1 Contribution of Lattice Distortion to Solid Solution Strengthening in a

# 2 Series of Refractory High Entropy Alloys

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## 16 Abstract

We present an experimental approach for revealing the impact of lattice distortion on solid solution 17 18 strengthening in a series of body-centered (bcc) Al-containing, refractory high entropy alloys from the 19 Nb-Mo-Cr-Ti-Al system. By systematically varying the Nb and Cr content, a wide range of atomic 20 size difference as a common measure for the lattice distortion was obtained. Single phase, bcc solid 21 solutions were achieved by arc-melting and homogenization as well as verified by means of scanning 22 electron microscopy (SEM) and X-ray diffraction (XRD). The atomic radii of the alloying elements 23 for determination of atomic size difference were re-calculated on the basis of the mean atomic radii in 24 and the chemical compositions of the solid solutions. Microhardness at room temperature correlates 25 well with the deduced atomic size difference. Nevertheless, the mechanisms of microscopic slip lead 26 to pronounced temperature dependence of mechanical strength. In order to account for this particular 27 feature, we present a combined approach, using microhardness, nanoindentation and compression tests. 28 The athermal proportion to the yield stress of the investigated equimolar alloys is revealed. These 29 parameters support the universality of this aforementioned correlation. Hence, the pertinence of lattice distortion for solid solution strengthening in bcc high entropy alloys is proven. 30

### 31 **1. Introduction**

Since the introduction of high entropy alloys (HEAs) [1], the focus has especially been on their 32 33 microstructural and mechanical properties [2]. Solid solution strengthening in such chemically 34 complex alloys is of particular interest [3], as these systems typically exceed the constitutional range spanned by classical theories for the description of solid solution strengthening in dilute [4,5] and 35 36 more concentrated [6] binary alloys. Accordingly, some efforts were made to develop models 37 succeeding the current state of the description of solid solution strengthening [7–10]. The approach 38 presented by Toda-Caraballo and Rivera-Díaz-del-Castillo [8] is based on the theory by Labusch [6] 39 while it uses a generalization of the gradual changes of the lattice parameter and thus the misfit with composition in binary solid solution towards multi-component systems. In contrast, Varvenne, Luque 40 41 and Curtin [10] presented a mechanistic, parameter-free and predictive theory for the yield strength of 42 random alloys and face-centered cubic (fcc) HEAs, respectively, which was validated against data 43 available for the CoCrFeMnNi system mainly contributed by Wu et al. [11]. The fundamental assumption is that dislocations move through an effective medium having average lattice parameter, 44 45 shear modulus, stacking fault energy, etc. [10]. Strengthening accordingly arises from the interaction of dislocations with random, local concentration fluctuations around the average composition of the 46 47 HEA [10]. This especially accounts for the fact that in case of HEAs solute and solvent cannot be 48 distinguished due to their equal concentration. In conclusion of Ref. [10], alloys with maximized 49 strength can be obtained by maximizing the concentration-weighted, mean-square misfit volume and/or the shear modulus. Assuming the validity of Vegard's law and using reasonable values for 50

51 individual atomic radii, the concentration-weighted, mean-square misfit volume which is used in this

52 theory can directly be transformed into the more common atomic size difference  $\delta$  [10]<sup>2</sup>

$$\delta = \sqrt{\sum_{i} x_i \left(1 - \frac{r_i}{\bar{r}}\right)^2} \tag{1}$$

where  $x_i$  is the molar fraction of the alloying element,  $r_i$  is the atomic radius of the respective alloying element and  $\bar{r}$  is the average atomic radius of the alloy. An extension of this procedure was introduced by Okamoto et al. [9] by taking the individual mean-square atomic displacements of the alloying elements into account. They propose a linear relationship of the yield strength and the averaged mean square atomic displacement.

58 Strictly, the proposed theory by Varvenne, Luque and Curtin [10] does only apply to dislocation slip with low impact of Peierls stress as it is observed for fcc metals and alloys. Nevertheless, lattice 59 60 distortion might significantly attribute to solid solution strengthening in bcc HEAs as well, and the 61 simplicity and elegance of the atomic size difference approach makes it worth to be tested for correlation of  $\delta$  with the plastic yield of bcc HEAs. In this case, the contribution to solid solution 62 strengthening might not be driven by the interaction of the elastic distortion field of dislocations and 63 the one of solute atoms but by the interaction of dislocation cores with random, local concentration 64 65 fluctuations as it is suggested by Rao et al. in Ref. [12]. Deviations from significant correlation might 66 lead to the identification of (i) interesting model alloys with non-equimolar composition and/or (ii) additional contributions by short range ordering [13] as well as variations of local dislocation core 67 68 structures [12] specific to certain alloys.

69 Recently, we presented a suitable processing route for obtaining an almost single phase, bcc HEA 70 NbMoCrTiAl [14]. The basic design goal was to combine elements with high melting points (Nb, Mo) 71 and, thus, high intrinsic creep strength with protective oxide scale forming elements (Cr, Al) while 72 simultaneously reducing density (Al, Ti) by a proper choice of elements. Arc-melting and 73 homogenization treatment at 1300 °C for 20 h lead to an almost single phase microstructure that 74 possesses significant plasticity above 600 °C. Moreover, the formation of combined (111)-/(001)-75 fiber texture components with respect to the loading axis developing during compression testing at 76 these temperatures reveal dislocation slip with  $\langle 111 \rangle$  Burgers vectors as it is expected for bcc 77 materials. The alloy exhibits a reasonable high temperature strength. Furthermore, the capability of 78 increasing oxidation resistance by adding Cr and Al has been verified for the present and related alloys 79 [15–18].

In general, large differences in atomic size which are expected for NbMoCrTiAl as well as its ability to deform by dislocation slip makes it a favorable model system for studying the impact of the contribution of lattice distortion to solid solution strengthening in bcc HEAs. For this purpose, suitable changes in alloy composition and the according homogenization treatment for obtaining single phase 84 microstructure were established and are presented in this article. In order to quantitatively describe the lattice distortion in the present alloys, lattice parameters and, thus, interatomic spacings were 85 determined by means of XRD. These data are used to re-calculate the individual atomic radii of the 86 87 alloying elements with the coordination of a bcc lattice. Finally, the according atomic size difference is correlated with mechanical properties exhibited by the alloy series. In order to account for the 88 temperature-dependent strength of bcc metals, a suitable combination of microhardness for screening 89 at room temperature as well as nanoindentation up to 400 °C and compression tests for temperatures 90 91 beyond 400 °C were exemplarily conducted. The relevance of the microhardness results at room 92 temperature of the respective alloys is stressed.

#### 93 **2. Experimental**

94 The alloys investigated in this work were manufactured from elemental bulk material. Nb, Mo, Cr, Ti 95 and Al with a purity of 99.9 %, 99.96 %, 99 %, 99.8 %, and 99.9 %, respectively, were mixed in the 96 desired concentrations and then melted under Ar atmosphere, using an AM/0.5 arc melting furnace by Edmund Bühler GmbH (Germany). The furnace chamber was set to a pressure of  $5 \cdot 10^{-2}$  mbar and 97 alternatingly evacuated and flooded with Ar for three times, before pumping to a high vacuum of less 98 than  $1 \cdot 10^{-4}$  mbar. The Ar pressure for arc melting was set to 600 mbar. A Zr getter was liquefied 99 100 prior to each melting step to reduce residual oxygen content. The melted buttons were flipped and remelted for at least five times and optionally cast into a water-cooled rod-shaped Cu mold with a 101 diameter of 12 mm and length of 60 mm. Compositions of the equiatomic alloys in as-cast state were 102 103 determined by inductively coupled plasma optical emission spectrometry (ICP-OES). O and N content were analyzed by means of carrier gas hot extraction analysis by a TC500 device (Leco Instrumente 104 GmbH). Depending on the alloy composition, homogenization was performed under Ar atmosphere in 105 106 a Gero HTRH 70-600/18 resistance tube furnace for 20 h at 1200, 1300, 1400 and 1500 °C. For this 107 purpose, buttons and rods were cut into platelets with a thickness of 5 mm. The heating and cooling 108 rates were 4.2 K/min. Subsequent to face-grinding, XRD analyses (step width for acquisition 0.01°, 109 acquisition time of 384 s/step) were carried out on a D2 Phaser system by Bruker equipped with a 110 Lynxeye line detector. The lattice parameter was determined by extrapolating towards  $\theta = 90^{\circ}$  using the following weighting function  $\frac{1}{2}(\cot^2\theta + \cot\theta \cdot \cos\theta)$  according to Nelson-Riley [19]. For 111 microstructural investigations, the samples were prepared by a standard metallographic procedure. 112 113 After grinding with a series of abrasive papers of decreasing grain size, the samples were polished, 114 etched with NH<sub>3</sub>, H<sub>2</sub>O<sub>2</sub> and distilled water in a ratio of 1:1:1 at 50 °C for 5 min and finalized by a vibratory polishing step for 8 h, using a non-crystallizing oxide polishing suspension with pH = 9.8115 supplied by Struers. SEM and energy dispersive X-ray spectroscopy (EDX) analysis were performed 116 on a Zeiss EVO50 system equipped with a Thermo Scientific EDX system. For each equiatomic alloy, 117

porosity was investigated in terms of area fraction which was determined from several binarized SEM
 micrographs. The investigated area was 1.2 mm<sup>2</sup>, respectively.

Mechanical properties were characterized in terms of yield stress and hardness. Yield stress  $\sigma_v$  during 120 compression tests was determined for 0.2 % plastic strain at elevated temperatures in a range of 121 400 °C up to 1200 °C at an initial engineering strain rate of  $10^{-3}$  s<sup>-1</sup>. The samples of (3 x 3 x 4.5) mm<sup>3</sup> 122 were tested under vacuum in a Zwick Z100 electromechanical universal testing machine equipped 123 124 with a vacuum furnace by Maytec. Strain was determined using strain gauges attached to the samples. 125 Vickers hardness µH was investigated by a Qness Q10A+ microhardness tester with a load of 0.98 N. Mean values and standard deviations were obtained by analyzing 16 hardness indentations on each 126 sample. Furthermore, a nanoindenter G200XP by Keysight Technologies GmbH equipped with a laser 127 heating stage by SURFACE systems + technology GmbH was utilized to measure temperature-128 dependent nanohardness nH between room temperature and 400 °C at a constant indentation strain 129 rate of  $5 \cdot 10^{-2} \text{ s}^{-1}$ . Strain-rate sensitivity  $m = (\frac{\partial \ln \sigma_y}{\partial \ln \dot{\epsilon}})_{\epsilon,T} = (\frac{\partial \ln (\frac{nH}{c})}{\partial \ln \dot{\epsilon}})_{\epsilon,T}$  [20,21] ( $\sigma_y$ : yield stress,  $\dot{\epsilon}$ : strain 130 rate, T: temperature, nH: nanohardness, C: constraint factor, usually  $C \approx 3$  [22]) was analyzed from 131 room temperature up to 300 °C by strain-rate jump tests with strain rates of  $5 \cdot 10^{-2} \text{ s}^{-1}$ ,  $1.6 \cdot 10^{-2} \text{ s}^{-1}$ 132 and  $5 \cdot 10^{-3}$  s<sup>-1</sup>. Shear modulus G of selected alloys was calculated by  $G = \frac{1}{1-r} \cdot \rho \cdot v_T^2$  (p: porosity; 133  $\rho$ : density; v<sub>T</sub>: velocity of transverse ultrasonic waves). The experimentally determined density  $\rho$ 134 comprises an error that arises from the sample porosity p and is corrected by the factor  $\frac{1}{1-n}$  of the 135 aforementioned equation. The density was determined by Archimedes principle, v<sub>T</sub> was analyzed by 136 137 ultrasonic phase spectroscopy on cubic samples with an edge length of 5 mm within the frequency 138 range of 5 kHz to 10 MHz using two identical broadband ultrasonic wave transducers (Panametrics 139 model V155) and a R3754A network analyzer by Advantest. Ref. [23] provides further experimental 140 details of ultrasonic phase spectroscopy.

## 141 **3. Results and discussion**

#### 142 *Microstructure*

Prerequisite for the accurate assessment of solid solution strengthening is a homogenous microstructure of the alloys under investigation. In the following, this is exemplified with the three equimolar compositions which were manufactured for this work. All non-equiatomic compositions were treated in similar ways in order to ensure homogeneity of the solid solution. Starting point for the development was the aforementioned homogeneous, quinary, equimolar NbMoCrTiAl alloy which can be manufactured by arc-melting and subsequent homogenization treatment [14]. After heat-treatment of the as-cast state at 1300 °C for 20 h, an almost single phase microstructure as presented in Fig. 1b can be obtained for this alloy. The XRD pattern in Fig. 2b reveals the bcc crystal structure (Wprototype, Strukturbericht A2).

152 During development of this alloy, several phases competing with the bcc solid solution were identified. Most importantly, the formation of the hexagonal Cr<sub>2</sub>Nb-Laves phase (MgZn<sub>2</sub> prototype, 153 154 Strukturbericht C14) has to be suppressed for retaining single phase bcc. In NbMoCrTiAl, the Laves phase appears for homogenization heat-treatments below 1300 °C as well as during compression 155 testing of as-homogenized material at 1200 °C. Moreover, (Mo,Nb)<sub>3</sub>Al (Cr<sub>3</sub>Si prototype, 156 157 Strukturbericht A15) forms during annealing at all temperatures tested for homogenization up to now<sup>1</sup>. 158 Nevertheless, this phase appears only as a fringe of tiny dimension in the vicinity of some grain 159 boundaries. For 1300 °C and 20 h, its volume fraction is below 0.3 vol% in total and can thus be 160 considered to be not a relevant factor for the mechanical properties determined in this work. Some 161 trace contents of (Al,Cr)<sub>3</sub>Ti (Cu<sub>3</sub>Au prototype, Strukturbericht D0<sub>9</sub>) as well as B2 superstructure can 162 occasionally be found for a variety of processing conditions but are as well believed to be not relevant 163 for mechanical property determination. All aforementioned phases were identified based on global XRD patterns as well as locally by means of EBSD patterns. 164

165 Since most of the competing phases are expected for varying alloy compositions derived from 166 NbMoCrTiAl, only specific changes of alloying elements were conducted in this research. For the 167 suppression of Laves phase formation, either Nb or Cr content was successively reduced towards the 168 quaternary, equimolar alloys NbMoTiAl and MoCrTiAl. In case of NbMoTiAl, a significantly higher 169 homogenization temperature was needed in order to achieve a homogeneous bcc solid solution, 170 namely 1500 °C for 20 h. The according microstructure and the XRD pattern are presented in Figs. 1a 171 and 2a, respectively. In contrast, annealing at only 1200 °C for 20 h is necessary to obtain single phase, 172 bcc MoCrTiAl shown in Figs. 1c and 2c. The concentrations of Mo, Ti and Al were kept constant. It 173 was expected that increasing Mo, Ti and Al in Nb-Mo-Cr-Ti-Al could favor the formation of (Mo,Nb)<sub>3</sub>Al and (Al,Cr)<sub>3</sub>Ti while reducing the amount of these particular elements could lead to 174 175 stabilization of Cr<sub>2</sub>Nb.

<sup>&</sup>lt;sup>1</sup> This phase remained unidentified in our previous investigation [14].



- Figure 1: Microstructure of alloys with equiatomic composition in homogenized condition, obtained by SEM using BSE contrast imaging (same magnification for all images): (a) NbMoTiAl annealed at 1500 °C, (b) NbMoCrTiAl annealed at 1300 °C and (c) MoCrTiAl annealed at 1200 °C, each for 20 h. Certain porosity (black features within the grains) is typical for cast material (< 2 vol.% in all presented alloys) and was randomly distributed in both as-cast (not presented in this article) and
- 181 as-homogenized state.



Figure 2: XRD patterns with logarithmic intensity scale of alloys with equiatomic composition in homogenized condition: (a) NbMoTiAl annealed at 1500 °C, (b) NbMoCrTiAl annealed at 1300 °C and (c) MoCrTiAl annealed at 1200 °C, each for 20 h. Peaks arising from residual Cu-K $\beta$  radiation are indicated by open triangles  $\nabla$ .

Tab. 1 summarizes all investigated alloys, their compositions and the necessary heat-treatments to obtain almost single phase microstructures with residual phases having a total volume fraction of less than 0.5 vol%. In certain cases, higher homogenization temperatures were used as well in order to exclude effects by the grain size of the material and possible influences by the homogenization temperature (e. g. different extent of any type of ordering) on the mechanical response. For NbMoCrTiAl, several batches were tested for assessment of the reproducibility.

Table 1: Composition in at.% of solid solutions in annealed condition determined by standard-related
EDX as well as lattice parameters of the bcc structure; O contents were exemplarily analyzed for
NbMoTiAl, NbMoCrTiAl and MoCrTiAl: 780 at.ppm, 312 at.ppm and 289 at.ppm, respectively. N
content was below the detection limit for all tested alloys. ♦ highlights EDX on a different batch [14],
\* were used for re-calculation of the atomic radii.

x<sub>i,j</sub>

	composition	condition	Nb	Мо	Cr	Ti	Al	
	NbMoTiAl	1400 °C, 20 h	0.251 ± 0.001	0.248 ± 0.002	-	$0.253 \pm 0.002$	0.248 ± 0.001	
1		1500 °C, 20 h*	$0.252 \pm 0.001$	0.249 ± 0.001	-	$0.252 \pm 0.001$	0.247 ± 0.001	$3.208\substack{+0.018\\-0.007}$
2	NbMoCr <sub>0.25</sub> TiAl	1300 °C, 20 h*	$0.259 \pm 0.00.1$	$0.233 \pm 0.00.1$	$0.058 \pm 0.001$	$0.230 \pm 0.001$	$0.220 \pm 0.000$	$3.198\substack{+0.002\\-0.058}$
3	NbMoCr <sub>0.5</sub> TiAl	1300 °C, 20 h*	0.234 ± 0.001	0.226 ± 0.003	0.099 ± 0.001	0.216 ± 0.001	0.225 ± 0.001	$3.175^{+0.018}_{-0.013}$
_		1400 °C, 20 h	$0.231 \pm 0.000$	0.223 ± 0.001	0.101 ± 0.001	$0.216 \pm 0.000$	$0.228 \pm 0.000$	
4	NbMoCr <sub>0.75</sub> TiAl	1300 °C, 20 h*	0.234 ± 0.001	0.208 ± 0.003	$0.157 \pm 0.003$	0.204 ± 0.001	0.197 ± 0.001	$3.165\substack{+0.035\\-0.005}$
5	NbMoCrTiAl	1300 °C, 20 h*	0.219 ± 0.001	0.201 ± 0.002	$0.200 \pm 0.002$	$0.192 \pm 0.000$	0.187 ± 0.001	$3.149\substack{+0.021\\-0.004}$
		1400 °C, 20 h	0.219 ± 0.001	0.197 ± 0.001	0.195 ± 0.001	0.191 ± 0.000	0.198 ± 0.000	
		1300 °C, 20 h♦	0.205 ± 0.001	$0.195 \pm 0.000$	0.197 ± 0.001	$0.205 \pm 0.002$	0.199 ± 0.001	
6	Nb <sub>0.75</sub> MoCrTiAl	1300 °C, 20 h*	0.173 ± 0.001	0.214 ± 0.001	0.208 ± 0.000	$0.205 \pm 0.000$	0.200 ± 0.001	$3.141^{+0.048}_{-0.011}$
7	Nb <sub>0.5</sub> MoCrTiAl	1300 °C, 20 h*	$0.112 \pm 0.000$	$0.232 \pm 0.002$	0.221 ± 0.001	0.215 ± 0.001	$0.220 \pm 0.000$	$3.127\substack{+0.044\\-0.011}$
		1400 °C, 20 h	0.110 ± 0.000	0.228 ± 0.001	0.218 ± 0.001	0.218 ± 0.001	0.226 ± 0.002	
8	Nb <sub>0.25</sub> MoCrTiAl	1300 °C, 20 h*	$0.065 \pm 0.001$	0.241 ± 0.002	0.238 ± 0.002	0.234 ± 0.001	0.222 ± 0.001	$3.114\substack{+0.006\\-0.009}$
9	MoCrTiAl	1200 °C, 20 h*	-	0.253 ± 0.001	0.248 ± 0.000	0.245 ± 0.001	0.254 ± 0.001	$3.101\substack{+0.018\\-0.006}$
		1300 °C, 20 h	-	$0.254 \pm 0.002$	$0.247 \pm 0.002$	$0.242 \pm 0.000$	0.257 ± 0.001	

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## 198 Atomic radii of the alloying elements

In principle, three degrees of complication of the description of the variation of the lattice in a HEA 199 200 are possible. The easiest assumption is that the HEA has a defined lattice parameter without a 201 variation on atomic length scale at all. This assumption is physically not meaningful and would lead to 202 the conclusion that there is no hardening since the solute atoms do not have a distortion field. Further 203 complication is possible by assuming an effective lattice parameter of the alloy as well as its variation 204 caused by the individual size of the alloying elements – this variation might be used as a measure for 205 the lattice distortion. Even more complex is a description where each species of alloying elements has 206 an average size and an according variation. In this case, the exact size of an atom is determined by the 207 actual environment of the atom (not by its species alone as it is assumed in the previous case). Despite 208 the latter case being the most realistic description and providing several quantities for the lattice 209 distortion, the second assumption already allows for a possible description of solid solution strengthening. Furthermore, the second case is rather easy to be assessed when the atomic radii of the 210 alloying elements within the solid solution are known. As pointed out by Varvenne, Luque and 211 212 Curtin [10], the assessment of atomic size difference based on the (tabulated) atomic radii of the pure elements might lead to arbitrary results when the pure elements crystallize in a different crystal 213 structure as compared to the HEA solid solution. In such case, the binding state of the alloying 214 215 element is different and accordingly a different contribution to the lattice distortion has to be expected. 216 In the present work, we try to obtain reasonable atomic radii by analyzing all obtained bcc alloys (coordination number CN = 8). In the case of the crystal structure being constant, binding state 217 remains rather constant. Furthermore, only the refractory elements Nb and Cr are changed in their 218 219 concentrations which should further reduce the possibility of varying amounts of directed binding. The 220 situation might be different when Al or Ti content is changed over a wide composition range. For the 221 analyses of the data, an over-determined set of equations is formulated on the basis of: (i) the chemical compositions  $x_{i,i}$  presented in Tab. 1, where *i* is the respective alloying element and *j* the respective 222 alloy; (ii) the mean atomic radius  $\bar{r}_j$  in the alloys obtained from  $\bar{r}_j = \sqrt{3}/4 \cdot a_j^{\text{bcc}}$  (CN = 8) where  $a_j^{\text{bcc}}$ 223

are the lattice parameters presented in Tab. 1 which were determined by XRD. Moreover, the atomic radii of the bcc crystallizing elements are included in the calculation as well (CN = 8). The overdetermined set of equations reads thus

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ x_{Nb,1} & x_{Mo,1} & x_{Cr,1} & x_{Ti,1} & x_{Al,1} \\ x_{Nb,2} & x_{Mo,2} & x_{Cr,2} & x_{Ti,2} & x_{Al,2} \\ x_{Nb,3} & x_{Mo,3} & x_{Cr,3} & x_{Ti,3} & x_{Al,3} \end{pmatrix} \cdot \begin{pmatrix} r_{Nb} \\ r_{Mo} \\ r_{Cr} \\ r_{Ti} \\ r_{Al} \end{pmatrix} = \begin{pmatrix} r_{Nb} \\ r_{Mo} \\ r_{Cr} \\ \bar{r}_{1} \\ \bar{r}_{2} \\ \bar{r}_{3} \\ \vdots \end{pmatrix}$$
(2)

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228 and is solved with respect to  $r_{\rm Nb}$ ,  $r_{\rm Mo}$ ,  $r_{\rm Cr}$ ,  $r_{\rm Ti}$  and  $r_{\rm Al}$  by a least-square procedure. The over-determined set of equations is in principle a multi-component extension of Vegard's law. Fig. 3 shows the 229 230 comparison of the atomic radii of the elements (CN = 12 for Al and Ti; CN = 8 for Mo, Cr and Nb as 231 well as for an extrapolation for Ti designated as Ti<sup>\*</sup>), the mean radii in the alloys deduced from the bcc lattice parameter (CN = 8) as well as the values obtained from solving the aforementioned over-232 233 determined set of equations. Since Ti exhibits a bcc high temperature modification, a second atomic radius for Ti based on the lattice parameter of the high temperature bcc phase (CN = 8) and 234 compensated by thermal expansion down to room temperature taken from Ref. [24] is included as a 235 236 reference as well. It is obvious, that the atomic radii of all elements which are deduced from non-bcc 237 crystal structures, namely Al and Ti, are quite different from the re-calculated atomic radii whereas the radii of the bcc elements are well reflected by this approach. A good agreement of the re-calculated 238 239 atomic radii with the experimentally observed mean atomic radii in the alloys of the present 240 contribution is found. It is pointed out that the error indication for the experimental mean atomic radii is conservatively chosen (taking the peak width into account) in all cases and might be significantly 241 242 smaller in reality. Thus, the description of alloys within the Nb-Mo-Cr-Ti-Al system on the basis of  $r_{Nb}$  = 1.4297 Å,  $r_{Mo}$  = 1.3627 Å,  $r_{Cr}$  = 1.2488 Å,  $r_{Ti}$  = 1.4474 Å and  $r_{Al}$  = 1.3172 Å is rather good 243 and the linearity by Vegard's law seems to be reasonable - at least for variations of the Cr and Nb 244 245 concentration. Further details of the binding states in such alloys have to be investigated in future – especially with respect to individual variation of the radii of the elements which will not be considered

for the further course of this article. Also, the actual misfit volume was not experimentally determined

248 within this work, but would describe the extent of lattice distortion in an even more accurate way.



Figure 3: Comparison of experimental and re-calculated atomic radii in the elements  $r_i$  as well as investigated alloys  $\bar{r}_j$ . Literature data for Al, Ti (using CN = 12, respectively), Nb, Mo and Cr (using CN = 8), respectively, represented by solid bars are all taken from [25], "Ti\*" designates an extrapolation of the atomic radius of bcc Ti corrected by thermal expansion down to room temperature (CN = 8) according to Ref. [24].

### 254 Temperature-dependent mechanical properties

Depending on the homologous temperature, bcc metals and substitutional solid solutions exhibit an 255 256 intrinsic temperature-dependent yield stress which can be divided into a low-temperature, a plateau 257 and a high-temperature regime, as exemplarily shown for Fe-3wt%Si in Refs. [26,27]. This is 258 schematically presented in Fig. 4a. At low temperatures, plastic deformation of bcc metals and alloys 259 is thought to be mediated by the thermally activated formation and movement of kink pairs. The 260 movement of kink pairs itself can be controlled by (i) either line-tension when the critical kink width 261 is very small and of the order of the dimension of the dislocation cores or the distance between minima 262 in the Peierls potential or (ii) by the elastic interaction of the kinks when the kink width is necessarily 263 high [28]. Consequently, strength increases considerably with (i) decreasing temperature and (ii) increasing strain rate. Above a certain temperature, usually referred to as "critical temperature" [29] or 264 "knee-temperature" [21,28] a strength plateau is reached. In this temperature regime, strength becomes 265 266 virtually strain-rate independent. The knee temperatures of the bcc principal elements of the present HEA system are about 130 °C for Nb, 275 °C for Mo and 300 °C for Cr [21,30]. For the investigated 267 alloys a similar temperature range for the knee temperature is expected. When exceeding about  $0.4 \cdot T_m$ , 268 (T<sub>m</sub>: melting temperature) diffusional processes lead to rapid decrease of the yield stress. Mechanical 269 strength becomes strain-rate dependent again. Thus, for the assessment of solid solution strengthening 270 271 in bcc alloys the strain-rate independent plateau strength, frequently referred as athermal strength, 272 should be ideally investigated.

273 In order to account for temperature dependence of the mechanical properties, several mechanical tests 274 were performed and are summarized in Figs. 4b and c, respectively. Compression tests performed 275 from 400 °C up to 1200 °C on the equiatomic alloys in Fig. 4c reveal the transition from plateau-like 276 yield stress to creep-controlled strength. As shown in previous investigations, the predominant 277 deformation mechanism in NbMoCrTiAl is dislocation slip up to a deformation temperature of 278 1200 °C [14]. Hence, this guinary alloy and its derivatives are well suited to discuss the temperature-279 dependent yield stress, also in the creep regime. Onset of the drop of strength is observed between 600 °C (MoCrTiAl) and 1000 °C (NbMoCrTiAl). 280

281 Due to microstructural features, NbMoCrTiAl and its derivatives do not reveal significant plasticity on a macroscopic scale at temperatures lower than 600 °C (NbMoTiAl, NbMoCrTiAl) and 400 °C 282 283 (MoCrTiAl). As shown in Fig. 1 and discussed in Ref. [14], abnormal grain growth appears during 284 homogenization – this might lead to increasing compatibility stresses between these large grains and the surrounding microstructure during compression testing. This is a possible reason for a limited 285 286 ductility of the presented alloys. In addition, pores within the compression samples, developed during 287 solidification after casting, further lead to an increase of internal stresses due to localization. Thus, crack formation is initiated although, macroscopically, yield stress has not been reached. Nevertheless, 288 289 microhardness and nanoindentation reveal plasticity (without cracking) on a local scale. Fig. 5 290 exemplarily shows slip traces of NbMoCr<sub>0.5</sub>TiAl in the vicinity of a microhardness indent at room 291 temperature.

By using nanoindentation, a temperature range between RT and 400 °C could be covered in order to reveal the plateau region of the yield stress in Fig. 4b. Further details about the temperature-dependent nanohardness of pure Cr can be found elsewhere [21]. It has to be taken into account that indentation tests are typically affected by varying work hardening behavior due to higher plastic strains when compared to uniaxial tests for yield stress determination. Typically, hardness determined with a Berkovich indenter corresponds to a yield stress at about 7 % plastic strain [22]. Hence, contribution of work hardening to strengthening that occurs from indentation eventually varies with alloy composition. Further alloy development with respect to ductility might provide chances to assess this in the plateau temperature range. In agreement with the observations from compression testing, the nanohardness data indicate a plateau of the mechanical strength at temperatures higher than approximately 300 °C for the quaternary and quinary alloys. The temperature-dependent strain-rate sensitivity was exemplarily investigated for NbMoCrTiAl in order to verify that the plateau hardness is indeed achieved.

Compared to the rate-sensitive deformation behavior of pure bcc-structured elements in the low 305 temperature regime, such as Cr with a strain-rate sensitivity of about  $m^{RT} = 0.08$  [21,29] at room 306 temperature, NbMoCrTiAl shows an already, comparably low  $m^{RT} = 0.018 \pm 0.004$  at room 307 temperature. Nevertheless, as is in the case of pure Cr [21,29], the strain-rate sensitivity of 308 NbMoCrTiAl almost vanishes when approaching the knee temperature:  $m^{300 \circ C} = 0.007 \pm 0.003$ . 309 Thus, clearly the plateau strength  $\sigma_v^{\text{plateau}}$  is reached in the temperature range between 300 °C and 310 600 °C in NbMoCrTiAl. This is in agreement with our observations by compression tests at elevated 311 temperatures. In accordance, the chosen values for  $nH^{plateau}$  and  $\sigma_v^{plateau}$  are included as separate 312 charts in Figs. 4b and c, respectively. 313

The assessment of solid solution strengthening in the last part of the present article will be mainly 314 based on microhardness measurements at room temperature  $\mu H^{RT}$  due to their simplicity and 315 reproducibility.  $\mu H^{RT}$  does not significantly depend on alloy batch or varying homogenization 316 parameters. Hence, it is independent of grain size, grain orientation and RT hardness is reproducible. 317 318 As shown in Fig. 4b, strength and hardness at room temperature are already influenced by the thermally activated slip processes. Nevertheless, microhardness  $\mu H^{RT}$  at room temperature on the one 319 hand and nH<sup>plateau</sup> as well as  $\sigma_v^{\text{plateau}}$  on the other hand exhibit a very reasonable correlation (please 320 321 compare Figs. 4b and c).







322 Figure 4: a) Scheme of the temperature-dependent yield stress of bcc metals and alloys. Experimental results for the temperature dependence of the equiatomic alloys MoCrTiAl, NbMoTiAl and 323 NbMoCrTiAl: b) Microhardness µH<sup>RT</sup> was obtained at room temperature. Multiple data points 324 represent µH<sup>RT</sup> obtained for varying homogenization parameters and on different batches (please see 325 326 Tab. 1). Nanohardness nH was determined between room temperature and 400 °C in order to obtain the plateau nanohardness  $nH^{plateau} = nH(400^{\circ}C)$ . c) Compression tests were performed at a 327 consistent strain rate of 10<sup>-3</sup>s<sup>-1</sup> between 400 °C and 1200 °C depending on the macroscopic ductility 328 of the polycrystalline material in order to obtain the plateau yield stress  $\sigma_v^{\text{plateau}}$  equal to  $\sigma_v^{600^{\circ}\text{C}}$ 329 (NbMoCrTiAl, NbMoTiAl) and  $\sigma_v^{400^{\circ}C}$  (MoCrTiAl). The connecting lines are guiding the eyes. Error 330 bars that do not exceed the symbol size are omitted. 331



332

Figure 5: Secondary electron (SE) contrast image of a Vickers indent (lower right corner) in NbMoCr<sub>0.5</sub>TiAl at room temperature, revealing slip traces in various directions in the vicinity of the indent; slip traces are highlighted by black arrows.

## 336 Assessment of solid solution strengthening

By using the atomic radii presented in Fig. 3, the atomic size difference  $\delta$  was calculated. While the 337 mean atomic radius, calculated from the lattice parameters presented in Tab. 1, is monotonically 338 decreasing with increasing Cr content from NbMoTiAl to NbMoCrTiAl and further decreases by 339 340 decreasing the Nb content from NbMoCrTiAl to MoCrTiAl, atomic size difference exhibits a different trend. Fig. 6a shows that for alloys between NbMoTiAl and NbMoCrTiAl, monotonic increase of  $\delta$  is 341 342 observed, while it is almost constant for alloys between NbMoCrTiAl and MoCrTiAl. This is caused 343 by the significant difference of the size of Cr compared to the average atomic size of the alloy while 344 Nb exhibits a size similar to mean atomic radii of the prepared alloys and, thus, provides negligible 345 potential for additional lattice distortion. Nevertheless, all presented alloys based on Nb-Mo-Cr-Ti-Al 346 exhibit a  $\delta$  ranging from 3.25 to 5.15 %. Thus, a significant variation of lattice distortion for 347 investigating solid solution strengthening is provided. For reference, Cr, Mo and Nb without any 348 lattice distortion are investigated, too.

349 For the assessment of the effect of  $\delta$  on the mechanical properties, microhardness at room temperature  $\mu H^{RT}$  and plateau yield stress  $\sigma_v^{\text{plateau}}$  are plotted in a unified way in Fig. 6a. Varying homogenization 350 treatments as well as varying alloy batches (different data points in Fig. 6a, see Tab. 1 for details) do 351 not significantly alter microhardness of the alloys. For reference, µH<sup>RT</sup> of Nb, Cr and Mo are 352 353 presented as well. Indeed, atomic size difference and microhardness seem to correlate. In the case of the alloy series ranging from NbMoCrTiAl to MoCrTiAl where constant mechanical strength should 354 be expected, an excess hardness of about 0.8 to 0.9 GPa above the average microhardness of 355 356 NbMoCrTiAl to MoCrTiAl of 6.3 GPa is observed. Staying approximately constant from NbMoTiAl

over NbMoCrTiAl towards MoCrTiAl, the gradual change of lattice parameter with composition  $\frac{1}{a} \frac{\partial a}{\partial x_i}$ , serving as a classical measure for the extent of lattice distortion in binary alloys [4], apparently does not reflect the present evolution of microhardness.

Fig. 6b visualizes the determined correlation of  $\mu H^{RT} = 1.52 \, GPa + 101.56 \, GPa \cdot \delta$  with  $R_{adj}^2 = 0.98^2$ . As pointed out in the previous section,  $\mu H^{RT}$  is altered by a possibly different hardness increase due to thermally activated slip among the alloy series. For this reason, Fig. 6c exemplarily incorporates the plateau values for nanohardness  $nH^{plateau}$  as well as yield stress  $\sigma_y^{plateau}$  for the quaternary and quinary alloys. Even then, a reasonable correlation of  $\delta$  and mechanical strength is observed for the present HEA system.



<sup>&</sup>lt;sup>2</sup>  $R_{adj}^2 = 1 - \left(\frac{(1-R^2) \cdot (n-1)}{n-k-1}\right)$  is a modified coefficient of determination  $R^2$  taking the degrees of freedom *k* and the number data points for data fitting *n* into account. The coefficient of determination  $R^2$  corresponds to the ratio of the covariance and the product of the standard deviations of the fitted data.





Figure 6: a) Assessment of atomic size r and atomic size difference  $\delta$  using re-calculated radii (lower 366 part of the figure) as well as of microhardness at room temperature  $\mu H^{RT}$  and plateau yield stress 367  $\sigma_v^{plateau}$  (upper part of the figure). Multiple microstructural conditions (higher homogenization 368 temperature, different batches) for various alloys are included here and do not reveal significant 369 variation. b) Correlation of  $\delta / \mu H^{RT}$  and according element / alloy composition. c)  $\mu H^{RT}$  evolution 370 with respect to  $\delta$  reveals linear correlation with  $\mu H^{RT} = 1.52 \text{ GPa} + 101.56 \text{ GPa} \cdot \delta$  and  $R_{adj}^2 = 0.98$ . 371 d) Correlation of  $\delta$  and  $\sigma_v^{\text{plateau}}$  as well as nH<sup>plateau</sup> from Figs. 4b and c, respectively. For all diagrams, 372 error bars which do not exceed the symbol size are omitted. Color coding is consistent for all diagrams. 373

As already mentioned, the hardness of Nb<sub>x</sub>MoCrTiAl alloys with x = 0.25, 0.5 and 0.75 with almost constant atomic size difference apparently exceeds the average hardness of NbMoCrTiAl and MoCrTiAl. In addition to the severely distorted lattice as a major contribution to solid solution strengthening, additional factors might cause the exceeding microhardness of Nb<sub>0.25</sub>MoCrTiAl, Nb<sub>0.5</sub>MoCrTiAl and especially Nb<sub>0.75</sub>MoCrTiAl.

379 A change in shear modulus which correlates with the line energy of dislocations could influence 380 dislocation motion. The most obvious deviations from the correlation of  $\mu H^{RT}$  and  $\delta$  is observed for 381 the alloys with almost constant atomic size difference. Thus, the shear modulus G was determined for Nb<sub>x</sub>MoCrTiAl with x = 0, 0.25, 0.5, 0.75, 1 and NbMoTiAl for comparison also in order to cover the entire composition range of the investigated alloys. Shear moduli exhibit only minor changes from about 52 GPa upto about 66 GPa and do not seem to provide a correlation with the observed room temperature microhardness  $\mu$ H<sup>RT</sup>. Hence, we assume that within the Nb-Mo-Cr-Ti-Al system with varying Cr and Nb concentration, the shear modulus does not play a major role for solid solution strengthening. Although no global superstructure was detected by XRD analyses, different types of ordering might additionally strengthen some of the alloys.

j	nominal composition	annealing condition	porosity	ρ / kg/m <sup>3</sup>	v <sub>T</sub> / m/s	G / GPa
1	NbMoTiAl	1500 °C, 20 h	$0.0078 \pm 0.0018$	$6637 \pm 2$	$2940 \pm 15$	$57.8 \pm 2.2$
5	NbMoCrTiAl	1300 °C, 20 h	$0.0116 \pm 0.0027$	$6594 \pm 5$	$3150 \pm 18$	$66.2 \pm 1.0$
6	Nb <sub>0.75</sub> MoCrTiAl	1300 °C, 20 h	$0.0127 \pm 0.0110$	$6501 \pm 46$	$2990 \pm 2$	58.9 ± 2.5
7	Nb <sub>0.5</sub> MoCrTiAl	1300 °C, 20 h	$0.0083 \pm 0.0025$	$6420 \pm 16$	$3130 \pm 1$	$63.4 \pm 3.4$
8	Nb <sub>0.25</sub> MoCrTiAl	1300 °C, 20 h	$0.0080 \pm 0.0045$	$6245 \pm 40$	2880 ± 1	$52.2 \pm 1.0$
9	MoCrTiAl	1200 °C, 20 h	$0.014 \pm 0.0070$	$6165 \pm 57$	2927 ± 29	53.6 ± 0.5

Table 2: Relevant materials properties for the evaluation of composition-depending shear modulus G.

# **390 4. Conclusions**

Providing almost single phase microstructure, dislocation mediated plasticity with  $\langle 111 \rangle$  Burgers vectors and varying atomic size differences between 3.25 and 5.15 %, NbMoCrTiAl and its derivatives are very well suited for investigating the impact of lattice distortion on the solid solution strengthening in concentrated bcc alloys. In the present article, a correlation between the atomic size difference  $\delta$  and room temperature microhardness  $\mu H^{RT}$ , namely  $\mu H^{RT} = 1.52$  GPa + 101.56 GPa  $\cdot \delta$ , was found for a series of alloys Nb-Mo-Cr-Ti-Al including two quaternary and one quinary, equimolar composition. The following conclusions can be drawn:

Bcc solid solutions were stabilized by appropriate heat treatments in all investigated alloys and
 competing phases were accordingly suppressed when gradually reducing the concentration of
 Nb and Cr.

401 2. Individual atomic radii of the alloying elements were determined by evaluation of402 experimentally observed mean atomic radii of all investigated alloys.

403 3. Although  $\mu H^{RT}$  reasonably correlates with the atomic size difference  $\delta$ , the magnitude of 404  $\mu H^{RT}$  is altered by the well-known feature of thermally activated dislocation slip in bcc metals 405 and solid solutions. Thus, combined temperature-dependent mechanical characterization by 406 both nanoindentation and compression tests was utilized to exemplarily assess the athermal 407 strength of the equimolar alloys. Both,  $\sigma_y^{\text{plateau}}$  as well as nH<sup>plateau</sup> further support the 408 correlation with  $\delta$  found for  $\mu H^{RT}$ .

409 4. Variation of shear moduli remains small within the composition range of the investigated410 alloys and cannot account for the observed dependence of the hardness of the alloys.

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## 465 **Captions**

466 Table 1: Composition in at.% of solid solutions in annealed condition determined by standard-related

467 EDX as well as lattice parameters of the bcc structure; O contents were exemplarily analyzed for

468 NbMoTiAl, NbMoCrTiAl and MoCrTiAl: 780 at.ppm, 312 at.ppm and 289 at.ppm, respectively. N

469 content was below the detection limit for all tested alloys. ♦ highlights EDX on a different batch [14],

- 470 \* were used for re-calculation of the atomic radii.
- 471 Table 2: Relevant materials properties for the evaluation of composition-depending shear modulus G.

472 Figure 1: Microstructure of alloys with equiatomic composition in homogenized condition, obtained

473 by SEM using BSE contrast imaging (same magnification for all images): (a) NbMoTiAl annealed at

474 1500 °C, (b) NbMoCrTiAl annealed at 1300 °C and (c) MoCrTiAl annealed at 1200 °C, each for 20 h.

475 Certain porosity (black features within the grains) is typical for cast material (< 2 vol.% in all

- 476 presented alloys) and was randomly distributed in both as-cast (not presented in this article) and
- 477 as-homogenized state.
- Figure 2: XRD patterns with logarithmic intensity scale of alloys with equiatomic composition in homogenized condition: (a) NbMoTiAl annealed at 1500 °C, (b) NbMoCrTiAl annealed at 1300 °C and (c) MoCrTiAl annealed at 1200 °C, each for 20 h. Peaks arising from residual Cu-Kβ radiation are indicated by open triangles  $\nabla$ .
- Figure 3: Comparison of experimental and re-calculated atomic radii in the elements  $r_i$  as well as investigated alloys  $\bar{r}_j$ . Literature data for Al, Ti (using CN = 12, respectively), Nb, Mo and Cr (using CN = 8), respectively, represented by solid bars are all taken from [25], "Ti\*" designates an extrapolation of the atomic radius of bcc Ti corrected by thermal expansion down to room temperature (CN = 8) according to Ref. [24].
- 487 Figure 4: a) Scheme of the temperature-dependent yield stress of bcc metals and alloys. Experimental
- results for the temperature dependence of the equiatomic alloys MoCrTiAl, NbMoTiAl and
- 489 NbMoCrTiAl: b) Microhardness  $\mu H^{RT}$  was obtained at room temperature. Multiple data points
- 490 represent  $\mu H^{RT}$  obtained for varying homogenization parameters and on different batches (please see

- 491 Tab. 1). Nanohardness nH was determined between room temperature and 400 °C in order to obtain
- 492 the plateau nanohardness  $nH^{plateau} = nH(400^{\circ}C)$ . c) Compression tests were performed at a
- 493 consistent strain rate of  $10^{-3}$ s<sup>-1</sup> between 400 °C and 1200 °C depending on the macroscopic ductility
- 494 of the polycrystalline material in order to obtain the plateau yield stress  $\sigma_v^{\text{plateau}}$  equal to  $\sigma_v^{600^{\circ}\text{C}}$
- 495 (NbMoCrTiAl, NbMoTiAl) and  $\sigma_v^{400^{\circ}C}$  (MoCrTiAl). The connecting lines are guiding the eyes. Error
- 496 bars that do not exceed the symbol size are omitted.
- 497 Figure 5: Secondary electron (SE) contrast image of a Vickers indent (lower right corner) in 498 NbMoCr<sub>0.5</sub>TiAl at room temperature, revealing slip traces in various directions in the vicinity of the 499 indent; slip traces are highlighted by black arrows.
- 500 Figure 6: a) Assessment of atomic size r and atomic size difference  $\delta$  using re-calculated radii (lower
- part of the figure) as well as of microhardness at room temperature  $\mu H^{RT}$  and plateau yield stress
- 502  $\sigma_v^{\text{plateau}}$  (upper part of the figure). Multiple microstructural conditions (higher homogenization
- temperature, different batches) for various alloys are included here and do not reveal significant
- variation. b) Correlation of  $\delta / \mu H^{RT}$  and according element / alloy composition. c)  $\mu H^{RT}$  evolution
- so with respect to  $\delta$  reveals linear correlation with  $\mu H^{\text{RT}} = 1.52 \text{ GPa} + 101.56 \text{ GPa} \cdot \delta$  and  $R_{\text{adj}}^2 = 0.98$ .
- 506 d) Correlation of  $\delta$  and  $\sigma_v^{\text{plateau}}$  as well as nH<sup>plateau</sup> from Figs. 4b and c, respectively. For all diagrams,
- 507 error bars which do not exceed the symbol size are omitted. Color coding is consistent for all diagrams.