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# Complex IV Deficient *Surf1-/-* Mice Initiate Mitochondrial Stress Responses

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### Summary

Mutations in SURF1 cytochrome c oxidase (COX) assembly protein are associated with Leigh's syndrome, a human mitochondrial disorder that manifests as severe mitochondrial phenotypes and early lethality. In contrast, mice lacking the Surf1 protein (*Surf1<sup>-/-</sup>*) are viable and were previously shown to have enhanced longevity and a greater than 50% reduction in COX activity. We measured mitochondrial function in heart and skeletal muscle, and despite the significant reduction in COX activity, we found little or no difference in reactive oxygen species (ROS) generation, membrane potential, ATP production or respiration in isolated mitochondria from *Surf1<sup>-/-</sup>* mice compared to wild-type. However, blood lactate levels are elevated and *Surf1<sup>-/-</sup>* mice have reduced running endurance, suggesting compromised mitochondrial energy metabolism *in vivo*. Decreased COX activity in *Surf1<sup>-/-</sup>* mice is associated with increased markers of mitochondrial biogenesis (PGC-1a and VDAC) in both heart and skeletal muscle. While mitochondrial biogenesis is a common response in the two tissues, skeletal muscle have an upregulation of the mitochondrial unfolded protein response (UPR<sup>MT</sup>) and heart exhibits induction

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of the Nrf2 antioxidant response pathway. These data are the first to report induction of the UPR<sup>MT</sup> in a mammalian model of diminished COX activity. In addition our results suggest that impaired mitochondrial function can lead to induction of mitochondrial stress pathways to confer protective effects on cellular homeostasis.

Loss of complex IV assembly factor Surf1 in mice results in compensatory responses including mitochondrial biogenesis, the nrf2 pathway and the mitochondrial unfolded protein response. This compensatory response may contribute to the lack of deleterious phenotypes under basal conditions.

### Keywords

Mitochondrial Unfolded Protein Response; Nrf2; Mitochondrial Biogenesis; Mitochondrial Function; Heme Oxygenase-1; Mitohormesis

### Introduction

Surfeit locus protein 1 (Surf1) is a nuclear encoded small hydrophobic protein localized to the mitochondrial inner membrane that aides in the initial assembly of 13 subunits of the cytochrome c oxidase (COX, Complex IV) holoenzyme. Complex IV facilitates the final transfer of electrons in the electron transport chain (ETC) from cytochrome c to molecular oxygen forming water and thus plays a key role in mitochondrial oxidative phosphorylation. In humans, loss of function mutations in *Surf1* lead to a devastating disease phenotype characterized by severe neurologic deficits and early lethality [1]. However, *Surf1<sup>-/-</sup>* mice engineered to express a truncated and unstable Surf1 protein do not display a deleterious phenotype, despite a significant reduction in complex IV activity. In fact, the complex IV deficient *Surf1<sup>-/-</sup>* mice have been reported to exhibit enhanced longevity compared to their wild-type littermates [2]. This phenotype linking mitochondrial dysfunction and increased longevity is consistent with recent studies in yeast, worms [3], and flies [4], in which certain mutations of the ETC can lead to extended lifespan.

We postulated that the loss of Surf1 and the reduction in Complex IV activity might initiate compensatory responses to mitochondrial stress that would be beneficial to cellular homeostasis. Previous studies in the long-lived *clk-1*, *isp-1* and *cco-1 C. elegans* mitochondrial mutants point to a potential role of the mitochondrial unfolded protein response (UPR<sup>MT</sup>) [5], mitochondrial biogenesis [6–8], and NF-E2-related factor (Nrf2) activation in mediating longevity in these mutants (see review by Pulliam, *et al.*, 2012)[9]. The UPR<sup>MT</sup> is an evolutionarily conserved signaling mechanism initiated by mitochondrial stress. In *C. elegans*, the UPR<sup>MT</sup> is mediated through the translocation of transcription factors UBL-5/DEV-1 and ATFS-1 (ZC376.7) from the cytosol to the nucleus [10–12]. This results in an increase in the expression of mitochondrial-specific chaperones such as heat shock protein 60 (hsp60) and proteases such as caseinolytic peptidase (ClpP) [13]. These proteins play an important role in mitochondrial protein quality control [14]. A role for this pathway in the maintenance of mitochondrial homeostasis has also been reported in mammalian cell culture and is positively regulated by Chop (C/EBP homology protein). Within twenty base pairs of the Chop DNA binding site, upstream and downstream, are two

conserved sequences, MURE1 and MURE2 (<u>Mitochondrial Unfolded Response Element1/2</u>) that positively regulate the expression of UPR<sup>MT</sup> proteins [15–17]. However, the identity of what binds MURE1 and MURE2 remains unknown.

Mitochondrial biogenesis is another mitochondrial homeostasis mechanism [18]. Mitochondrial biogenesis involves the production of new mitochondria, replication of mitochondrial DNA (mtDNA) and production of nuclear encoded mitochondrial proteins. This process is largely under the control of the transcription co-activator, peroxisome proliferator-activated receptor gamma co-activator 1-alpha (PGC-1 $\alpha$ ) [18]. Interestingly, in *C. elegans*, the lifespan extension mediated by ETC inhibition occurs only when the ETC is inhibited during L3/L4 development [7], and thus coincides with mitochondrial biogenesis [19]. The levels of mtDNA are also increased in the *isp-1* and *clk-1* mitochondrial mutants, consistent with an increase in mitochondrial biogenesis [6,8]. Thus, it has been postulated that mitochondrial biogenesis might be a significant factor underlying lifespan extension in response to ETC deficits in *C. elegans* [5].

Nrf2 transcription factor is an integral antioxidant-signaling mechanism. Under basal conditions Nrf2 is rapidly degraded by the proteasome. However, following oxidative stress, Nrf2 localizes to the nucleus where it binds the conserved antioxidant response element (ARE) DNA sequence. Binding of Nrf2 to the ARE results in the upregulation of many phase I and phase II detoxifying enzymes as well as antioxidants such as glutathione S-transferases, peroxiredoxins (prdx), thioredoxins (Trx), and heme-oxygenase 1 (HO-1) [20].

To test whether mitochondrial compensatory responses are up-regulated in the *Surf1<sup>-/-</sup>* mice, we measured mitochondrial function and changes in mitochondrial stress responses (UPR<sup>MT</sup>, mitochondrial proliferation, and Nrf2) in two highly energetic tissues, heart and skeletal muscle, in wild-type and long-lived *Surf1<sup>-/-</sup>* mice. We hypothesized that mechanisms associated with longevity in invertebrates might also be induced in a mammalian model of reduced ETC activity. Furthermore, we investigated *in vivo* physiological changes that result from loss of functional Surf1.

### **Materials and Methods**

### Animals

All experiments were performed with the approval by the Institutional Animal Care and Use Committee (IACUC) at the University of Texas Health Science Center at San Antonio.  $Surf1^{-/-}$  mice, generated as previously described [2] were bred from  $Surf1^{+/-}$  heterozygous crosses in a B6D2F1/J (C57/Bl6JxDBA2) background. All wild-type animals were littermate controls of the  $Surf1^{-/-}$  animals. Male mice aged 5–7 months were used for all experiments and sacrificed using CO<sub>2</sub> asphysiation.

### **Mitochondrial Isolation**

Heart and hind-limb skeletal muscle mitochondria were isolated using differential centrifugation as we have previously described [21]. Heart and hind-limb skeletal muscles were removed, rinsed and minced in Chappell-Perry Buffer I (100mM KCl, 50mM Tris-HCl, 5mM MgCl<sub>2</sub> and 1mM EDTA, pH 7.2) with 1mM ATP (Grade II, Sigma) and 1.5mg

protease (Type I: crude, from bovine pancreas, Sigma) per 0.5g tissue. The minced tissue was placed on a shaker for 10 minutes and then homogenized. The homogenate was spun at 600xg for 10 minutes. The supernatant was filtered through a cheesecloth followed by centrifugation at 14,000xg for 10 minutes. The supernatant was discarded and the pellet was resuspended in Chappell-Perry Buffer II (100mM KCl, 50mM Tris-HCl, 1mM MgCl<sub>2</sub> and 0.2mM EDTA, pH 7.2) with 0.2mM ATP and bovine serum albumin (100mg/100ml, Sigma) and spun at 7,000xg for 10 minutes. The pellet was resuspended in Chappell-Perry Buffer II with ATP and spun two times at 3,500xg. The final pellet was used for all mitochondrial assays.

### **Complex Activity Assays**

The final mitochondrial pellet was resuspended in ACA/BT buffer (750mM 6-Aminocaprioic acid, 50mM Bistris, pH 7.0) plus 1% n-dodecylmaltoside and 1× protease inhibitor (Cocktail set III, Calbiochem) for 45 minutes with constant agitation at 4°C. The suspension was then spun at 100,000xg for 15 minutes at 4°C. The protein concentration in the supernatant was measured using the Bradford method and then used for the complex activity assays as we have previously described [22].

### **Complex I Activity Assay**

Complex I activity was measured by monitoring the oxidation of nicotinomide adenine dinucleotide (NADH) with a spectrophotometer at 340nm with ubiquinone-2 as the electron acceptor in the presence of diclorophenolindophenol (DCIP) as described previously [22]. To perform the assay, 1ml of respiration buffer (250mM sucrose, 10mM KH<sub>2</sub>PO<sub>4</sub>, 1mM EGTA, 10mM Tris, pH 7.4) was placed into a polystyrene cuvette with 100 $\mu$ M NADH, 50 $\mu$ M DCIP, 2mM KCN and 2 $\mu$ M antimycin A. After setting up the blank, 50 $\mu$ M ubiquinone-2 was added followed by 20 $\mu$ g mitochondrial protein and the rate of change in absorbance was measured for one minute (dA/min). As a negative control, complex I activity was inhibited with the addition of 10 $\mu$ M rotenone and the rate was subtracted from the rate of NADH oxidation. The final rate (dA/min) was converted to mM/min/mg by dividing dA/min by 6.22<sup>-1</sup>mM cm (extinction coefficient for NADH) and then divided by the amount of mitochondrial protein. The final rate was normalized to wild-type control values.

### **Complex II Activity Assay**

Complex II activity was measured by succinate-dependent reduction of DCIP at 600nm using ubiquione-2 as an electron acceptor as previously described [22]. To perform the assay, 1ml of respiration buffer (250mM sucrose, 10mM KH<sub>2</sub>PO<sub>4</sub>, 1mM EGTA, 10mM Tris, pH 7.4) was placed into a polystyrene cuvette with 20mM succinate, 50 $\mu$ M DCIP, 2mM KCN, 2 $\mu$ M antimycin A. After setting up the blank, 50 $\mu$ M ubiquinone-2 (Sigma) was added followed by 40 $\mu$ g of mitochondrial protein and the rate of change in absorbance was measured for one minute. As a negative control, activity of complex II was inhibited by the addition of 2mM malonate and the rate was subtracted from the rate of DCIP reduction from complex II activity. The final rate (dA/min) was converted to mM/min/mg by dividing dA/min by 21 mM<sup>-1</sup> (extinction coefficient for succinate) and then divided by the amount of mitochondrial protein. The final rate was normalized to wild-type control values.

### **Complex III Activity Assay**

Complex III activity was measured by the reduction of cytochrome  $c^{3+}$  at 550 nm using Dubiquinol-2 as an electron acceptor as previously described [22]. Preparation of reduced ubiquinol: 100µl of ubiquinone (20mM in ethanol) was reduced with pinch of sodium borohydrate (Sigma). After mixing, 100µl of ethylene glycol was added to stop the reduction of ubiquinone. 2µl of 12M HCl was added and spun to separate the sodium borohydrate from the reduced ubiquinol-2. To perform the assay, 1ml of respiration buffer (250mM sucrose, 10mM KH<sub>2</sub>PO<sub>4</sub>, 1mM EGTA, 10mM Tris, pH 7.4) was placed into a polystyrene cuvette with 10µM rotenone, and 2mM KCN. After setting up the blank, 100µM D ubiquinol-2 was added followed by 10µg of mitochondrial protein and the rate of change in absorbance was measured for one minute. As a negative control 2µM antimycin A was added to inhibit the activity of complex III and the rate was subtracted from the rate of cytochrome c oxidation. The final rate (dA/min) was converted to mM/min/mg by dividing dA/min by 21 mM<sup>-1</sup> cm (extinction coefficient for cytochrome c) and then divided by the amount of mitochondrial protein. The final rate was normalized to wild-type control values.

### **Complex IV Activity Assay**

Complex IV activity was measured by monitoring the oxidation of cytochrome  $c^{2+}$  at 550nm using a spectrophotometer as previously described [22]. Preparation of reduced cytochrome  $c^{2+}$ : 500µl of 8mM cytochrome  $c^{3+}$  (Sigma) was reduced with sodium borohydrate (Sigma). To perform the assay, 1ml of the respiration buffer (250mM sucrose, 10mM KH<sub>2</sub>PO<sub>4</sub>, 1mM EGTA, 10mM Tris, pH 7.4) was placed into a polystyrene cuvette with 40µM cytochrome  $c^{2+}$ . After setting up the blank, 5µg of mitochondrial protein was added, and rate of cytochrome  $c^{2+}$  oxidation was measured at 550nm. As a negative control, complex IV activity was inhibited by the addition of 2mM KCN and the rate of change was then subtracted from the rate of cytochrome  $c^{2+}$  oxidation (dA/min). The rate of was converted to mM/min/mg by dividing dA/min by 21mM<sup>-1</sup> cm (extinction coefficient for cytochrome c) and then divided by the amount of mitochondrial protein. The final rate was normalized to wild-type control values.

### Mitochondrial Respiration

Mitochondrial oxygen consumption was measured using a Clark electrode (Oxytherm Oxygen Electrode Control Unit, Hansatech Instruments Ltd.) as previously described [23,24]. Briefly, mitochondria were resuspended in respiration buffer (250mM sucrose, 10mM KH<sub>2</sub>PO<sub>4</sub>, 1mM EGTA, 10mM Tris, pH 7.4) with 0.3% bovine serum albumin (BSA); glutamate/malate (5mM) was used as the respiratory substrate. State 3 respiration was initiated with the addition of 0.3mM ADP. State 4 respiration was measured as oxygen consumption following the consumption of ADP. Respiratory control ratio (RCR) was measured as state 3/state 4 respiration rates.

### **ATP Production**

ATP production was measured in freshly isolated heart or skeletal muscle mitochondria using the ATP Bioluminescence Assay Kit CLSII (Roche, Cat#1 699 695) and a fluorescent microplate reader at 562nm as previously described [22]. Freshly isolated mitochondria

were resuspended in ROS buffer (125mM KCl, 10mM HEPES, 5mM MgCl<sub>2</sub>, 2mM  $K_2$ HPO<sub>4</sub>, pH 7.44) to a final concentration of 0.04µg/µl. In a solid white 96 well plate, 100µl of ROS buffer with mitochondria per well were aliquoted. The complex II-linked substrates used were succinate (5mM) and rotenone (5nM). Complex I-linked substrates glutamate (2.5mM) and malate (2.5mM) for skeletal muscle mitochondria and pyruvate (2.5mM) and malate (2.5mM) for heart mitochondria were used. To each well, 100µl of luciferase reagent containing 0.3mM ADP was added and the kinetic luminescence was determined. The results were then calculated from a standard curve generated with ATP standard provided by

### **Membrane Potential**

Membrane potential was determined as previously described [25]. Mitochondria were resuspended in ROS buffer (125mM KCl, 10mM HEPES, 5mM MgCl<sub>2</sub>, 2mM K<sub>2</sub>HPO<sub>4</sub>, pH 7.44) at a concentration of 0.05µg/µl with 5µM Safranin O (ICN Biomedical Inc.) and 100µl were aliquoted into a black, flat bottom 96-well microplate. Plates with complete buffer and mitochondria were incubated at 37°C for five minutes before the addition of substrates. For complex I-linked substrates in skeletal muscle, glutamate (5mM) and malate (5mM) were used. For complex II-linked substrates succinate (10mM) and rotenone (1µM) were used. Fluorescence of the quench-dye Safranin O was measured using excitation at 485nm and emission at 590nm using a microplate reader and wells with only ROS buffer and Safranin O were used as a negative control.

### Hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) production assay

the manufacturer.

Mitochondrial release of  $H_2O_2$  was measured as we have previously described [26]. In the presence of horseradish peroxidase, the Amplex Red reagent reacts with hydrogen peroxide to produce the red fluorescent oxidation product, resorufin. The fluorescence of resorufin was measured with fluorescence microplate reader using excitation at 544nm and emission at 590nm. Isolated mitochondria were diluted in Amplex Red reagent buffer (Amplex Red 77.8µM (Invitrogen, cat#a22188), superoxide dismutase (SOD, 37.5units/ml), horse radish peroxidase 1unit/ml in ROS buffer) to a final concentration of  $0.4\mu g/\mu l$ . 100ul of diluted mitochondria were used per reaction. Resorufin fluorescence was measured following the addition of succinate (5mM) and rotenone (5nM) or glutamate (2.5mM) and malate (2.5mM). Hydrogen peroxide production was determined by comparing the value to an Amplex Red-H<sub>2</sub>O<sub>2</sub> standard curve.

### **Superoxide Production**

Extramitochondrial superoxide release was measured by EPR using the spin trap, 5-(diisopropoxyphosphoryl)-5-methyl-1-pyrroline-N-oxide (DIPPMPO, Alexis Biochemicals) as we have previously described [27]. DIPPMPO forms an adduct with superoxide, resulting in the generation of DIPPMPO-OOH, which decays to the DIPPMPO-OH adduct by the action of glutathione peroxidases. EPR measurements were performed using an X-band MS200 spectrometer (Magnetech, Berlin). Mitochondria (1 $\mu$ g/ $\mu$ l) were incubated at 37°C with DIPPMPO (50mM) for 30 minutes in 125mM KCl, 10mM MOPS, 2mM diethylene triamine pentaacetic acid, 5mM MgCl<sub>2</sub>, 2mM K<sub>2</sub>HPO<sub>4</sub>, pH 7.44 in the presence of succinate

(24mM), rotenone (2.4 $\mu$ M) and antimycin A. These substrates were used to drive respiration starting at complex II. Although it did not affect the DIPPMPO-OH signal, low levels of catalase (10units/ml) were also added, to prevent the appearance of small additional and unidentified spectrum peaks after extended incubation. For measurements, 40 $\mu$ l of sample was transferred to 50 $\mu$ l capillary tubes and measured at room temperature with the following settings: receiver gain, 5 × 10<sup>5</sup>; microwave power, 20 milliwatt; microwave frequency, 9.55GHz; modulation amplitude, 2G; scan time, 40 s; and scan width, 100 G, with an accumulation of 10 scans. EPR data are expressed as RIU (Relative Intensity Units)/20 $\mu$ g mitochondrial protein. As a negative control, measurements were also in the presence of SOD.

### **Electron Microscopy**

Heart and hind-limb skeletal muscle were excised and fixed in phosphate buffered 4% formaldehyde with 1% glutaraldehyde. The samples were rinsed in 0.1M phosphate buffer and then post-fixed with 1% Zetterqvist's buffered Osmium Tetroxide for 30 minutes. The samples were dehydrated in 70% alcohol for 10 minutes and then in 95% alcohol for 10 minutes. The samples were then twice dehydrated in 100% alcohol for 10 minutes and then twice dehydrated in propylene oxide for 10 minutes, for each dehydration. The samples were resin infiltrated first in a 1:1 propylene oxide/resin mix for 30 minutes and then in 100% resin for 30 minutes under 25psi vacuum. The samples were then flat-embedded in a BEEM capsule and filled to the top and polymerized at 85°C for 90 minutes. The embedded samples were then sectioned and stained with a drop of uranyl acetate and microwaved for 30 seconds. The section was then gently dipped into distilled water and placed on filter paper. A drop of Reynold's lead citrate stain was then placed on the section and microwaved for 20 seconds and then rinsed in distilled water. The section was then photographed at 20,000× using a JEOL 1230 transmission electron microscope with AMT imaging software. Five randomly chosen images per animal (n=3) were quantified for mitochondrial number and area using NIS-Elements BR imaging software.

### Western Blotting

Heart and gastrocnemius skeletal muscle were homogenized in buffer containing 50mM HEPES, 150mM NaCl, 2mM EDTA, 10% glycerol, 1%Igepal, 1mM MgCl<sub>2</sub>, 1mM CaCl<sub>2</sub> and 1× protease inhibitor. Homogenized samples were spun at 15,000xg for 10 minutes at 4°C and supernatant was collected. Protein concentrations were measured using the Bradford method and diluted to equal concentration. Samples were then diluted in a 1:1 ratio in Laemmli Sample Buffer (Bio-Rad) with 50µl 2-mercaptoethanol per 950µl Laemmli Sample Buffer and boiled for 10 minutes. The final samples were then run on a 4–20% gradient Criterion<sup>™</sup> XT precast gel (Bio-Rad) with 1× Tris/Glycine/SDS buffer. Gels were transferred to PVDF membrane using trans-blot SD semi-dry transfer cell (Bio-Rad) in Tris/ Glycine/SDS buffer containing 20% methanol. Blots were blocked for one hour in 1% bovine serum albumin (BSA) in TBS buffer containing 0.05% Tween-20 (TBS-T). Primary antibodies used: Hsp60 (StressGen), ClpP (Sigma), Lon (Dr. Luke Szweda Laboratory), Trx2 (AbCam), Chop (Cell Signaling), PGC-1α (AbCam), Tfam (Sigma), Complex II 70kDa subunit (Molecular Probes), VDAC (Cell-Signaling), Cytochrome C (Cell Signaling), Nrf2 (Dr. Scott Plafker Laboratory), Heme Oxygenase-1 (StressGen). Secondary

antibodies used were from Vector Laboratories. Western blots were imaged using premium x-ray film from Phenix Research Products.

### **Quantitative Real-Time PCR**

Total RNA was extracted from 50mg of flash-frozen hind-limb skeletal muscle and cardiac tissue using RNeasy Plus kit (Qiagen, cat# 73442). SuperScript II reverse transcriptase and random hexamer primers were used for first strand cDNA synthesis as per manufacturer's instructions (Life Technologies, cat# 18064071). Quantitative real-time PCR was performed with an ABI Prism using Power SYBR Green PCR Master Mix (Applied Biosystems, cat# 4367659). The calculations were performed by comparative method ( $2^{-\Delta\Delta CT}$ ) and the following primers were used: **Pgc1a** Forward 5'-CGGAAATCATATCCAACCAG-3' Reverse 5'-TGAGGACCGCTAGCAAGTTTG-3'; **Gamma-Actin** Forward 5'-GCCGCGGGTCCTT-3' Reverse 5'- ATGACGAGTGCGGCGATT-3'.

### **Open-Field Activity Monitoring**

Open-field activity monitoring is used to determine total activity as previously described [28]. Mice are placed in a large, clear cage  $(16"\times9"\times5.5")$  with a grid size  $(7\times15)$  for 40 hours with corncob bedding and free access to food and water. The mice are habituated to the apparatus for sixteen hours and data is recorded during the subsequent twenty-four hours to assess activity. The number of beam breaks across the X–Y axis is measured to determine total activity (Kinder Scientific, Poway, CA and Software from MotoMonitor). These values were summed during the 12-hour light and 12-hour dark cycle for each mouse.

### **Endurance Capacity**

Treadmill Running was used to measure endurance capacity as previously described [26] using a mouse treadmill (Columbus Instruments, Columbus, Ohio). Following an acclimation period of 10 minutes without treadmill movement, the treadmill was started at 7m/min for 5 minutes. The speed was increased to 12m/min for no longer than 2.5 hours. Animals were removed from the treadmill when they fell onto the encouragement platform and would not engage the treadmill with physical prodding. The experiment was performed by an individual blinded to the genotype of the animals.

### Lactate measurements

Blood lactate was measured using the Lactate Plus lactate meter (NOVA Biomedical) with blood collected from the tail as we have previously described [26].

### Grip Strength

A chatillion force gauge (Ametek) connected to a wire mesh was used to measure the grip strength of the animals. Each animal was grabbed by the tail and allowed to grip the wire mesh, once grip was established the animal was pulled back by its tail until the grip was lost. This was repeated 5 times per animal and the maximum grip strength was recorded. The experimenter was blinded to the genotypes of the animal.

### 2-D Echocardiography

Cardiac function was performed as previously described [29]. Mice were anaesthetized with isofluorane (0.5–2% in a 100% oxygen mix) and placed on an isothermal heat pad. Echocardiograms were performed using a Vevo  $770^{TM}$  High-Resolution In Vivo Imaging System (Visual Sonics), with heart rate continuously monitored throughout the experiment. Mice were given intraperitoneal injections of dobutamine (3µg/kg body weight) and 2-D echocardiography was performed prior to injection of dobutamine, and at 10 and 30 minutes following injection.

### Cardiac Glucose Uptake

This experiment was performed as described by [30]. Mice were anaesthetized under 1.2% isofluorane throughout the measurements 0.5mCi of<sup>18</sup> FDG dissolved in 1ml of physiologic saline solution was injected through the tail vein. 40 minutes were allowed for<sup>18</sup> FDG uptake before scanning. The animal was then moved to the scanner bed (Focus 220 MicroPET, Siemens, Nashville, USA) and placed in the prone position. Emission data was acquired for 20 minutes in a three-dimensional (3D) list mode with intrinsic resolution of 1.5mm. For image reconstruction, 3D PET data was rebinned into multiple frames of 1s duration using a Fourier algorithm. After rebinning the data, a 3D image was reconstructed for each frame using a 2D filtered back projection algorithm. Decay and dead time corrections were applied to the reconstruction process. Because<sup>18</sup> FDG is taken up by the whole body of the animal, we chose a "region of interest" (ROI) for our measurements that encompassed the whole heart, as outlined with a white line in Figure7, and determined CMR<sub>Glc</sub> for whole heart using the mean standardized uptake value (SUV) equation: SUV = (A×W)/A<sub>inj</sub>, where A is the activity of the brain, W is the body weight of the mouse, and A<sub>ini</sub> is the injection dose of <sup>18</sup> FDG.

### Results

### Cytochrome c oxidase activity is decreased in skeletal muscle and heart of Surf1<sup>-/-</sup>mice

To confirm the effect of loss of the *Surf1* protein on ETC complex activities, mitochondria were isolated from heart and hind-limb skeletal muscle (gastrocnemius) and the enzymatic activities of complexes I, II, III and IV were measured. Compared to wild-type control littermates, loss of Surf1 resulted in a 71% and 53% decrease in COX activity in heart (Figure 1A) and skeletal muscle (Figure 1B), respectively. The activities of the other ETC complexes were not affected (Figures 1A, 1B).

# State 3 respiration is decreased in heart, but not skeletal muscle, in mitochondria isolated from $Surf1^{-/-}$ mice

To determine the effect of diminished complex IV activity on mitochondrial respiration, we isolated mitochondria from heart and hind-limb skeletal muscle (gastrocnemius) of  $Surf1^{-/-}$  mice and measured mitochondrial state 3 and state 4 respiration using a Clark type electrode. While state 3 respiration was modestly decreased in heart mitochondria (-16%) from  $Surf1^{-/-}$  mice, it remained unchanged in skeletal muscle mitochondria compared to wild-type controls (Table 1). There was no significant difference in state 4 respiration between

 $Surf1^{-/-}$  and wild-type mice in either tissue. Respiratory control ratio (RCR), the ratio of state 3 to state 4 respiration and a measure of coupling of oxygen consumption to phosphorylation, was not affected between  $Surf1^{-/-}$  and wild-type mice in heart or skeletal muscle mitochondria (Table 1). Additionally, the ADP to oxygen ratio (P:O ratio) was not significantly different between  $Surf1^{-/-}$  and wild-type (Table 1). Together these data suggest there is no difference in the coupling efficiency of the mitochondria, despite a decrease in state 3 respiration in heart mitochondria.

## ATP production is unaffected by COX deficiency in isolated mitochondria from heart and skeletal muscle in *Surf1<sup>-/-</sup>* mice

A major function of the ETC is production of ATP. Because  $Surf1^{-/-}$  mice have a deficit in COX activity, we hypothesized this might result in a decrease in ATP production. To measure ATP production we used a luciferase-based assay with complex I- or complex II-linked substrates. Surprisingly, despite the reduction of COX activity, no significant difference in ATP production was found in isolated heart or skeletal muscle mitochondrial preparations from  $Surf1^{-/-}$  mice compared to wild type mice (Figures 2A, 2B). These data are in agreement with our recently published work showing no significant difference in ATP production in isolated brain mitochondria *in vitro* and no difference in ATP levels *in vivo* of  $Surf1^{-/-}$  brain [30].

# Membrane potential is decreased in heart, but not skeletal muscle mitochondria isolated from $Surf1^{-/-}$ mice

The ETC complexes I, III and IV pump protons from the mitochondrial matrix into the intermembrane space, creating an electrochemical gradient termed the membrane potential. This gradient is important for ATP production, mitochondrial calcium buffering, and protein import into the mitochondria. A previous study using primary neuron cultures from *Surf1*<sup>-/-</sup> and control mice did not find any difference in the membrane potential [2]. However, the membrane potential was not measured in other tissues or in isolated mitochondria. We measured membrane potential in heart and skeletal muscle mitochondria using the cationic fluorescent probe Safranin O that is accumulated and quenched inside energized mitochondria [31]. Membrane potential was significantly lower (-18%) in isolated heart mitochondria from *Surf1*<sup>-/-</sup> mice compared to wild-type mice (Figures 2C, 2D). This difference was observed using both complex I-linked substrates (pyruvate + malate) and complex II-linked substrates (succinate + rotenone) in heart mitochondria isolated from *Surf1*<sup>-/-</sup> and wild-type mice in the presence of substrates for complex I-linked (glutamate + malate) or complex II-linked substrates (succinate + rotenone).

# Mitochondrial ROS generation is not increased in heart or skeletal muscle mitochondria from $Surf1^{-/-}$ mice

Generation of reactive oxygen species (ROS) from the mitochondrial ETC complexes I and III can damage proteins, lipids and DNA and is thought to contribute to a number of deleterious phenotypes including aging [32]. We measured H<sub>2</sub>O<sub>2</sub> and superoxide release from isolated mitochondria using the Amplex Red assay and electron paramagnetic

resonance (EPR) with the spin trap DIPPMPO, respectively. In heart and skeletal muscle mitochondria,  $H_2O_2$  production in mitochondria respiring on complex I-linked substrates (pyruvate + malate or glutamate + malate) was not different between *Surf1*<sup>-/-</sup> and wild-type mice. However, with complex II-linked substrate and inhibition of reverse electron transfer through complex I using rotenone (succinate + rotenone), both heart and skeletal muscle mitochondria from *Surf1*<sup>-/-</sup> mice showed a significant decrease (-23%, and-7%, respectively) in H<sub>2</sub>O<sub>2</sub> production compared to mitochondria from wild-type mice (Figures 3A, 3B).

We also measured maximal superoxide production using complex II-linked substrate and inhibitors (succinate + rotenone + antimycin A) using EPR (Figure 3C). This assay allows specific measurement of superoxide anion release from isolated mitochondria. Consistent with the observations from the H<sub>2</sub>O<sub>2</sub> production assays, *Surf1<sup>-/-</sup>* heart mitochondria showed a significant decrease (-20%) in extra-mitochondrial superoxide release compared to wild-type mitochondria. However, superoxide release did not differ in skeletal muscle mitochondria from *Surf1<sup>-/-</sup>* and wild-type mice.

### Surf1<sup>-/-</sup> mice have an increase in mitochondrial number in heart and skeletal muscle

PGC-1a is a transcriptional co-activator that is an important regulator of mitochondrial biogenesis that responds to a variety of stimuli including calorie restriction or exercise [18]. We hypothesized that diminished complex IV activity might induce mitochondrial biogenesis to compensate for deficits in mitochondrial function. To test this hypothesis, we determined whether PGC-1 $\alpha$  levels were changed in Surf1<sup>-/-</sup> mice. We have previously shown that adipose tissue from  $Surf 1^{-/-}$  mice displays significantly increased levels of PGC-1a and increased mitochondrial biogenesis [33]. Here we found that PGC-1a protein is significantly upregulated in heart (66%) and skeletal muscle (2.3 fold) of  $Surf1^{-/-}$  mice compared to littermate controls (Figure 4C,4D). The mRNA level of PGC-1a was significantly elevated in skeletal muscle (1.1 fold) but unchanged in the heart (Figure 4E). The mitochondrial biogenesis marker mitochondrial transcription factor A (Tfam) was increased in skeletal muscle (1.1 fold) but unchanged in heart. In addition, succinate dehvdrogenase (complex II, 70 kDa subunit) in the inner mitochondrial membrane are significantly elevated in heart (43%) and skeletal muscle (70%). Voltage-dependent anion channel (VDAC) in the outer mitochondrial membrane are increased in heart (2.6 fold) and in skeletal muscle (1.7 fold), further supporting an increase in mitochondrial number. In heart, we used electron microscopy (EM) to confirm the changes in mitochondrial biogenesis (Figure 4A). We found a 10% elevation in number and a 53% increase in total area of mitochondria from  $Surf1^{-/-}$  heart EM sections (Figure 4B).

### Skeletal muscle from Surf1<sup>-/-</sup> mice exhibits elevated UPR<sup>MT</sup> associated proteins

The mitochondrial unfolded protein response (UPR<sup>MT</sup>) was shown to be required for mediating the longevity phenotype of the *C. elegans* mitochondrial mutants [5,34]. We hypothesized that the UPR<sup>MT</sup> may also be upregulated in the *Surf1<sup>-/-</sup>* mice. To test our hypothesis we measured protein levels of UPR<sup>MT</sup> markers in heart and hind-limb skeletal muscle (Figures 5A, 5C). The markers used to measure UPR<sup>MT</sup> induction include hsp60, ClpP, Lon protease, thioredoxin 2 (Trx2), and transcription factor C/EBP Homologous

Protein 10 (Chop). In heart tissue homogenates Lon (77%) and Trx2 (54%) were significantly up-regulated, but hsp60 or ClpP were not. Chop trended toward an increase in  $Surf1^{-/-}$  heart but was not statistically significant (54%, p=0.1). However, in skeletal muscle homogenates, hsp60 (51%,), ClpP (57%), Lon (29%), and Chop (22%) were all found to be significantly up-regulated, consistent with the activation of UPR<sup>MT</sup>.

# *Surf1<sup>-/-</sup>* heart does not show an induction in the mtUPR, but does show elevated Nrf2 and Heme Oxygenase-1 expression

Nuclear localization, and binding to the antioxidant response element (ARE) of Nrf2 results in the upregulation of genes including antioxidants and heme oxygenase-1 (HO-1). Induction of HO-1 has previously been shown to be cardioprotective and antiapoptotic following heart failure [35] and ischemia/reperfusion injury [36]. In heart tissue homogenates from  $Surf1^{-/-}$  mice there was a 68% increase in Nrf2 protein expression compared to wild-type. Consistent with increased Nrf2 expression, HO-1 (82%) was significantly increased in  $Surf1^{-/-}$  heart (Figure 5B). However, in skeletal muscle homogenates, the expression of Nrf2 and HO-1 did not differ between WT and  $Surf1^{-/-}$ mice (Figure 5D).

# In *Surf1<sup>-/-</sup>* mice, basal spontaneous activity is not affected; however, endurance capacity is significantly decreased and associated with increased blood lactate in response to moderate exercise

In order to determine whether the decrease in COX activity resulted in physiologic deficits, we measured both basal activity level and endurance capacity in wild-type and  $Surf1^{-/-}$ mice. To measure basal activity level, open field activity with laser break counts was used. The number of laser breaks was summed during the 12-hour light and 12-hour dark cycle separately (Figure 6A). No significant differences in cage activity was observed between  $Surf1^{-/-}$  and wild-type mice in either the light or the dark cycle suggesting that the Surf1 deletion does not affect basal activity level.

To determine if the decrease in COX activity would result in decreased exercise performance, we subjected wild-type and  $Surf1^{-/-}$  mice to endurance exercise on a mouse treadmill (Figure 6B).  $Surf1^{-/-}$  mice performed significantly worse compared to their wild-type littermates (p<0.001). This suggests that while lower COX activity does not affect basal activity of the animal, endurance capacity is significantly limited.

Surf1<sup>-/-</sup> mice were previously shown to have a significant increase in blood lactate under basal conditions [2]. Consistent with their findings, we found that Surf1<sup>-/-</sup> mice had a significant increase in blood lactate under basal conditions (28%). Following treadmill running for 15 and 35 minutes, the Surf1<sup>-/-</sup> mice have a significantly greater increase in blood lactate (15 min: 55%; 35 min: 72%) compared to wild-type mice (Figure 6C). In addition, Surf1<sup>-/-</sup> mice also showed a significant decrease in grip strength (-13%) (Figure 6D). Together these data support a limiting effect *in vivo* of mitochondrial function in the Surf1<sup>-/-</sup> mice in response to the reduction COX activity.

# Elevated glucose uptake and mitochondrial biogenesis may compensate for mitochondrial dysfunction and support normal cardiac function in *Surf1<sup>-/-</sup>* mice

Mitochondrial dysfunction in cardiac muscle has previously been shown to adversely affect cardiac function, namely endurance capacity. To determine whether the mild mitochondrial dysfunction in the Surf1<sup>-/-</sup> mice would adversely affect cardiac function under conditions of stress, we performed 2-D echocardiography before and 10 and 30 minutes after intraperitoneal injection of dobutamine, a  $\beta$ -adrenergic receptor agonist that stresses the heart by elevating heart rate. Heart rate, end diastolic dimension, and fractional shortening, were measured in wild-type and  $Surf1^{-/-}$  mice (Figures 7A–7C). We found no significant differences in any of these measurements between wild-type and  $Surfl^{-/-}$  mice and all animals displayed a normal response to dobutamine stress. In addition, we found no significant differences in end systolic dimension, septal wall thickness, or posterior wall thickness (data not shown). This suggests that  $Surf1^{-/-}$  mice have normal cardiac function despite in vitro decreases in COX activity, mitochondrial respiration and membrane potential in isolated mitochondria. To address this discrepancy, we measured *in vivo* glucose uptake in the heart of  $Surf1^{-/-}$  and wild-type animals.  $Surf1^{-/-}$  mice have a significant increase in glucose uptake as determined by PET scan (33%), suggesting a potential mechanism by which  $Surfl^{-/-}$  mice hearts compensate for sub-optimal mitochondrial function (Figures 7D and 7E).

### Discussion

The loss of the *Surf1* complex IV assembly protein in mice was previously shown to lower complex IV assembly, lower complex IV content and cytochrome oxidase activity and was unexpectedly associated with an increased median lifespan (~20% in both males and females) [2]. Because it is well established in *C. elegans* that ETC inhibition, including inhibition of complex IV, can result in increased lifespan, we reasoned that the Surf1–/– mice would provide an excellent opportunity to investigate potential mechanisms by which ETC inhibition might initiate protective mechanisms in a mammalian model. Here, using mitochondria from two highly energetic tissues, heart and skeletal muscle, we report that loss of Surf1 leads to induction of mitochondrial stress response pathways, including mitochondrial biogenesis, the UPRMT and Nrf2 activation.

Mice with a truncated Surf1 protein were originally generated to study Leigh's syndrome, a human mitochondrial disorder that stems from loss of function mutations in the Surf1 gene and is characterized by neurologic deficits and early lethality. Surprisingly, mice lacking the Surf1 protein lack any debilitating phenotype and paradoxically have an increase in lifespan. A key difference between human Leigh's syndrome and Surf1–/– mice is the relative decline in COX activity. Leigh's syndrome patients can have a greater than 90% loss of COX activity while Surf1–/– mice have a less severe decline of COX activity ranging from 50–75% of wild-type values across a number of tissues. Although the Surf1–/– mice do show mild changes in mitochondrial function, we propose that a less severe reduction in complex IV activity might actually lead to induction of compensatory pathways that prevent the deleterious phenotypes present in Leigh's syndrome.

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In light of the dramatic deleterious phenotypes of mitochondrial mutations in humans, we were surprised to find that the indices of mitochondrial function we measured in isolated mitochondria from Surf1-/- mice (e.g., generation of ROS, membrane potential, ATP production and respiration) failed to show dramatic changes, despite a substantial decline in complex IV activity. The fact that there was no reduction in ATP production is consistent with previous observations in a complex I mitochondrial mutant mouse model (Ndufs4 knockout mice) that reported no change in ATP levels despite undetectable complex I activity in isolated liver mitochondria measured spectrophotometrically. Unlike Surf1-/mice, the Ndufs4-/- mice exhibit a severe phenotype resulting in greatly reduced survival [37]. Although we did not find comprehensive mitochondrial dysfunction in the Surf1-/mice, our results do show some mild alterations in mitochondria isolated from the heart in Surf1-/- mice, including a 16% decrease in state 3 respiration and a 19% decrease in membrane potential. Because of the involvement of complex IV in respiration, we hypothesized that a decrease in COX assembly and Complex IV activity would result in a decline in oxygen consumption. The lower state 3 respiration in heart is consistent with our hypothesis and with a previous study in isolated brain mitochondria from Surf1-/- mice [30]. However, the in vitro decline in state 3 respiration may not be indicative of respiration levels in vivo, highlighted by the fact that there is no difference in whole animal respiration of the Surf1-/- mice compared to wild-type [33]. Surprisingly, in skeletal muscle, indices of mitochondrial function from Surf1-/- mice were virtually indistinguishable from wild-type mice. The mechanism behind the differential responses in heart and skeletal muscle is still unclear, but could be the result of a threshold effect, as complex IV activity was reduced to a greater extent (>70% reduction) in heart than in skeletal muscle ( $\sim$ 50%).

In skeletal muscle of Surf1–/– mice, decreased complex IV activity was associated with a significant decrease in grip strength but no change in muscle mass (data not shown), suggesting the muscles may be weaker. This phenotype is consistent with muscle weakness that occurs in humans with complex IV deficiency due to Surf1 mutations [1]. The Surf1–/– mice also show a pronounced reduction in endurance capacity that is associated with elevated levels of blood lactate prior to and after moderate exercise. Blood lactate during exercise is predominately produced from skeletal muscle and indicates a greater reliance on anaerobic glycolysis [38]. Surprisingly, despite a decrease in grip strength and endurance capacity in the Surf1–/– mice, there is no significant change in the overall basal activity level of the Surf1–/– mice, suggesting that the mitochondrial limitations are evident only under conditions of physiological challenge. These results and the data in heart mitochondrial function in vivo and in vitro in the Surf1–/– mice highlight the discrepancy between in vitro measures in isolated mitochondria and physiological parameters measured in vivo. Despite only mild alterations in Surf1–/– skeletal muscle mitochondrial function in vivo.

Our previous data suggest that the reduction in complex IV activity results in up-regulation of compensatory responses that are actually beneficial. For example, in a recent study we reported that in brain loss of Surf1 leads to decreased respiration and increased ROS generation in isolated brain mitochondria. However, these mild deficits were associated with elevated brain glucose metabolism, increased cerebral blood flow and surprisingly enhanced memory [30]. We have also reported that loss of Surf1 lead to key metabolic changes

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resulting in reduced adiposity, increased insulin sensitivity and induction of mitochondrial biogenesis in adipose tissue [33]. These findings suggest that mild mitochondrial dysfunction from loss of Surf1 may in fact have positive effects overall, and is consistent with the mitohormesis hypothesis that suggests mild mitochondrial dysfunction could be beneficial because it results in compensatory responses that overcome the deleterious effects [39].

Consistent with this idea, our current findings show that a number of stress response pathways including mitochondrial biogenesis, the UPRMT, and the Nrf2 pathway, pathways known to respond to mitochondrial stress, are elevated in Surf1-/- mice. For example, mitochondrial biogenesis has previously been shown to be elevated in response to energy stress conditions such as calorie restriction and endurance exercise in mice [18]. In C. elegans mitochondrial mutants, lifespan extension is limited to inhibition of the ETC during the L3/L4 stage of development [7] during which mitochondrial biogenesis occurs [19], supporting a potential link between mitochondrial biogenesis and lifespan extension. This is consistent with elevated levels of PGC-1 $\alpha$  in response to caloric restriction [40] which is linked to increased lifespan in a number of species. Mitochondrial biogenesis in mice overexpressing PGC-1a specifically in muscle is also associated with changes in insulin sensitivity and increased lifespan, further supporting a role for mitochondrial biogenesis in longevity[41,42]. In the Surf1-/- mice, markers of mitochondrial biogenesis are elevated in heart and skeletal muscle compared with wild-type mice. These data suggest that increasing the number of mitochondria is an important compensatory response to energy stress caused by Surf1 deficiency.

Nuclear factor-erythroid 2 p45-related factor 2 (Nrf2) is a transcription factor that coordinates the expression of ~200 genes involved in drug detoxification, endogenous antioxidant production, NADPH regeneration, and metabolism. Under basal conditions, Nrf2 is constitutively targeted for degradation by the proteasome. Following stress, however, Nrf2 binds the antioxidant response element resulting in the upregulation of cytoprotective genes. Among the genes upregulated by Nrf2 is heme oxygenase-1 (HO-1), which catalyzes the degradation of heme to ferrous iron, carbon monoxide, and biliverdin. These products are important in mediating the anti-oxidant, anti-inflammatory and antiapoptotic effects of HO-1 upregulation. Overexpression of HO-1 specifically in heart has been shown to be cardioprotective in response to ischaemia/reperfusion injury [36].Because of the role of Nrf2 and HO-1 in antioxidant defense and cardioprotection, we asked whether Nrf2 and HO-1 were altered in the Surf1-/- mice. Interestingly, protein levels of Nrf2 and heme-oxygenase 1 (HO-1) are increased in heart. The combined increase in the mitochondrial number, HO-1, and 33% enhanced glucose uptake we found in heart may compensate for the decline in function of the individual mitochondrion and allows for normal heart physiologic function.

Initiation of the UPRMT results in the upregulation of mitochondrial specific chaperones and proteases that aim to maintain mitochondrial proteostasis by refolding or degrading unfolded proteins. The UPRMT was shown to be required for the lifespan extension of the cco-1 complex IV mitochondrial mutant in C. elegans [5]. Furthermore, disruption of mitochondrial ribosomal protein S5 in *C. elegans* resulted in decreased mitochondrial

respiration and induced the UPR<sup>MT</sup> [34]. This compensatory response was attributed to a mitonuclear protein imbalance and also resulted in enhanced longevity of C. elegans, and showed similar increases in mammalian cell culture. These data, along with the data from Surf1<sup>-/-</sup> animals, add further evidence to the UPR<sup>MT</sup> being an evolutionarily conserved longevity response and merits further investigation. We find that some but not all proteins involved in the UPR<sup>MT</sup> are elevated in the heart. The most commonly used markers for UPRMT induction are Hsp60 and ClpP, however neither were upregulated in the heart of Surf1-/- mice. At this point it is unclear whether the increase in the UPRMT in response to COX deficiency is beneficial to skeletal muscle function. This tissue specificity of the UPRMT induction has important implications and merits further research into this pathway. While it may be important in maintaining the mitochondrial function under conditions of mitochondrial dysfunction, it is unclear what the physiological outcomes of this response are or what affect the UPRMT has on the lifespan of mammalian mitochondrial mutants. These results are significant because they provide evidence for an evolutionarily conserved stress response between invertebrates and mammals (UPRMT). Furthermore, this is the first report of the UPRMT in a mammalian in vivo model of mitochondrial ETC insufficiency. Studies are ongoing to define the potential role of the UPRMT in the longevity of the Surf1<sup>-/-</sup> mouse.

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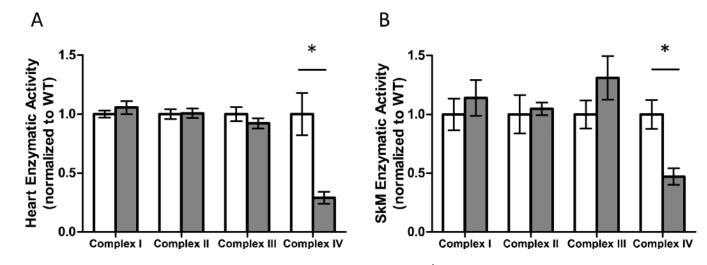
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**Figure 1. Complex IV activity is specifically affected in** *Surf1<sup>-/-</sup>* heart and skeletal muscle **A**. Enzymatic activity of complexes I, II, III, and IV in isolated heart mitochondria from wild-type (white bars) and *Surf1<sup>-/-</sup>* (gray bars) mice. Values are normalized to wild-type control for each assay. **B**. Enzymatic activity of complexes I, II, III, and IV in isolated skeletal muscle (SkM) mitochondria from wild-type (white bars) and *Surf1<sup>-/-</sup>* (gray bars) mice. N=5 per experimental group, data are means ± SEM and significance determined by 2-tailed t-test, \*p<0.05.

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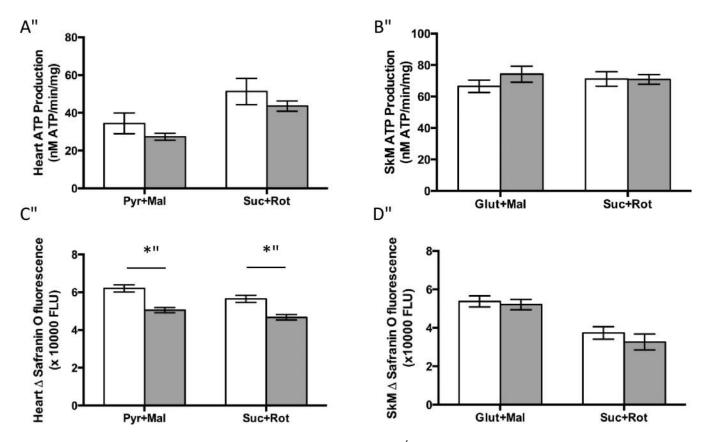


Figure 2. Mild reduction of membrane potential in  $Surf1^{-/-}$  heart but not skeletal muscle mitochondria and there is no effect on ATP production in either tissue

A. ATP production in isolated heart mitochondria using either complex I-linked (pyruvate + malate) or complex II-linked (succinate +rotenone) substrates. **B**. ATP production in isolated skeletal muscle mitochondria using either complex I-linked (glutamate + malate) or complex II-linked (succinate +rotenone) substrates. **C**. Membrane potential was measured by the change in Safranin O fluorescence using either complex I-linked substrates (pyruvate +malate) or complex II-linked substrates (succinate + rotenone) in isolated heart mitochondria. Data are shown as fluorescent units ×1000. **D**. Membrane potential was measured by the change in Safranin O fluorescence using either complex I-linked substrates (glutamate + malate) or complex II-linked substrates (succinate + rotenone) in isolated heart mitochondria. Data are shown as fluorescence using either complex I-linked substrates (glutamate +malate) or complex II-linked substrates (succinate + rotenone) in isolated substrates (glutamate +malate) or complex II-linked substrates (succinate + rotenone) in isolated skeletal muscle mitochondria. N=5–10 per experimental group, data are means ± SEM and significance determined by 2-tailed t-test, \*p<0.05. pyr=pyruvate, mal=malate, suc=succinate, rot=rotenone

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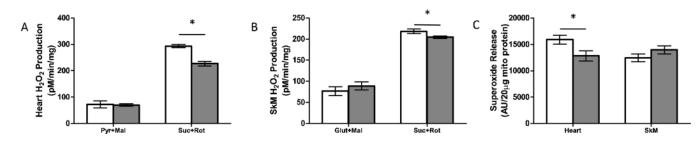
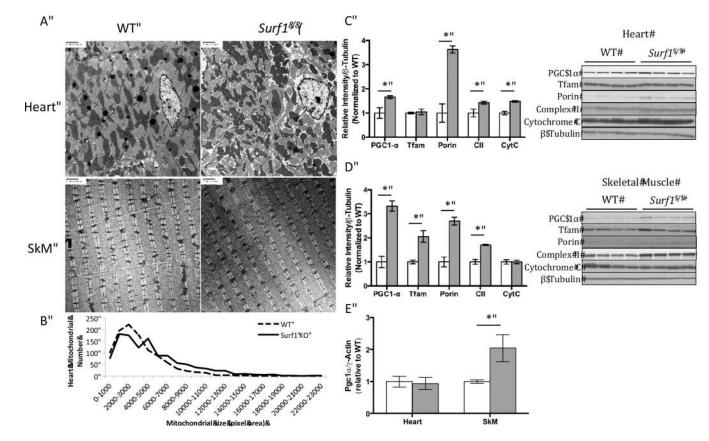


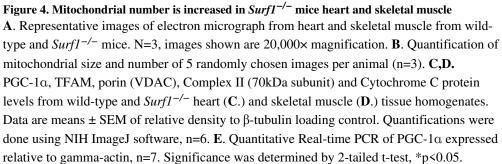
Figure 3. ROS production is not increased in mitochondria isolated from *Surf1<sup>-/-</sup>* mice

For all graphs pyr=pyruvate, mal= malate, suc=succinate, rot=rotenone, glut=glutamate, AntiA= antimycin A, SkM= skeletal muscle. White bars indicate wild-type and gray bars indicate *Surf1<sup>-/-</sup>* mice, n=6–10 for all assays. **A.** H<sub>2</sub>O<sub>2</sub> production in isolated heart mitochondria assayed with complex I-linked substrates (pyr+mal) or complex II-linked substrates (suc+rot). **B**. H<sub>2</sub>O<sub>2</sub> production in isolated hind-limb skeletal muscle mitochondria with complex I-linked (glut+mal) or complex II-linked substrates. **C**. Maximal superoxide production in isolated heart and skeletal muscle mitochondria. Data are shown as mean ± SEM and significance determined by 2-tailed t-test, \*p<0.05.

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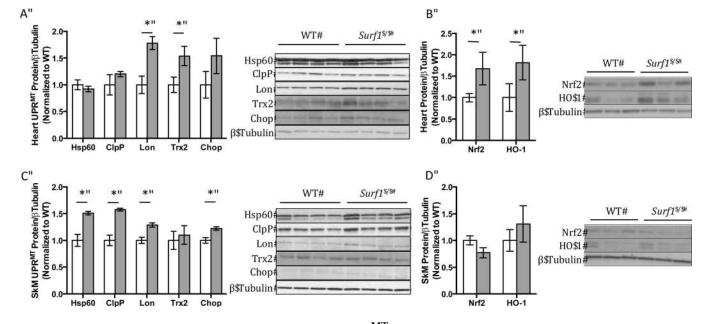


Figure 5. Induction of proteins involved in the UPR<sup>MT</sup> and Nrf2 pathway in heart and skeletal muscle from  $Surf1^{-/-}$  mice

Representative western blots and quantification of western blots of UPR<sup>MT</sup> associated proteins (**A,C.**) (Hsp60, ClpP, Lon protease, Thioredoxin 2 (Trx2), and Chop) or Nrf2 and heme oxygenase-1 (HO-1) (**B,D.**). White bars indicate values from wild-type mice and gray bars indicate values from *Surf1<sup>-/-</sup>* mice for heart (**A,B.**) and skeletal muscle (**C,D**.) tissue homogenates, n=4–6. Data shown are means ± SEM of relative densities of each protein assayed to  $\beta$ -tubulin loading control. Quantifications were done using NIH ImageJ software and significance determined by 2-tailed t-test, \*p<0.05.

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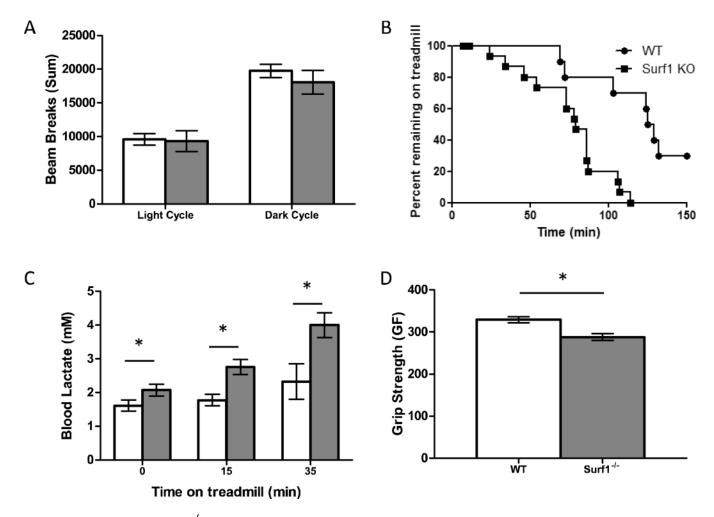


Figure 6. *Surf1<sup>-/-</sup>*mice show no difference in basal activity but detriments in grip strength and endurance capacity associated with elevated blood lactate

A. Activity of wild-type (white bars) and  $Surf1^{-/-}$  (gray bars) mice measured during the light and dark cycle over a 24-hour period. Activity was recorded as number of laser breaks and summed for each animal, n=8. Data are means ± SEM. **B.** Endurance capacity in wild-type (circles) and  $Surf1^{-/-}$  (squares) mice. Each point represents a single animal and the time removed due to exhaustion, up to 150 minutes. Data is significant (p=0.0007) as determined by log-rank mantel-cox test; n=15–20. **C**. Blood lactate levels following moderate exercise. Wild-type and  $Surf1^{-/-}$  mice had blood lactate readings measured prior to treadmill running at 12m/min and then measured immediately following 15 and 35 minutes of treadmill running. n=6–9. **D**. Grip strength for wild-type and  $Surf1^{-/-}$  animals in grams force. For each animal, the value for grip strength was the best of five attempts, n=17 animals. Data are means ± SEM and are significant at each time point by 2-tailed t-test,\*p<0.05.

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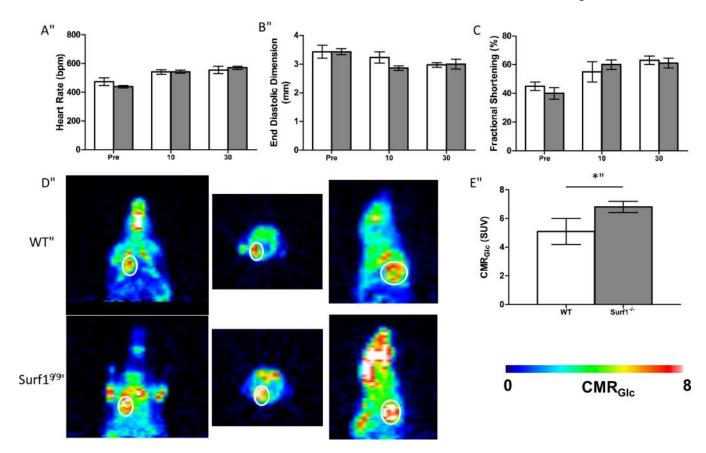


Figure 7. No difference in cardiac function between wild-type and  $Surf1^{-/-}$  mice but enhanced glucose uptake in heart of  $Surf1^{-/-}$  mice, *in vivo* 

For all graphs, white bars indicate wild-type and gray bars indicate  $Surf1^{-/-}$  mice. A. Heart rate in beats per minute prior to and 10 and 30 minutes following treatment with dobutamine, n=4–5. B. End diastolic dimension as measured by 2-D echocardiography prior to and 10 and 30 minutes following dobutamine stress treatment. C. Fractional shortening as measured by 2-D echocardiography prior to and 10 and 30 minutes following treatment with dobutamine. D. *In vivo* cardiac metabolic rate of glucose (CMR<sub>Glc</sub>) maps of representative wild type and  $Surf1^{-/-}$  mice obtained by PET. Circle indicates the location of the heart. Color indicates rate of <sup>18</sup> FDG uptake, n=6. E. Quantification of *in vivo* metabolic glucose uptake. Data are means ± SEM. Significance was determined by 2-tailed t-test, \*p<0.05.

# Table 1

# Isolated mitochondrial respiration in heart and skeletal muscle from $Surf^{I-/-}$ and wild-type mice

State 3, state 4, respiratory control ratio (RCR) and ADP to oxygen ratio (P:O) were measured in isolated heart or hind-limb skeletal muscle mitochondria. N=6-10 per experimental group, data are means  $\pm$  SEM and significance determined by 2-tailed t-test.

Tissue	Genotype	State 3 State 4	State 4	RCR (State3/State4)	ADP:O
Heart	Wild-type	325±27	58±5	$5.3 \pm 0.5$	$1.98 \pm 0.2$
	Surf1 <sup>-/-</sup>	264±17 *	59±6	$4.8 \pm 0.6$	$2.10\pm0.2$
Skeletal	Wild-type	548±58	53±3	$10.5\pm1.3$	$3.38 \pm 0.4$
Muscle	Surf1 <sup>-/-</sup>	527±44	52±3	10.7±1.6	$2.93\pm0.4$