

## CONFORMAL DIFFEOMORPHISMS PRESERVING THE RICCI TENSOR

W. KÜHNEL AND H.-B. RADEMACHER

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**ABSTRACT.** We characterize semi-Riemannian manifolds admitting a global conformal transformation such that the difference of the two Ricci tensors is a constant multiple of the metric. Unless the conformal transformation is homothetic, the only possibilities are standard Riemannian spaces of constant sectional curvature and a particular warped product with a Ricci flat Riemannian manifold.

We consider semi-Riemannian manifolds  $(M, g)$  and *conformal diffeomorphisms*  $f: (M, g) \rightarrow (\bar{M}, \bar{g})$  between them meaning that  $f^*\bar{g}$  is pointwise a positive scalar multiple of  $g$ . If this factor is constant  $f$  is called a *homothety*. A *conformal structure* on  $M$  is a class of conformally equivalent metrics. In this paper we study the behavior of the Ricci tensor  $\text{Ric}_g$  within one conformal class. A classical theorem of Liouville determines all possible conformal diffeomorphisms between euclidean metrics. As a generalization we call a conformal transformation  $g \rightarrow \bar{g}$  a *Liouville transformation* if  $\text{Ric}_{\bar{g}} - \text{Ric}_g = 0$ . In Theorems 1 and 2 we classify complete semi-Riemannian manifolds  $(M, g)$  admitting a non-homothetic conformal transformation  $\bar{g} = \varphi^{-2}g$  such that the difference  $\text{Ric}_{\bar{g}} - \text{Ric}_g$  of the Ricci tensors is a constant multiple of the metric  $g$  or  $\bar{g}$ . We show that  $M$  is Riemannian and that  $M$  is either a standard space of constant sectional curvature or is a warped product  $\mathbb{R} \times_{\text{exp}} M_*$  of the real line and a Ricci flat manifold  $M_*$ . As a special case we obtain in Corollary 1 that a globally defined Liouville transformation is a homothety. This result is due to Liouville [Liv] in the case of  $E^3$ , generalized by Lie [Lie] to the case of  $E^n$  (see also [S, p. 173]) and by Haantjes [H] to the case of pseudo-euclidean space  $E_k^n$ . For Riemannian manifolds Corollary 1 has been obtained by Ferrand [Fe], where a Liouville transformation is called a quasi-similarity. For the compact Riemannian case, compare the recent paper [X] but be careful with the signs in formula (2) there. In Theorem 3 we show that for a complete semi-Riemannian manifold admitting a global non-homothetic concircular transformation between two metrics of constant scalar curvature the same conclusions as in Theorem 1 hold.

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*General assumption.*  $(M^n, g)$  is a semi-Riemannian manifold of dimension  $n \geq 3$  and of class  $C^3$  such that the number of negative eigenvalues of  $g$  is not greater than  $\frac{n}{2}$ .

*Notation.*  $\text{grad}\varphi$  denotes the gradient of  $\varphi$ ,  $\nabla^2\varphi = \nabla\text{grad}\varphi$  is the Hessian of  $\varphi$ , and  $\Delta\varphi = \text{trace}\nabla^2\varphi$  is the Laplacian. Let us introduce the notation  $[h]$  for the class of all tensors which are pointwise scalar multiples of a given  $(0, 2)$ -tensor  $h$ . This includes the zero tensor—as well as negative multiples—which is not in the conformal structure itself.  $(M, g)$  is called an *Einstein space* if  $[\text{Ric}_g] = [g]$ . Two metrics  $g, \bar{g}$  are *concircular* to one another if  $[g] = [\bar{g}] = [\text{Ric}_{\bar{g}} - \text{Ric}_g]$ .

**Lemma 1.** *Two conformally equivalent metrics  $g$  and  $\bar{g} = \frac{1}{\varphi^2}g$  satisfy the relation*

$$[\text{Ric}_{\bar{g}} - \text{Ric}_g] = [g] = [\bar{g}]$$

*if and only if the function  $\varphi$  satisfies the equation*

$$\nabla^2\varphi = \frac{\Delta\varphi}{n} \cdot g.$$

*Proof.* This follows from the well-known formula [S, p. 168], [Be, Sect. 1J], [Kü, Sect. A]

$$\text{Ric}_{\bar{g}} - \text{Ric}_g = \frac{1}{\varphi^2}[(n - 2)\varphi\nabla^2\varphi + (\varphi\Delta\varphi - (n - 1)g(\text{grad}\varphi, \text{grad}\varphi)) \cdot g].$$

Lemma 1 holds only under the assumption  $n \geq 3$ .

*Remark.* A transformation  $g \rightarrow \bar{g}$  as in Lemma 1 is called *concircular* because it preserves the curves of constant geodesic curvature and vanishing geodesic torsion (so-called *geodesic circles*); see [Y]. In this case the conformal geodesics are geodesic circles; see [Fi, p. 454]. Any conformal transformation between two Einstein spaces is automatically concircular. A concircular transformation  $g \rightarrow \bar{g}$  satisfies  $\text{Ric}_{\bar{g}} - \text{Ric}_g = \frac{1}{n}(\frac{\bar{S}}{\varphi^2} - S) \cdot g$  where  $S, \bar{S}$  denote the scalar curvatures of  $g, \bar{g}$ .

**Lemma 2.** *A function  $\varphi: M \rightarrow \mathbb{R}$  satisfies  $\nabla^2\varphi = \lambda \cdot g$  for some  $\lambda: M \rightarrow \mathbb{R}$  in a neighborhood of a point with  $g(\text{grad}\varphi, \text{grad}\varphi) \neq 0$  if and only if  $g$  is locally a warped product metric  $ds^2 = \eta dt^2 + \varphi^2(t)ds_*^2$ , where  $\eta \in \{+1, -1\}$  denotes the sign of  $g(\text{grad}\varphi, \text{grad}\varphi)$ ,  $\varphi, \lambda$  are functions depending only on  $t$  satisfying  $\varphi'' = \eta \cdot \lambda$ , and  $ds_*^2$  is independent of  $t$ .*

This lemma can be found in [Fi, Sect. 12] and also in [T] for the Riemannian case. For the special case of Einstein metrics it is due to Brinkmann [Br].

Particular consequences of Lemma 2 are the following:

1. If  $\nabla^2\varphi = \lambda g$  and if  $\text{grad}\varphi$  is a non-null vector, then the trajectories of  $\text{grad}\varphi$  are geodesics (up to parametrization).
2. If  $\nabla^2\varphi = \lambda g$ , then along every such non-null geodesic  $\gamma(t)$  in direction  $\text{grad}\varphi$  with  $g(\dot{\gamma}, \dot{\gamma}) = \eta$  the function  $\varphi(t) := \varphi(\gamma(t))$  satisfies  $\varphi'' = \eta \cdot \lambda$ . Along a null geodesic this function  $\varphi(t)$  satisfies  $\varphi'' = 0$  according to the proof of Lemma 3 below.

**Definition.** A semi-Riemannian manifold  $(M, g)$  is called (geodesically) *complete* if every geodesic can be defined over  $\mathbb{R}$  [O, p. 68]. It is called *null complete* if this holds for every null geodesic.

**Theorem 1.** Let  $(M, g)$  be complete and admitting a global conformal transformation  $\bar{g} = \frac{1}{\varphi^2} \cdot g$  satisfying

$$\text{Ric}_{\bar{g}} - \text{Ric}_g = c \cdot (n - 1) \cdot g$$

for some constant  $c$ . Then one of the following three cases occurs:

1.  $\varphi$  is constant.
2.  $(M, g)$  and  $(M, \bar{g})$  are simply connected Riemannian spaces of constant sectional curvature.
3.  $(M, g)$  is a warped product  $\mathbb{R} \times_{e^t} M_*$  where  $\varphi(t) = e^t$  and  $(M_*, g_*)$  is a complete Ricci-flat  $(n - 1)$ -dimensional Riemannian manifold.

*Remark.* In Theorem 1 case 1 corresponds to  $c = 0$ , and cases 2 and 3 correspond to  $c > 0$ . In case 2  $\bar{g}$  must be flat and  $g$  must be hyperbolic, and in case 3  $\text{Ric}_{\bar{g}} = 0$ . A non-constant  $\varphi$  occurs only for Einstein space. Therefore we compare this to another theorem.

**Theorem 1\*.** Let  $(M, g)$  be complete and assume that both  $g$  and  $\bar{g} = \frac{1}{\varphi^2} \cdot g$  are Einstein metrics. Then the same conclusion as in Theorem 1 holds, i.e. one of the cases 1, 2, 3 occurs.

*Remark.* In Theorem 1\* case 2 occurs for various combinations of the signs of the constant curvatures of  $g$  and  $\bar{g}$ .

**Theorem 2.** Let  $(M, g)$  be complete and admitting a global conformal transformation  $\bar{g} = \frac{1}{\varphi^2} \cdot g$  satisfying

$$\text{Ric}_{\bar{g}} - \text{Ric}_g = c \cdot (n - 1) \cdot \bar{g} = \frac{c \cdot (n - 1)}{\varphi^2} \cdot g$$

for a constant  $c$ . Then either  $\varphi$  is constant or  $(M, g)$  is isometric with the euclidean space.

*Remark.* To pass from Theorem 1 to Theorem 2 one just has to interchange the roles of  $g$  and  $\bar{g}$ . However, this is not quite symmetric because at most one of them can be complete (unless  $\varphi$  is constant). In Theorem 2 a constant  $\varphi$  corresponds to  $c = 0$ ; the other case corresponds to  $c > 0$ . In particular,  $c < 0$  is impossible in Theorem 1 and in Theorem 2.

**Corollary 1.** A globally defined Liouville transformation of a complete semi-Riemannian manifold is a homothety.

This result is just the case  $c = 0$  in Theorems 1 and 2.

**Corollary 2.** Assume that two semi-Riemannian metrics in the same conformal class have pointwise the same Ricci tensor. If one of them is complete, then they are homothetic to one another.

This is a uniqueness result for the problem of prescribing a Ricci tensor in a conformal class.

**Lemma 3.** *Let  $g$  be a null complete indefinite metric admitting a globally defined nonconstant solution  $\varphi$  of  $\nabla^2\varphi = \frac{\Delta\varphi}{n} \cdot g$ . Then  $\varphi$  has a zero.*

*Proof.* Along any null geodesic  $\gamma(s)$  one calculates

$$\begin{aligned} \frac{d^2}{ds^2}(\varphi(\gamma(s))) &= \frac{d}{ds}g(\text{grad } \varphi, \dot{\gamma}) \\ &= g(\nabla_{\dot{\gamma}}\text{grad } \varphi, \dot{\gamma}) + g(\text{grad } \varphi, \nabla_{\dot{\gamma}}\dot{\gamma}) \\ &= g\left(\frac{\Delta\varphi}{n} \cdot \dot{\gamma}, \dot{\gamma}\right) \\ &= 0. \end{aligned}$$

Therefore  $\varphi(\gamma(s))$  is linear in  $s$  with  $\frac{d}{ds}\varphi(\gamma(s)) = g(\text{grad } \varphi, \dot{\gamma})$ . If we choose  $\gamma$  such that  $g(\text{grad } \varphi, \dot{\gamma}) \neq 0$  at a point  $p$ , then it follows that  $\varphi$  has a zero along  $\gamma$ .

**Corollary 3.** *The only globally defined concircular transformations of a null complete indefinite metric are the homotheties.*

**Theorem 3.** *Let  $(M, g)$  be complete and admitting a globally defined concircular transformation  $\bar{g} = \frac{1}{\varphi^2} \cdot g$ . Assume that  $S, \bar{S}$  are constant. Then one of the three cases 1, 2, 3 as in Theorem 1 occurs.*

*Proof of Theorem 1.* By assumption  $\varphi: M \rightarrow \mathbb{R}$  is a function which is positive everywhere. By Lemma 3 we may assume that  $\varphi$  is a non-constant function on a manifold with positive definite metric  $g$ . Let  $p \in M$  be a point with  $\text{grad } \varphi(p) \neq 0$ . By Lemma 1 the equation

$$\begin{aligned} c(n-1) \cdot g &= \text{Ric}_{\bar{g}} - \text{Ric}_g \\ &= \frac{1}{\varphi^2}[(n-2)\varphi \cdot \nabla^2\varphi + (\varphi\Delta\varphi - (n-1)\|\text{grad } \varphi\|^2) \cdot g] \end{aligned}$$

implies

$$(1) \quad \frac{2(n-1)}{n}\varphi\Delta\varphi - (n-1)\|\text{grad } \varphi\|^2 - c(n-1)\varphi^2 = 0.$$

By Lemma 2 along the unit speed geodesic  $\gamma(t)$  in the direction of  $\text{grad } \varphi = \varphi' \cdot \frac{\partial}{\partial t}$  we have

$$(2) \quad 2\varphi\varphi'' - \varphi'^2 - c\varphi^2 = 0.$$

Differentiating once more we get

$$(3) \quad 2\varphi\varphi''' - 2c\varphi\varphi' = 0$$

or, since  $\varphi \neq 0$ ,

$$(4) \quad \varphi''' = c \cdot \varphi'.$$

Therefore there is a constant  $a$  satisfying

$$(5) \quad \varphi'' = c \cdot \varphi + a.$$

$\varphi'(p) \neq 0$  implies equivalently

$$(6) \quad (\varphi'^2)' = c \cdot (\varphi^2)' + 2a\varphi'.$$

This means that there is a constant  $b$  satisfying

$$(7) \quad \varphi'^2 = c \cdot \varphi^2 + 2a\varphi + b.$$

Now (5), (7), and (2) together imply  $b = 0$ ; thus

$$(8) \quad \varphi'^2 = \varphi(c \cdot \varphi + 2a).$$

*Case I:*  $c = 0$ . By (5)  $\varphi$  is a polynomial of degree at most 2. Then (8) reduces to  $\varphi'^2 = 2a\varphi$ , which implies that  $\varphi$  is quadratic and that  $\varphi$  and  $\varphi'$  have a common zero along  $\gamma$ . By the completeness of  $g$  the metric  $\bar{g} = \frac{1}{\varphi^2} \cdot g$  has a singularity there, a contradiction. Alternatively, if  $\varphi(t) = At^2 + Bt + C$ , then (8) implies that the discriminant  $4AC - B^2$  is zero. Therefore  $\varphi(t)$  is the square of a linear function.

*Case II:*  $c < 0$ . In this case every solution  $\varphi$  of (5) is periodic and therefore attains its minimum and maximum. At each of these points the equation  $0 = \varphi(c\varphi + 2a)$  is satisfied by (8). Hence  $\varphi = 0$  and  $c\varphi + 2a = 0$  must be satisfied at the minimum and maximum, respectively. This leads to a contradiction as in Case I.

Alternatively, the general solution of (5)

$$\varphi(t) = \alpha \cos(\sqrt{-c}t) + \beta \sin(\sqrt{-c}t) - \frac{a}{c}$$

satisfies

$$\alpha^2 + \beta^2 = \frac{a^2}{c^2}$$

by (8). A typical solution looks like  $\varphi(t) = \cos t + 1$ .

*Case III:*  $c > 0$ . In this case the general solution of (5) is

$$\varphi(t) = \alpha \cosh(\sqrt{c}t) + \beta \sinh(\sqrt{c}t) - \frac{a}{c}.$$

Then (8) implies

$$\alpha^2 - \beta^2 = \frac{a^2}{c^2},$$

in particular  $\alpha^2 \geq \beta^2$ .

If  $\alpha^2 > \beta^2$ , then  $\varphi$  has a critical point along  $\gamma$ . This implies that  $\gamma$  has a point  $q$  with  $\text{grad } \varphi(q) = 0$  (note that  $\text{grad } \varphi = \varphi' \cdot \frac{\partial}{\partial t}$ ). Furthermore  $\varphi$  satisfies globally  $\nabla^2 \varphi = (c\varphi + a) \cdot g$  with  $c > 0$ . A result of Tashiro [T] implies that  $(M, g)$  is isometric with the hyperbolic space of constant sectional curvature  $-c$ . Roughly the argument is the following: In geodesic polar coordinates around  $q$  the metric  $g$  looks like  $ds^2 = dt^2 + \sinh^2(\sqrt{c}t) \cdot ds_1^2$  where  $ds_1^2$  is the metric of a round sphere of appropriate radius; compare [Kü, Lemma 18].

If  $\alpha^2 = \beta^2$ , then  $a = 0$  and  $\varphi(t) = \alpha \cdot e^{\mp\sqrt{c}t}$  is a solution without a critical point along  $\gamma$ . This implies that

$$(9) \quad ds^2 = dt^2 + e^{2\sqrt{c}t} ds_*^2$$

is a complete metric on  $M = \mathbb{R} \times M_*$ . It follows that  $\bar{g} = e^{-2\sqrt{c}t} g$  is the product metric  $dt^2 + ds_*^2$  on  $(0, \infty) \times M_*$ . For an arbitrary tangent vector

$X$  in the direction of  $M_*$  the standard formulas for the curvature of warped products imply

$$\begin{aligned} c(n-1)g(X, X) &= (\text{Ric}_{\bar{g}} - \text{Ric}_g)(X, X) \\ &= (1 - c \cdot e^{2\sqrt{c}t})\text{Ric}_{g_*}(X, X) + c(n-1)g(X, X), \end{aligned}$$

which is impossible unless  $\text{Ric}_{g_*} = 0$ . This completes the proof of Theorem 1.

Note that in the case of an indefinite metric (9) does not define a complete warped product metric; compare [O, p. 209]. Compare also [Kb] for global solutions of  $\nabla^2\varphi = c \cdot \varphi \cdot g$ ,  $c > 0$ , in the indefinite case if  $\varphi$  has at least one critical point.

*Proof of Theorem 1\**. In the case of indefinite metrics  $\varphi$  is constant by Lemma 3, using the same argument as in Theorem 1. The Riemannian case has been treated in [Kü, Theorem 27]. The local considerations in this case are due to Brinkmann [Br]. Compare also [Be, 9.110].

*Remark.* Geodesic mappings of the same kind as in Theorem 1 have been studied in [V]. For the case of conformal vector fields on Einstein spaces compare [YN] and [Kan] in the Riemannian case and [Ke1], [Ke2] in the non-Riemannian case. Brinkmann describes in [Br, §4] indefinite Einstein metrics  $(M, g)$  carrying a non-constant positive function  $\varphi$  such that the conformally equivalent metric  $\bar{g} := \varphi^{-2}g$  is also Einstein and the gradient  $\text{grad } \varphi$  is everywhere null. Then it follows that  $\text{Ric}_{\bar{g}} = \text{Ric}_g = 0$  and  $\nabla^2\varphi = 0$ , i.e.  $\text{grad } \varphi$  is parallel. By Lemma 3  $(M, g)$  cannot be null complete.

In general relativity these metrics were studied in several papers. They are called *pp-waves* or *gravitational plane waves*; see e.g. [Hf].

Locally all the considerations in the proofs of Theorems 1–3 remain valid also in the case of an indefinite metric. This includes a local classification and the existence of various examples which, however, cannot be null complete.

*Proof of Theorem 2.* This follows the pattern of the proof of Theorem 1. In particular  $g$  must be positive definite if  $\varphi$  is not constant. We start with the equation

$$\frac{c \cdot (n-1)}{\varphi^2} \cdot g = \text{Ric}_{\bar{g}} - \text{Ric}_g = \frac{1}{\varphi^2} [(n-2)\varphi \cdot \nabla^2\varphi + (\varphi\Delta\varphi - (n-1)\|\text{grad } \varphi\|^2) \cdot g]$$

which implies

$$(10) \quad \frac{2(n-1)}{n} \varphi\Delta\varphi - (n-1)\|\text{grad } \varphi\|^2 - c(n-1) = 0.$$

If  $\text{grad } \varphi \neq 0$  at  $p$ , then along the geodesic  $\gamma$  in direction  $\text{grad } \varphi$  we have

$$(11) \quad 2\varphi\varphi'' - \varphi'^2 - c = 0,$$

which implies

$$(12) \quad 2\varphi\varphi''' = 0$$

or

$$(13) \quad \varphi(t) = At^2 + Bt + C.$$

If we put this into (11) we get

$$(14) \quad 4AC - B^2 = c.$$

The case  $c = 0$  leads to a zero of  $\varphi$  as in the proof of Theorem 1; the case  $c < 0$  leads to two zeros of  $\varphi$ , a contradiction. If  $c > 0$ , then  $\varphi$  has no zero but it has a critical point along  $\gamma$ . This is a critical point for  $\varphi$  on  $M$ .  $\varphi$  satisfies the equation  $\nabla^2\varphi = 2A \cdot g$ . By a theorem of Tashiro [T] this implies that  $(M, g)$  is isometric with the euclidean space. Around the critical point the geodesic polar coordinates coincide with the euclidean polar coordinates.

In particular, if  $\varphi$  is non-constant, then  $c$  must be positive and  $\bar{g}$  is a space of constant sectional curvature  $c$ .

*Proof of Theorem 3.* The case of an indefinite metric can be ruled out by Lemma 3. In the Riemannian case the equation

$$(15) \quad \text{Ric}_{\bar{g}} - \text{Ric}_g = \frac{1}{n} \left( \frac{\bar{S}}{\varphi^2} - S \right) \cdot g$$

implies

$$(16) \quad 2\varphi\varphi'' - \varphi'^2 + \frac{S}{n(n-1)} \cdot \varphi^2 - \frac{\bar{S}}{n(n-1)} = 0$$

along a unit speed geodesic in direction  $\text{grad } \varphi$ . Differentiating once more leads to

$$2\varphi\varphi''' + \frac{2S}{n(n-1)}\varphi\varphi' = 0$$

or

$$(17) \quad \varphi''' + \rho \cdot \varphi' = 0$$

where  $\rho := \frac{S}{n(n-1)}$  denotes the normalized scalar curvature.

As in the proof of Theorem 1 we conclude

$$(18) \quad \varphi'^2 = -\rho\varphi^2 + 2a \cdot \varphi - \bar{\rho}$$

for a certain constant  $a$ .

In any case the solution  $\varphi$  of (17) and (18) either has a zero (which is impossible because  $\varphi$  is a conformal factor) or a critical point, except for solutions of the type

$$(19) \quad \varphi'(t) = \alpha \cdot e^{\sqrt{-\rho}t}$$

leading to the same warped product metric as in (9). If there is a critical point, then the levels around it are round spheres and thus  $(M, g)$  is a standard space of constant sectional curvature [T], [Kü, Lemmas 13 and 18]. This completes the proof of Theorem 3.

The local part of this calculation is due to Tachibana [Tb, Theorem 8.1]. In the compact case the following holds: a compact Riemannian manifold with constant scalar curvature admitting a non-constant solution of

$$\nabla^2\varphi = \frac{\Delta\varphi}{n} \cdot g$$

is isometric with a round sphere [Kü, Theorem 24].

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FACHBEREICH MATHEMATIK, UNIVERSITÄT DUISBURG, 47048-DUISBURG, GERMANY  
 Current address: Mathematisches Institut B, Universität Stuttgart, 70550 Stuttgart, Germany  
 E-mail address: kuehnel@morse.physik.uni-stuttgart.de

INSTITUT FÜR MATHEMATIK, UNIVERSITÄT AUGSBURG, 86135-AUGSBURG, GERMANY  
 Current address: Mathematisches Institut, Universität Leipzig, 04009 Leipzig, Germany  
 E-mail address: rademacher@uni-augsburg.de