# Copy-number signatures and mutational

# processes in ovarian carcinoma

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### Abstract

The genomic complexity of profound copy-number aberration has prevented effective molecular stratification of ovarian cancers. To decode this complexity, we derived copy-number signatures from shallow whole genome sequencing of 117 high-grade serous ovarian cancer (HGSOC) cases, which were validated on 527 independent cases. We show that HGSOC comprises a continuum of genomes shaped by multiple mutational processes that result in known patterns of genomic aberration. Copy-number signature exposures at diagnosis predict both overall survival and the probability of platinum-resistant relapse. Measuring signature exposures provides a rational framework to choose combination treatments that target multiple mutational processes. 

#### 64 Introduction

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65 The discrete mutational processes that drive copy-number change in human cancers are not 66 readily identifiable from genome-wide sequence data. This presents a major challenge for the 67 development of precision medicine for cancers that are strongly dominated by copy-number 68 changes, including high-grade serous ovarian (HGSOC), esophageal, non-small-cell lung and 69 triple negative breast cancers<sup>1</sup>. These tumors have low frequency of recurrent oncogenic 70 mutations, few recurrent copy number alterations, and highly complex genomic profiles<sup>2</sup>. 71 HGSOCs are poor prognosis carcinomas with ubiquitous TP53 mutation<sup>3</sup>. Despite efforts to 72 discover new molecular subtypes and targeted therapies, overall survival has not improved over 73 two decades<sup>4</sup>. Current genomic stratification is limited to defining homologous recombinationdeficient (HRD) tumors<sup>5-7</sup> with approximately 20% HGSOC cases having a germline or somatic 74 mutation in BRCA1/2 with smaller contributions from mutation or epigenetic silencing of other HR 75 76 genes. Classification using gene expression predominantly reflects the tumor microenvironment and is reliable in only a subset of patients<sup>9-11</sup>. Detailed genomic analysis using whole genome 77 sequencing has shown frequent loss of RB1, NF1 and PTEN by gene breakage events 12 and 78 enrichment of amplification associated fold-back inversions in non-HRD tumors<sup>13</sup>. However, none 79 80 of these approaches has provided a broad mechanistic understanding of HGSOC, reflecting the 81 challenges of detecting classifiers in extreme genomic complexity. 82 Recent algorithmic advances have enabled interpretation of complex genomic changes by 83 identifying mutational signatures — genomic patterns that are the imprint of mutagenic processes accumulated over the lifetime of a cancer cell<sup>14</sup>. For example, UV exposure or mismatch repair 84 defects induce distinct, detectable single nucleotide variant (SNV) signatures<sup>14</sup>. The clinical utility 85 86 of these signatures has recently been demonstrated through a combination of structural variant (SV) and SNV signatures to improve the prediction of HRD<sup>15</sup>. Importantly, these studies show that 87 88 tumor genomes are shaped by multiple mutational processes and novel computational approaches 89 are needed to identify coexistent signatures. We hypothesized that specific features of copy-90 number abnormalities could represent the imprints of distinct mutational processes, and developed 91 methods to identify signatures from copy-number features in HGSOC. 92 93

#### Results

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Experimental design and data collection 96 97 We generated absolute copy number profiles from 253 primary and relapsed HGSOC samples from 132 patients in the BriTROC-1 cohort<sup>16</sup> using low-cost shallow whole-genome sequencing 98 99 (sWGS; 0.1x) and targeted amplicon sequencing of TP53 (Supplementary Figure 1). These 100 samples formed the basis of our copy-number signature identification. A subset of 56 of these 101 cases had deep whole-genome sequencing (dWGS) performed for mutation analysis and 102 comparison with sWGS data. Independent data sets for validation included 112 dWGS HGSOC cases from PCAWG<sup>17</sup> and 415 HGSOC cases with SNP array and whole exome sequence from 103 104 TCGA8. Supplementary Figure 1a shows the REMARK diagram for selection of BriTROC-1 105 patients. Supplementary Figure 1b outlines which samples were used in each analysis across the 106 three cohorts. Clinical data for the BriTROC-1 cohort are summarized in Supplementary Table 1 107 and Supplementary Figure 2. Detailed information on experimental design is provided in the Life 108 Sciences Reporting Summary. 109 Identification and validation of copy-number signatures 110 111 To identify copy-number (CN) signatures, we computed the genome-wide distributions of six 112 fundamental CN features for each sample: the breakpoint count per 10MB, the copy-number of 113 segments, the difference in CN between adjacent segments, the breakpoint count per 114 chromosome arm, the lengths of oscillating CN segment chains and the size of segments. These 115 features were selected as hallmarks of previously reported genomic aberrations, including breakage-fusion-bridge cycles<sup>18</sup>, chromothripsis<sup>19</sup> and tandem duplication<sup>20,21</sup>. 116 117 We applied mixture modelling to separate the copy-number feature distributions from 91 BriTROC-118 1 samples with high quality CN profiles into mixtures of Poisson or Gaussian distributions. This 119 resulted in a total of 36 mixture components (Figure 1a). For each sample, the posterior probability 120 of copy-number events arising from these components was computed and summed. These sum-121 of-posterior vectors were then combined to form a sample-by-component sum-of-posteriors matrix. To identify copy-number signatures, this matrix was subjected to non-negative matrix factorization 122 (NMF)<sup>22</sup>, a method previously used for deriving SNV signatures<sup>14</sup>. 123 124 NMF identified seven CN signatures (Figure 1a), as well as their defining features and exposures 125 in each sample. The optimal number of signatures was chosen using a consensus from 1000 126 initializations of the algorithm and 1000 random permutations of the data combining four model 127 selection measures (Supplementary Figure 3). We found highly similar component weights for the 128 signatures in the two independent cohorts (PCAWG-OV and TCGA), demonstrating the robustness

- 129 of both the methodology and the copy-number features (Figure 1b, P<9e-05, median r=0.86. 130 Supplementary Table 2), despite a significant difference in exposures to CN signatures 2, 3, 4 and 131 5 between the cohorts (P<0.05, two-sided Wilcoxon rank sum test, Supplementary Figure 4). Mutational processes underlying copy-number signatures 132 133 The majority of cases analysed exhibited multiple signature exposures suggesting that HGSOC 134 genomes are shaped by more than one mutational process. As our signature analysis reduced this 135 genomic complexity into its constituent components, we were able to link the individual copy-136 number signatures to their underlying mutational processes. To do this, we used the component 137 weights identified by NMF to determine which pattern of global or local copy-number change 138 defined each signature. For example, for CN signature 1, the highest weights were observed for 139 components representing low numbers of breakpoints per 10MB, long genomic segments and two 140 breaks occurring per chromosome arm (Figure 2a, Supplementary Figure 5). Two breaks per 141 chromosome arm suggested that the mutational process underlying this signature might be 142 breakage-fusion-bridge (BFB) events<sup>18</sup>. 143 To test this hypothesis, we correlated CN signature 1 exposures with mutation data, SNV 144 signatures, and other measures derived from deep WGS and exome sequencing (Figure 2b-e, 145 Supplementary Figures 6, 7, 8 and 9, Supplementary Tables 3, 4, 5, 6, 7 and 8). CN signature 1 146 was anti-correlated with sequencing estimates of telomere length (r=-0.32, P=0.009), consistent 147 with BFB events. In addition, CN signature 1 was positively correlated with amplification-
- 148 associated fold-back inversion structural variants (r=0.36, P=0.02), which have been strongly implicated in BFB events<sup>23</sup> and have also been associated with inferior survival in HGSOC<sup>13</sup>. CN 149 150 signature 1 was also enriched in cases with oncogenic RAS signaling, including NF1 loss and 151 mutated KRAS (p=5e-06, Mann-Whitney test), which has previously been shown to induce 152 chromosomal instability as a result of aberrant G2 and mitotic checkpoint controls and 153 missegregation<sup>24,25</sup>. Taken together, these data provide independent evidence for BFB arising as a 154 result of oncogenic RAS signaling and telomere shortening as the underlying mechanism for CN 155 signature 1.
- Figures 5, 6, 7, 8 and 9, Supplementary Tables 3, 4, 5, 6, 7 and 8).

  CN signature 2 showed frequent breakpoints per 10MB, single changes in copy-number (resulting in 3 copies), chains of oscillating copy-number, and was significantly correlated with tandem duplicator phenotype scores (r=0.3, P=0.004) and SNV signature 5 (r=0.26, P=0.02). In addition, this signature was enriched in patients with mutations in *CDK12* (P=0.02, Mann-Whitney test,

We applied these approaches to the remaining signatures to identify statistically significant

genomic associations using a false discovery rate < 0.05 (Figure 2b-e, Figure 3, Supplementary

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Supplementary Table 6), in keeping with previous studies that have demonstrated large tandem duplication in cases with inactivating *CDK12* mutations<sup>26</sup>.

- 165 CN signature 4 was characterised by high copy-number states (4-8 copies) and predominant copy-
- number change-points of size 2. This pattern indicates a mutational process of late whole-genome
- duplication (WGD)<sup>27</sup>. Significantly increased signature 4 exposure in cases with aberrant PI3K/AKT
- 168 signaling provided further support for late WGD as oncogenic PIK3CA induces tolerance to
- genome doubling<sup>28</sup> (P=2e-22, Mann-Whitney test, mutation of *PIK3CA* or amplification of *AKT*,
- 170 EGFR, MET, FGFR3 and ERBB2). Signature 4 was also seen at higher levels in cases with
- mutations in genes encoding proteins from Toll-like receptor signaling cascades (P=2e-07),
- interleukin signaling pathways (P=3e-24) and CDK12 (P=0.0009), as well as those with amplified
- 173 CCNE1 (P=2e-10) and MYC (P=9e-12). It was also significantly correlated with telomere length
- 174 (r=0.46, P=4e-05).
- 175 CN signature 6 showed extremely high copy-number states and high copy-number change-points
- 176 for small segments interspersed among larger, lower-copy segments. This suggests a mutational
- 177 process resulting in focal amplification. Increased signature 6 exposure was associated with
- mutations in genes encoding proteins across diverse pathways, including aberrant G1/S cell cycle
- 179 checkpoint control (through either amplification of CCNE1, CCND1, CDK2, CDK4 or MYC,
- deletion/inactivation of *RB1* or mutation in *CDK12*), Toll-like receptor signaling cascades and
- 181 PI3K/AKT signaling (P<0.05). However, as many of these statistical associations are marked by
- gene amplification, it is difficult to determine whether the copy number states represent causal
- events or are simply a consequence of focal amplification. Exposure to CN signature 6 was also
- positively correlated with age at diagnosis (r=0.31, P=6e-12) and age-related SNV signature 1<sup>14</sup>
- 185 (r=0.43, P=3e-06).
- 186 CN signature 5 was significantly associated with predicted chromothriptic-like events using the
- Shatterproof algorithm<sup>29</sup> (r=0.44, P=2e-03). Chromothripsis is considered rare in HGSOC<sup>12,27,30</sup>.
- However, the key component of this signature—the presence of copy-number change points
- 189 centered at 0.5 copies—suggests that the events are subclonal. This implies that chromothripsis
- may be an underestimated oncogenic mechanism in HGSOC that could reflect ongoing formation
- 191 and rupture of micronuclei<sup>31</sup>.
- 192 CN signature 3 was characterized by an even distribution of breaks across all chromosomes, and
- 193 copy number changes from diploid to single copy (LOH). CN signature 3 was significantly enriched
- in cases with mutations in BRCA1 and BRCA2, and other HR genes including BARD1, PALB2 and
- 195 ATR (P=0.002, Mann-Whitney test). It was also correlated with the HRD-related SNV signature 3
- 196 (r=0.32, P=0.002) and anti-correlated with age at diagnosis and age-related SNV signature 1
- 197 (P<0.05). CN signature 3 was also enriched in cases with loss of function mutations in *PTEN*
- 198 (P=0.002, Mann-Whitney test). Taken together, these data suggest that CN signature 3 is driven
- 199 by BRCA1/2-related HRD mechanisms.
- 200 CN signature 7, like CN signature 3, also demonstrated an even distribution of breaks across all
- 201 chromosomes. By contrast with CN signature 3, single copy-number changes were observed from

202 203 204	related SNV signature 3, there was no enrichment with <i>BRCA1/2</i> mutation, suggesting alternative HRD mechanisms as potential mutational processes.
205 206 207 208 209 210	We also investigated relationships between CN signatures. BRCA1 dysfunction and <i>CCNE1</i> amplification have been shown to be mutually exclusive in HGSOC <sup>32</sup> , and we observed that CN signature 3 ( <i>BRCA1/2</i> HRD) and CN signature 6 (marked by aberrant G1/S cell cycle checkpoint control) showed mutually exclusive associations (Figure 2b-e). Loss of <i>BRCA1</i> and <i>BRCA2</i> are early driver events in HGSOC, and to investigate acquisition of additional mutational processes, we studied four BriTROC-1 cases with deleterious germline <i>BRCA2</i> mutations and confirmed
211 212 213	somatic loss of heterozygosity at <i>BRCA2</i> (Figure 4). A diverse and variable number of CN signatures was seen in these cases, including substantial exposures to CN signature 1 (RAS signaling) in three of the four cases.
214	Copy-number signatures predict overall survival
215 216 217 218 219 220 221 222	We next explored the association between individual CN signature exposures and overall survival using a combined dataset of 575 diagnostic samples with clinical outcomes. We trained a multivariate Cox proportional hazards model on 417 cases and tested this on the remaining 158 cases (Figure 5, Supplementary Table 9). CN signature exposure was significantly predictive of survival (Training: P=0.002, log-rank test; stratified by age and cohort; Test: P=0.05, C-index=0.56 95% CI:0.50-0.62; Entire cohort: P=0.002, log-rank test; stratified by age and cohort). Across the entire cohort, poor outcome was significantly predicted by CN signature 1 (P=0.0008) and CN signature 2 exposures (P=0.03), whilst good outcome was significantly predicted by exposures to CN signatures 3 (P=0.05) and 7 (P=0.006).
224 225 226 227 228 229	Unsupervised hierarchical clustering of samples by signature exposures identified three clusters (Figure 5). Despite showing significant survival differences (P=0.004, log-rank test; stratified by age and cohort), these clusters did not provide any prognostic information in addition to that identified from the Cox proportional hazards model; cluster 2 was dominated by patients with high signature 1 exposures (poor prognosis), cluster 3 showed high signature 3 exposures (good prognosis) and cluster 1 had mixed signature exposures (Supplementary Figure 10).
230	Copy-number signatures indicate relapse following chemotherapy
231 232 233 234 235	Using a generalised linear model, we investigated whether copy-number signatures could be used to predict outcome following chemotherapy across 36 patients from the BriTROC-1 study with paired diagnostic and relapse samples <sup>16</sup> . The model showed CN signature 1 exposures at the time of diagnosis to be significantly predictive of platinum-resistant relapse (P=0.02, z-test, Supplementary Table 10)

Using the same 36 sample pairs, we also investigated whether chemotherapy treatment changed
CN signature exposures. No significant effects on exposures were observed following
chemotherapy treatment using a linear model that accounted for signature exposure at time of
diagnosis, number of lines of chemotherapy and patient age (P>0.05, F-test, Supplementary Table
10). The only variable showing a significant association with exposure at relapse was signature
exposure at diagnosis (P<0.01, F-test, Supplementary Table 11).

#### Discussion

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243 Copy-number signatures provide a framework that is able to rederive the major defining elements 244 of HGSOC genomes, including defective HR8, amplification of CCNE19 and amplificationassociated fold-back inversions<sup>13</sup>. In addition, the CN signatures show significant associations with 245 246 known driver gene mutations in HGSOC and provide the ability to detect novel associations with 247 gene mutations. We derived signatures using inexpensive shallow whole genome seguencing of 248 DNA from core biopsies. These approaches are rapid and cost effective, thus providing a clear 249 path to clinical implementation. Copy-number signatures open new avenues for clinical trial design 250 by highlighting contributions from underlying mutational processes that depend on oncogenic RAS 251 and PI3K/AKT signaling. 252 We found that almost all patients with HGSOC demonstrated a mixture of signatures indicative of 253 combinations of mutational processes. These results suggest that early TP53 mutation, the 254 ubiquitous initiating event in HGSOC, may permit multiple mutational processes to co-evolve, 255 potentially simultaneously. Although further work is needed to define the precise timing of 256 signature exposures, early driver events such as BRCA2 mutation still permit a diverse and 257 variable number of CN signatures in addition to an HRD signature (Figure 4). These additional 258 signature exposures may alter the risk of developing therapeutic resistance, particularly when only 259 a single mutational process such as HRD is targeted. 260 High exposure to CN signature 3, characterised by BRCA1/2-related HRD, is associated with 261 improved overall survival, confirming prior data showing that BRCA1/2 mutation is associated with long survival in HGSOC<sup>33,34</sup>. Conversely, high exposure to signature 1, which is characterised by 262 263 oncogenic RAS signaling (including NF1, KRAS and NRAS mutation), predicts subsequent 264 platinum-resistant relapse and poor survival. This suggests that powerful intrinsic resistance 265 mechanisms are present at the time of diagnosis and can be readily identified using CN signature 266 analysis. This hypothesis is supported by the presence of exposure to CN signature 1 in germline 267 BRCA2-mutated cases (Figure 4) as well as our previous work demonstrating the expansion of a 268 resistant subclonal NF1-deleted population following chemotherapy treatment in HGSOC35 and 269 poor outcomes in Nf1-deleted murine models of HGSOC36. Our CN signature analysis of BRCA2-270 mutated cases also concurs with PCAWG/ICGC data showing that over half (9/16) of NF1-mutated 271 cases also harboured mutations in BRCA1 or BRCA2<sup>12</sup>. These data suggest a complex interplay 272 between RAS signaling and HRD. Thus, RAS signaling may be an important target, especially in 273 first line treatment, to prevent emergence of platinum-resistant disease. 274 We found that CN signature exposures were not significantly altered between diagnosis and 275 disease relapse in 36 sample pairs with a median interval of 30.6 months 16. This suggests that the 276 underlying mutational processes in HGSOC are relatively stable and that genome-wide patterns of 277 copy-number change mainly reflect historic alterations to the genome acquired during

tumorigenesis<sup>37</sup>. Relative invariant genomic changes were also observed in the ARIEL2 trial, where genome-wide loss-of-heterozygosity was used to predict HRD, and only 14.5% (17/117) cases changed LOH status between diagnosis and relapse<sup>7</sup>.

Larger association studies will be required to further refine CN signature definitions and interpretation. The application of our approach to other tumour types is likely to extend the set of signatures beyond the robust core set identified here. Basal-like breast cancers, squamous cell and small cell lung carcinoma, which all have high rates of *TP53* mutation and genomic instability<sup>2</sup>, are promising next targets. Although it is likely that the strong associations have identified the driver mutational processes for CN signatures 1 and 3, functional studies will be required to establish causal links for the remaining signatures. For example, CN signature 6 was significantly associated with multiple mutated pathways, and this association was primarily driven by amplification of target genes. As this signature represented focal amplification events, it is difficult to determine whether amplification of specific genes drives the underlying mutational process or the amplifications emerge as a consequence of strong selection of advantageous phenotypes. Our data does not provide timing information for exposures and there is the real possibility that one mutational process may well drive the emergence of other mutational processes. For example, the association between signature 6 and PI3K signalling is also shared with signature 4.

Other limitations of this work are technical: we integrated data from three sources, using three different pre-processing pipelines, and the ploidy determined by different pipelines can have a significant effect on the derived signatures. For example, high-ploidy CN signature 4 was predominantly found in the sequenced samples that underwent careful manual curation to identify whole-genome duplication events. When extending to larger sample sets, a unified processing strategy with correct ploidy determination is likely to produce improved signature definitions. Another technical limitation is the resolution of copy-number calling from sWGS (limited to 30kb bins) and future application to large cohorts of deeply sequenced samples will be needed to improve the resolution of the CN signatures.

Efforts to identify discrete, clinically relevant subtypes of disease have been successful in many cancer types<sup>38-40</sup>. However, HGSOC lacks clinically-relevant patient stratification, which is reflected in continued poor survival. We show that HGSOC genomes are shaped by multiple mutational processes that preclude simple subtyping. Thus, our results suggest that HGSOC is a continuum of genomes. By dissecting the mutational forces shaping HGSOC genomes, our study paves the way to understanding extreme genomic complexity, as well as revealing the evolution of tumors as they relapse and acquire resistance to chemotherapy.

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### Author contributions

- 330 G.M., T.E.G., F.M., I.McN., J.D.B. conceptualized the study; S.D., R.M.G., M.L., E.B., A.M., A.W.,
- 331 S.S., R.E., G.D.H., A.C., C.G., M.H., C.F., H.G., D.M., A.Ho., G.B., I.McN., J.D.B. conducted
- 332 sample collection; T.E.G., D.E., A.M.P., L.A.L., A.Ha., C.W., C.N., L.Mi., L.N.S., M.J.L., L.Mo.,
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### Competing Financial Interests Statement

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# 438 Figure Legends

439	$\textbf{Figure 1} \mid \textbf{Copy-number signature identification from shallow whole genome sequence data} \\$
440	and validation in independent cohorts
441	a. Step 1: Absolute copy-numbers are derived from sWGS data; Step 2: genome-wide distributions
442	of six fundamental copy-number features are computed; Step 3: Gaussian or Poisson mixture
443	models (depending on data type) are fitted to each distribution and the optimal number of
444	components is determined (ranging from 3-10); Step 4: the data are represented as a matrix with
445	36 mixture component counts per tumor. Step 5: Non-negative matrix factorization is applied to the
446	components-by-tumor matrix to derive the tumor-by-signature matrix and the signature-by-
447	components matrix.
448	<b>b.</b> Heat maps show component weights for copy number signatures in two independent cohorts of
449	HGSOC samples profiled using WGS and SNP array. Correlation coefficients are provided in
450	Supplementary Table 2.
451	Figure 2   Linking copy-number signatures with mutational processes
452	a Component weights for copy number signature 1. Barplots (upper panel) are grouped by copy
453	number feature and show weights for each of the 36 components. The middle panel shows the
454	mixture model distributions which are shaded by the component weight - solid colours have a high
455	weight and transparent have low weight (contrasting colours are randomly assigned). Lower panel
456	shows genome-wide distribution (histogram or density) of each copy number feature, across the
457	BriTROC-1 cohort, with coloured plots indicating important distributions (> 0.1 component weight).
458	(Note: similar plots for other CN signatures are shown in Figure 3 and Supplementary Figure 5).
459	<b>b</b> Associations between CN signature exposures and other features. Purple indicates positive
460	correlation and orange negative correlation (see also Supplementary Figure 6). Numbers at the
461	right of the panel indicate cases included in each analysis. Only significant correlations are shown
462	(P<0.05).
463	<b>c</b> Associations between CN signature exposures and SNV signatures. Purple indicates positive
464	correlation and orange negative correlation (see also Supplementary Figure 6). The number at the
465	right of the panel indicates cases included in the analysis.
466	<b>d and e</b> Difference in CN signature exposures between cases with mutations in specific genes ( <b>d</b> )
467	and mutated/wildtype reactome pathways (e). The absolute difference in mean signature
468	exposures was calculated for cases with and without mutations. Colors in filled circles indicate
469	extent of difference. Only differences with FDR P<0.05 (Mann-Whitney test) are shown (see also
470	Supplementary Figure 7).
471	Numbers at the right of the panel indicate cases with mutations (SNVs, amplifications or deletions)
472	in each gene/pathway.

473	Figure 3   The seven copy-number signatures in HGSOC
474	Description of the defining component weights, key associations and proposed mechanisms for the
475	seven copy number signatures.
476	*only the top three mutated genes for each of the pathways associated with CN signatures 4, 6
477	and 7 are shown (the list of all significant genes is provided in Supplementary Tables 7 and 8).
478	Figure 4   CN signature exposures of four BriTROC-1 patients with germline BRCA2
479	mutations and somatic loss of heterozygosity
480	Stacked bar plots show copy-number signature exposures for four BriTROC-1 cases with
481	pathogenic germline BRCA2 mutations and confirmed somatic loss of heterozygosity (LOH) at the
482	BRCA2 locus.
483	Figure 5   Association of survival with copy-number signatures
484	Upper panel: Stacked barplots show CN signature exposures for each patient. Patients were
485	ranked by risk of death estimated by a multivariate Cox proportional hazards model stratified by
486	age and cohort, with CN signature exposures as covariates.
487	Middle panel: The matrix indicates group for each patient assigned by unsupervised clustering of
488	CN signature 1, 2, 3 and 7 exposures (see also Supplementary Figure 10).
489	Lower panel: Linear fit of signature exposures ordered by risk predicted by the Cox proportional
490	hazards model.
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# Online Methods

515	Patients and samples
516	The BriTROC-1 study has been described previously 16. Characteristics of the 142 patients
517	included in this study are given in Supplementary Table 1. The study is sponsored by NHS Greater
518	Glasgow and Clyde and ethics/IRB approval was given by Cambridge Central Research Ethics
519	Committee (Reference 12/EE/0349). The study enrolled patients with recurrent ovarian high-grade
520	serous or grade 3 endometrioid carcinoma who had relapsed following at least one line of
521	platinum-based chemotherapy and whose disease was amenable either to image-guided biopsy or
522	secondary debulking surgery. At study entry, patients were classified as having either platinum-
523	sensitive relapse (i.e. relapse six months or more following last platinum chemotherapy) or
524	platinum-resistant relapse (i.e. relapse less than six months following prior platinum chemotherapy)
525	(Supplementary Figure 2). All patients provided written informed consent. Access to archival
526	diagnostic formalin-fixed tumor was also required. Survival was calculated from the date of
527	enrolment to the date of death or the last clinical assessment, with data cutoff at 1 December
528	2016. At subsequent relapse or progression after chemotherapy following study entry, patients
529	could optionally have a second biopsy under separate consent.
530	DNA was extracted from 300 samples of 142 patients - 158 methanol-fixed relapse biopsies and
531	142 FFPE archival diagnostic tissues. Germline DNA was extracted from blood samples of 137
532	patients.
533	Tagged-amplicon sequencing
534	Mutation screening of TP53, PTEN, EGFR, PIK3CA, KRAS and BRAF was performed on all 300
535	samples using tagged-amplicon sequencing as previously described 16. DNA extracted from blood
536	was analyzed by tagged-amplicon sequencing for <i>BRCA1</i> and <i>BRCA2</i> germline mutations.
537	Shallow whole genome sequencing (sWGS)
538	Libraries for sWGS were prepared from 100ng DNA using modified TruSeq Nano DNA LT Sample
539	Prep Kit (Illumina) protocol <sup>41</sup> . Quality and quantity of the libraries were assessed with DNA-7500 kit
540	on 2100 Bioanalyzer (Agilent Technologies) and with Kapa Library Quantification kit (Kapa
541	Biosystems) according to the manufacturer's protocols. Sixteen to twenty barcoded libraries were
542	pooled together in equimolar amounts and each pool was sequenced on HiSeq4000 in SE-50bp
543	mode.
544	Prior to sequencing we estimated the required sequencing depth by adapting calculations made in
545	previous work that explored the relationship between sequencing depth (reads per sample) and
546	copy number calling accuracy <sup>42</sup> . Based on these analyses, we devised a power calculator for
547	sWGS copy number analysis (see URL 1, described in <sup>43</sup> ). We estimated that with an average

548 549	ploidy of 3 and purity of 0.65, a sequencing depth of at least 2.7 million reads is required to detect single, clonal copy-number changes (minimum 60kb) at 90% power and alpha 0.05. After analysis
<ul><li>550</li><li>551</li><li>552</li></ul>	we determined that BritROC 3-star samples had an average purity of 0.66, ploidy of 2.7, and were sequenced to an average depth of 8.6 million reads. This allowed us to detect single copy-number changes with 90% power, and alpha 0.05 down to subclonal frequencies of 55%.
553	Deep whole genome sequencing
554 555 556 557 558	Deep whole-genome sequencing was performed on 56 tumors with confirmed $TP53$ mutations and matched normal samples, of which 48 passed quality control. Libraries were constructed with ~350-bp insert length using the TruSeq Nano DNA Library prep kit (Illumina) and sequenced on an Illumina HiSeq X Ten System in paired-end 150-bp reads mode. The average depth was $60\times$ (range $40\text{-}101\times$ ) in tumors and $40\times$ (range $24\text{-}73\times$ ) in matched blood samples.
559	Variant calling
<ul><li>560</li><li>561</li><li>562</li><li>563</li><li>564</li><li>565</li></ul>	Read alignment and variant calling of tagged-amplicon sequencing data were processed as described <sup>41</sup> . Deep WGS samples were processed with bcbio-nextgen <sup>44</sup> using Ensemble somatic variants called by two methods out of VarDict <sup>45</sup> , Varscan <sup>46</sup> and FreeBayes <sup>47</sup> . Somatic SNV calls were further filtered based on mapping quality, base quality, position in read, and strand bias as described <sup>40</sup> . In addition, the blacklisted SNVs from the Sanger Cancer Genomics Project pipeline derived from a panel of unmatched normal samples were used for filtering <sup>48</sup> .
566	Data download
567 568 569 570 571	PCAWG-OV: Consensus SNVs and INDELs (October 2016 release), consensus structural variants (v 1.6), consensus copy-number calls (January 2017 release), donor clinical (August 2016 v7-2) and donor histology information (August 2016 v7) for 112 ovarian cancer samples were downloaded from the PCAWG data portal. ABSOLUTE <sup>49</sup> copy-number calls were used for analysis.
572	TCGA: ABSOLUTE <sup>49</sup> copy-number profiles from Zack et al <sup>27</sup> for 415 ovarian cancer TCGA
573	samples were downloaded from Synapse <sup>50</sup> . SNVs for these samples were downloaded from the
574 575	Broad Institute TCGA Genome Data Analysis Center (Broad Institute TCGA Genome Data Analysis Center: Firehose stddata 2016 01 28 run. doi:10.7908/C11G0KM9, Broad Institute of
576	MIT and Harvard). Donor clinical data were downloaded from the TCGA data portal.
577	Absolute copy-number calling from sWGS
578	Segmentation: sWGS reads were aligned and relative copy-number called as described <sup>41</sup> . After
579	inspection of the TP53 mutation status and relative copy-number profiles of the 300 sequenced
580	BriTROC-1 samples, 47 were excluded from downstream analysis for the following reasons: low

581 purity (24), mislabeled (7), pathology re-review revealed sample was not HGSOC (3), no 582 detectable TP53 mutation (13). Of the 253 BriTROC-1 samples analysed, 111 were FFPE-fixed. 583 Fifty seven out of 253 showed an over segmentation artefact (likely due to fixation). A more strict 584 segmentation was subsequently applied to these samples to yield a usable copy-number profile.

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594 595 Absolute copy number: We combined relative copy-number profiles generated by QDNAseq<sup>42</sup> with mutant allele frequency identified using tagged amplicon sequencing in a probabilistic graphical modelling approach to infer absolute copy-number profiles. Using Expectation-Maximisation, the model generated a posterior over a range of TP53 copy-number states, using the TP53 mutant allele frequency to estimate purity for each state. The TP53 copy-number state that provided the highest likelihood of generating a clonal absolute copy-number profile was used to determine the final absolute copy-number profile. To test the validity of this approach, we compared purity and ploidy estimates derived from sWGS to those derived from 60x WGS using the Battenberg algorithm for copy-number calling<sup>51</sup>. Pearson correlation coefficients were computed for both ploidy and purity estimates using 34 3-star (see Quality rating) BriTROC-1 samples with matched sWGS and WGS (Supplementary Figure 11).

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598 Quality rating: Following absolute copy-number fitting, samples were rated using a 1-3 star system. 1-star samples (n=54) showed a noisy copy-number profile and were considered likely to have incorrect segments and missing calls. These were excluded from further analysis. 2-star samples

(n=52) showed a reasonable copy-number profile with only a small number of miscalled segments.

- 602 These samples were used (with caution) for some subsequent analyses. 3-star samples (n=147)
- 603 showed a high-quality copy-number profile that was used in all downstream analyses. The
- 604 maximum star rating observed per patient was 1-star in 15 patients, 2-star in 26, and 3-star in 91
- 605 patients. Seventy-two out of 111 FFPE-fixed samples (64%) were amenable to signature analysis.
- 606 This is consistent with typical sequencing success rates for archival material<sup>52</sup>.

#### Copy-number signature identification 607

- 608 Preprocessing: 91 3-star BriTROC-1 absolute copy-number profiles were summarized using the 609 genome-wide distribution of six different features (outlined in Figure 1):
- 610 1. Segment size - the length of each genome segment;
- 611 2. Breakpoint count per 10MB - the number of genome breaks appearing in 10MB sliding 612 windows across the genome;
- 613 3. Change-point copy-number - the absolute difference in CN between adjacent segments 614 across the genome:
- 615 4. Segment copy-number - the observed absolute copy-number state of each segment;
- 616 5. Breakpoint count per chromosome arm - the number of breaks occurring per chromosome 617 arm;

6. Length of segments with oscillating copy-number - a traversal of the genome counting the number of contiguous CN segments alternating between two copy-number states, rounded to the nearest integer copy-number state.

Mixture modelling: For each of the feature density distributions, we applied mixture modelling to identify its distinct components. For distributions representing segment-size, change-point copynumber, and segment copy-number we employed mixtures of Gaussians. For distributions representing breakpoint count per 10MB, length of segments with oscillating copy-number, and breakpoint count per chromosome arm we employed mixtures of Poissons. Mixture modelling was performed using the FlexMix V2 package in R<sup>53</sup>. The algorithm was run for each distribution with the number of components ranging from 2-10. The optimal number of components was selected as the run showing the lowest Bayesian Information Criterion, resulting in a total of 36 components (see Figure 1 and Supplementary Table 3 for breakdown). Next, for each copy-number event, we computed the posterior probability of belonging to a component. For each sample, these posterior event vectors were summed resulting in a sum-of-posterior probabilities vector. All sum-of-posterior vectors were combined in a patient-by-component sum-of-posterior probabilities matrix.

Signature identification: The NMF Package in R<sup>54</sup>, with the Brunet algorithm specification<sup>55</sup> was used to deconvolute the patient-by-component sum-of-posteriors matrix into a patient-by-signature matrix and a signature-by-component matrix. A signature search interval of 3-12 was used, running the NMF 1000 times with different random seeds for each signature number. As provided by the NMF Package<sup>54</sup>, the cophenetic, dispersion, silhouette, and sparseness coefficients were computed for the signature-by-component matrix (basis), patient-by-signature matrix (coefficients) and connectivity matrix (consensus, representing patients clustered by their dominant signature across the 1000 runs). 1000 random shuffles of the input matrix were performed to get a null estimate of each of the scores (Supplementary Figure 3). We sought the minimum signature number that yielded stability in the cophenetic, dispersion and silhouette coefficients, and that yielded the maximum sparsity which could be achieved without exceeding that which was observed in the randomly permuted matrices. As a result, 7 signatures were deemed optimal under these constraints and were chosen for the remaining analysis.

 Signature assignment: For the remaining 26 2-star patient samples, and the 82 secondary patient samples (from patients with 2- or 3-star profiles from additional tumor samples), the LCD function in the YAPSA package in Bioconductor<sup>56</sup> was used to assign signature exposures.

### Copy-number signature validation

The signature identification procedure described above was applied to copy-number profiles from two independent datasets: 112 whole-genome sequenced (approximately 40×) HGSOC samples

processed as part of ICGC Pan-Cancer Analysis of Whole Genomes Project 17, (denoted here as 655 PCAWG-OV) and 415 SNParray profiling of HGSOC cases as part of TCGA<sup>27</sup>. The number of 656 657 signatures was fixed at 7 for matrix decomposition with NMF. Pearson correlation was computed 658 between the BriTROC-1 signature-by-component weight matrix and each of the PCAWG-OV and 659 TCGA signature-by-component matrices, signature by signature (Supplementary Table 2). Association of copy-number signature exposures with other features 660 661 Association of signature exposures with other features was performed using one of two 662 procedures: for a continuous association variable, correlation was performed; for a binary 663 association variable, patients were divided into two groups and a Mann-Whitney test was 664 performed to test for differences in signature exposure medians between the two groups. A more 665 detailed explanation of each of these association calculations is given below. (Note: of the 48 deep 666 WGS BriTROC-1 samples that passed QC, only 44 had matched 2- and 3-star sWGS copy-667 number profiles. As signature exposures from sWGS were used for BriTROC-1 sample 668 associations, only these 44 samples could be used). 669 670 Age at diagnosis. Patient age at diagnosis for 112 PCAWG-OV samples and 415 TCGA samples 671 was used to compute Pearson correlation with signature exposures. 672 673 Amplification associated fold-back inversions. For 111 PCAWG-OV samples, the fraction of 674 amplification associated fold-back inversion events per sample was calculated as the proportion of 675 head-to-head inversions (h2hINVs) within a 100kb window amplified region (copy number ≥5) 676 relative to the total number of SV calls per sample. 94 samples had at least 1 h2hINV event out of 677 which 58 had h2hINV events in amplified regions. On average they accounted for 4% of SV calls. 678 As these are rare events, only samples showing a non-zero fraction of fold-back inversions (n=67) 679 were used to compute Pearson correlation with signature exposures. 680 681 Telomere length. Telomere lengths of 44 deep WGS tumor samples from the BriTROC-1 cohort were estimated using the Telomerecat algorithm<sup>57</sup>. Telomere length estimates ranged from 1.5kb -682 683 11kb with an average of 4kb. Correlation between telomere length and copy-number signature 684 exposures was calculated with age and tumor purity as covariates using the ppcor package in R58. 685 686 Chromothripsis. Copy-number and translocation information from 111 PCAWG-OV samples were 687 used to detect chromothripsis-like events using the Shatterproof software with default

parameters<sup>29</sup>. Shatterproof, a state-of-the-art software, incorporates a wide range of hallmarks of chromothripsis in its detection algorithm as a precise definition of chromothripsis remains elusive. Govind et al. recommend a threshold of 0.37 based on their observations that normal samples produced a low number of calls with low scores (maximum 0.37) while prostate, colorectal and small cell lung cancer samples that were known to have chromothriptic events, produced the highest scores <sup>29</sup>. Previous studies have reported a low incidence of chromothriptic events in HGSOC <sup>12,27,30</sup>. The number of calls per sample in the PCAWG-OV samples ranged from 5 to 47 with an average of 23. The score per call ranged from 0.15-0.62 with a median of 0.38. Therefore, a conservative threshold was set at the 95<sup>th</sup> percentile of our distribution of scores to minimise false positives and calls with scores greater than 0.48 were used to obtain a count of chromothriptic events per sample. As chromothriptic events are rare in HGSOC, only samples showing a non-zero number of events (n=61) were used to compute Pearson correlation with signature exposures. Of 61 samples with scores above the threshold, 49 (80.3%) had 1-2 events, 11 samples (18%) had 3-6 events and 1 sample (1.6%) had 10 events.

Tandem duplicator phenotypes. Tandem duplicator phenotype (TDP) scores were calculated for 111 PCAWG-OV samples using the method described in Menghi et al<sup>21</sup>. The number of duplication events per chromosome normalized by chromosome length per sample was used to calculate a score relative to the expected number of duplication events per chromosome per sample. The scores ranged from -1.11 to 0.53 with an average score of 0.02.

*Mutational signatures.* Motif matrices were extracted using the SomaticSignatures R package<sup>59</sup> and the weights of all known COSMIC signatures were determined using the deconstructSigs R package<sup>60</sup> for 44 deep WGS BriTROC-1 samples and 109 PCAWG-OV samples. SNV signatures showing an exposure >0 for at least one sample were retained. The rcorr function in the Hmisc R package<sup>61</sup> was used to calculate the correlation matrix between the remaining SNV and CN signature exposures.

The significance of all observed correlations was estimated from a t-distribution where the null hypothesis was that the true correlation was 0. All reported p-values have been adjusted for multiple testing with Benjamini & Hochberg (BH) method<sup>62</sup>. Comparison plots can be found in Supplementary Figure 6.

*Mutated pathways:* A combined set of 479 samples (44 deep WGS BriTROC-1, 112 PCAWG-OV and 323 TCGA) showing at least one driver mutation was used for mutated pathway enrichment analysis. We focused on 765 driver genes reported by Cancer Genome Interpreter (CGI)<sup>63</sup>. SNVs, INDELs, amplifications (CN>5) or deletions (CN<0.4) affecting these genes were considered *bona fide* driver mutations if CGI predicted them as TIER1 or TIER2 (Supplementary Tables 4 and 5.

see URL 2, run date: 2018-01-13). 320 of the 765 genes were mutated in a least one case. These genes were used to test for enriched pathways in the Reactome database using the ReactomePA R package<sup>64</sup> with a p-value cutoff of 0.05 and q-value cutoff of 0.05. Pathways mutated in at least 5% of the cohort (n≥24) were retained. For each pathway, patients were split into two groups: those with mutated genes in the pathways, and those with wild-type genes in the pathways. A one-sided Mann-Whitney was carried out for each signature to determine if the exposure was significantly higher in mutated cases versus wild-type cases. After multiple testing correction using the Benjamini & Hochberg method (thresholding the p-value <0.005 and the median difference in exposures ≥0.1), 186 pathways were significantly enriched. Visual inspection revealed significant redundancy in the list and 9 representative pathways were manually selected as a final output (Supplementary Table 6).

Mutated genes: A combined set of 479 samples (44 deep WGS BriTROC-1, 112 PCAWG-OV and 323 TCGA) was used test if signature exposures were significantly higher in cases with mutated driver genes, including NF1, PTEN, BRCA1, BRCA2, PIK3CA, MYC and CDK12. Patients were split into two groups: those with the mutated gene and those with wild-type genes. A one-sided Mann-Whitney was carried out for each signature to determine if the exposure was significantly higher in mutated cases versus wild-type cases. After multiple testing correction using the Benjamini & Hochberg method (thresholding the p-value <0.05 and the median difference in exposures ≥0.0.08), 10 gene/signature combinations were significantly enriched (Supplementary Table 6).

### Survival analysis

Censoring and truncation: Overall survival in BriTROC-1 patients was calculated from the date of enrolment to the date of death or the last documented clinical assessment, with data cutoff at 1 December 2016. As the BriTROC-1 study only enrolled patients with relapsed disease, left truncation was used in the survival analysis. In addition, cases where the patient was not deceased were right censored. Survival data for the PCAWG-OV and TCGA cohorts were right censored as required (left truncation was not necessary). The combined samples were split into training (100% BriTROC-1, 70% PCAWG-OV and 70% TCGA = 417) and test (30% PCAWG-OV

755 and 30% TCGA = 158) cohorts. All of the BriTROC-1 samples were used in the training set to 756 avoid issues calculating prediction performance on left-truncated data. 757 758 Cox regression: As the signature exposures for a given sample summed to 1, it was necessary to 759 select one normalizing signature to perform regression. Signature 5 was chosen as it showed the 760 lowest variability across the cohorts. To avoid division errors all 0 signature exposures were 761 converted to 0.02. The remaining signature exposures were normalized taking the log ratio of their 762 exposure to signature 5's exposure. A Cox proportional hazards model was fitted on the training 763 set, with the signature exposures as covariates, stratified by cohort (BriTROC-1, PCAWG-OV:AU, 764 PCAWG-OV:US, TCGA) and age (<39; 40:44; 45:49; 50:54; 55:59; 60:64; 65:69; 70:74; 75:79; >80), using the survival package in Bioconductor<sup>65</sup>. After fitting, the model was used to predict risk 765 766 in the test set and performance was assessed using the concordance index calculation in the 767 survcomp package in Bioconductor<sup>47</sup>. A final Cox regression was performed using all data for 768 reporting of hazard ratios and p-values. Unsupervised clustering of patients using signature exposures 769 770 Hierarchical clustering of the exposure vectors of the 575 samples used in the survival analysis 771 was performed using the NbClust<sup>66</sup> package in R. The optimal number of clusters was 3 as 772 determined by a consensus voting approach across 23 metrics for choosing the optimal numbers 773 of clusters. 12/23 metrics reported 3 clusters as the optimal number. A Cox proportional hazards 774 model was fitted using the cluster labels as covariates, stratified by cohort (BriTROC-1, PCAWG-775 OV:AU, PCAWG-OV:US, TCGA) and age (<39; 40:44; 45:49; 50:54; 55:59; 60:64; 65:69; 70:74; 776 75:79; >80), using the survival package in Bioconductor<sup>65</sup>. Analysis of copy-number signature changes during treatment 777 778 Thirty-six BriTROC-1 cases with matched diagnosis and relapse samples were used to investigate 779 the effects of treatment on signature exposures. A linear model was fitted to test for treatment 780 effects with exposure at relapse as the dependent variable and exposure at diagnosis, age at 781 diagnosis, number of lines of chemotherapy, and days between diagnosis and relapse as 782 independent variables. Prior to fitting, age at diagnosis was centered and exposures transformed 783 by log(x+0.1) to ensure normality. Fitting was done using the lm() function in R.

To test whether signature exposures at diagnosis were predictive of platinum sensitivity, a generalized linear model with Binomial error was fitted using type of relapse (platinum-sensitive or platinum-resistant) as the dependent variable and exposure at diagnosis and age at diagnosis as independent variables.

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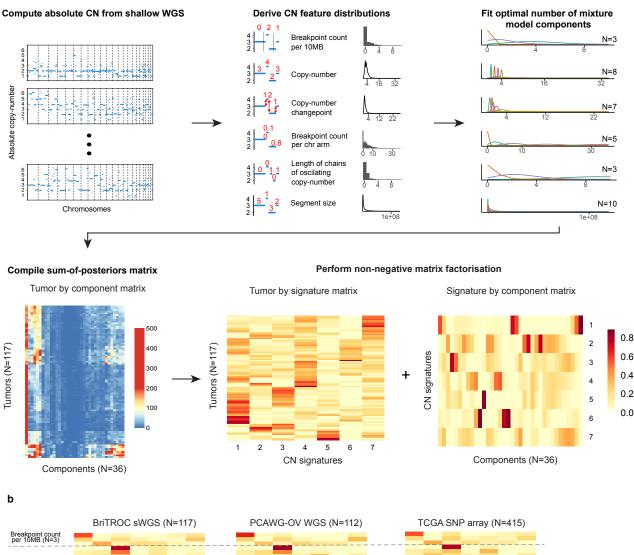
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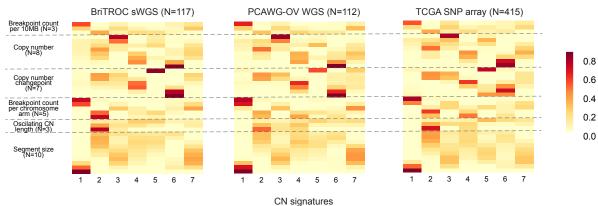
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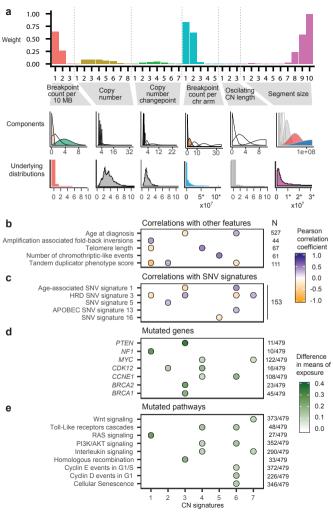
789	Data Availability
790 791 792 793 794	Sequence data that support the findings of this study have been deposited in the European Genome-phenome Archive with the accession code EGAS00001002557. All code required to reproduce the analysis outlined in this manuscript can be found in the following repository (see URL 3).
795	URLs
796	1. <a href="https://gmacintyre.shinyapps.io/sWGS">https://gmacintyre.shinyapps.io/sWGS</a> power/
797	2. <a href="https://www.cancergenomeinterpreter.org/home">https://www.cancergenomeinterpreter.org/home</a>
798	3. <a href="https://bitbucket.org/britroc/cnsignatures">https://bitbucket.org/britroc/cnsignatures</a>
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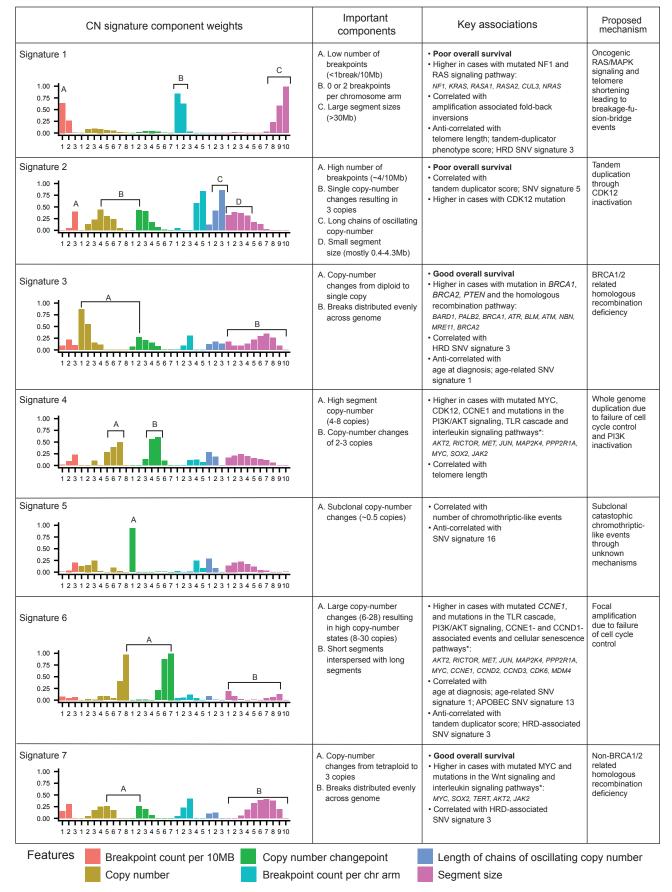
### 801 Methods-only References

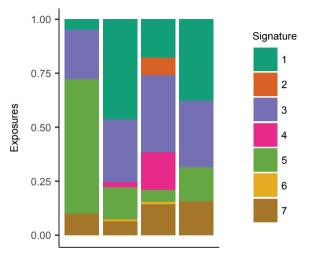
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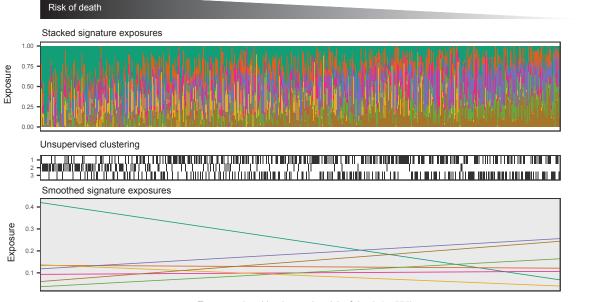








BRCA2 germline mutation carriers + somatic LOH (n=4)



CN signature

2

3

Tumors ordered by decreasing risk of death (n=575)