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Mason, Sherri A.; Field, Richard J.; Yokelson, Robert J.; Kochivar, Michael A.; Tinsley, Mark R.; Ward, Darold E.; and Hao, Wei Min, "Complex Effects Arising in Smoke Plume Simulations due to Inclusion of Direct Emissions of Oxygenated Organic Species from Biomass Combustion" (2001). *Chemistry and Biochemistry Faculty Publications*. 49.

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# Complex effects arising in smoke plume simulations due to inclusion of direct emissions of oxygenated organic species from biomass combustion

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Abstract. Oxygenated volatile organic species (oxygenates), including HCOOH, H<sub>2</sub>CO, CH<sub>3</sub>OH, HOCH<sub>2</sub>CHO (hydroxyacetaldehyde), CH<sub>3</sub>COOH, and C<sub>6</sub>H<sub>3</sub>OH, have recently been identified by Fourier transform infrared measurements as a significant component of the direct emissions from biomass combustion. These oxygenates have not generally been included in the hydrocarbon-based initial emission profiles used in previous photochemical simulations of biomass combustion smoke plumes. We explore the effects of oxygenates on this photochemistry by using an established initial emission hydrocarbon profile and comparing simulation results obtained both with and without addition of the above six oxygenates. Simulations are started at noon and carried out for 30 hours in an expanding Lagrangian plume. After an initial transient period during which [NO,] falls rapidly, conditions within the oxygenated smoke plume are found to be strongly NO<sub>x</sub>-sensitive, and the simulated final species profile is thus strongly dependent upon the  $\Delta[NO]/\Delta[CO]$  initial emission profile. Oxygenate addition results in very significant and complex effects on net  $O_3$  production, as well as on the relative amounts of longlived HO, and NO, reservoir species (H<sub>2</sub>O<sub>2</sub>, organic hydroperoxides, HNO<sub>3</sub>, and peroxyacetyl nitrate (PAN)) that are mixed into the surrounding atmosphere. Oxygenates may either increase or decrease net O<sub>3</sub> production (depending upon the initial  $\Delta$ [NO]/ $\Delta$ [CO]). However, they always increase  $H_2O_2$  and organic hydroperoxide production as a result of increased rates of radical + radical reactions. These effects spring largely from accelerated removal of NO<sub>x</sub> from the smoke plume due to increased radical concentrations resulting both from photolysis of oxygenates (mainly  $CH_2O$ ) and from their relatively high reactivity. Predicted concentrations of  $H_2O_2$ ,  $\Delta[O_3]/\Delta[CO], \Delta[NH_3]/\Delta[CO], and \Delta[HCOOH]/\Delta[CO] are compared with some available$ measured values.

## 1. Introduction

Biomass combustion has long been known to inject significant quantities of  $CO_2$ , CO,  $CH_4$ ,  $NO_x$ , nonmethane hydrocarbons (NMHC), and particulates into the atmosphere [*Crutzen and Andreae*, 1990]. However, recent ground-based and airborne Fourier transform infrared (FTIR) spectroscopic measurements have identified oxygenated organic compounds (oxygenates) as being important additional components of biomass combustion smoke, at levels comparable to NMHCs [*Griffith et al.*, 1991; *Yokelson et al.*, 1996a, 1996b, 1997, 1999a; *Goode et al.*, 1999, *Goode et al.*, 2000]. Other studies have confirmed these FTIR results [*McKenzie et al.*, 1995; *Worden et al.*, 1997; *Holzinger et al.*, 1999]. The major oxygenated species (formaldehyde (CH<sub>2</sub>O), methanol (CH<sub>3</sub>OH), acetic acid (CH<sub>3</sub>COOH), formic acid (HCOOH), hydroxyacetaldehyde (HOCH<sub>2</sub>CHO), and phenol (C<sub>6</sub>H<sub>5</sub>OH)) have not generally been included as substantial initial

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Paper Number 2001JD900003. 0148-0227/01/2001JD900003\$09.00 components in previous smoke-modeling efforts [Chatfield and Delany, 1990; Richardson et al., 1991; Keller et al., 1991; Jacob et al., 1992; Crutzen and Carmichael, 1993; Jacob et al., 1996; Thompson et al., 1996; Chatfield et al., 1996; Koppmann et al., 1997; Mauzerall et al., 1998; Lee et al., 1998], particularly at the levels observed in the FTIR measurements, largely because they are not easily measured by more common analytical methods. Thus we report here a series of simulations designed to probe the impact of directly emitted oxygenated organic compounds on the early photochemistry of biomass combustion smoke plumes.

The major effect reported here from the inclusion of directly emitted oxygenates into smoke plume simulations results from their impact on the evolution of  $[NO_x]$ . NO<sub>x</sub> and volatile organic compounds (VOC) are the two primary precursors of O<sub>3</sub> formation, and previous photochemical modeling studies have revealed the nonlinear relationship between their respective concentrations. From these studies, including analysis of the underlying chemical equations, the troposphere has been found to have two fundamentally different processing states: one in which O<sub>3</sub> formation increases with increasing  $[NO_x]$  and is largely independent of [VOC], known as NO<sub>x</sub> sensitive (limited) or low NO<sub>x</sub>, and another in which O<sub>3</sub> production decreases with increasing  $[NO_x]$  but increases with increasing [VOC], termed VOC sensitive (limited), NO<sub>x</sub> saturated, or high NO<sub>x</sub> [*Kleinman*, 1994; *Sillman*, 1999, and references therein]. Tropospheric photochemical models are often run under differing constant emission fluxes of VOC and NO<sub>x</sub> (occasionally keeping the VOC/NO<sub>x</sub> emission ratio constant) in order to determine which processing state a particular simulated event represents. The VOC emissions are normally assumed to be composed predominately of hydrocarbons (HC), and most VOC/NO<sub>x</sub> modeling studies have focused on the effects of simply varying HC emission rates. Hence the modeling work reported here also focuses on the impact of the direct emission of oxygenated VOCs on VOC/NO<sub>x</sub>-sensitive photochemistry.

We begin with a brief introduction to tropospheric photochemistry along with a discussion of the VOC and NO<sub>x</sub> sensitive atmospheric processing states. In section 3 we describe the photochemical model used for these studies. Section 4 details our results (within the context of the VOC/NO<sub>x</sub> sensitive photochemistry described in section 2) on the effect of oxygenated organic compounds on the product distribution profile within a biomass combustion smoke plume, including both O<sub>3</sub> and longerlived pollutant reservoir species such as hydroperoxides and peroxyacyl nitrates (PAN). Section 5 gives a brief comparison of some of our numerical results to field measurements.

#### 2. Tropospheric Photochemistry

#### 2.1. General Description

Tropospheric gas phase photochemistry may be described as the photochemically driven,  $NO_x$ -catalyzed oxidation of CO and VOCs with the coproduction of  $O_3$ . The term VOC is used here to refer collectively to both oxygenated organic compounds and HCs. The catalytic photochemical reaction cycle is initiated and perpetuated by radical species, which are introduced into the troposphere via mainly photolytic radical initiation steps. Radical species, as well as  $NO_x$ , are removed (however, in some cases only temporarily) from the photochemical cycle through termination reactions, e.g., (1) - (5).

$$OH + NO_2 \rightarrow HNO_3$$
(1)

 $RC(O)O_2 + NO_2 \rightarrow PANs$  (2)

 $RO_2 + NO \rightarrow RONO_2$  (3)

HO<sub>2</sub> + HO<sub>2</sub>  $\rightarrow$  H<sub>2</sub>O<sub>2</sub> + O<sub>2</sub> (4) RO<sub>2</sub> + HO<sub>2</sub>  $\rightarrow$  ROOH + O<sub>2</sub> (5)

The first three reactions consume  $NO_x$ , as well as radical species, and will be referred to here as "Rad +  $NO_x$ " termination reactions, while the last two are radical-recombination reactions and will be referred to as "Rad + Rad" termination reactions [*Kleinman*, 1994]. The dominant termination reactions are determined by the relative availability of NO<sub>x</sub> and radical species [*Kleinman*, 1994].

Rad + NO<sub>x</sub> and Rad + Rad reactions have been defined above as consuming or removing radicals and NO<sub>x</sub> from the photochemical cycle. In fact, most of the products formed in reactions (1) - (5), and other similar reactions, are long-term pollutant reservoir species. That is, they allow long-range transport of photochemically and thermally active species away from local events and into regional, even global, atmospheres to possibly reintroduce radicals or NO<sub>x</sub> into the troposphere at a later time. Hence NO<sub>x</sub> removal is used here to refer to the local effect, but the possible larger-scale implications should not be overlooked.

#### 2.2. VOC/NO<sub>x</sub> Sensitivity

The formation of  $O_3$  in the troposphere, which is a central element of the photochemical cycle, results from the photolysis of NO<sub>2</sub>. However, the majority of NO<sub>x</sub> emissions into the atmosphere are in the form of NO, and the photolysis of NO<sub>2</sub> regenerates NO as well. Thus in order to obtain net cyclic O<sub>3</sub> formation, NO must be converted to NO<sub>2</sub> by a molecule other than O<sub>3</sub> itself. It is a primary role of peroxy radical species to perform this conversion via reactions such as (6):

$$RO_2 + NO \rightarrow RO + NO_2.$$
 (6)

Either reactant may be in excess in these reactions, causing the system to be sensitive to the other.

In the VOC sensitive (high-NO<sub>x</sub>) tropospheric state the emission of NO<sub>x</sub> exceeds the production of radical species. Radicals are thus rapidly removed from the system, via reactions (1)-(3), limiting their availability to perform the NO-to-NO<sub>2</sub> conversion (as in reaction (6)) and inhibiting O<sub>3</sub> production. Overall the VOC sensitive state is characterized by a decrease in  $[O_3]$  with increasing  $[NO_x]$ , while an increase in [VOC] increases radical production and therefore increases  $[O_3]$  [*Kleinman*, 1994; *Sillman*, 1999].

The NO<sub>x</sub> sensitive (low NO<sub>x</sub>) tropospheric processing state occurs under stoichiometric conditions in reactions such as (6) opposite to those of the VOC sensitive state. Rather than the NOto-NO<sub>2</sub> conversion reactions being limited by radical availability, in the  $NO_x$  sensitive state  $O_3$  production is limited by the availability of NO<sub>x</sub> itself. Under such conditions, O<sub>3</sub> formation increases with increasing [NO<sub>r</sub>] because radical species are readily available to convert NO to NO<sub>2</sub> [Kleinman, 1994]. Previous studies also have concluded that O<sub>3</sub> production in this state is largely independent of [VOC], presumably owing to a saturation effect in which an increase in radical species, which are already more available than NO<sub>x</sub>, does not lead to an increase in NO-to-NO<sub>2</sub> reaction rate; hence little effect is observed on O<sub>3</sub> production [Sillman, 1999]. However, the simulations presented here illustrate that net O<sub>3</sub> formation can sometimes be VOC-dependent under NO<sub>r</sub>-sensitive conditions owing to VOC-induced removal of  $NO_x$  leading to reductions in both  $O_3$  destruction and production.

As a final note, it has frequently been observed in previous  $VOC/NO_x$  models that urban plumes undergo a transition from VOC sensitive (close to the city center) to  $NO_x$ -sensitive (in outlying, rural areas) photochemistry owing to removal of  $NO_x$  from the plume by photochemical processing, as well as by dilution [*Sillman*, 1999]. A similar phenomenon is noted here for biomass combustion smoke plumes.

#### 2.3. Photochemistry of Oxygenates

The addition of oxygenated organic species into a tropospheric model where they had been previously ignored has considerable impact upon the predicted dominant reaction pathways and, hence, the resulting product distribution. This is due to the dependence of these pathways on the relative concentrations of NO<sub>z</sub> and radical species. Unlike pure HCs, the degradation of some oxygenated organics, such as CH<sub>2</sub>O and HOCH<sub>2</sub>CHO, is initiated not only by OH attack, but also via direct photolysis. Thus the inclusion of these oxygenated compounds provides an additional direct source of radical species. Furthermore, oxygenated organic species are in general more reactive than are NMHC [*Finlayson-Pitts and Pitts*, 2000], causing an additional increase in radical species production through the general photochemical cycle itself. This increase in radical species production has complex effects on the production of O<sub>3</sub> and other photochemical products in a smoke plume where the amount of NO<sub>x</sub> varies but is limited to the relatively small concentrations (as compared to urban/industrial processes) initially introduced by the fire. While oxygenated compounds can increase the concentrations of radical species and the general level of photochemistry within a smoke plume, therefore acting to increase O<sub>3</sub> production, they also can speed the titration of NO<sub>x</sub> out of the smoke plume via Rad + NO<sub>x</sub> termination reactions, slowing O<sub>3</sub> production and altering the product distribution profile. Thus the net effect of directly emitted oxygenated organic compounds on O<sub>3</sub> and other photochemical products results from a complex balancing of these two effects and may either be positive or negative.

#### 3. Photochemical Model

All simulations reported here were performed by using the National Center for Atmospheric Research (NCAR) Master Mechanism (MM) [Madronich and Calvert, 1989]. The MM is a zerodimensional tropospheric box model which explicitly describes (in ~5000 chemical reactions) the photochemistry of alkanes and aromatics up to C8, alkenes up to C4, and two biogenic species, isoprene and  $\alpha$ -pinene. A subset of these reactions may be selected by specifying an initial profile of chemical species. The model used here has been simplified by excluding HCs greater than C<sub>4</sub> and therefore contains only 702 reactions (79 photolytic) involving 267 species. Included in the MM package is an algorithm that calculates the actinic flux as a function of wavelength and time of day for given atmospheric conditions, altitude, location, and time of year [Madronich, 1987]. This actinic flux is then used to calculate appropriate photolytic rate constants as a function of time of day based upon the spectra (cross sections and quantum yields) of absorbing species. In order to represent smoke processing during the tropical dry season (where 80% of biomass burning is reported to occur [Hao and Liu, 1994]), photolysis rates are calculated here for 13°S and 27°E (i.e., southern Africa) at 1-km altitude on August 31. Atmospheric conditions are based on the U.S. Standard Atmosphere (1976) using clear-sky conditions, a total O<sub>3</sub> column of 300 Dobson units, a surface albedo of 0.15, and a total aerosol optical depth of 0.21 at 340 nm.

Minor modifications were made to the MM in order to more accurately model the evolution of a biomass combustion smoke plume. The numerical integrator was upgraded to the Livermore solver for ordinary differential equations with sparse Jacobian techniques (LSODES) as originally described by Hindmarsh [1983]. LSODES is considered to be one of the most robust routines available to obtain solutions to stiff systems and has been used to evaluate the precision of a number of other methods [Olcese and Toselli, 1998]. Also, the formation of NH<sub>4</sub>NO<sub>3</sub>(s) aerosol from NH<sub>3</sub>(g) and HNO<sub>3</sub>(g) was incorporated owing to the significant direct production of NH<sub>3</sub>(g) in biomass combustion. The rate of removal of NH<sub>3</sub>(g) and HNO<sub>3</sub>(g) is assumed to be diffusion controlled, e.g., a reaction coefficient k equal to 10.5  $ppm^{-1}min^{-1}when [NH_3(g)][HNO_3(g)] > [NH_3(g)]_{eq}[HNO_3(g)]_{eq}$ , and zero otherwise. The thermodynamic equilibrium constant,  $K_{ea}$  (=  $[NH_3(g)]_{eq}[HNO_3(g)]_{eq}]$ , for NH<sub>4</sub>NO<sub>3</sub>(s) is 3.07 × 10<sup>-6</sup> ppm<sup>2</sup> at 297 K [Seinfeld and Pandis, 1998].

As opposed to most previous VOC/NO<sub>x</sub> models, which used an Eulerian box model approach [*Sillman*, 1999, and references therein], the simulations reported here use a Lagrangian model. In the Eulerian scheme a spatial grid (each subsection with its own constant emissions of O<sub>3</sub> precursors) is fixed, and the flux and chemical behavior of an air mass moving through the grid is evaluated. Conversely, the Lagrangian approach models the evolution of a moving parcel of air from an initial set of emitted species concentrations [*Brasseur et al.*, 1999]. This method is particularly useful in the case of a heavily polluted plume moving away from a near point source where the initial profile of species react, essentially in a closed system, as the plume evolves. Thus a Lagrangian model does not utilize constant emission rates. This is an especially important distinction to make in regard to  $NO_x$ because it is continually supplied in Eulerian models (albeit to "fresh" air masses), while in the simulations reported here  $NO_x$ concentrations are limited to the initial concentrations within the smoke plume, with relatively minor contributions early in the plume evolution from the ambient air.

#### 3.1. Continuous Plume Dilution

The atmospheric dilution of plumes has a substantial impact on predicted species concentrations [*Kley*, 1997; *Mauzerall et al.*, 1998; *Poppe et al.*, 1998]. Species concentrations within the smoke plume are modeled here within a well-mixed box which expands as it evolves. Concentrations within the box, therefore, change by photochemical reactions, as described by the MM, and by dilution with ambient air. The box has fixed height h (=1 km), fixed length l (=1 km), but variable width y(t). The initial plume width y(0) increases to y(t) in time t, affecting species concentrations  $C_i$  by dilution with adjacent ambient air concentrations  $C_i^a$ . Following *Seinfeld and Pandis* [1998], at time t, when the box has width y(t), a further increase in y of  $\Delta y$  yields the mass balance equation (7):

$$(C_i + \Delta C_i)[y(t) + \Delta y] = C_i y(t) + C_i^a \Delta y.$$
<sup>(7)</sup>

Neglecting the second-order term,  $\Delta C_i \Delta y$ , dividing by  $\Delta t$ , and taking the limit  $\Delta t \rightarrow 0$  yield equation (8) for the instantaneous effect of plume widening on  $C_i$ :

$$\frac{dC_{1}}{dt} = -\frac{1}{y(t)} \frac{d[y(t)]}{dt} (C_{1} - C_{1}^{a}).$$
(8)

Equation (8) is of the same form as that used by *Poppe et al.* [1998]. The form of y(t) is assumed to be  $y(t) = [y(0)^2 + 8K_yt]^{1/2}$  obtained with reference to the Gaussian solution to the Fickian diffusion equation,  $d\sigma/dt = K_y/\sigma$  [*Csanady*, 1973], by setting the plume width y(t), equal to twice the Gaussian variance  $\sigma$  [*Sillman et al.*, 1990]. Substitution of y(t) into (8) leads to (9):

$$\frac{dC_{i}}{dt} = -\frac{4 K_{y}}{[y(0)^{2} + 8 K_{y}t]} (C_{i} - C_{i}^{a}).$$
(9)

Equation (9) is incorporated into the MM such that all species concentrations are diluted by their corresponding ambient concentrations at every time step. The right-hand side of (9) goes to zero as  $t \to \infty$  and  $(C_i - C_i^a) \to 0$ . The parameter  $K_y$  is the cross-flow (horizontal) diffusion coefficient, which was determined here by fitting the dilution rates of the excess (over ambient) CO and CO<sub>2</sub> mixing ratios ( $\Delta$ CO and  $\Delta$ CO<sub>2</sub>) to those estimated from observations on large isolated plumes by *Babbitt et al.* [1998] and *Goode et al.* [2000]. Based upon this curve fitting and using an initial plume width y(0) of 1-km,  $K_y$  is set to  $3.33 \times 10^{-3}$  km<sup>2</sup>/min, consistent with values of *Gifford* [1982] for plumes of comparable initial width.

#### 3.2. Ambient and Initial Smoke Species Concentrations

**3.2.1. Ambient.** Diurnal variations in ambient concentrations of all species,  $C_i^a$ , were estimated by running the MM with a constant profile of trace gas concentrations (Table 1) typical of a

Table 1. Ambient Concentrations Used in Smoke-Plume Dilution	n
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Name	Formula	Total Concentration (ppbv)
 Nitrogen	N <sub>2</sub>	7.8 × 10 <sup>8</sup>
Nitrogen Dioxide	NO₂	1
Nitric Oxide	NO	0.05
Nitrous Oxide	N <sub>2</sub> O	320
Dinitrogen Pentoxide	N <sub>2</sub> O <sub>5</sub>	0.005
Nitric Acid	HNO,	0.2
Nitrous Acid		0.03
Ammonia	NH <sub>3</sub>	0.1
Peroxyacetyl Nitrate	PAN	0.05
Hydrogen Cyanide	HCN	0.19
Methane	CH₄	1650
Ethane	C <sub>2</sub> H <sub>6</sub>	13.50
Ethene	$C_2H_4$	11.10
Ethyne (Acetylene)	$C_2H_2$	8.65
Propane	C <sub>3</sub> H <sub>8</sub>	18.70
Propene	C,H,	2.6
Methanol	CH,OH	0.5
Acetic Acid	CH3CO	OH 2.1
Formic Acid	HCOOI	H 5.4
Formaldehyde	CH <sub>2</sub> O	9.1
Carbon Dioxide	CO <sub>2</sub>	3.5 × 10⁵
Carbon Monoxide	CO	200
Carbonyl Sulfide	OCS	0.5
Sulfur Dioxide	SO <sub>2</sub>	0.2
Oxygen	O <sub>2</sub>	$2.1 \times 10^{8}$
Ozone	<b>O</b> 3	29.30
Hydrogen Peroxide	$H_2O_2$	0.690
Water	H <sub>2</sub> O	$1.0 \times 10^{7}$
Hydrogen	$H_2$	500

rural environment [Finlayson-Pitts and Pitts, 1986; Seinfeld and Pandis, 1998] until a stable diurnal cycle in all intermediate species was achieved. Ambient profiles for a 24-hour day were then tabulated at 3-min resolution, and linear interpolation methods were used at intermediate times. It is important to note that as time increases, the ambient concentrations become a more important component of the calculated smoke plume concentrations.

3.2.2. Initial smoke species concentrations. Initial concentrations within the smoke plume are chosen to approximate conditions just above a large biomass fire with a  $\Delta CO_2$  of 100 ppmv and  $\Delta CO/\Delta CO_2 = 7$  %. Other initial concentrations were scaled to either  $\Delta CO_2$  or  $\Delta CO$  depending upon whether a particular species results mainly from flaming or smoldering combustion [Yokelson et al., 1996a]. An initial species profile (Table 2) for fresh smoke based upon Lobert et al. [1991], not including oxygenates and referred to here as "No Oxy," is used as a standard. Simulations were then conducted with all of the six oxygenates (CH<sub>2</sub>O, CH<sub>3</sub>OH, HOCH<sub>2</sub>CHO, CH<sub>3</sub>COOH, HCOOH, and C<sub>6</sub>H<sub>5</sub>OH) added at the levels observed on average by Yokelson et al. [1996a] (except for phenol, whose concentration represents a high-end limit) in a profile referred to as "All Oxy" (Table 2), and with perturbed values of initial hydrocarbons used in order to evaluate the VOC/NO, sensitivity. The No-Oxy profile is similar to that used in most previous smoke plume models, while the All-Oxy profile includes the influence of oxygenated organic compounds. In order to represent a range of typical values for real biomass combustion [Yokelson et al., 1996a], all simulations were carried out at values of  $\Delta$ [NO]<sub>0</sub>/ $\Delta$ [CO]<sub>0</sub> of both 1 and 2% (Table 2). The  $1\% \Delta [NO]_0 / \Delta [CO]_0$  emission ratio is representative of smoldering combustion, while  $2\% \Delta [NO]_0 / \Delta [CO]_0$  is more descriptive of an average combustion situation (flaming combustion yields an upper

limit  $\Delta$ [NO]<sub>0</sub>/ $\Delta$ [CO]<sub>0</sub> of 4-6%) [*Yokelson et al.*, 1999b]. This design allows not only for analysis of the effect of changing initial [VOC] via an increase of initial hydrocarbons, as well as by the addition of initial concentrations of oxygenated organic species to the smoke profile, but also for the effect of changing initial [NO<sub>x</sub>].

#### 4. Results and Discussion

Smoke plume simulations were started at 1200 (noon) and ran for 30 hours. The most dramatic changes occur within the first few hours when the sun is high and the plume is relatively concentrated. Typical simulated time profiles for [O<sub>3</sub>] are shown in Figure 1, and the absolute concentrations of several species at 1400 on days 1 and 2 are listed in Table 3 for each of the four emission scenarios. Insight into the effects of oxygenated organic compounds upon early smoke plume photochemistry are obtained by comparing results from All-Oxy simulations to those from No-Oxy simulations. We report here the relative changes in overall average reaction rates, overall average concentrations, and total species production at both the first-day maximum and final 42-hour values (obtained by multiplying the specified concentrations by the plume volume at that time). Exact numerical values are undoubtedly model-dependent [Olson et al., 1997], but we believe these relative values give a reliable account of the effect of the oxygenated organic species on smoke plume photochemistry.

 Table 2.
 Actual Initial Concentrations in the Smoke Plume (i.e., at 1200 Noon of First Day) for the No-Oxy and All-Oxy Cases

Name	Formula	Total Concentration (ppbv)
Nitrogen	N <sub>2</sub>	7.8 × 10 <sup>8</sup>
Nitrogen Dioxide	NO <sub>2</sub>	37.60
Nitric Oxide	NO	75.25 (1%)
		or 150.5 (2%)
Nitrous Oxide	N <sub>2</sub> O	335.04
Dinitrogen Pentoxide	N <sub>2</sub> O <sub>5</sub>	0.005
Nitric Acid	HNO <sub>3</sub>	0.2
Nitrous Acid	HNO <sub>2</sub>	0.03
Ammonia	NH₃	150.50
Peroxyacetyl Nitrate	PAN	0.05
Hydrogen Cyanide	HCN	15.20
Methane	CH₄	2260
Ethane	C₂H <sub>6</sub>	61.10
Ethene	C₂H₄	161.6
Ethyne (Acetylene)	$C_2H_2$	56.20
Propane	C <sub>3</sub> H <sub>8</sub>	28.80
Propene	C₃H₅	35.80
Methanol	СН₃ОН	0.50 (No Oxy)
		or 150.9 (All Oxy)
Phenol	C6H2OH	0 (No Oxy)
		or 56.10 (All Oxy)
Acetic Acid	CH₃COOH	2.10 (No Oxy)
		or 152 (All Oxy)
Formic Acid	HCOOH	5.40 (No Oxy)
		or 61.5 (All Oxy)
Formaldehyde	CH <sub>2</sub> O	9.10 (No Oxy)
		or 159.5 (All Oxy)
Hydroxyacetaldehyde	CH <sub>2</sub> (OH)CH	IO 1.50 (No Oxy)
		or 57.6 (All Oxy)
Carbon Dioxide	CO <sub>2</sub>	4.5 × 10 <sup>5</sup>
Carbon Monoxide	CO	7200
Carbonyl Sulfide	OCS	49.30
Sulfur Dioxide	SO <sub>2</sub>	49.00
Oxygen	O <sub>2</sub>	$2.1 \times 10^8$
Ozone	<b>O</b> <sub>3</sub>	29.30
Hydrogen Peroxide	$H_2O_2$	0.690
Water	H <sub>2</sub> O	$1.0 \times 10^{7}$
Hydrogen	H <sub>2</sub>	650.4



**Figure 1.** Simulated O<sub>3</sub> concentration (molecules/cm<sup>3</sup>/10<sup>12</sup>) versus time (hours). No Oxy, solid lines; All Oxy, dotted-dashed lines. (a)  $\Delta$ [NO]<sub>0</sub>/ $\Delta$ [CO]<sub>0</sub> = 1%; (b)  $\Delta$ [NO]<sub>0</sub>/ $\Delta$ [CO]<sub>0</sub> = 2%. Simulations were started at 1200 (noon) and ran for 30 hours with sunset occurring at ~1800 hours on the first day and sunrise occurring at ~0600 on the second day. The decline in concentration after ~16 hours is mainly associated with dilution of the smoke plume.

#### 4.1. VOC/NO<sub>x</sub> Sensitivity

The addition of direct emission of oxygenated organic species has a significant impact upon the dominant mode of photochemical processing that occurs within a smoke plume. Table 4 details changes in the No-Oxy and All-Oxy simulations of O<sub>3</sub> and OH production at the first-day maximum owing to a perturbation of the initial concentrations of either hydrocarbons or NO<sub>x</sub>. The decrease in production of both indicator species resulting from an increase in [NO<sub>x</sub>], together with comparable [OH] or increased [O<sub>3</sub>] values resulting from an increase in hydrocarbon concentration, characterize the No-Oxy simulations as VOC sensitive. In contrast, the photochemistry within the All-Oxy simulations is found to be NO<sub>x</sub> sensitive, as is demonstrated by a substantial increase in production of O<sub>3</sub> and OH with increasing initial [NO<sub>x</sub>]. Thus inclusion of oxygenated organics causes the dominant photochemistry within the smoke plume to change from VOC to NO<sub>x</sub> sensitive.

The dominance of VOC sensitive processing can be visualized by looking at the fraction of radicals lost via reaction with NO<sub>x</sub>, as opposed to reaction with other radicals (Rad + NO<sub>x</sub> and Rad + Rad being the two primary pathways for radical loss in the troposphere). *Kleinman et al.* [1994, 1997]and *Daum et al.* [2000] have shown that the fraction of radicals lost via these two pathways provides a good indication of the sensitivity of O<sub>3</sub> production to O<sub>3</sub> precursors. Their analyses indicate that when the fraction of radicals lost via reaction with NO<sub>x</sub> (L<sub>N</sub>/Q) takes on a high value, and the fraction lost via Rad + Rad reactions (L<sub>R</sub>/Q) is low ( $\leq$ 0.15), then VOC sensitive photochemical processing is dominant. Likewise, when the  $L_N/Q$  fraction is low, and the  $L_R/Q$  fraction is high, then conditions are NO<sub>x</sub> sensitive. Figure 2 shows both fractions for the simulations reported here. As expected, all simulations show the dominance of Rad + NO<sub>x</sub> reactions at night due to nighttime NO<sub>3</sub> chemistry and reduced radical concentrations. However, by the second day all simulations show a diurnal variation of the classic VOC to NO<sub>x</sub> sensitive transition that is expected as a plume evolves. An important difference among the traces, however, is the VOC to NO<sub>x</sub> sensitive transition that occurs on the first day for the All-Oxy simulations, but which is not present in the No-Oxy simulations. Thus, while the No-Oxy simulations are dominated by VOC sensitivity, the All-Oxy simulations are primarily NO<sub>x</sub> sensitive.

This alteration of dominate photochemical pathway has interesting consequences for the behavior of  $O_3$  and OH, as well as for other photochemically important species. While increasing the initial concentration of hydrocarbons in the No-Oxy simulations leads to increased  $[O_3]$  with little change in [OH], typical of VOC sensitive chemistry [*Sillman*, 1999], a comparable increase in the initial concentrations of oxygenates (comparing the All-Oxy simulation to the No-Oxy simulation) leads to substantially decreased concentrations of both of these indicator species (Table 4). This effect seems to result from differences in the two photochemical processing regimes, and indicates that the more reactive and photochemically active oxygenated organics have a greater impact upon VOC/NO<sub>x</sub> sensitivity than does simple hydrocarbons.

#### 4.2. VOC-to-NO<sub>x</sub> Sensitive Transition

As indicated earlier, evidence of a transition from VOC to NO. sensitive chemistry, as has been reported for urban plumes, is apparent in the simulations reported here. Sillman [1995] recommends use of the [H2O2]/[HNO3] ratio as a measurable (and therefore verifiable) indicator of VOC/NO, sensitive chemistry in real atmospheres. This is because high concentrations of HNO<sub>3</sub> reflect VOC sensitive conditions in which Rad + NO<sub>x</sub> termination reactions dominate [Sillman, 1995], while H2O2 results from Rad + Rad reactions, which are suppressed under VOC sensitive conditions but increase linearly with radical production in the NO, sensitive state [Kleinman, 1994]. In All-Oxy simulations and the lower NO<sub>x</sub> No-Oxy simulation the formation rate of HNO<sub>3</sub> reaches a maximum and dominates Rad + Rad reaction rates (which lead to hydroperoxide production) during the first hours of the simulations (Figure 3). The transition takes longer in the No-Oxy simulations (and does not occur at all in the higher NO<sub>x</sub> No-Oxy case) than in the All Oxy simulations. After the first few hours, however, the Rad + Rad reaction rates exceed the HNO<sub>3</sub> production rate (Figure 3), indicating a shift from VOC sensitive to NO<sub>x</sub> sensitive photochemical processing. The concentration profiles for total hydroperoxides also indicate that as the smoke plume ages beyond the first few hours, chemical processing tends to shift from VOC (decreasing hydroperoxide concentration) to NO<sub>x</sub> sensitive (increasing hydroperoxide concentration) chemistry (Figure 4). Again this transition takes longer to occur in the No-Oxy simulations than in the All-Oxy simulations. While neither of these conditions defines the VOC sensitive state, they both serve as indicators that all smoke plume simulations reported here are controlled by VOC sensitive chemistry early in the simulation period.

#### 4.3. Decrease in NO<sub>x</sub> Lifetime

The passage from VOC to NO<sub>x</sub> sensitive chemistry occurs owing to the removal of NO<sub>x</sub> from the plume [*Sillman*, 1999]. The lifetime of NO<sub>x</sub>, defined as the time over which [NO<sub>x</sub>] falls to 1/e

Total Concentrations at 1400 on Day 1			Total Concentrations at 1400 on Day 2					
$\Delta[NO]_{a}/\Delta[CO]_{a} = 1\%; \Delta[NO]_{a}/\Delta[CO]_{a} = 2\%$			$\Delta$ [NO] <sub>0</sub> / $\Delta$ [CO] <sub>0</sub> = 1%; $\Delta$ [NO] <sub>0</sub> / $\Delta$ [CO] <sub>0</sub> = 2%					
Species	No Oxy	All Oxy	No Ox	v All Öxv	No Oxy		No Oxy	All Oxy
•F					,	,		,
NO <sub>2</sub>	26.6	1.25	57.3	6.24	0.52	0.37	0.68	0.61
NO	3.38	0.08	16.2	0.34	0.06	0.05	0.09	0.07
N <sub>2</sub> O	328	328	327	328	322	322	322	322
$N_2O_5$	0.03	0.00	0.02	0.01	0.00	0.00	0.00	0.00
HNO₄	0.40	0.11	0.10	0.56	0.02	0.01	0.02	0.02
HNO <sub>3</sub>	0.38	0.08	0.38	0.33	0.38	0.23	2.95	0.63
NH <sub>3</sub>	54.5	53.7	56.9	32.8	8.36	13.8	1.04	5.11
NH₄NO <sub>3</sub> (Aerosol)	24.7	32.3	21.2	60.6	43.8	37.4	58.7	70.2
PAN	4.49	6.08	1.58	8.78	2.30	1.65	2.63	2.55
Hydroxy-PAN	1.24	6.89	0.50	8.63	0.68	0.71	0.84	1.08
All PAN Species	6.85	22.1	2.58	28.7	3.61	3.03	4.20	4.77
Mono-substituted								
Nitrophenol	0.00	3.89	0.00	3.13	0 00	0.07	0.00	0.00
Di-substituted								
Nitrophenol	0.00	1.45	0.00	3.03	0.00	2.19	0.00	2.14
HCN	7.53	7.52	7.53	7.52	2.48	2.48	2.48	2.48
CH₄	1947	1947	1948	1947	1742	1742	1742	1742
C₂H <sub>6</sub>	36.3	36.3	36.5	36.1	19.8	20.1	19.9	19.8
C₂H₄	55.2	48.8	66.5	41.5	4.18	6.82	5.23	3.93
$C_2H_2$	30.8	30.6	31.3	30.1	13.8	14.4	13.9	13.8
C <sub>3</sub> H <sub>8</sub>	22.5	22.3	23.0	21.8	16.7	17.7	16.7	16.7
C <sub>3</sub> H <sub>6</sub>	3.94	2.49	7.80	1.45	0.08	0.12	0.07	0.08
СН₃ОН	0.48	70.1	0.49	68.8	0.57	20.3	0.51	19.0
С₅Н₅ОН	0.00	3.09	0.00	1.12	0.00	0.00	0.00	0.00
СН₃СООН	2.17	73.1	2.15	72.1	2.23	23.1	2.13	22.1
НСООН	6.50	34.4	6.34	34.2	7.78	16.5	7.53	16.0
CH₂O	39.6	55.1	30.2	59.5	5.01	6.17	5.97	5.89
HOCH <sub>2</sub> CHO	5.51	14.8	4.50	12.5	1.29	2.35	1.43	1.50
CO	3609	3688	3614	3691	1281	1335	1286	1329
OCS	24.3	24.3	24.3	24.3	7.97	7.97	7.97	7.97
SO <sub>2</sub>	21.7	20.8	22.7	20.1	4.67	5.26	4.98	4.53
O <sub>3</sub>	172	251	85.1	322	138	110	133	148
$H_2O_2$	0.71	15.5	0.63	6.87	14.3	22.7	13.6	21.5
Organic Peroxides	4.38	9.23	5.08	5.26	7.28	10.7	6.32	8.33

**Table 3.** Actual Simulated Species Concentrations (ppbv) in an Aged Smoke Plume at 1400 on Day 1 and at 1400 on Day 2

of its initial value, is decreased by a factor of  $\sim 2$  owing to the addition of oxygenates (Table 5). This dramatic decrease in the NO<sub>x</sub> lifetime is perhaps the most important effect of the oxygenates for smoke plume photochemistry because it limits the time that NO<sub>x</sub> is available to catalyze the production of O<sub>3</sub>, thereby affecting the overall oxidizing ability within the smoke plume. As a side note, in the simulations reported here, [NO<sub>x</sub>] decreases because of dilution with ambient air, as well as by chemical reaction. Undoubtedly these results would differ if such a plume were to

 Table 4. Effect of a Given Increase on Total Production (Plume
 (i)

 Volume × Concentration) at the First Day Maximum
 the first Day Maximum

	Species	No Oxy	All Oxy
Increase NO <sub>x</sub>	0,	-36%	38%
	OH	-52%	30%
Increase Hydrocarbons	0,	12%	7%
	OH	0.3%	-11%
Increase Oxygenated			
Organics	$O_3$	-28%	N/Aª
	OH	-13%	N/Aª

\* N/A, not applicable.

mix with NO<sub>x</sub>-rich urban air rather than the relatively NO<sub>x</sub>-poor ambient air used here.

#### 4.4. Removal of NO<sub>x</sub>

The early dominance of the Rad +  $NO_x$  termination reactions (Figure 2) leads to rapid removal of NO<sub>x</sub> from the smoke plume. Figure 5 details the component reaction rates which make up the Rad +  $NO_x$  reactions. HNO<sub>3</sub> formation is typically the dominant fate of NO<sub>x</sub> in the troposphere [Seinfeld and Pandis, 1998], and for the smoke plume simulations reported here the formation of HNO3 (reaction (1)) indeed is the dominant Rad + NO<sub>r</sub> reaction early in the plume lifetime (Figure 5), However, the production of PANs (reaction 2) and organic nitrates (RONO<sub>2</sub>) (reaction 3) becomes the dominant NO<sub>r</sub> sink as the plume evolves (Table 6). The addition of oxygenates leads to a decrease in the formation rates of organic nitrates, while the preference for PAN formation over HNO<sub>3</sub> production becomes more pronounced upon the addition of oxygenates (Figure 5, Table 6). This latter effect has also been noted by Tanner et al. [1988] and by Singh et al. [1995]. It results, we believe, from an increase in RC(O)O2 radicals due to the oxidation of aldehyde (and ketone) components of the oxygenates, as well as from a decrease in available OH (which reacts with NO2 to form HNO<sub>3</sub>) due to increased VOC loading (Table 7). The removal of NO<sub>x</sub> into either PANs or HNO<sub>3</sub> is a local effect which



Figure 2. Simulated results for fraction of total radical removal via Rad + Rad reactions (solid lines) and Rad + NO<sub>x</sub> reactions (dotted-dashed lines). Contributions from other radical removal pathways are not significant. (a) No Oxy with  $\Delta[NO]_0/\Delta[CO]_0 = 1\%$ . (b) All Oxy with  $\Delta[NO]_0/\Delta[CO]_0 = 1\%$ . (c) No Oxy with  $\Delta[NO]_0/\Delta[CO]_0 = 2\%$ . (d) All Oxy with  $\Delta[NO]_0/\Delta[CO]_0 = 2\%$ . Values of the Rad + Rad fraction greater than ~0.15 are indicative of NO<sub>x</sub> sensitive conditions [*Kleinman*, 1994].

has regional and possibly even global implications owing to their role as NO<sub>r</sub> reservoirs.

There is a significant added contribution to  $NO_x$  removal via Rad +  $NO_x$  reactions in All-Oxy simulations due to the formation of nitrophenols (reactions 10 and 11):

 $C_{6}H_{4}OH + NO_{2} \rightarrow C_{6}H_{4}(OH)(NO_{2})$ (10)

 $C_{6}H_{3}(OH)(NO_{2}) + NO_{2} \rightarrow C_{6}H_{3}(OH)(NO_{2})(NO_{2}).$ (11)

Unlike PANs and HNO<sub>3</sub>, the possibility of rereleasing NO<sub>x</sub> from

nitrophenols is uncertain [Seinfeld and Pandis, 1998], and they are simply a sink for NO<sub>x</sub> in the present model. Nevertheless, their added contribution helps offset decreases in the formation rates of HNO<sub>3</sub> (for the  $\Delta$ [NO]<sub>0</sub>/ $\Delta$ [CO]<sub>0</sub> = 1% case only) and RONO<sub>2</sub> due to the addition of oxygenates (Table 6), and it is quite apparent that nitrophenols represent a significant addition to the product distribution profile within a biomass combustion smoke plume. These results suggest that the chemistry of these species should be studied further.



Figure 3. Calculated reaction rates (molecules/cm<sup>3</sup> s/10<sup>7</sup>) versus time (hours) for Rad + Rad reactions (solid lines) and OH + NO<sub>2</sub>  $\rightarrow$  HNO<sub>3</sub> (dotted-dashed lines). (a) No Oxy with  $\Delta$ [NO]<sub>0</sub>/ $\Delta$ [CO]<sub>0</sub> = 1%. (b) All Oxy with  $\Delta$ [NO]<sub>0</sub>/ $\Delta$ [CO]<sub>0</sub> = 1%. (c) No Oxy with  $\Delta$ [NO]<sub>0</sub>/ $\Delta$ [CO]<sub>0</sub> = 2%. (d) No Oxy with  $\Delta$ [NO]<sub>0</sub>/ $\Delta$ [CO]<sub>0</sub> = 2%. Most traces show HNO<sub>3</sub> formation to initially dominate, indicative of VOC sensitive conditions, but the radical recombination reactions eventually surpass HNO<sub>3</sub> formation, signifying a transition to NO<sub>x</sub> sensitive conditions.



**Figure 4.** Simulated results for total hydroperoxide concentration (molecules/cm<sup>3</sup>/10<sup>11</sup>) versus time (hours) for  $\Delta$ [NO]<sub>0</sub>/ $\Delta$ [CO]<sub>0</sub>=1%, (solid line) and  $\Delta$ [NO]<sub>0</sub>/ $\Delta$ [CO]<sub>0</sub>=2% (dotted-dashed line). (a) No Oxy. (b) All Oxy. Traces show an initial decline in total hydroperoxide concentration, consistent with VOC sensitive conditions, followed by a resurgence in their concentration corresponding to NO<sub>x</sub> sensitive conditions. The [hydroperoxides] continue to increase on the second day (not shown).

#### 4.5. Increase in Radical Species

The addition of oxygenates causes an overall increase in the removal of  $NO_x$  (via the Rad +  $NO_x$  termination reactions) owing to an increase in the concentrations of radical species, most notably  $HO_2$  (Table 7). A portion of this increase in  $[HO_2]$  results from an increase in the photolysis of  $CH_2O$  (Table 6), which arises both from an increase in the initial concentration of it and its precursors (e.g.,  $CH_3OH$ ) and a decrease in its removal by OH (due to increase ed [VOC] loading). Additionally, radical concentrations are increased because increasing [VOC] within the smoke plume leads to an increase in the overall rate of the tropospheric photochemical cycle outlined in section 2, and this cycle has the capability to produce more radicals than it consumes [*Crutzen*, 1995; *Holzinger et al.*, 1999; *Field et al.*, 2000].

**Table 5.** Lifetime of NO<sub>x</sub>. Calculated as the Time Required for  $[NO_x]$  to Fall to 1/e of Its Initial Value

	Simulation	Lifetime (hours)
$\overline{\Delta[NO]_0}/\Delta[CO]_0 = 1\%$	No Oxy	1.57
	All Oxy	0.57
$\Delta$ [NO] <sub>0</sub> / $\Delta$ [CO] <sub>0</sub> = 2%	No Oxy	2.17
	All Oxy	1.16



**Figure 5.** Component reaction rates (molecules/cm<sup>3</sup> s/10<sup>7</sup>) of the Rad + NO<sub>x</sub> reactions. RC(O)O<sub>2</sub> + NO<sub>2</sub>  $\rightarrow$  PANs, dotted-dashed lines; Phenol + NO<sub>2</sub>  $\rightarrow$  Nitrophenols, dotted lines; OH + NO<sub>2</sub>  $\rightarrow$  HNO<sub>3</sub>, solid lines; and RO<sub>2</sub> + NO  $\rightarrow$  RONO<sub>2</sub>, dashed lines. (a) No Oxy with  $\Delta$ [NO]<sub>0</sub>/ $\Delta$ [CO]<sub>0</sub> = 1%; (b) All Oxy with  $\Delta$ [NO]<sub>0</sub>/ $\Delta$ [CO]<sub>0</sub> = 1%; (c) No Oxy with  $\Delta$ [NO]<sub>0</sub>/ $\Delta$ [CO]<sub>0</sub> = 2%; (d) All Oxy with  $\Delta$ [NO]<sub>0</sub>/ $\Delta$ [CO]<sub>0</sub> = 2%. All traces show an initial dominance of HNO<sub>3</sub> formation which is eventually surpassed by the formation of PANs and RONO<sub>2</sub>. There is an additional contribution to NO<sub>x</sub> removal in the All Oxy simulations owing to the production of nitrophenols.

#### 4.6. Increase in Hydroperoxides

The increased abundance of radical species due to the addition of oxygenates also increases the Rad + Rad termination reactions (Table 6), leading to an increase in overall and maximum hydroperoxide ( $H_2O_2$  and ROOH) production via reactions (4) and (5) (Table 7). This dramatic increase in hydroperoxides represents another oxygenates-based alteration of the product distribution

Average Reaction Rates, molecules/cm <sup>3</sup> s							
Reactions	No Oxy	$\frac{O_{0}-1\%}{All Oxy}$	No Oxy	$\frac{CO_{l_0} - 2\%}{All Oxy}$	$\frac{C}{\Delta[NO]_0/\Delta[CO]_0} = 1$	$\frac{\text{nange, \%}}{6}  \Delta[\text{NO}]_{0}/\Delta[\text{CO}]_{0} = 2\%$	
Rad + NO <sub>x</sub> (Net) <sup>a</sup>	1.03 × 10 <sup>8</sup>	<b>9.84</b> × 10 <sup>7</sup>	1.17 × 10 <sup>8</sup>	1.47 × 10 <sup>8</sup>	-5	26	
$\mathbf{RC}(\mathbf{O})\mathbf{O}_2 + \mathbf{NO}_2 \rightarrow \mathbf{PANs}$	5.47 × 10 <sup>7</sup>	$6.45 \times 10^7$	4.63 × 10 <sup>7</sup>	9.19 × 10 <sup>7</sup>	18	98	
$HO + NO_2 \rightarrow HNO_3$	9 55 × 10 <sup>6</sup>	7.29 × 10 <sup>6</sup>	1.38 × 10 <sup>7</sup>	1.45 × 10 <sup>7</sup>	-24	5	
$RO_2 + NO \rightarrow RONO_2$	$3.85 \times 10^7$	2.36 × 10 <sup>7</sup>	5.67 × 10 <sup>7</sup>	3.72 × 10 <sup>7</sup>	- 39	- 34	
ROH + NO <sub>2</sub> → Nitrophenols	0.0	3.10 × 10 <sup>6</sup>	0.0	3.41 × 10 <sup>6</sup>	N/A <sup>b</sup>	N/A <sup>b</sup>	
NO-to-NO <sub>2</sub> Conversion	1.12 × 10 <sup>8</sup>	1.09 × 10 <sup>8</sup>	1.02 × 10 <sup>8</sup>	1.52 × 10 <sup>8</sup>	-3	50	
$CH_2O + hv$	$1.75 \times 10^{7}$	3.02 × 10 <sup>7</sup>	1.66 × 10 <sup>7</sup>	3.07 × 10 <sup>7</sup>	72	85	
Rad + Rad	$2.63 \times 10^{7}$	5.51 × 10 <sup>7</sup>	2.14 × 10 <sup>7</sup>	4.75 × 10 <sup>7</sup>	110	122	
$HO_2 + O_3 \rightarrow HO + 2O_2$	7.86 × 10 <sup>6</sup>	1.26 × 10 <sup>7</sup>	4.86 × 10 <sup>6</sup>	$1.43 \times 10^{7}$	60	195	

**Table 6.** Average Reaction Rates Over a 30-Hour Simulation and the Percent Change Between No Oxy and All Oxy Cases With  $\Delta$ [NO]<sub>0</sub>/ $\Delta$ [CO]<sub>0</sub> = 1% and 2%

\* the HO<sub>2</sub> + NO<sub>2</sub> = HNO<sub>4</sub> reaction has been excluded because it occurs equally in both directions.

<sup>b</sup> N/A, not applicable.

profile and has important implications for regional and global tropospheric chemistry. Hydroperoxides act as a reservoir of HO<sub>x</sub> and transport of hydroperoxides from the lower to the upper troposphere has been postulated in order to provide an additional HO<sub>x</sub> source required to sustain calculated HO<sub>x</sub> levels comparable to those actually measured [*Wennberg et al.*, 1998]. Our results

indicate that biomass combustion may represent an important source of hydroperoxides resulting from photochemical processing within smoke plumes. Increased  $H_2O_2$  concentrations may have additional significance for heterogeneous chemistry by increasing sulphate aerosol formation and cloud condensation nuclei concentrations [von Salzen et al., 2000].

**Table 7.** Average Concentrations over a 30-Hour Simulation Period, Total Number of Molecules Present (Plume Volume × Concentration) at the First Day Maximum and After 30 Hours, and Percent Change Between the No-Oxy and All-Oxy Cases With  $\Delta$ [NO]<sub>0</sub>/ $\Delta$ [CO]<sub>0</sub> = 1% and 2%

No Oxy entration, ppbv	All Oxy	$\overline{\Delta[\text{NO}]_0}/\Delta[\text{CO}]_0 = 1$	$\frac{1}{\Delta} \sqrt{\Delta} \sqrt{\Delta} \sqrt{\Delta} \sqrt{\Delta} \sqrt{\Delta} \sqrt{\Delta} \sqrt{\Delta} $
entration, ppbv	0.105		
0.084	0.105		
0 00000	0.105	44	25
0.00009	0.00010	-30	13
0.035	0.062	55	75
0.049	0.043	30	-11
105	176	-11	68
5.23	10.1	15	93
Day 1 Maximum,	molecules		
$\overline{77}$ 7.90 × 10 <sup>25</sup>	1.09 × 10 <sup>27</sup>	519	1275
27 7.42 × 10 <sup>26</sup>	1.68 × 10 <sup>27</sup>	137	126
<sup>25</sup> 1.29 × 10 <sup>24</sup>	$1.02 \times 10^{25}$	113	690
<sup>22</sup> 1.08 × 10 <sup>22</sup>	$2.52 \times 10^{22}$	-13	135
<sup>28</sup> 1.04 × 10 <sup>28</sup>	$1.61 \times 10^{28}$	-28	54
<sup>27</sup> 7.67 × $10^{26}$	1.44 × 10 <sup>27</sup>	34	88
End of 30 Hours,	molecules		
$\frac{1.23 \times 10^{26}}{1.23 \times 10^{26}}$	1.89 × 10 <sup>27</sup>	479	1437
<sup>27</sup> 1.16 × 10 <sup>27</sup>	3.43 × 10 <sup>27</sup>	203	197
<sup>28</sup> 1.50 × 10 <sup>28</sup>	$2.81 \times 10^{28}$	- 19	88
$1.25 \times 10^{27}$	$1.63 \times 10^{27}$	-16	30
	$\begin{array}{c} 0.004\\ 0.00009\\ 0.035\\ 0.049\\ 105\\ 5.23\\ \hline \end{array}$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$

#### 4.7. Net O<sub>3</sub> Production

It has previously been reported that net  $O_3$  production is largely independent of [VOC] under NO<sub>x</sub> sensitive conditions [*Sillman*, 1999]. However, our simulations show that the net effect of directly emitted oxygenates on overall  $O_3$  production is quite complex owing to the increase in radical species concentrations and subsequent increase in the rate of removal of NO<sub>x</sub> from the plume via Rad + NO<sub>x</sub> reactions.

Because O<sub>3</sub> production (O<sub>2</sub> + O<sup>3</sup>P  $\rightarrow$  O<sub>3</sub>) results from the photolysis of NO<sub>2</sub> (to yield NO +  $O^{3}P$ ), the decrease in NO<sub>r</sub> lifetime due to the addition of oxygenates is mimicked by O<sub>3</sub> production (Table 8) under both NO emission scenarios. Net O<sub>3</sub> production, however, takes into account changes in O<sub>3</sub> destruction, as well as  $O_3$  production. In the MM there are a total of 20  $O_3$ destruction reactions. The primary O<sub>3</sub> destruction reaction rates, combined as in Table 8, are shown in Figure 6. Overall  $O_3$ destruction decreases owing to the addition of the oxygenates for both NO emission scenarios (Table 8). This is mainly due to a decrease in the  $NO_x + O_3$  reactions (Table 8), an effect that naturally follows from the decrease in NO, lifetime. The decrease in O<sub>3</sub> destruction due to reaction with NO, is slightly counteracted by an increase in  $O_3$  destruction due to reaction with HO<sub>2</sub> (Table 8). Both Field et al. [2000] and Crutzen [1995] have noted the importance of the  $HO_2 + O_3$  reaction with respect to  $O_3$  depletion in NO<sub>x</sub>-poor environments. Hence both O<sub>3</sub> destruction and O<sub>3</sub> production are reduced owing to the addition of the oxygenated organic species, and net O<sub>3</sub> production results from a balancing of these two effects.

#### 4.8. Complex Effects on O<sub>3</sub> and OH

In the lower initial NO<sub>x</sub> simulations ( $\Delta$ [NO]<sub>0</sub>/ $\Delta$ [CO]<sub>0</sub> = 1%), the increased removal of NO, due to the addition of oxygenates causes a decrease in the average NO-to-NO<sub>2</sub> conversion rates (Table 6) and thus a decrease in the concentrations and production of O<sub>2</sub> and OH (Table 7). However, in the higher initial NO, models the increased removal of NO, is balanced by its increased initial concentration, and the average NO-to-NO2 conversion rates are in fact increased (Table 6), and so are  $O_3$  and OH (Table 7). Therefore unlike previous VOC-NO<sub>r</sub> models which found little dependence of O<sub>3</sub> production upon VOC emissions under NO<sub>r</sub> sensitive atmospheric processing conditions [Sillman, 1999], the smoke plume simulations reported here indicate a very complex relationship between O<sub>3</sub> formation and the initial concentrations of, at least, oxygenated organic compounds. Under certain conditions an increase in [VOC] through the addition of oxygenated organic species leads to an overall decrease in net O<sub>3</sub> production, while under other circumstances an equivalent increase in [VOC] leads to an increase in net O<sub>3</sub> production. The overall relationship between [VOC] and O<sub>3</sub> production is dictated by the available NO<sub>22</sub> as might be expected from the NO<sub>x</sub> sensitive conditions which dominate the oxygenated smoke plume photochemical simulations presented here. We believe the complexity which arises in the VOC-O<sub>3</sub> relationship owing to the direct emission of oxygenated organic species occurs not only because of increased VOC loading by highly reactive species to the system, but also because of the ability of oxygenates to photolyze, a pathway which is not available to hydrocarbons, leading to a direct source of radical species.

**Table 8.** Average O<sub>3</sub> Destruction, O<sub>3</sub> Production, and Net O<sub>3</sub> Production Rates Over 30-Hour Simulation, and the Percent Change between No Oxy and All Oxy Cases with  $\Delta$ [NO]<sub>0</sub>/ $\Delta$ [CO]<sub>0</sub> = 1% and 2%

		Reaction Rate	es, molecules/c	m <sup>3</sup> s			
	$\Delta$ [NO]//	$\Delta [CO]_0 = 1\%$	$\Delta$ [NO] <sub>0</sub> / $\Delta$ [C	$O_{1_0} = 2\%$	Change, %		
Reaction	No Oxy	All Oxy	No Oxy	All Oxy	$\Delta[NO]_0/\Delta[CO]_0 = 1\%$	$\Delta [NO]_0 / \Delta [CO]_0 = 29$	
O <sub>3</sub> -Destruction				_			
Individual Reactions							
$hv + O_3 \rightarrow O(^3P)$	8.48 × 10 <sup>8</sup>	8.31 × 10 <sup>8</sup>	6.41 × 10 <sup>8</sup>	1.04 × 10 <sup>9</sup>	-2	62	
$hv + O_3 \rightarrow O(^1D)$	$6.41 \times 10^{7}$	6.63 × 10 <sup>7</sup>	$5.05 \times 10^{7}$	8.06 × 10 <sup>7</sup>	4	60	
$NO + O_3 \rightarrow NO_2 + O_2$	9.63 × 10 <sup>8</sup>	$3.34 \times 10^{8}$	1.92 × 10 <sup>9</sup>	$8.64 \times 10^{8}$	-65	-55	
$NO_2 + O_3 \rightarrow NO_3 + O_2$	$1.15 \times 10^{7}$	5.49 × 10 <sup>6</sup>	1.90 × 10 <sup>7</sup>	$1.22 \times 10^{7}$	-52	-36	
$NO_2 + O_2 \rightarrow NO + 2O_2$	3.48 × 10 <sup>5</sup>	1.66 × 10 <sup>5</sup>	5.76 × 10 <sup>5</sup>	3.71 × 10 <sup>5</sup>	-52	-36	
$HO + O_3 \rightarrow HO_2 + O_2$	6.38 × 10 <sup>5</sup>	4.46 × 10 <sup>5</sup>	4.18 × 10 <sup>5</sup>	7.83 × 10 <sup>5</sup>	-30	88	
$HO_2 + O_3 \rightarrow HO + 2O_2$	7.86 × 10 <sup>6</sup>	1.26 × 10 <sup>7</sup>	4.86 × 10 <sup>6</sup>	1.43 × 10 <sup>7</sup>	60	195	
$C_2H_4 + O_3$ *	2.89 × 10 <sup>6</sup>	3.64 × 10 <sup>6</sup>	$2.40 \times 10^{6}$	3.49 × 10 <sup>6</sup>	26	46	
$C_3H_6 + O_3 \rightarrow $ <sup>a</sup>	8.55 × 10⁵	1.20 × 10 <sup>6</sup>	7.85 × 10 <sup>5</sup>	9.83 × 10 <sup>5</sup>	41	25	
$ud41 + O_3 \rightarrow a,b$	0.00	8.46 × 10 <sup>2</sup>	0.00	6.54 × 10 <sup>2</sup>	N/A <sup>c</sup>	N/A°	
Combined Reactions							
Total Photolysis	9.12 × 10 <sup>8</sup>	8.97 × 10 <sup>8</sup>	6.92 × 10 <sup>8</sup>	1.12 × 10 <sup>9</sup>	-2	61	
Total NO,	9.75 × 10 <sup>8</sup>	3.39 × 10 <sup>8</sup>	1.94 × 10 <sup>9</sup>	8.77 × 10 <sup>8</sup>	-65	-55	
Total HO,	8.50 × 10 <sup>6</sup>	1.31 × 10 <sup>7</sup>	5.28 × 10 <sup>6</sup>	1.51 × 10 <sup>7</sup>	54	187	
Total VOC	3.75 × 10 <sup>6</sup>	<b>4.84</b> × 10 <sup>6</sup>	3.18 × 10 <sup>6</sup>	4.48 × 10 <sup>6</sup>	29	41	
Total O <sub>3</sub> Destruction	1.90 ×10 <sup>9</sup>	1.25 × 10 <sup>9</sup>	2.64 × 10 <sup>9</sup>	2.01 × 10 <sup>9</sup>	-34	-24	
Total O <sub>3</sub> Production							
From $O_2 + O(^1P) \rightarrow O_3$	1.98 × 10 <sup>9</sup>	1.33 × 10 <sup>9</sup>	2.69 × 10 <sup>9</sup>	2.11 × 10 <sup>9</sup>	-33	-22	
Net O <sub>3</sub> Production	7.56 × 10 <sup>7</sup>	7.65 × 10 <sup>7</sup>	5.22 × 10 <sup>7</sup>	1.00 × 10 <sup>8</sup>	1	92	

\*First product is an epoxide leading eventually to carbonyl compounds.

<sup>b</sup>Here ud41  $\equiv$  HCOCH = CHCHO.

°N/A, not applicable.



**Figure 6.** Log of combined O<sub>3</sub> destruction reaction rates (molecules/ cm<sup>3</sup> s) versus time (hours) for the All-Oxy,  $\Delta$ [NO]<sub>0</sub>/ $\Delta$ [CO]<sub>0</sub> = 1%, simulation. Total photolysis, solid line; total NO<sub>x</sub>, dotted-dashed line; total HO<sub>x</sub>, dotted line; total VOC, dashed line.

#### 5. Comparison With Measurements

It is difficult to make valid quantitative comparisons between existing field measurements and our calculated species concentrations. This is because of the simplifications contained within our model and the rarity of appropriate measurements in isolated plumes only hours old. In order to keep the number of reactions manageable, while taking advantage of the detailed chemistry of the MM, we have restricted VOCs to lighter species containing three or less carbon atoms. These lightweight compounds probably represent the majority (by molecule) of HC emissions [Lobert et al., 1991; Hao et al., 1996], but they do not account for the full range of typical emissions. Additionally, our assumed clear-sky conditions could only be strictly representative of the upper levels of a smoke plume. Finally, our model neglects aerosol chemistry, which undoubtedly is a significant perturbation considering the high water solubility of some product species (e.g., hydroperoxides), as well as the high concentrations (up to milligrams per cubic meter) of particulates and water vapor present in a smoke plume.

In light of these and other assumptions, our main purpose then is to compare the results of smoke plume simulations obtained by using a standard initial profile of chemical species with and without added oxygenated organic species. The major result of added oxygenates is found to be increased production of nitrophenols and PANs (Table 7), leading to a decrease in  $NO_x$  lifetime within the smoke plume. Validation of these results would require accurate measurements of these organic species in biomass combustion affected air. We are aware of no such data, and our results suggest the need for measurement of these species, as well as investigation of their tropospheric chemistry.

Despite these difficulties, it is possible to make some comparisons. Our calculated values for excess  $H_2O_2$  in Table 3 are reasonably close to the 10 ppbv obtained in limited measurements "near" biomass combustion plumes at altitudes of less than 2 km during Transport and Atmospheric Chemistry Near the Equator-Atlantic (TRACE-A) as reported by *Lee et al.* [1998]. This agreement is obtained without assuming any direct production of  $H_2O_2$  from the fire itself. It also is consistent with TRACE-A analysis by *Mauzerall et al.* [1998], who reported an inability to find evidence of direct emissions of hydroperoxides from biomass

combustion but indicated that their net photochemical production within the smoke plume is sufficient to maintain enhancement ratios significantly above ambient, as is also found in our simulations. Furthermore, our calculated values for  $\Delta[O_3]/\Delta[CO]$ of  $\approx 8\%$  after 2.5 hours of photochemical processing are consistent with the 7.9  $\pm$  2.4%  $\Delta$ [O<sub>3</sub>]/ $\Delta$ [CO] measured 2.2  $\pm$  1 hours downwind in Alaskan smoke plumes with an average  $\Delta$ [NO]<sub>0</sub>/ $\Delta$ [CO]<sub>0</sub> of  $\approx$  1.5% [Goode et al., 2000]. Also present in these data is evidence of an initial, very rapid decrease in  $\Delta$ [NH<sub>3</sub>]/ $\Delta$ [CO] with a lifetime of 2.5 hours. While our predicted lifetime for this ratio is somewhat longer than this, the initial rapid decline is clearly present, especially in All-Oxy simulations. Similarly, we find an increase in  $\Delta$ [HCOOH]/ $\Delta$ [CO] in All-Oxy simulations as the plume evolves, as has been reported [Goode et al., 2000; Mauzerall et al., 1998]. Although our calculated absolute values of this ratio do not agree particularly well with the limited observations, this is not surprising, because our model was not designed to specifically replicate either situation. When a comparison is possible, the addition of oxygenates does seem to improve agreement with measurement; however, there is not yet an experimental data set with sufficient detail to determine if the simulations are truly better with or without oxygenates.

#### 6. Conclusion

Oxygenated organic compounds have been reported to be ubiquitous components of urban atmospheres and the free troposphere [Lewis et al., 2000; Singh et al., 1995; Tanner et al., 1988], as well as the biomass combustion smoke plumes central to the work reported here. We have incorporated six oxygenates (formaldehyde, acetic acid, formic acid, methanol, phenol, and hydroxyacetaldehyde), which have been identified to be present in the largest amounts in biomass combustion emissions [Griffith et al., 1991; Yokelson et al., 1996a, 1996b, 1997, 1999a; Goode et al., 1999; Goode et al., 2000], into photochemical simulations of the evolution of a smoke plume. There remain oxygenated and hydrocarbon-based species, such as terpenes, present in biomass combustion smoke [Yokelson et al., 1996a, 1996b; Goode et al., 1999] which have not been included in the simulations reported here owing mainly to uncertainties in their abundance and photochemistry.

The primary effect of the addition of oxygenated organic species into biomass combustion smoke plumes is to cause a decrease in  $NO_x$  lifetime by a factor of ~2 via Rad +  $NO_x$  termination reactions. The formation of PANs and nitrophenols represents a significant portion of the increase in Rad +  $NO_x$  reactions due to oxygenate addition. Since All-Oxy simulations occur under primarily  $NO_x$ sensitive conditions, the increased availability of radicals also leads to an increase in hydroperoxide concentrations via Rad + Rad termination reactions. The increase in hydroperoxides, as well as the preference for PAN formation over HNO<sub>3</sub>, are of interest as these species are longer-term pollutant reservoirs of HO<sub>x</sub> and  $NO_x$ , respectively, released into a regional atmosphere by biomass combustion.

The depletion of NO<sub>x</sub> (via the addition of oxygenated organic species) in an environment which is already NO<sub>x</sub> sensitive results in complex behavior of  $[O_3]$  and [OH], depending upon initial  $[NO_x]$ . When the initial  $[NO_x]$  is large enough to compensate for the increased removal of NO<sub>x</sub>, the addition of oxygenates leads to an overall increase in the NO-to-NO<sub>2</sub> conversion reactions and therefore an increase in O<sub>3</sub> and OH (as shown in the  $[NO]_0/[CO]_0$  = 2% simulations reported here). However, lower initial NO<sub>x</sub> concentrations may not be able to compensate for the increased removal of NO<sub>x</sub>, leading to a decrease in NO-to-NO<sub>2</sub> conversion

and a subsequent decrease in  $O_3$  and OH (as exemplified in the  $[NO]_0/[CO]_0 = 1\%$  simulations reported here). This intriguing result is contrary to previous VOC-NO<sub>x</sub> models which show little dependence of  $O_3$  production on [VOC] under NO<sub>x</sub> sensitive conditions. This difference is attributed to the ability of the oxygenates to photolyze, resulting in an additional direct source of radical species which may not be compensated for by the  $[NO_x]$  emissions.

These results demonstrate that directly emitted oxygenated organic species alter the product distribution profile, including affecting longer-lived species such as  $O_3$ , PANs, and hydroperoxides. Hence simulations intending to predict the quantities of various pollutants injected into regional atmospheres by biomass combustion, as well as those looking to understand the effects of biomass combustion on a global scale, need to include both direct emissions of oxygenated organic materials and a good estimate of initial [NO<sub>x</sub>].

Acknowledgments. This work was supported by the NASA Earth System Science Fellowship Program (ESS/98-0000-0184) (to S.A.A.) and by the National Science Foundation (ATM-9819295). The authors wish to thank the National Center for Atmospheric Research, Atmospheric Chemistry Division, Boulder, Colorado, especially Sasha Madronich and Peter Hess, for their contributions to this work, including access to the NCAR Master Mechanism, many helpful conversations, and sabbatical support to R.J.F. Changes in numerical detail were made in this manuscript between refereeing and publication owing to a correction of rate constant values that had little effect upon our major conclusions.

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(Received August 4, 2000; revised November 27, 2000; accepted January 3, 2001.)