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## Concomitant Aortic Valve Procedures in Patients Undergoing Implantation of Continuous-Flow LVADs: An INTERMACS Database Analysis

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### Abstract

**Background**—Management of existing aortic insufficiency (AI) and mechanical aortic valves in patients undergoing left ventricular assist device (LVAD) implantation remains controversial. Surgical options to address these issues include closure, repair or replacement of the valve.

**Methods**—Continuous flow LVAD/BiVAD patients entered into the INTERMACS database between June 2006 to December 2012 were included (n=5,344). Outcomes were compared between patients who underwent aortic valve (AV) closure (n=125), repair (n=95) and replacement (n=85).

**Results**—Among patients that underwent an aortic valve procedure, actuarial survival was significantly reduced for AV closures (63.2%) compared to AV repairs (76.8%) and replacements (71.8%, p=0.0003). Differences were greater between groups when only INTERMACS level 1-2 patients were analyzed (p=0.003). After multivariate adjustment, aortic valve closure remained a significant risk factor for mortality (HR=1.87, 95% CI=1.39-2.53, p<0.0001). At six to twelve months postoperatively, moderate to severe AI developed in 19%, 5%, 9% and 10% of patients with available echocardiography who underwent repair, closure, replacement and no intervention, respectively (p<0.0001). Competing outcomes demonstrate that at 1-year fewer patients with aortic valve closures were transplanted compared to patients with repairs/replacements (14% vs.

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Disclosures

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19%). No differences were observed between groups with respect to cause of death, re-hospitalization, right heart failure or stroke.

**Conclusions**—AV closure was associated with increased mortality when compared to repair or replacement in patients with AI that underwent LVAD insertion. The reasons for this association require further investigation. This is the largest study to date to examine concomitant AV procedures in patients undergoing LVAD insertion.

### Keywords

left ventricular assist device; aortic valve; aortic valve closure; aortic valve repair; aortic valve replacement

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## Introduction

Untreated aortic insufficiency in patients undergoing left ventricular assist device (LVAD) implantation compromises unloading by creating a short circulatory loop whereby blood pumped into the aorta is returned directly to the device through the incompetent valve. Regurgitation through the aortic valve (AV) diminishes systemic perfusion, elevates left heart filling pressures and increases LVAD flow (1, 2). This derangement is compounded by the progression of even minor aortic insufficiency with time on device support (2-8). Furthermore, existing mechanical aortic prostheses convey an increased risk for stroke from thromboembolism (2). For these reasons, moderate or greater aortic regurgitation and existing mechanical aortic valves have historically been considered contraindications to LVAD support (2).

Current strategies to address these problems include AV closure, AV repair and AV replacement, but there is little data on short-or long-term outcomes to guide management (9, 10). This study utilizes the Interagency Registry for Mechanically Assisted Circulatory Support (INTERMACS) Database to compare outcomes for different approaches to concomitant aortic valve procedures during implantation of continuous-flow LVADs (cf-LVAD).

## Methods

### INTERMACS Database and Study Population

The Interagency Registry of Mechanically Assisted Devices (INTERMACS) is a prospective national registry of approved, durable mechanical cardiac support devices that was established in 2005. INTERMACS represents a collaboration between the NHLBI, the FDA, the Centers for Medicare and Medicaid Services, industry, surgeons and implanting centers (11). Protocols were approved by the institutional review boards at the Data Coordinating Center at the University of Alabama at Birmingham and at each participating hospital, and registry data is monitored by an NIH-appointed independent Observational Study Monitoring Board.

Between June 2006 and December 2012, 6,721 adult patients (aged 18 years) who received a cf-LVAD were recorded in the INTERMACS database. While INTERMACS does not

currently allow publication of brand names for devices, it should be noted that the HeartWare HVAD was only approved in November 2012, so the vast majority of devices in our study were HeartMate II LVADs. After excluding unverified data as described below, 5,204 cf-LVAD patients and 140 BiVAD patients remained in the study for a total cohort of 5,344 patients from 112 different institutions. Of these, 125 patients had an AV closure, 95 had an AV repair, 85 had an AV replacement and 5,039 did not have any AV procedure performed. These four groups constitute the study populations.

### **INTERMACS Database Audit**

Data regarding the type of aortic valve repair and data on aortic valve closure were collected retrospectively. INTERMACS data for patients with a documented concomitant aortic valve repair were audited to determine if patients underwent a repair or closure. Operative notes were reviewed by registry data entry personnel to determine whether the procedure closed the aortic valve completely (closure) or served to eliminate aortic insufficiency while allowing blood to be ejected through the repaired valve. Central closure, or the Park's stitch, was therefore considered a repair (12). Excerpts from operative reports were reviewed by J.O.R. and S.C.S. for all patients listed as having a repair and for any patients for which there was uncertainty as to whether a patient had a closure or a repair. Additionally, data for any patients who were listed in the database as having preoperative moderate to severe aortic insufficiency but no aortic valve procedure were audited for accuracy. We received responses to our audit from 72% of participating centers. Responses were not received from 19 hospitals, and all patients from non-responding hospitals were excluded from the analysis.

### **Data Collection and Follow-up**

Demographic, hemodynamic, comorbidity, and heart failure severity data were collected prior to implant. Data regarding implantation, transplantation or device explant, hospital readmissions and major adverse events (MAEs) were collected prospectively. Routine follow-up data, including echocardiography, was collected at 1 week, 1 month, 3 months, 6 month, 12 months and every 6 months thereafter. Mean follow-up for the study population was 12.33 months. Details regarding any MAEs were reviewed by the INTERMACS Adverse Event Committee and the INTERMACS data monitors, and causes were adjudicated.

### **Statistics**

Summary statistics are presented as percentages for categorical variables and as the mean  $\pm$  SD for continuous variables. Baseline characteristics were compared between groups using the chi-square test for categorical variables. For continuous variables, the t-test was used for two group comparisons, and a one-way analysis of variance test was used for comparisons of greater than two groups.

The primary outcomes considered were overall and 1-year mortality, rehospitalization, right heart failure, stroke and renal failure. Definitions of these adverse events have been previously published (13). Survival and time to event outcomes were analyzed between groups using Kaplan-Meier plots and curves were compared using the log-rank test. Data

were censored at transplantation or device explant due to recovery. Competing outcomes methodology was used to estimate the probability of different possible outcomes over time.

In order to identify risk factors for death and to assess the unadjusted and adjusted effect of aortic valve intervention and aortic valve regurgitation, a multivariable parametric proportional hazard analysis was performed. This method is analogous to Cox proportional hazard regression except that the underlying hazard function is explicitly modeled and tested. A constant hazard function was deemed to be sufficient for the analysis. Stepwise forward selection was utilized to identify the significant ( $p<0.05$ ) risk factors. Variables examined in this model are detailed in Appendix 1.

## Results

### Baseline, Clinical and Operative Characteristics

Compared to patients that underwent an AV Procedure (AVP), those without an AVP were younger ( $p<0.0001$ ), had lower BMIs ( $p<0.0001$ ), lower BNPs ( $p=0.002$ ), lower creatinine ( $p=0.02$ ), less carotid artery disease ( $p=0.03$ ), fewer strokes ( $p=0.02$ ), more coronary artery disease ( $p=0.03$ ), and a less frequent history of prior valve surgery ( $p<0.0001$ ) (Table 1). Among those without a concomitant AVP, 33.8% of patients had another concomitant surgery. Those without an AVP were also more likely to be listed as BTT ( $p=0.005$ ), less likely to be listed as destination therapy ( $p<0.0001$ ), more frequently current smokers ( $p=0.01$ ) and less commonly married ( $p=0.0009$ ), male ( $p=0.0005$ ), and Caucasian ( $p=0.05$ ) (Table 1). Fewer differences were noted between the different AVP groups (Table 1). Time on cardiopulmonary bypass for each group is listed (Table 1).

At least 74.7% (71/95) of AV repair patients had a procedure that included central aortic closure, and 69.5% (66/95) of AV repair patients underwent only central aortic closure. The type of repair was uncertain in 15.8% (15/95) of patients.

RVADs included in this study were all temporary and included 9.3% (13/140) pulsatile devices and 90.7% (127/140) centrifugal devices. Two patients each with temporary RVADs were included in the AV closure (3.2%), repair (2.1%) and replacement (2.4%) groups, and the remaining RVAD patients were part of the group that did not have an AV procedure performed (2.7%).

### Patient Survival by Group and Analysis of Competing Outcomes

Overall patient survival is compared between groups using a Kaplan-Meier analysis in Figure 1. Survival at 1-year was 81% for patients who did not undergo an AVP, 79% for patients that underwent an AV repair, 72% for patients with an AV replacement, and 64% for those with an AV closure ( $p=0.0003$ ). Importantly, while there was some late hazard of mortality, the curves separate at the greatest rate within the first three postoperative months. These relative differences held when data was compared between groups for both INTERMACS level 1-2 patients and INTERMACS level 3-7 patients, although the differences were more pronounced in the level 1-2 patients (Figures 2). One year post-implant, survivals for INTERMACS level 1-2 patients with no AVP, AV repair, AV replacement and AV closure were 79%, 76%, 67% and 56% respectively ( $p=0.003$ , Figure

2). For these same groups, one year post-implant survivals for INTERMACS level 3-7 patients were 84%, 82%, 75% and 73% ( $p=0.04$ ).

When competing outcomes are analyzed between groups, it is evident that patients with AV closures are substantially less likely to be transplanted by 1-year (14%) when compared to patients with an AV repair/replacement (19%) or no AVP (23%) (Figure 3 A-C). Early (<3 months) and late (≥3 months) causes of mortality are described in Tables 2 and 3. Of note, early mortality in patients with concomitant aortic valve closures is most commonly from respiratory failure (25% [6/24]) and major bleeding (20.8% [5/24]).

### Postoperative Complications

Time to rehospitalization, right heart failure, stroke and renal dysfunction were compared between groups using Kaplan-Meier analyses, and no significant differences were noted. While patients that underwent an AV procedure had higher incidences of renal dysfunction at one year compared to those without an AVP ( $p=0.02$ ), freedom from renal dysfunction was similar between AVP groups ( $p=0.79$ ). Mean postoperative ICU and hospital lengths of stay are detailed in Table 1.

### Multivariate Model

Significant risk factors for postoperative death after multivariate adjustment are listed in Table 4. Of note, AV closure is significantly associated with death in both the unadjusted (HR=2.67 [95% CI: 1.77-4.01],  $p<0.0001$ ) and adjusted (HR=1.87, [95% CI: 1.39-2.53]  $p<0.0001$ ) models. After multivariate correction, this risk is on par with the mortality risk imparted from dialysis (HR=1.85, [95% CI: 1.32-2.58]  $p=0.0003$ ) and only exceeded by that associated with implantation of a Bi-VAD (HR=2.34, [95% CI: 1.80-3.05]  $p<0.0001$ ).

### Postoperative Development of Aortic Insufficiency

The development of postoperative aortic insufficiency is depicted over time between groups (Figure 4). Despite undergoing an AVP, there is still an incidence of early recurrence of moderate to severe AV insufficiency. By 6 to 12 months, moderate to severe aortic insufficiency has developed in 18% of patients with AV repairs, 10% of patients with no AV procedure, 9% of patients with AV replacements and 5% with AV closures ( $p<0.0001$ ).

### Discussion

The best approach for dealing with aortic insufficiency at the time of cf-LVAD implantation has been debated in the literature with no clear consensus on whether to perform AV repair, replacement or closure. The primary finding of this study is that AV closure significantly increases mortality, whereas patients who receive an AV repair have short- and long-term survival that is comparable to cf-LVAD patients that did not undergo an AVP. The limitation to AV repair is a higher incidence of postoperative aortic insufficiency.

Previous studies have disagreed on whether mortality after concomitant AVP increases (9, 14, 15), with some studies demonstrating either equivalent or improved survival (1, 9, 10, 16). However, the majority of reports are from single center studies with fewer than 20

varied AVPs included in their analyses. The largest of these studies utilized data from the HeartMate II bridge to transplant (n=470) and destination therapy (n=636) clinical trials, which completed enrollment in January 2010 and included 80 total AVPs (18 repairs, 32 closures and 30 replacements) (14). In that study, 180-day mortality was significantly higher for patients undergoing isolated AVPs (29.1% vs. 15.9%,  $p=0.023$ ). Long term survival at 1- and 2- years was also reduced with an AVP (75% vs. 57% and 64% vs. 43%, respectively,  $p=0.001$ ), and these differences held even after adjusting for baseline risk using the HeartMate II risk score ( $p=0.002$ ). Mortality in our population as a whole was lower, possibly reflecting the improved results that have been achievable since enrollment in those trials was completed. We similarly see reduced survival with AVPs, but in our study, mortality is primarily driven by AV closure. The HeartMate II trial data shows 30-day mortality that was lowest for AV closure at 6.3% (2/32), followed by replacement at 13% (4/30) and repair at 18% (3/18). The reasons for these variations from our data are unknown; however, the sample sizes and event rates are low enough that the differences seen in the HeartMate II trials are not statistically significant. Importantly, a database audit was performed to ensure data accuracy and all conflicts were adjudicated by the same two authors (J.O.R and S.C.S.) to determine what type of AVP was performed, resulting in internal consistency and accuracy of the present analysis.

While the mortality differences noted in our study are significant between groups for all INTERMACS levels, the differences are most apparent with INTERMACS level 1-2 patients. One prior report has also suggested that outcomes with AVPs may differ according to INTERMACS level. A study by Dranishnikov, *et al.* examined concomitant AVR for INTERMACS level 1-2 patients (n=7) and INTERMACS level 3-7 patients (n=12) and compared results to patients from the same INTERMACS levels that did not undergo an AVR. INTERMACS level 1-2 AVR patients had significantly increased in-hospital mortality (57% [4/7] vs. 20% [32/162],  $p=0.038$ ) and a trend towards increased 30-day mortality (29% [2/7] vs. 15% [25/162],  $p=0.31$ ), but no significant differences were observed for INTERMACS level 3-7 patients. This suggests that proper selection of type of AVP is even more important in sicker patients.

Importantly, the increased mortality observed with AV closures is accompanied by a smaller percentage of patients who are eventually transplanted. Some of the differences observed may be due to surgeon bias in treating aortic insufficiency more aggressively with surgery in destination therapy patients. This bias is suggested by the fact that a higher percentage of those with an AVP were implanted for destination therapy when compared to those without an AVP (45.9% vs. 32.3%,  $p<0.0001$ ). However, any potential bias in treatment does not explain the observed decrease in transplantation rate (19% to 14%) between aortic valve repair/replacement and aortic valve closure, as those groups were comprised of comparable percentages of destination therapy patients.

The etiology of the observed increased mortality in patients with aortic valve closures is not clear. Early mortality, where we see the largest difference, appears to be driven by respiratory failure and major bleeding in this study. Despite this, AV closures have ICU/step down unit stays and hospital lengths of stay that are comparable to patients without an AV procedure. Our data demonstrating that ICU/step down unit stays are longest with an AV

replacement is consistent with data demonstrating significantly prolonged ICU stays (mean: 36 days vs. 13 days,  $p=0.025$ ) for INTERMACS level 1-2 patients with an AVR (9). Certainly, the fact that AVPs generally require aortic crossclamp and prolonged cardioplegic arrest may contribute to mortality, but if that were the driving factor than we would anticipate that the longer arrest times required for an AVR would confer proportionally greater risk. Postoperative renal dysfunction, one possible correlate of longer pump times, has a greater incidence among patients with an AVP compared to baseline, but no differences are observed between groups. Similarly, there are no differences in time to right heart failure or rehospitalization. Additional data are required to determine the root cause of these mortality differences. Nonetheless, AV closure was an independent predictor of postoperative mortality that was only rivaled by dialysis and placement of a BiVAD.

Use of AV closure as a treatment strategy also has important implications and associated patient risks. AV closure eliminates native ejection through the AV as a backup during equipment malfunction, changeout or with pump dysfunction due to thrombus. Emergency LVAD stoppage is reported in 4% of all fatalities with an LVAD in place (17). Further, aortic closure does not allow for the possibility of myocardial recovery or weaning from the device. Despite these potential problems with valve closure, we did not observe a higher incidence of death from device failure or sudden unexplained death in the AV closure group, and no patients with an AVP were explanted for myocardial recovery.

While AV replacement theoretically retains the ability of the valve to open, the altered hemodynamics of LVAD support impair aortic valve opening and decrease leaflet opening time during systole. Multiple case reports in the literature observed fibrosis leading to complete commissural fusion during the first year of support. Therefore despite differences in operative technique, the ability of the aortic valve to open in LVAD patients with echocardiographically observed “closed aortic valves” is unclear at best. Still, prosthetic aortic valves, particularly mechanical valves, may pose additional thromboembolic risk. Even while anticoagulated, an aortic prosthesis that is inactive and typically remains in the closed position results in blood stasis and can lead to thrombus formation. Embolization may then occur during intentional weaning, intermittent periods of exercise or device malfunction (2). For these reasons, existing mechanical valves are usually either replaced with a biologic valve or closed, and mechanical valves are not typically implanted as a concomitant operation. While less of a concern, reports of bioprosthetic valve thrombosis also exist in the literature (2, 18). Nevertheless, we did not observe a difference in stroke between any of our four groups.

Despite improved survival with AV repair, the durability of this procedure is less than for AV replacement or closure, with an observed incidence of 19% recurrence of moderate to severe aortic insufficiency at 6 months. This is a higher failure rate than has been observed in some single center studies that have reported 0-6.9% recurrence rates of greater than mild AI by echocardiographic assessment at a mean follow-up of greater than 1 year after using a Park's stitch (19, 20). However, our observations are consistent with the 20% (2/10) recurrence reported in another study at a mean follow-up of 118 days (10). The development of de novo AI is well-described postoperatively (5, 21) with an incidence of 4% (1-6%) per month of support in a recent meta-analysis (21). These data did not assess which specific

repairs failed during follow-up, only the recurrence rate, and the impact of recurrent AI in these patients cannot be assessed from this analysis, as closures have the lowest rate of recurrent AI and the highest mortality.

Our data support performing repair or replacement when indicated and avoiding AV closure, particularly in INTERMACS level 1-2 patients. However, these data cannot address which patients should receive an AV procedure. Existing data suggests that greater than mild AI requires intervention, whereas consideration should be given to repairing mild AI if death or transplant are not expected within 12 months (19). Moreover, intraoperative assessment of AI before and following implantation of the LVAD should be performed due to the fact that initiation of LVAD support decreases left ventricular end diastolic pressure and increases aortic pressure, resulting in an increased transvalvular gradient and possible worsening of previously trivial or mild AI that was not clinically evident in the setting of severe heart failure limiting regurgitant flow.

### Limitations

Our study has several limitations. First, intraoperative echocardiography data is not available. While preoperative echocardiographic data was available, data was not obtained at a uniform time point, and it may not have been representative of the clinical situation at the time of the operation or of the intraoperative studies that were likely used to aid in the decision of whether or not to perform a concomitant AVP. For that reason, outcomes were not analyzed based upon the degree of preoperative AI. Second, hospitals that were non-responders to our audit were excluded from the study. While all data from those institutions, not just unverified data, were excluded to limit bias, hospitals were not excluded in a random fashion and our sample size was ultimately reduced. Importantly, however, the differences reported were still observed when all data (including the unverified data) was included and analyzed. Third, we do not have information on why surgeons selected one AVP over another; and management algorithms, as well as techniques for closure and repair, are likely to have varied between institutions. While we have data available on type of AV repairs performed, we do not have data on the specifics of the AV closures. For the AV replacement group, we also do not have information on who had a previous mechanical AV replacement, as that information was not recorded in the INTERMACS database. Fourth, while we show a strong correlation between AV closures and increased mortality, our data cannot establish a direct cause and effect relationship. Finally, this study cannot be used to comment on who should receive an AVP.

### Conclusions

Concomitant AV repairs may be performed during implantation of cf-LVADs with results that are comparable to those for patients that did not undergo an AVP. Aortic valve closures are associated with significant reductions in both short and long term mortality, particularly in INTERMACS level 1-2 patients. The durability of an AV repair, however, is worse than other approaches, and further studies are needed to address how to treat recurrent AI. Importantly, this is the largest study examining concomitant AVPs in cfLVAD patients, and it is the only one powered to compare AV closure, repair and replacement.



## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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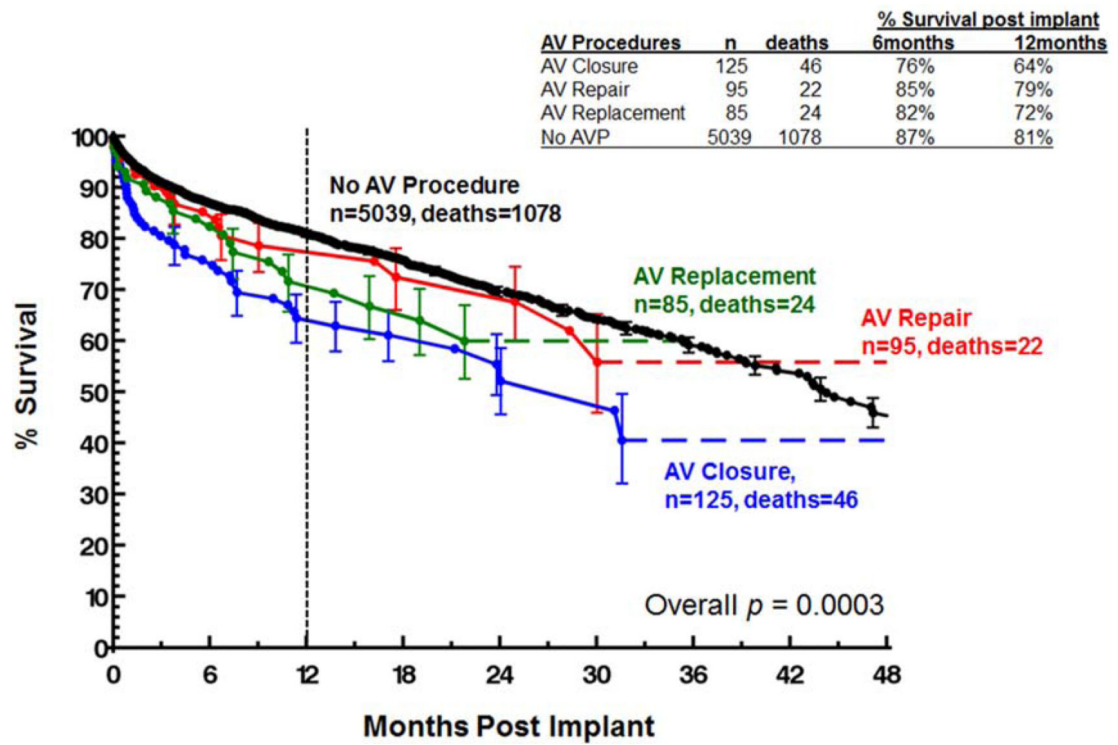


Figure 1.  
Survival by type of aortic valve procedure performed.

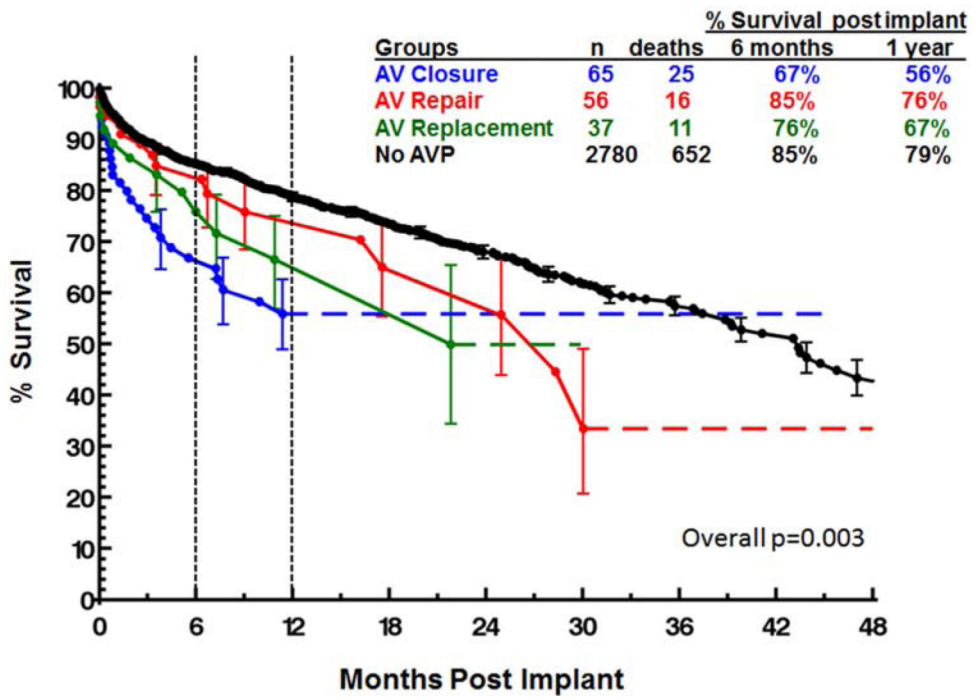
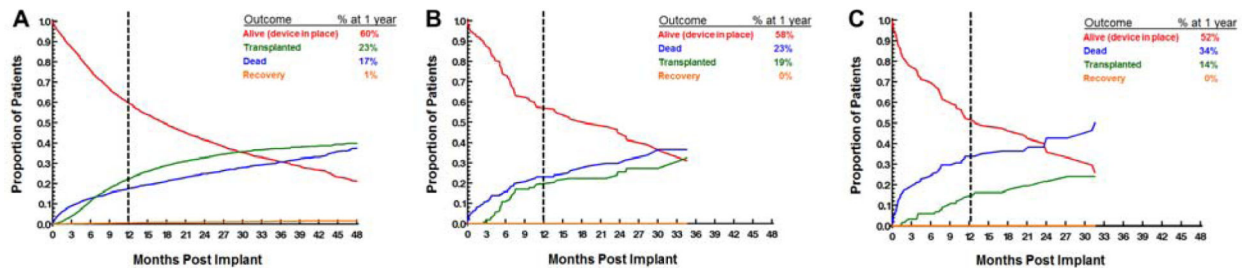
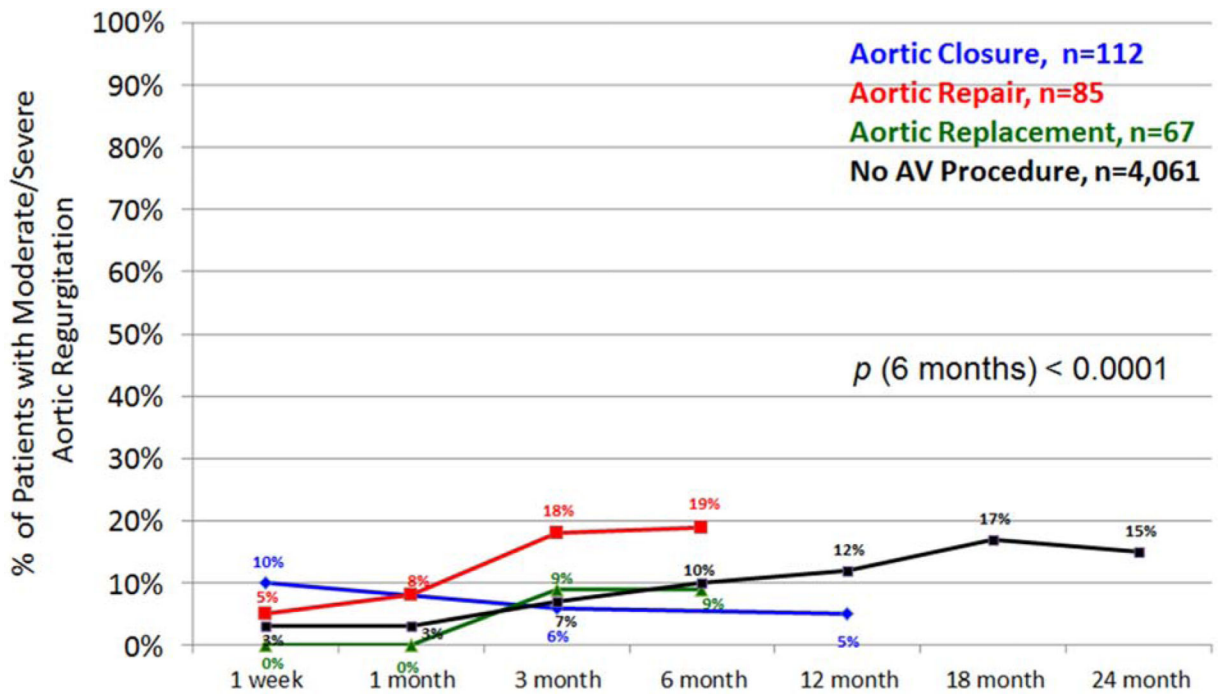


Figure 2. Survival for INTERMACS level 1-2 patients by type of aortic valve procedure.



**Figure 3.** Competing outcomes for patients with (A) no aortic valve procedure, (B) aortic valve repair or replacement and (C) aortic valve closure.



**Figure 4.**

Postoperative recurrence of moderate/severe aortic insufficiency by type of aortic valve procedure performed. Note: if the total n for a group at any given time point is <20 then it is not plotted in the figure.

Table 1

## Baseline and clinical characteristics

Pre-implant characteristics	No AV Procedure N=5039	AV Closure N=125	AV Repair N=95	AV Replacement N=85	p (AVP vs. No AVP)	p (comparing AVP groups)
<b>Demographics</b>						
Age (yrs)	56.21 ± 13.01	62.4 ± 10.23	63.8 ± 10.29	63.6 ± 11.06	<0.0001	0.58
Male	79.2%	86.4%	88.4%	88.2%	0.0005	0.88
Married	66.0%	73.4%	74.2%	79.5%	0.0009	0.58
White	71.1%	74.4%	81.0%	74.1%	0.05	0.44
<b>Clinical Comorbidities</b>						
BMI (kg/m <sup>2</sup> )	28.7 ± 6.70	27.2 ± 6.53	25.2 ± 5.10	27.9 ± 5.77	<0.0001	0.006
Aortic Regurgitation (Moderate/Severe)	2.0%	35.7%	38.8%	47.8%	<0.0001	0.27
Mitral Regurgitation (Moderate/Severe)	58.7%	55.0%	62.6%	47.8%	0.31	0.19
Tricuspid Regurgitation (Moderate/Severe)	47.1%	50.9%	44.2%	37.3%	0.56	0.21
Carotid artery disease	8.6%	10.8%	15.5%	12.7%	0.03	0.67
CVA	6.1%	12.9%	8.4%	5.9%	0.02	0.21
Diabetes	32.7%	35.2%	31.6%	27.1%	0.73	0.46
Diagnosis CAD	8.1%	2.4%	7.4%	4.8%	0.03	0.22
History of CABG	23.3%	24.0%	29.5%	25.9%	0.25	0.66
History of Valve Surgery	6.6%	21.6%	15.8%	28.2%	<0.0001	0.13
ICD	82.1%	85.6%	81.1%	79.5%	0.86	0.48
NYHA = 4	79.0%	73.6%	87.0%	79.2%	0.81	0.06
Pre-COPD	10.4%	11.3%	11.6%	12.5%	0.49	0.97
Peripheral vascular disease	6.2%	9.1%	11.0%	6.2%	0.10	0.61
Dialysis	1.7%	2.4%	0.0%	0.0%	0.34	0.11
RVEF (severely impaired)	20.3%	20.6%	31.6%	19.1%	0.26	0.25
Current Smoker	9.3%	5.7%	6.5%	2.5%	0.01	0.45
TIA	3.1%	2.4%	2.1%	2.4%	0.45	0.99
<b>Preoperative Condition</b>						
BTT: Listed	27.3%	19.2%	28.4%	23.5%	0.12	0.28
BTT: Likely to be listed	25.2%	21.6%	14.7%	16.5%	0.005	0.38
BTT: Moderately likely to be listed	10.4%	9.6%	6.3%	8.2%	0.22	0.68
BTT: Unlikely to be listed	3.3%	4.0%	1.1%	4.7%	0.99	0.32
Destination Therapy	32.3%	44.8%	47.4%	45.9%	<0.0001	0.93
Failure to wean	1.0%	0.8%	0.0%	1.2%	0.54	0.60
INTERMACS Patient Profile Level 1: Critical Cardiogenic Shock	14.7%	15.2%	10.5%	9.4%	0.22	0.38
INTERMACS Patient Profile Level 2: Progressive Decline	40.5%	36.8%	48.4%	34.1%	0.77	0.10
INTERMACS Patient Profile Level 3	25.6%	24.8%	27.4%	28.2%	0.71	0.84

Pre-implant characteristics	No AV Procedure N=5039	AV Closure N=125	AV Repair N=95	AV Replacement N=85	<i>p</i> (AVP vs. No AVP)	<i>p</i> (comparing AVP groups)
INTERMACS Patient Profile Level 4	13.3%	15.2%	10.5%	17.7%	0.58	0.38
INTERMACS Patient Profile Level 5	3.4%	3.2%	3.2%	5.9%	0.60	0.55
INTERMACS Patient Profile Level 6	1.9%	4.8%	0.0%	4.7%	0.08	0.10
INTERMACS Patient Profile Level 7	0.7%	0.0%	0.0%	0.0%	0.16	0.99
Inotropes	81.3%	77.6%	85.1%	72.9%	0.25	0.13
IABP	29.3%	33.6%	29.5%	20.0%	0.77	0.10
Ventilator	7.1%	3.2%	3.2%	10.6%	0.22	0.03
ECMO	2.2%	2.4%	0.0%	3.5%	0.80	0.21
<b>Preoperative Laboratory and Hemodynamic Testing</b>						
BNP (pg/mL)	1213 ± 1102	1258 ± 1047	1782 ± 1485	1506 ± 1425	0.002	0.20
Cardiac Index (L/min/m <sup>2</sup> )	2.29 ± 0.99	1.96 ± 0.51	2.07 ± 0.88	2.32 ± 0.77	0.15	0.26
Creatinine (mg/dL)	1.4 ± 0.8	1.5 ± 0.62	1.6 ± 1.24	1.46 ± 0.68	0.02	0.58
INR (international units)	1.35 ± 0.56	1.62 ± 1.73	1.38 ± 0.39	1.29 ± 0.34	0.004	0.11
LVEDD	6.86 ± 1.15	6.88 ± 1.15	6.9 ± 1.04	7.02 ± 0.94	0.46	0.75
Pulmonary diastolic pressure (mmHg)	25.5 ± 8.64	23.9 ± 9.68	26.5 ± 6.90	25.6 ± 9.21	0.62	0.17
Pulmonary systolic pressure (mmHg)	50.5 ± 14.64	47.6 ± 16.11	54.7 ± 13.07	55.4 ± 15.85	0.15	0.003
Pulmonary wedge pressure (mmHg)	24.1 ± 8.36	23.6 ± 10.46	25.4 ± 7.40	26.1 ± 9.51	0.22	0.33
Pulmonary vascular resistance (woods units)	2.8 ± 2.45	2.3 ± 2.08	3.3 ± 2.54	2.6 ± 2.01	0.57	0.06
RA pressure (mmHg)	13.1 ± 7.83	13.2 ± 8.36	12.6 ± 7.24	12.0 ± 7.55	0.52	0.67
SGOT/AST (u/L)	67.3 ± 253.48	42.9 ± 41.54	75.9 ± 367.6	47.9 ± 71.08	0.42	0.51
SGPT/ALT (u/L)	77.6 ± 240.36	56.8 ± 73.55	83.1 ± 437.7	51.3 ± 81.12	0.35	0.67
Total Bilirubin	1.39 ± 1.56	1.35 ± 1.09	1.40 ± 1.12	1.34 ± 1.58	0.80	0.94
<b>Operative and Postoperative Variables</b>						
Concomitant surgery	33.8%	100.0%	100.0%	100.0%	<0.0001	0.99
CPB Time (minutes)	91.37 ± 49.6	132.7 ± 53.9	143.3 ± 73.1	161.6 ± 52.8	< .0001	.006
ICU/Step Down Unit LOS (days)	22.7 ± 16.9	23.5 ± 17.2	27.0 ± 32.1	24.2 ± 49.6	0.002	0.34
Hospital LOS (days)	24.2 ± 23.6	25.2 ± 19.5	35.6 ± 31.8	29.1 ± 21.21	0.006	0.05

BMI = body mass index, CVA = cerebrovascular accident, CAD = coronary artery disease, CABG = coronary artery bypass grafting, ICD = implantable cardiac defibrillator, NYHA = New York Heart Association, COPD = chronic obstructive pulmonary disease, RVEF = right ventricular ejection fraction, TIA = transient ischemic attack, BTT = bridge to transplant, IABP = intraaortic balloon pump, ECMO = extracorporeal membrane oxygenation, BNP = brain natriuretic peptide, INR = international normalized ratio, LVEDD = left ventricular end diastolic dimension, RA = right atrial, AST = aspartate aminotransferase, ALT = alanine aminotransferase, CPB = cardiopulmonary bypass, ICU = intensive care unit, LOS = length of stay



**Table 2**

Causes of death &lt;3 months post-implant

<b>Primary Cause of Death</b>	<b>Closure % (n)</b>	<b>Repair % (n)</b>	<b>Replacement % (n)</b>
Respiratory Failure	25.0 (6/24)	0.0 (0/9)	0.0 (0/10)
Major Bleeding	20.8 (5/24)	11.1 (1/9)	10.0 (1/10)
Device Malfunction	0.0 (0/24)	0.0 (0/9)	0.0 (0/10)
Sudden Unexplained Death	0.0 (0/24)	11.1 (1/9)	0.0 (0/10)
Neurological Dysfunction	8.3 (2/24)	0.0 (0/9)	10.0 (1/10)
Withdrawal of Support	4.2 (1/24)	0.0 (0/9)	0.0 (0/10)
Multisystem Organ Failure	0.0 (0/24)	22.2 (2/9)	0.0 (0/10)
Hepatic Dysfunction	4.2 (1/24)	0.0 (0/9)	0.0 (0/10)
Renal Dysfunction	0.0 (0/24)	11.1 (1/9)	0.0 (0/10)
Major Infection	0.0 (0/24)	0.0 (0/9)	30.0 (3/10)
Cardiac Arrhythmia	0.0 (0/24)	0.0 (0/9)	0.0 (0/10)
Right Heart Failure	0.0 (0/24)	11.1 (1/9)	0.0 (0/10)
Myocardial Infarct	4.2 (1/24)	0.0 (0/9)	0.0 (0/10)
Other	33.3 (8/24)	33.3 (3/9)	0.0 (5/10)

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**Table 3**

Causes of death 3 months post-implant

Primary Cause of Death	Closure % (n)	Repair % (n)	Replacement % (n)
Respiratory Failure	4.6 (1/22)	0.0 (0/13)	0.0 (0/14)
Major Bleeding	13.6 (3/22)	0.0 (0/13)	7.1 (1/14)
Device Malfunction	4.6 (1/22)	0.0 (0/13)	7.1 (1/14)
Sudden Unexplained Death	13.6 (3/22)	30.8 (4/13)	0.0 (0/14)
Neurological Dysfunction	9.1 (2/22)	7.7 (1/13)	7.1 (1/14)
Withdrawal of Support	13.6 (3/22)	0.0 (0/13)	0.0 (0/14)
Multisystem Organ Failure	4.6 (1/22)	7.7 (1/13)	0.0 (0/14)
Hepatic Dysfunction	0.0 (0/22)	0.0 (0/13)	0.0 (0/14)
Renal Dysfunction	0.0 (0/22)	7.7 (1/13)	0.0 (0/14)
Major Infection	0.0 (0/22)	7.7 (1/13)	14.3 (2/14)
Cardiac Arrhythmia	4.6 (1/22)	15.4 (2/13)	0.0 (0/14)
Right Heart Failure	0.0 (0/22)	0.0 (0/13)	7.1 (1/14)
Myocardial Infarct	0.0 (0/22)	0.0 (0/13)	0.0 (0/14)
End Stage Cardiomyopathy	0.0 (0/22)	0.0 (0/13)	14.3 (2/14)
Suicide	0.0 (0/22)	0.0 (0/13)	7.1 (1/14)
Trauma	4.6 (1/22)	0.0 (0/13)	0.0 (0/14)
Other	27.3 (6/22)	23.1 (3/13)	35.7 (5/14)

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**Table 4**

## Multivariate Model for Death After Implant

Pre-implant Risk Factors for Death after Implant	Hazard Ratio (95% CI)	p-value
<b>Unadjusted</b>		
Aortic regurgitation	1.10 (0.98, 1.24)	0.10
AV repair	0.92 (0.59, 1.43)	0.70
AV replacement	1.41 (0.87, 2.30)	0.17
AV closure	2.67 (1.77, 4.01)	<0.0001
<b>Adjusted</b>		
Aortic regurgitation	0.98 (0.87, 1.10)	0.75
AV repair	0.83 (0.54, 1.27)	0.39
AV replacement	1.36 (0.84, 2.19)	0.21
AV closure	1.87 (1.39, 2.53)	<0.0001
Age (years) at time of implant	1.26 (1.19, 1.35)	<0.0001
Body Mass Index (BMI)	1.06 (1.01, 1.11)	0.01
BUN	1.08 (1.05, 1.11)	<0.0001
Total Bilirubin	1.33 (1.00, 1.78)	0.05
Albumin (lower)	0.32 (0.11, 0.89)	0.03
Ascites	1.50 (1.22, 1.84)	0.0001
INTERMACS Level 1	1.23 (1.01, 1.51)	0.04
INTERMACS Level 2	1.30 (1.13, 1.49)	0.0001
History of CABG	1.38 (1.21, 1.59)	<0.0001
Dialysis	1.85 (1.32, 2.58)	0.0003
Ventilator	1.28 (1.02, 1.62)	0.04
Bi-VAD	2.34 (1.80, 3.05)	<0.0001

AV = aortic valve, BUN = blood urea nitrogen, CABG = coronary artery bypass graft, BiVAD = biventricular assist device