Received 19 December 2019; revised 18 January 2020; accepted 19 January 2020. Date of publication 28 January 2020; date of current version 28 February 2020. Digital Object Identifier 10.1109/OJCOMS.2020.2969751

Coverage Enhancement for NLOS mmWave Links Using Passive Reflectors

WAHAB KHAWAJA[®]¹, OZGUR OZDEMIR² (Member, IEEE), YAVUZ YAPICI[®]² (Member, IEEE), FATIH ERDEN[®]², AND ISMAIL GUVENC[®]² (Senior Member, IEEE)

¹ Department of Computer Systems Engineering, Mirpur University of Science and Technology, Mirpur 10250, Pakistan

²Department of Electrical and Computer Engineering, North Carolina State University, Raleigh, NC 27606 USA

CORRESPONDING AUTHOR: W. KHAWAJA (e-mail: wkhawaj@ncsu.edu)

This work was supported in part by NASA through the Federal Award under Grant NNX17AJ94A, and in part by DOCOMO Innovations.

ABSTRACT The future 5G networks are expected to use millimeter wave (mmWave) frequency bands to take advantage of the large unused spectrum. However, due to the high path loss at mmWave frequencies, coverage of mmWave signals can get severely reduced, especially for non-line-of-sight (NLOS) scenarios as mmWave signals are severely attenuated when going through obstructions. In this work, we study the use of passive metallic reflectors of different shapes/sizes to improve 28 GHz mmWave signal coverage for both indoor and outdoor NLOS scenarios. We quantify the gains that can be achieved in the link quality with metallic reflectors using measurements, analytical expressions, and ray tracing simulations. In particular, we provide an analytical model for the end-to-end received power in an NLOS scenario using reflectors of different shapes and sizes. For a given size of the flat metallic sheet reflector approaching to the size of the incident beam, we show that the reflected received power for the NLOS link is the same as line-of-sight (LOS) free space received power of the same link distance. Extensive results are provided to study the impact of environmental features and reflector characteristics on NLOS link quality.

INDEX TERMS Coverage, indoor, mmWave, non-line-of-sight (NLOS), outdoor, PXI, ray tracing (RT), reflector.

I. INTRODUCTION

THE USE of smart communication devices and the higher data rate applications supported by them have seen a surge in the recent decade. These applications require higher communication bandwidths, whereas the available sub-6 GHz spectrum is reaching its limits due to spectrum congestion. With the opening of millimeter wave (mmWave) spectrum by FCC [1], various research efforts are underway to use mmWave spectrum for future 5G communications. However, a major bottleneck for propagation at mmWave frequencies is the high free space attenuation, especially for the non-line-of-sight (NLOS) paths. This makes radio frequency planning very difficult for long distance communications.

Various solutions to this problem have been proposed in the literature, including, high transmit power, high sensitivity receivers, deployment of multiple access points or repeaters, and beam-forming. However, there are limitations to each of these solutions. Increasing the transmit power beyond a certain level becomes impractical due to regulations, whereas the receiver sensitivity, is constrained by the sophisticated and expensive equipment required. Similarly, using a large number of access points may not be feasible economically. The beam-forming requires expensive, complex and power hungry devices, and it may still suffer from NLOS propagation.

A convenient solution for NLOS mmWave signal coverage is by introducing metallic passive reflectors. This stems from the fact that electromagnetic waves behave similarly to light [2]. The reflection properties of electromagnetic waves are better at higher frequencies due to smaller skin depth [3] and lower material penetration. Similarly, the diffraction around the edges of reflectors is smaller at mmWave frequencies. These reflectors can act similar to a

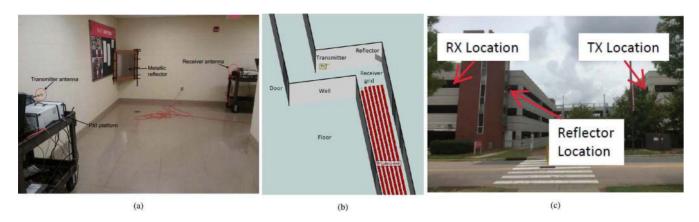


FIGURE 1. (a) Measurement setup in the basement corridor of Engineering Building II at North Carolina State University for a flat square sheet aluminum reflector $0.61 \text{ m} \times 0.61 \text{ m}$, oriented at an azimuth angle of 45° with respect to the boresight of the transmit antenna, (b) simulation environment of the measurement scenario in Wireless InSite, and (c) measurement setup for the outdoor scenario.

communication repeater but can operate without electricity and negligible maintenance. They have longer life spans, and small initial investment cost when compared with repeaters consisting of active elements. They may even be part of everyday objects, such as street signs, lamp posts, advertisement boards, that can additionally improve mmWave signal coverage.

Passive metallic reflectors have been studied and employed in the past for long distance satellite communications [4]-[6]. However, these studies are limited to point-to-point links, whereas, for cellular networks, we may require wide coverage. There are also limited studies available for downlink communications using passive reflectors [7], [8]. This is due to the fact that most of the downlink civilian communications operate at sub-6 GHz, where the communication radius is in the kilometer range and few communication repeaters are required. Due to the large wavelength, the electromagnetic waves can easily penetrate through most of the building structures without high attenuation, resulting in mostly NLOS communications for the downlink. On the other hand, mmWave signals observe higher free space path loss and higher penetration loss due to smaller wavelengths. As a result, the communication radius generally shrinks to few hundred of meters. This requires a large number of communication repeaters for the downlink and commonly used active repeaters may not be feasible.

The studies available to date in the literature on using passive reflectors for mmWave coverage enhancement are limited. In [9], indoor coverage analysis at 60 GHz was carried out due to reflections using simulations. It was observed that at 60 GHz, the coverage in the NLOS was dependent solely on the reflections. A parabolic passive reflector is used for outdoor coverage enhancement at mmWave frequencies in [10], that reflects incoming signal power from the base station to users in the building shadowed zones. Numerical results indicate better coverage in the shadowed zones using reflectors. In [11], a parabolic reflector is used behind a patch antenna operating at 60 GHz of a hand held device. A gain of 19 dB - 25 dB is reported after the introduction of a parabolic reflector that helps to counter the finger shadowing while operating the device. In [12], reflecting properties of different building materials both in the indoor and outdoor environments were calculated using channel measurements at 60 GHz.

The directional propagation for mmWaves requiring high gain (narrow beamwidths) are ideal for reflector based propagation. Due to narrow beamwidths in the azimuth and elevation planes for mmWave propagation, a metallic reflector can be used to efficiently collect the power density and redirect it. However, for sub-6 GHz, the use of reflectors for redirecting of incoming power is less efficient due larger spread of transmission compared to mmWave.

To the best of our knowledge, there are no empirical studies available in the literature on the use of metallic reflectors for downlink coverage enhancement at 28 GHz except our previous works [13], [14]. This work is a major extension of our earlier studies [13], [14], where key our contributions and findings can be summarized as follows.

1) We have performed measurements for indoor and outdoor NLOS mmWave propagation scenarios at 28 GHz using National Instruments PXI platform shown in Fig. 1. Different sizes and shapes of metallic reflectors are used for enhancing coverage in the NLOS region. The received power was measured over an NLOS grid in an indoor corridor. Ray tracing simulations were also carried out in a similar environment at 28 GHz and other center frequencies. For the outdoor, the received power was measured at a given NLOS point at different azimuth and elevation angles, in the presence and absence of flat metallic sheet reflectors.

2) An analytical model for end-to-end reflected received power is developed for NLOS propagation. The model is obtained by considering reflectors as secondary sources of transmission towards the receiver, and it is applicable for different shapes and sizes of the reflectors. The received power due to first order reflections from the flat metallic sheet reflector of a given size approaches to line-of-sight (LOS) received power. However, for a nonmetallic reflector, the received power is significantly smaller compared to a metallic reflector. The reduction in the received power for non-metallic reflectors is mainly due to absorption.

3) The received power due to first order reflections is dependent on the size of the incident beam given by the poynting vector. Once the size of the reflector is equivalent to the size of the incident beam, the received power is independent of the size of the reflector. Similarly, we used a secondary reflector of size comparable to the primary reflector in order to further steer the power in another direction.

4) For flat reflectors, the reflected received power is mainly dependent on the orientation of the transmitter and receiver antenna's boresight with the surface normal of the reflector. The received power also varies from small to large sized reflector for a fixed transmitter and receiver orientation. If the size of the flat reflector is smaller than the size of the incident beam, interference fringes are expected to occur. These interference fringes arising due to wavelets generated by the edges and reflected beam from the center results in overall reduction of the received power. However, if the size of the flat reflector is comparable or larger than the size of the incoming beam, the wavelets arising from the edges are weaker compared to the reflected power from the central part. Therefore, a larger received power is observed for a large sized flat reflector compared to a small sized flat reflector. For outward curved reflectors, e.g., cylinder and sphere, the orientation of the reflector towards the receiver is less significant compared to flat reflectors. This is because curved reflectors diverge the incoming energy in different directions.

Proposed work can find applications in various scenarios. For example, the metallic reflectors (either installed separately or already present metallic structures) can be placed/oriented indoors in the propagation path of routers, access points, or pico base stations, which do not have a direct LOS with the mmWave transmitter. This can help in extending the coverage to NLOS areas. Similarly, for outdoors, signboards and advertisement boards (if properly oriented) can act as reflectors for cellular network's coverage enhancement.

II. REFLECTION CHARACTERISTICS AND ASSUMPTIONS

In this section, we will discuss the reflection characteristics of metallic reflectors, size of incident beam obtained through measurements, and the effective area of different shaped reflectors.

A. FACTORS AFFECTING THE REFLECTION CHARACTERISTICS

Any solid object in the path of the radio waves can act as a reflector. The reflection characteristics for radio waves are dependent on the following main factors: 1) radiation pattern

of the transmit antenna, 2) size and material of the reflector, 3) shape of the reflector, 4) orientation of the reflector (for flat reflectors). Moreover, the diffraction around the edges of the reflector also affects the reflection characteristics. For curved reflectors the diffracted energy is smaller than the flat reflectors.

Reflectors can be used effectively for steering directional transmissions to desired NLOS regions. The directional transmission from a wave-guide antenna, e.g., horn can be approximated to diverging beam with spherical wavefronts. This beam has a given area (provided by the poynting vector) and shape. The shape and size of transmitted beam is dependent on the radiation pattern of the antenna.

The size of the reflector is an important factor that affects the amount of reflected power. The size of the reflector should be at least equal to the size of the incident beam. This ensures maximum reflected power. However, increasing the size of the reflector beyond the size of core area of the beam will not contribute significantly to the increase in the reflected power. Moreover, the material of the reflector also affects the reflected power. If the material is a perfect conductor with polished surface, we have maximum reflected power. In such a case, the skin depth is zero. However, if the material is not a perfect conductor, then we have additional power losses due to absorption.

There is a relationship between the distance of the transmitter from the reflector and the size of the reflector discussed in Section III-B. If the distance between the transmitter and reflector is large, we need large-sized reflectors and vice versa in order to obtain the same power densities at the receiver. However, if the distance between the transmitter and reflector is extremely large and the link is obstructed, then the propagation through reflector may not work.

The shape of a reflector determines the reflection pattern. Radar cross section (RCS) can be used to distinguish the reflection pattern of different shaped reflectors. Flat reflectors have larger RCS compared to the curved shaped reflectors. Additionally, the RCS pattern is highly directive for flat reflectors. For curved shaped reflectors including cylinder and sphere, the reflection characteristics are mainly dependent on the curve angle. For a given curve angle, the incident beam can either converge or diverge in different directions. Other complex shape reflectors such as saw-tooth reflectors can be employed for obtaining different scattering patterns.

As discussed earlier, the orientation of flat reflectors are important for obtaining maximum reflected power. The boresight of transmitter and receiver antennas should be aligned towards the center of the reflector. This results in maximum reflected power. However, it was observed during measurements that the region of maximum reflection is different for different sizes of reflectors. This is mainly due to the diffraction from the edges of the reflectors. On the other hand, for the curved shaped reflectors, change in geometrical orientation is generally not required. For curved reflectors, reflected power is dependent on the inherent curve angle in the azimuth and elevation planes.

The position of the reflector relative to transmitter and receiver determines the maximum coverage. For example, if it is required to provide coverage to a number of users located along a narrow straight NLOS path, flat reflectors will be preferred. The position and size of these flat reflectors will depend on the antenna radiation pattern, distance and position of the transmitter relative to the users.

B. CORE AREA OF BEAM THROUGH MEASUREMENTS

The radiation from all practical antennas is in the form of wavefronts. Furthermore, we can assign rays (that are perpendicular to the wavefronts) to different regions of the wavefronts. These rays provide antenna gain at specific regions in space during propagation. Therefore, theoretically, the minimum area of a reflector required to steer the maximum energy from a source antenna is equal to the area spanned by the ray with maximum gain (17 dBi in our case). However, for small sized reflectors, it becomes extremely difficult to align the incoming ray (with maximum gain) with the maximum gain region (17 dBi) of the receiving antenna. Therefore, we require a given size of the reflector for steering the energy towards the receiver conveniently.

In our measurements, we used horn antennas. The beam from horn antenna is approximated as diverging beam with spherical wavefronts. This transmitted beam has a power density given by the poynting vector S [15]. This poynting vector S spans a given area in space called core area of *beam* represented as $A_{\rm c}$. In the rest of the paper, we refer to the core beam area simply as the core area. The area, $A_{\rm c}$ is comparable to the area spanned by the half power antenna radiation beamwidths in the azimuth and elevation planes on a sphere at far field distance. The area, A_c can provide us an estimate of the minimum area of a reflector required to steer the maximum energy conveniently from the transmitter to the receiver. In order to find A_c , we conducted a simple experiment in the lab. The setup is shown in Fig. 2. We used PXI transmitter, receiver setup, and metallic square sheet reflectors of sizes in the range from 0.12 m \times 0.12 m to $0.30 \text{ m} \times 0.30 \text{ m}$.

The transmit power was kept at 0 dBm. The transmitter and the receiver antennas were placed at a distance of 1 m from each other. The reflector was placed on a tripod such that it had equal distance from the transmitter and receiver antennas. The reflector was placed at 1.2 m, and 3.6 m distance from the transmitter/receiver antennas. The height of the transmitter and the receiver antennas were kept at 1.3 m from the ground. The transmitter and the receiver antennas pointed to the center of the sheet reflectors. The tripod carrying reflector was rotated around its center in order to observe the maximum reflected power. This was performed in order to capture the maximum flux. The time resolution of our PXI setup is 0.65 ns per sample. Therefore, we can distinguish reflections from any two objects at a physical distance of 19.5 cm. These reflections are observed as multipath



FIGURE 2. Verification setup using flat square metallic sheet reflectors of different sizes at 1.2 m away from the transmitter and receiver antennas.

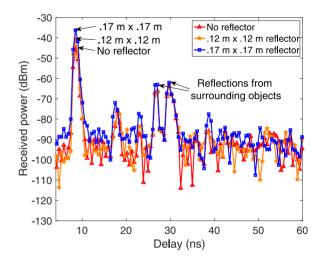


FIGURE 3. PDP showing received power (from individual MPCs) for no reflector and flat square metallic sheet reflectors of sizes $0.12 \text{ m} \times 0.12 \text{ m}$ and $0.17 \text{ m} \times 0.17 \text{ m}$. This PDP corresponds to a distance of 2.4 m (two-way) from the transmitter to the receiver via the reflector. The time of flight for the reflector position is 8 ns.

components (MPCs) in the power delay profile (PDP). The PDP when the reflector is placed at 1.2 m from the transmitter/receiver antennas is shown in Fig. 3. The reflections from the reflector were observed at 8 ns (2.4 m; two-way distance) for different sizes of reflectors.

The maximum reflected received powers from different sized metallic sheet reflectors are shown in Fig. 4. These reflected powers are obtained from the PDP shown in Fig. 3. The first received power in Fig. 4 for no reflector is due to reflections from the tripod body. Increasing the size of the reflector results in an increase of the received power. However, it becomes constant after a given reflector size. This received power approaches to the free space LOS power (given by Friis equation) at the given distance. Moreover, this reflector size corresponds to approximately the size of the beam's core at that distance from the transmitter antenna. However, after 7.2 m (two-way distance), there is no further increase in received power beyond

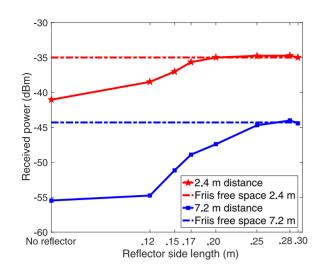


FIGURE 4. Maximum received power due to flat square metallic reflectors of different sizes at two different distances of the transmitter to the receiver via the reflector. Friis free space received power is also plotted at given distances for comparison.

0.25 m×0.25 m. Therefore, this reflector area can be approximated to the core area of the transmitted beam given by A_c . Overall, the shape of the incoming wavefront is preserved after reflection from the flat reflector.

In order to further verify the approximate core of the beam at large distances, we extended the same experiment to the corridor of a basement shown in Fig. 5. The size of the first reflector was 0.25 m \times 0.25 m. The received power from this reflector was first measured at 49.5 m distance from the transmitter. This was later the position of the second reflector, shown in Fig. 5(b). The received power at this position was the same as the Friis free space power. The received power was also measured at another position, shown in Fig. 5(c) at 55.5 m distance from the transmitter. Here, the second reflector of size 0.35 m \times 0.35 m was introduced at 49.5 m distance from the transmitter. At this position also, the received power was the same as the Friis free space power. However, the size of the second reflector required was larger than the first reflector in order to get to the Friis free space power. This is mainly due to diffraction losses from the edges of the reflectors.

C. EFFECTIVE AREA OF DIFFERENT SHAPED REFLECTORS

The effective reflector area is the area that captures and redirects the incoming beam towards the receiver. The effective area is, therefore, similar to the RCS of the reflector. For flat reflectors this effective area is $A_{refl} = wh$, where w and h are the width and height of the reflector, respectively. For the cylindrical reflector, the effective area for our setup (cylinder placed vertically) is a fraction of $2\pi rh$, where r and h represent the radius and height of the cylinder, respectively. This effective area corresponds to the width of the receiver grid shown in Fig. 6(c). This effective area is calculated based on the angle $\Delta \Psi$ (in radian) from the geometrical setup. The height of the cylinder is scaled to the height of the incident beam. The overall effective area is $A_{\text{refl}} = \Delta \Psi r \sqrt{A_c}$.

The reflected energy from the sphere is scaled in both azimuth and elevation planes. Therefore, the effective area is obtained based on the solid angle from the reflector towards the receiver grid. The solid angle is approximated as the product of the angular widths in the azimuth and elevation planes denoted as $\Delta\Psi$, $\Delta\Omega$, respectively, shown in Fig. 6(d). The width in the azimuth plane corresponds to the width of the receiver grid. The width in the elevation plane corresponds to a small region around the receiver antenna. Therefore, the effective area for our setup is $A_{\text{refl}} = \Delta\Psi\Delta\Omega R_{\text{m}}^2$, where R_{m} is the minimum distance of the receiver antenna from reflector.

III. MODELING END-TO-END NLOS RECEIVED POWER

In this section, we will provide an analytical model for NLOS end-to-end received power using reflectors of different sizes and shapes.

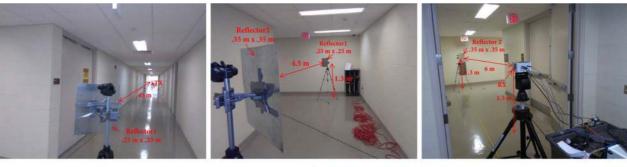
A. TOTAL RECEIVED POWER

In this subsection, we provide the total received power modeling for NLOS end-to-end propagation in the presence of reflectors. The NLOS area is such that there is a direct path between the transmitter and the receiver through the reflector. Fig. 6 shows typical indoor and outdoor NLOS propagation scenarios with a reflector placed at specific positions. In our modeling, we consider the reflector as a secondary transmission source. Therefore, a major contribution of the received power comes from first order reflections. In addition to first order reflections, second-order reflections are also significant for large-sized primary reflectors. Moreover, there are also other sources in the surroundings that contribute to the total received power. Taking all these into account, the total NLOS received power P can be represented as:

$$P = P_{\rm refl}^{(1)} + P_{\rm refl}^{(2)} + P_{\rm olos} + P_{\rm s},$$
(1)

where $P_{\text{refl}}^{(1)}$ and $P_{\text{refl}}^{(2)}$ are the received powers due to first and second-order reflections from the reflectors, respectively, P_{olos} is the power from the obstructed LOS (OLOS) path and P_{s} is the received power from other surrounding objects. We have considered the contribution of only two dominant reflectors to the received power, however, it can be extended to any number of reflectors. Moreover, the received power from P_{olos} is independent of the reflector/s and not necessarily exist for every NLOS scenario, whereas, P_{s} is generally always present and can change with the position or size of the reflector.

The reflected received power $P_{\text{refl}}^{(1)}$ and $P_{\text{refl}}^{(2)}$ can be directed to single or multiple users. The multiple receiver grid positions in Fig. 6(a) can represent multiple users. Without reflectors, these users will be in outage (if their receiver sensitivities are greater than -70 dBm). However, after introducing reflectors, many of the users will be out of outage. Moreover, the multiple users can scheduled using different



(a)

(b)

(c)

FIGURE 5. (a) A 0.25 m \times 0.25 m flat square metallic sheet reflector placed at 45° (azimuth) with respect to the boresight of the transmit antenna. The distance from the transmitter to the reflector is 45 m. (b) A secondary reflector of size 0.35 m \times 0.35 m placed at the azimuth plane as the first reflector, oriented at 45° with respect to the receiver antenna's boresight. The two reflectors are apart by a distance of 4.5 m. (c) A receiver placed at 6 m distance from the secondary reflector.

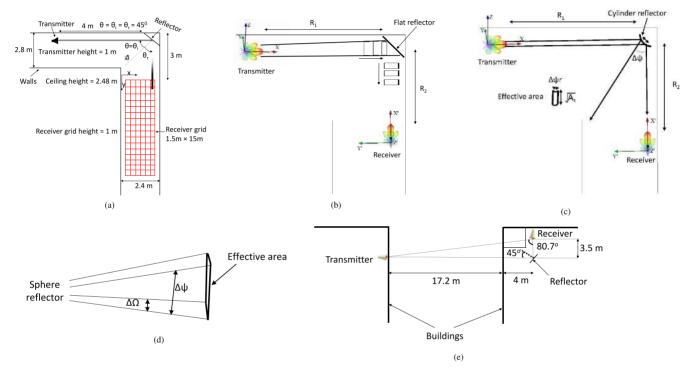


FIGURE 6. (a) Geometrical model in the azimuth plane for the indoor scenario with a reflecting surface deployed at the corner of a corridor, (b) top view (azimuth plane) for the transmitted and reflected beam from a flat reflector, (c) top view for transmission and reflection on the receiver grid corresponding to the effective area of the cylinder reflector, (d) side view of secondary transmission from the sphere reflector corresponding to its effective area, and (e) top view of the layout of the outdoor measurement scenario.

techniques to avoid mutual interference. For example, users at the same beam direction can be scheduled at different resource blocks (orthogonal-multiple access [16]). If they are well separated in power domain, they can also be scheduled using non-orthogonal multiple access techniques [16].

B. FIRST ORDER REFLECTED POWER MODELING

The received power due to first order reflections from the reflector contributes mainly to the total received power. In addition, for flat reflectors, the orientation of the reflector with respect to transmitter and receiver antennas is important. However, for curved reflectors, the orientation is less significant. The received power due to first order reflections from the reflector is calculated based on the transmit power density. The transmitted power density at the reflector is denoted as $p_{\text{refl}}(R_1)$, at distance R_1 from transmitter [17], and given as:

$$p_{\text{refl}}(R_1) = \frac{P_{\text{tx}}G_{\text{tx}}(\theta_{\text{tx}}, \phi_{\text{tx}})}{4\pi R_1^2},$$
(2)

where P_{tx} and $G_{tx}(\theta_{tx}, \phi_{tx})$ are the transmitted isotropic power and gain (directivity) of the transmit antenna at respective azimuth and elevation angles of θ_{tx} and ϕ_{tx} . This power density is received by the reflector with a given effective area A_{refl} (see Section II-C). The effective area A_{refl} , that captures and redirects the incoming power density towards the receiver is also known as the RCS, denoted by σ . In other words, the reflector acts as a secondary source of transmission towards the receiver. This secondary transmission can be explained by Huygens' principle [18].

The captured and redirected power density from the reflector is denoted as $p_{refl}(R_1, R_2)$, where R_2 is the distance of the reflector to another reflector or the receiver. The redirected power density $p_{refl}(R_1, R_2)$ is given by:

$$p_{\text{refl}}(R_1, R_2) = \frac{P_{\text{tx}}G_{\text{tx}}(\theta_{\text{tx}}, \phi_{\text{tx}})}{(4\pi R_1 R_2)^2} \sigma \Gamma, \qquad (3)$$

where Γ is the reflection efficiency of the first reflector material. If the reflector is a conductor with a polished surface, then, $\Gamma = 1$. However, for dielectrics or lossy reflector materials, $\Gamma < 1$. The incident beam after reflection from the reflector travels towards the receiver shown in Fig. 6(b). If $A_{\rm rx}$ is the receiver antenna's aperture, then the received power captured at the receiver antenna, $P_{\rm r}^{(1)}(R_1, R_2)$ is given by:

$$P_{\text{refl}}^{(1)}(R_1, R_2) = p_{\text{refl}}(R_1, R_2) A_{\text{rx}},$$
(4)

$$P_{\text{refl}}^{(1)}(R_1, R_2) = p_{\text{refl}}(R_1, R_2) G_{\text{rx}}(\theta_{\text{rx}}, \phi_{\text{rx}}) \frac{\lambda^2}{4\pi}, \qquad (5)$$

where $G_{rx}(\theta_{rx}, \phi_{rx})$ is the gain of the receiver antenna at respective azimuth and elevation angles. The reflected received power is also dependent on the physical orientation of the transmitter, receiver antenna's boresight, and the (*flat*) reflector's surface normal.

In order to get maximum received power, the angles between the transmitter and receiver antenna's boresight with the reflector's surface normal should be the same. Let θ_i and $\theta_{\rm r}$ represent the angles formed between the unit vectors of the boresight of the transmitter and receiver antennas, with the unit normal of the reflector, respectively, in the azimuth plane. This is shown in Fig. 6(a). Similarly, ϕ_i , ϕ_r represent the corresponding angles in the elevation plane. In our setup (both indoor and outdoor) the transmitter antenna and reflector are fixed, therefore, θ_i and ϕ_i are constant. Let us represent, $\Delta \theta = |\theta_{opt} - \theta_r|$, where θ_{opt} is the optimum reflection angle corresponding to the transmit antenna's boresight and surface normal of the reflector. For example, for our indoor setup in Fig. 6(a), $\theta_{opt} = 45^{\circ}$. The change in $\Delta \theta$ due to shifting from optimum angle results in an exponential decrease in the received power given by $\alpha_f^{\Delta\theta}$, where $\alpha_f < 1$. The constant $\alpha_{\rm f}$ incorporates the decrease due to shift from the maximum reflected power region and decrease due to receiver antenna's gain compared to the boresight. Similarly, the decrease in the elevation plane can be represented by $\alpha_{\rm f}^{\Delta\phi}$.

Moreover, divergence of the incoming power due to diffraction from the flat reflector depends on the size of the reflector. For small sized reflectors, the diffraction is large. This is because the area of the beam with significant power density (near the core area) experiences diffraction around the edges. In contrast, for large sized reflectors, the core area of the beam is incident at the center of the reflector. The area of the beam incident on the edges has significantly smaller

VOLUME 1, 2020

power. Therefore, the diffraction for large sized reflector is smaller compared to the small sized reflector. From Fig. 7 we can calculate the Fresnel-Krichhoff diffraction parameter v_1 for single edge diffraction in the azimuth plane as follows [19]:

$$v_1 = h_{\sqrt{\frac{\lambda(d_1 + d_2)}{2d_1 d_2}}},$$
(6)

where *h* is the height from the line joining the transmitter and receiver antennas, and d_1 , d_2 are the distances of the edge of the reflector from transmitter and receiver, respectively as shown in Fig. 7. Similar results are obtained in the elevation plane. The diffraction loss is represented as $\beta(v_1)$. Now, the received power from (5) is given as

$$P_{\text{refl}}^{(1)}(R_1, R_2) = \frac{p_{\text{refl}}(R_1, R_2)G_{\text{rx}}(\theta_{\text{rx}}, \phi_{\text{rx}})\lambda^2}{4\pi} \times \alpha_{\text{f}}^{\Delta\theta}\alpha_{\text{f}}^{\Delta\phi}\beta(\nu_1)\Gamma,$$
(7)

if the orientations are perfect, i.e., $\theta_i = \theta_r = \theta_{opt}$, $\phi_i = \phi_r = \phi_{opt}$, and the reflector is perfect polished conductor with $\Gamma = 1$. Moreover, if the RCS of the reflector is equal or larger than the area of the incident beam, denoted by σ' , then, the reflected received power in (7) approaches to Friis free space received power. In other words, all the transmitted power is captured by the reflector and redirected towards the receiver at a given angle. Therefore, we can equate (7) and Friis free space equation [20] equal to each other as follows:

$$\frac{P_{\text{tx}}G_{\text{tx}}(\theta_{\text{tx}},\phi_{\text{tx}})G_{\text{rx}}(\theta_{\text{rx}},\phi_{\text{rx}})\lambda^{2}\sigma'}{4\pi (4\pi R_{1}R_{2})^{2}} = \frac{P_{\text{tx}}G_{\text{tx}}(\theta_{\text{tx}},\phi_{\text{tx}})G_{\text{rx}}(\theta_{\text{rx}},\phi_{\text{rx}})\lambda^{2}}{4\pi (R_{1}+R_{2})^{2}},$$
(8)

where the area of the incident beam is given by

$$\sigma' = \frac{4\pi (R_1 R_2)^2}{(R_1 + R_2)^2}.$$
(9)

Let A_{refl} represent the effective area of the reflector. Additionally, considering the polarization mismatch losses between the transmitted and reflected beam from the reflector, we can write (7) and (9) as follows:

$$P_{\rm R}^{(1)}(R_1, R_2) = \frac{P_{\rm tx}G_{\rm tx}(\theta_{\rm tx}, \phi_{\rm tx})G_{\rm rx}(\theta_{\rm rx}, \phi_{\rm rx})\lambda^2\sigma'}{4\pi (4\pi R_1 R_2)^2} \\ \times \alpha_{\rm f}^{\Delta\theta} \alpha_{\rm f}^{\Delta\phi} \beta(\nu_1) \\ \times \frac{\min(A_{\rm c}, A_{\rm refl})}{A_{\rm c}} \Gamma |\rho_{\rm TX} \cdot \rho_{\rm refl}|^2, \quad (10)$$

where ρ_{TX} and ρ_{refl} represents the polarization unit vectors at the transmitter and at the reflector (after reflection), respectively, and $|\rho_{TX} \cdot \rho_{refl}|^2$ represents the polarization mismatch loss. From (10), if there are no orientation losses, and size of the reflector is equal or larger than the size of the core area of the beam, the received power approaches to Friis free space received power. However, the rate of power decay (generally given by path loss exponent) can change depending on the environment.

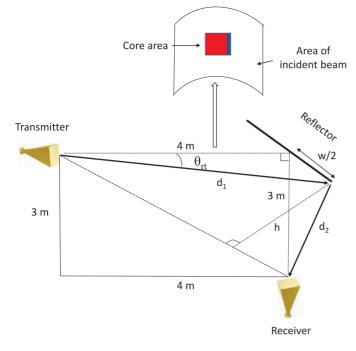


FIGURE 7. Diffraction from the edge of the flat reflector (in the azimuth plane) for given incident beam with core area at the center.

For cylinder and sphere reflectors, the orientation losses are considered to be negligible. Because the cylinder or sphere provides spreading of the incoming energy equally in respective directions. For cylinder and sphere reflectors, the decrease in the received power on the grid is mainly due to two factors. One is due to the decrease in the gain of the receiver antenna as we move away from the boresight. The second is due to the distance on the grid. Similar to flat reflectors, the decrease in the received power due to shift from the receiver antenna's boresight is represented by $\alpha_c^{\Delta\theta}$ and $\alpha_c^{\Delta\phi}$ in the azimuth and elevation planes. However, $\alpha_c > \alpha_f$. This is because we do not have additional decrease due to shift from a maximum reflected power region as observed for flat reflectors. In addition, the reflected power from cylinder and sphere is distributed over the indoor receiver grid based on their effective areas given in Section II-C. Therefore, the received power for cylinder and sphere reflectors is given as:

$$P_{\rm R}^{(1)}(R_1, R_2) = \frac{P_{\rm tx} G_{\rm tx}(\theta_{\rm tx}, \phi_{\rm tx}) G_{\rm rx}(\theta_{\rm rx}, \phi_{\rm rx})}{4\pi (4\pi R_1 R_2)^2} \\ \times \lambda^2 \sigma' \alpha_{\rm c}^{\Delta \theta} \alpha_{\rm c}^{\Delta \phi} \frac{\min(A_{\rm c}, A_{\rm refl})}{A_{\rm c}} \Gamma.$$
(11)

C. SECOND ORDER REFLECTED AND OLOS POWER MODELING

The received power due to second-order reflections from an additional reflector can be obtained in a similar way as first order reflections. If R_1 represents the distance from the transmitter to the first reflector and R_2 represent the distance from the first reflector to the second reflector, and R_3 represents the distance from the second reflector to the receiver antenna, the received power is given as:

$$P_{\rm R}^{(2)}(R_1, R_2, R_3) = \frac{P_{\rm tx}G_{\rm tx}(\theta_{\rm tx}, \phi_{\rm tx})G_{\rm rx}(\theta_{\rm rx}, \phi_{\rm rx})\lambda^2 \sigma''}{(4\pi)^4 (R_1 R_2 R_3)^2} \\ \times \alpha_{\rm f}^{\Delta\theta^{(1)}} \alpha_{\rm f}^{\Delta\phi^{(1)}} \alpha_{\rm f}^{\Delta\theta^{(2)}} \alpha_{\rm f}^{\Delta\phi^{(2)}} \\ \times \beta(v_1)\beta(v_2) \\ \times \frac{\min(A_{\rm c}^{(1)}, A_{\rm refl}^{(1)})}{A_{\rm c}^{(1)}} \frac{\min(A_{\rm c}^{(2)}, A_{\rm refl}^{(2)})}{A_{\rm c}^{(2)}} \\ \times \Gamma_1 \Gamma_2 |\rho_{\rm TX} \cdot \rho_{\rm refl}|^2 |\rho_{\rm refl} \cdot \rho_{\rm refl}^{(2)}|^2, \quad (12)$$

where we have

$$\sigma'' = \frac{(4\pi R_1 R_2 R_3)^2}{(R_1 + R_2 + R_3)^2},$$
(13)

while $\Delta \theta^{(1)}$ and $\Delta \theta^{(2)}$ represent the absolute difference between the optimum reflection angle (the expected reflection angle) and the current receiver angle (due to its position) in the azimuth plane for first and second reflectors, respectively. Similarly, $\Delta \phi^{(1)}$, and $\Delta \phi^{(2)}$ represent the absolute difference of angles in the elevation plane for first and second reflectors, respectively, and $\beta(v_1)$ and $\beta(v_2)$ are the diffraction losses at first and second reflectors, respectively. Moreover, $\hat{\mathbf{n}}_{refl}$ is the unit vector for the surface normal of the beam reflected from the second reflector, $A_c^{(1)}$ and $A_c^{(2)}$ are the core areas of the beams incident on the first and second reflectors, respectively, $A_{refl}^{(1)}$ and $A_{refl}^{(2)}$ represent the effective areas of the first and second reflectors, respectively. The reflection efficiency of the first and second reflectors are represented by Γ_1 and Γ_2 , respectively, whereas, $\rho_{refl}^{(2)}$ represents the polarization vector at the second reflector (after reflection). Apart from the received power due to dominant reflectors, we can have received power from the OLOS also if one exists. Let us consider that a significant OLOS path is present due to obstruction with the propagation loss coefficient, $\eta < 1$. The coefficient η incorporates the obstruction losses. Then, the OLOS received power at a distance of Rbetween the transmitter and the receiver is given as:

$$P_{\text{olos}}(R) = \frac{P_{\text{tx}}G_{\text{tx}}(\theta_{\text{tx}},\phi_{\text{tx}})G_{\text{rx}}(\theta_{\text{rx}},\phi_{\text{rx}})\lambda^2\eta}{(4\pi R)^2}.$$
 (14)

The remaining constituent of the total received power P_s incorporates mostly received power from weaker or higher order reflections from surrounding objects. It can also incorporate the received power due to diffraction in NLOS scenarios depending on the operating frequency and propagation geometry.

D. FIRST ORDER RAY MODELING

The propagation due to reflection from metallic reflectors can also be modeled using the first order rays. Due to spherical shape of the wavefronts, the rays at different angular positions have different strengths. The strength of the rays at different angular positions are dependent on the antenna radiation pattern. The strength of the rays in the elevation plane

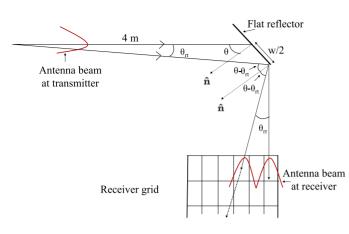


FIGURE 8. Ray based propagation scenario for flat reflector.

are considered to be constant on the receiver grid (as the height of the transmitter and receiver do not vary). Moreover, due to orientation and width of the reflector, not all the transmitted rays will reach the receiver grid as first order reflections. The first order ray modeling scenario is shown in Fig. 8 for the flat reflector. From Fig. 8, the rays reaching the receiver grid are bounded by the width of the reflector for the given orientation of the reflector with respect to the transmitter. In addition, the strength of the rays are dependent on the gain of the antennas at respective azimuth angles at the transmitter and receiver.

Let θ_{rt} be the maximum geometrical angle in the azimuth plane formed by the transmitted ray with the transmitter antenna's center. This angle is limited by the width of the reflector. Fig. 8 shows θ_{rt} formed by the transmitted ray. The elevation angle of this ray and all other rays along the straight line following the width of the reflector is a constant represented by ϕ_c . Therefore, the gain of this transmitted ray is given by $G_{tx}(\theta_{rt}, \phi_c)$. Similarly, the corresponding gain at the receiver is given by $G_{rx}(\theta_{rr}, \phi_c)$, where θ_{rr} is the azimuth angle formed by the reflected ray on the receiver grid. The transmitter and receiver antenna gain of rays in the elevation plane remain constant. Therefore, the angle of the rays in the azimuth plane will determine the overall antenna gain of the rays.

Based on the width of the reflector represented as w in Fig. 8, only half of the reflector's width w/2, will direct the rays towards the receiver grid as first order reflections. The other half of the reflector will not contribute to direct reflections on the receiver grid. The overall received power using dominant ray modeling at a given receiver position on the grid is given as:

$$P_{\rm refl}^{(1)} = \frac{P_{\rm tx}G_{\rm tx}(\theta_{\rm rt},\phi_{\rm c})G_{\rm rx}(\theta_{\rm rr},\phi_{\rm c})\lambda^2}{4\pi(R_1+R_2)^2}.$$
 (15)

IV. PROPAGATION MEASUREMENTS AND RAY TRACING SIMULATIONS SETUP

Propagation measurements were performed in typical NLOS scenarios for indoor and outdoor. For indoor, reflectors of different shapes and sizes were placed at the edge of the

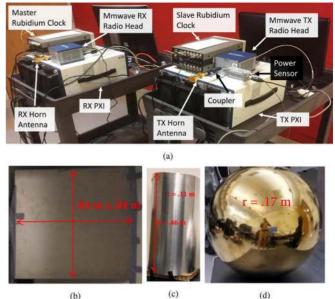


FIGURE 9. (a) Channel sounder setup, (b) 0.84 m \times 0.84 m flat reflector, (c) cylinder reflector, (d) sphere reflector.

corridor. The received power was measured over a receiver gird in the corridor. Ray tracing simulations were also carried out for this propagation setup. For outdoor, different sizes of flat metallic sheet reflectors were used for an NLOS area due to obstruction. The received power was measured at a single receiver position at different azimuth and elevation angles.

A. INDOOR MEASUREMENT SETUP

Indoor measurements were carried out in the basement corridor of Engineering Building II at North Carolina State University. The measurement and simulation environment for indoor and outdoor channel measurements are shown in Fig. 1. The receiver is moved at different positions in the (x, y) plane of the corridor to form a receiver grid shown in Fig. 6(a). The size of the (x, y) receiver grid is (1.5 m, 15 m)such that each measurement block is $0.3 \text{ m} \times 0.3 \text{ m}$. A similar geometry is generated using the Remcom Wireless InSite RT software to compare with the measurement outcomes and will be explained in Section IV-C.

The measurements were performed using NI mmWave transceiver system at 28 GHz [21] as shown in Fig. 9(a). The system consists of two PXI platforms: one transmitter and one receiver. There are two rubidium (Rb) clocks used at the transmitter and the receiver sides that provide common 10 MHz clock and pulse per second (PPS) signal. The output from the PXI intermediate frequency (IF) module is connected to the mmWave transmitter radio head that converts the IF to 28 GHz. Similarly, at the receiver side, the mmWave radio head down converts 28 GHz RF signal to IF.

The digital to analog converter at the transmitter and the analog to digital converter at the receiver has a sampling rate of 3.072 GS/s. The channel sounder supports 1 GHz and 2 GHz modes of operation. The measurements for this paper are performed using the 2 GHz mode where the sounding signal duration is 1.33 μ s, which is the maximum measurable excess delay. This mode provides a 0.65 ns delay resolution in the delay domain, corresponding to 19.5 cm distance resolution. The analog to digital converter has around 60 dB dynamic range and this system can measure path loss up to 185 dB. The transmit power for the experiment is set to 0 dBm. A power sensor measures the power at the output of the mmWave transmitter front end using an RF coupler. The power sensor lets us convert measurements in dB units into dBm units.

In order to get accurate channel measurements, we need to characterize the non-flat frequency response of the measurement hardware itself, and subsequently do a calibration to compensate for the impulse response due to the hardware. For calibration purposes, a cable with fixed attenuators connects the transmitter to the receiver. Assuming the cable and the attenuators have a flat response, the channel response of the hardware is measured. During actual measurements, the hardware response is equalized assuming hardware response does not vary over time. After this equalization, we obtain the response of the actual over the air channel.

The antennas that are used at the transmitter and the receiver are linearly polarized pyramidal horn antennas [22], having a gain of 17 dBi and half-power beam-widths of 26 and 24 degrees in the E and H planes, respectively. The height of the transmitter and receiver above the ground is 1 m. To improve the coverage area in NLOS receiver region in the corridors, we use aluminum flat sheet reflectors with different sizes, a cylinder, and, a sphere as shown in Fig. 9(b), Fig. 9(c), and Fig. 9(d). These reflectors are placed at the corner of the walls facing the corridor as shown in Fig. 1(a). The aluminum sheet used is 5086-H32 having a thickness of 1.6 mm. Three flat sheets with side lengths of 0.30 m, 0.61 m, and 0.84 m, respectively, are used in the measurements. A metallic cylinder of radius 0.11 m and height 0.46 m is used, whereas a mirror ball covered with an aluminum sheet having a diameter of 0.34 m is used. The surface areas of 0.61 m \times 0.61 m, flat reflector, cylinder, sphere have similar cross-sectional area.

In order to place different flat reflectors on the same plane, a cardboard of size $0.84 \text{ m} \times 0.84 \text{ m}$ is used as a reference as shown in Fig. 1(a). The center of the cardboard is aligned to the center of the bore-sight axis of the antenna. Different sized reflectors are placed such that their centers are aligned to the center of the cardboard. Similarly, the bore-sight axis of the antenna is aligned to the center of the cylinder and sphere. There is no orientation of the reflectors in the vertical plane.

B. OUTDOOR MEASUREMENT SETUP

The outdoor measurements were performed inside two parking buildings next to each other at North Carolina State University (NCSU) campus as shown in Fig. 10. The receiver



FIGURE 10. Measurement setup (a) transmitter shown on the gimbal. During the measurements, the transmitter was not rotated, (b) receiver Location. 0.84 m \times 0.84 m reflector is positioned at approximately 45° angle to maximize the received power, (c)

the orientation of elevation and azimuth angles.

(c)

was located behind a brick wall compartment with a glass window inside. There was no direct LOS between the transmitter and the receiver. However, there was an obstructed line-of-sight (OLOS) through the window. The receiver antenna was mounted on a rotatable gimbal in order to collect energy from different azimuth and elevation directions. The transmitter antenna was not rotated. Three flat square metallic sheet reflectors of sizes 0.30 m \times 0.30 m, 0.61 m \times 0.61 m, and 0.84 m \times 0.84 m were used 3.5 m away from the receiver as shown in Fig. 10(b).

For comparison, we considered two scenarios: one without the reflector and one with reflectors. The transmitter antenna was fixed at 0° elevation angle *facing directly the reflector*. The heights of transmitter and receiver were the same at 1.5 m such that the boresight of each antenna point to the center of the reflector. The gimbal at the receiver side scanned the azimuth plane from -168° to 168° with 10° increments, and the elevation plane from -30° to 30° with 10° increments shown in Fig. 10(c). The transmit power was set to 0 dBm.

C. RAY TRACING SIMULATION SETUP

Simulations for the passive metallic reflectors at mmWave frequencies were performed using Remcom Wireless InSite

RT software, replicating the indoor experimental environment as shown in Fig. 1(b). The red blocks in the figure represent the individual receiver points in the grid. A sinusoidal sounding signal at 28 GHz was used, and the transmit power was 0 dBm. Horn antennas [22], similar to used in the measurements, were used at both transmitter and the receiver grid.

In addition to specular reflection at mmWave frequencies, diffuse scattering also occurs dominantly due to the comparable size of the wavelength of the transmitted wave and the dimensions of the irregularities of the surfaces that it encounters. In the simulations, the diffuse scattering feature had been used to take into account this factor. The diffuse scattering model used in the simulations was the directive model. Only the diffuse scattering coefficient was changed for different materials, whereas the other model parameters remained the same. Diffuse scattering coefficient of different materials used in the simulations were 0.1, 0.2, 0.25 and 0.3, for the perfect conductor, concrete, ceiling board, and layered drywall, respectively. The materials with higher roughness were assigned higher diffuse scattering coefficient.

The received power was obtained and summed noncoherently from the received MPCs at a given receiver location. This did not involve the phase of each MPC to be considered in the received power calculation. The similar was done for measurements.

The walls, floor, ceiling, door and reflector materials were selected such that they were similar to the actual measurement environment setup as much as possible. The *ITU* three-layered drywall was used for walls and ITU ceiling board was used for ceilings, concrete was used for floor, and a perfect conductor was used for the door and the metallic reflector. All the materials were frequency sensitive at 28 GHz. The dimensions of the simulation setup were the same as in Fig. 6(a).

Ray tracing simulations were also performed for different center frequencies. These frequencies are 1.8 GHz, 2.4 GHz, 38 GHz, and 60 GHz. No diffuse scattering was used for 1.8 GHz and 2.4 GHz center frequencies. This is mainly due to larger wavelengths compared to the size of the surface roughness of the materials in the environment. However, at 38 GHz, and 60 GHz, larger diffuse scattering coefficients were used, compared to at 28 GHz for different materials in the environment. A 0.1 increase in the diffuse scattering coefficient value for every 10 GHz increase of the center frequency, compared to at 28 GHz was used.

V. MEASUREMENT, SIMULATION AND ANALYTICAL RESULTS FOR INDOOR SCENARIOS WITH REFLECTORS

In this section we present indoor measurement and ray tracing results at 28 GHz, and ray tracing results at various frequencies. Analytical results (from Section III) for the received power distribution over the indoor receiver grid for different reflector sizes/shapes are also provided.

A. INDOOR MEASUREMENTS AND SIMULATIONS WITH FLAT REFLECTORS

In this subsection, empirical and simulation results are presented for the indoor NLOS measurements with and without metallic reflectors for the setup shown in Fig. 6. In measurements shown in Fig. 11(a) where no reflector is used, we observe slightly higher received power at the top left corner of the receiver grid mostly due to diffraction at the edge of the corridor wall. In the case of simulations, we observe some reflections from the wall opposite to the transmitter; however, the overall received power, in this case, is less than the measurements.

The flat reflectors are oriented at 45° in the azimuth plane as shown in Fig. 6(a) for all the measurements. For the 0.30 m × 0.30 m reflector shown in Fig. 11(b), it can be observed that we have a directional coverage spreading with the distance along the y-grid. The reflections that are perpendicular to the incident beam create a strip of dominant coverage area starting from the top right portion of the receiver grid. The width of this dominant coverage area is proportional to the width of the reflector as expected.

The received power decreases exponentially as we move left on the grid. This is because as we move left on the grid, we move away from the optimum reflection angle region. However, as move downward over the grid for a given x value, this decrease becomes smaller. This is due to a small angular difference from the optimum reflection region as we move downward. Moreover, the received power also decreases due to the increase of the distance as we move downward on the grid. However, this decrease due to the increase of distance is small compared to the shift from the optimum reflection region. This is accordingly modeled in Section III-B.

The 0.61 m × 0.61 m and 0.84 m × 0.84 m reflector measurement results are shown in Fig. 11(c) and (d), respectively. Similar to 0.30 m × 0.30 m case, we observe a solid strip of dominant coverage area with a width proportional to the size of the reflector. The received power is distributed similarly across the receiver grid with a better coverage as compared to 0.30 m × 0.30 m case. Moreover, we observe a similar exponential decrease in the received power as we move away from the optimum reflection angle region.

For all the flat reflector scenarios, we observe power distribution mostly on the right side of the receiver grid, whereas we observe outage at the top left corner of the receiver grid. This is due to the property of directional reflection for the flat reflectors. Three plausible solutions to provide coverage on the top left side of the receiver grid can be; 1) By orienting the reflector at angle less than 45° (but will result in reduced power on the right side of the grid); 2) by using secondary reflectors that are oriented accordingly to reuse the reflected energy; 3) using outward curved reflectors, e.g., cylinders that can distribute the energy more uniformly on the grid due to divergence phenomenon.

Two resource allocations can affect the received power in Fig. 11. These are transmitted power and instantaneous

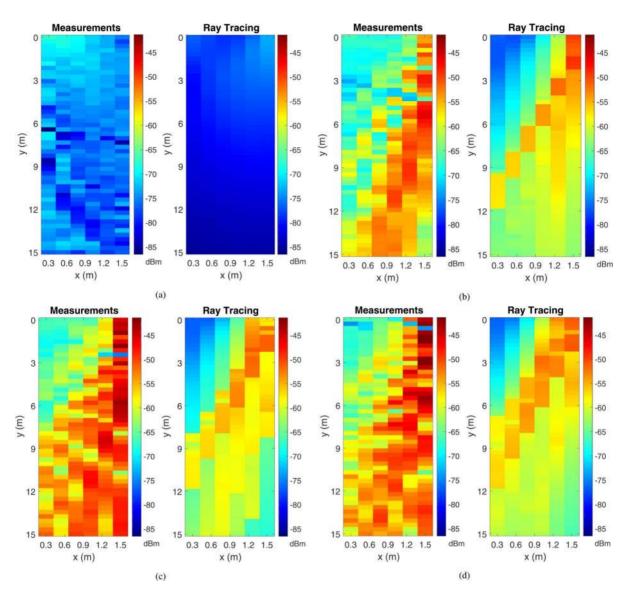


FIGURE 11. Total received power results on the grid shown in Fig. 6(a) for (a) no reflector, obtained using (left) measurements, and (right) ray tracing simulations, (b) 0.30 m × 0.30 m flat square aluminum sheet at $\theta = 45^{\circ}$, obtained using (left) measurements, and (right) ray tracing simulations, (c) 0.61 m × 0.61 m flat square aluminum sheet at $\theta = 45^{\circ}$, obtained using (left) measurements, and (right) ray tracing simulations, and (d) 0.84 m × 0.84 m flat square aluminum sheet at $\theta = 45^{\circ}$, obtained using (left) measurements, and (right) ray tracing simulations.

bandwidth. An increase in the transmit power can help further increase the coverage. However, there are limitations on the increase of the transmit power that may result in non-linear response at the radio frequency front end. On the other hand, an increase in the instantaneous bandwidth can result in smaller fluctuation of the received power due to better delay resolution of the MPCs.

In Fig. 11 side by side comparison of measurements and RT simulations are possible. The distribution of the power on the receiver grid is similar for both the measurements and the simulations for the three different reflector sizes. For all the cases, we observe smaller received power for RT simulations when compared to the measurements. One reason is the presence of additional small scatterers in the environment and approximate diffuse scattering coefficients used in

the simulations for real-world materials. Another reason is because of the simple construction of the flat reflectors with a smaller number of reflection points for ray tracing. This is in contrast to complex reflector shapes (e.g., curved) that have a large number of reflection points. Moreover, a small additional power gain is observed for 0.30 m \times 0.30 m and 0.61 m \times 0.61 m reflectors from the cardboard which was not included in simulations.

B. INDOOR MEASUREMENTS SIMULATIONS WITH NON-FLAT REFLECTORS

The measurement and simulation results for cylinder and sphere reflectors are shown in Fig. 12. We observe smaller received power for sphere reflector compared to the cylinder, mainly due to a smaller effective area (see Section II-C).

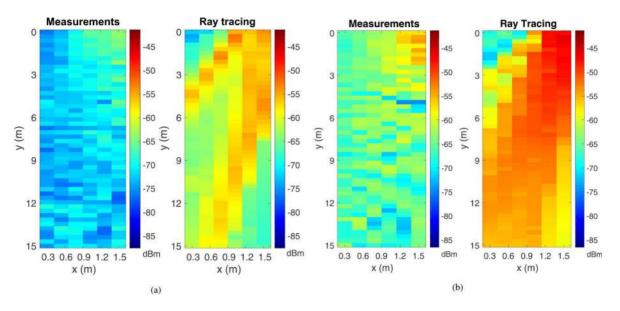


FIGURE 12. Total received power results for (a) metallic sphere obtained using (left) measurements, and (right) ray tracing simulations; (b) metallic cylinder obtained using (left) measurements, and (right) ray tracing simulations.

Moreover, the cylinder reflector provides more uniform power distribution on the receiver grid compared to flat reflectors. The reason for this can be explained due to the high divergence of the incoming energy randomly in the surroundings from the top and bottom of the sphere. Similarly, for both the cylinder and sphere, we observe less power as compared to the flat sheet reflector 0.61 m \times 0.61 m, though all three have approximately similar cross-section area. The reason for this behavior is due to the small effective area of the cylinder and sphere exposed to the incoming energy as compared to the flat sheet reflector.

Simulation results in Fig. 12 exhibit larger received power as compared to measurements. This behavior is due to a large number of surface points for curved reflectors (due to their complex construction) in ray tracing simulations [23]. These additional surface points result in additional reflections for curved reflectors, which yield larger received power in ray tracing simulations. However, for flat reflectors, the number of surface points for ray tracing is smaller compared to curved surfaces. This is due to their simpler construction. Therefore, we observe smaller received power for flat reflectors compared to curved reflectors.

C. ANALYTICAL RECEIVED POWER RESULTS FOR INDOOR

The analytical results for end-to-end received power in indoor NLOS area is obtained from Section III-(A–C). From (1), there are three major sources of received power in the indoor setup, $P_{refl}^{(1)}$, $P_{refl}^{(2)}$ and P_s . However, the major contributor to the received power is $P_{refl}^{(1)}$. Whereas, the received power due to $P_{refl}^{(2)}$ cannot be calculated directly in the corridor due to random nature of the second-order reflections. In addition, the portion of the received power provided by P_s is very small. Therefore, we can approximate $P_s = -70$ dBm uniformly over the receiver grid for analytical results. This

value is obtained from empirical results for no reflector in Fig. 11(a). The value of α_f from (10) is set to 0.72, whereas, $\alpha_c = 0.85$ from (11). Also, as we used polished metal sheets, therefore, $\Gamma = 1$ and $|\rho_{TX} \cdot \rho_{refl}|^2 = 1$.

The received power calculated analytically on each receiver grid position is shown in Fig. 13. In Fig. 13(a), analytical received power due to 0.30 m × 0.30 m reflector (left) and 0.61 m × 0.61 m reflectors (right) are shown. The analytical results for 0.84 m×0.84 m reflector are similar as 0.61 m×0.61 m. The received power for 0.30 m×0.30 m reflector is smaller than 0.61 m × 0.61 m reflector. This is mainly due to the diffraction losses given by $\beta(v_1)$, explained in Section III-B. The value of $\beta(v_1)$ for our measurement setup is -3 dB. This value corresponds to the decrease in received power for 0.30 m × 0.30 m × 0.61 m × 0.61 m and 0.84 m × 0.84 m reflectors due to diffraction. On the other hand, from 0.61 m × 0.61 m to 0.84 m × 0.84 m, this value does not change significantly.

From Fig. 13(a), it can be observed that we have maximum received power around the optimum reflection angle region (rightmost on the grid). However, as the angular difference from this region increases, the received power decreases accordingly given by $\alpha_f^{\Delta\theta}$ from (10). On the other hand, the decrease in the received power due to the distance from the reflector source on the grid as we move left or downward is less significant compared to $\alpha_f^{\Delta\theta}$. The value $\alpha_f^{\Delta\phi}$ is 1 as the angle in the elevation plane is set to optimum. Also, there is no change in the elevation plane as we move on the receiver grid. Moreover, there is no decrease in the received power due to the size of the reflector. This is because the sizes of the reflectors are larger than the size of the core area of the beam.

Comparing the analytical results in Fig. 13(a) with the measurement and simulation results in Fig. 11(b) and (c), we observe a close match. However, the discontinuities in the

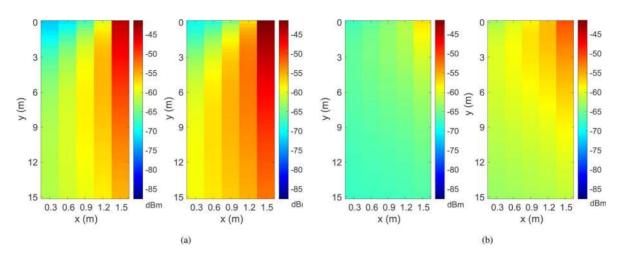


FIGURE 13. Total received power results obtained analytically from Section III plotted on the grid for (a) flat square metallic sheet reflectors of sizes, 0.30 m × 0.30 m (left), 0.61 m × 0.61 m (right), and (b) sphere reflector (left), cylinder reflector (right).

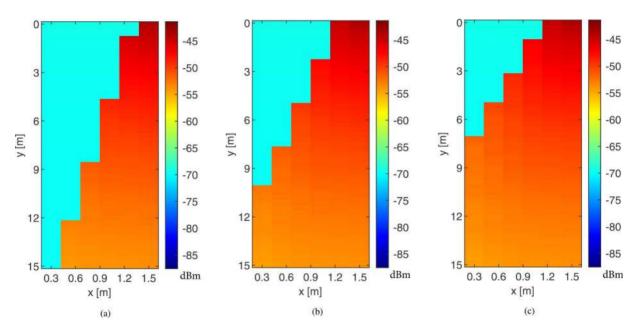


FIGURE 14. Total received power results obtained from Section III-D using ray modeling, plotted on the grid for flat square metallic sheet reflectors of sizes, (a) $0.30 \text{ m} \times 0.30 \text{ m} \times 0.61 \text{ m} \times 0.61 \text{ m} \times 0.84 \text{ m} \times 0.84 \text{ m}$.

received power over the grid for measurements are mainly due to higher order reflections in the corridor. In both the measurement and analytical results for 0.61 m \times 0.61 m reflector, the received power approaches to the Friis free space power.

The analytical received power results for sphere and cylinder reflectors are shown in Fig. 13(b). In the analytical modeling, we considered the surfaces of the cylinder and sphere as circularly curved. Therefore, they diverge the incoming power equally in all the directions over the grid. However, the decrease in the received power on the grid is mainly due to decrease in the receiver antenna's boresight gain as we move left on the grid. This decrease is represented by factor $\alpha_c^{\Delta\theta}$, whereas, $\alpha_c^{\Delta\phi} = 1$. Moreover, the received power on the grid is limited by the effective area

of the cylinder and sphere (see Section II-C). Therefore, the cylinder has larger received power compared to sphere due to a larger effective area. Moreover, comparing measurement and analytical results for sphere and cylinder indicate differences, which are mainly due to non-ideal curved surfaces of real cylinder and sphere.

D. RAY MODELING RESULTS

The ray modeling results discussed in Section III-D are shown in Fig. 14. For the 0.30 m × 0.30 m flat reflector, shown in Fig. 14(a), the maximum azimuth angle of the incident ray contributing to first order reflection from the reflector θ_{rt} is 1.48°. This angle is limited by the width of the reflector. Similarly, for the 0.61 m × 0.61 m and 0.84 m × 0.84 m reflectors, the maximum incident angles

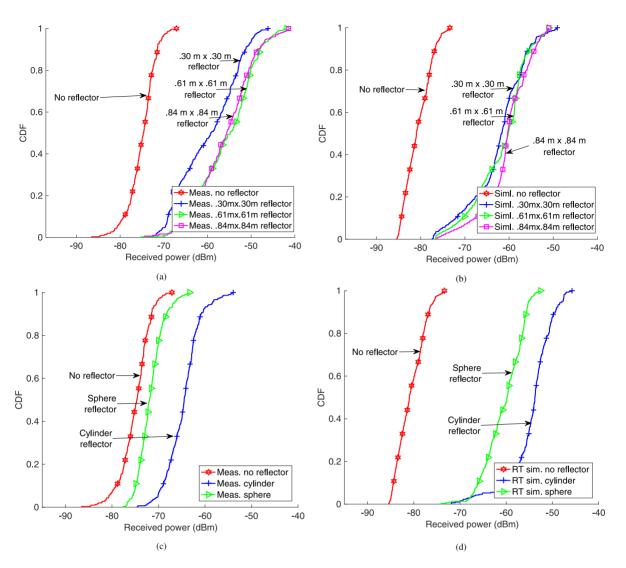


FIGURE 15. CDF of total received power for multiple scenarios: (a) no reflector, 0.30 m × 0.30 m, 0.61 m × 0.61 m, and 0.84 m × 0.84 m flat square sheet reflectors (measurements); (b) no reflector, 0.30 m × 0.30 m, 0.61 m × 0.61 m, and 0.84 m × 0.84 m flat square sheet reflectors (simulations); (c) no reflector, cylinder reflector, and sphere reflector (measurements); (d) no reflector, cylinder reflector, and sphere reflector (simulations).

of the rays in the azimuth plane contributing to first order reflections from reflector are 2.97° and 3.95°, respectively. Only the reflected rays bounded by these reflected angles are considered on the receiver grid for the flat reflectors.

The power contributed by the first order reflected rays for three flat reflectors given in (15) are shown in Fig. 14. A comparison with the measurement results however, indicate that we have smooth power transition over the receiver grid compared to measurements. This is mainly due to ideal antenna pattern and absence of secondary and higher order reflections. Moreover, for $0.61 \text{ m} \times 0.61 \text{ m}$ to $0.84 \text{ m} \times 0.84 \text{ m}$ reflectors we do not observe significant increase in the received power for measurements compared to ray modeling results. For the ray modeling results, larger size of the reflector contributes to larger coverage on the receiver grid. This difference is mainly due to ideal antenna gain pattern, ideal reflector surface and absence of diffraction for the ray modeling compared to measurements.

E. CDF OF RECEIVED POWER FOR INDOOR The received power across the receiver grid can be captured using a cumulative distribution function (CDF) for each scenario. The CDF plots of received power over the

each scenario. The CDF plots of received power over the whole receiver grid for flat sheet reflectors and no reflector are shown in Fig. 15(a). As observed previously, the received power for the 0.30 m \times 0.30 m reflector is smaller than the 0.61 m \times 0.61 m and 0.84 m \times 0.84 m reflectors, while the low power (outage) areas are similar. The variance of the received power with different reflectors is higher when compared to no reflector scenario as expected.

Collection of large amount of measurement data for a

given propagation scenario is difficult. However, if we

have large amount of ray tracing data (that is easier

to collect), machine learning methods can be used to

predict the propagation scenario outcomes in other similar

environments [24], [25]. This is part of our future work.

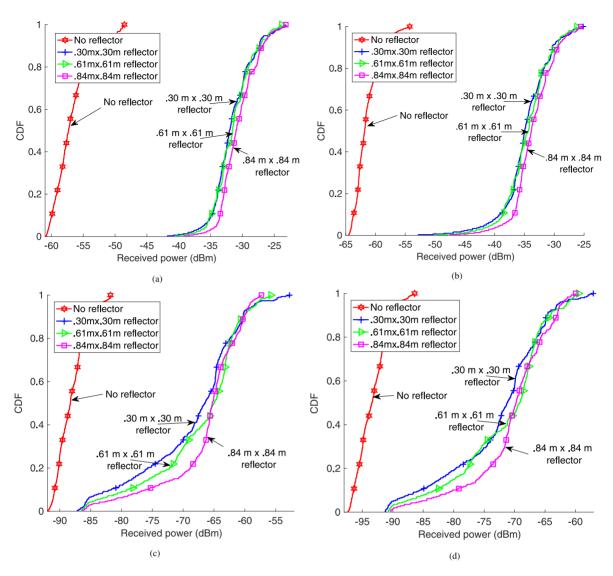


FIGURE 16. CDF of total received power at different center frequencies: (a) 1.8 GHz, (b) 2.4 GHz, (c) 38 GHz, and (d) 60 GHz.

Another observation from Fig. 15(a) is that the received power varies in the range [-75, -40] dBm, while for no reflector case it is [-85, -70] dBm. This can be related to directional propagation in mmWave bands. In particular, highly directional scattering (reflection) results in power increase in some regions less and in some others more.

In Fig. 15(b), the CDFs of received power from the simulations are shown corresponding to the same reflector scenarios. Results show that simulations match reasonably with measurements. We obtain a median gain of around 20 dB for the 0.61 m \times 0.61 m and 0.84 m \times 0.84 m reflector scenarios as compared to the no reflector case.

The CDF of received power for cylinder and sphere reflectors from measurements and simulations are shown in Fig. 15(c) and Fig. 15(d), respectively. Cylinder reflector exhibits higher received power in the measurements compared to the sphere. Whereas in simulations, we observe high received power for both cylinder and sphere.

A plot of the CDF of the received powers at different center frequencies for different sizes of flat sheet reflectors is provided in Fig. 16. The results are obtained through ray tracing simulations. It can be observed that the mean received power is approximately the same for different sizes of flat reflectors, at a given center frequency. Moreover, the power gain (comparing the received power in the presence of reflector with no reflector) at 38 GHz and 60 GHz is close to obtained at 28 GHz. However, at 1.8 GHz and 2.4 GHz, we observe slightly higher power gain compared to other higher frequencies. This increased gain is attributed to negligible diffuse scattering from different material surfaces in the environment at 1.8 GHz and 2.4 GHz (see Section IV-C). The comparison of the received power results at different center frequencies with the analytical results from Section III provides a close fit.

The CDFs of the received power for analytical modeling results from Section III-(A–C) are shown in Fig. 17(a). The

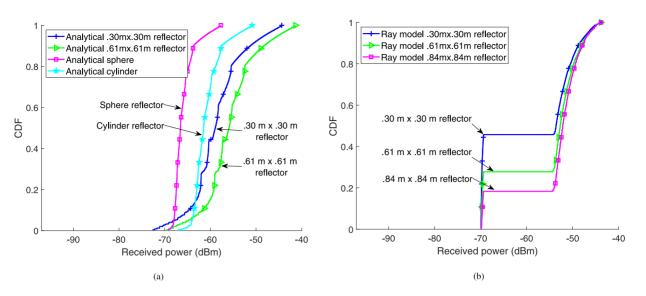


FIGURE 17. The CDFs of total received power results obtained from (a) Section III-(A–C) using analytical modeling for flat and curved reflectors, and (b) Section III-D using ray modeling for flat sheet metallic reflectors.

CDF of the received power for 0.84 m \times 0.84 m reflector is similar as 0.61 m \times 0.61 m reflector. Therefore, it is not shown. Comparing the analytical results with measurement results in Fig. 15(a) and Fig. 15(c), we observe that analytical results are close with the measurement results. The CDFs of the received power obtained using ray modeling from Section III-D for the three flat reflectors are shown in Fig. 17(b). The CDFs of the ray modeling results in Fig. 17(b) have a constant value initially that is proportional to the outage area on the grid in Fig. 14. However, after the constant value, the received power is similar for the three flat reflectors showing the received power in the coverage area on the right side of the grid. For 0.30 m \times 0.30 m reflector, the received power is close to thos obtained with larger reflectors, in contrast to measurements. This is mainly because of ideal antenna radiation pattern, ideal surface of reflector and absence of diffraction assumed for the ray modeling.

VI. MEASUREMENT AND ANALYTICAL RESULTS FOR OUTDOOR SCENARIOS WITH REFLECTORS

In this section, outdoor measurements are presented and analyzed for different sizes of flat sheet reflectors. Moreover, analytical modeling results from Section III and their comparison with the measurement results are also provided.

A. OUTDOOR MEASUREMENT RESULTS

The measurement results for received power in outdoor are obtained at a single receiver position. The receiver antenna is rotated from -168° to 168° in the azimuth plane and -30° to 30° in the elevation plane. The received power is obtained at each receiver antenna rotation. On the other hand, the transmit antenna is not rotated. Fig. 18(a) shows the received power when there is no reflector. In this case, the maximum power of -65.6 dBm is observed at the azimuth angle of 81° and an elevation angle of 0° . This received

power corresponds to the OLOS path. Fig. 6(e) shows the geometry of the OLOS path. This OLOS path is from the transmitter through the glass window of the brick compartment and see-through glass of the door. The obstruction loss due to the glasses is given by $\eta = -10.86$ dB from (14). From Fig. 18(a), there is also another strong reflection from inside the building at around -70° azimuth and 0° elevation.

Fig. 18(b) shows the received power with the 0.30 m \times 0.30 m sheet reflector. We observe a strong reflection from the reflector at 0° azimuth and 0° elevation angles. This is because the center of the reflector is aligned to the boresight of the receiver antenna in azimuth and elevation planes. In addition, we observe the received power from other sources as observed previously for no reflector in Fig. 18(a). Similarly, we observe reflections from the 0.61 m \times 0.61 and 0.84 m \times 0.84 m sheet reflectors at the same position as shown in Fig. 18(c) and (d), respectively. The received power due to first order reflections from these reflectors are large compared to the 0.30 m \times 0.30 m reflector. This is mainly because of larger diffraction losses for the 0.30 m \times 0.30 m reflector compared to larger sized reflectors (see Section III-B).

Moreover, we observe secondary reflections at $\pm 168^{\circ}$ in the azimuth plane for 0.61 m × 0.61 m and 0.84 m × 0.84 m reflectors shown in Fig. 18(c) and (d), respectively. This is because large sized reflectors cover more incident power density compared to smaller sized reflectors. These large reflected beams create secondary reflections from surrounding objects. The secondary reflection at -168° is due to a car parked parallel to the receiver on the left side. On the other hand, the reflection at 167° is from the wall on the right side of the receiver. These secondary reflections are not observed for 0.30 m × 0.30 m reflector due to the smaller size of the reflected beam (covering approximately the core area only). The CDFs of total received power captured at

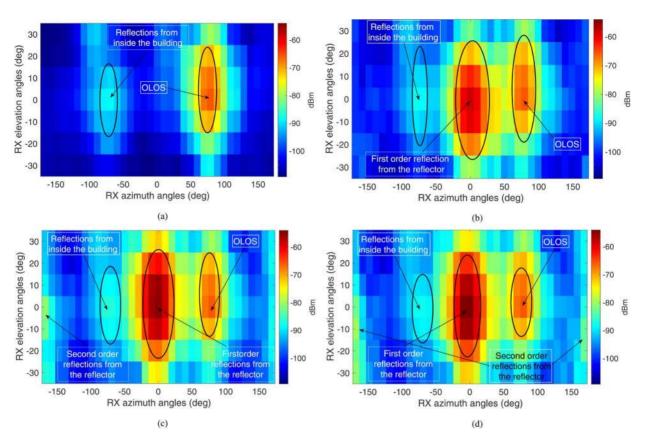


FIGURE 18. Total received power at different azimuth and elevation angles at the receiver in the outdoor setting for (a) no reflector, (b) $0.30 \text{ m} \times 0.30 \text{ m}$ reflector, (c) $0.61 \text{ m} \times 0.61 \text{ m} \times 0.61 \text{ m} \times 0.61 \text{ m} \times 0.84 \text{ m} \times 0.84 \text{ m}$ reflector.

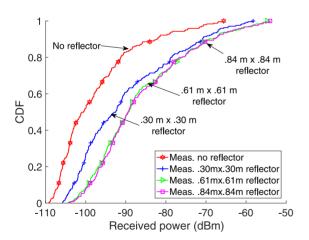


FIGURE 19. CDF of total received power for 0.30 m \times 0.30 m, 0.61 m \times 0.61 m and 0.84 m \times 0.84 m metallic sheet reflectors in outdoor setting.

different angular positions for the outdoor measurements in Fig. 18 are shown in Fig. 19. It can be observed that we have a maximum gain of around 11 dB for 0.61 m \times 0.61 m and 0.84 m \times 0.84 m reflectors compared to no reflector.

B. ANALYTICAL RECEIVED POWER RESULTS FOR OUTDOOR

The empirical and analytical received power results for outdoor due to flat reflectors of different sizes are shown in Fig. 20. These results are obtained for 0° azimuth and

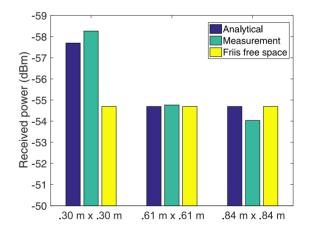


FIGURE 20. Total outdoor received power at 0° azimuth and 0° elevation receiver angles. The results are obtained for three sizes of flat square metallic reflectors. The analytical results are obtained from Section III-B.

elevation angles of the receiver antenna. The gain of the transmit and receiver antennas are maximum at this angular position. Moreover, we consider only first order reflections from reflectors at this angular position from Section III-B. Therefore, from (10), there is no decrease in the received power due to the area of the reflectors as $A_{\text{refl}} > A_{\text{c}}$. In addition, the orientation mismatch losses due to the transmitter, reflector and receiver positions given by $\alpha_{\text{f}}^{\Delta\theta}$ and $\alpha_{\text{f}}^{\Delta\phi}$ are negligible.

The received power results from measurement and analytical model for the 0.30 m × 0.30 m reflector are shown in Fig. 20. It is observed that we have lower received power compared to the Friis free space received power. This is due to diffraction loss given by $\beta(v_1)$ discussed in Section III-B. This diffraction loss is approximately 3 dB. However, for the 0.61 m × 0.61 m and 0.84 m × 0.84 m reflectors, we observe the received power from measurement and analytical results approach the Friis free space power.

VII. CONCLUSION

In this work, channel measurements at 28 GHz were carried out in NLOS indoor and outdoor scenarios. Metallic sheet reflectors of different shapes and sizes were used to enhance the received power, yielding a better signal coverage in the NLOS region. The reflected power from a metallic reflector was taken as a secondary source of transmission that can be further extended to other reflectors. This helps to provide coverage in any kind of NLOS scenario. Moreover, there is a minimum size of flat reflector required comparable to the core area of the beam. This ensures the maximum received power approach to the Friis free space power. It was observed that the received power at a given point for flat reflectors is more sensitive to the orientation of the reflector compared to the size of the reflector. For the cylinder and sphere reflectors, we observed divergence of the received power according to their sizes and shapes. The measurement results were compared with RT simulations and analytical results, which are observed to provide a close agreement. Ray tracing simulations at different center frequencies indicated a similar trend of reflected received power for flat sheet reflectors.

REFERENCES

- C. Meisch, "FCC adopts rules to facilitate next generation wireless technologies," Federal Commun. Commission, Washington, DC, USA, Rep. FCC 16-89, Accessed: Mar. 30, 2019.
 [Online]. Available: https://www.fcc.gov/document/fcc-adopts-rulesfacilitate-next-generation-wireless-technologies
- [2] M. Kerker, "Electromagnetic waves," in *The Scattering of Light and Other Electromagnetic Radiation*. New York, NY, USA: Academic, 1969, ch. 2, pp. 8–26.
- [3] S. N. Ghosh, "E.M. energy flow and boundary conditions," in *Electromagnetic Theory and Wave Propagation*, 2nd ed. New York, NY, USA: CRC Press, 2002, ch. 3, sec. 3.7, pp. 37–38.
- [4] C. C. Cutler, "Passive repeaters for satellite communication systems," U.S. Patent 3 169 245 Feb. 9, 1965.
- [5] J. L. Ryerson, "Passive satellite communication," *Proc. IRE*, vol. 48, no. 4, pp. 613–619, Apr. 1960.

- [6] Y. E. Stahler, "Corner reflectors as elements passive communication satellites," *IEEE Trans. Aerosp.*, vol. 1, no. 2, pp. 161–172, Aug. 1963.
- [7] Y. Huang, N. Yi, and X. Zhu, "Investigation of using passive repeaters for indoor radio coverage improvement," in *Proc. IEEE Antennas Propag. Soc. Symp.*, vol. 2. Monterey, CA, USA, Jun. 2004, pp. 1623–1626.
- [8] J. L. D. L. T. Barreiro and F. L. E. Azpiroz, "Passive reflector for a mobile communication device," U.S. Patent 7 084 819 Aug. 2006.
- [9] Z. Genc, U. H. Rizvi, E. Onur, and I. Niemegeers, "Robust 60 GHz indoor connectivity: Is it possible with reflections?" in *Proc. IEEE Veh. Technol. Conf. (VTC)*. Taipei, Taiwan, May 2010, pp. 1–5.
- [10] Z. Peng *et al.*, "An effective coverage scheme with passive-reflectors for urban millimeter-wave communication," *IEEE Antennas Wireless Propag. Lett.*, vol. 15, pp. 398–401, 2015.
- [11] M. Heino, C. Icheln, and K. Haneda, "Reflector design to mitigate finger effect on 60 GHz user devices," in *Proc. Eur. Conf. Antennas Propag. (EUCAP)*. Paris, France, Mar. 2017, pp. 151–155.
- [12] A. A. Goulianos et al., "Time-domain sounder validation and reflectivity measurements for mm-wave applications," in Proc. IEEE Wireless Commun. Netw. Conf. (WCNC). Doha, Qatar, Apr. 2016, pp. 1–5.
- [13] W. Khawaja, O. Ozdemir, Y. Yapici, I. Guvenc, and Y. Kakishima, "Coverage enhancement for mm wave communications using passive reflectors," in *Proc. IEEE Glob. Symp. Millim. Waves (GSMM)*. Boulder, CO, USA, May 2018, pp. 1–6.
- [14] W. A. G. Khawaja, O. Ozdemir, F. Erden, I. Guvenc, M. Ezuma, and Y. Kakishima, "Effect of passive reflectors for enhancing coverage of 28 GHz mmWave systems in an outdoor setting," in *Proc. IEEE Radio Wireless Symp. (RWS)*, Orlando, FL, USA, Jan. 2019, pp. 1–4.
- [15] G. Raju, "Maxwell's equations and electromagnetic waves," in Antennas and Wave Propagation. New Delhi, India: Pearson Educ. India, 2009, ch. 2, sec. 2.32, pp. 102–103.
- [16] N. Rupasinghe, Y. Yapici, I. Güvenç, and Y. Kakishima, "Nonorthogonal multiple access for mmWave drone networks with limited feedback," *IEEE Trans. Commun.*, vol. 67, no. 1, pp. 762–777, Jan. 2019.
- [17] C. A. Balanis, "Fundamental parameters and figures-of-merit of antennas," in *Antenna Theory: Analysis and Design*, 4th ed. Hoboken, NJ, USA: Wiley, 2016, ch. 2, pp. 66–92.
- [18] P. Khare and A. Swarup, "Wave theory of light," in *Engineering Physics Fundamentals and Modern Applications*. Sudbury, MA, USA: Laxmi, 2008, ch. 4, sec. 4.2, pp. 154–155.
- [19] ITU study group3, "Propagation by diffraction," Int. Telecommun. Union, Geneva, Switzerland, ITU-Recommendation P.526, Accessed: Mar. 31, 2019. [Online]. Available: https://www.itu.int/rec/R-REC-P.526/en
- [20] J. A. Shaw, "Radiometry and the Friis transmission equation," Amer. J. Phys., vol. 81, no. 1, pp. 33–37, Dec. 2012.
- [21] National Instruments. What Is the mmWave Transceiver System (MTS)? Accessed: Jan. 15, 2018. [Online]. Available: http://www.ni.com/ sdr/mmwave/
- [22] Sage Millimeter Inc. WR-34 Pyramidal Horn Antenna. Accessed: Jan. 10, 2018. [Online]. Available: https://www.sagemillimeter.com/ content/datasheets/SAR-1725-34KF-E2.pdf
- [23] J. M. Snyder and A. H. Barr, "Ray tracing complex models containing surface tessellations," in *Proc. 14th Annu. Conf. Comput. Graph. Interact. Tech.*, vol. 21, no. 4, Jul. 1987, pp. 119–128.
- [24] S. M. Aldossari and K.-C. Chen, "Machine learning for wireless communication channel modeling: An overview," *Wireless Pers. Commun.*, vol. 106, no. 1, pp. 41–70, 2019.
- [25] J. Huang et al., "A big data enabled channel model for 5G wireless communication systems," *IEEE Trans. Big Data*, early access, doi: 10.1109/TBDATA.2018.2884489.