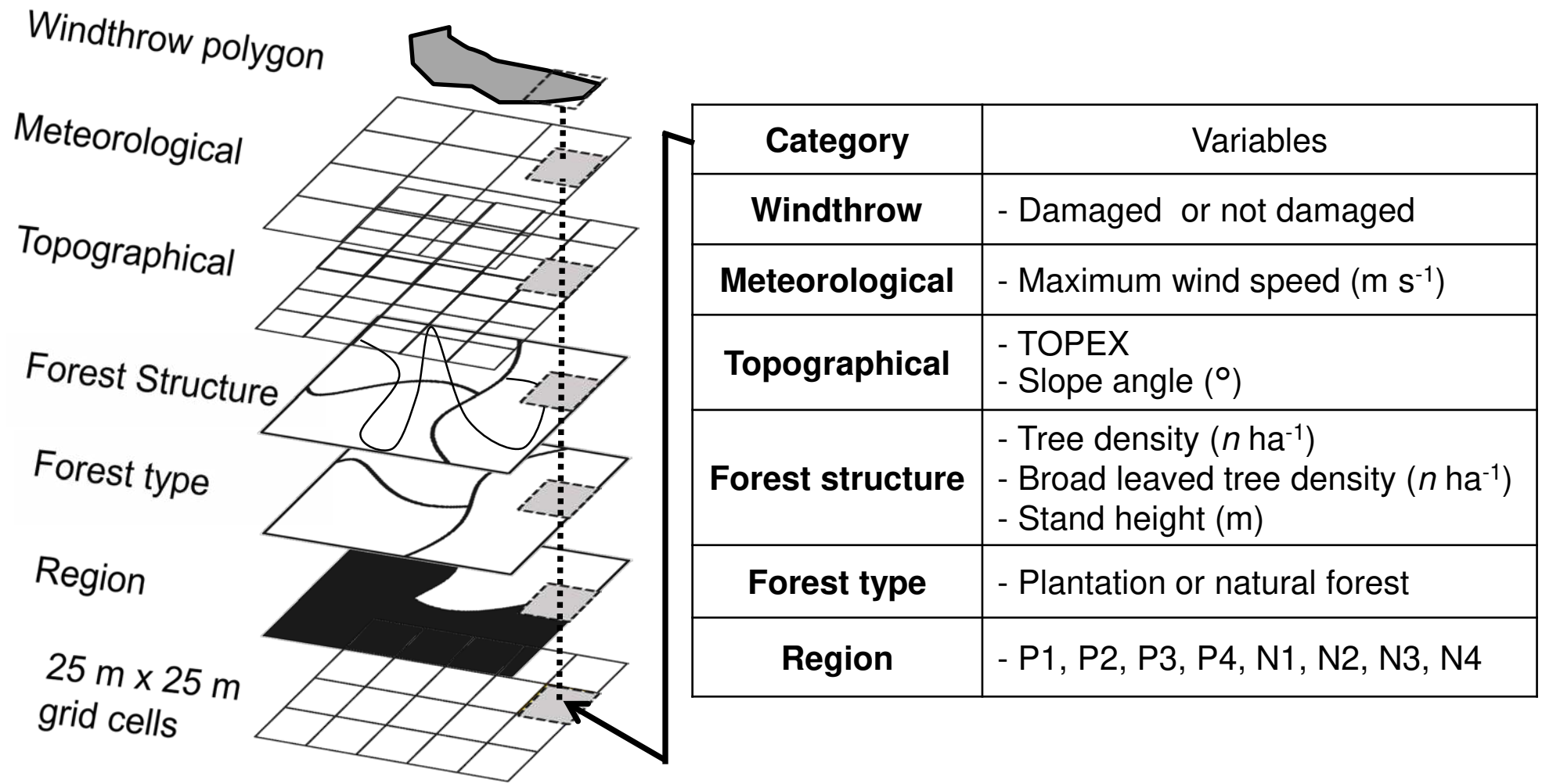


Fig. 2– Preparing the dataset

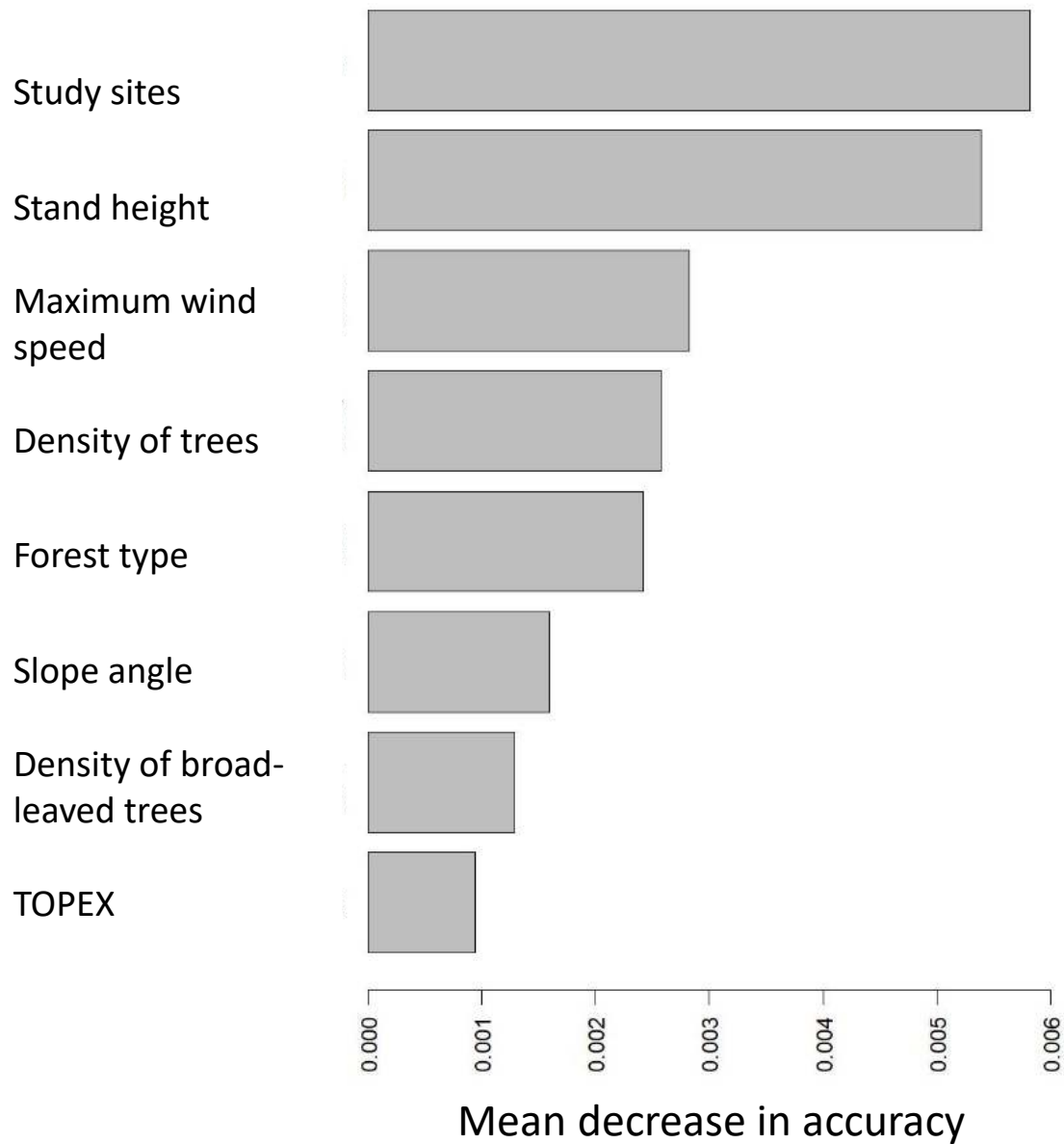


Fig. 3 - Variable importance plots for predictor variables from random forest (RF) classifications for predicting windthrow. Abbreviations: Forest type, artificial plantation or natural forest.

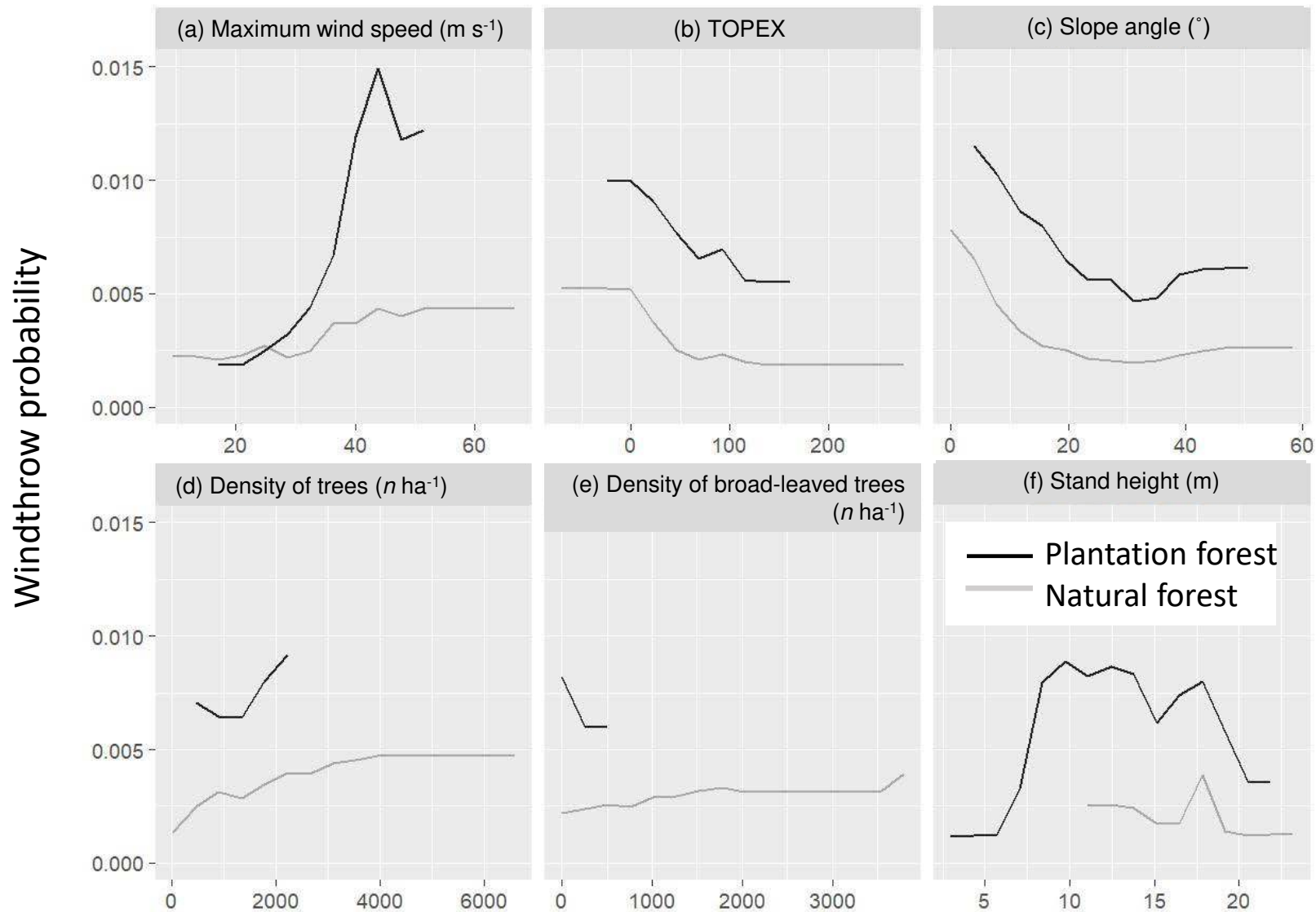


Fig. 4 - Partial dependence plots for selected predictor variables for random forest predictions of the windthrow occurrence. (a) maximum wind speed ($m s^{-1}$), (b) TOPEX, (c) slope angle ($^{\circ}$), (d) density of trees ($n ha^{-1}$), (e) density of broad-leaved trees ($n ha^{-1}$), and (f) stand height (m). Each plot is drawn only in a range or ranges of the subsample, which was used for modeling.

Table S1 : Model performance indices of the present study and previous studies. No.0 is the reference case that all samples are correctly estimated by a model.

No.	Literature	Modeling method	Cross validation (CV) method	Total N	True positive (a)	False positive (b)	False negative (c)	True negative (d)	Prevalence = (a+c)/N	Cutoff value	Model performance metrics									
											Correct classification (accuracy) = (a+d)/N	Sensitivity = a/(a+c)	Specificity = d/(b+d)	Positive Predictive Value (PPV) = a/(a+b)	Negative Predictive Value (NPV) = d/(c+d)	Kappa	Mean squared error (MSE) = $0.5 \cdot (1 - \text{Sensitivity})^2 + (1 - \text{Specificity})^2$	Informedness = Sensitivity + Specificity - 1	Matthews correlation coefficient (MCC)	Areas under the curve (AUC) of ROC
0	The reference			100	50	0	0	50	0.50		1.00	1.00	1.00	1.00	1.00	1.00	0.00	1.00	1.00	
1	The present study	Random forest	10-fold CV	46950	672	5489	128	40661	0.02	0.03	0.88	0.84	0.88	0.11	0.997	0.17	0.02	0.72	0.28	0.93
2			Temporary plots from the same county	429	12	298	3	116	0.03		0.30	0.80	0.28	0.04	0.97	0.01	0.28	0.08	0.03	
3			Permanent plots from the county of Kalmar	138	3	42	4	89	0.05		0.67	0.43	0.68	0.07	0.96	0.03	0.21	0.11	0.05	
4	Valinger & Fridman (1997)	Stepwise logistic regression with logit function	Temporary plots from the same county	429	8	110	7	304	0.03	0.025	0.73	0.53	0.73	0.07	0.98	0.06	0.14	0.27	0.11	
5			Permanent plots from the county of Kalmar	138	7	131	0	0	0.05		0.05	1.00	0.00	0.05	NA	0.00	0.50	0.00	NA	
6			Temporary plots from the same county	429	9	139	6	275	0.03		0.66	0.60	0.66	0.06	0.98	0.05	0.14	0.26	0.10	
7			Permanent plots from the county of Kalmar	138	5	52	2	79	0.05		0.61	0.71	0.60	0.09	0.98	0.07	0.12	0.32	0.14	
8			Mitchell et al. (2001) <i>Forest</i>	Logistic regression	60% train 40% test	1200	145	194	123		738	0.22		0.74	0.54	0.79	0.43	0.86	0.30	0.13
9					95	207	77	821	0.14		0.76	0.55	0.80	0.31	0.91	0.27	0.12	0.35	0.28	
10	Kramer et al. (2001)	Spatially explicit logistic regression	Zarebo Island (external validation)							0.21	0.72									0.44
11	Dobbertin (2002)	Classification and regression trees (CART)	Storm Lothar	422	27	18	129	248	0.37		0.65	0.17	0.93	0.60	0.66	0.12	0.34	0.11	0.16	
12			Storm Lothar	422	26	15	130	251	0.37		0.66	0.17	0.94	0.63	0.66	0.13	0.35	0.11	0.18	
13			Storm Vivian	409	27	62	41	279	0.17		0.75	0.40	0.82	0.30	0.87	0.19	0.20	0.22	0.19	
14			Storm Vivian	409	34	88	34	253	0.17		0.70	0.50	0.74	0.28	0.88	0.18	0.16	0.24	0.20	
15										0.79										
16	Lindemann & Baker (2002)	Classification and regression trees (CART)									0.83									
17												0.85								
18		Stepwise logistic regression									0.81									
19	Peterson (2004)	Computer simulation based on coefficients from logistic regression	Test subplots or trees in five sites	3180 trees							0.68-0.90									
20		Newral network							0.29	0.50	~0.75	~0.85	>0.40			0.19	~0.25			
21	Hanewinkel et al. (2004)	Newral network	4-fold CV	1600 stands							0.75	0.89	0.39			0.19	0.29			
22											0.19	<0.80	~0.90	~0.30		0.25	~0.20			
23											0.81	0.96	0.18			0.34	0.14			
24											0.40	0.76	0.81	0.82		0.03	0.63			
25	Hanewinkel (2005)	Newral network	4-fold CV	149 divisions							0.70	0.50	0.86			0.14	0.36			
26											0.23	0.73	0.67	0.75		0.09	0.42			
27											0.84	0.67	0.93			0.06	0.60			
28	Scott & Mitchell (2005) <i>Forest</i>	Stepwise logistic regression	2-fold CV	1215 trees from 234 plots					0.60	0.20	0.72									
29											0.74									
32	Schindler et al. (2009)	Weights of evidence (WofE)																		0.78
33		Multivariate logistic regression	338 training points from the 617 wind-damaged areas																	0.79
34	Schindler et al. (2012)	Weights of evidence (WofE)																		0.73
35	Bonnesoeur et al. (2013)	Generalized linear mixed models (GLMM)	Leave-one-out cross validation	384 trees from 51 plots																0.69-0.73
36		Weibull-based model																		0.62-0.71
37	Pasztor et al. (2015)	Binomial generalized linear mixed model (GLMM)									0.90	0.26	0.95							

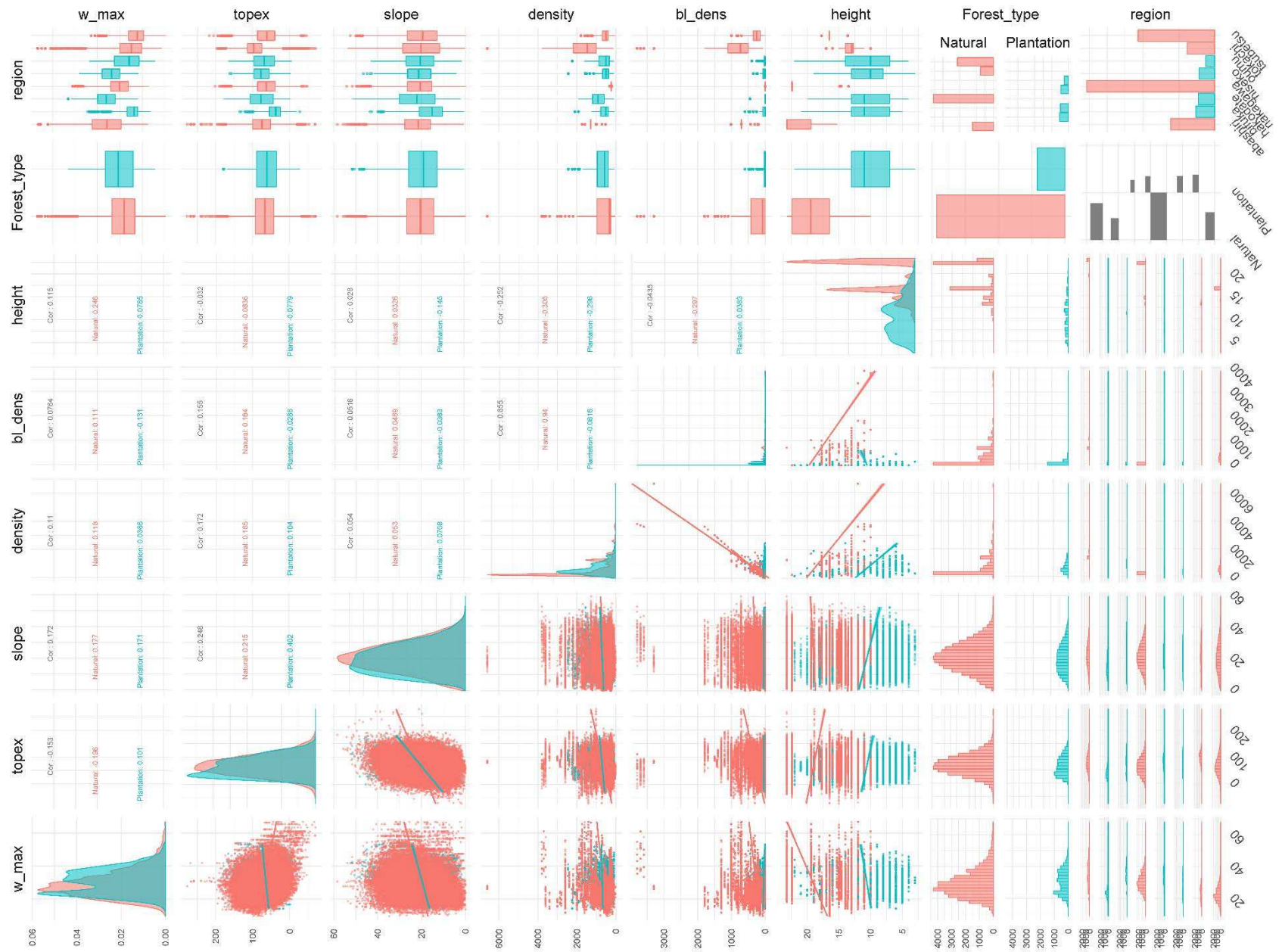


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