

Chatsworth, CA) and cloned using the pCR-Script kit (Stratagene). A minimum of 8 clones from each culture were sequenced on an automated sequencer (LI-COR, Lincoln, NE). Sequences were aligned manually with other marine cyanobacterial sequences available in the Ribosomal Database Project²⁸ using the Genetic Data Environment²⁹. The sequence for MIT9303 obtained previously from a PCR product¹⁷ is contained within the sequence we report here. A total of 1,094 unambiguously aligned and determined nucleotides were used in the analyses. Phylogenetic analyses used PAUP* (version 4.0d47, provided by D. Swofford). For both distance and maximum likelihood analyses the model of nucleotide substitution used was the Hasegawa Kishino Yana 1985 model. Nucleotide frequencies and the transition transversion ratio were estimated from the data.

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Conscious and unconscious emotional learning in the human amygdala

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If subjects are shown an angry face as a target visual stimulus for less than forty milliseconds and are then immediately shown an expressionless mask, these subjects report seeing the mask but not the target. However, an aversively conditioned masked target can elicit an emotional response from subjects without being consciously perceived^{1,2}. Here we study the mechanism of this unconsciously mediated emotional learning. We measured neural activity in volunteer subjects who were presented with two angry faces, one of which, through previous classical conditioning, was associated with a burst of white noise. In half of the trials, the subjects' awareness of the angry faces was prevented by backward masking with a neutral face. A significant neural response was elicited in the right, but not left, amygdala to masked presentations of the conditioned angry face. Unmasked presentations of the same face produced enhanced neural activity

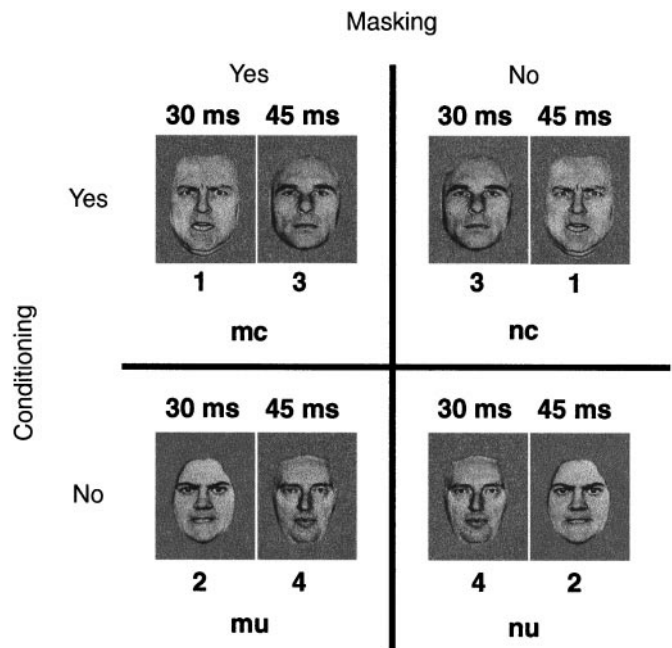


Figure 1 Stimulus parameters and experimental design. In the scanning window, pairs of target and masking faces were shown in four separate conditions, determined by the combination of masking and conditioning of the angry face. Mc, masked conditioned (the CS+ angry face was the target and the neutral face was the mask); nc, non-masked conditioned (a neutral face was the target and the CS+ face was the mask); mu, masked unconditioned (the CS- face was the target and the neutral face the mask); nu, non-masked unconditioned (the neutral face was the target and the CS- face the mask). Face 1, angry face paired with noise (CS+); face 2, angry face not paired with noise (CS-); faces 3 and 4, neutral faces. In all conditions, the target face was displayed for 30 ms and immediately followed by the mask for 45 ms.

in the left, but not right, amygdala. Our results indicate that, first, the human amygdala can discriminate between stimuli solely on the basis of their acquired behavioural significance, and second, this response is lateralized according to the subjects' level of awareness of the stimuli.

Studies of animals³⁻⁶ and brain-damaged patients⁷⁻¹⁰ indicate a crucial role for the amygdala in emotional learning. Other data show that the amygdala can respond differentially to emotional stimuli that subjects are unable explicitly to recall^{11,12}. However, direct evidence for the involvement of the amygdala in the unconscious mediation of learned emotional responses^{1,2} has been lacking. In this experiment we used a factorial design to measure directly how neural responses associated with emotional learning are modulated by subjects' conscious awareness (Fig. 1). We showed healthy volunteer subjects pictures of two angry faces, one of which was paired (CS+), and the other unpaired (CS-), with an unconditioned stimulus (UCS), namely, a 100-dB burst of white noise. Following this conditioning, the faces were presented sequentially, either masked or unmasked, and without the UCS, while neural activity was measured by positron emission tomography (PET). Throughout the experiment, subjects were required to indicate, by pressing a button, any occurrence of either angry face. Skin conductance responses (SCRs) were measured during both conditioning and scanning phases.

The responses of subjects revealed an inability to detect the masked angry faces: 0% of the masked angry faces were detected, whereas 100% of the unmasked faces were detected. On subsequent

debriefing, two subjects reported awareness of 'flickering' occurring on masked presentations, but did not report any perception of the angry faces. The remaining eight subjects denied any awareness of the masking procedure. Mean SCRs were significantly greater for CS+ than for CS- faces ($P < 0.01$), both for masked (CS+ mean = 0.605 μ S, s.e.m. = 0.06; CS- mean = 0.473, s.e.m. = 0.09 μ S) and unmasked (CS+ mean = 0.748 μ S, s.e.m. = 0.044; CS- mean = 0.426 μ S, s.e.m. = 0.084) presentations.

Bilateral responses in the amygdala were detected in scans of brain responses to all CS+ versus all CS- faces (masked and unmasked) (Table 1). To determine whether this response was present when subjects could not report the masked faces, we contrasted activity only in the masked CS+ and CS- scans. There was significant response ($P < 0.05$, corrected) in the region of the right amygdala to the presentation of masked CS+ faces (Fig. 2 and Table 1). This right-sided focus of activation was located in a medial and inferior part of the amygdaloid complex. In electrophysiological recordings of monkey brains, a similar region of amygdala was reported to contain units that are selective for faces and visually aversive cues¹³. When contrasting responses to unmasked CS+ and CS- faces, we saw a significant response ($P < 0.05$, corrected) in the left amygdala (Fig. 3 and Table 1). This left-sided activation by reportable CS+ faces was located in a more superior and posterior region of the amygdala than the right-sided activation by masked CS+ stimuli. Even with liberal thresholding, there was no evidence of responses of left amygdala to masked CS+ faces or of right amygdala to unmasked CS+ faces. Direct comparison of activity

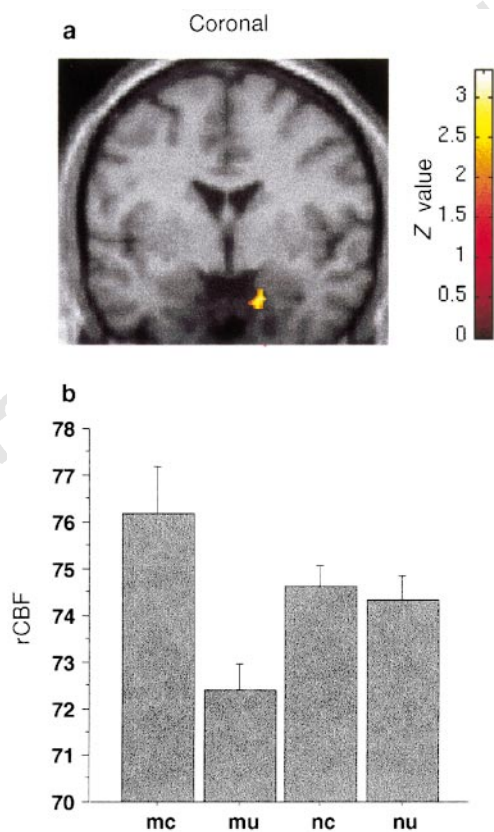


Figure 2 Response to the presentation of masked faces. **a**, An SPM showing activation in the region of the right amygdala in the contrast of masked CS+ and CS- angry faces. An uncorrected threshold of $P = 0.01$ was used to display the contrasts. The SPM is displayed on a coronal slice ($y = -2$ mm) of a canonical MRI image. **b**, A graphical display of the mean regional cerebral blood flow (rCBF) in the four conditions at the maximal voxel of activation in the right amygdala, $x = 18, y = -2, z = -28$. Bars represent two standard errors. See Fig. 1 legend for abbreviations.

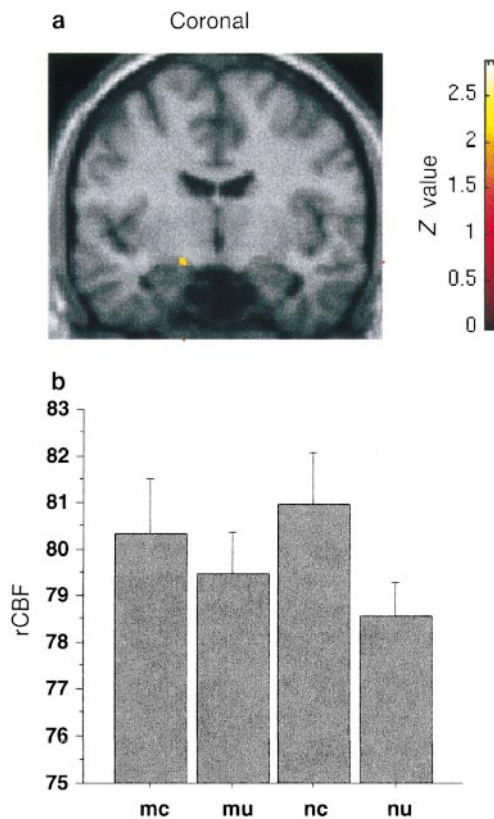


Figure 3 Response to the presentation of unmasked faces. **a**, A statistical parametric map (SPM) showing activation of the left amygdala in the contrast of unmasked CS+ and CS- angry faces. An uncorrected threshold of $P = 0.01$ was used to display the contrasts. The SPM is displayed on a coronal slice ($y = -8$ mm) of a canonical MRI image. **b**, A graphical display of the mean rCBF in the four conditions at the maximal voxel of activation in the left amygdala, $x = -18, y = -8, z = -14$. Bars represent two standard errors. See Fig. 1 legend for abbreviations.

Table 1 Brain regions showing significant activation in comparisons of responses to masked, unmasked, conditioned and non-conditioned faces

	Coordinates* (x, y, z)	Voxels*	Z score	P value (corrected)
All CS+ versus all CS-				
Left amygdala	-16, -10, -14	6	2.86	0.02
Right amygdala	18, -2, -28	21	2.67	0.04
Masked CS+ versus masked CS-				
Right amygdala	18, -2, -28	44	3.42	0.01
Unmasked CS+ versus unmasked CS-				
Left amygdala	-16, -8, -14	6	2.92	0.02

*Coordinates of the maximally activated voxel and the number of significant voxels are shown here.

between the two amygdalae revealed significant ($P < 0.05$) masking-related hemispheric effects.

We also formally tested the interaction between conditioning and masking, implicit in the above data, by comparing, for example, the responses to masked conditioned minus masked unconditioned faces with non-masked conditioned minus non-masked unconditioned faces. The results indicate that the response of the right amygdala to CS+ faces was significantly enhanced by masking (maximal voxel $x = 18, y = -2, z = -28, Z = 2.30, P < 0.05$), whereas the response of the left amygdala was enhanced by unmasking (maximal voxel $x = -14, y = -8, z = -14, Z = 1.65, P < 0.05$). As the different face stimuli were equally represented in conditions across subjects, and as no noise UCSs were played during scanning, the CS+ and CS- conditions involved identical physical stimuli and the same explicit task. The only difference, therefore, between the CS+ and CS- conditions was the subjects' previous experience of the temporal association between CS+ faces and aversive noise.

Our results are evidence that neural activity in the human amygdala mediates the learning of associations between behaviourally significant stimuli. The results concur with studies of fear conditioning in animals³⁻⁶ and of human lesions⁷⁻¹⁰ that also indicate that the amygdala is involved in emotional learning. The results are also consistent with neuroimaging data that show that amygdala activity during viewing of emotional stimuli correlates significantly with subsequent recall of the same stimuli¹⁴. A new aspect of our findings is that a response of the amygdala occurred to masked conditioned faces that were not consciously perceived. Although differential amygdala activity during passive viewing of masked fearful and happy faces has been reported¹², the subjects' awareness of the stimuli was not measured at the time of presentation, thus limiting interpretation of the data in terms of unconscious processing. In our study, however, we directly assessed subjects' awareness during scanning by an explicit target-detection task. Also, here the differential response of the amygdala was related not to differences in emotional expression, but to categorically identical stimuli that differed solely in terms of their earlier associative history (that is, one was associated with the aversive UCS). In this respect, we provide the first evidence that the human amygdala can discriminate the acquired behavioural significance of stimuli without the need for conscious perception.

Another new finding is the lateralization of the amygdala response as a function of the level of awareness of target stimuli. The response of the right amygdala to masked CS+ faces concurs with previous findings of a right-hemisphere advantage for processing emotional facial expressions¹⁵⁻¹⁷. The absence of activity of the right amygdala in the unmasked condition, when subjects could report the occurrence of conditioned stimuli, indicates that processes related to conscious awareness, such as the engagement of language systems, may inhibit this neural response. This proposal is consistent with data from 'split-brain' patients which show that emotional visual stimuli presented to the right hemisphere produce greater autonomic responses when masked (and unreportable) than

when unmasked¹⁸. The proposal also concurs with electrophysiological data from humans concerning single-unit responses to previously presented stimuli; greater responses of the amygdala are produced in response to target stimuli that subjects could not recall¹¹. Also, psychophysical data show enhanced semantic priming with masked (unreportable) words; that is, there is attenuation of the priming effect by conscious awareness¹⁹. Our data may help to explain the failure of previous functional neuroimaging experiments using unmasked, reportable stimuli to show direct activation of the amygdala during conditioning paradigms^{20,21}. Subjects' conscious processing of stimuli in these studies may have attenuated amygdala responses.

The response of the right amygdala was attenuated in the unmasked condition, whereas activity of the left amygdala was enhanced with awareness of the stimuli. Left-hemisphere involvement in the recognition of facial expressions has been shown in several studies of human lesions^{22,23}. Previous functional imaging experiments have also reported left-lateralized responses in the amygdala that are related to the perception of facial expressions^{24,25}. All these studies used stimuli that subjects could identify by explicit report, indicating that the response of the left amygdala to emotional stimuli may be enhanced by verbal or conscious processing. The absence of a significant response of the left amygdala in the masked condition, when conscious (verbal) processing was prevented, supports this view. Neuroimaging data showing activation of right > left amygdala in response to masked fearful faces¹², but activation of left > right amygdala responses to the same stimuli without masking²⁵, are consistent with this interpretation.

Finally, the lateralization of the amygdala response here is consistent with the behavioural responses of patients with cerebral commissurotomies. 'Split-brain' patients can verbally report stimuli presented to the isolated left hemisphere yet deny awareness of the same stimuli presented to the right hemisphere²⁶. However, the isolated right hemisphere shows superior performance in tasks involving facial and emotional discrimination, when verbal processing is not critical^{16,26}. Greater heart-rate responses are provoked by masked emotional visual stimuli when presented to the right rather than left hemisphere of split-brain patients, but the same stimuli shown unmasked produce greater increases in heart rate after presentation to the isolated left hemisphere¹⁸. The lateralized amygdala activity here is consistent with these lesion data and indicates that the same segregation of conscious and unconscious processing that is observed in the split-brain may also be present in the intact brain. □

Methods

Ten healthy, right-handed male subjects took part in the study. Subjects (mean age 32.7 yr) were recruited by advertisement. They all gave informed consent and the study was approved by the local hospital ethics committee and ARSAC (UK). Each subject was scanned 12 times for the distribution of H₂¹⁵O using a Siemens/CPS ECAT EXACT HR + PET Scanner operated in high-sensitivity three-dimensional mode. Subjects received a total of 350 MBq of H₂¹⁵O over 20 s through a forearm cannula. Images were reconstructed into 63 planes, using a Hanning filter, resulting in 6.4-mm transaxial and 5.7-mm axial resolution (full width was half the maximum). Each scanning window was of duration 90 s.

PET data were analysed using statistical parametric mapping (SPM96) software from the Wellcome Department of Cognitive Neurology, London^{27,28}. After initial realignment, the PET scans were transformed into a standard stereotactic space²⁸. Structural magnetic resonance images (MRIs) from each subject were co-registered into the same space. The scans were then smoothed using a Gaussian filter, set at 12 mm full width at half maximum. We adjusted the rCBF (regional cerebral blood flow) measurements to a global mean of 50 ml per dl per min. A blocked (by subject) analysis of covariance model was fitted to the data at each voxel, with condition effects for each of the four masking variations, and global CBF as a confounding covariate. We assessed predetermined contrasts of the condition effects at each voxel using a *t*-statistic,

giving a statistic image for each contrast. *P* values for activations in the amygdala were corrected for the volume of brain analysed (specified as a sphere with radius 8 mm)²⁹. Anatomical localization for the group mean-condition-specific activations are reported in standard space²⁸. In all cases, the localization of the group mean activations was confirmed by registration with the subject's own MRIs.

In an initial conditioning phase immediately before scanning, subjects viewed a sequence of greyscale images of four faces taken from a standard set of pictures of facial affect³⁰. Images of a single face were presented on a computer monitor screen for 75 ms at intervals of 15–25 s (mean 20 s). Each of the four faces was shown six times in a pseudorandom order. Two of the faces had angry expressions (A1 and A2), the other two being neutral (N1 and N2). One of the angry faces (CS+) was always followed by a 1-s 100-dB burst of white noise. In half of the subjects A1 was the CS+ face; in the other half, A2 was used. None of the other faces was ever paired with the noise. Before each of the 12 scanning windows, which occurred at 8-min intervals, a shortened conditioning sequence was played consisting of three repetitions of the four faces. During the 90-s scanning window, which seamlessly followed the conditioning phase, 12 pairs of faces, consisting of a target and mask, were shown at 5-s intervals. The target face was presented for 30 ms and was immediately followed by the masking face for 45 ms (Fig. 1). These stimulus parameters remained constant throughout all scans and effectively prevented any reportable awareness of the target face (which might be a neutral face or an angry face).

There were four different conditions (Fig. 1), masked conditioned, non-masked conditioned, masked unconditioned, and non-masked unconditioned. Throughout the experiment, subjects performed the same explicit task, which was to detect any occurrence, however fleeting, of the angry faces. Immediately before the first conditioning sequence, subjects were shown the two angry faces and were instructed, for each stimulus presentation, to press a response button with the index finger of the right hand if one of the angry faces appeared, or another button with the middle finger of the right hand if they did not see either of the angry faces.

Throughout the acquisition and extinction phases, subjects' SCRs were monitored to index autonomic conditioning. SCRs were measured with Biodata galvanic skin response equipment using Ag/AgCl electrodes attached to the palmar surface of the middle phalanges of the index and middle fingers of the left hand. We took readings of skin conductance (in μ S) every 500 ms and stored them digitally on computer. All SCRs were square-root-transformed to attain statistical normality. Using the SCR in the 4-s period before presentation as a baseline, the maximal SCR deflection in the period 0.5–4 s after a face was presented was assigned as the value for the SCR to that face. The mean SCRs for the CS+ and CS- angry faces were calculated for both the masked and the unmasked conditions, and the differences between the means were tested using a paired Student's *t*-test.

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The human amygdala in social judgment

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Studies in animals have implicated the amygdala in emotional^{1–3} and social^{4–6} behaviours, especially those related to fear and aggression. Although lesion^{7–10} and functional imaging^{11–13} studies in humans have demonstrated the amygdala's participation in recognizing emotional facial expressions, its role in human social behaviour has remained unclear. We report here our investigation into the hypothesis that the human amygdala is required for accurate social judgments of other individuals on the basis of their facial appearance. We asked three subjects with complete bilateral amygdala damage to judge faces of unfamiliar people with respect to two attributes important in real-life social encounters: approachability and trustworthiness. All three subjects judged unfamiliar individuals to be more approachable and more trustworthy than did control subjects. The impairment was most striking for faces to which normal subjects assign the most negative ratings: unapproachable and untrustworthy looking individuals. Additional investigations revealed that the impairment does not extend to judging verbal descriptions of people. The amygdala appears to be an important component of the neural systems that help retrieve socially relevant knowledge on the basis of facial appearance.

Data from three subjects with complete bilateral amygdala damage (subjects SM, JM and RH) and seven with unilateral amygdala damage were compared to those from normal and from brain-damaged control subjects (see Table 1 and Methods). Ratings of approachability and of trustworthiness were analysed separately for the 50 faces to which normal controls assigned the most negative ratings, and for the 50 most positive faces. Subjects with bilateral amygdala damage rated the 50 most negative faces more positively than did either normal controls ($P < 0.01$) or brain-damaged controls ($P < 0.05$; Mann–Whitney *U*-tests on subjects' mean ratings, Bonferroni corrected) (Fig. 1). Groups with unilateral amygdala lesions did not differ from controls on either rating. All subject groups gave similar ratings to the 50 most positive faces.