

Counting Statistics of Single Electron Transport in a Quantum Dot

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We have measured the full counting statistics of current fluctuations in a semiconductor quantum dot (QD) by real-time detection of single electron tunneling with a quantum point contact. This method gives direct access to the distribution function of current fluctuations. Suppression of the second moment (related to the shot noise) and the third moment (related to the asymmetry of the distribution) in a tunable semiconductor QD is demonstrated experimentally. With this method we demonstrate the ability to measure very low current and noise levels.

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Current fluctuations in conductors have been extensively studied because they provide additional information compared to the average current, in particular, for interacting systems [1]. Shot noise measurements demonstrated the charge of quasiparticles in the fractional quantum Hall effect [2] and in superconductors [3]. However, to perform such measurements for semiconductor quantum dots (QDs) using conventional noise measurements techniques is very challenging. This is because of the very low currents and the corresponding low noise levels in these systems. Earlier experiments demonstrated the measurement of shot noise in nontunable QDs [4,5], but to our knowledge, no experiments have been reported in the literature in which the tunnel barriers, and thereby the coupling symmetry, could be controlled [6].

An alternative way to investigate current fluctuations, introduced by Levitov *et al.*, is known as full counting statistics (FCS) [7]. This method relies on the evaluation of the probability distribution function of the number of electrons transferred through a conductor within a given time period. In addition to the current and the shot noise, which are the first and second moments of this distribution, this method gives access to higher order moments. Of particular interest is the third moment (skewness), which is due to breaking the time reversal symmetry at finite current. Experimentally, few attempts to measure the third moment have been made in tunnel junctions [8].

The most intuitive method for measuring the FCS of electron transport is to count electrons passing one by one through the conductor. Real-time detection of single electron transport has been experimentally investigated only very recently [9–11]. It is a challenging task since it requires a very sensitive, low-noise, and noninvasive electrometer, as well as a high-bandwidth circuit. Several devices, such as the single electron transistor [9,10] and the quantum point contact [12–16], have been demonstrated to have high enough sensitivity to detect single electrons in a QD. But, up to now, none of these experiments were able to extract the full counting statistics of electron transport.

Here we report on the real-time detection of single electron tunneling through a QD using a quantum point contact (QPC) as a charge detector. With this method, we can directly measure the distribution function of current fluctuations in the QD by counting electrons. To our knowledge, this is the first measurement of the full counting statistics for electrons in a solid state device. In addition, we can tune the coupling of the QD with both leads and measure the respective tunneling rates. We show experimentally the suppression of the second and third moments of the current fluctuations when the QD is symmetrically coupled to the leads.

Figure 1(a) shows the structure, fabricated on a GaAs-GaAlAs heterostructure containing a two-dimensional electron gas 34 nm below the surface [density $4.5 \times 10^{15} \text{ m}^{-2}$, mobility $25 \text{ m}^2(\text{Vs})^{-1}$]. An atomic force microscope (AFM) was used to locally oxidize the surface, thereby defining depleted regions below the oxide lines [17,18]. The measurements were performed in a $^3\text{He}/^4\text{He}$ dilution refrigerator with an electron temperature of about 350 mK, as determined from the width of thermally broadened Coulomb blockade resonances [6]. The charging energy of the QD is 2.1 meV and the mean level spacing is 200–300 μeV . The conductance of the QPC, G_{QPC} , was tuned close to $0.25 \times e^2/h$. We apply a dc bias voltage between the source and the drain of the QPC, $V_{\text{QPC}} = 500 \mu\text{V}$, and measure the current through the QPC, I_{QPC} , which depends on the number of electrons N in the QD.

In order to measure the current with a charge detector, one has to avoid that electrons travel back and forth between the dot and one lead or to the other lead due to thermal fluctuations [Fig. 1(b)]. This is achieved by applying a large bias voltage between source and drain, i.e., $|\pm eV/2 - E_d| \gg k_B T$, where E_d is the electrochemical potential of the dot and V is the symmetrically applied bias; see Figs. 1(a) and 1(c). An example of a time trace of the QPC current in this configuration is shown in Fig. 1(d). The number of electrons in the QD fluctuates between N and $N + 1$. Since this trace corresponds to the nonequilibrium

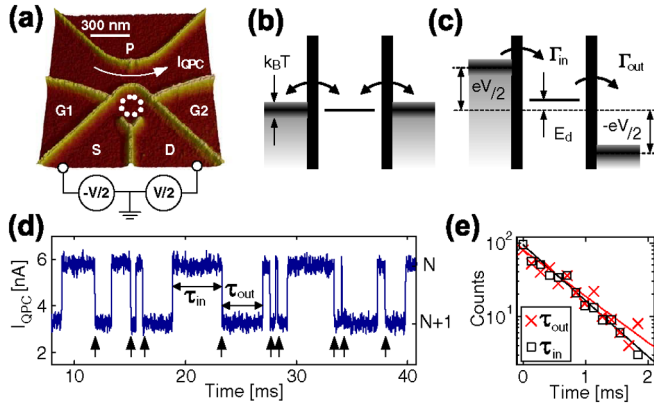


FIG. 1 (color online). (a) AFM micrograph of the sample consisting of a QD connected to two contacts S and D and a nearby QPC. $G1$, $G2$, and P are lateral gates allowing the tuning of the tunnel coupling to the source S , the coupling to the drain D , and the conductance of the QPC. $G1$ and $G2$ are also used to tune the number of electrons in the QD. A symmetric bias voltage V is applied between the source and the drain on the QD. (b),(c) Scheme of the quantum dot in the case of equilibrium charge fluctuations (b) and nonequilibrium charge fluctuations (c). (d) Time trace of the current measured through the QPC corresponding to fluctuations of the charge of the dot between N and $N + 1$ electrons. The arrows indicate transitions where an electron is entering the QD from the source lead. (e) Probability density of the times τ_{in} and τ_{out} (see text) obtained from time traces similar to the one in (d). The lines correspond to the expected exponential dependence (see the text), where the tunneling rates are calculated from $1/\Gamma_{S(D)} = 1/\Gamma_{in(out)} = \langle \tau_{in(out)} \rangle$.

rium regime, we can attribute each transition $N \rightarrow N + 1$ to an electron entering the QD from the source contact, and each transition $N + 1 \rightarrow N$ to an electron leaving the QD to the drain contact. The charge fluctuations in the QD correspond to a nonequilibrium process and are directly related to the current through the dot [10]. Because of the Coulomb blockade, only one electron at a time can enter the QD, which allows one to count electrons traveling through the system.

The first application of electron counting in the nonequilibrium regime concerns the determination of the individual tunneling rates from the source to the QD, Γ_S , and from the QD to the drain, Γ_D . Previous experiments determining the individual tunneling rates involved more than two leads connected to the QD [19]. In the trace of Fig. 1(d), the time τ_{in} corresponds to the time it takes for an electron to enter the QD from the source contact, and τ_{out} to the time it takes for the electron to leave the QD to the drain contact. For independent tunneling events, the tunneling rates can be calculated from the average of τ_{in} and τ_{out} on a long time trace [14], $1/\Gamma_{S(D)} = 1/\Gamma_{in(out)} = \langle \tau_{in(out)} \rangle$. To check that the tunneling events are indeed independent, we have compared the probability densities $p_{\tau_{in}}$ and $p_{\tau_{out}}$ with the expected exponential behavior $p(\tau_{in(out)}) = \Gamma_{S(D)} \times$

$\exp(-\Gamma_{S(D)}\tau_{in(out)})$. Figure 1(e) shows good agreement with our data. It is interesting to note that, in the case shown in Fig. 1(e), the QD is almost symmetrically coupled. We demonstrate here a very sensitive method to determine the symmetry of the coupling alternative to Ref. [20].

From traces similar to the one shown in Fig. 1(d), we can directly determine the statistical properties of sequential electron transport through the QD. We count the number n of electrons entering the QD from the source contact during a time period t_0 , i.e., the number of down steps in Fig. 1(d) (see arrows). We obtain the distribution function of n by repeating this counting procedure on $m = T/t_0$ independent traces, $T = 0.5$ s being the total length of the time trace. The resulting distribution functions are shown for two different values of the tunneling rates in Figs. 2(a) and 2(b).

The FCS theory allows one to determine the distribution function of the number n of electrons traveling through a conductor [7]:

$$P(n) = \int_{-\pi}^{\pi} \frac{d\chi}{2\pi} e^{-S(\chi) - n\chi}, \quad (1)$$

where $S(\chi)$ is the generating function, which has been calculated for a single level QD for large bias voltage $|\pm eV/2 - E_d| \gg k_B T$ [21]:

$$\frac{S(\chi)}{t_0} = [\Gamma_S + \Gamma_D - \sqrt{(\Gamma_S - \Gamma_D)^2 + 4\Gamma_S\Gamma_D e^{-i\chi}}]. \quad (2)$$

Here Γ_S and Γ_D are the effective tunneling rates, which take into account any possible spin degeneracy of the levels in the QD, and correspond to the tunneling rates we determine experimentally. We have calculated the theoretical distribution functions for the tunneling rates measured in the cases of Figs. 2(a) and 2(b) (solid lines). The agreement with the experimental distribution is very good, in particu-

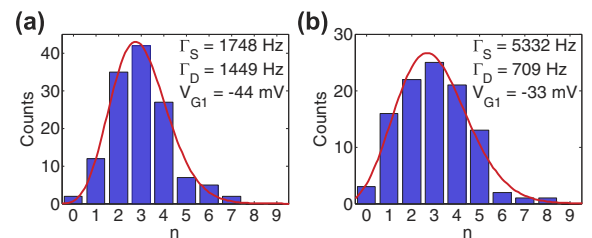


FIG. 2 (color online). Statistical distribution of the number n of electrons entering the QD during a given time t_0 . The two panels correspond to two different values of the tunneling rates, obtained for different values of the gate voltage V_{G1} . The time t_0 is chosen in order to have the same mean value of number of events, $\langle n \rangle \approx 3$, for both graphs. We have checked that this choice does not affect the results. The line shows the theoretical distribution calculated from Eqs. (1) and (2). The tunneling rates are determined experimentally by the method described in Fig. 1(e), and no fitting parameters have been used for the theoretical curves.

lar, given that no fitting parameters were used. Both graphs show a clear qualitative difference: Fig. 2(b) shows a broader and more asymmetric distribution than Fig. 2(a). We will see later that this difference comes from the different asymmetries of the tunneling rates.

In order to perform a more quantitative analysis, we calculate the three first central moments given by $\mu = \langle n \rangle$, and $\mu_i = \langle (n - \langle n \rangle)^i \rangle$ for $i = 2, 3$, where $\langle \dots \rangle$ represents the mean over T/t_0 periods of length t_0 . The first moment (mean) gives access to the mean current, $I = e\mu/t_0$, and the second central moment (variance) to the shot noise, $S_I = 2e^2\mu_2/t_0$ (for t_0 much larger than the correlation time). We are also interested in the third central moment, μ_3 , which gives the asymmetry of the distribution function around its maximum (skewness). An important difference to previous measurements of the third cumulant is that our method can be used to extract any higher order cumulants. For the data presented here, the accuracy of the higher cumulants is limited by the short length of the time traces.

We first focus on the mean μ of the distribution. By measuring μ as a function of the voltage applied on gate $G1$ and the bias voltage V , we can construct the so-called Coulomb diamonds [see Fig. 3(a)]. The Coulomb diamonds describe the charge stability of the QD, normally measured in standard transport experiments [6]. Here, we present a novel way of measuring Coulomb blockade diamonds by time-resolved detection of the electrons using a noninvasive charge detector. We observe clear Coulomb blockade regions as well as regions of finite current. As we increase the bias voltage, we see steps in the current. The first step in Fig. 3(b) (see left arrow) corresponds to the alignment of the chemical potential of the source contact with the ground state in the QD, and the following steps with excited states in the QD. From the resolution of the Coulomb diamonds, we see that the sample is stable enough such that background charge fluctuations do not play a significant role [22].

In addition to the mean, we have calculated the second and third central moments of the electron counting statistics. These two moments are shown in Fig. 3(b) for $V_{G1} = -44$ mV as a function of the bias voltage. The second moment (blue dotted line) reproduces the steps seen in the current. These two moments can be represented by their reduced quantities μ_2/μ (known as the Fano factor) and μ_3/μ , as shown in Fig. 3(c). Both normalized moments are almost independent of the bias voltage, and correspond to a reduction compared to the values $\mu_2/\mu = \mu_3/\mu = 1$ expected for classical fluctuations with Poissonian counting statistics. Super-Poissonian noise [23] is not expected in our configuration.

In a QD, one expects a reduction of the moments due to the fact that when one electron occupies the QD, a second electron cannot enter. This leads to correlations in the current fluctuations and to a reduction of the noise. The reduction is maximal when the tunnel barriers are sym-

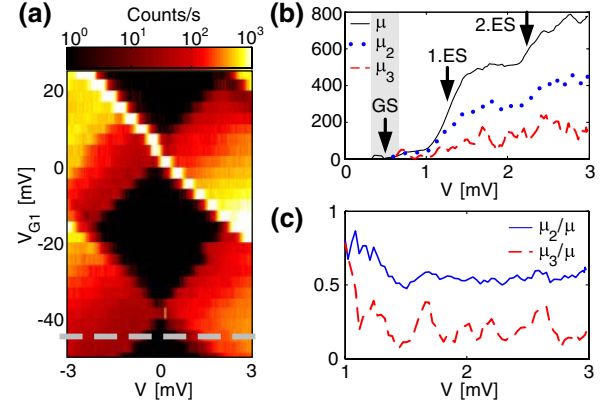


FIG. 3 (color online). (a) Average number of electrons entering the QD, μ , measured as a function of the gate voltage V_{G1} and the bias voltage V . Far from the edges of the Coulomb blockade region, i.e., for $|\pm eV/2 - E_d| \gg k_B T$, the fluctuations of n are directly related to current fluctuations. The dashed line corresponds to the cross section shown in panel (b). (b) Three first moments of the fluctuations of n as a function of the bias voltage V and at a given gate voltage $V_{G1} = -44$ mV. The ground state (GS) as well as two excited states (ES) are clearly visible. The moments are scaled so that μ corresponds to the number of electrons entering the QD per second. In the gray region, the condition $|\pm eV/2 - E_d| \gg k_B T$ is not valid, and the number of electrons entering the QD cannot be taken as the current flowing through the QD. The width of this region is $9 \times k_B T/e \approx 300 \mu\text{V}$, determined from the width for which the Fermi distribution is between 0.01 and 0.99. (c) Normalized second and third moments as a function of the bias voltage V and at a given gate voltage $V_{G1} = -44$ mV.

metric. For an asymmetrically coupled QD, the transport is governed by the slow barrier and the noise recovers the value for a single tunneling barrier. The normalized moments for a single level QD at large bias voltage can be expressed as a function of the asymmetry of the tunneling rates, $a = (\Gamma_S - \Gamma_D)/(\Gamma_S + \Gamma_D)$ [21]:

$$\frac{\mu_2}{\mu} = \frac{1}{2}(1 + a^2) \quad \text{and} \quad \frac{\mu_3}{\mu} = \frac{1}{4}(1 + 3a^4). \quad (3)$$

The second central moment recovers earlier calculations of the Fano factor in a QD [24]. We see in these equations that both moments are reduced for a symmetrically coupled QD (i.e., $a = 0$), and tend to the Poissonian values for an asymmetrically coupled QD (i.e., $a = \pm 1$).

Reduction of the second moment (shot noise) due to the Coulomb blockade has already been reported in the case of asymmetrically coupled QDs [4,5]. In these experiments, reduction of the shot noise occurs due to bias voltage dependent effective tunneling rates [24]. Here we report the reduction of the second as well as the third moment for a fully controllable QD. In particular, we are able to continuously change the tunneling rates: by changing the gate voltage V_{G1} , we change the chemical potential in the QD, and also the asymmetry of the coupling by changing the

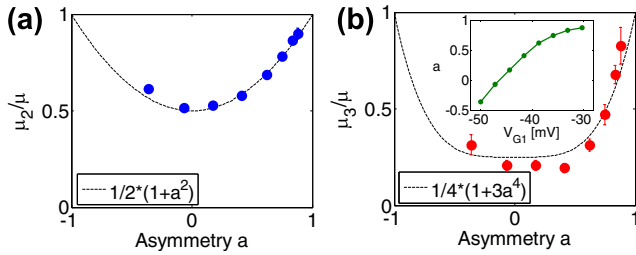


FIG. 4 (color online). (a) Second and (b) third normalized central moments of the fluctuations of n as a function of the asymmetry of the tunneling rates, $a = (\Gamma_S - \Gamma_D)/(\Gamma_S + \Gamma_D)$. To increase the resolution, each point at a given asymmetry is obtained by averaging over about 50 points at a given voltage V_{G1} and in a window of bias voltage $1.5 < V < 3$ mV. Error bars correspond to the standard error of this averaging process and are of the size of the points if not shown. The dashed lines are the theoretical predictions given by Eq. (3). No fitting parameters have been used, since the tunneling rates are fully determined experimentally [see Fig. 1(e) and text]. Inset of (b): Variation of the asymmetry of the tunneling rates, a , as a function of V_{G1} .

opening of the source lead. The tunneling rates can be directly measured as described in Fig. 1(e), and the inset of Fig. 4(b) shows the variation of asymmetry with gate voltage in the region of interest. In Figs. 4(a) and 4(b), we show the normalized second and third central moments as a function of the asymmetry a . The experimental data follow the theoretical predictions given by Eq. (3) very well. We note, in particular, that no fitting parameters have been used since the tunneling rates are determined experimentally.

Our ability to measure the counting statistics of electron transport relies on the high sensitivity of the QPC as a charge detector. The counting process that we demonstrate in this Letter was not possible in previous experiments with the accuracy required for performing a statistical analysis [10]. Given the bandwidth of our experimental setup, $\Delta f = 30$ kHz, the method allows one to measure currents up to 5 fA, and we can measure currents as low as a few electrons per second, i.e., less than 1 aA. The low-current limitation is mainly given by the length of the time trace and the stability of the QD, and is well below what can be measured with conventional current meters. In addition, as we directly count electrons one by one, this measurement is not sensitive to the noise and drifts of the experimental setup. It is also a very sensitive way of measuring low-current noise levels. Conventional measurement techniques are usually limited by the current noise of the amplifiers (typically 10^{-29} A²/Hz) [2,4,5]: here we demonstrate a measurement of the noise power with a sensitivity better than 10^{-35} A²/Hz.

In conclusion, we have measured current fluctuations in a semiconductor QD, using a QPC to detect single electron traveling through the QD. We show experimentally the reduction of the second and third moments of the distribu-

tion when the QD is symmetrically coupled to the leads. This ability to measure current fluctuations in a QD, as well as the very low-noise level we demonstrate here, opens new possibilities towards measuring electronic entanglement in quantum dot systems [25,26].

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