

## ORIGINAL ARTICLE

# Constrictive Bronchiolitis in Soldiers Returning from Iraq and Afghanistan

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## ABSTRACT

**BACKGROUND**

In this descriptive case series, 80 soldiers from Fort Campbell, Kentucky, with inhalational exposures during service in Iraq and Afghanistan were evaluated for dyspnea on exertion that prevented them from meeting the U.S. Army's standards for physical fitness.

**METHODS**

The soldiers underwent extensive evaluation of their medical and exposure history, physical examination, pulmonary-function testing, and high-resolution computed tomography (CT). A total of 49 soldiers underwent thoracoscopic lung biopsy after noninvasive evaluation did not provide an explanation for their symptoms. Data on cardiopulmonary-exercise and pulmonary-function testing were compared with data obtained from historical military control subjects.

**RESULTS**

Among the soldiers who were referred for evaluation, a history of inhalational exposure to a 2003 sulfur-mine fire in Iraq was common but not universal. Of the 49 soldiers who underwent lung biopsy, all biopsy samples were abnormal, with 38 soldiers having changes that were diagnostic of constrictive bronchiolitis. In the remaining 11 soldiers, diagnoses other than constrictive bronchiolitis that could explain the presenting dyspnea were established. All soldiers with constrictive bronchiolitis had normal results on chest radiography, but about one quarter were found to have mosaic air trapping or centrilobular nodules on chest CT. The results of pulmonary-function and cardiopulmonary-exercise testing were generally within normal population limits but were inferior to those of the military control subjects.

**CONCLUSIONS**

In 49 previously healthy soldiers with unexplained exertional dyspnea and diminished exercise tolerance after deployment, an analysis of biopsy samples showed diffuse constrictive bronchiolitis, which was possibly associated with inhalational exposure, in 38 soldiers.

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REPORTS OF RESPIRATORY SYMPTOMS have been common among soldiers who have served in the Middle East, beginning in the 1990s and more recently in soldiers returning from Iraq and Afghanistan.<sup>1,2</sup> Epidemiologic studies in the United States, England, and Australia have documented an increased incidence of respiratory disorders in soldiers who served in the Middle East, as compared with soldiers who were deployed elsewhere.<sup>2-5</sup> A 2009 study of 46,000 military personnel showed an association between the development of respiratory symptoms and service in Iraq, as well as an association with service inland versus at sea.<sup>2</sup> These reports suggest that some soldiers serving in the Middle East have inhalational injury during deployment, but no studies have identified pathological correlates to these respiratory symptoms and disorders. Respiratory conditions such as acute eosinophilic pneumonia, asthma, and allergic rhinitis have been associated with service in the Middle East but do not fully account for the increased incidence of respiratory symptoms.<sup>6-8</sup>

A group of soldiers from Blanchfield Army Hospital in Fort Campbell, Kentucky, were referred to Vanderbilt University Medical Center for evaluation of exercise intolerance because of exertional dyspnea after returning from duty in Iraq or Afghanistan. The soldiers were unable to achieve the fitness standard for the 2-mile run that all had met before deployment. Many of the soldiers reported having been exposed to smoke from a fire in a large sulfur mine near Mosul, Iraq, during the summer of 2003, but several of the soldiers reported having had no specific exposure. The cause of the persistent dyspnea was not apparent in these otherwise healthy soldiers.

## METHODS

### STUDY DESIGN

From February 2004 through December 2009, we evaluated 80 soldiers with respiratory symptoms who were referred because of exercise intolerance (Fig. 1). All the soldiers underwent extensive evaluation of occupational and environmental exposures. Of these soldiers, 49 were referred for video-assisted thoracoscopic lung biopsy at the discretion of the treating physician. The remaining 31 soldiers were not referred for biopsy for the reasons outlined in Figure 1. The 38 soldiers who had biopsy findings of constrictive bronchiolitis are

the focus of this analysis. We compared the results on pulmonary-function and cardiopulmonary-exercise testing for these soldiers with results for historical military control subjects.<sup>9</sup> We updated all medical histories, evaluation of symptoms, and disability ratings of the entire group of 80 soldiers by means of a survey in the fall of 2010. The institutional review board at Vanderbilt University Medical Center approved the study.

### PULMONARY-FUNCTION AND CARDIOPULMONARY-EXERCISE TESTING

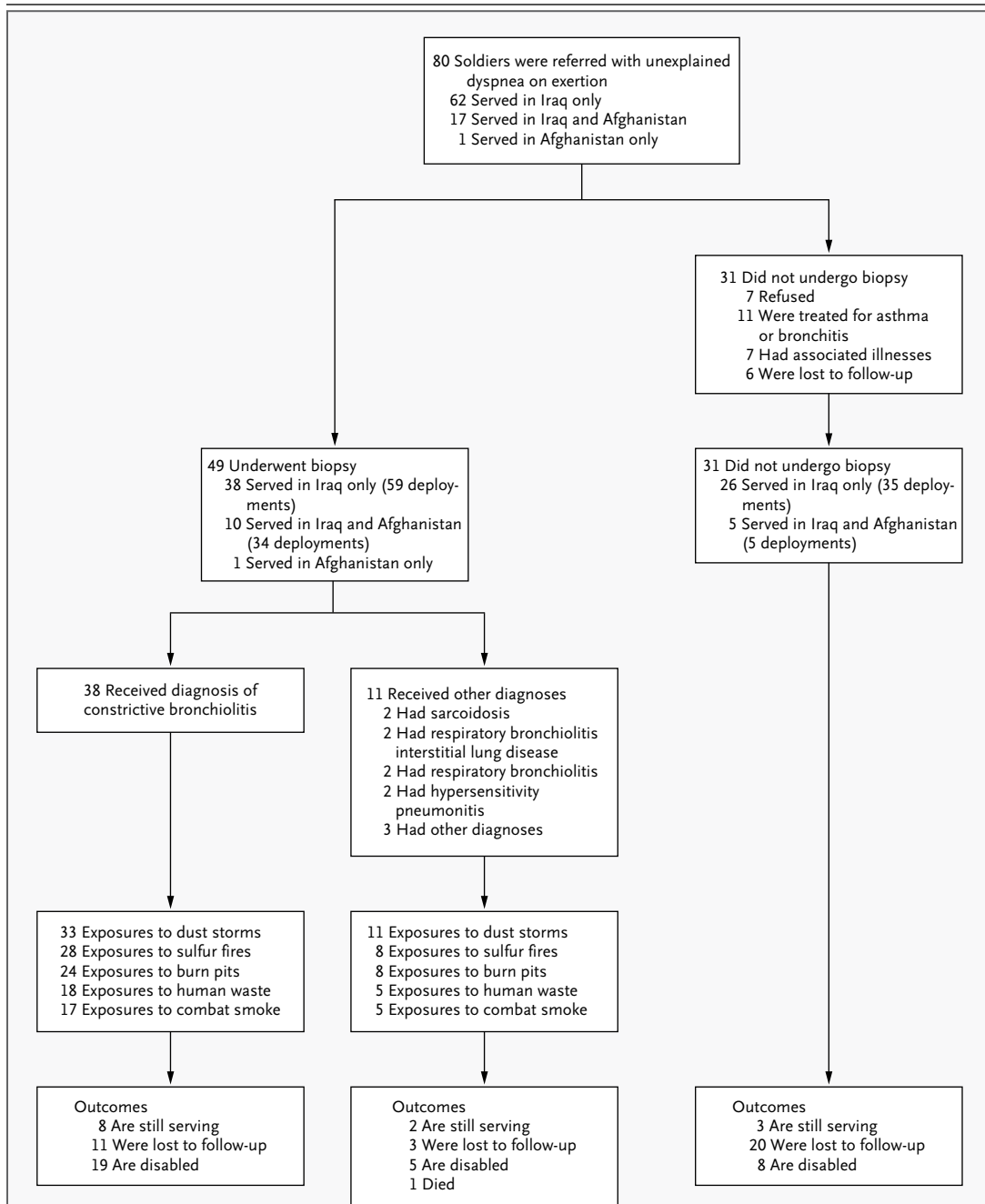
We measured results on spirometry, lung volumes, and diffusing capacities (Medgraphics) according to the guidelines of the American Thoracic Society and interpreted the findings using the standards of Crapo and colleagues.<sup>10,11</sup> Cardiopulmonary-exercise testing was performed with the use of an electronically braked cycle ergometer (Ergoline) and consisted of a single bout of incremental exercise to exhaustion.<sup>12</sup> We measured pulmonary gas exchange and minute ventilation using a commercially available metabolic cart (CareFusion). Adequate effort on testing was defined as either a peak heart rate of at least 85% of the predicted value or a peak respiratory exchange ratio of 1.00 or more.

### HIGH-RESOLUTION COMPUTED TOMOGRAPHY

Of the 38 soldiers with constrictive bronchiolitis, 37 underwent helical computed tomography (CT) with reconstruction into 3-mm, contiguous, standard algorithmic images and also high-resolution images with a slice thickness of 1 mm every 10 mm, without the use of intravenous contrast material. Transaxial images with a thickness of 1.25 mm at 10-mm increments were obtained in the supine position on expiration and in the prone position on inspiration and were reconstructed with a high-resolution algorithm with the use of Philips iCT128 slice or Philips Brilliance 64 with essence technology scanners (Koninklijke Philips Electronics).

### PATHOLOGICAL ANALYSIS OF LUNG TISSUE

Two pulmonary pathologists examined the lung-biopsy samples in an unblinded fashion with hematoxylin and eosin and Movat's pentachrome connective-tissue staining. Masson's trichrome connective-tissue staining was also used in many of the specimens. The case definition for constrictive bronchiolitis was the presence of extrin-



**Figure 1. Characteristics and Outcomes of 80 Soldiers Referred for the Evaluation of Shortness of Breath on Exertion.**

Among the three soldiers in whom disorders other than those listed here were diagnosed, one had peribronchial scarring, one had an endobronchial stricture, and one had a necrotizing granuloma surrounded by normal lung parenchyma.

sic narrowing of the luminal wall caused by sub-epithelial fibrosis, smooth-muscle hypertrophy in membranous bronchioles (non-cartilaginous airways having a complete fibromuscular wall), or both in a patient with otherwise normal lung parenchyma. Constrictive bronchiolitis was defined as an increase in wall thickness of more than 20%, as compared with normal thickness.<sup>13</sup>

Each membranous bronchiole was examined for the presence of luminal narrowing, subepithelial fibrosis or smooth-muscle hypertrophy, peribronchial inflammation (the presence of any number of lymphocytes or plasma cells within bronchiolar submucosa or adventitia), peribronchial pigment deposition, polarizable material, luminal granulation, mucus plugging, peribronchial eosinophils, bronchial-associated lymphoid tissue, and abnormalities of intima and media in adjacent pulmonary arteries. All slides were also examined under polarized light with the use of the microscope's built-in polarizer and analyzer filters.

#### STATISTICAL ANALYSIS

We used Welch's t-test (which does not assume equal variance for the two groups) to compare the results on pulmonary-function and cardiopulmonary-exercise testing for the 38 soldiers with constrictive bronchiolitis with the results for the military control subjects, a group of healthy soldiers who were evaluated by Morris et al.<sup>9</sup> The mean proportion of airway involvement was estimated, and 95% confidence intervals were calculated with the use of nonparametric bootstrap analysis.

## RESULTS

#### PATIENTS

Among the 38 soldiers (35 men and 3 women) whose biopsy samples showed constrictive bronchiolitis, the median age was 33 years (range, 23 to 44). (Additional demographic data are provided in Section 2 in the Supplementary Appendix, available with the full text of this article at NEJM.org.) All 38 soldiers had met the requirements of the Army Physical Fitness Test wearing combat gear before deployment. These requirements vary according to age, with the time for a 2-mile run ranging from 16.5 minutes for soldiers who are 23 years of age to 19.5 minutes for those who are 48 years of age.<sup>14</sup> Of the 38 soldiers, 25 were lifetime nonsmokers, 7 were active smokers, and 6 were former smokers (Table 1).

In this group of soldiers, 28 had served in northern Iraq in 2003 and reported having been exposed to smoke from a sulfur-mine fire near Mosul. The plume had levels of sulfur dioxide as high as 125 ppm and extended for miles over the area where most of the Fort Campbell troops and other soldiers had been barracked. Notably, 11 of the soldiers who underwent biopsy reported having had no exposure to the sulfur-mine fire or any

other unique exposure. Thirty-three soldiers reported exposure to dust storms, 24 reported exposure to incinerated solid waste in burn pits, and 18 reported exposure to incinerated human waste. The soldiers served in a variety of positions, including helicopter pilots, flight engineers, infantry members, communication specialists, fuelers, mechanics, and military police. Four soldiers had a history of childhood asthma or allergic rhinitis, three had gastroesophageal reflux disease, one had essential hypertension, and one had remote cutaneous sarcoidosis. The results of physical examination of the chest were normal in all the soldiers.

#### IMAGING STUDIES

Results on posteroanterior and lateral chest radiography were normal in 37 soldiers and revealed a lingular nodule in 1 soldier (Table 1). Among the 37 soldiers who underwent high-resolution CT, 25 had normal results; 6 soldiers had mild air trapping, 1 had a single nodule, 2 had multiple nodules, 1 had basilar scarring, 1 had pleural thickening, and 1 had apical bullae (Table 1, and Section 3 in the Supplementary Appendix).

#### PULMONARY-FUNCTION AND CARDIOPULMONARY-EXERCISE TESTING

Spirometric values, lung volumes, and measures of carbon monoxide diffusing capacity were within normal limits in 13 soldiers; 19 had an isolated low carbon monoxide diffusing capacity with normal spirometric values and lung volumes. Three soldiers met the criteria for restriction, with two of these soldiers also having a low carbon monoxide diffusing capacity. Two soldiers met the criteria for obstruction, with one also having a low carbon monoxide diffusing capacity.<sup>10</sup> One soldier had mixed obstruction and restriction with a reduced carbon monoxide diffusing capacity. Bronchoprovocation with methacholine was not part of the protocol but had been performed before study enrollment in 12 of the 38 soldiers, with 1 positive result. (Details on testing are provided in Sections 4 and 5 in the Supplementary Appendix.)

Soldiers with biopsy-proven constrictive bronchiolitis had significantly lower measures of forced expiratory volume in 1 second ( $FEV_1$ ), forced vital capacity (FVC), ratio of  $FEV_1$  to FVC, and carbon monoxide diffusing capacity than did control subjects (Table 2). Cardiopulmonary-exercise testing was performed in 30 of the 38 soldiers.

**Table 1. Exposure History and Evaluation Summary for 38 Soldiers with Constrictive Bronchiolitis.**

Variable	Value no. (%)
Smoking status	
Current	6 (16)
Former	7 (18)
Never	25 (66)
Exposure history	
Sulfur-mine fire in 2003	28 (74)
Incinerated solid waste	24 (63)
Incinerated human waste	18 (47)
Dust storms	33 (87)
Combat smoke	17 (45)
Chest radiography	
Normal	37 (97)
Lingular nodule	1 (3)
Computed tomography*	
Normal	25 (68)
Mild air trapping	6 (16)
Multiple nodules <1 cm	2 (5)
Solitary nodule <1 cm	1 (3)
Pleural thickening	1 (3)
Bibasilar scarring	1 (3)
Apical bullae	1 (3)
Pulmonary-function testing	
Normal	13 (34)
Normal with low carbon monoxide diffusing capacity	19 (50)
Obstructive	2 (5)
Restrictive	3 (8)
Mixed obstructive and restrictive	1 (3)

\* The results are for 37 soldiers who underwent high-resolution computed tomography.

Patients with constrictive bronchiolitis had mean levels of maximal oxygen consumption and an anaerobic threshold that were at the lower limit of the normal range (maximal oxygen consumption, 80% of the predicted value; anaerobic threshold, 40% of the predicted maximal oxygen consumption). The mean maximal oxygen consumption was significantly lower in the soldiers than in control subjects ( $85.1 \pm 15.2\%$  vs.  $105.4 \pm 14.3\%$  of the predicted value,  $P < 0.001$ ) and the anaerobic threshold was also reduced ( $45.0 \pm 9.5\%$  vs.  $78.2 \pm 15.3\%$  of the predicted value,  $P < 0.001$ ).

The level of effort was adequate for all soldiers, with lower peak heart rates in the soldiers than in the control subjects but with an adequate respiratory exchange ratio. The mean breathing reserve (maximum voluntary ventilation minus peak minute ventilation) was normal in the soldiers ( $59.8 \pm 24.3$  liters) and did not differ significantly from that of the control subjects (Table 2).

#### LUNG-BIOPSY FINDINGS

Lacy black pigment was noted on the visceral pleural surface during biopsy in 37 of the soldiers. Thirty-eight of the biopsy samples revealed constrictive bronchiolitis. Several other histologic features were also frequently present (Table 3). Figure 2 shows representative pathological images for soldiers in whom constrictive bronchiolitis was diagnosed. At low magnification, biopsy samples showed scattered small densities, which at higher magnification were identifiable as membranous bronchioles with variable mural thickening, mixed airway-wall inflammation, and peribronchiolar deposition of grayish black pigment. Membranous bronchioles contained hypertrophic mural smooth muscle or fibrous thickening with luminal narrowing in 64% of small airways (95% confidence interval, 58 to 71). Thirty-seven of the biopsy samples showed the deposition of grayish black peribronchiolar pigment, with 36 showing polarizable material within the pigment. The results of culture of lung-biopsy samples to identify bacteria, fungus, or acid-fast bacilli were all negative. Alveolar structures and larger airways were otherwise normal in all 38 soldiers. Of the 49 soldiers who underwent lung biopsy, 11 had pathological changes other than constrictive bronchiolitis (Fig. 1). (The distribution of bronchiolar lesions is shown in Fig. 1 in the Supplementary Appendix.)

#### FOLLOW-UP

In 2010, a total of 50 of the 80 soldiers responded to a follow-up survey. In addition, some of the data regarding the disability and service status of the 30 soldiers who did not respond to the survey were available from earlier encounters. Of the 38 soldiers with constrictive bronchiolitis, 19 (50%) had left the service with a disability rating, 8 (21%) were still serving despite their inability to complete a 2-mile run within the regulation time, and 11 (29%) were lost to follow-up. Twenty-two soldiers (58%) reported having shortness of breath after climbing one flight of stairs and having had

**Table 2. Demographic and Clinical Characteristics of 38 Soldiers with Constrictive Bronchiolitis, as Compared with Military Control Subjects.\***

Characteristic	Control Subjects	Soldiers	P Value†	Between-Group Difference (95% CI)‡
Age (yr)	27.3±7.5	33.4±6.1	<0.001	6.1 (3.4 to 8.7)
Body-mass index§	25.7±3.3	28.9±3.6	<0.001	3.2 (1.8 to 4.6)
Pulmonary-function testing				
FEV <sub>1</sub> (% of predicted value)	99.1±9.2	86.7±13.3	<0.001	-12.4 (-17.3 to -7.6)
FVC (% of predicted value)	101.6±10.7	90.7±13.2	<0.001	-10.9 (-15.9 to -5.9)
Ratio of FEV <sub>1</sub> to FVC	97.4±5.0	79.1±7.6	<0.001	-18.3 (-21.0 to -15.6)
Total lung capacity (% of predicted value)	99.6±12.0	96.1±15.5	0.23	-3.5 (-9.3 to -2.3)
Carbon monoxide diffusing capacity (% of predicted value)	90.6±12.6	73.4±15.4¶	<0.001	-17.2 (-23.2 to -11.3)
Cardiopulmonary-exercise testing				
Maximal oxygen consumption (% of predicted value)	105.4±14.3	85.1±15.2	<0.001	-20.3 (-26.9 to -13.8)
Ventilator anaerobic threshold (% of maximal oxygen consumption)	78.2±15.3	45.0±9.5	<0.001	-33.2 (-38.2 to -28.1)
Respiratory exchange ratio	NA	1.10±0.20	NA	NA
Maximal heart rate (% of predicted value)	95.2±5.7	87.2±9.5	<0.001	-8.0 (-11.7 to -4.2)
Respiratory rate (breaths/min)	44.5±6.7	34.4±7.7	<0.001	-10.1 (-13.3 to -6.9)
Ratio of minute ventilation to carbon dioxide production	31.9±4.0	28.3±3.5	<0.001	-3.6 (-5.2 to -2.0)
Dyspnea index at maximal effort	66.5±10.7	61.5±12.6	0.06	-5.0 (-10.3 to 0.3)
Maximum voluntary ventilation minus peak minute ventilation (liter/min)	50.9±31.7	59.8±24.3	0.13	8.9 (-2.7 to 20.6)

\* Plus-minus values are means ±SD. Data for military control subjects were derived from reports regarding 69 asymptomatic active-duty soldiers who were evaluated at an army tertiary care center in 2002.<sup>9</sup> FEV<sub>1</sub> denotes forced expiratory volume in 1 second, FVC forced vital capacity, and NA not available.

† P values were calculated with the use of Welch's t-test.

‡ The values are for the comparison between soldiers with constrictive bronchiolitis and military control subjects.

§ The body-mass index is the weight in kilograms divided by the square of the height in meters.

¶ Carbon monoxide diffusing capacity could not be measured in one soldier.

|| Cardiopulmonary-exercise testing was performed in 30 soldiers.

limited job opportunities because of respiratory symptoms. Figure 1 shows outcome data for all 80 soldiers, including alternative diagnoses and reasons that they did not undergo lung biopsy. Since December 2009, constrictive bronchiolitis has been diagnosed in an additional 9 soldiers in our study group. Their profiles have not been added to this data set.

## DISCUSSION

Our study suggests that there is a strong association between constrictive bronchiolitis and exercise limitation in a cohort of soldiers who served in the Middle East. Constrictive bronchiolitis, a very rare finding in otherwise healthy, young adults, is most commonly reported in patients with rheumatologic disorders or in those who have undergone organ transplantation. The disorder is also

associated with inhalational exposure to nitrogen dioxide, sulfur dioxide, inorganic dust, fly ash, and the diacetyl used in the manufacture of microwave popcorn.<sup>15-20</sup>

The majority of biopsy samples obtained from soldiers in our study showed polarizable material consistent with the inhalation of particulate matter, even though most of the soldiers were lifelong nonsmokers. Most of the biopsy samples also showed thickening of the arteriolar wall or occlusion in adjacent arterioles, a finding also seen with toxic inhalation.<sup>21</sup>

The soldiers who were initially evaluated in this series had prolonged exposure to toxic levels of sulfur dioxide associated with the Mosul sulfur-mine fire, and we expected that the finding of constrictive bronchiolitis would be limited to this group. Over time, however, a number of soldiers without exposure to the sulfur-mine fire presented



**Table 3. Pathological Features of Biopsy Samples Obtained from 38 Soldiers with Constrictive Bronchiolitis.**

Variable	No. of Patients
Bronchiolar luminal constriction*	38
Predominant constrictive stroma	
Smooth muscle	7
Fibrous tissue	3
Mixed	28
Pigment deposition	37
Polarizable material within pigment	36
Peribronchiolar inflammation	34
Hypertensive-type arterial change	28
Respiratory bronchiolitis	27
Prominent bronchial-associated lymphoid tissue	19
Mucus plugging	13
Eosinophils in bronchiolar wall	7
Luminal granulation	2
Obliteration of bronchioles	0

\* On average, luminal constriction was observed in 64% of sampled terminal and respiratory bronchioles (95% confidence interval, 57.6 to 71.0 on non-parametric bootstrap analysis).

with similar exercise limitations. This group causes particular concern, since their potential toxic exposures are shared by most personnel who were deployed to Iraq and Afghanistan. These common exposures include open-air burn pits, in which solid waste was routinely incinerated in close proximity to living quarters, and desert dust storms of such severity that they obscured visibility. The presenting symptoms, smoking histories, evaluations, and biopsy samples of the 10 soldiers who did not report exposure to the sulfur-mine fire were indistinguishable from those of the 28 soldiers who did report such exposure.

The diagnosis of constrictive bronchiolitis is challenging, especially in the absence of known predisposing conditions. Typically, patients have nonspecific respiratory symptoms and have an exercise limitation that is disproportionate to findings on pulmonary-function testing,<sup>15,16,21</sup> which are frequently normal or mildly abnormal with both obstructive and restrictive patterns.<sup>17</sup>

In the soldiers in our study, results on pulmonary-function testing tended toward the lower limit of the normal range, as compared with population control subjects, but were significantly lower than those in a group of historical military

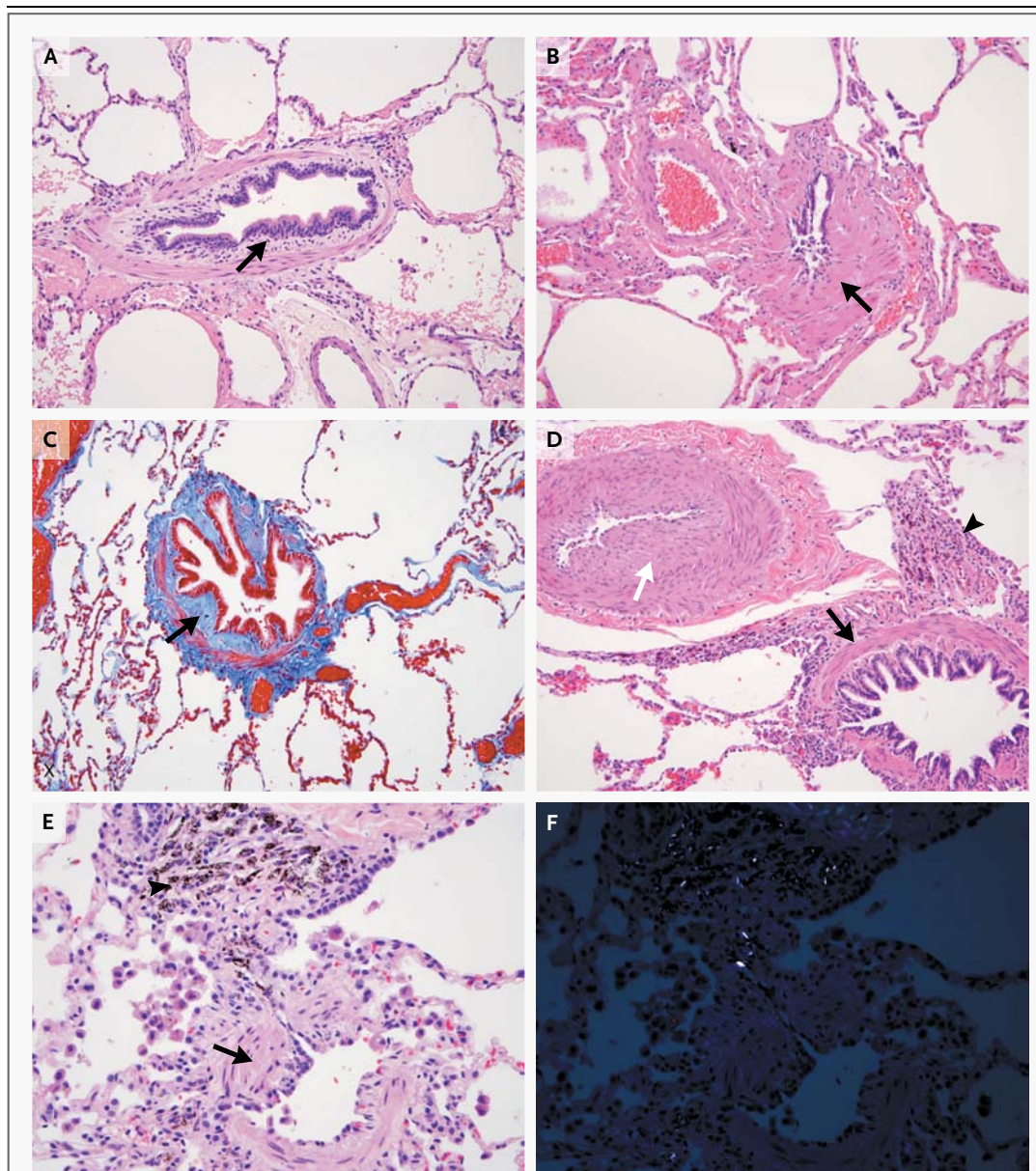
control subjects.<sup>9</sup> Ideally, we would have compared pulmonary function before deployment with measures after deployment, but only one of the soldiers in our study had undergone spirometry before deployment. His post-deployment FEV<sub>1</sub> and FVC measurements were much lower than his pre-deployment values, with the FEV<sub>1</sub> dropping from 5.09 liters to 3.91 liters (a decrease from 116% to 94% of the predicted value) and the FVC dropping from 5.77 liters to 4.58 liters (a decrease from 107% to 89% of the predicted value). Despite this accelerated decline in lung function, the results of post-deployment pulmonary-function testing remained within the normal range for this soldier.

Radiologic imaging generally did not suggest the presence of constrictive bronchiolitis among the soldiers in our study. Only a few soldiers had high-resolution CT showing the centrilobular nodularity or expiratory air trapping that can be associated with constrictive bronchiolitis. Several studies have reported normal imaging in patients with constrictive bronchiolitis because of the absence of associated alveolar disease.<sup>22-25</sup>

We cannot estimate the absolute prevalence of histologic bronchiolitis among soldiers, since the results of analyses of biopsy samples from an asymptomatic group of soldiers who have served in the theater of war have not been reported. The comparison between findings in the soldiers in our study and those in historical military controls is weakened because the control group was slightly younger and had a lower mean body-mass index than the soldiers in our study, a limitation that was attenuated by comparisons of the percent predicted values for the two groups. Despite these differences in demographics, the comparisons between the soldiers in our study and historical military control subjects were more appropriate than comparisons with the general population, given the standards of physical fitness required by military service.

Additional studies are needed to evaluate the particulate matter observed in many biopsy samples obtained from these soldiers. The correlation between the composition of the particulate matter with environmental exposures could lead to enhanced protective measures for soldiers in future deployments in the Middle East and elsewhere.

In summary, we found a high prevalence of constrictive bronchiolitis, an otherwise rare illness, in the 80 soldiers we evaluated. Evaluation



**Figure 2. Constrictive Bronchiolitis, Arteriopathy, and Peribronchial Pigment Deposition.**

The photomicrographs show some of the pathological features seen in the 38 soldiers in whom constrictive bronchiolitis was diagnosed. The disorder was associated with subepithelial fibrosis (Panel A, arrow; hematoxylin and eosin), smooth-muscle hypertrophy (Panel B, arrow; hematoxylin and eosin), fibrosis between the epithelium and the muscle layer (Panel C, arrow; stained red with Masson's trichrome), smooth-muscle hypertrophy (Panel D, black arrow) with marked intimal fibrosis and medial hypertrophy of the adjacent pulmonary artery (white arrow) and peribronchiolar pigment deposition (arrowhead; hematoxylin and eosin), and smooth-muscle hypertrophy (Panel E, arrow) with adjacent pigment deposition (arrowhead; hematoxylin and eosin). Panel F shows the field shown in Panel E with the pigment refringent under polarized light.

for constrictive bronchiolitis should be considered among returning veterans who report having exercise limitations and who have essentially normal results on imaging and physiological studies.

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Disclosure forms provided by the authors are available with the full text of this article at NEJM.org.



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