

## COMPLETELY REDUCIBLE OPERATORS THAT COMMUTE WITH COMPACT OPERATORS

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**ABSTRACT.** It is shown that if  $T$  is a completely reducible operator on a Banach space and  $TK = KT$ , where  $K$  is an injective compact operator with a dense range, then  $T$  is a scalar type spectral operator. Other related results are also obtained.

Let  $\mathcal{A}$  be an algebra of bounded linear operators on a Banach space  $X$ . Let  $\text{lat } \mathcal{A}$  be the lattice of (closed) invariant subspaces of  $\mathcal{A}$ . We say that  $\mathcal{A}$  is *completely reducible* if for every  $M \in \text{lat } \mathcal{A}$  there is  $N \in \text{lat } \mathcal{A}$  with  $M \dot{+} N = X$  (that is,  $M \cap N = 0$  and the algebraic sum  $M + N$  coincides with  $X$ ). An operator  $T$  is *completely reducible* if the algebra generated by  $T$  is. It is unknown whether a weakly closed unital completely reducible algebra must be reflexive; that is, must contain every operator which leaves invariant its invariant subspaces. Some partial solutions of this problem can be found in [1, 6, 7].

In this paper we show that every completely reducible operator commuting with an injective compact operator with a dense range is a scalar type spectral operator. In particular, the weakly closed unital algebra generated by such an operator must be reflexive. This result seems to be unknown even for operators on a Hilbert space. Also, we show that every compact completely reducible operator must be a scalar type spectral operator. This answers a question raised by E. Azoff and A. Lubin (see the last page of [1]) and, independently, by V. Lomonosov. Finally, our result generalizes the results of Loginov and Šul'man [2] and Rosenthal [5] on reductive Hilbert space operators that commute with compact operators.

The following theorem is the central result of the author's paper [4], where it was stated in a slightly different form:

**THEOREM 1.** *Let  $\mathcal{A}$  be a commutative operator algebra on a Banach space  $X$ . If the commutant of  $\mathcal{A}$  is completely reducible and the ranges of compact operators in  $\mathcal{A}$  span  $X$ , then every operator in  $\mathcal{A}$  is a scalar type spectral operator. If, in addition,  $\mathcal{A}$  is a weakly closed unital completely reducible algebra, then  $\mathcal{A}$  is generated, as a uniformly closed algebra, by a complete totally atomic Boolean algebra of projections. Moreover,  $\mathcal{A}$  is reflexive and admits spectral synthesis (i.e., every invariant subspace of  $\mathcal{A}$  is spanned by its one-dimensional invariant subspaces).*

Thus, in order to prove the result described above, it suffices to show that if  $\mathcal{A}$  is a commutative completely reducible algebra which has enough hyperinvariant subspaces, then the commutant of  $\mathcal{A}$  is also completely reducible. This will be done in Theorem 8 below. The above result then follows easily, a sufficient supply of

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hyperinvariant subspaces being provided by Lomonsov’s theorem. It can be shown, by a slight variation of the proof of Theorem 8, that the word “hyperinvariant” in its statement can be replaced with “invariant.”

Let us introduce some definitions and notation. For Banach spaces  $X$  and  $Y$ ,  $\mathcal{L}(X, Y)$  denotes the collection of all bounded linear operators from  $X$  to  $Y$ ;  $\mathcal{L}(X, X)$  is denoted by  $\mathcal{L}(X)$ .  $X^*$  means the conjugate space of the Banach space  $X$ . For  $M \subseteq X$ ,  $M^\perp$  is an annihilator of  $M$  in  $X^*$ . An operator  $E$  in  $\mathcal{L}(X)$  is a projection if  $E^2 = E$ . If  $E$  and  $F$  are projections, we write  $E \leq F$  provided  $EF = FE = E$ . Clearly,  $E \leq F$  if and only if  $E(X) \subseteq F(X)$  and  $\text{Ker } E \supseteq \text{Ker } F$ . If  $E$  is a projection, we write  $E^\perp$  for  $I - E$ . If  $\mathcal{A}$  is a subalgebra of  $\mathcal{L}(X)$ , then  $\mathcal{A}'$  denotes the commutant of  $\mathcal{A}$ ; that is, the set of all operators in  $\mathcal{L}(X)$  that commute with every operator in  $\mathcal{A}$ . Hyperinvariant subspaces of  $\mathcal{A}$  are those invariant for  $\mathcal{A}'$ . We will write  $\mathcal{P}(\mathcal{A})$  for the family of all projections in  $\mathcal{A}'$ , and  $\mathcal{P}_0(\mathcal{A})$  for the set of those projections in  $\mathcal{P}(\mathcal{A})$  whose range is hyperinvariant for  $\mathcal{A}$ . Finally, for  $E$  in  $\mathcal{P}(\mathcal{A})$ , we define  $\text{int}_E \mathcal{A}$  as the set of all  $T \in \mathcal{L}(E^\perp(X), E(X))$  such that

$$EAET = TE^\perp AE^\perp \quad \text{for each } A \in \mathcal{A}$$

or, equivalently,

$$(A|E(X))T = T(A|E^\perp(X)) \quad \text{for each } A \in \mathcal{A}.$$

Clearly, an operator algebra  $\mathcal{A}$  is completely reducible if and only if for every  $M$  in  $\text{lat } \mathcal{A}$  there is a projection in  $\mathcal{P}(\mathcal{A})$  with range  $M$ . Note also that for  $\mathcal{A}$  completely reducible and  $M$  in  $\text{lat } \mathcal{A}$ , the restriction of  $\mathcal{A}$  to  $M$ ,  $\mathcal{A}|M$ , is also completely reducible.

We shall need some very elementary lemmas. The first is well known.

LEMMA 2. *Let  $X$  be a Banach space and let  $X_1$  and  $X_2$  be subspaces of  $X$  with  $X_1 \dot{+} X_2 = X$ . Then  $X$  is isomorphic to the exterior direct sum  $X_1 \oplus X_2$  defined as a vector space of ordered pairs  $(x_1, x_2)$ ,  $x_i \in X_i$ , endowed with the norm  $\|(x_1, x_2)\| = \|x_1\| + \|x_2\|$ .*

LEMMA 3. *Let  $\mathcal{A}$  be a subalgebra of  $\mathcal{L}(X)$  and  $E \in \mathcal{P}(\mathcal{A})$ . Then  $E^\perp(X)$  is in  $\text{lat } \mathcal{A}'$  if and only if  $\text{int}_E \mathcal{A} = 0$ .*

PROOF. Suppose  $E^\perp(X) \in \text{lat } \mathcal{A}'$ . For each  $T \in \text{int}_E \mathcal{A}$ ,  $ETE^\perp$  is in  $\mathcal{A}'$ , so that  $ETE^\perp = 0$  and  $T = 0$ . Conversely, for each  $B \in \mathcal{A}'$ ,  $EBE^\perp|E^\perp(X)$  is in  $\text{int}_E \mathcal{A}$ , and  $\text{int}_E \mathcal{A} = 0$  implies  $EBE^\perp = 0$ , so that  $E^\perp(X)$  is invariant under  $B$ .

LEMMA 4. *Let  $\mathcal{A}$  be a subalgebra of  $\mathcal{L}(X)$  and  $F \in \mathcal{P}_0(\mathcal{A})$ . Let  $X_1 = F(X)$  and  $X_2 = \text{Ker } F$ .*

- (i) *For each  $M \in \text{lat } \mathcal{A}'$ ,  $F(M) \in \text{lat } \mathcal{A}'$ .*
- (ii) *A subspace  $Y$  which contains  $X_1$  belongs to  $\text{lat } \mathcal{A}'$  if and only if  $Y = X_1 \dot{+} Y_1$ , where  $Y_1 \subseteq X_2$  and  $Y_1 \in \text{lat}(\mathcal{A}|X_2)'$ .*

PROOF. (i) For each  $B \in \mathcal{A}'$ ,  $BF(M) \subseteq F(X)$ , since  $F(X)$  is hyperinvariant, and  $BF(M) \subseteq M$ , since  $M$  is hyperinvariant and  $F \in \mathcal{A}'$ . Hence,  $BF(M) \subseteq F(X) \cap M = F(M)$ .

(ii) Let  $Y \supseteq X_1$  and  $Y \in \text{lat } \mathcal{A}'$ . In particular,  $Y$  is invariant under  $F$ , so that  $Y = X_1 \dot{+} Y_1$  for some  $Y_1 \subseteq X_2$ . For each  $C \in (\mathcal{A}|X_2)'$ ,  $F^\perp CF^\perp \in \mathcal{A}'$ , and  $C(Y_1) = F^\perp CF^\perp(X_1 \dot{+} Y_1) \subseteq F^\perp(Y) = Y_1$ ; that is,  $Y_1 \in \text{lat}(\mathcal{A}|X_2)'$ . Conversely,

if  $Y_1 \in \text{lat}(\mathcal{A}|X_2)'$  and  $B \in \mathcal{A}'$ , then  $F^\perp B F^\perp|X_2$  is in  $(\mathcal{A}|X_2)'$  and  $F^\perp B F = 0$ . It follows that  $B(Y) = (F B + F^\perp B F^\perp)(Y) \subseteq X_1 \dot{+} Y_1 = Y$ .

The following two lemmas will enable us to reduce the proof of the main result to the case when the completely reducible commutative algebra has no nonzero finite-dimensional invariant subspaces.

LEMMA 5. *Let  $\mathcal{A} \subseteq \mathcal{L}(X)$  be a completely reducible algebra such that the one-dimensional subspaces in  $\text{lat } \mathcal{A}$  span  $X$ . Then  $\mathcal{A}'$  is completely reducible.*

PROOF. Clearly,  $\mathcal{A}$  is commutative. We claim that  $\mathcal{A}$  admits spectral synthesis. Indeed, let  $M \in \text{lat } \mathcal{A}$ . Then there exists  $F \in \mathcal{P}(\mathcal{A})$  such that  $E(X) = M$ . Since  $E$  transforms every one-dimensional invariant subspace of  $\mathcal{A}$  into an invariant subspace of  $\mathcal{A}$  of dimension no greater than one,  $E(X)$  is spanned by one-dimensional elements of  $\text{lat } \mathcal{A}$ .

Now suppose  $X_1 \in \text{lat } \mathcal{A}'$ . Since  $\mathcal{A}' \supseteq \mathcal{A}$ ,  $X_1$  is also in  $\text{lat } \mathcal{A}$ , and one can find  $X_2 \in \text{lat } \mathcal{A}$  with  $X_1 \dot{+} X_2 = X$ . We will show that  $X_2$  is also in  $\text{lat } \mathcal{A}'$ . Suppose not. Denote by  $E$  the projection onto  $X_1$  along  $X_2$ . Then, by Lemma 3,  $\text{int}_E \mathcal{A} \neq 0$ , and, by our claim above, there exist such  $T \in \text{int}_E \mathcal{A}$  and one-dimensional  $N \in \text{lat}(\mathcal{A}|X_2)$  such that  $M = T(N) \neq 0$ . It is very easy to see that  $M \in \text{lat } \mathcal{A}$  and the algebra  $\mathcal{A}|(M \dot{+} N)$  consists only of multiples of the identity. Denote by  $S$  an operator which maps  $M$  into  $N$  and is identically zero on some invariant complement to  $M \dot{+} N$ . Then  $S \in \mathcal{A}'$ , but  $X_1$  is not invariant for  $S$ , a contradiction.

LEMMA 6. *Let  $\mathcal{A} \subseteq \mathcal{L}(X)$  be a completely reducible algebra. Suppose  $X_1$  is spanned by all one-dimensional subspaces in  $\text{lat } \mathcal{A}$  and  $X_2$  is in  $\text{lat } \mathcal{A}$  with  $X_1 \dot{+} X_2 = X$ . Then both  $X_1$  and  $X_2$  are in  $\text{lat } \mathcal{A}'$ .*

PROOF. Obviously,  $X_1$  lies in  $\text{lat } \mathcal{A}'$ . Suppose  $X_2$  does not. Then, denoting by  $E$  a projection onto  $X_1$  along  $X_2$ , we conclude that  $\text{int}_E \mathcal{A} \neq 0$ . Choose nonzero  $T \in \text{int}_E \mathcal{A}$ . Since  $\text{cl } T(X_2) \in \text{lat}(\mathcal{A}|X_1)$  and, as has been noted in the proof of the previous lemma,  $\mathcal{A}|X_1$  admits spectral synthesis, we can find a one-dimensional  $P \in \mathcal{P}(\mathcal{A}|X_1)$  such that  $PT \neq 0$ . However,  $PT \in \text{int}_E \mathcal{A}$ , so that  $\text{Ker } PT \in \text{lat}(\mathcal{A}|X_2)$ . On the other hand,  $\text{codim } \text{Ker } PT = 1$  and, since  $\mathcal{A}|X_2$  is completely reducible,  $\mathcal{A}|X_2$  has a one-dimensional invariant subspace, which contradicts the definition of  $X_1$  and therefore completes the proof.

LEMMA 7. *Suppose  $\mathcal{A} \subseteq \mathcal{L}(X)$  is a commutative completely reducible algebra which has the following property: for every nonzero  $M \in \text{lat } \mathcal{A}'$ , there is  $N \in \text{lat } \mathcal{A}'$  such that  $N \subseteq M$ ,  $N \neq 0$ ,  $N \neq M$ . Let  $M_1, M_2, \dots, M_n, \dots$  be an infinite sequence of nonzero subspaces in  $\text{lat } \mathcal{A}'$ . Then there exists such an  $F \in \mathcal{P}_0(\mathcal{A})$  that  $F^\perp(M_1) \neq 0$  and  $F(M_n) \neq 0$  for infinitely many  $n$ .*

PROOF. Choose hyperinvariant  $N \subseteq M_1$ ,  $N \neq 0$ ,  $N \neq M_1$ . Since  $\mathcal{A}' \supseteq \mathcal{A}$ ,  $N \in \text{lat } \mathcal{A}$ , and there exists  $P \in \mathcal{P}_0(\mathcal{A})$  with range  $N$ . Now consider two cases.

Case 1.  $P(M_n) \neq 0$  for only finitely many  $n$ .

Then there is an infinite set of positive integers  $J$  such that  $M_n \subseteq P^\perp(X)$  for all  $n$  in  $J$ . Let  $F$  denote a projection of  $\mathcal{P}_0(\mathcal{A})$  onto a subspace  $\bigvee_{n \in J} M_n$  such that  $F \leq P^\perp$ . Then  $F(M_n) = M_n \neq 0$  for every  $n \in J$  and  $F^\perp(M_1) \supseteq N \neq 0$ .

Case 2.  $P(M_n) \neq 0$  for infinitely many  $n$ .

Then take  $F = P$ . Clearly,  $F(M_n) \neq 0$  for infinitely many  $n$ . On the other hand,  $F^\perp(M_1) \neq 0$ , since  $N \neq M_1$ .

Now we are ready for the proof of our main result.

**THEOREM 8.** *Let  $\mathcal{A} \subseteq \mathcal{L}(X)$  be a commutative completely reducible algebra. Suppose that for every hyperinvariant subspace  $M$  of  $\mathcal{A}$  of dimension and codimension greater than 1, there exist nontrivial hyperinvariant subspaces of  $\mathcal{A}$ ,  $M_1$  and  $M_2$ , other than  $M$ , such that  $M_1 \subseteq M \subseteq M_2$ . Then  $\mathcal{A}'$  is completely reducible.*

**PROOF.** Choose  $X_1$  in  $\text{lat } \mathcal{A}'$ . Since  $\mathcal{A}' \supseteq \mathcal{A}$ ,  $X_1$  is also in  $\text{lat } \mathcal{A}$  and, since  $\mathcal{A}$  is completely reducible, there is in  $X_2 \in \text{lat } \mathcal{A}$  such that  $X_1 \dot{+} X_2 = X$ . We claim that  $X_2$  is in  $\text{lat } \mathcal{A}'$  and therefore that  $X_2$  is the unique complement to  $X_1$  in  $\text{lat } \mathcal{A}$ .

The claim will be established by contradiction; suppose  $X_2$  is not in  $\text{lat } \mathcal{A}'$ . Denote by  $E$  the projection onto  $X_1$  along  $X_2$ . The proof will be divided into three parts. In the first part, we shall construct two infinite sequences of pairwise orthogonal projections,  $\{E_n\}_{n=1}^\infty$  in  $(\mathcal{A}|X_2)'$  and  $\{F_n\}_{n=1}^\infty$  in  $(\mathcal{A}|X_1)' = \mathcal{A}'|X_1$ , and a sequence  $\{T_n\}_{n=1}^\infty$  in  $\text{int}_E \mathcal{A}$  such that  $E_n T_n F_n \neq 0$  for all  $n$ .

Note that for  $T \in \text{int}_E \mathcal{A}$ ,  $B \in \mathcal{A}'|X_1$ , and  $C \in (\mathcal{A}|X_2)'$ ,  $BTC \in \text{int}_E \mathcal{A}$ . For an arbitrary projection  $G$  in  $\mathcal{L}(X_2)$  let  $M(G)$  denote the subspace of  $X_1$  spanned by all  $TG(X_2)$  with  $T \in \text{int}_E \mathcal{A}$ . Clearly,  $M(G)$  is always in  $\text{lat}(\mathcal{A}'|X_1)$ .

Now denote by  $Y$  the intersection of the kernels of all operators in  $\text{int}_E \mathcal{A}$ . By our assumption that  $X_2$  is not in  $\text{lat } \mathcal{A}'$  and Lemma 3, it follows that  $Y \neq X_2$ . On the other hand,  $Y$  lies in  $\text{lat}(\mathcal{A}|X_2)'$  and, by Lemma 4(ii),  $X_1 \dot{+} Y$  lies in  $\text{lat } \mathcal{A}'$ . Let  $Q$  be a projection in  $\mathcal{P}(\mathcal{A}|X_2)$  onto a subspace which is complementary to  $Y$  in  $X_2$ . By hypothesis,  $X_1 \dot{+} Y$  is contained in some larger nontrivial hyperinvariant subspace of  $\mathcal{A}$ . By lemma 4(ii), this larger subspace has the form  $X_1 \dot{+} Y \dot{+} E_1(X_2)$  for some nonzero  $E_1 \in \mathcal{P}(\mathcal{A}|X_2)$ ,  $E_1 \leq Q$ ,  $E_1 \neq Q$ . Repeating the same argument, one can find nonzero  $E_2 \in \mathcal{P}(\mathcal{A}|X_2)$  with  $E_2 \leq Q - E_1$ ,  $E_2 \neq Q - E_1$ . Proceeding by induction, we get an infinite sequence  $\{E_n\}_{n=1}^\infty$  of pairwise orthogonal nonzero projections in  $\mathcal{P}(\mathcal{A}|X_2)$  with  $E_n \leq Q$  for all  $n$ . It follows from the definition of  $Q$  that  $M(E_n) \neq 0$  for all  $n$ .

Now Lemma 7 provides  $G_1 \in \mathcal{P}_0(\mathcal{A}|X_1)$  such that  $G_1^\perp M(E_1) \neq 0$  and  $G_1 M(E_n) \neq 0$  for every  $n$  in the infinite set  $J$  of positive integers.

Remember the elements of  $J_1$  by 2, 3, ... By Lemma 4(i),  $G_1 M(E_n) \in \text{lat}(\mathcal{A}|X_1)'$  for  $n \geq 2$ . Since

$$((\mathcal{A}|G_1(X_1)))' = \mathcal{A}|G_1(X_1),$$

we may again apply Lemma 7 to the algebra  $\mathcal{A}|G_1(X_1)$  and a sequence  $G_1 M(E_2), G_1 M(E_3), \dots$  of its hyperinvariant subspaces. As a result, we obtain  $G_2 \in \mathcal{P}_0(\mathcal{A}|X_1)$  such that  $G_2 \leq G_1$ ,  $(G_1 - G_2)G_1 M(E_2) = (G_1 - G_2)M(E_2) \neq 0$ , and  $G_2 G_1 M(E_n) = G_2 M(E_n) \neq 0$  for every  $n$  from an infinite subset  $J_2$  of  $J_1$ .

Proceeding by induction (renumbering the elements of  $J_n$  by  $n + 1, n + 2, \dots$ ), we get a sequence  $I = G_0 \geq G_1 \geq G_2 \geq \dots \geq G_n \geq \dots$ , where  $G_n \in \mathcal{P}_0(\mathcal{A}|X_1)$  and

$$(G_{n-1} - G_n)M(E_n) \neq 0, \quad n = 1, 2, \dots$$

Let  $F_n = G_{n-1} - G_n$ ,  $n = 1, 2, \dots$ . The  $F_n$ 's are pairwise orthogonal projections in  $\mathcal{P}(\mathcal{A}|X_1)$ , and  $F_n M(E_n) \neq 0$  for  $n = 1, 2, \dots$ . Finally, from the definition of  $M(E_n)$ , it follows that there is a sequence  $\{T_n\}_{n=1}^\infty$  in  $\text{int}_E \mathcal{A}$  such that  $F_n T_n E_n \neq 0$  for all  $n$ .

In the second part of the proof we will construct a closed unbounded linear transformation  $T$  defined on the linear manifold  $\mathcal{D} \subseteq X_1$ , with range in  $X_2$ , such

that its graph  $\{Tx + x, x \in \mathcal{D}\}$  is invariant under  $\mathcal{A}$ . For this, define an operator  $T_0 \in \mathcal{L}(X_2, X_1)$  as follows:

$$T_0 = \sum_{n=1}^{\infty} 2^{-n} \|F_n T_n E_n\|^{-1} F_n T_n E_n,$$

where the series converges in the sense of the norm in  $\mathcal{L}(X_2, X_1)$ . It is easy to see that  $T_0 \in \text{int}_E \mathcal{A}$ . Now let  $L = \bigcap_{n=1}^{\infty} \text{Ker } F_n$ . Then  $L \in \text{lat}(\mathcal{A}|X_1)$ ; let  $N$  be in  $\text{lat}(\mathcal{A}|X_1)$  with  $L \dot{+} N = X_1$ . Denote by  $P$  the projection onto  $N$  along  $L$ . Let us observe that for every  $n$  the operator  $P F_n|_{F_n(X_1)}$  is injective; it follows that  $P F_n T_n E_n \neq 0$  for  $n \geq 1$ . Now define  $S \in (\mathcal{A}|X_2)'$  as follows:

$$S = \sum_{n=1}^{\infty} 2^{-2n} \|P F_n T_n E_n\| \|F_n T_n E_n\|^{-1} \|E_n\|^{-1} E_n$$

(again, the series is convergent in the sense of the norm).

We claim that the subspace

$$M = \text{cl}\{(PT_0x, Sx), x \in X_2\} \subseteq X_1 \oplus X_2$$

is a graph of some linear transformation  $T: \mathcal{D} \rightarrow X_1, \mathcal{D} \subseteq X_2$ .

Indeed, the conjugate space to  $X_1 \oplus X_2$  is a linear space of vectors  $(x_1^*, x_2^*), x_i^* \in X_i^*$ , endowed with the norm

$$\|(x_1^*, x_2^*)\| = \sup(\|x_1^*\|, \|x_2^*\|).$$

It is easy to see that  $(x_1^*, x_2^*) \in M^\perp$  if and only if  $T_0^* P^* x_1^* + S^* x_2^* = 0$ . Note that, by the definition of  $L$ ,

$$\begin{aligned} \text{weak}^* \text{cl} \left( \bigvee_{n=1}^{\infty} F_n^*(X_1^*) \right) &= \text{weak}^* \text{cl} \left( \bigvee_{n=1}^{\infty} (\text{Ker } F_n)^\perp \right) \\ &= \left( \bigcap_{n=1}^{\infty} \text{Ker } F_n \right)^\perp = L^\perp. \end{aligned}$$

Note also that  $L^\perp = P^*(X_1^*), N^\perp = \text{Ker } P^*$ , and  $L^\perp \dot{+} N^\perp = X_1^*$ . Let

$$\mathcal{L} = \bigcup_{n=1}^{\infty} \left( \sum_{i=1}^n F_i^*(X_1^*) \right).$$

Clearly,  $\mathcal{L}$  is weak\* dense in  $L^\perp$ . Now, for  $m \geq 1$ ,

$$\begin{aligned} T_0^* P^* F_m^* &= T_0^* F_m^* = \left( \sum_{n=1}^{\infty} 2^{-n} \|F_n T_n E_n\|^{-1} E_n^* T_n^* F_n^* \right) F_m^* \\ &= 2^{-m} \|F_m T_m E_m\|^{-1} E_m^* T_m^* F_m^*. \end{aligned}$$

Similarly, we conclude that  $E_m^*(X_2^*)$  is contained in the range of  $S^*$  for  $m \geq 1$ . It follows that for each  $x_1^* \in \mathcal{L} + N^\perp$  there exists  $x_2^* \in X_2^*$  such that  $T_0^* P^* x_1^* + S^* x_2^* = 0$  (if  $x_1^* \in N^\perp$ , then  $P^* x_1^* = 0$  and we can take  $x_2^* = 0$ ), or  $(x_1^*, x_2^*) \in M^\perp$ . Now suppose  $(x, 0) \in M$  for some  $x \in X_1$ . Then, for each  $x_1^* \in \mathcal{L} + N^\perp, x_1^*(x) = 0$ , and, since  $\mathcal{L} + N^\perp$  is weak\* dense in  $X_1^*, x = 0$ . This proves our claim.

Now we shall show that  $T$  is unbounded. Indeed, for every  $m \geq 1$ ,  $E_m(X_2) \subseteq S(X_2)$  and therefore  $E_m(X_2) \subseteq \mathcal{D}$ . Furthermore,  $TSE_m = PT_0E_m$ , or

$$\begin{aligned} T(2^{-2m} \|PF_mT_mE_m\| \|F_mT_mE_m\|^{-1} \|E_m\|^{-1})E_m \\ = 2^{-m} \|F_mT_mE_m\|^{-1} PF_mT_mE_m. \end{aligned}$$

Since  $PF_mT_mE_m \neq 0$ ,

$$TE_m = 2^m \|E_m\| \|PF_mT_mE_m\|^{-1} PF_mT_mE_m.$$

Hence,  $\|TE_m\| = 2^m \|E_m\|$ , which proves that  $T$  is unbounded.

Now let  $M_0$  be the closure of  $\{PT_0x + Sx, x \in X_2\}$  in  $X$ . Lemma 2 allows us to identify  $M_0$  with  $M$ . That is, we may suppose that  $M_0 = \{Tx + x, x \in \mathcal{D}\}$ ; in particular,  $M_0 \cap X_1 = 0$  and  $(M_0 + X_1) \cap X_2 = \mathcal{D}$ . For each  $A$  in  $\mathcal{A}$ ,  $A = EAE + E^\perp AE^\perp$ ; it follows, since  $PT_0 \in \text{int}_E \mathcal{A}$  and  $S \in (\mathcal{A}|X_2)'$ , that for  $x \in X_2$ ,

$$\begin{aligned} A(PT_0x + Sx) &= (EAE + E^\perp AE^\perp)(PT_0x + Sx) \\ &= EAEPT_0x + E^\perp AE^\perp Sx = PT_0E^\perp AE^\perp x + SE^\perp AE^\perp x, \end{aligned}$$

which shows that  $M_0 \in \text{lat } \mathcal{A}$ . This ends the second part of the proof.

In the last part of the proof we obtain a contradiction. To do this, it would suffice to refer to a simple result of Fong [1], but we prefer to give a direct proof.

Since  $M_0 \in \text{lat } \mathcal{A}$  and  $\mathcal{A}$  is completely reducible, one can find  $M_1 \in \text{lat } \mathcal{A}$  such that  $M_0 \dot{+} M_1 = X$ . Let  $E_0$  denote the projection onto  $M_0$  along  $M_1$ . Then  $E_0 \in \mathcal{A}'$  and hence  $X_1$  is invariant under  $E_0$ . This implies that

$$X_1 = X_1 \cap M_0 \dot{+} X_1 \cap M_1.$$

However, as we have seen,  $X_1 \cap M_0 = 0$ ; that means  $X_1 \subseteq M_1$ . From the fact that  $M_0 \dot{+} M_1 = X$  and Lemma 2, it follows that the manifold  $M_0 + X_1$  is closed. Then  $\mathcal{D} = (M_0 + X_1) \cap X_2$  is also closed. But  $\mathcal{D}$  is the domain of definition for a closed unbounded transformation  $T$ , and, by the Closed Graph Theorem, cannot be closed. This contradiction completes the proof of the theorem.

**THEOREM 9.** *Let  $\mathcal{A} \subseteq \mathcal{L}(X)$  be a commutative unital weakly closed completely reducible algebra. Suppose that the intersection of the kernels of all compact operators in  $\mathcal{A}'$  is zero and the subspace spanned by ranges of all compact operators in  $\mathcal{A}'$  is  $X$ . Then  $\mathcal{A}$  is generated, as a uniformly closed algebra, by a complete bounded totally atomic Boolean algebra of projections; in particular,  $\mathcal{A}$  is an algebra of scalar type spectral operators. Furthermore,  $\mathcal{A}$  is reflexive and admits spectral synthesis.*

**PROOF.** Let  $X_1$  denote the subspace spanned by all one-dimensional subspaces in  $\text{lat } \mathcal{A}$ , and let  $X_2$  be a complement to  $X_1$  in  $\text{lat } \mathcal{A}$ . By Lemma 6,  $X_1$  and  $X_2$  are in  $\text{lat } \mathcal{A}'$ . We shall show that for  $\mathcal{A}|X_2$  the conditions of the previous theorem are satisfied. Denote by  $\mathcal{C}$  the family of all compact operators in  $(\mathcal{A}|X_2)'$ . Clearly, intersections of the kernels of all operators in  $\mathcal{C}$  is zero, and the subspace spanned by all their ranges is  $X_2$ . Let  $M$  be a nonzero subspace in  $\text{lat}(\mathcal{A}|X_2)'$  and  $E$  be in  $\mathcal{P}_0(\mathcal{A}|X_2)$  with  $E(X_2) = M$ . Then there is  $K_1 \in \mathcal{C}$  such that  $K_1|M \neq 0$  and (note that  $M$  is infinite-dimensional), by Lomonosov's theorem [3], there is a nonzero  $M_1 \subseteq M$  such that  $M_1 \in \text{lat}(\mathcal{A}|X_2)'$  and  $M_1 \neq M$ . On the other hand, there exists  $K_2 \in \mathcal{C}$  such that  $E^\perp K_2 E^\perp \neq 0$ , for otherwise  $E^\perp K E = E^\perp K E^\perp = 0$  for each  $K$  in  $\mathcal{C}$ , hence  $K = EK$  and  $K(X_2) \subseteq E(X_2)$ , which contradicts our

hypothesis. Again, by Lomonosov's theorem, the algebra  $\mathcal{A}|E^\perp(X_2)$  has a nontrivial hyperinvariant subspace, and now Lemma 4(ii) implies that  $\mathcal{A}|X_2$  has a nontrivial hyperinvariant subspace  $M_2$  strictly containing  $M$ . So the conditions of Theorem 8 are satisfied for  $\mathcal{A}|X_2$ .

Choose a subspace in  $\text{lat } \mathcal{A}'$ . Clearly, it can be written as  $M \dot{+} N$ , where  $M \subseteq X_1$  and  $N \subseteq X_2$ . By Lemma 5, there exists  $M_1$  in  $\text{lat}(\mathcal{A}|X_1)'$  such that  $M \dot{+} M_1 = X_1$ , and, by Theorem 8, we can find  $N_1 \in \text{lat}(\mathcal{A}|x_2)'$  such that  $N \dot{+} N_1 = X_2$ . But then  $M_1 \dot{+} N_1$  lies in  $\text{lat } \mathcal{A}'$  and  $(M \dot{+} N) \dot{+} (M_1 \dot{+} N_1) = X$ . It follows that  $\mathcal{A}'$  is completely reducible. Now, to conclude the proof of the theorem, it suffices to apply Theorem 1.

The following corollary follows immediately from Theorem 9.

**COROLLARY 10.** *Let  $T \in \mathcal{L}(X)$  be a completely reducible operator. If  $TK = KT$ , where  $K$  is an injective compact operator such that  $\text{cl } K(X) = X$ , then  $T$  is a scalar type spectral operator, and spectral synthesis holds for  $T$ .*

**COROLLARY 11.** *Let  $\mathcal{A} \subseteq \mathcal{L}(X)$  be a commutative unital weakly closed completely reducible algebra. If the intersection of the kernels of all the compact operators in  $\mathcal{A}$  is zero, or if the ranges of all the compact operators in  $\mathcal{A}$  span  $X$ , then the conclusions of Theorem 9 hold for  $\mathcal{A}$ .*

**PROOF.** We shall show that the assumption about the kernels is equivalent to that about the ranges. Then the result would be an immediate consequence of Theorem 9. Let  $M$  be intersection of the kernels of all compact operators in  $\mathcal{A}$ . Clearly,  $M \in \text{lat } \mathcal{A}$ . Let  $N \in \text{lat } \mathcal{A}$  be such that  $M \dot{+} N = X$ . Let  $N_0$  be the subspace spanned by all  $K(N)$ , where  $K$  runs over the set of all compact operators in  $\mathcal{A}$ . Obviously,  $N_0 \in \text{lat } \mathcal{A}$  and  $N_0 \subseteq N$ . Let  $N_1 \in \text{lat } \mathcal{A}$ ,  $N_0 \dot{+} N_1 = N$ . Then, by the definition of  $N_0$ , all the compact operators in  $\mathcal{A}$  vanish on  $N_1$ ; that is,  $N_1 \subseteq M \cap N = 0$  and  $N_0 = N$ . On the other hand, the range of every compact operator in  $\mathcal{A}$  is contained in  $N$ . It follows that the subspace spanned by the ranges of the compact operators in  $\mathcal{A}$  is exactly  $N$ . But  $M = 0$  implies  $N = X$ , and vice versa.

To end this paper, we give a characterization of completely reducible compact operators.

**COROLLARY 12.** *Every compact, completely reducible operator  $K \in \mathcal{L}(X)$  is a scalar type spectral operator.*

**PROOF.** It suffices to note that  $\text{Ker } K \dot{+} \text{cl } K(X) = X$  [1].

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