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1 Contrasting responses of autumn leaf senescence to daytime

2 and nighttime warming

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40	Plant phenology is a sensitive indicator of climate change ¹⁻⁴ , and plays a significant role in
41	regulating carbon uptake by plants ⁵⁻⁷ . Previous studies have focused on spring leaf-out by
42	daytime temperature and the onset of snowmelt time8-9, but the drivers controlling leaf
43	senescence date (LSD) in autumn remain largely unknown ¹⁰⁻¹² . Using long-term ground
44	phenological records (14536 time series since the 1900s) and satellite greenness
45	observations dating back to the 1980s, we show that rising preseason maximum daytime
46	(T_{day}) and minimum nighttime (T_{night}) temperatures had contrasting effects on the timing of
47	autumn LSD in the Northern Hemisphere (>20°N). If higher T_{day} leads to an earlier or later
48	LSD, an increase in T_{night} systematically drives LSD to occur oppositely. Contrasting
49	impacts of daytime and nighttime warming on drought stress may be the underlying
50	mechanism. A new LSD model considering these opposite effects improved autumn
51	phenology modeling, and predicted an overall earlier autumn LSD by the end of this
52	century compared with traditional projections. These results challenge the notion of
53	prolonged growth under higher autumn temperatures, suggesting instead that leaf
54	senescence in the Northern Hemisphere will begin earlier than currently expected,
55	causing a positive climate feedback.

57 Climate change over the last several decades has modified the dates of plant flowering, 58 leaf emergence, growth stages, and senescence, collectively termed phenology¹³ with 59 substantial ecological and environmental consequences⁴. Both observations and model 60 simulations have found that air temperature has a positive influence on the onset of plant 61 growth in the Northern Hemisphere (NH), e.g., higher spring temperature triggers earlier leaf-out and flowering dates and hence extends the growing season^{8,14-15}. In contrast to those extensive research efforts on spring phenology, autumn phenology, particularly leaf senescence date (LSD), is more challenging to understand, and has not received sufficient attention^{16,17}, while also serving as an important indicator of changing foliar physiological properties. Yet, autumn phenology may be as important as spring in regulating the interannual variability of carbon balance⁷.

68

69 LSD has been occurring later in most regions over the last few decades¹⁸, but providing 70 an explanation for this change is difficult⁹. An increase in global temperature is assumed 71 to be a driver of LSD trends¹⁹, but studies indicated that the contribution of temperature to 72 LSD variability is low, especially compared to spring phenology^{20,21}. We argue that ignoring the asymmetric effects²² of daytime maximum temperature (T_{day}) versus 73 74 nighttime minimum temperature (T_{night}) and their differing impacts on LSD, contributes to 75 the reported overall low contribution of temperature to LSD variability. To test this, we 76 used measured and gridded preseason (defined as months from June to LSD) T_{day} and 77 T_{night} in the NH, together with LSD data from three different datasets: (a) long-term 78 phenological observations at ground sites from 14536 time series since the 1900s (Fig. 79 S1), (b) the latest third generation of the Normalized Difference Vegetation Index (NDVI, 80 GIMMS3g.v1) for 1982-2015, and (c) NDVI and enhanced vegetation index (EVI) from the 81 Moderate Resolution Imaging Spectroradiometer (MODIS) products for 2001-2015.

82

83 Preseason forcing had a better predictive strength on LSD than either summer or autumn

84	climate forcing alone (Fig. S2). Because preseason T_{day} and T_{night} were highly correlated,
85	we used a partial correlation to remove the effects of T_{night} and of precipitation and
86	radiation (similarly for T_{night}) to investigate the response of LSD to T_{day} . Correlations were
87	classified into four types, (A) T _{day} ⁺ T _{night} ⁺ , (B) T _{day} ⁻ T _{night} ⁻ , (C) T _{day} ⁺ T _{night} ⁻ and (D) T _{day} ⁻ T _{night} ⁺ ,
88	where T ⁺ , T ⁻ represent positive and negative partial correlation coefficient of T with LSD.

90 Overall, all three datasets suggested that the onset of autumn LSD responded oppositely 91 to T_{day} and T_{night}. The proportions of ground sites of Types A and B were significantly lower than those of Type C and D (Fig. 1a). More significant R values for both T_{day} and T_{night} 92 93 were found within Types C and D, with only two and one records out of 2231 time series 94 having significant R within Type A and B, respectively. These results from ground sites 95 are consistent with those for the two satellite greenness products (Fig. 1b, c). Types C and 96 D together accounted for 83.7 and 80.0% for GIMMS3g and MODIS pixels, respectively. 97 Only 0.8 and 1.5% of the pixels had the same sign of response of LSD to T_{day} or T_{night} (i.e. 98 significant pixels for Types A+B) for GIMMS3g and MODIS, respectively. The GIMMS3g 99 dataset contained different fractions of Types C and D (45.6% vs. 38.1%), but the 100 compositions of Type C and D in GIMMS3g (i.e., contrasting effects of night and day 101 temperatures) became more consistent with the MODIS results when the overlapping 102 periods between the two sensors is considered (Figs. S3-4). More details on the fractions 103 of the four correlation types for different vegetation types are provided in Figs. S5-6.

104



106 **(LSD) and daytime (T_{day}), nighttime (T_{night}) temperatures.** (a) Data for 14536 time series of 107 ground sites, (b) the GIMMS3g dataset for 1982-2015, and (c) the MODIS product for 108 2001-2015. T⁺, T⁻ represent positive and negative partial correlation coefficient of T with 109 LSD. Significance was set at *P* < 0.05.

110

111 The satellite greenness products also allowed us to evaluate spatial patterns of LSD 112 changes in response to variations in T_{day} and T_{night} (Fig. 2). For the GIMMS3g data, higher 113 T_{day} was associated with a delayed LSD for 10.7% of the pixels (mostly boreal regions) 114 and with an earlier LSD for 7.5% of the pixels (central North America, borders of Eurasia 115 and central China). T_{night} had evident opposite influences on LSD than T_{day}. The patterns 116 of opposite effects from T_{day} and T_{night} on LSD were highly spatially consistent in all 117 regions where T_{day} and T_{night} were significantly correlated with LSD. Similar results were 118 obtained with MODIS observations (Fig. 2b, d). LSD for approximately 20% all pixels was 119 significantly correlated with T_{day} , of which 60.1 and 39.9% were negatively and positively 120 correlated, respectively. The area where LSD was positively correlated with T_{night} was 121 larger (9.4%) than the area with negative correlations (6.5%).

122

Vegetation grouped into Köppen-Geiger zones showed contrasting patterns between the effects of T_{day} and T_{night} on LSD (Fig. 2e, f). Type D was more widely distributed, while Type C was more common for continental climates. Monsoon-influenced but not extremely cold regions and mild climates also had higher proportions of Type C. Grouping these correlation types by vegetation types lead to similar results (Fig. 2g, h). In theory, we would expect to find Type C more in wet vegetation types and Type D in dry types. The real world seems to show the same thing but still there could be many locations that do not neatly fall into that continuum and suggests additional mechanisms may work, probably adaption.

132

133 Figure 2 Spatial distributions of the partial correlation coefficient (R) between leaf 134 senescence date (LSD) and daytime (T_{dav}), nighttime (T_{night}) temperatures. R⁺, R⁻ 135 represent positive and negative partial correlation coefficient of T with LSD. (a) LSD vs. 136 T_{day} for GIMMS3g, (b) LSD vs. T_{day} for MODIS, (c) LSD vs. T_{night} for GIMMS3g, and (d) 137 LSD vs. T_{night} for MODIS. (e), (f), represent distributions of correlation types in 138 Köppen-Geiger climatic classification using GIMMS3g and MODIS, respectively. (g) and 139 (h) represent distributions of correlation types for vegetation types (see Methods) using 140 GIMMS3g and MODIS, respectively. Significance was set at P < 0.05.

141

Our results suggest that ecological trade-offs, particularly those driven by regional differences in water stress, may underlie the contrasting relationships between LSD and T_{day} and T_{night} . Type C was mostly found in humid regions where water is a less limiting factor for plant growth. In these cases, a higher T_{day} , in the likely absence of severe water stress, benefits photosynthesis while elevated T_{night} increases nighttime leaf respiration.

147

148 Explanations for the prevalence of Type D relations in dry regions are more complicated.

149 The Standardized Precipitation Evapotranspiration Index (SPEI)²³, an indicator of drought

150	stress, accounted for the contrasting effects of increases in T_{day} and T_{night} on LSD for Type
151	D (Fig. 3). Partial correlation data indicate that increased T_{day} is negatively correlated with
152	SPEI (Fig. 3a), a stronger sensitivity to drought in dry regions that negatively affects plant
153	growth and consequently leads to an earlier LSD. In contrast, we found that an increase in
154	T_{night} is associated with a higher SPEI, that is, wetter conditions, and arguably reduced
155	water stress, which could extend the duration of photosynthesisand lead to delayed LSD
156	(Fig. 3b). The latter mechanism is consistent with the generally positive partial correlation
157	values between evapotranspiration (ET) and T_{night} , that is, more soil moisture being
158	available for ET in the lateseason, and sustaining delayed LSD (Fig. 3f), and with studies
159	showing that water stress accelerates leaf drop in dry ecosystems more so than in humid
160	ecosystems ²⁴ . The responses of radiation to T_{day} and T_{night} may also be viewed as a
161	further evidence for the linkage between leaf senescence and plant water status to
162	support the contrasting patterns (Fig. S7), given that a higher T_{day} was associated with
163	stronger radiation and potentially a higher chance of water stress. These findings suggest
164	that dry regions, in which Type D dominates, may be especially vulnerable to earlier onset
165	of LSD if climate change reduces local precipitation and increases daytime evaporation
166	with rising T _{day} .

Apart from physiological mechanisms relating to water stress, ecological processes may also contribute to these patterns. Warmer daytime versus nighttime temperature may have contrasting effects on different species since species adaptations lead to intrinsic differences in their timing of leaf emergence and senescence that are optimized to maximize carbon gain and minimize water losses²⁵⁻²⁷. The ecosystem-scale responses of phenology reflects the scaled responses of ecological dynamics of multiple individual species gaining or losing a competitive advantage in a changing climate, or presenting an induced advantage as a result of land-use change and planting^{17,26}. Recent results suggest that the magnitude of phenological change to effects by shifts in plant species composition may be similar as that by climate change²⁷, and the autumn phenology may thus change accordingly.

179

Figure 3 Partial correlation coefficient (R) between the Standardized Precipitation Evapotranspiration Index (SPEI), evapotranspiration (ET), and daytime (T_{day}), nighttime (T_{night}) temperatures. (a) SPEI vs. T_{day} for GIMMS3g, (b) SPEI vs. T_{night} for GIMMS3g, (c) SPEI vs. T_{day} for MODIS, (d) SPEI vs. T_{night} for MODIS, (e) ET vs. T_{day} for MODIS, and (f) ET vs. T_{night} for MODIS. Significance was set at *P* < 0.05.

185

We tested the implications of the observation analysis on future trends in autumn LSD by developing a weighted day-night-temperature growing-degree-day (DN_{GDD}) algorithm incorporating these opposite changes in LSD to T_{day} and T_{night} (see Methods). The new model substantially improved LSD modeling (in terms of R (Figs. S8-10), RMSE (Figs. S11-13) and percentage of significant pixels (Figs. S14-15)) compared with the currently used threshold or GDD methods both for the overall dataset and for vegetation types.

192

193 Spatial patterns of improvements using MODIS and GIMMS3g were also investigated

194	(Figs. S16-17). The results from MODIS and the ground sites (Fig. S18) were more
195	consistent with the new model, and the accuracy of the threshold method was much lower
196	so we used the coefficients from the MODIS data to predict LSD variability by the end of
197	this century using traditional GDD and DN_{GDD} algorithms under two Representative
198	Concentration Pathways (RCP) scenarios (RCP 4.5 and RCP 8.5) (Fig. S19, and Fig. 4).
199	
200	LSD from the DN_{GDD} method was overall earlier than conventional predictions across
201	Köppen-Geiger climatic classification types. Globally, LSD was earlier for about 68% of
202	the terrestrial biosphere under RCP 4.5 and for about 70% under RCP 8.5. LSD was
203	mostly later for central North America, western Russia, and southwestern China. Most
204	vegetation types showed earlier LSD estimates under two RCP scenarios while the
205	temperate grasslands were expected to have later senescence dates.
206	

208 Figure 4 Leaf senescence date (LSD) differences from the weighted 209 day-night-temperature growing-degree-day traditional (DN_{GDD}) and GDD 210 (LSD_{DNGDD}-LSD_{GDD}) models under two RCP scenarios. (a), (b), (c) represent 211 LSD_{DNGDD}-LSD_{GDD} under RCP 4.5, and averages of differences for the Köppen-Geiger 212 climatic classification, and vegetation types, respectively. (d), (e), (f) represent 213 LSD_{DNGDD}-LSD_{GDD} under RCP 8.5, and averages of differences for the Köppen-Geiger 214 climatic classification, and vegetation types, respectively.

215

216 Climatic variability, particularly temperature, has driven phenological changes over the 217 last several decades but has been challenging to predict. Our ability to predict autumn 218 LSD is particularly limited. We are the first to report, using14536 ground time series and 219 more than 30 years of remotely sensed observations, the opposite responses of LSD to 220 daytime and nighttime warming, providing a new perspective to account for the previous 221 low estimation accuracy of autumn LSD when relying solely on mean temperature. A 222 model based on mean temperature cannot correctly predict LSD changes, because LSD 223 responds to T_{day} and T_{night} in opposite directions. Our results also provide a perspective to 224 account for the carry-over effects between spring and autumn phenology, i.e. the start and 225 end of a growing season always move in the same direction²⁸. An earlier start of a season 226 is mainly triggered by higher spring temperatures, with increased growth depleting soil 227 water²⁹, which is then associated with autumn drought, inducing a reduction in growth and 228 consequently leading to an earlier end to the growing season³⁰.

229

Our improvement in modeling autumn phenology is a strong and convincing evidence for the value of incorporating daytime and nighttime temperatures in terrestrial models, rather than mean temperature alone. The application of this model projects an overall earlier than currently expected start of autumn senescence in the NH by the end of this century, particularly in dry regions. The earlier data of autumn senescence may be a potentially unrecognized positive feedback to climate change and consequently a weakening in the capability of terrestrial carbon uptake.

237

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248	the paper. J.P. and C.P. extensively revised the writing. H.W. performed the site model
249	simulations. X.W. performed remote sensing model simulations. All the authors
250	contributed to writing the paper.
251	
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332 Methods
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- 333 1. Phenological observation data
- We used observations of leaf senescence date (LSD) from three independent
- 335 phenological datasets.
- 336 1) The Pan European Phenological Database (PEP725; http://www.pep725.eu/), an
- 337 open-access database with long term plant phenological observations (since 1868)

from 19608 sites and 78 species across 25 European countries.

- 2) The China Phenological Observation Network (CPON), with data since 1963 for >100
- 340 species at 42 sites across China.

341 3) LSD data for two tree species (*Acer palmatum* and *Ginkgo biloba*) at 54 meteorological

342 stations in South Korea for 1989-2007³¹.

343 The definitions of LSD notably differ among the datasets. LSD for the PEP725, CPON,

- and Korean datasets is defined as the date when 50, 90, and 20% of the tree leaves,
- respectively, change color from green to red or yellow. We removed outliers using the
- 346 methods³² to exclude potential biases and inadequate degrees of freedom and focused on
- time series with at least 15 years of records for1900-2015. We thus analyzed 14536 LSD

348 time series for 24 species (Table S1).

349

350 2. LSD derived from satellite data

351 LSD in the Northern Hemisphere was determined using two satellite-derived vegetation indices, the Normalized Difference Vegetation Index (NDVI) and the Enhanced 352 353 Vegetation Index (EVI)³³. Both NDVI and EVI are direct indictors of vegetation growth and 354 have been widely applied for investigating vegetation phenology³⁴. We used two datasets 355 to reduce the uncertainties caused by a single data source: GIMMS NDVI third-generation 356 (NDVI3g) data derived from the Advanced Very High Resolution Radiometer (AVHRR) 357 and NDVI and EVI derived from the Moderate Resolution Imaging Spectroradiometer 358 (MODIS). The GIMMS NDVI3g data have a spatial resolution of 1/12°, a half-month 359 interval, and a 34-year time span (1982-2015). The MODIS 16-day composite product 360 MOD13C1 (Collection 6) includes both NDVI and EVI with a 0.05° resolution for 361 2001-2015.

We eliminated the impacts of areas with sparse vegetation on the results by first excluding pixels with annual NDVI <0.1 or annual EVI <0.08³⁵. A Savitzky-Golay filter was then used to smooth the NDVI (EVI) time series³⁶. We then estimated LSD using two methods.

The first method was a dynamic-threshold approach, which uses an annually defined threshold for each pixel based on the NDVI ratio:

$$368 \qquad NDVI_{ratio} = (NDVI - NDVI_{min}) / (NDVI_{max} - NDVI_{min})$$
(1)

369 where NDVI is the daily NDVI and NDVI_{max} and NDVI_{min} are the annual daily maximum

and minimum of NDVIs. The NDVI_{ratio} ranges from 0 to 1. LSD is determined when
 NDVI_{ratio} decreases to 0.5 in autumn^{37,38}.

The second method was based on a series of piecewise logistic functions. The NDVI time series were first divided into two sections by the maximum daily NDVI in each year, and a double logistic function was applied to fit each section³⁹:

375
$$y(t) = a_1 + (a_2 - a_7 t) \left[\frac{1}{1 + e^{(a_3 - t)/a_4}} - \frac{1}{1 + e^{(a_5 - t)/a_6}} \right]$$
 (2)

LSD was then defined as the time when the curvature changing rate reached its lastlocal maximum value.

For GIMMS3g data, we calculated LSD using NDVI from both the dynamic-threshold approach and the piecewise logistic function method. Since MODIS sensor provides EVI, we further used EVI-based logistic function method to derive LSD. To sum up, for GIMMS3g data, average LSD from threshold and logistic function method were used, and for MODIS, an additional LSD from EVI-based logistic function method was used (not for MODIS NDVI data).

At high latitudes (or elevations), snow cover is important for regional climate and arrives early in autumn and potentially masking evergreen vegetation. However, we suggested that using a Savitzky-Golay filter could solve the noise from a "sudden" change in the time series of NDVI due to snow³⁶. In particular, a study showed that snowfall had little influence on determining EOS in western Arctic Russia⁴¹. For high elevations, our previous analysis on Tibetan Plateau showed that for more than 98% of regions snow happened later than LSD⁴².

391

392 3. Climatic data

393	We used the CRU-TS 4.00 dataset with a spatial resolution of $0.5^{\circ} \times 0.5^{\circ}$ for
394	1901-2015. We extracted monthly data for T_{max} , T_{min} , T_{mean} , precipitation, and cloud cover
395	from this dataset for analyzing LSD from in-situ observations and the two remote sensing
396	data. We modeled past and future LSD by temperature by acquiring daily gridded data for
397	maximum and minimum temperature with a spatial resolution of 0.5° from NOAA Earth
398	System Research Laboratory Physical Sciences Division for 1982-2015. We used daily
399	T_{max} and T_{min} simulated by the CCSM 4 model under two climatic scenarios (RCP4.5 and
400	RCP8.5) for future climatic data (2081-2100). These data were from an open-access
401	database of the Coupled Model Intercomparison Project Phase 5.

402

403 4. Analyses

404 We used partial correlation analyses to determine the responses of LSD to T_{day} and $T_{\text{night}}.$ The reason is that directly correlating LSD to T_{day} would give misleading results 405 406 since T_{night} is a confounding variable that is numerically related to both LSD and T_{day} , 407 violating independence of variables in multiple correlation tests. Thus, using the partial 408 correlation between LSD and T_{day} will measure the degree of association with the effect of 409 a set of controlling random variables removed (e.g., Tnight, precipitation, radiation), given 410 that these factors have shown strong influences on LSD^{10, 20}. Since Echer and Silva (2014) 411 demonstrated that clouds are the main atmospheric factor modulating the surface 412 incidence of solar radiation⁴³, cloud cover data was used to model the effect of radiation 413 on LSD, as similarly conducted in previous analyses8. Correlations were classified into

414	four types, (A) $T_{day}^{+}T_{night}^{+}$, (B) $T_{day}^{-}T_{night}^{-}$, (C) $T_{day}^{+}T_{night}^{-}$ and (D) $T_{day}^{-}T_{night}^{+}$, where T ⁺ , T ⁻
415	represent positive and negative partial correlation coefficient of T with LSD. An R of at
416	least 0.514 for MODIS is required for the significance test (p = 0.05), but this value
417	decreases to 0.339 for the longer GIMMS3g data. These analyses were investigated for
418	both Köppen-Geiger climatic classifications and vegetation types (Table S240). Crops
419	were excluded because their signal may result from changes in cropping or harvest cycles,
420	rather than from climate change. Furthermore, since at low latitudes plant phenology of
421	tropical and subtropical areas responds to other factors than temperature, regions with
422	latitudes < 20° N were also excluded.
423	Current phenology algorithms in most terrestrial-biosphere models are solely based
424	on temperatures in the preceding months ⁴⁴⁻⁴⁵ . We determined the length of the preseason
425	whose average T_{day} had the most influence on LSD by calculating the partial correlation
426	coefficients between LSD and mean T _{day} during 0, 1, 2, <i>n</i> months prior to LSD,
427	controlling for corresponding mean T_{night} total precipitation, and radiation. The maximum
428	range (<i>n</i>) of the preseason is generally from June to the multiyear mean date of LSD (see
429	Fig. S20 for example). The partial correlation coefficients with the highest absolute values
430	were then used in the following analysis. We obtained the relationship between LSD and
431	T_{night} the same way but replacing T_{day} with T_{night} . This analytical procedure was applied for
432	observed LSD from ground sites and derived LSD from the MODIS and GIMMS NDVI3g
433	data.

435 5. Models for predicting LSD

436 Our results indicated that LSD responded oppositely to T_{day} and T_{night} , so we 437 developed a weighted day-night-temperature growing-degree-day (DN_{GDD}) algorithm from 438 observations to model LSD, and compared the algorithm with currently used threshold 439 and GDD models based on T_{mean}^{46} .

The threshold model was the simplest method. We calculated average T_{mean} for five days before LSD in each year and used the multiyear mean value as the threshold to model LSD. If T_{mean} was lower than the threshold for five consecutive days from 1st July, the last date was considered the LSD.

444 GDD was calculated as:

445
$$GDD(d) = \max(T_b - T_{mean}(d), 0)$$
 (3)

446
$$GDD_{threshold} = \sum_{d=d_0}^{LCD} GDD(d)$$
 (4)

where T_b is the base temperature set to 15, 20, 25, and 30 °C, $T_{mean}(d)$ is the mean daily temperature, and d_0 is the date on which the calculation begins (1st July in this study). LSD is the observed or derived date of leaf coloring in each year. The date when GDD(d) exceeded the multiyear average GDD threshold was defined as LSD.

451 Our DN_{GDD} model improved upon the original GDD model and was calculated by:

452
$$GDD(d) = k \cdot \max(T_b - T_{day}(d), 0) + (1 - k) \cdot \max(T_b - T_{night}(d), 0)$$
 (5)

where $T_{day}(d)$ is the daily maximum temperature, $T_{night}(d)$ is the daily minimum temperature, and *k* is the weighting factor. When 0 < k < 1, the effects of T_{day} and T_{night} on LSD are consistently positive; When k > 1 or k < 0, the effects of T_{day} and T_{night} on LSD are opposite. In order to determine the value of *k*, we first calculated the ratio of R_{day} and R_{night} for each station or pixel, and found 99.9% of the ratio values were between -10 and 10 for

458	both ground and satellite data (Figure S21). In other words, the level of $T_{day}(T_{night})$ effect
459	could be 1 to 10 times than the level of $T_{night}(T_{day})$ effect (note that T_{day} represents T_{day} with
460	the effects of T_{night} removed). Therefore, the values of k ranged from -1 to 2 (see Table
461	S3). In addition, when <i>k</i> tends to infinity, the effects of T_{day} and T_{night} on LSD are opposite
462	with same level.
463	We evaluated the accuracy and obtained the most appropriate parameters of the
464	models by calculating the correlation coefficient (R) and the root mean square error
465	(RMSE) between modeled and observed LSD. T_b and k with the lowest RMSE were
466	considered the most appropriate values for each site or pixel.
467	
468	Data Availability
469	The data that support the findings of this study are available from the corresponding
470	author upon request.
471	
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